October 3, 2022

Below, please find a listing of all new, revised, and rescinded Regent Policy Documents and UW System Administrative policies and procedures approved from August 13, 2022, through September 30, 2022. There was one (1) rescinded Regent Policy Document, one (1) new System Administrative Policy, six (6) revised System Administrative Policies, and one (1) new System Administrative Procedure.

I. Regent Policy Document Rescissions

- RPD 22-2, *Disposal of Works of Art* (rescinded by resolution 11920 approved September 30, 2022)
  - Upon reviewing RPD 22-2, it was determined that the RPD does not meet the criteria for a Regent Policy Document as outlined by RPD 2-3. As such, the contents of RPD 22-2 have been included in the new SYS Policy 335, *Deaccessioning of Works of Art and Historical Treasures*. This new policy addresses all the components of the deaccessioning of works of art that are included in RPD 22-2, with the exception that reporting on deaccessioned works of art will occur to the UW System Vice President for Finance and Administration instead of to the Board of Regents.
  - RPD 22-2 was rescinded to eliminate redundancy between the policy sets.

II. New System Administrative Policy Approvals

- SYS 1220, *Postdoc Absence with Pay and Legal Holidays* (approved and effective as of September 16, 2022)
  - This policy provides the framework for absences with pay and legal holidays for all postdoctoral fellows (“postdocs”) in the university workforce, except for employees at UW-Madison. UW-Madison postdocs are covered by a separate UW-Madison specific policy.
    - This policy creates paid leave for postdocs for purposes of illness or medical need.
    - This policy creates paid leave for postdocs for purposes of personal time off.
    - This policy allows postdocs to receive paid leave for legal holidays.
    - This policy distinguishes the unique nature of the leave granted to postdocs and clarifies that it is not considered sick leave or vacation leave within the meaning of UWS policies. The leave does not carry over, is not bankable and does not automatically transfer if the employee changes positions within the UW System.

III. Revised UW System Administrative Policy Approvals

- SYS 236, *Utilization of Independent Contractors* (approved and effective as of September 2, 2022)
  - This policy establishes the requirements associated with UW institutions use of independent contractors and the processes that may be used for payments related to their services. The following revisions have been approved:
    - Reformulated language in sections 1 and 3 for concision and clarity.
    - Updated reference to Low Dollar Exception pay methodology in section 4.
In section 5, removed examples of Academic Support Services, added a reference to SYS 540, Non-Competitive Procurement Contracts and spelled out the acronym for the Payment to Individuals Request (PIR) form.

In section 5, removed definitions for “authorized,” “consultants,” “entertainer,” “fungible services,” and “signature authority.”

Revised 6.A.II. to add reference to SYS 625, Youth Protection and Compliance requirements for independent contractors.

Revised language in 6.A.VII. to clarify specifications for an active supplier record.

Revised subsection 6.B to clarify processes before engaging independent contractors and renamed the subsection “Contracting Considerations.”

Removed language from subsection 6.C that is no longer applicable and added a reference to SYS 540, Non-Competitive Procurement Contracts.

Removed subsections from section 6 related to Public Speaking or Entertainers and to Consultants.

In section 6.B.I., re-phrased “system personnel” as “employees.”

In section 6.D.II, removed language referring to attachment of examples of employment related services.

In section 7, updated title of references listed as necessary.

- **SYS 240, Relocation (Household Moves) and Temporary or Indefinite Work Assignments** (approved September 20, 2022, effective January 1, 2023)
  - This policy currently permits either a relocation stipend to be paid, or for expenses to be reimbursed. For administrative efficiency, this revision eliminates the expense reimbursement option and leaves the stipend as the sole means of compensation for relocation costs throughout the policy. This includes:
    - In Section 5, definitions were added for **standard stipend** and **supplemental stipend** while the definition of **direct costs** was removed.
    - In Section 6, references to reimbursement of expenses were removed and replaced with a reference to a relocation stipend, standard stipend, and/or supplemental stipend.

- **SYS 324, Interinstitutional Financial Transactions** (approved and effective as of September 2, 2022)
  - This policy establishes the principles for accounting for interinstitutional financial transactions and/or when making payments for personal services involving an individual who holds a concurrent appointment at another UW institution. The following revisions have been approved:
    - Updated the policy format to ensure compliance with SYS 1, Developing, Revision, and Approval of UW System Administrative Policies, Procedures, & Guidelines.
    - In Section 4, removed a reference to the rescinded policy SYS 235, Personal Services Payments and a reference to SYS 165, The Academic Calendar, which no longer is relevant to SYS 324 due to revisions to SYS 165.
    - In Section 4, added reference to SYS 237, Utilization of Borrowed Employees/Employee Interchange Agreements.
    - In Section 5, modified the definition of “interinstitutional transaction” and
- Reconfigured the numbering sequence of transaction categories to account for the fact that category D was removed in 2019.
- In Section 6, updated reference to the former “Section III” to instead direct readers to **SYS 324.A, Procedures for Interinstitutional Financial Transactions**.
- Updated related attachments referenced throughout policy.
- Drafted a new, related procedure and moving procedural language from the policy into the procedure from former policy sections III. and V. into the new procedure **SYS 324.A, Procedures for Interinstitutional Financial Transactions**. In this procedure:
  - Reconfigured the numbering sequence of transaction categories to account for the fact that category D was removed in 2019.
  - In section 4.A, spelled out acronyms for FLSA (the Fair Labor Standards Act) and DER (the Department of Employment Relations).
  - In section 4.A.I, replaced “UW Processing Center” with buying institution and added reference to the interunit journal (IUJ).
  - In section 4.A.II.1, removed reference to “the end of the month” payroll.
  - In section 4.A.II.2, replaced “unclassified” with “faculty/academic staff/limited appointee” and removed a note that referenced a definition found in SYS 165.
  - In section 4.A.III.3, removed reference to “the End of the Month” payroll and removed a note that referenced a definition found in SYS 165.
  - In section 4.B, removed specification around the use of account codes 9230 and 9500.
  - In section 4.D, removed much of the language regarding Supplies and Services transactions.

- **SYS 1033, Information Security Incident Response** (approved and effective as of August 23, 2022)
  - This policy establishes the minimum requirements to report an Information Security (IS) incident throughout the University of Wisconsin (UW) System and the subsequent required actions by the institutions when an incident occurs. The following revisions have been approved:
    - In section 2, deleted acronym for Associate Vice President.
    - In section 5, reordered low risk, moderate risk, and high risk definitions.
    - In section 6.A.II., clarified all ransomware incidents to include modification or deletion of moderate or high risk data.
    - In section 6.A.III., added “a trusted network by an unauthorized actor.”
    - Added section 6.A.IV. describing vendor breaches for software or services where the institution received official breach notification correspondence from the vendor.
    - In section 6.A.V., capitalized the “w” in Widespread.
    - In section 6.A.VI., added language “processing or storing moderate or high risk data.”
    - In section 6.A.VII., reformatted list numbering.
    - In section 6.A.VIII., added moderate or high risk data.
    - In Section 6.B. Required Actions, changed title to “Required Actions for
- Reportable Incidents.
- In section 6.B. Required Actions, changed first sentence to “The following are.”
- In section 6.B.I, added “representatives from” UW System, and “external forensic teams.”
- In section 6.B.II., removed “verbal or” and changed notification to the Office of Information Security and added email address.
- In section 6.B.II.1. removed language referring to notification to Director for CISO if AVP for Information Security is not available.
- In section 6.B.II., added link to UW System IS IR Plan.
- Added section 6.C. heading Tabletop Exercises.
- Added section 6.D. relating to Foundation Incident Response plans.

- **SYS 1202, Equal Employment Opportunity** (approved and effective as of September 23, 2022)
  - The Board of Regents updated Regent Policy Document (RPD) 17-4, Equal Opportunity and Affirmative Action in Employment in 2021. The revisions to SYS 1202 reflect the downstream impacts of the RPD changes. These revisions include:
    - In Section 6.A.VI, added a basic requirement for each institution to establish or designate an affirmative action officer or director.
    - In Section 6.A.VII, eliminated a basic requirement for each institution to present to the Board of Regents an annual affirmative action report which assesses the institution’s progress towards affirmative action goals and compliance with Regent policies.
    - In Section 6.B, rewrote the minimum components of an affirmative action plan.

- **SYS 1214, Catastrophic Leave Program** (approved and effective as of August 24, 2022)
  - The purpose of this policy is to establish a catastrophic leave program for UW System employees. The following revisions were approved:
    - Technical change to Leave Credits definition – reference to UPS operational policy was updated to System Administrative Policy.
    - Added section 2, Responsible UW System Officer.
    - Added section 3, Scope.
    - In section 6, updated the numbering scheme to match the current SYS policy template.
    - Added section 9, Scheduled Review. The policy will be reviewed prior to August 2025.
    - No substantive updates were made to this policy.

IV. New System Administrative Procedure Approvals

- **SYS 324.A, Procedures for Interinstitutional Transactions** (approved and effective as of September 2, 2022)
  - This procedure defines how University of Wisconsin (UW) System employees are to account for interinstitutional financial transactions and/or when making payments for personal services involving an individual who holds a concurrent appointment at another UW institution.