

**UW System Board of Regents
Audit, Risk, and Compliance Committee**

Overture Room, 2nd Floor
Gordon Dining & Event Center
770 W. Dayton Street, Madison, Wisconsin
& Virtual via Zoom Videoconference
September 18, 2025

MEETING OF THE AUDIT, RISK, and COMPLIANCE COMMITTEE

The meeting of the Audit, Risk, and Compliance Committee was called to order at 10:45 a.m. by Chair Regent Angela Adams.

A. Calling of the Roll

Roll call was taken. Regents Adams, Fritz, Goitom, Palzewicz, and Salzwedel were present. Regents Adongo, Traynor, and Underly were absent.

B. Declaration of Conflicts

Regent Adams asked if anyone had a conflict of interest regarding the items on the agenda: none were stated.

C. Proposed Consent Agenda

Regent Fritz made a motion to approve the consent agenda, seconded by Regent Palzewicz, which consisted of the minutes of the July 10, 2025, Audit, Risk, and Compliance Committee Meeting, the Office of Internal Audit: Fiscal Year 2026 Audit Plan Progress Report, as well as the Office of Internal Audit: Results of Reports Recently Issued. The consent agenda was passed without comment.

D. Office of Compliance and Risk Management (OCRM)

1. Progress Report on the Student Safety and Emergency Preparedness Audit

Next, the Committee heard from Joseph Rayzor, Director of Risk Management, who gave a progress report on the *Student Safety and Emergency Preparedness Audit*. He thanked Chief Audit Executive, Lori Stortz, and her team for their work in this area, and for providing fresh perspective and new ideas – stating the audit brought real value to the UW. Rayzor discussed two newly-revised documents at a high level: the *Emergency Standard*, and the *Memorandum of Agreement (MLA) Between UWSA and UW-Madison Police Department*. The MLA was first entered into in 2006 and has been revised multiple times, with the current agreement spanning July 1, 2025, through June 30, 2028. Additionally, he shared that the updates being made were based on the results of this audit, as well as feedback from campus stakeholders, and UW-Madison Campus Police.

The Regents thanked Director Rayzor for providing this informative update.

2. Progress Report on the UW System Administrative Policy 625; Youth Protection and Compliance (SYS 625) Audit

Paige Smith, Chief Compliance and Risk Officer (CCRO), gave the committee a progress report on the follow up to the *UW System Administrative Policy 625; Youth Protection and Compliance (SYS 625)*

Audit. She too thanked the Office of Internal Audit, stating without their important work the progress being made would not be as far along as it is. Smith highlighted recurrent meetings with campus leadership and Pre-College Liaisons (PCLs) at each of the 13 universities, to address concerns in real-time. Furthermore, training is being developed to better align with SYS 625. Currently, a revised draft of SYS 625 is with a drafting committee. According to Smith, revisions to Regent Policy Document 20-19; *University of Wisconsin System Criminal Background Check Policy* (RPD 20-19) will be considered only if necessary.¹ Smith noted that due to ongoing turnover of PCLs, the OCRM has prioritized working with the universities while they find replacements to assist in their daily compliance efforts. Moreover, review of third-party agreements and records management carries on. She said a review of data-tracking systems will continue and she is pleased with the progress made to date. Smith thanked the university leaders and PCLs for their commitment to ensuring youth activities are conducted in a safe and compliant manner across the Universities of Wisconsin.

Regent Adams asked about the PCL turnover and Smith responded that to ensure SYS 625 is met, they will need to make certain more mentoring and support is provided. In response to questions raised by Regents Adongo and Fritz regarding the current environment, Smith stated she is optimistic – crediting the team for having risen to meet a complex culture of change in a high-risk area. She noted the importance of effective training for PCLs to ensure everyone connected to youth activities has the proper training and understands their role, to better achieve process improvement. Smith reiterated that the daily work with PCLs will remain continual.

The Regents thanked CCRO Smith, and gave appreciation for the update and the progress made to date. They requested that Smith continue to provide updates to the committee until the action items are completed, to which she agreed.

E. Move into closed session [Recording: 19:47]

After Regent Adams thanked the participants, the Audit, Risk, and Compliance Committee moved into closed session at 11:05 a.m. by a motion from Regent Fritz and seconded by Regent Palzewicz and adopted on a roll call vote with Regents Adams, Fritz, Goitom, Palzewicz, and Salzwedel voting in the affirmative. There were no dissenting votes and no abstentions.

Respectfully submitted,

Erika L. Laabs
Recording Secretary

¹ At this time, Smith said they are unsure if any changes would be required.