

ACCOUNTABILITY REPORTING FRAMEWORK FOR BOARD OF REGENTS OVERSIGHT OF NCAA INTERCOLLEGIATE ATHLETICS PROGRAMS

Reporting and Accountability Requirements

In order to ensure that the Board of Regents is informed and knowledgeable about intercollegiate athletics, each chancellor of an institution that participates in Division I athletics shall furnish to the Board of Regents, on an annual basis, information that addresses academic, fiscal, and compliance issues of intercollegiate athletics. The following information is required:

- 1) Introductory section, including the following information:
 - a. Athletics Department Mission Statement
 - b. NCAA Classification
 - c. Description of the role of intercollegiate athletics at the institution
 - d. Description of how the success of the intercollegiate athletics program is measured
 - e. Title of the individual to whom the director of intercollegiate athletics reports
- 2) Financial highlights, including, at a minimum, the following information:
 - a. Surplus (deficit), including a description of how deficits will be handled
 - b. Athletics department year-end unrestricted fund balances
 - c. Total debt outstanding and annual debt service requirements on athletics facilities
 - d. Value of endowments dedicated to the support of athletics
- 3) Academic Progress Rate Data, including, at a minimum, the following information:
 - a. Most recent published NCAA Academic Progress Rate scores, including comparative information publicly available on NCAA website
 - b. Three-year Academic Progress Rate trend data for three largest revenue generating sports
 - c. Benchmarks used by athletics to assess academic progress rate data, if criteria exist
- 4) Graduation Rate Data and Other Academic/Admissions Information, including, at a minimum, the following:
 - a. Most recent published NCAA Graduation Success Rate report
 - b. Three-year federal graduation rate trend data of the regular student body for: 1) all student-athletes, 2) three highest revenue men's sports, and 3) three highest revenue women's sports
 - c. Number and rates of special admissions of athletes compared with those of the regular student body
 - d. Average GPA of student-athletes
 - e. Information on the declared majors of student-athletes
 - f. Benchmarks used by athletics to assess graduation rate data and other academic/admissions information, if criteria exist.

- 5) Agreed-Upon Procedures Report
- 6) Intercollegiate Athletics Budget
- 7) Evaluation Reports Conducted in Accordance with Section 22.2.1.2(e) of the NCAA's Division I Manual (as issued)
- 8) NCAA Self-Study Report (in years required by the NCAA)
- 9) A list of all major violations reported to the NCAA, and those minor violations reported to the NCAA, as provided to the institution's athletic board/committee.

In addition to furnishing the above information to the Board of Regents on an annual basis, the chancellor and athletic director of each institution that participates in Division I athletics will present items 1) through 4) to the Board of Regents or its designated committee on an annual basis.

Although no annual reporting requirement exists, the Board of Regents may request that University of Wisconsin institutions that participate in Division II or Division III athletics provide similar information as included above at any time. Additionally, University of Wisconsin institutions that participate in Division II or Division III athletics are required to comply with the *Ongoing Reporting Obligations* requirements included below.

ONGOING REPORTING REQUIREMENTS

- 1) Each institution is to immediately notify the Chancellor, who in turn will immediately notify the President of the UW System for communication to the Board of Regents President, of all NCAA major infractions, NCAA investigations, conference investigations, or any other events or situations which might spark unusual public interest in the athletic program, particular team(s), or individual student athlete(s) at that institution and is to provide sufficient detail (if known) concerning these events or situations to permit the President and the Board of Regents to respond appropriately to inquiries.
- 2) Each institution is required to comply with all Board of Regents Policy Documents requiring review and/or approval of significant contractual arrangements or compensation agreements.
- 3) Each institution is required to complete and provide an annual certification letter to the President of UW System and the Board of Regents as included in Attachment A. The certification letter should be signed by the institution's chancellor and athletic director, as well as any other athletics personnel deemed appropriate, such as an athletics compliance officer.

- 4) Each institution is required to report to the University of Wisconsin System's Office of the President all fiscal shortfalls that may result in financial deficits both short and long term as they become known.
- 5) Each institution is to submit all required annual NCAA Reports, Equity in Athletics Disclosure Act Reports, annual Agreed-Upon Procedure Reports, and periodic conference review reports to the appropriate governing bodies (e.g., NCAA, Department of Education).

NCAA Oversight Certification Letter

Date

Board of Regents President
UW System President
Van Hise Hall
1220 Linden Dr.
Madison, Wisconsin 53706

Presidents:

In connection with your oversight of the [institution name's] intercollegiate athletics program, we confirm, to the best of our knowledge and belief, the following:

1. There are no instances of non-compliance with NCAA rules and regulations.

- or -

We are aware of the following instance(s) of non-compliance with NCAA rules and regulations: [provide list, including the instance noted, and whether self-reporting to the NCAA has occurred].

2. There are no illegal acts or non-compliance with federal, state, or local law by individuals employed by the institution, or individuals who serve as an agent of the institution.

- or -

We are aware of the following instance(s) of illegal acts or non-compliance with federal, state, or local law by individuals employed by the institution, or individuals who serve as an agent of the institution: [provide list, including the instance noted, and whether self-reporting to the NCAA has occurred].

3. There are no investigations/monitoring reviews currently underway by the NCAA, law enforcement officials, or others.

- or -

There is currently an investigation/monitoring review underway by [fill in the name of agency] related to [subject matter]. Communication to System Administration or the Board of Regents has included [fill in blank].

4. We have no knowledge of any allegations of fraud or suspected fraud affecting intercollegiate athletics received in communications from employees, former employees,

or others.

5. There have been no modifications to the institution's gender-equity plan from our previous self-study, dated [fill in blank], and all aspects of the plan have been fully implemented.

- or -

There have been the following modifications to the institution's gender-equity plan from our previous self-study, dated [fill in blank]: [describe]. All aspects of the plan, as amended, have been fully implemented.

6. We have processes in place to ensure background checks are performed on all coaches, assistant coaches, contractors, volunteers, student employees, and other individuals serving as an agent of the institution related to intercollegiate athletics. These processes apply to those involved with offering camps and clinics, and others who participate in activities involving children. Adverse outcomes of background checks are evaluated by [describe process].
7. We understand our fiduciary responsibilities to act in the best interest of the institution even if it conflicts with the immediate interests of the athletic department.
8. The athletic department has not intervened when a student-athlete is being disciplined under regular student conduct rules.

Very truly yours,

Name
Chancellor

Name
Athletic Director

Name
Athletics Compliance Officer (if appropriate)

Name
Other (if appropriate)