

05/27/2021



BOARD OF REGENTS OF THE UNIVERSITY OF WISCONSIN SYSTEM

June 3-4, 2021
UW-Milwaukee Student Union
2200 E. Kenwood Blvd., Milwaukee
Via WebEx Videoconferences

Thursday, June 3, 2021

8:45 a.m. – 10:00 a.m.	Audit Committee
8:45 a.m. – 10:00 a.m.	Capital Planning & Budget Committee
8:45 a.m. – 10:00 a.m.	Research, Economic Development, & Innovation Committee
10:30 a.m. – 12:00 p.m.	Business & Finance Committee
10:30 a.m. – 12:00 p.m.	Education Committee
12:00 p.m.	Lunch Break*
12:45 p.m.	I. All Regents
	Closed Session
5:30 p.m.	Reception and Tour—Connected Systems Institute* 2311 E. Hartford Avenue, Milwaukee

Friday, June 4, 2021

8:45 a.m.	II. All Regents
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Webex videoconference registration information and meeting materials can be found at <https://www.wisconsin.edu/regents/meetingmaterials> or may be obtained from Jess Lathrop, Executive Director, Office of the Board of Regents, 1860 Van Hise Hall, 1220 Linden Drive, Madison, WI 53706, (608) 262-2324.

** A quorum of the Board of Regents may be present; no Board business will be conducted.*

05/28/2021

BOARD OF REGENTS OF THE UNIVERSITY OF WISCONSIN SYSTEM

I. All Regents

Thursday, June 3, 2021
12:45 p.m.

UW-Milwaukee Student Union Ballroom
2200 E. Kenwood Blvd., Milwaukee
Via WebEx Videoconference

1. Calling of the Roll
2. Declaration of Conflicts
3. Introduction of newly-appointed Regents
4. Other updates and introductions
5. Approval of the record(s) of the April 8-9, 2021 Meeting of the UW System Board of Regents and the May 11, 2021 Special Meeting of the UW System Board of Regents
6. Report of the Board President
 - A. Report of the Wisconsin Technical College System Board
 - B. Remarks by outgoing President of the Board
7. Report of the System President
 - A. Update on COVID-19 response
 - B. Legislative updates
8. Host-campus Presentation by UW-Milwaukee Chancellor Mark Mone: "The Impact and Relevance of an Engaged Top-Tier Research University"
9. Presentation: Annual NCAA Division I Athletics Report—UW-Milwaukee
10. Presentation: Fall 2021 Plans to Return to Pre-pandemic Campus Life
11. Closed Session – Move into closed session to:
 - A. consider four UW-Milwaukee honorary degree nominations, as permitted by s. 19.85(1)(f), Wis. Stats.;
 - B. consider amended employment and additional compensation agreements for UW-Madison associate head football coach-offensive coordinator, as permitted by s. 19.85(1)(c) and (e), Wis. Stats.;

- C. consider amended employment and additional compensation agreements for UW-Madison assistant football coach-defensive coordinator, as permitted by s. 19.85(1)(c) and (e), Wis. Stats.; and
- D. consider personnel evaluations of chancellors, as permitted by s. 19.85(1)(c), Wis. Stats.

The closed session agenda also may be considered on Friday, June 4, 2021, as the Board's needs may dictate. In addition, the Board may reconvene in open session regarding matters taken up in the closed session, including voting, where applicable.

**UW-MILWAUKEE NCAA DIVISION I ATHLETICS
2020-21 REPORT**

REQUESTED ACTION

This item is for discussion purposes only.

SUMMARY

UW-Milwaukee sponsors 15 sports, providing an opportunity for more than 300 student-athletes to participate in NCAA Division I athletics. The University participates in the Horizon League, which includes twelve public and private institutions that participate in Division I athletics.

UW-Milwaukee's Director of Intercollegiate Athletics reports to the institution's Chief Student Affairs Officer for day-to-day operations and strategic planning. The Director reports to the Chancellor for issues related to long-term strategic development and external relations.

Financial Situation

The Athletics Department reported \$7,990,718 in actual revenues and \$8,091,825 in expenses in 2020-21, resulting in an operating deficit of \$101,107. The report notes that the operating loss was a result of a payment for corporate sponsorships in FY20 that had not been received by June 30, 2020. Surplus funds from previous years were used to cover the loss and the payment was subsequently received in FY21. The report notes that the program has an unrestricted fund balance of \$84,678, or 0.01% of actual expenditures for the 2020-21 fiscal year.

UW-Milwaukee held \$5,994,262 in cash at the end of FY 2020 for the Klotsche Annex that has been enumerated, with construction scheduled to begin in late summer 2021. UW-Milwaukee also reports the Athletics Department had outstanding Program Revenue debt of \$6,470,317, with debt service payments of \$1,455,511 in the 2020-21 fiscal year. This outstanding debt is directly related to the construction of the Pavilion completed in 2006.

The report describes how UW-Milwaukee's Athletics Department accumulated operating debt of nearly \$11 million between FY 2000 and FY 2014 due to increased costs during that

time. The institution made a commitment to first stabilizing the annual operating budget and debt, which it has accomplished every year since FY 2015. The institution began to reduce the historic operating debt in FY 2017 with contributions from both campus and athletic funds. The institution reports they expect this debt will be under \$5 million at the end of FY 2021 and that they will pay the debt off completely by the end of FY 2023.

Academics

The Athletics Department uses various means to monitor the academic progress of student-athletes including the Academic Progress Rate, the Graduation Success Rate, and the Federal Graduation Rate.

Academic Progress Rate. The Academic Progress Rate (APR) is a term-by-term calculation of the eligibility and retention of all student-athletes. A score of 1000 means every student-athlete on a particular team remained eligible and returned to school. Teams lose points for student-athletes who are not eligible and/or are not retained.

The NCAA's 2018-19 Academic Progress Rate Report showed that UW-Milwaukee achieved both a single-year and multiyear APR above 930. Under NCAA rules, teams must maintain a minimum multiyear APR above 930 to participate in postseason competition. Single-year APRs ranged from 960 to 1000, with seven of the 13 sports teams achieving an APR of 1000. Multiyear APRs ranged from 964 to 1000, with four teams achieving an APR of 1000.

The APR for the three largest revenue generating sports—baseball, men's basketball, and women's track—were 984, 960, and 1,000 respectively, for 2018-19.

UW-Milwaukee also monitors and compares the institution's multi-year APR score for each sport to the APR score for all Division I institutions for each sport, as well as to the average scores at other Horizon League institutions in each sport.

Graduation Rates. UW-Milwaukee uses the Federal Graduation Rate (FGR) and the Graduation Success Rate (GSR) to monitor student-athlete graduation rates. The FGR is compiled by the U.S. Department of Education and measures the percentage of first-time, full-time freshmen who graduate within six years of entering their original four-year institution. The GSR differs from the FGR in that it excludes from the denominator those student-athletes who leave the institution in good academic standing and includes in the numerator those who transfer into the institution and go on to graduate.

UW-Milwaukee uses three benchmarks related to the FGR to monitor student-athlete graduation rates. The institution expects student-athletes to (1) maintain a student-athlete FGR that is greater than that of the general student body; (2) maintain a student-athlete FGR greater than 75%; and (3) maintain an FGR that is greater than the average for the Horizon League. UW-Milwaukee's student-athletes met these benchmarks with an FGR of

75% in 2018-19 for the 2012-13 Freshman Cohort, compared to 48% for all UW-Milwaukee students and 66% for Horizon League student-athletes.

Further, UW-Milwaukee expects student-athletes to achieve a GSR (1) greater than the average GSR for the Horizon League; and (2) greater than the GSR of students-athletes in the Horizon League for each sport. The GSR for UW-Milwaukee's student-athletes was 84%, just under the median GSR for the Horizon League Conference (87%). UW-Milwaukee's GSR exceeded the average GSR in the Horizon League in four out of 11 sports.

UW-Milwaukee's student-athletes are expected to maintain a minimum GPA of 3.0. The average GPA for student-athletes was 3.364 in the Fall 2019 and 3.581 in the Spring 2020. Incoming freshmen student-athletes had an average high school GPA of 3.55 and an average ACT score of 24, which was slightly higher than the ACT score of 22.44 of incoming freshmen overall.

NCAA Rules Compliance

UW-Milwaukee's report includes a list of self-reported known or suspected violations of NCAA rules and regulations, including seven violations in 2019-20 and one from 2020-21.

The report also includes a copy of the NCAA-required report on "Agreed-Upon Procedures" issued by UW System Administration's Office of Internal Audit, for the year ended June 30, 2019.

Presenter

- Amanda Braun, UW-Milwaukee Director of Athletics

BACKGROUND

Collegiate athletics are high-profile activities that exist for the betterment of the student body, student-athletes, and the university. As some of the most visible programs at institutions of higher education, athletics provide valuable experiences for student-athletes, opportunities to engage the broader community and a public face for the institution. This visibility, as well as the number of student-athlete participants, the members of the public attending athletic events and the substantial compliance requirements, necessitates a high level of oversight and scrutiny by both administrators and governing bodies.

In 2012, the Association of Governing Boards of Colleges and Universities (AGB) issued a report, "Trust, Accountability, and Integrity: Board Responsibilities for Intercollegiate Athletics," that highlighted the important role of higher education governing boards in providing proper oversight of athletics programs. That same year, the Board of Regents

established a reporting framework for institutions that participate in NCAA Division I athletics.

Under the Regents' Accountability Reporting Framework, each UW System Division I athletics program is required to provide information to the Board annually describing the extent to which the program: 1) adheres to any performance standards implemented by an institution or its athletic board; 2) safeguards the welfare of all students; 3) maintains NCAA compliance; and 4) assures fiscal integrity. The Accountability Reporting Framework provides the Board with consistent information about each NCAA Division I athletics program, enhancing the Board's ability to effectively monitor the activities of UW System's Division I athletics programs.

Related Policies

- [Regent Policy Document 10-1, "Endorsement of the Statement of Principles from the Knight Foundation Commission on Intercollegiate Athletics"](#)
- [Accountability Reporting Framework for Board of Regents Oversight of NCAA Intercollegiate Athletics Programs](#)

ATTACHMENTS

- A) 2020-21 UW-Milwaukee's Athletics Annual Report Presented to UW Board of Regents

ATHLETICS ANNUAL REPORT

PRESENTED TO UW BOARD OF REGENTS

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INTRODUCTORY SECTION

ATHLETIC DEPARTMENT MISSION AND VISION STATEMENTS

IT IS OUR MISSION TO:

PROMOTE a spirit and pride in the University through positive athletic experiences for student-athletes, coaches and spectators.

EMBRACE the academic purpose of UWM while achieving athletic excellence.

MAINTAIN an athletics program of high quality through attracting, retaining and developing the best student-athletes, coaches and staff.

ENGAGE students, faculty, staff, alumni and the surrounding community.

IT IS OUR VISION TO:

BUILD A PASSIONATE AND PROUD UNIVERSITY OF WISCONSIN-MILWAUKEE THROUGH CHAMPIONSHIP EXPERIENCES IN ACADEMICS, ATHLETICS AND LEADERSHIP.

CHAMPIONSHIP EXPERIENCES IN ACADEMICS represent the achievement of the highest standards in an academic environment conducive to the success and personal development of our student-athletes.

CHAMPIONSHIP EXPERIENCES IN ATHLETICS represent the highest standards of the Horizon League and the NCAA. Our achievements encourage a culture of excellence consistent with that of the University.

CHAMPIONSHIP EXPERIENCES IN LEADERSHIP represent a commitment to personal and team achievements and to service in our community by student-athletes, coaches and staff.

With **PASSION** and **PRIDE** we portray our spirit to the University and beyond.

UWM MISSION STATEMENT

UWM Select Mission Statement:

To fulfill its mission as a major urban doctoral university and to meet the diverse needs of Wisconsin's largest metropolitan area, the University of Wisconsin–Milwaukee must provide a wide array of degree programs, a balanced program of applied and basic research, and a faculty who are active in public service. Fulfilling this mission requires the pursuit of these mutually reinforcing academic goals:

(a) To develop and maintain high quality undergraduate, graduate and continuing education programs appropriate to a major urban doctoral university.

(b) To engage in a sustained research effort which will enhance and fulfill the University's role as a doctoral institution of academic and professional excellence.

- (c) To continue development of a balanced array of high quality doctoral programs in basic disciplines and professional areas.
- (d) To attract highly qualified students who demonstrate the potential for intellectual development, innovation, and leadership for their communities.
- (e) To further academic and professional opportunities at all levels for women, minority, part-time, and financially or educationally disadvantaged students.
- (f) To establish and maintain productive relationships with appropriate public and private organizations at the local, regional, state, national, and international levels.
- (g) To promote public service and research efforts directed toward meeting the social, economic and cultural needs of the state of Wisconsin and its metropolitan areas.
- (h) To encourage others from institutions in the University of Wisconsin System and from other educational institutions and agencies to seek benefit from the University's research and educational resources such as libraries, special collections, archives, museums, research facilities, and academic programs.
- (i) To provide educational leadership in meeting future social, cultural, and technological challenges.

NCAA CLASSIFICATION

The University of Wisconsin-Milwaukee and its predecessor institutions have offered some form of intercollegiate athletics for over 100 years.

Currently, UWM offers 15 sports at the NCAA Division I level, with more than 300 student-athletes in the sports of men's and women's basketball, men's and women's soccer, men's and women's cross country, men's and women's indoor and outdoor track and field, men's and women's swimming and diving, women's volleyball, women's tennis and baseball.

UWM moved all of its sports back into Division I in 1990 and previously had placed its sports at the NCAA Division I, II and III levels, as well as in the NAIA, the AIAW and the NCAA's "Small College" division.

Over the years, UWM has had three main conference affiliations – the Wisconsin State University Conference/Wisconsin Women's Intercollegiate Athletic Conference, the Mid-Continent Conference and the Midwestern Collegiate Conference/Horizon League. Men's soccer has always had Division I status with the NCAA.

(Reflects sport sponsorship figures for 2019-20)

SPORT	# OF MALE STUDENT-ATHLETES	# OF FEMALE STUDENT-ATHLETES
BASEBALL	38	-
BASKETBALL	18	16
CROSS COUNTRY	18	16
SOCCER	26	34
SWIMMING	37	39
TENNIS	-	8
TRACK INDOOR/OUTDOOR	46/46	46/46
VOLLEYBALL	-	19
TOTALS	229 (50.6%)	224 (49.4%)

Chart reflects the duplicated count of cross country, indoor & outdoor track.

There are a number of sports UWM has offered over the years that it does not offer anymore. Most notably, UWM had a football team through 1974 and won a number of WSUC championships. The school has also offered wrestling, men's tennis and men's volleyball, among other sports.

For more than a decade, UWM has been a dominant and successful presence in the Horizon League. The school has captured the league's all-sports award – the McCafferty Trophy – seven times. On the national stage, the men's basketball team advanced to the Sweet 16 of the NCAA Tournament in 2005 and to the second round of the tournament in both 2006 and 2014. In addition, the men's and women's soccer teams have been ranked in the top 10 nationally. In the classroom, Panther student-athletes have posted a combined 3.0 grade point average for 40 straight semesters following the 2019-2020 academic year.

HORIZON LEAGUE

In its 42nd season of operation in the 2020-2021 academic year, the Horizon League continues to aspire toward its goal of being one of the nation's leading athletics conferences while being recognized as a leader in the development of student-athletes as leaders and role models. Each of the League's 2,600 Division I student-athletes has the opportunity to maximize athletic development and academic achievement, while also serving the broader community and growing in personal responsibility and accountability. Through athletics, the 180,000-plus undergraduates among Horizon League institutions have the opportunity to come together, learn and grow in life skills and opportunities.



The Horizon League membership features twelve public and private institutions that have impressive academic reputations and a storied tradition of broad-based athletic programs. Membership includes Cleveland State University, the University of Detroit Mercy, the University of Illinois at Chicago, Indiana University-Purdue University Indianapolis, Northern Kentucky University, Oakland University, Robert Morris University, the University of Wisconsin-Green Bay, the University of Wisconsin-Milwaukee, Wright State University and Youngstown State University.

The Horizon League's primary focus is to add value to the educational experience through its four platforms: athletic performance, academic achievement, community outreach, and personal responsibility and accountability. It is the League's belief that athletics is a powerful and visible resource tool that can be used to enhance student-athletes' collegiate experience. The Horizon League's goals are to enhance the holistic university experience for the student-athlete, to create an affiliation of institutions with similar athletic goals, and to adhere to the principles of integrity, diversity, excellence and growth. The Horizon League sponsors competition in 19 sports - nine for men (baseball, basketball, cross country, golf, soccer, swimming and diving, indoor track and field, outdoor track and field and tennis) and 10 for women (basketball, cross country, golf, soccer, softball, swimming and diving, indoor track and field, outdoor track and field, tennis and volleyball).

The League receives automatic bids to NCAA championships in baseball, men's and women's basketball, men's golf, men's and women's soccer, softball, men's and women's tennis, women's volleyball and women's golf. The Horizon League is headquartered in Indianapolis, the "Amateur Sports Capital of the World," with offices in the JF Wild Building (129 E. Market Street) and just minutes from Bankers Life Fieldhouse, the State Capitol Building, Victory Field (home of the Indianapolis Indians) and the NCAA national office.

Julie Roe Lach is in her first year as Commissioner of the Horizon League, having been named to the position on Jan. 1, 2021. She succeeds Jonathan B. LeCrone who served as the conference commissioner for 28 years (1992-2020). Roe Lach is in her seventh year overall with the Horizon League, joining as Deputy Commissioner in August 2014.

DESCRIPTION OF ATHLETICS' ROLE AT UWM

The Athletics Department at UWM provides NCAA Division I competition for over 300 students in 15 varsity sports. Gifted student-athletes are attracted to the university because of these programs, and their talents are added to the diverse gifts of the student body. Besides adding to the diversity of the community, the intercollegiate athletics programs add distinction and identity to the University.

The value of an NCAA Division I Athletics program is reflected in the financial support the students and University provides. Tuition fee remissions, and room and board waivers are examples of campus resources used for daily operation of the Athletics Department.

UWM student-athletes compete in over 250 athletic contests each year, representing the University in Horizon League and NCAA championship events. This adds value to the University brand and provides entertainment and gathering opportunities for students, faculty, staff, alumni and friends of the University. Over the past four years, an annual average of 10,000 students attended athletic contests and promotional events. As UWM continues to evolve as a residential campus, the intercollegiate athletics programs will become an even more important force for driving enrollment and attracting positive interaction for the community.

UWM student-athletes are excellent role models for all students through their performance in the classroom. With 40 consecutive semesters of collectively achieving a better than 3.0 grade point average and a graduation rate of over 84%, Panther athletes demonstrate excellence in the classroom, while achieving championship results in the athletic arena.

The Intercollegiate Athletics programs at the University of Wisconsin-Milwaukee provide a shining example of academic and athletic excellence in proud and appropriate perspective. Add to this the lessons learned in preparation for and participation in competition by the student-athletes, the role of athletics at UWM is entirely consonant with the Mission and Core Values of the University and the Department of Athletics.

2020-2021 ATHLETICS YEAR IN REVIEW

Milwaukee Athletics continues to excel on and off the field and did so again during the 2020-2021 academic year.

The Panthers head into the spring season – and the final championships of the school year – in first place in the race for the 2020-21 McCafferty Trophy, given to the all-sports champion of the Horizon League. If the program can maintain its lead, it would claim the eighth McCafferty Trophy in school history – and a chance to extend its league record in that category as well.

Highlighting the way has been a group of four teams to have claimed regular-season or Horizon League Tournament titles and a pair of league runner-ups.

Following a quiet fall in which COVID forced all sports to be cancelled, the first athletic team to return to competition was the women's basketball program, topping Ball State by a final score of 67-56 on November 25. It was a sign of things to come, as the Panthers reeled off the best start in school history – eventually upping their record to 15-1 at one point, a time at which the team was receiving votes in both national polls for the first time in program history. The team would go on to claim the Horizon League regular-season title and play in the WNIT, finishing at 20-8 overall and 15-5 in league play. MKE also finished the year as the best free throw shooting team in NCAA Division I history at 83.8 percent, setting a new NCAA record at the charity stripe.

Men's basketball was close behind, taking the court on December 11 following a program pause due to COVID. The Panthers nearly upset Kansas State in the opener, and went on to finish 10-

12, including a thrilling ride through the postseason that included an unprecedented comeback and a spot in the semifinals of the Horizon League Championships. The team overcame a 24-point deficit in getting there, garnering national attention with the second-largest deficit EVER overcome in NCAA history over the final 5 minutes of a game.

The Panthers put together a solid showing at the Horizon League Indoor Championships with both the men's and women's teams earning a runner-up finish. As a whole, Milwaukee claimed eight individual titles and 27 podium finishes. On the men's side, Sam Conger and Michael Gorman earned titles in the 60-meter hurdles and 60-meter dash, respectively, while Austin Wallace (Heptathlon) and Jake Wendt (Weight Throw) took gold in field events. For the women, Nadia Vo was named Indoor Field Freshman of the Year after winning the long jump and also reaching the podium in the high jump. Kyra Arendt won her third consecutive triple jump crown while Meg Swietlik (5,000-meter) and Annie Guerrero (High Jump) also earned individual titles.

Women's Cross Country claimed its second Horizon League title in three years to open March, led by Horizon League Athlete of the Year Meg Swietlik, who claimed the individual crown and became the first female in MKE's Division-I history to qualify for NCAA Nationals. In the pool, a shortened season was highlighted by McKenzie Sanchez repeating as the Horizon League women's 1-Meter diving champion.

Fall sports were played in the spring, showcased by Horizon League Tournament crowns – and NCAA Tournament appearances – by both the women's and men's soccer teams. For the women, it's a 14th appearance in the NCAA Tournament; for the men it marked the 10th in program history. Each was led by the respective Horizon League Tournament MVP. On the women's side, goalie Elaina LaMacchia led the way in the PK shootout with a pair of saves and sits in the Top 5 in the nation with her 0.21 goals-against average. The team also set league records with its 36-game conference unbeaten streak (33-0-3) and 31-game unbeaten streak at home (29-0-2). On the men's side, MVP Paolo Gratton has enjoyed a breakout campaign, leading the conference in points and goals, with his 11 goals the fourth-most in the country. In addition, Logan Farrington was named the League Freshman of the Year.

Success was not limited to the court or field, however, as Milwaukee student-athletes continued to participate in community service activities as much as possible during a pandemic. In the classroom, the Panthers posted a cumulative grade-point average of 3.00 or higher for a remarkable 41st consecutive semesters (the current spring semester will make 42).

This year's accomplishments add to a long storyline of success in Panther athletics. Milwaukee first broke through in winning the McCafferty Trophy following the 2000-01 school year and then claimed the award in three straight years from 2004-06. Milwaukee also won the all-sports honor in 2009, 2012 and 2014. On the women's side, MKE has won the league award 12 times in the last 19 years.

SUCCESS IN THE CLASSROOM

- The Panthers averaged over 160 student-athletes on both the fall and spring league honor rolls during 2020-21.
- The cumulative GPA for all Panther student-athletes is 3.46 – also making it 41 straight semesters where the departmental GPA is above 3.0 as well as 12 consecutive semesters over 3.20.
- Graduation success rate was at 84%, well above the percentage for the general student population.
- Student-Athletes log many hours of community service in Milwaukee and the surrounding area. Teams volunteer for community activities like Make a Difference Day, United Way, Junior Achievement, Team IMPACT, Operation Dream and the United Lutheran Soup Kitchen, among dozens of other agencies. The Athletics Department also partners with the Center for Community-Based Learning, Leadership and Research.

MCCAFFERTY TROPHY WINNERS

2001, 2004, 2005, 2006, 2009, 2012, 2014

HORIZON LEAGUE WOMEN'S ALL-SPORTS AWARD

2001, 2002, 2003, 2004, 2006, 2007, 2008, 2009, 2010, 2012, 2014, 2019

HORIZON LEAGUE MEN'S ALL-SPORTS AWARD

2000, 2005, 2006

REPORTING STRUCTURE FOR DIRECTOR OF ATHLETICS

The Director of Intercollegiate Athletics at the University of Wisconsin–Milwaukee reports directly to the Chief Student Affairs Officer regarding the day-to-day operations and strategic planning for the department. For long-term strategic development and external relations, including league and donor connections, the Director of Athletics reports via dotted line to the Chancellor. The Director of Intercollegiate Athletics represents the Department of Athletics by sitting on both the Chancellor’s Cabinet and the Chief Student Affairs Officers’ Cabinet.

In addition, the UWM Director of Intercollegiate Athletics is an ex-officio member of the UWM Athletic Board. The UWM Athletic Board is made up of 13 elected or appointed faculty, academic staff, and student members. The Athletic Board meets monthly and takes an active role in providing oversight to the operations of the entire UWM athletics program.

FINANCIAL INFORMATION

The Athletics Budget information on the next two pages includes only the revenues and expenses that are listed in the UW System financial reporting statements. One chart shows the budget for FY 2021 while the second chart is a comparison of FY 2020 budget vs. actuals. Neither of these include UWM Foundation deposits and payments, in-kind donations, waivers for tuition, books, and room and board, and University indirect facilities and maintenance expenses. Those revenues and expenses are captured in the NCAA Financial Report audited annually. See Attachment B for the year-end June 30, 2020 NCAA Statement of Revenues and Expenses, which captures all UWM Athletics' financial transactions (including the below items) associated with operations.

2020-2021 UNIVERSITY OF WISCONSIN - MILWAUKEE BUDGET REPORT

REVENUE	FY 21 BUDGET
Student Fees	\$ 6,241,349
101 Funding	\$ 229,665
NCAA & Conference Distributions	\$ 540,000
Development	\$ 713,000
Corporate Sponsorships	\$ 310,000
Ticket Sales	\$ 347,000
Game Guarantees	\$ 185,000
228 Capital Transfer	\$ 0
One Time NCAA Distribution	\$ 92,200
Other Revenue	\$ 71,000
TOTAL REVENUE	\$ 8,729,214
EXPENSES	FY 21 BUDGET
128 Salaries and Fringe Benefits	\$ 4,588,634
101 Salaries and Fringe Benefits	\$ 229,665
128 Operating Expenses	
Team Expenses	\$ 1,470,715
Support Services Expenses	\$ 923,508
Game Operation Expenses	\$ 565,000
101 Operating Expenses	\$ 0
Capital	\$ 0
Athletic Student Aid	\$ 560,000
Indirect Expenses	\$ 345,829
TOTAL EXPENSES	\$ 8,516,151
Fiscal Year Operating Net	\$ 45,863

PREVIOUS FISCAL YEAR BUDGET vs. ACTUAL RESULTS

2019-20 UNIVERSITY OF WISCONSIN - MILWAUKEE BUDGET REPORT

REVENUE	FY 20 BUDGET	ACTUAL
Student Fees	\$ 6,314,700	\$ 6,058,944
101 Funding	\$ 235,725	\$ 324,194
NCAA & Conference Distributions	\$ 505,000	\$ 376,274
Corporate Sponsorships	\$ 290,000	\$ 181,747
Ticket Sales	\$ 347,000	\$ 139,308
228 Capital Transfer	\$ 0	\$ 0
Development	\$ 713,000	\$ 451,396
Game Guarantees	\$ 185,000	\$ 275,000
One Time NCAA Distribution	\$ 92,200	\$ 92,200
Transfers	\$ 0	\$ (\$75,000)
Other Revenue	\$ 83,000	\$ 166,655
TOTAL REVENUE	\$ 8,765,625	\$ 7,990,718
EXPENSES	FY 20 BUDGET	ACTUAL
128 Salaries and Fringe Benefits	\$ 4,628,900	\$ 4,228,404
101 Salaries and Fringe Benefits	\$ 230,870	\$ 287,247
128 Operating Expenses		
Team Expenses	\$ 1,470,715	\$ 1,356,167
Support Services Expenses	\$ 904,840	\$ 743,590
Game Operations Expenses	\$ 615,000	\$ 655,784
101 Operating Expenses	\$ 4,855	\$ 36,946
Capital	\$ 0	\$ 0
Athletic Student Aid	\$ 553,340	\$ 510,360
Indirect Expenses	\$ 356,425	\$ 273,327
TOTAL EXPENSES	\$ 8,764,945	\$ 8,091,825
Fiscal Year Operating Net (Loss)*	\$ 680	\$ (101,107)

*This Fiscal Year Net Operating Loss was a result of a \$120,000 payment from Learfield for corporate sponsorships in FY20 that was not received by June 30, 2020. This operating loss was covered by surplus funds carried over from previous fiscal years. Those funds have been received in FY21.

**Tuition fee waivers provided by the University and used as athletic student aid were \$3,901,552 but are not included in the amount above.

YEAR-END UNRESTRICTED FUND BALANCES

Athletics Department year-end unrestricted fund balances = \$84,678

This surplus balance is the remainder of a one-time distribution from the NCAA that is budgeted to be spent over the next two fiscal years (FY 2021 - FY 2022). The NCAA designated what these funds could be used for. The remaining unrestricted fund balance is \$0.

*Note this balance is separate from the Historic Operating Debt outlined in this report on page 11.

EXTERNAL AUDIT REPORTS

An external audit of the NCAA's Statement of Revenue and Expenses, for the year ending June 30, 2020, was performed by Brenda Hallman and Daniel Gyrog, of the UW System Office of Internal Audit. Please see Attachment A for the Independent Accountant's Report on the Agreed-Upon Procedures.

CAPITAL RESERVE

The Department of Athletics has reserves held in a Fund 228 Capital Account. The reserve balance is a result of a Student Senate Finance Committee Resolution (SFC0910-002), signed on December 14, 2009. This resolution instituted a \$25 per semester segregated fee for the purpose of approving a capital bonding project for Athletics. The resolution was further clarified by a Student Association Resolution Reaffirmation (SB1011-006), on June 7, 2010. The fee was reduced to \$14.50 per semester beginning in FY 2016 and has continued at that rate to the present.

After several failed attempts in earlier biennia, in the 2021-2023 Capital Budget, UWM sought and obtained enumeration to build a new Klotsche Annex using \$7,000,000 in Program Revenue Cash. The Klotsche Center Annex will provide a new one-court gymnasium with co-ed student athletic lounge, film room, nutrition station, hall of history, and multi-purpose fitness/strength and conditioning areas. It will be located east of the existing Klotsche Center complex. The facility also received a donation from the Orthopaedic Hospital of Wisconsin that will enhance the facility and furnishings. As a result of that gift, the project budget was increased to \$8,100,000. Design work began in May of 2020 and the project was approved for construction in February of 2021, with an estimated substantial completion in the fall of 2022. The segregated fee associated with the project is scheduled to end in FY 2022 as the full \$7 million in segregated fees will have accumulated by the end of FY 2022.

Balance as of FY Year-End 2020: \$5,994,262

FY20 Capital Projects:

none

FY21 Projected Expenditures:

\$454,000 toward the Klotsche Annex project

TOTAL OUTSTANDING DEBT & ANNUAL DEBT SERVICE

Total annual debt service = \$1,455,511

Total debt outstanding = \$6,470,317

The outstanding debt on facilities is a result of the construction of the Pavilion, which is a shared facility between Recreation Sports, Athletics, Academics, and Parking. Designated segregated fees and user fees (non-athletic fee) provides the resources for the annual payment of the debt service.

VALUE OF ENDOWMENTS AND FOUNDATION BALANCES FOR ATHLETICS

Value of Endowments dedicated to the support of athletics = \$902,528

All endowed amounts are held in six scholarship funds and one non-scholarship fund:

Nicholas Family Scholarship

Kuehneisen Swim and Dive Scholarship

D & E Bogenschild "Green Gulls" Scholarship

Coach John Tierney Memorial Scholarship

Dexter Riesch Memorial Scholarship

Pete J. Corfeld Scholarship Fund

Chuck Hildebrand Baseball Fund

Athletics Department year-end unrestricted fund balances = \$383,423

This amount is held in the UWM Foundation in three different accounts:

Development Revenue

Athletic Director's Excellence

Panther Club

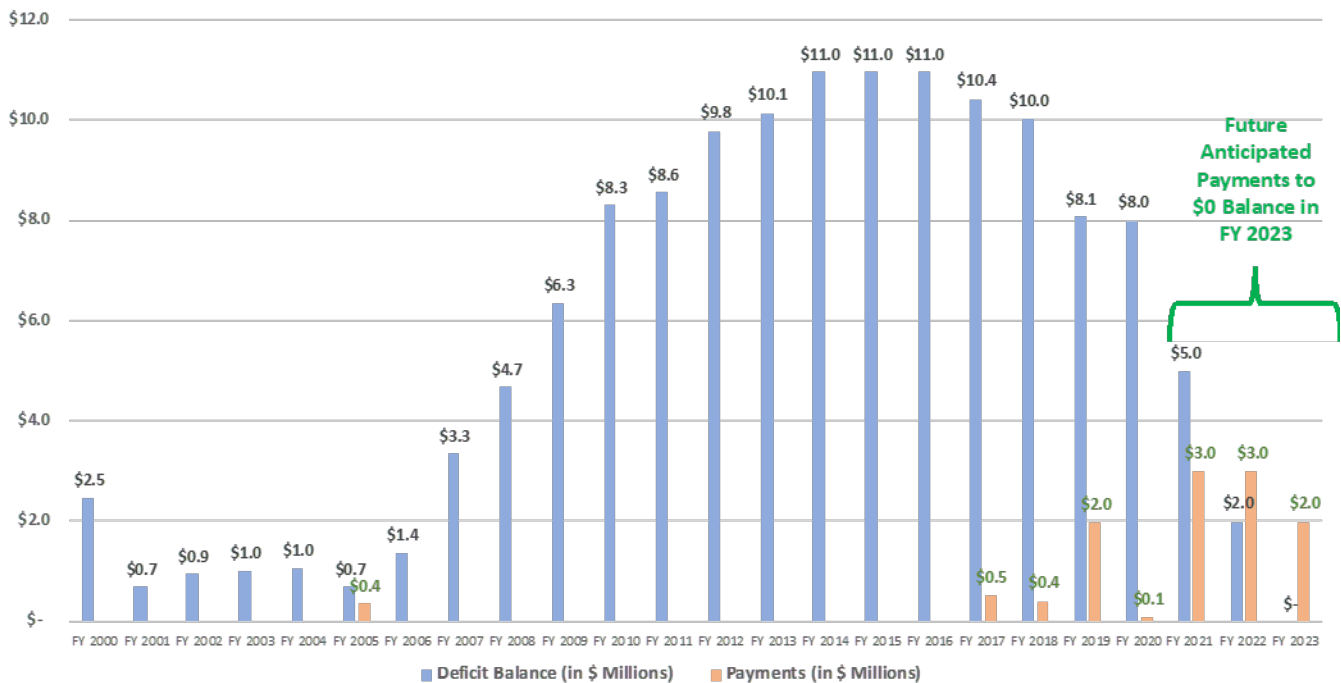
HISTORIC OPERATING DEBT

From around FY 2000 through the end of FY 2014, under prior UWM administration, UWM's Department of Athletics accumulated a cumulative operating debt of nearly \$11 million in Fund 128. The debt was due to significant changes taking place in Division 1 athletics which resulted in increased costs over that time. UWM as an institution and the Department of Athletics share responsibility for this historic operating debt.

In 2014, UWM administration and the Department of Athletics committed to first balancing Athletics' annual operating budget and thus stabilizing the growing debt, and then once the debt was stabilized, to reduce the historic operating debt over time. The historic operating debt was transferred to an account separate from operating funds for ongoing oversight and management.

Consistent with the commitment made in 2014, Athletics stabilized the operating deficit and historic debt in FY 2015 and 2016. It then worked with UWM administration to pay off portions of the outstanding debt each year beginning in 2017. As a result, the historic operating deficit will be equal to or under \$5 million at the end of FY 2021. UWM and Athletics plan to pay the debt off completely by the end of FY 2023, subject to any unforeseen financial circumstances.

Athletics Deficit and Payments History 2000 to 2023



ACADEMIC PROGRESS RATE (APR) DATA

The NCAA holds Division I institutions accountable for the academic progress of their student-athletes through the Academic Progress Rate, a team-based metric that accounts for the eligibility and retention of each student-athlete, each term.

Starting with the 2013-14 academic year data, in order to avoid penalty each team is required to earn a 4-year APR score of 930 or higher. The data is generally released to the public each spring by the NCAA. Due to COVID, the NCAA will not be publicly releasing the APR data for the 19-20 year.

HOW TO CALCULATE APR SCORES: Each term, a scholarship student-athlete may earn one retention point for staying in school and one eligibility point for being academically eligible. A team's total points are divided by points possible and then multiplied by one thousand to equal the team's Academic Progress Rate.

Please see Attachment C for the official 2018-19 Academic Progress Rate Report from the NCAA.

SPORT	2018-19 APR SCORE	4-YEAR APR SCORE	NCAA 4-YR AVERAGE
BASEBALL	984	987	977
MEN'S BASKETBALL	960	983	966
WOMEN'S BASKETBALL	1000	1000	983
MEN'S CROSS COUNTRY	1000	1000	982
WOMEN'S CROSS COUNTRY	1000	1000	989
MEN'S SOCCER	970	964	978
WOMEN'S SOCCER	972	986	990
MEN'S SWIMMING	975	971	983
WOMEN'S SWIMMING	976	994	993
WOMEN'S TENNIS	1000	1000	991
MEN'S TRACK	1000	996	974
WOMEN'S TRACK	1000	992	984
WOMEN'S VOLLEYBALL	1000	990	988

THREE-YEAR APR TREND FOR HIGHEST REVENUE SPORTS

The following lists the single year APR scores for the three highest revenue sports during the 2016-17, 2017-18 and 2018-19 academic years. Please see Attachment C for the official Academic Progress Rate Reports from the NCAA.

HIGHEST REVENUE SPORTS	2016-2017	2017-2018	2018-2019
MEN'S BASKETBALL	1000	1000	960
BASEBALL	1000	1000	984
WOMEN'S TRACK	981	1000	1000

BENCHMARKS USED BY ATHLETICS TO ASSESS ACADEMIC PROGRESS DATA

Benchmark #1: Maintain multi-year APR score that is at or higher than the all Division I score for each sport.

Benchmark #2: Achieve multi-year APR scores that are greater than average scores at other Horizon League institutions in each sport.

Please see Attachment E for the list of Horizon League institutions and APR data.

GRADUATION SUCCESS RATE (GSR), ACADEMIC & ADMISSIONS DATA

What is the Graduation Success Rate?

The NCAA Graduation Success Rate (GSR) is designed to show the proportion of student-athletes on any given team who earn a college degree. The NCAA has imposed a new set of academic standards that seeks to hold teams and institutions accountable for how well student-athlete progresses toward a degree.

The GSR was developed in response to colleges and universities who asked for an alternative rate that more accurately reflects the movement among college student-athletes. The GSR takes into account incoming transfers who graduate from a different institution than the one they started at and transfers who leave an institution in good standing.

How does it differ from the Federal Graduation Rate?

The Federal Graduation Rate (FGR) is compiled by the U.S. Department of Education and is used as an indicator of academic success for college student-athletes. FGR measures the percentage of first-time, full-time freshmen who graduate within six years of entering their original four-year institution.

The NCAA developed its GSR in response to criticism that the FGR understates the academic success of athletes because the FGR method does not take into account two important factors in college athletics:

1. When student-athletes transfer from an institution before graduating and are in good academic standing (perhaps to transfer to another institution for more playing time, different major, or to go pro); and
2. Those student-athletes who transfer to an institution (e.g., from a community college or another 4-year college) and earn a degree.

The FGR treats transfers as non-graduates for the original institution the student-athlete attended, even if that student-athlete later graduates from another institution. Also, the FGR does not include that student-athlete in the graduation rates at the new institution where he/she does graduate. Therefore, once a student-athlete transfers to another school he/she is no longer recognized in the calculated graduation rate. The GSR takes into account both factors and gives credit to institutions for successful transfers, whether they are leaving or entering an institution.

The following is a summary of the Graduate Success Rate report that was submitted during the 2019-20 academic year. **Please see Attachment D for official Graduation Success Rate Report (2013 freshmen cohort) from the NCAA that was released in October, 2020.**

2010-13 COHORT GSR SCORES

Below is a summary of the 2010-13 cohort Graduate Success Rate report. **Please see Attachment D for official Graduation Success Rate Report from the NCAA that was released in 2020.**

SPORT	GRADUATION SUCCESS RATE	FEDERAL GRADUATION RATE
BASEBALL	83	53
MEN'S BASKETBALL	83	71
WOMEN'S BASKETBALL	92	47
MEN'S SOCCER	71	48
WOMEN'S SOCCER	96	71
MEN'S SWIMMING	96	91
WOMEN'S SWIMMING	92	84
WOMEN'S TENNIS	100	78
MEN'S TRACK/CROSS COUNTRY	87	79
WOMEN'S TRACK/XC	93	90
WOMEN'S VOLLEYBALL	100	100

THREE-YEAR FEDERAL GRADUATION RATE TRENDS

The following is a summary of the Federal Graduation Rates for the University of Wisconsin-Milwaukee, reported during the 2017-18, 2018-19 and 2019-20 years. **Please see Attachment D for official Graduation Success Rate Report from the NCAA.**

SINGLE YEAR FEDERAL GRADUATION RATE FOR UWM STUDENT-ATHLETES & UWM'S GENERAL STUDENT BODY

ACADEMIC YEAR	GENERAL UWM STUDENT BODY FEDERAL GRADUATION RATE	UWM STUDENT-ATHLETE FEDERAL GRADUATION RATE
2011 FRESHMEN COHORT (reported in 2017-18)	45%	70%
2012 FRESHMEN COHORT (reported in 2018-19)	48%	75%
2013 FRESHMEN COHORT (reported in 2019-20)	44%	78%

FEDERAL GRADUATION RATE FOR THREE HIGHEST REVENUE WOMEN'S SPORTS

HIGHEST REVENUE WOMEN'S SPORTS	2017-18 (2008-2011 cohort)	2018-19 (2009-2012 cohort)	2019-2020 (2010-2013 cohort)
WOMEN'S TRACK	76%	81%	90%
WOMEN'S SOCCER	60%	62%	71%
WOMEN'S SWIM & DIVE	61%	67%	84%

FEDERAL GRADUATION RATE FOR THREE HIGHEST REVENUE MEN'S SPORTS

HIGHEST REVENUE MEN'S SPORTS	2017-18 (2008-2011 cohort)	2018-2019 (2009-2012 cohort)	2019-2020 (2010-2013 cohort)
MEN'S BASKETBALL	44%	43%	71%
BASEBALL	47%	56%	53%
MEN'S SWIM & DIVE	75%	77%	91%

ADMISSION OF STUDENT-ATHLETES

Student-athletes admitted to UWM are expected to meet the same academic standards as other admitted students. Student-athletes are also, in general, expected to follow the same application process and adhere to the same deadlines as other applicants. However it is understood that in some cases, prospective student-athletes whom UWM wishes to recruit may not be identified, or may not make the decision to apply to UWM, until very late in the admissions cycle. In such cases, the office of admissions should be contacted about the student-athlete (name and contact information) so that the application process can be initiated.

- Policy updated in April 2015

Admissions Data

	<i>Average Incoming Freshman High School GPA</i>	<i>Average Incoming Freshman ACT Score</i>
<i>Student-Athletes</i>	3.55	24
<i>General Student Body</i>	Not available	22.44

GRADE POINT AVERAGE INFORMATION

GRADE POINT AVERAGE FOR ALL STUDENT-ATHLETES DURING THE 2019-2020 ACADEMIC YEAR:

Fall 2019: 3.364

Spring 2020: 3.581

FALL 2019 STUDENT-ATHLETE GRADE POINT AVERAGE PER SPORT

ALL SPORTS (Active Roster)	FALL 2019 GPA	CUMULATIVE GPA
Men's Baseball	3.306	3.271
Men's Basketball	2.586	2.726
Men's Cross Country	3.600	3.598
Men's Soccer	3.331	3.421
Men's Swimming and Diving	3.064	3.259
Men's Track and Field	3.245	3.254
Women's Basketball	3.431	3.454
Women's Cross Country	3.515	3.558
Women's Soccer	3.355	3.365
Women's Swimming and Diving	3.470	3.374
Women's Tennis	3.620	3.784
Women's Track and Field	3.524	3.548
Women's Volleyball	3.628	3.664
	3.360	3.406

SPORTS (HIGHEST TO LOWEST GPA)	FALL 2019 GPA	CUMULATIVE GPA
Women's Volleyball	3.628	3.664
Women's Tennis	3.620	3.784
Men's Cross Country	3.600	3.598
Women's Track and Field	3.524	3.548
Women's Cross Country	3.515	3.558
Women's Swimming and Diving	3.470	3.374

Women's Basketball	3.431	3.454
Women's Soccer	3.355	3.365
Men's Soccer	3.331	3.421
Men's Baseball	3.306	3.271
Men's Track and Field	3.245	3.254
Men's Swimming and Diving	3.064	3.259
Men's Basketball	2.586	2.726

SPRING 2020 STUDENT-ATHLETE GRADE POINT AVERAGE PER SPORT

ALL SPORTS (Active Roster)	SPRING 2020 GPA	CUMULATIVE GPA
Men's Baseball	3.635	3.348
Men's Basketball	3.098	2.788
Men's Cross Country	3.638	3.620
Men's Soccer	3.561	3.502
Men's Swimming and Diving	3.475	3.321
Men's Track and Field	3.443	3.307
Women's Basketball	3.771	3.531
Women's Cross Country	3.594	3.549
Women's Soccer	3.640	3.498
Women's Swimming and Diving	3.648	3.506
Women's Tennis	3.945	3.811
Women's Track and Field	3.631	3.567
Women's Volleyball	3.792	3.688
	3.605	3.464

SPORT (HIGHEST TO LOWEST GPA)	SPRING 2020 GPA	CUMULATIVE GPA
Women's Tennis	3.945	3.811
Women's Volleyball	3.792	3.688
Women's Basketball	3.771	3.531
Women's Swimming and Diving	3.648	3.506
Women's Soccer	3.640	3.498
Men's Cross Country	3.638	3.620
Men's Baseball	3.635	3.348
Women's Track and Field	3.631	3.567
Women's Cross Country	3.594	3.549
Men's Soccer	3.561	3.502
Men's Swimming and Diving	3.475	3.321
Men's Track and Field	3.443	3.307
Men's Basketball	3.098	2.788

DECLARED MAJORS OF STUDENT-ATHLETES

Below is a summary of the declared degree programs for student-athletes and the UWM general student body.

MAJOR	# OF STUDENT-ATHLETES	% OF STUDENT-ATHLETES	% OF UWM STUDENT BODY
ACCOUNTING	4	1.25%	2.21%
ACTUARIAL SCIENCE	3	0.93%	0.46%
ARCHITECTURAL STUDIES	8	2.49%	2.58%
ART	2	.62%	2.82%
ATHLETIC TRAINING	4	1.25%	.09%
ATMOSPHERIC SCIENCE	3	0.93%	0.17%
BIOCHEMISTRY	4	1.25%	0.64%
BIOLOGICAL SCIENCES	4	1.25%	2.63%

BIOMEDICAL ENGINEERING	5	1.56%	0.67%
BIOMEDICAL SCIENCES	4	1.25%	2.60%
BUSINESS	5	1.56%	2.46%
BUSINESS MANAGEMENT	2	0.62%	0.07%
CHEMISTRY	1	0.31%	0.54%
CIVIL ENGINEERING	7	2.18%	1.38%
COMM SCIENCES & DISORDERS	4	1.25%	0.56%
COMMUNICATION	9	2.80%	1.87%
COMMUNITY ENGAGEMENT	7	2.18%	0.78%
COMPUTER ENGINEERING	5	1.56%	0.45%
COMPUTER SCIENCE	9	2.80%	2.10%
CRIMINAL JUSTICE	9	2.80%	2.85%
ECONOMICS	2	0.62%	0.80%
EDUCATION	14	4.36%	4.46%
ELECTRICAL ENGINEERING	1	0.31%	1.23%
ENGINEERING	1	0.31%	0.27%
ENVIRONMENTAL ENGINEERING	1	0.31%	.03%
EXCEPTIONAL EDUCATION	2	0.62%	0.41%
FILM	1	0.31%	2.52%
FINANCE	14	4.36%	3.00%
GENERAL BUSINESS	5	1.56%	0.62%
GEOGRAPHY	1	0.31%	0.22%
GEOSCIENCES	1	0.31%	0.44%
HEALTH CARE ADMIN	4	1.25%	0.76%
HEALTH CARE INFORMATICS	2	0.62%	0.17%
HISTORY	1	0.31%	0.75%
HUMAN RESOURCES	7	2.18%	1.33%
INDUSTRIAL ENGINEERING	2	0.62%	0.51%

INFORMATION SCIENCE & TECH	3	0.93%	3.34%
INTERNATIONAL BUSINESS	1	0.31%	0.33%
JOURNALISM, ADVERTISING, & MEDIA STUDIES	5	1.56%	2.13%
KINESIOLOGY	12	3.74%	1.16%
MANAGEMENT INFO SYSTEMS	2	0.62%	0.89%
MARKETING	37	11.53%	5.03%
MATHEMATICS	2	0.62%	0.46%
MECHANICAL ENGINEERING	9	2.80%	2.61%
MUSIC EDUCATION	2	0.62%	0.28%
NURSING	23	7.17%	5.47%
NUTRITION	7	2.18%	0.43%
OCCUPATIONAL STUDIES	4	1.25%	0.27%
PHYSICAL THERAPY	6	1.87%	0.27%
PHYSICS	1	0.31%	0.41%
POLITICAL SCIENCE	4	1.25%	1.14%
PRE CHIROPRACTIC	2	0.62%	0.07%
PRE LAW	3	0.93%	0.65%
PRE MEDICINE	6	1.87%	1.02%
PRE PHYSICIAN ASST	2	0.62%	0.34%
PSYCHOLOGY	20	6.23%	5.66%
REAL ESTATE & URBAN DEVELOPMENT	2	0.62%	0.14%
SOCIAL WORK	1	0.31%	1.77%
SPANISH	1	0.31%	0.49%
SUPPLY CHAIN & OPERATIONS MANAGEMENT	6	1.87%	1.85%
URBAN STUDIES	1	0.31%	0.12%

BENCHMARKS USED TO ASSESS GSR, ADMISSIONS & ACADEMIC DATA

Benchmark #1: Maintain student-athlete Federal graduation rate that is greater than UWM's general student body.

Benchmark #2: Maintain student-athlete NCAA graduation rate that is greater than 75%.

Benchmark #3: Maintain an overall student-athlete NCAA graduation rate that is greater than the average for Horizon League.

Benchmark #4: Maintain an overall student-athlete Federal graduation rate that is greater than the average for Horizon League.

Benchmark #5: Maintain student-athlete NCAA graduation rate that is greater than Horizon League institution's average for each sport.

Benchmark #6: Maintain a student-athlete cumulative GPA greater than 3.0.

Benchmark #7: Maintain average standardized test scores (i.e., ACT and SAT) and grade point average for incoming freshmen student-athletes that are greater than the average test scores and grade point average for UWM's general student body's incoming freshman class.

AGREED-UPON PROCEDURES REPORT

2019-2020 INSTITUTIONAL AGREED-UPON PROCEDURES REPORT

The NCAA requires all Division I athletic programs to complete an annual *Statement of Revenues and Expenses*. The deadline to submit the *Statement of Revenues and Expenses* is January 15th for the preceding fiscal year. Prior to submission, agreed-upon procedures are performed by an independent accountant validating that the *Statement of Revenues and Expenses* are in compliance with the NCAA.

Please see Attachments A for the Independent Accountant's Report on Agreed-Upon Procedures for UWM's NCAA Statement of Revenues and Expenses for the year ended June 30, 2020.

INTERCOLLEGIATE ATHLETICS BUDGET REPORT

UWM'S AUDITED NCAA FINANCIAL REPORT FOR 2019-2020

Please see Attachment B for the NCAA Statement of Revenue and Expenses, which assigns all transactions to a predetermined revenue or expense category.

It is important to note the *NCAA Statement of Revenues and Expenses* report looks very different from the UWM Athletics Budget previously provided in this report. The *NCAA Statement of Revenues and Expenses* includes all financial transactions associated with the operations of UWM Athletics for the year ended June 30, 2020. Many of the transactions included in *NCAA Statement of Revenues and Expenses* extend outside the Athletics Department's UW System financial statement reporting parameters.

Examples of transactions included in *NCAA Statement of Revenues and Expenses* which are not found in the Athletic Department's UW System financial statements are:

- a. University of Wisconsin – Milwaukee Foundation deposits and payments
- b. In-kind donations
- c. Tuition waivers provided by the University as part of student-athletes' aid awards
- d. On-campus room and board provided by Auxiliary Services as part of the student-athletes' grant in aid
- e. University indirect facilities and maintenance costs allocated to Athletics

The *NCAA Statement of Revenues and Expenses* provides a more complete analysis of all the costs associated with operating the athletics program. The *NCAA Statement of Revenues and Expenses* data is used by the NCAA and multiple media outlets to compare and benchmark athletic financial information of one athletic program to another.

OUTSIDE COMPLIANCE REVIEW

HORIZON LEAGUE OUTSIDE COMPLIANCE REVIEW

The Horizon League staff offers, as a service but not a requirement, outside compliance reviews to all institutions in the Horizon League. Staff members from the Horizon League administered their review of athletic compliance in December of 2015. While on campus, Horizon League staff members met with the following individuals:

Athletic Director
Senior Woman Administrator
Faculty Athletic Representative
Ticket Manager
Athletic Trainer
Strength & Conditioning Coach
Sports Information Director
Marketing Director
Facilities Director
Development staff
Men's Basketball coaching staff
Women's Basketball coaching staff
Team Sport coaching staff
Individual Sport coaching staff
Men's Basketball student-athlete
Women's Basketball student-athlete
Individual Sport student-athlete
Team Sport student-athlete
Academic Advisor
Business Manager
Registrar Office staff
Admissions Liaison
Financial Aid Liaison

The Horizon League sent a written report to the Assistant AD for Compliance and the Athletic Director. The report includes strengths of the compliance department as well as recommendations for areas that could be improved. No NCAA rules violations were discovered during the audit and the majority of the suggestions from the Horizon League have already been implemented and the remaining suggestions will continue to be reviewed and implemented as appropriate. Compliance audits by the Horizon League generally occur every 5-10 years. UWM will be reviewed again sometime between FY 2020-FY 2025.

Please see Attachment F for the Horizon League Compliance Review Report.

NCAA VIOLATIONS REPORT

2019-20 SELF-REPORTED NCAA VIOLATIONS

SPORT	DESCRIPTION OF RULE	DATE	DESCRIPTION OF VIOLATION	ACTION TAKEN
Women's Basketball	Bylaw 15.01.6 states that an institution cannot provide financial aid that exceeds the cost of attendance to a student-athlete	7/24/19	A student-athlete exceeded her cost of attendance limit by \$56 after receiving an outside scholarship award. The award was processed by the Financial Aid office prior to receiving approval from the Compliance Office.	<ul style="list-style-type: none"> The student-athlete was immediately declared ineligible pending reinstatement by Student-Athlete Reinstatement (SAR). The student-athlete paid the \$56 to a charity. Compliance and Financial Aid will develop rules education and process document for all Financial Aid employees. Compliance and Financial Aid will create a monthly outside aid report to ensure compliance with NCAA regulations.
Men's Basketball & Women's Soccer	Bylaw 15.3.7.1 states that on or before July 1 before the academic year, the institution must make the renewal of institutional financial aid based in any degree on athletics ability and promptly notify student-athlete in writing.	7/31/19	The institution failed to send renewal or nonrenewal financial aid notification letters to one men's basketball student-athlete and one women's soccer student-athlete prior to July 1.	<ul style="list-style-type: none"> Renewal letter and nonrenewal letter were sent the same day the violations were discovered. Compliance review of the renewal and nonrenewal process and make changes to ensure oversight.
Men's Baseball	Bylaw 13.7.1.2 (previous rule) prohibits an unofficial visit with athletics department by a baseball prospective student-athlete before September 1 of his junior year in high school.	8/28/19	A baseball prospective student-athlete made an unofficial visit to campus and met the associate head baseball coach on August 5 of his junior year.	<ul style="list-style-type: none"> Education letter given to the baseball staff. The unofficial visit will count as a contact toward the recruiting opportunities for this prospective student-athlete. Prospective student-athlete will not be able to visit the institution starting September 1, 2019. The prospective student-athlete declared ineligible and will need reinstatement if enrolled.
Women's Basketball	Bylaw 13.1.3.1 prohibits telephone calls to an individual or her family members on or before the September 1 of the beginning of her junior year of high school.	10/14/19	An assistant women's basketball coach accidentally called a 2022 women's basketball prospective student-athlete in August 29, 2019 because the student-athlete had the same name as another coach.	<ul style="list-style-type: none"> The women's basketball coaching staff precluded from calling the involved prospective student-athlete for two weeks starting September 1, 2020. Coaching staff working on labeling system to ensure no repeat violation. Rules education provided to women's basketball coaching staff.

Men's Basketball	Bylaw 13.02.5.5 defines a "dead period" as a period of time when it is not permissible to make in-person recruiting contacts or evaluations on or off the institution's campus. Bylaw 13.02.7 defines an evaluation as any off-campus activity for the purpose of assessing the academic qualifications or athletics ability of a prospective student-athlete including any visit to a prospective student-athlete's educational institution.	12/6/19	The assistant men's basketball coach reported to the Associate Athletic Director to get materials and information from a Coaches Compliance meeting he missed to pick up transcripts for a prospective student-athlete at his high school. Picking up the transcripts is considered an evaluation. This occurred during a dead period for men's basketball.	<ul style="list-style-type: none"> The men's basketball staff will count the evaluation against the prospective student-athlete's recruiting opportunities, which reduces the recruiting opportunities by 1 Rules education provided to the men's basketball staff and will be included in the monthly compliance newsletter to all staff.
Women's Swimming & Diving	Bylaw 3.2.4.8 requires that an institution administer a drug consent form annually to student athletes; per Bylaw 12.7.3.1 the form must be filled out prior to the first practice or before the 4 th week of the term for the SA to be eligible.	1/23/2020	Two mid-year transfers were permitted to practice prior to completing the NCAA's Drug Testing Consent Form.	<ul style="list-style-type: none"> The entire swim coaching staff received rules education Future rules education on the topic was implemented for all coaches
Men's & Women's Basketball	Bylaw 12.5.4 provides that an item of apparel that is part of the official uniform will have a single manufacturer's logo not exceeding 2-1/4 square inches.	3/31/2020	The men's basketball team (once) and the women's basketball team (twice) worn a pre-game shirt that did not meet the bylaw standard.	<ul style="list-style-type: none"> The institution is providing rules education regarding apparel and logos Student-athletes have turned the shirts back in The Compliance department will review any additional "promotional" apparel provided by the manufacturer

2020-21 SELF-REPORTED NCAA VIOLATIONS

SPORT	DESCRIPTION OF RULE	DATE	DESCRIPTION OF VIOLATION	ACTION TAKEN
Baseball	Bylaw 12.7.3.1 states that all student-athletes must complete NCAA drug testing consent form prior to participating in practice or before the 4 th week of the semester for the student-athlete to be eligible.	10/8/20	One baseball student-athlete was inadvertently left off of the beginning of the year compliance forms email and thus did not complete the NCAA drug testing consent form prior to beginning practice for the Fall 2020 term.	<ul style="list-style-type: none"> Student-athlete was immediately contacted by the Compliance office and completed the forms prior to his next practice. Compliance office will do a more thorough double checking of the email list in future years.

ATTACHMENTS

Independent Accountant’s Report on Agreed-Upon Procedures.....Attachment A

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ATTACHMENT A



Office of Internal Audit

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January 8, 2021

Chancellor Mark Mone
University of Wisconsin–Milwaukee
2310 East Hartford Avenue
Milwaukee, WI 53201

Dear Chancellor Mone:

We have performed the agreed-upon procedures enumerated in the attached report for the sole purpose of assisting you in evaluating whether the accompanying *Statement of Revenues and Expenses* (SRE) for the University of Wisconsin–Milwaukee (UW-Milwaukee or University) Athletics Department is in compliance with National Collegiate Athletic Association (NCAA) Bylaw 3.2.4.15 for Division I for the year ended June 30, 2020. UW-Milwaukee administration and staff are responsible for the SRE and the University's compliance with those requirements.

This agreed-upon procedures engagement was conducted in conformance with the *International Standards for the Professional Practice of Internal Auditing* and in accordance with attestation standards established by the American Institute of Certified Public Accountants, as well as requirements enumerated in the NCAA Constitution and common auditing practices. The sufficiency of these procedures is solely the responsibility of those parties specified in this report. Consequently, we make no representation regarding the sufficiency of the procedures described in the *Independent Auditor's Report on the Application of Agreed-Upon Procedures* either for the purpose for which this report has been requested or for any other purpose.

The NCAA requires this agreed-upon procedures engagement to be conducted by a qualified independent accountant. For the purposes of this engagement, the NCAA considers the UW System Administration Office of Internal Audit to be independent.

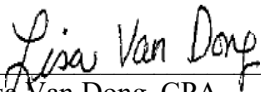
Our procedures and findings are explained in this report and its attachments. Reportable findings are defined as errors or misclassifications equal to or greater than \$500.

We were not engaged to and did not conduct an examination, the objective of which would be the expression of an opinion on the accompanying SRE. Accordingly, we do not express such an opinion. Had we performed additional procedures, other matters might have come to our attention that would have been reported to you.

This report is intended solely for the information and use of the chancellor, management of UW-Milwaukee, the Athletics Department, and authorized representatives of the NCAA. The report is not intended to be, and should not be, used by anyone other than these specified parties. However, this guidance does not supersede distributions governed by the *Wisconsin Open Records Law*.

We greatly appreciate the assistance and cooperation of staff at UW-Milwaukee, who provided information during the conduct of this agreed-upon procedures engagement.

Very truly yours,



Lisa Van Dong, CPA
Director, UW System Administration Office of Internal Audit

Attachments:

- Attachment A: Independent Auditor's Report on the Application of Agreed-Upon Procedures
- Attachment B: Statement of Revenues and Expenses
- Attachment C: Comparisons of Major Revenue and Expense Categories for the Year Ended June 30, 2020
- Attachment D: Notes to Statement of Revenues and Expenses for the Year Ended June 30, 2020

cc: Robin Van Harpen, Vice Chancellor for Finance & Administrative Affairs
Amanda Braun, Director of Athletics
Catherine Rossi, Deputy Athletics Director
Dave Rice, Interim Controller

**ATTACHMENT A: INDEPENDENT AUDITOR’S REPORT ON THE APPLICATION OF
AGREED-UPON PROCEDURES**

**UNIVERSITY OF WISCONSIN–MILWAUKEE
FISCAL YEAR ENDED JUNE 30, 2020**

Source: *NCAA 2020 Agreed-Upon Procedures*, revised April 21, 2020

BACKGROUND

The Office of Internal Audit has performed NCAA agreed-upon procedures for Division I at UW-Milwaukee in accordance with the *2021 Audit Plan*, as approved by the Audit Committee of the Board of Regents.

The objectives of this engagement were as follows:

- Verify accuracy and completeness of data entered onto the NCAA’s Statement of Revenues and Expenses by performing procedures required for “all revenues and expenses” and “other reporting items.”
- Verify accuracy of the data the institution is submitting to the NCAA for sports sponsorship, Pell grants, and grants-in-aid.

Our procedures tested relevant conditions at UW-Milwaukee from July 1, 2019 through June 30, 2020.

REPORTING INTERNAL AUDIT RESULTS

The management letter observations for each institution (including UW-Milwaukee) will be summarized as high-level observations in an executive summary to the Audit Committee.

SUMMARY OF REPORTABLE FINDINGS

As a result of applying the agreed-upon procedures enumerated below, no reportable findings were noted.

Procedures Related to All Revenues and Expenses

1. Agreed the amounts reported on the SRE to UW-Milwaukee’s general ledger.

In performing this procedure and the procedure described in #2 below, we determined that revenues and expenses reported on the SRE (as contained in *Attachment B*) materially agree with the amounts reported in UW-Milwaukee’s general ledger. In addition, there are certain items recorded on the SRE that are not required to be reported in the athletics accounts, including tuition waivers, indirect facilities and administrative support, and gifts-in-kind. The NCAA requires that these items be reported on the SRE to fully reflect the operations of the Athletics Department.

2. Performed the following procedures for all revenue and expense categories applicable to UW-Milwaukee:

- a. Compared and agreed each operating revenue and expense category reported on the SRE during the reporting period to supporting schedules provided by the institution. If a specific reporting category is less than 4% of the total revenues or total expenses, no procedures are required for that specific category.
- b. Compared and agreed a sample of revenue receipts and operating expenses obtained from the above supporting schedules to adequate supporting documentation.
- c. Compared each major revenue account over 10% of the total revenues to prior period amounts and budget estimates. Compared each major expense account over 10% of the total expenses to prior period amounts and budget estimates. Obtained and documented an explanation of any variations greater than 10%.

Attachment C describes the results of comparing revenue and expense amounts to budget and prior year values.

3. Identified and documented aspects of the institution's internal control structure unique to the Athletics Department. Tested specific elements of the control environment and accounting systems that (1) are unique to intercollegiate athletics and (2) have not been addressed in connection with the audit of the institution's financial statements.

No material exceptions were noted as a result of these procedures.

4. Identified intercollegiate athletics-related affiliated and outside organizations and obtained those organizations' financial information for the reporting period. Agreed the amounts reported on the SRE to the athletics-related and outside organization's records.

The SRE includes all functions related to intercollegiate athletics at UW-Milwaukee as well as the accounts of the Athletics Department's sole affiliated/outside organization, the UW-Milwaukee Foundation (an independent 501(c)(3) organization that is the official not-for-profit fundraising corporation for UW-Milwaukee).

No material exceptions were noted as a result of these procedures.

Procedures Related to Revenues

5. **Ticket Sales.** The NCAA agreed-upon procedures guidelines require that we compare tickets sold, complimentary tickets provided during the reporting period, and unsold tickets to amounts included within the related sales reported by UW-Milwaukee on the SRE and the associated attendance figures and that we recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for ticket sales was less than 4% of total revenue recorded on the SRE.

6. **Direct State or Other Government Support.** The NCAA agreed-upon procedures guidelines require that we compare direct state or other government support recorded by UW-Milwaukee Athletics during the reporting period with state appropriations, institutional authorizations, and/or other corroborative supporting documentation, and recalculate totals.

The Athletics Department did not record any direct state or other government support on the SRE.

7. **Student Fees.** Compared and agreed student fees reported by UW-Milwaukee on the SRE for the reporting period to student enrollments during the reporting period. Obtained and documented an understanding of the institution's methodology for allocating student fees to intercollegiate athletics programs.

No material exceptions were noted as a result of these procedures.

8. **Direct Institutional Support.** Compared the direct institutional support recorded by UW-Milwaukee during the reporting period with institutional authorizations and/or other corroborative supporting documentation, and recalculated totals. In addition, compared total fee waivers for student-athletes as recorded in the SRE to corroborative supporting documentation. For a sample of 60 student-athletes, we compared the amount of the waiver to the applicable squad list, Athletic Grant-In-Aid Award Letter, and amount recorded in the student accounting system.

No material exceptions were noted as a result of these procedures.

9. **Transfers to Institution.** The NCAA agreed-upon procedures guidelines require that we compare transfers to institution with permanent transfers back to the institution from the Athletics Department and recalculate totals.

The Athletics Department did not record any transfers to institution on the SRE for fiscal year (FY) 2020.

10. **Indirect Institutional Support; and Indirect Institutional Support – Athletic Facilities Debt Service, Lease and Rental Fees.** The NCAA agreed-upon procedures guidelines require that we compare the indirect institutional support recorded by the institution during the reporting period with expense payments, cost allocation detail, and other corroborative supporting documentation, and recalculate totals.

No procedures were required to be performed for indirect institutional support since the amount recorded on the SRE for this category was less than 4% of total revenue recorded on the SRE.

No procedures were required to be performed for indirect institutional support – athletic facilities debt service, lease and rental fees since the amount recorded on the SRE for this category was less than 4% of total revenue recorded on the SRE.

11. **Guarantees.** The NCAA agreed-upon procedures guidelines require that we select a sample of contractual agreements pertaining to revenues derived from contests during the reporting period and agree each selection to UW-Milwaukee's general ledger and the SRE and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for guarantees was less than 4% of total revenue recorded on the SRE.

12. **Contributions.** The NCAA agreed-upon procedures guidelines require that we obtain and review supporting documentation for any contributions of money, goods, or services received directly by the Athletics Department from any affiliated or outside organization that constitutes 10% or more of all contributions received for intercollegiate athletics and recalculate totals.

No material exceptions were noted as a result of these procedures.

13. **In-Kind.** The NCAA agreed-upon procedures guidelines require that we compare gifts-in-kind recorded by the institution during the reporting period with a schedule of gifts-in-kind donations and recalculate totals.

The Athletics Department did not record any in-kind donations on the SRE.

14. **Compensation and Benefits Provided by a Third Party.** The NCAA agreed-upon procedures guidelines require that we obtain the summary of revenues from affiliated and outside organizations (the “Summary”) as of the end of the reporting period from the institution and select a sample of funds from the Summary and compare and agree each selection to supporting documentation, UW-Milwaukee’s general ledger, and/or the Summary, and recalculate totals.

The Athletics Department did not record any compensation and benefits provided by a third party on the SRE.

15. **Media Rights.** The NCAA agreed-upon procedures guidelines require that we obtain and inspect agreements to understand UW-Milwaukee’s total media (broadcast, television, and radio) rights received by the institution or through their conference offices as reported in the statement. Also, agreed-upon procedures require that we compare and agree the media rights revenues to a summary statement of all media rights identified, if applicable, and UW-Milwaukee’s general ledger, and recalculate totals.

The Athletics Department did not record any media rights on the SRE.

16. **NCAA Distributions.** The NCAA agreed-upon procedures guidelines require that we compare the amounts recorded in the SRE to general ledger detail for NCAA distributions and other corroborative supporting documents and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for NCAA distributions was less than 4% of total revenue recorded on the SRE.

17. **Conference Distributions (Non-Media and Non-Bowl) and Conference Distributions of Bowl Generated Revenue.** The NCAA agreed-upon procedures guidelines require that we obtain and inspect agreements related to UW-Milwaukee’s Horizon League Conference distributions and participation in revenues from tournaments during the reporting period to gain an understanding of the relevant terms and conditions. Also, the agreed-upon procedures require that we compare and agree the related revenues to UW-Milwaukee’s general ledger and the SRE and recalculate totals.

No procedures were required to be performed for conference distributions (non-media and non-bowl) since the amount recorded on the SRE for this category was less than 4% of total revenue recorded on the SRE.

The Athletics Department did not record any conference distributions of bowl generated revenue on the SRE.

18. **Program, Novelty, Parking and Concession Sales.** The NCAA agreed-upon procedures guidelines require that we compare the amount recorded in the revenue reporting category to general ledger detail of program sales, concessions, novelty sales, and parking as well as any other corroborative supporting documents, and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for program, novelty, parking and concession sales was less than 4% of total revenue recorded on the SRE.

19. **Royalties, Licensing, Advertisement and Sponsorships.** The amount recorded in the SRE for this category was primarily generated from a single contract. For this contract, obtain and inspect the agreement related to UW-Milwaukee's participation in revenues from royalties, licensing, advertisement, and sponsorships during the reporting period to gain an understanding of the relevant terms and conditions. Also, compare and agree the related revenues to the SRE and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for royalties, licensing, advertisement and sponsorships was less than 4% of total revenue recorded on the SRE.

20. **Sports Camp Revenues.** The NCAA agreed-upon procedures guidelines require that we obtain an understanding of UW-Milwaukee's methodology for conducting camps and recording revenues. For a sample of individual camp participant cash receipts from the schedule of sports-camp participants, agree each selection to the institution's general ledger and/or the statement, and recalculate totals.

The Athletics Department did not record any sports camp revenues on the SRE.

21. **Athletics Restricted Endowment and Investments Income.** The NCAA agreed-upon procedures guidelines require that we obtain and inspect endowment agreements (if any) to gain an understanding of the relevant terms and conditions. Also, compare and agree the classification and use of endowment and investment income reported in the SRE during the reporting period to the uses of income deferred within the related endowment agreement and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for athletics restricted endowment and investments income was less than 4% of total revenue recorded on the SRE.

22. **Other Operating Revenue.** The NCAA agreed-upon procedures guidelines require that we perform minimum agreed-upon procedures referenced for all revenue in Procedure #2 above and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for other operating revenue was less than 4% of total revenue recorded on the SRE.

23. **Bowl Revenues.** The NCAA agreed-upon procedures guidelines require we obtain and inspect agreements related to UW-Milwaukee's participation in revenues from post-season bowl games during the reporting period to gain an understanding of the relevant terms and conditions. Also, the agreed-upon procedures require that we compare and agree the related revenues to UW-Milwaukee's general ledger and the SRE and recalculate totals.

The Athletics Department did not record any bowl revenues on the SRE.

Procedures Related to Expenses

24. **Athletic Student Aid.** Selected a sample of 60 student-athletes from the listing of student aid recipients during the reporting period. The sample was selected from data captured through the creation of a squad list from each sponsored sport. Obtained individual student account detail for each athlete selected and performed a check of each student-athlete selected to ensure their information was reported accurately in the NCAA's Compliance Assistant (CA) software. For those student-athletes selected, traced to corresponding amounts recorded on Athletics supporting schedules. We recalculated totals for each sport and overall in the SRE.

No material exceptions were noted as a result of these procedures.

25. **Guarantees.** The NCAA agreed-upon procedures guidelines require that we obtain and inspect visiting institution's away-game settlement reports received by UW-Milwaukee for a sample of games during the reporting period and agree related expenses to UW-Milwaukee's general ledger and/or the statement and recalculate totals. Also, the NCAA agreed-upon procedures require that we obtain and inspect contractual agreements pertaining to expenses recorded by the institution from guaranteed contests during the reporting period, compare and agree related amounts expensed by UW-Milwaukee in the institution's general ledger and/or the statement, and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for guarantees was less than 4% of total expenses recorded on the SRE.

26. **Coaching Salaries, Benefits and Bonuses Paid by the University and Related Entities.** Obtained and inspected a listing of coaches employed by UW-Milwaukee and related entities during the reporting period. Selected a sample of four coaches' contracts that included men's and women's basketball from the listing. Compared and agreed the financial terms and conditions of each selection to the related coaching salaries, benefits, and bonuses recorded by UW-Milwaukee and related entities in the statement during the reporting period. Obtained and inspected payroll summaries for the reporting year for each selection. Compared and agreed payroll summaries from the reporting period to the related coaching salaries, benefits, and bonuses paid by UW-Milwaukee and related entities expense recorded by the institution in the SRE. Compared and agreed the totals recorded to any employment contracts executed for the sample selected, and recalculated totals.

No material exceptions were noted as a result of these procedures.

27. **Coaching Salaries, Benefits and Bonuses paid by a Third Party.** The NCAA agreed-upon procedures guidelines require that we (1) obtain and inspect a listing of coaches employed by third parties during the reporting period; (2) select a sample of coaches' contracts that must include men's and women's basketball from the listing; (3) compare and agree the financial terms and conditions of each selection to the related coaching salaries, benefits, and bonuses paid by a third party and recorded by UW-Milwaukee in the statement during the reporting period; (4) obtain and inspect reporting period payroll summary registers for each selection; and (5) compare and agree related payroll summary register to coaching salaries, benefits, and bonuses paid by a third party to expenses recorded by UW-Milwaukee in the SRE during the reporting period, and recalculate totals.

The Athletics Department did not record any coaching salaries, benefits and bonuses paid by a third party on the SRE.

28. **Support Staff/Administrative Compensation, Benefits and Bonuses Paid by the University and Related Entities.** Selected a sample of two support staff/administrative personnel employed by UW-Milwaukee and related entities during the reporting period. Obtained and inspected reporting period payroll summaries for each selection. Compared and agreed related summaries to the related support staff/administrative compensation, benefits, and bonuses paid by UW-Milwaukee and related entities expense recorded by UW-Milwaukee on the SRE, and recalculated totals.

No material exceptions were noted as a result of these procedures.

29. **Support Staff/Administrative Compensation, Benefits and Bonuses Paid by a Third Party.** The NCAA agreed-upon procedures guidelines require that we select a sample of support staff/administrative personnel employed by third parties during the reporting period. The agreed-upon procedures also require that we obtain and inspect reporting period payroll summaries. For each selection: compare and agree related payroll summaries to the related other compensation, benefits, and bonuses expenses recorded by UW-Milwaukee on the SRE and recalculate totals.

The Athletics Department did not record any support staff/administrative compensation, benefits and bonuses paid by a third party on the SRE.

30. **Severance Payments.** The NCAA agreed-upon procedures guidelines require that we select a sample of employees receiving severance payments by the institution during the reporting period and agree each severance payment to the related termination letter or employment contract and recalculate totals.

The Athletics Department did not record any severance payments on the SRE.

31. **Recruiting.** The NCAA agreed-upon procedures guidelines require that we obtain and document an understanding of UW-Milwaukee's recruiting expense policies, and that we compare and agree to existing institutional and NCAA-related policies. It also requires that we obtain general ledger detail and compare it to total expenses reported and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for recruiting was less than 4% of total expenses recorded on the SRE.

32. **Team Travel.** Examined 10 expenses/transactions to obtain and document an understanding of UW-Milwaukee's team travel policies. Compared and agreed to existing institutional and NCAA-related policies. Obtained general ledger detail and compared to the total expenses reported, and recalculated totals.

No material exceptions were noted as a result of these procedures.

33. **Sports Equipment, Uniforms and Supplies.** The NCAA agreed-upon procedures guidelines require that we obtain general ledger detail and compare it to the total expenses reported. We are required to select expenses/transactions to validate existence of transactions and accuracy of recording, and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for sports equipment, uniforms and supplies was less than 4% of total expenses recorded on the SRE.

34. **Game Expenses.** The NCAA agreed-upon procedures guidelines require that we obtain general ledger detail and compare to the total expenses reported. Also, we are required to select transactions to validate existence of transactions and accuracy of recording and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for game expenses was less than 4% of total expenses recorded on the SRE.

35. **Fund Raising, Marketing and Promotion.** The NCAA agreed-upon procedures guidelines require that we obtain general ledger detail and compare to total expenses reported. Also, agreed-upon procedures require that we select transactions to validate existence of transactions and accuracy of recording, and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for fund raising, marketing and promotion was less than 4% of total expenses recorded on the SRE.

36. **Sports Camp Expenses.** The NCAA agreed-upon procedures guidelines require that we obtain general ledger detail and compare to the total expenses reported. Also, agreed-upon procedures require that we select transactions to validate existence of transactions and accuracy of recording, and recalculate totals.

The Athletics Department did not record any sports camp expenses on the SRE.

37. **Spirit Groups.** The NCAA agreed-upon procedures guidelines require that we obtain general ledger detail and compare to the total expenses reported. It also requires that we select transactions to validate existence of transactions and accuracy of recording and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for spirit groups was less than 4% of total expenses recorded on the SRE.

38. **Athletics Facilities Debt Service, Leases and Rental Fees.** The NCAA agreed-upon procedures guidelines require that we obtain a listing of debt service schedules, lease payments, and rental payments for athletic facilities for the reporting year, compare a sample of facility payments, including the top two highest facility payments, to additional supporting documentation (e.g., debt financing agreements, leases, and rental agreements), compare amounts recorded to amounts listed in the general ledger detail, and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for athletics facilities debt service, leases and rental fees was less than 4% of total expenses recorded on the SRE.

39. **Direct Overhead and Administrative Expenses.** The NCAA agreed-upon procedures guidelines require that we obtain general ledger detail and compare to the total expenses reported and that we select transactions to validate existence of transactions and accuracy of recording and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for direct overhead and administrative expenses was less than 4% of total expenses recorded on the SRE.

40. **Indirect Institutional Support.** The NCAA agreed-upon procedures guidelines require that we compare the indirect institutional support recorded by the institution during the reporting period with expense payments, cost allocation detail, and other corroborative supporting documentation, and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for indirect institutional support was less than 4% of total expenses recorded on the SRE.

41. **Medical Expenses and Insurance.** The NCAA agreed-upon procedures guidelines require that we obtain general ledger detail and compare to the total expenses reported, and that we select a sample of transactions to validate existence of transactions and accuracy of recording and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for medical expenses and insurance was less than 4% of total expenses recorded on the SRE.

42. **Memberships and Dues.** The NCAA agreed-upon procedures guidelines require that we obtain general ledger detail and compare to the total expenses reported. Also, select transactions to validate existence of transactions and accuracy of recording, and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for memberships and dues was less than 4% of total expenses recorded on the SRE.

43. **Student-Athlete Meals (Non-Travel).** The NCAA agreed-upon procedures guidelines require that we obtain general ledger detail and compare to the total expenses reported. Also, agreed-upon procedures require that we select a sample of transactions to validate existence of transactions and accuracy of recording, and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for student-athlete meals (non-travel) was less than 4% of total expenses recorded on the SRE.

44. **Other Operating Expenses and Transfers to Institution.** The NCAA agreed-upon procedures guidelines require that we obtain general ledger detail and compare to the total expenses reported on the SRE to validate existence of transactions and accuracy of recording and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for other operating expenses and transfers to institution was less than 4% of total expenses recorded on the SRE.

45. **Bowl Expenses; and Bowl Expenses – Coaching Compensation/Bonuses.** The NCAA agreed-upon procedures guidelines require that we obtain general ledger detail and compare to the total expenses reported. Also, agreed-upon procedures require that we select a sample of transactions to validate existence of transactions and accuracy of recording, and recalculate totals.

The Athletics Department did not record any bowl expenses on the SRE.

The Athletics Department did not record any bowl expenses – coaching compensation/bonuses on the SRE.

Additional Minimum Agreed-Upon Procedures

46. **For Grants-in-Aid.** Compared and agreed the sports sponsored reported in the NCAA Membership Reporting System to the squad lists of UW-Milwaukee Athletics. The NCAA Membership Financial Reporting System populates the sports from the NCAA Membership Database as they are reported by the University. If there was a discrepancy in the sports sponsored between the NCAA Membership Financial Reporting System and the squad lists, we inquired about the discrepancy and reported the justification in the Agreed-Upon Procedures report.

No material exceptions were noted as a result of these procedures.

47. **For Sports Sponsorship.** We obtained UW-Milwaukee’s “Sports Sponsorship and Demographics Forms Report” for the reporting year and validated that the countable sports reported by the institution met the minimum requirements set in Bylaw 20.9.6.3 for the number of contests and the number of participants in each contest that is counted toward meeting the minimum-contest requirement.

We ensured that UW-Milwaukee has properly reported the sports as countable for revenue distribution purposes within the NCAA Membership Financial Reporting System.

No material exceptions were noted as a result of these procedures.

48. **For Pell Grants.** Agreed the total number of Division I student-athletes who, during the academic year, received a Pell Grant award (e.g., Pell Grant recipients on Full Athletic Aid, Pell Grant recipients on Partial Athletic Aid, and Pell Grant recipients with no Athletic Aid) and the total value of these Pell Grants reported in the NCAA Membership Financial Reporting System to a report, generated out of the institution’s financial aid records, of all student-athlete Pell Grants.

No material exceptions were noted as a result of these procedures.

Minimum Agreed-Upon Procedures Program for Other Reporting Items

49. **Excess Transfers to Institution and Conference Realignment Expenses.** The NCAA agreed-upon procedures guidelines require that we obtain general ledger detail and compare to the total expenses reported. Also, agreed-upon procedures require that we select a sample of transactions to validate existence of transactions and accuracy of recording, and recalculate totals.

The Athletics Department did not record any excess transfers to institution or conference realignment expenses on the SRE.

50. **Total Athletics-Related Debt.** Obtained repayment schedules for all outstanding intercollegiate athletics debt during the reporting period. Recalculated annual maturities (consisting of principal and interest) provided in the schedules obtained. Agreed the total annual maturities and total outstanding athletics-related debt to supporting documentation and the institution’s general ledger, as applicable.

The amount of “Total Athletics-Related Debt” on line 52 of the SRE (\$6,470,317) represents the outstanding bonds payable on the Klotsche Center, a facility used by the Athletics Department (generally without rental fees or chargebacks). However, payment of interest and principal on these bonds is not the responsibility of the Athletics Department, and a separate segregated fee is used to fund the debt service. Also, the amount of “Total Athletics-Related Debt” on line 52 does not include the cumulative deficit in the Athletics Department in Fund 128 as of June 30, 2020 (\$7,991,253). See also Note 4 in *Attachment D*.

No material exceptions were noted as a result of these procedures.

51. **Total Institutional Debt.** Agreed the total outstanding institutional debt to supporting documentation and the institution’s audited financial statements, if available, or the institution’s general ledger.

No material exceptions were noted as a result of these procedures.

52. **Value of Athletics Dedicated Endowments.** Obtained a schedule of all athletics dedicated endowments maintained by Athletics, the institution, and affiliated organizations. Agreed the fair market value in the schedule(s) to supporting documentation, the general ledger(s), and audited financial statements, if available.

No material exceptions were noted as a result of these procedures.

53. **Value of Institutional Endowments.** Agreed the total fair market value of institutional endowments to supporting documentation, the institution's general ledger, and/or audited financial statements, if available.

No material exceptions were noted as a result of these procedures.

54. **Total Athletics-Related Capital Expenditures.** Obtained a schedule of athletics-related capital expenditures made by Athletics, the institution, and affiliated organizations during the reporting period. Obtained general ledger detail and compared to the total expenses reported. Selected a sample of transactions to validate existence of transactions and accuracy of recording, and recalculated totals.

The amount of "Total Athletics-Related Capital Expenditures" on line 56 of the SRE (\$14,160) is not included, or accounted for, elsewhere in the "Expenses" section of the SRE (as permitted by the *NCAA 2020 Agreed-Upon Procedures Guidelines*, revised April 21, 2020).

No material exceptions were noted as a result of these procedures.

ATTACHMENT B: STATEMENT OF REVENUES AND EXPENSES

UNIVERSITY OF WISCONSIN-MILWAUKEE ATHLETICS DEPARTMENT
STATEMENT OF REVENUES AND EXPENSES
FOR THE YEAR ENDED JUNE 30, 2020
(Unaudited)

<u>REVENUES:</u>	<u>MEN'S BASKETBALL</u>	<u>WOMEN'S BASKETBALL</u>	<u>OTHER SPORTS</u>	<u>NON- PROGRAM SPECIFIC</u>	<u>TOTAL</u>	<u>PRIOR YEAR</u>	<u>VARIANCE</u>
1 Ticket Sales	\$ 107,937	\$ 13,399	\$ 19,513	\$ -	\$ 140,849	\$ 228,348	\$ (87,499)
2 Direct State or Other Government Support	-	-	-	-	-	-	-
3 Student Fees	-	-	-	6,058,944	6,058,944	6,407,231	(348,287)
4 Direct Institutional Support	309,074	281,494	3,310,983	551,433	4,452,984	6,340,730	(1,887,746)
5 Less - Transfers to Institution	-	-	-	-	-	(180,248)	180,248
6 Indirect Institutional Support	-	-	-	404,000	404,000	384,000	20,000
6A Indirect Institutional Support - Athletic Facilities Debt Service, Lease and Rental Fees	-	-	-	226,474	226,474	269,428	(42,954)
7 Guarantees	211,645	63,000	60,250	-	334,895	307,545	27,350
8 Contributions	44,532	38,564	115,419	763,537	962,052	965,185	(3,133)
9 In-Kind	-	-	-	-	-	-	-
10 Compensation and Benefits Provided by a Third Party	-	-	-	-	-	-	-
11 Media Rights	-	-	-	-	-	-	-
12 NCAA Distributions	-	-	8,400	239,843	248,243	402,790	(154,547)
13 Conference Distributions (Non-Media and Non-Bowl)	15,000	-	3,874	109,157	128,031	155,853	(27,822)
13A Conference Distributions of Bowl Generated Revenue	-	-	-	-	-	-	-
14 Program, Novelty, Parking and Concessions Sales	-	-	-	6,522	6,522	577	5,945
15 Royalties, Licensing, Advertisement and Sponsorships	18,000	7,700	26,959	419,648	472,307	522,590	(50,283)
16 Sports Camp Revenues	-	-	-	-	-	-	-
17 Athletics Restricted Endowment and Investments Income	-	-	6,364	56,337	62,701	-	62,701
18 Other Operating Revenue	-	-	1,260	21,068	22,328	22,455	(127)
19 Bowl Revenues	-	-	-	-	-	-	-
Total Operating Revenue	706,188	404,157	3,553,022	8,856,963	13,520,330	15,826,484	(2,306,154)
EXPENSES:							
20 Athletic Student Aid	463,827	441,652	3,506,434	-	4,411,913	4,581,691	(169,778)
21 Guarantees	39,000	16,700	13,200	-	68,900	168,263	(99,363)
22 Coaching Salaries, Benefits and Bonuses Paid by the University and Related Entities	785,470	428,710	1,245,818	-	2,459,998	2,435,776	24,222
23 Coaching Salaries, Benefits and Bonuses Paid by a Third Party	-	-	-	-	-	-	-
24 Support Staff/Administrative Compensation, Benefits and Bonuses Paid by the University and Related Entities	74,492	89,309	8,979	2,128,933	2,301,713	2,325,535	(23,822)
25 Support Staff/Administrative Compensation, Benefits and Bonuses Paid by Third Party	-	-	-	-	-	-	-
26 Severance Payments	-	-	-	-	-	-	-
27 Recruiting	60,562	36,454	41,079	-	138,095	181,469	(43,374)
28 Team Travel	284,607	177,379	514,817	-	976,803	1,210,358	(233,555)
29 Sports Equipment, Uniforms and Supplies	57,036	37,609	206,478	-	301,123	338,182	(37,059)
30 Game Expenses	154,931	97,158	65,719	-	317,808	274,270	43,538
31 Fund Raising, Marketing and Promotion	77,200	16,796	11,888	253,615	359,499	627,216	(267,717)
32 Sport Camp Expenses	-	-	-	-	-	-	-
33 Spirit Groups	22,425	15,000	-	44,593	82,018	93,166	(11,148)
34 Athletic Facilities Debt Service, Leases and Rental Fees	180,000	-	19,000	226,474	425,474	462,356	(36,882)
35 Direct Overhead and Administrative Expenses	16,644	8,344	20,819	414,434	460,241	545,520	(85,279)
36 Indirect Institutional Support	-	-	-	404,000	404,000	384,000	20,000
37 Medical Expenses and Insurance	4,000	2,000	2,000	327,963	335,963	276,979	58,984
38 Memberships and Dues	660	1,546	3,640	7,820	13,666	15,258	(1,592)
39 Student-Athlete Meals (Non-Travel)	46,741	13,688	6,180	15,755	82,364	101,167	(18,803)
40 Other Operating Expenses	62,659	26,050	46,794	108,521	244,024	388,733	(144,709)
41 Bowl Expenses	-	-	-	-	-	-	-
41A Bowl Expenses - Coaching Compensation/Bonuses	-	-	-	-	-	-	-
Total Operating Expenses	2,330,254	1,408,395	5,712,845	3,932,108	13,383,602	14,409,939	(1,026,337)
EXCESS (DEFICIENCY) OF REVENUES OVER (UNDER) EXPENSES	\$ (1,624,066)	\$ (1,004,238)	\$ (2,159,823)	\$ 4,924,855	\$ 136,728	\$ 1,416,545	\$ (1,279,817)

ATTACHMENT B: STATEMENT OF REVENUES AND EXPENSES – CONTINUED

Additional Information:

	<u>TOTAL</u>	<u>PRIOR</u>	<u>VARIANCE</u>
	\$	\$	\$
50 Excess Transfers to Institution	-	-	-
51 Conference Realignment Expenses	-	-	-
52 Total Athletics-Related Debt	6,470,317	7,471,531	(1,001,214)
53 Total Institutional Debt	93,705,643	73,633,863	20,071,780
54 Value of Athletics Dedicated Endowments	952,807	895,544	57,263
55 Value of Institutional Endowments	173,161,578	185,464,423	(12,302,845)
56 Total Athletics-Related Capital Expenditures	14,160	18,104	(3,944)

FOOTNOTES TO STATEMENT OF REVENUES AND EXPENSES - See Attachment D.

Note: The NCAA does not use category numbers 42 through 49 for purposes of the Statement of Revenues and Expenses.

**ATTACHMENT C: COMPARISONS OF MAJOR REVENUE AND EXPENSE CATEGORIES
FOR THE YEAR ENDED JUNE 30, 2020**

NCAA Agreed-Upon Procedures require the independent auditor to:

- a) Compare each major revenue account over 10% of the total revenues to prior period amounts and budget estimates. Obtain and document an understanding of any variations over 10%. Report the analysis as a supplement to the final agreed-upon procedures report.

Based upon the above-stated criteria, the following revenue account variance from the prior period amount required an explanation:

NCAA Category	19-20 Amount	Prior Year Amount	Variance	Explanation
#4 Direct Institutional Support	\$4,452,984	\$6,340,730	\$(1,887,746)	In FY 2019, the institution contributed \$1,890,618 toward the Athletics Department cumulative deficit, which accumulated for several years prior to FY 2015. The engagement requires the reporting of this contribution, and the institution was not able to make a contribution in FY 2020.

- b) Compare each major expense account over 10% of the total expenses to prior period amounts and budget estimates. Obtain and document an understanding of any variations over 10%. Report the analysis as a supplement to the final agreed-upon procedures report.

Based upon the above-stated criteria, no expense variances required an explanation.

**ATTACHMENT D: NOTES TO STATEMENT OF REVENUES AND EXPENSES
FOR THE YEAR ENDED JUNE 30, 2020**

Note 1: Summary of Significant Accounting Policies

UW-Milwaukee uses a fund accounting system of financial reporting. The main premise of fund accounting is to facilitate the stewardship of financial resources and ensure that these resources are budgeted, received, and expended in compliance with legal requirements. UW-Milwaukee has established policies and procedures to ensure that all University assets, including those in the custody of Athletics, are appropriately acquired, utilized, and disposed.

The SRE has been prepared on the budgetary basis of accounting, which is a comprehensive basis of accounting other than generally accepted accounting principles (GAAP). The budgetary basis for the UW-Milwaukee Athletics Department represents the accounting principles used in managing its operations in accordance with its budgetary procedures. The Government Accounting Standards Board establishes GAAP for states and local governmental units, including the UW-Milwaukee Athletics Department. Two of the most significant differences between the budgetary basis and GAAP (full accrual basis) are as follows:

- Capital asset acquisitions funded by revenues are reported as expenditures rather than as capital assets.
- Depreciation on capital assets is not reported.

The format for the SRE is based upon the prescribed format of the NCAA.

Note 2: Reporting Entity

The UW-Milwaukee Athletics Department is responsible for intercollegiate sports programs of the University. The Director of Athletics is responsible for the day-to-day operation of the Athletics Department. The Athletics Department is ultimately governed by the University's Chancellor through authority delegated by the Board of Regents of the University of Wisconsin System, which is a unit of the State of Wisconsin.

As of July 1, 2018, as part of the UW Colleges restructure initiative, UW-Milwaukee acquired the UW Colleges campuses located in Waukesha and Washington County. While these branch campuses offer sports, they are considered Club Sports and are not part of the UW-Milwaukee Athletics Department; they are managed by UW-Milwaukee's Division of Student Affairs and Campus Climate.

The SRE includes all functions related to intercollegiate athletics at UW-Milwaukee as well as the accounts of the Athletics Department's sole affiliated/outside organization, the UW-Milwaukee Foundation (an independent 501(c)(3) organization that is the official not-for-profit fundraising corporation for UW-Milwaukee). Contributions are received by the UW-Milwaukee Foundation on behalf of UW-Milwaukee Athletics. Contributions are recognized and recorded on the SRE in the period provided and used by UW-Milwaukee Athletics. Disbursements made from the UW-Milwaukee Foundation on behalf of the Athletics Department to third parties or paid directly to UW-Milwaukee Athletics are recorded on the SRE in the period of disbursement. In FY 2020, the UW-Milwaukee Foundation made payments of \$113,087 directly to third parties and \$848,966 directly to UW-Milwaukee Athletics.

Note 3: Contributions

The UW-Milwaukee Athletics Department receives contributions in support of various intercollegiate sports programs. Donations can be made to the UW-Milwaukee Athletics Department or the UW-Milwaukee Foundation.

Revenue from contributions (line 8 of the SRE) was \$962,052. The UW-Milwaukee Foundation received contributions for the Athletic Department from one donor that constituted greater than 10% of all contributions: \$700,000 was received from one donor. Since line 8 of the SRE recognizes revenue as it is spent, this amount differs from that which was donated during the fiscal year.

Note 4: Total Athletics-Related Debt

The amount of “Total Athletics-Related Debt” on line 52 of the SRE (\$6,470,317) represents the outstanding bonds payable on the Klotsche Center, a facility used by the Athletics Department (generally without rental fees or chargebacks). However, payment of interest and principal on these bonds is not the responsibility of the Athletics Department, and a separate segregated fee is used to fund the debt service. Also, the amount of “Total Athletics-Related Debt” on line 52 does not include the cumulative deficit in the Athletics Department in Fund 128 at June 30, 2020 (\$7,991,253).

The scheduled payments of the general obligation bonds are as follows:

<u>Fiscal Year</u>	<u>Principal</u>	<u>Interest</u>	<u>Total</u>
2021	\$ 1,237,222	\$ 263,520	\$ 1,500,742
2022	1,297,846	201,461	1,499,307
2023	1,434,639	138,801	1,573,440
2024	923,712	84,217	1,007,929
2025	1,246,570	45,162	1,291,732
2026	-	7,520	7,520
2027-2032	330,328	16,968	347,296
Totals	<u>\$ 6,470,317</u>	<u>\$ 757,649</u>	<u>\$ 7,227,966</u>

Note 5: Total Athletics-Related Capital Expenditures

The amount of “Total Athletics-Related Capital Expenditures” on line 56 of the SRE (\$14,160) is not included, or accounted for, elsewhere in the “Expenses” section of the SRE (as permitted by the *NCAA 2020 Agreed-Upon Procedures Guidelines*, revised April 21, 2020).

Note 6: Restricted Revenue

During the year ending June 30, 2017, UW-Milwaukee received \$460,734 from the NCAA as part of its special one-time Division I distribution to directly support student-athletes. Since this distribution is considered restricted with respect to purpose, the entire amount received in FY 2017 (\$460,734) was recognized as revenue in line 12, NCAA distributions, of the SRE during that year. The expense related to this revenue item will be recognized during the year it is spent.

UW-Milwaukee Athletics spends approximately \$92,400 from this distribution each year (starting in FY 2018 and ending in FY 2022). UW-Milwaukee Athletics plans to spend one-fifth of the funds over each of the next two fiscal years, ending in FY 2022. UW-Milwaukee Athletics intends to provide the full cost of attendance to both the men's and women's basketball programs. Full cost of attendance is approximately \$3,300 annually for 28 full scholarship student-athletes.

ATTACHMENT B

ATTACHMENT B: STATEMENT OF REVENUES AND EXPENSES
 UNIVERSITY OF WISCONSIN-MILWAUKEE ATHLETICS DEPARTMENT
 STATEMENT OF REVENUES AND EXPENSES
 FOR THE YEAR ENDED JUNE 30, 2020
 (Unaudited)

REVENUES:	MEN'S	WOMEN'S	OTHER	NON-	TOTAL	PRIOR	VARIANCE
	BASKETBALL	BASKETBALL	SPORTS	PROGRAM			
1 Ticket Sales	\$ 107,937	\$ 13,399	\$ 19,513	\$ -	\$ 140,849	\$ 228,348	\$ (87,499)
2 Direct State or Other Government Support	-	-	-	-	-	-	-
3 Student Fees	-	-	-	6,058,944	6,058,944	6,407,231	(348,287)
4 Direct Institutional Support	309,074	281,494	3,310,983	551,433	4,452,984	6,340,730	(1,887,746)
5 Less - Transfers to Institution	-	-	-	-	-	(180,248)	180,248
6 Indirect Institutional Support	-	-	-	404,000	404,000	384,000	20,000
6A Indirect Institutional Support - Athletic Facilities Debt Service, Lease and Rental Fees	-	-	-	226,474	226,474	269,428	(42,954)
7 Guarantees	211,645	63,000	60,250	-	334,895	307,545	27,350
8 Contributions	44,532	38,564	115,419	763,537	962,052	965,185	(3,133)
9 In-Kind	-	-	-	-	-	-	-
10 Compensation and Benefits Provided by a Third Party	-	-	-	-	-	-	-
11 Media Rights	-	-	-	-	-	-	-
12 NCAA Distributions	-	-	8,400	239,843	248,243	402,790	(154,547)
13 Conference Distributions (Non-Media and Non-Bowl)	15,000	-	3,874	109,157	128,031	155,853	(27,822)
13A Conference Distributions of Bowl Generated Revenue	-	-	-	-	-	-	-
14 Program, Novelty, Parking and Concessions Sales	-	-	-	6,522	6,522	577	5,945
15 Royalties, Licensing, Advertisement and Sponsorships	18,000	7,700	26,959	419,648	472,307	522,590	(50,283)
16 Sports Camp Revenues	-	-	-	-	-	-	-
17 Athletics Restricted Endowment and Investments Income	-	-	6,364	56,337	62,701	-	62,701
18 Other Operating Revenue	-	-	1,260	21,068	22,328	22,455	(127)
19 Bowl Revenues	-	-	-	-	-	-	-
Total Operating Revenue	706,188	404,157	3,553,022	8,856,963	13,520,330	15,826,484	(2,306,154)
EXPENSES:							
20 Athletic Student Aid	463,827	441,652	3,506,434	-	4,411,913	4,581,691	(169,778)
21 Guarantees	39,000	16,700	13,200	-	68,900	168,263	(99,363)
22 Coaching Salaries, Benefits and Bonuses Paid by the University and Related Entities	785,470	428,710	1,245,818	-	2,459,998	2,435,776	24,222
23 Coaching Salaries, Benefits and Bonuses Paid by a Third Party	-	-	-	-	-	-	-
24 Support Staff/Administrative Compensation, Benefits and Bonuses Paid by the University and Related Entities	74,492	89,309	8,979	2,128,933	2,301,713	2,325,535	(23,822)
25 Support Staff/Administrative Compensation, Benefits and Bonuses Paid by Third Party	-	-	-	-	-	-	-
26 Severance Payments	-	-	-	-	-	-	-
27 Recruiting	60,562	36,454	41,079	-	138,095	181,469	(43,374)
28 Team Travel	284,607	177,379	514,817	-	976,803	1,210,358	(233,555)
29 Sports Equipment, Uniforms and Supplies	57,036	37,609	206,478	-	301,123	338,182	(37,059)
30 Game Expenses	154,931	97,158	65,719	-	317,808	274,270	43,538
31 Fund Raising, Marketing and Promotion	77,200	16,796	11,888	253,615	359,499	627,216	(267,717)
32 Sport Camp Expenses	-	-	-	-	-	-	-
33 Spirit Groups	22,425	15,000	-	44,593	82,018	93,166	(11,148)
34 Athletic Facilities Debt Service, Leases and Rental Fees	180,000	-	19,000	226,474	425,474	462,356	(36,882)
35 Direct Overhead and Administrative Expenses	16,644	8,344	20,819	414,434	460,241	545,520	(85,279)
36 Indirect Institutional Support	-	-	-	404,000	404,000	384,000	20,000
37 Medical Expenses and Insurance	4,000	2,000	2,000	327,963	335,963	276,979	58,984
38 Memberships and Dues	660	1,546	3,640	7,820	13,666	15,258	(1,592)
39 Student-Athlete Meals (Non-Travel)	46,741	13,688	6,180	15,755	82,364	101,167	(18,803)
40 Other Operating Expenses	62,659	26,050	46,794	108,521	244,024	388,733	(144,709)
41 Bowl Expenses	-	-	-	-	-	-	-
41A Bowl Expenses - Coaching Compensation/Bonuses	-	-	-	-	-	-	-
Total Operating Expenses	2,330,254	1,408,395	5,712,845	3,932,108	13,383,602	14,409,939	(1,026,337)
EXCESS (DEFICIENCY) OF REVENUES OVER (UNDER) EXPENSES	\$ (1,624,066)	\$ (1,004,238)	\$(2,159,823)	\$ 4,924,855	\$ 136,728	\$ 1,416,545	\$ (1,279,817)

ATTACHMENT B: STATEMENT OF REVENUES AND EXPENSES – CONTINUED

Additional Information:	<u>TOTAL</u>	<u>PRIOR</u> <u>YEAR</u>	<u>VARIANCE</u>
50 Excess Transfers to Institution	\$ -	\$ -	\$ -
51 Conference Realignment Expenses	-	-	-
52 Total Athletics-Related Debt	6,470,317	7,471,531	(1,001,214)
53 Total Institutional Debt	93,705,643	73,633,863	20,071,780
54 Value of Athletics Dedicated Endowments	952,807	895,544	57,263
55 Value of Institutional Endowments	173,161,578	185,464,423	(12,302,845)
56 Total Athletics-Related Capital Expenditures	14,160	18,104	(3,944)

FOOTNOTES TO STATEMENT OF REVENUES AND EXPENSES - See Attachment D.

Note: The NCAA does not use category numbers 42 through 49 for purposes of the Statement of Revenues and Expenses.

**ATTACHMENT C: COMPARISONS OF MAJOR REVENUE AND EXPENSE CATEGORIES
FOR THE YEAR ENDED JUNE 30, 2020**

NCAA Agreed-Upon Procedures require the independent auditor to:

- a) Compare each major revenue account over 10% of the total revenues to prior period amounts and budget estimates. Obtain and document an understanding of any variations over 10%. Report the analysis as a supplement to the final agreed-upon procedures report.

Based upon the above-stated criteria, the following revenue account variance from the prior period amount required an explanation:

NCAA Category	19-20 Amount	Prior Year Amount	Variance	Explanation
#4 Direct Institutional Support	\$4,452,984	\$6,340,730	\$(1,887,746)	In FY 2019, the institution contributed \$1,890,618 toward the Athletics Department cumulative deficit, which accumulated for several years prior to FY 2015. The engagement requires the reporting of this contribution, and the institution was not able to make a contribution in FY 2020.

- b) Compare each major expense account over 10% of the total expenses to prior period amounts and budget estimates. Obtain and document an understanding of any variations over 10%. Report the analysis as a supplement to the final agreed-upon procedures report.

Based upon the above-stated criteria, no expense variances required an explanation.

**ATTACHMENT D: NOTES TO STATEMENT OF REVENUES AND EXPENSES
FOR THE YEAR ENDED JUNE 30, 2020**

Note 1: Summary of Significant Accounting Policies

UW-Milwaukee uses a fund accounting system of financial reporting. The main premise of fund accounting is to facilitate the stewardship of financial resources and ensure that these resources are budgeted, received, and expended in compliance with legal requirements. UW-Milwaukee has established policies and procedures to ensure that all University assets, including those in the custody of Athletics, are appropriately acquired, utilized, and disposed.

The SRE has been prepared on the budgetary basis of accounting, which is a comprehensive basis of accounting other than generally accepted accounting principles (GAAP). The budgetary basis for the UW-Milwaukee Athletics Department represents the accounting principles used in managing its operations in accordance with its budgetary procedures. The Government Accounting Standards Board establishes GAAP for states and local governmental units, including the UW-Milwaukee Athletics Department. Two of the most significant differences between the budgetary basis and GAAP (full accrual basis) are as follows:

- Capital asset acquisitions funded by revenues are reported as expenditures rather than as capital assets.
- Depreciation on capital assets is not reported.

The format for the SRE is based upon the prescribed format of the NCAA.

Note 2: Reporting Entity

The UW-Milwaukee Athletics Department is responsible for intercollegiate sports programs of the University. The Director of Athletics is responsible for the day-to-day operation of the Athletics Department. The Athletics Department is ultimately governed by the University's Chancellor through authority delegated by the Board of Regents of the University of Wisconsin System, which is a unit of the State of Wisconsin.

As of July 1, 2018, as part of the UW Colleges restructure initiative, UW-Milwaukee acquired the UW Colleges campuses located in Waukesha and Washington County. While these branch campuses offer sports, they are considered Club Sports and are not part of the UW-Milwaukee Athletics Department; they are managed by UW-Milwaukee's Division of Student Affairs and Campus Climate.

The SRE includes all functions related to intercollegiate athletics at UW-Milwaukee as well as the accounts of the Athletics Department's sole affiliated/outside organization, the UW-Milwaukee Foundation (an independent 501(c)(3) organization that is the official not-for-profit fundraising corporation for UW-Milwaukee). Contributions are received by the UW-Milwaukee Foundation on behalf of UW-Milwaukee Athletics. Contributions are recognized and recorded on the SRE in the period provided and used by UW-Milwaukee Athletics. Disbursements made from the UW-Milwaukee Foundation on behalf of the Athletics Department to third parties or paid directly to UW-Milwaukee Athletics are recorded on the SRE in the period of disbursement. In FY 2020, the UW-Milwaukee Foundation made payments of \$113,087 directly to third parties and \$848,966 directly to UW-Milwaukee Athletics.

Note 3: Contributions

The UW-Milwaukee Athletics Department receives contributions in support of various intercollegiate sports programs. Donations can be made to the UW-Milwaukee Athletics Department or the UW-Milwaukee Foundation.

Revenue from contributions (line 8 of the SRE) was \$962,052. The UW-Milwaukee Foundation received contributions for the Athletic Department from one donor that constituted greater than 10% of all contributions: \$700,000 was received from one donor. Since line 8 of the SRE recognizes revenue as it is spent, this amount differs from that which was donated during the fiscal year.

Note 4: Total Athletics-Related Debt

The amount of “Total Athletics-Related Debt” on line 52 of the SRE (\$6,470,317) represents the outstanding bonds payable on the Klotsche Center, a facility used by the Athletics Department (generally without rental fees or chargebacks). However, payment of interest and principal on these bonds is not the responsibility of the Athletics Department, and a separate segregated fee is used to fund the debt service. Also, the amount of “Total Athletics-Related Debt” on line 52 does not include the cumulative deficit in the Athletics Department in Fund 128 at June 30, 2020 (\$7,991,253).

The scheduled payments of the general obligation bonds are as follows:

Fiscal Year	Principal	Interest	Total
2021	\$ 1,237,222	\$ 263,520	\$ 1,500,742
2022	1,297,846	201,461	1,499,307
2023	1,434,639	138,801	1,573,440
2024	923,712	84,217	1,007,929
2025	1,246,570	45,162	1,291,732
2026	-	7,520	7,520
2027-2032	330,328	16,968	347,296
Totals	\$ 6,470,317	\$ 757,649	\$ 7,227,966

Note 5: Total Athletics-Related Capital Expenditures

The amount of “Total Athletics-Related Capital Expenditures” on line 56 of the SRE (\$14,160) is not included, or accounted for, elsewhere in the “Expenses” section of the SRE (as permitted by the *NCAA 2020 Agreed-Upon Procedures Guidelines*, revised April 21, 2020).

Note 6: Restricted Revenue

During the year ending June 30, 2017, UW-Milwaukee received \$460,734 from the NCAA as part of its special one-time Division I distribution to directly support student-athletes. Since this distribution is considered restricted with respect to purpose, the entire amount received in FY 2017 (\$460,734) was recognized as revenue in line 12, NCAA distributions, of the SRE during that year. The expense related to this revenue item will be recognized during the year it is spent.

UW-Milwaukee Athletics spends approximately \$92,400 from this distribution each year (starting in FY 2018 and ending in FY 2022). UW-Milwaukee Athletics plans to spend one-fifth of the funds over each of the next two fiscal years, ending in FY 2022. UW-Milwaukee Athletics intends to provide the full cost of attendance to both the men's and women's basketball programs. Full cost of attendance is approximately \$3,300 annually for 28 full scholarship student-athletes.

ATTACHMENT C

NCAA Division I 2018 - 2019 Academic Progress Rate Institutional Report

Institution: University of Wisconsin-Milwaukee

Date of Report: 01/28/2020

This report is based on NCAA Division I Academic Progress Rate (APR) data submitted by the institution for the 2015-16, 2016-17, 2017-18 and 2018-19 academic years. Institutions are encouraged to forward this report to appropriate institutional personnel on campus.

[Note: All information contained in this report is for four academic years. Some squads may still have small sample sizes within certain sport groups. In accordance with the Family Educational Rights and Privacy Act's (FERPA's) interpretation of federal privacy regulations, institutions should not disclose statistical data contained in this report for cells made up of three or fewer students without student consent.]

Sport	APR			Eligibility/Graduation		Retention	
	Multiyear Rate (N)	Multiyear Rate Upper Confidence Boundary	2018 - 2019 (N)	Multiyear Rate	2018 - 2019	Multiyear Rate	2018 - 2019
Baseball	989 (120)	N/A	992 (32)	970	984	991	1,000
Men's Basketball	983 (49)	N/A	960 (14)	989	1,000	965	920
Men's Cross Country	1,000 (44)	N/A	1,000 (13)	1,000	1,000	1,000	1,000
Men's Soccer	964 (97)	N/A	970 (27)	973	980	956	959
Men's Swimming	971 (127)	N/A	975 (31)	943	967	984	983
Men's Track	996 (143)	N/A	1,000 (37)	989	1,000	993	1,000
Women's Basketball	1,000 (62)	N/A	1,000 (17)	1,000	1,000	1,000	1,000
Women's Cross Country	1,000 (76)	N/A	1,000 (21)	987	1,000	993	1,000
Women's Soccer	986 (123)	N/A	972 (31)	982	964	991	981
Women's Swimming	994 (136)	N/A	976 (32)	989	968	996	984

* Denotes data representing three or fewer student-athletes. In accordance with FERPA's interpretation of federal privacy regulations, institutions should not disclose statistical data contained in this report in cells made up of three or fewer students without student consent.

N/A = No APR or not applicable.

N = Number of student-athletes represented.

¹ Denotes APR that does not subject the team to ineligibility for postseason competition based on institutional, athletics and student resources and the team's Graduation Success Rate.

² Denotes APR that does not subject the team to ineligibility for postseason competition due to the team's demonstrated academic improvement.

³ Denotes APR that does not subject the team to ineligibility for postseason competition due to the squad-size adjustment. The "upper confidence boundary" of a team's APR must be below 930 for that team to be subject to ineligibility for postseason competition. Squad-size adjustment does not apply to teams with four years of APR data and a multiyear cohort of 30 or more student-athletes.

⁴ Denotes APR that does not subject the team to penalties due to the team's demonstrated academic improvement.

⁵ Denotes APR that does not subject the team to penalties due to the squad-size adjustment. The "upper confidence boundary" of a team's APR must be below 930 for that team to be subject to penalties. The squad-size adjustment does not apply to teams with four years of APR data and a multiyear cohort of 30 or more student-athletes.

⁶ Denotes APR based on a one year cohort, not subject to ineligibility for postseason competition and/or any penalties.

⁷ Denotes APR based on a two year cohort, not subject to ineligibility for postseason competition and/or any penalties.

⁸ Denotes that team is not subject to ineligibility for postseason competition and/or penalties based on institutional resources.

⁹ Denotes APR that requires an APP Improvement Plan be created for this sport.

NCAA Division I 2018 - 2019 Academic Progress Rate Institutional Report

Institution: University of Wisconsin-Milwaukee

Date of Report: 01/28/2020

Sport	APR			Eligibility/Graduation		Retention	
	Multiyear Rate (N)	Multiyear Rate Upper Confidence Boundary	2018 - 2019 (N)	Multiyear Rate	2018 - 2019	Multiyear Rate	2018 - 2019
Women's Tennis	1,000 (33)	N/A	1,000 (9)	1,000	1,000	1,000	1,000
Women's Track	992 (168)	N/A	1,000 (46)	982	1,000	991	1,000
Women's Volleyball	990 (56)	N/A	1,000 (13)	990	1,000	980	1,000

* Denotes data representing three or fewer student-athletes. In accordance with FERPA's interpretation of federal privacy regulations, institutions should not disclose statistical data contained in this report in cells made up of three or fewer students without student consent.

N/A = No APR or not applicable.

N = Number of student-athletes represented.

¹ Denotes APR that does not subject the team to ineligibility for postseason competition based on institutional, athletics and student resources and the team's Graduation Success Rate.

² Denotes APR that does not subject the team to ineligibility for postseason competition due to the team's demonstrated academic improvement.

³ Denotes APR that does not subject the team to ineligibility for postseason competition due to the squad-size adjustment. The "upper confidence boundary" of a team's APR must be below 930 for that team to be subject to ineligibility for postseason competition. Squad-size adjustment does not apply to teams with four years of APR data and a multiyear cohort of 30 or more student-athletes.

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NCAA Division I 2017 - 2018 Academic Progress Rate Institutional Report

Institution: University of Wisconsin-Milwaukee

Date of Report: 05/06/2019

This report is based on NCAA Division I Academic Progress Rate (APR) data submitted by the institution for the 2014-15, 2015-16, 2016-17 and 2017-18 academic years.

[Note: All information contained in this report is for four academic years. Some squads may still have small sample sizes within certain sport groups. In accordance with the Family Educational Rights and Privacy Act's (FERPA's) interpretation of federal privacy regulations, data cells containing three or fewer student-athletes have been suppressed and are indicated by an * symbol. The information in this report does not reflect any changes to data made after this date.]

The following chart represents by-sport APR averages for noted subgroups. National aggregates are based on all squads that have certified their academic data as final.

Sport (N)	Multiyear APR	2017 - 2018 APR	Percentile Rank within Sport	Percentile Rank within All Sports	All Division I	Public Institutions	Private Institutions	Football Bowl Subdivision	Football Championship Subdivision	Division I (Non-Football)
By Sport - Men's										
Baseball (297)	987	1,000	70th-80th	50th-60th	976	973	981	977	973	976
Men's Basketball (351)	1,000	1,000	90th-100th	80th-90th	967	963	973	969	963	968
Men's Cross Country (315)	1,000	1,000	90th-100th	80th-90th	982	978	989	986	977	982
Men's Soccer (203)	965	989	10th-20th	10th-20th	979	974	983	977	981	978

¹ Specific information on the playing and practice season penalty may be located in the APP General Summary document located on the Reports tab within the APP data collection portal.

² The team is also subject to a penalty that was previously conditionally waived; however, the team failed to meet the condition and the penalty must now be imposed.

³ Denotes that team is not subject to ineligibility for postseason competition based on institutional, athletics and student resources and the team's Graduation Success Rate.

⁴ Denotes that team is not subject to ineligibility for postseason competition due to the team's demonstrated academic improvement.

⁵ The team's Level One penalty has been waived.

⁶ The team's Level Two penalty has been waived.

⁸ The team is subject to a penalty that was previously conditionally waived; however, the team failed to meet the condition and the penalty must now be imposed.

⁹ The team's Postseason ineligibility has been waived.

¹⁰ The team's penalty waiver request is pending.

¹¹ Denotes that team's APR data is under review.

NCAA Division I 2017 - 2018 Academic Progress Rate Institutional Report

Institution: University of Wisconsin-Milwaukee

Date of Report: 05/06/2019

Sport (N)	Multiyear APR	2017 - 2018 APR	Percentile Rank within Sport	Percentile Rank within All Sports	All Division I	Public Institutions	Private Institutions	Football Bowl Subdivision	Football Championship Subdivision	Division I (Non-Football)
Men's Swimming and Diving (131)	969	984	10th-20th	20th-30th	982	982	981	984	984	977
Men's Track (286)	996	993	90th-100th	70th-80th	973	968	983	974	969	977
By Sport - Women's										
Women's Basketball (349)	987	1,000	50th-60th	50th-60th	982	979	988	983	980	983
Women's Cross Country (348)	993	1,000	50th-60th	60th-70th	989	987	992	992	984	990
Women's Soccer (333)	993	983	60th-70th	60th-70th	989	987	993	991	986	989
Women's Swimming and Diving (194)	1,000	1,000	90th-100th	80th-90th	993	992	993	993	994	992
Women's Tennis (314)	992	1,000	40th-50th	60th-70th	990	989	991	991	989	989
Women's Track (338)	989	1,000	60th-70th	50th-60th	983	980	989	985	978	986
Women's Volleyball (333)	986	980	30th-40th	40th-50th	987	986	991	991	985	986
By Sport - Co-Ed										

¹ Specific information on the playing and practice season penalty may be located in the APP General Summary document located on the Reports tab within the APP data collection portal.

² The team is also subject to a penalty that was previously conditionally waived; however, the team failed to meet the condition and the penalty must now be imposed.

³ Denotes that team is not subject to ineligibility for postseason competition based on institutional, athletics and student resources and the team's Graduation Success Rate.

⁴ Denotes that team is not subject to ineligibility for postseason competition due to the team's demonstrated academic improvement.

⁵ The team's Level One penalty has been waived.

⁶ The team's Level Two penalty has been waived.

⁸ The team is subject to a penalty that was previously conditionally waived; however, the team failed to meet the condition and the penalty must now be imposed.

⁹ The team's Postseason ineligibility has been waived.

¹⁰ The team's penalty waiver request is pending.

¹¹ Denotes that team's APR data is under review.

NCAA Division I 2016 - 2017 Academic Progress Rate Institutional Report

Institution: University of Wisconsin, Milwaukee

Date of Report: 03/18/2019

This report is based on NCAA Division I Academic Progress Rate (APR) data submitted by the institution for the 2013-14, 2014-15, 2015-16 and 2016-17 academic years. Institutions are encouraged to forward this report to appropriate institutional personnel on campus.

[Note: All information contained in this report is for four academic years. Some squads may still have small sample sizes within certain sport groups. In accordance with the Family Educational Rights and Privacy Act's (FERPA's) interpretation of federal privacy regulations, institutions should not disclose statistical data contained in this report for cells made up of three or fewer students without student consent.]

Sport	APR			Eligibility/Graduation		Retention	
	Multiyear Rate (N)	Multiyear Rate Upper Confidence Boundary	2016 - 2017 (N)	Multiyear Rate	2016 - 2017	Multiyear Rate	2016 - 2017
Baseball	976 (117)	N/A	1,000 (29)	948	1,000	987	1,000
Men's Basketball	1,000 (50)	N/A	1,000 (11)	990	1,000	989	1,000
Men's Cross Country	995 (47)	N/A	1,000 (9)	978	1,000	1,000	1,000
Men's Soccer	957 (96)	N/A	955 (24)	966	956	943	953
Men's Swimming	966 (123)	N/A	992 (31)	929	934	983	983
Men's Track	995 (142)	N/A	977 (32)	986	969	993	984
Women's Basketball	981 (58)	N/A	1,000 (15)	991	1,000	971	1,000
Women's Cross Country	993 (71)	N/A	1,000 (18)	971	971	993	1,000
Women's Soccer	998 (112)	N/A	1,000 (29)	995	1,000	1,000	1,000
Women's Swimming	994 (140)	N/A	1,000 (35)	981	1,000	989	1,000

* Denotes data representing three or fewer student-athletes. In accordance with FERPA's interpretation of federal privacy regulations, institutions should not disclose statistical data contained in this report in cells made up of three or fewer students without student consent.

N/A = No APR or not applicable.

N = Number of student-athletes represented.

¹ Denotes APR that does not subject the team to ineligibility for postseason competition based on institutional, athletics and student resources and the team's Graduation Success Rate.

² Denotes APR that does not subject the team to ineligibility for postseason competition due to the team's demonstrated academic improvement.

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⁹ Denotes APR that requires an APP Improvement Plan be created for this sport.

NCAA Division I 2016 - 2017 Academic Progress Rate Institutional Report

Institution: University of Wisconsin, Milwaukee

Date of Report: 03/18/2019

Sport	APR			Eligibility/Graduation		Retention	
	Multiyear Rate (N)	Multiyear Rate Upper Confidence Boundary	2016 - 2017 (N)	Multiyear Rate	2016 - 2017	Multiyear Rate	2016 - 2017
Women's Tennis	993 (38)	N/A	1,000 (9)	1,000	1,000	985	1,000
Women's Track	983 (153)	N/A	981 (41)	960	950	987	975
Women's Volleyball	995 (57)	N/A	1,000 (13)	991	1,000	990	1,000

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ATTACHMENT D

Graduation Success Rate Report

2010 - 2013 Cohorts: University of Wisconsin-Milwaukee

Men's Sports			Women's Sports		
Sport	GSR	Fed Rate	Sport	GSR	Fed Rate
Baseball	83	53	Basketball	92	47
Basketball	83	71	Bowling	-	-
CC/Track	87	79	CC/Track	93	90
Fencing	-	-	Crew/Rowing	-	-
Football	-	-	Fencing	-	-
Golf	-	-	Field Hockey	-	-
Gymnastics	-	-	Golf	-	-
Ice Hockey	-	-	Gymnastics	-	-
Lacrosse	-	-	W. Ice Hockey	-	-
Mixed Rifle	-	-	Lacrosse	-	-
Skiing	-	-	Skiing	-	-
Soccer	71	48	Soccer	96	71
Swimming	96	91	Softball	-	-
Tennis	-	-	Swimming	92	84
Volleyball	-	-	Tennis	100	78
Water Polo	-	-	Volleyball	100	100
Wrestling	-	-	Water Polo	-	-
Men's Non-NCAA Sponsor. Sports	-	-	Women's Non-NCAA Sponsor. Sports	-	-

University of Wisconsin-Milwaukee

FRESHMAN-COHORT GRADUATION RATES

	All Students	Student-Athletes #
2013-14 Graduation Rate	44%	78%
Four-Class Average	45%	74%
Student-Athlete Graduation Success Rate		89%

1. Graduation-Rates Data

a. All Students

	Men				Women				Total			
	2013-14		4-Class		2013-14		4-Class		2013-14		4-Class	
	N	%	N	%	N	%	N	%	N	%	N	%
Am. Ind./AN	7	29	23	30	2	50	19	26	9	33	42	29
Asian	102	44	408	44	108	51	364	49	210	48	772	46
Black	109	23	369	23	171	26	577	25	280	25	946	24
Hispanic	156	29	275	29	170	42	315	40	326	36	590	35
Nat. Haw./PI	1	100	4	25	1	0	8	50	2	50	12	42
N-R Alien	65	35	185	52	27	41	80	54	92	37	265	52
Two or More	64	42	397	34	90	42	525	42	154	42	922	38
Unknown	0	-	5	60	3	67	8	75	3	67	13	69
White	1031	46	4672	47	1094	49	4578	49	2125	48	9250	48
Total	1535	42	6338	44	1666	46	6474	45	3201	44	12812	45

b. Student-Athletes

	Men						Women						Total					
	2013-14		4-Class		GSR		2013-14		4-Class		GSR		2013-14		4-Class		GSR	
	N	%	N	%	N	%	N	%	N	%	N	%	N	%	N	%	N	%
Am. Ind./AN	0	-	0	-	0	-	0	-	3	0	0	-	0	-	3	0	0	-
Asian	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-
Black	0	-	5	80	9	78	5	80	14	79	14	93	5	80	19	79	23	87
Hispanic	1	0	3	0	3	0	0	-	2	50	1	100	1	0	5	20	4	25
Nat. Haw./PI	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-
N-R Alien	0	-	5	20	1	100	1	0	1	0	0	-	1	0	6	17	1	100
Two or More	0	-	0	-	0	-	1	0	3	33	1	100	1	0	3	33	1	100
Unknown	1	0	3	33	6	67	1	100	5	100	5	100	2	50	8	75	11	82
White	17	71	91	75	96	89	19	100	90	82	87	94	36	86	181	78	183	91
Total	19	63	107	69	115	84	27	89	118	78	108	94	46	78	225	74	223	89

c. Student-Athletes by Sport Category

Baseball				Men's Basketball				Men's CC/Track			
% -N				% -N				% -N			
2013-14	4-Class	GSR		2013-14	4-Class	GSR		2013-14	4-Class	GSR	
Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-
Asian	-	-	-	Asian	-	-	-	Asian	-	-	-
Black	-	-	-	Black	-	0-a	75-a	Black	-	100-a	100-a
Hispanic	-	-	-	Hispanic	-	-	-	Hispanic	-	-	-
Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-
N-R Alien	-	-	-	N-R Alien	-	-	-	N-R Alien	-	-	-
Two or More	-	-	-	Two or More	-	-	-	Two or More	-	-	-
Unknown	-	-	100-a	Unknown	-	-	100-a	Unknown	-	-	-
White	0-a	53-d	83-e	White	100-a	83-b	86-b	White	100-a	76-e	85-e
Total	0-a	53-d	83-e	Total	100-a	71-b	83-c	Total	100-a	79-e	87-e
Football				Men's Other							
% -N				% -N							
2013-14	4-Class	GSR		2013-14	4-Class	GSR					
Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-				
Asian	-	-	-	Asian	-	-	-				
Black	-	-	-	Black	-	-	0-a				
Hispanic	-	-	-	Hispanic	0-a	0-a	0-a				
Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-				
N-R Alien	-	-	-	N-R Alien	-	20-a	100-a				
Two or More	-	-	-	Two or More	-	-	-				
Unknown	-	-	-	Unknown	0-a	33-a	50-a				
White	-	-	-	White	75-b	82-e	95-e				
Total	-	-	-	Total	60-b	68-e	83-e				
Women's Basketball				Women's CC/Track				Women's Other			
% -N				% -N				% -N			
2013-14	4-Class	GSR		2013-14	4-Class	GSR		2013-14	4-Class	GSR	
Am. Ind./AN	-	0-a	-	Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-
Asian	-	-	-	Asian	-	-	-	Asian	-	-	-
Black	0-a	40-a	75-a	Black	100-a	100-b	100-b	Black	100-a	100-a	100-a
Hispanic	-	100-a	100-a	Hispanic	-	-	-	Hispanic	-	0-a	-
Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-
N-R Alien	-	-	-	N-R Alien	-	-	-	N-R Alien	0-a	0-a	-
Two or More	0-a	0-a	-	Two or More	-	-	-	Two or More	-	100-a	100-a
Unknown	-	-	-	Unknown	-	100-a	100-a	Unknown	100-a	100-a	100-a
White	100-a	83-b	100-b	White	100-b	85-d	90-d	White	100-b	81-e	95-e
Total	33-a	47-d	92-c	Total	100-c	90-e	93-e	Total	92-c	81-e	95-e

Values for N (a. 1-5, b. 6-10, c. 11-15, d. 16-20, e. greater than 20)

2. Undergraduate-Enrollment Data (All full-time baccalaureate-degree seeking students enrolled 2019-20)

a. All Students	Men	Women	Total	b. Student-athletes #	Men	Women	Total
	N	N	N		N	N	N
Am. Ind./AN	12	41	53	Am. Ind./AN	0	1	1
Asian	574	594	1168	Asian	2	1	3
Black	419	613	1032	Black	18	10	28
Hispanic	981	1118	2099	Hispanic	1	5	6
Nat. Haw./PI	8	10	18	Nat. Haw./PI	2	0	2
N-R Alien	351	171	522	N-R Alien	1	0	1
Two or More	313	420	733	Two or More	3	3	6
Unknown	18	15	33	Unknown	1	4	5
White	5382	6040	11422	White	109	123	232
Total	8058	9022	17080	Total	137	147	284

c. Student-Athletes # By Sports Category

Men	Basketball	Baseball	CC/Track	Football	Other
Am. Ind./AN	0	0	0	0	0
Asian	0	1	0	0	1
Black	12	0	3	0	3
Hispanic	0	0	1	0	0
Nat. Haw./PI	0	1	0	0	1
N-R Alien	0	0	0	0	1
Two or More	1	0	1	0	1
Unknown	0	0	0	0	1
White	0	28	35	0	46
Total	13	30	40	0	54

Women	Basketball	CC/Track	Other
Am. Ind./AN	1	0	0
Asian	0	0	1
Black	4	4	2
Hispanic	0	1	4
Nat. Haw./PI	0	0	0
N-R Alien	0	0	0
Two or More	0	0	3
Unknown	0	1	3
White	11	37	75
Total	16	43	88

#Only student-athletes receiving athletics aid are included in this report.



NCAA DIVISION I GRADUATION RATES INSTITUTION REPORT INFORMATION

Introduction.

This information sheet and the NCAA Division I Graduation Rates Institution Report have been prepared by the NCAA, based on data provided by the institution in compliance with NCAA Bylaw 18.4.2.2.1 (admissions and graduation-rate disclosure) and the federal Student Right-to-Know Act. Please note, the NCAA will make this report public.

The Graduation Rates Institution Report gives graduation information about the most recent six-year graduating class of students and student-athletes who entered as freshmen in 2013-14. The graduation rate (percent) is based on a comparison of the number (N) of students who entered a college or university and the number of those who graduated within six years. For example, if 100 students entered and 60 graduated within six years, the graduation rate is 60 percent.

The Graduation Rates Institution Report provides information about two groups of students:

1. All Students.

All undergraduate students who were enrolled in a full-time program of studies for a baccalaureate degree; and

2. Student-Athletes.

Student-athletes who received athletics aid from the school for any period of time during their entering year. [Note: Athletics aid is a grant, scholarship, tuition waiver or other assistance from a college or university that is awarded on the basis of a student's athletics ability.]

Two different measures of graduation rates are presented in this report: Federal Graduation Rate and NCAA Division I Graduation Success Rate (GSR). The Federal Graduation Rate indicates the percentage of freshmen who entered and received athletics aid during a given academic year who graduated within six years. The GSR adds to the first-time freshmen, those students who entered midyear as well as student-athletes who transferred into an institution and received athletics aid. Both the Federal Graduation Rate and the GSR subtract students from the entering cohort who are considered allowable exclusions (i.e., those who either die or become permanently disabled, those who leave the school to join the armed forces, foreign services or attend a religious mission). In addition, the GSR subtracts those who left the institution prior to graduation, had athletics eligibility remaining and would have been academically eligible to compete had they returned to the institution.

Graduation Rates Report.

The box at the top of the Graduation Rates Institution Report provides the most recent class (i.e., 2013-14) and four-class Federal Graduation Rate (i.e., 2010-11 through 2013-14) for all students and for student-athletes who received athletics aid at this school. Additionally, this box provides GSR data (i.e., 2010-11 through 2013-14) for student-athletes.

1. Graduation- Rates Data.

The second section of the report provides Federal Graduation Rate and GSR data for all students, student-athletes and student-athletes by sport group. **(Note: Pursuant to the Student Right-to-Know Act, anytime a cell containing cohort numbers includes only one or two students, the data in that cell and one other will be suppressed so that no individual can be identified.)**

a. All Students.

This section provides the freshman-cohort graduation rates for all full-time, degree-seeking students by race or ethnic group. It shows the rate for men who entered as freshmen in 2013-14 and the four-class average, which includes those who entered as freshmen in 2010-11, 2011-12, 2012-13 and 2013-14. The same rates are provided for women. The total for 2013-14 is the rate for men and women combined, and the four-class average is for all students who entered in 2010-11, 2011-12, 2012-13 and 2013-14.

b. Student-Athletes.

This section provides the freshman-cohort graduation rates and the GSR for student-athletes in each race and ethnic group who received athletics aid. Information is provided for men and women separately and for all student-athletes.

c. Student-Athletes by Sports Categories.

This section provides the identified graduation rates as in 1-b for each of the eight sports categories. (The small letters indicate the value of N according to the legend at the bottom of 1-c.)

2. Undergraduate-Enrollment Data.

a. All Students.

This section indicates the total number of full-time, undergraduate, baccalaureate, degree-seeking students enrolled for the 2019 fall term and the number of men and women in each racial or ethnic group (not just freshmen).

b. Student-Athletes.

This section identifies how many student-athletes were enrolled and received athletics aid for the 2019-20 academic year, and the number of men and women in each racial or ethnic group.

c. Student-Athletes by Sports Categories. This section provides the enrollment data as identified in 2-b for each of the eight sports categories.

Graduation Success Rate Report

2009 - 2012 Cohorts: University of Wisconsin-Milwaukee

Men's Sports			Women's Sports		
Sport	GSR	Fed Rate	Sport	GSR	Fed Rate
Baseball	71	56	Basketball	91	53
Basketball	75	43	Bowling	-	-
CC/Track	87	74	CC/Track	85	81
Fencing	-	-	Crew/Rowing	-	-
Football	-	-	Fencing	-	-
Golf	-	-	Field Hockey	-	-
Gymnastics	-	-	Golf	-	-
Ice Hockey	-	-	Gymnastics	-	-
Lacrosse	-	-	W. Ice Hockey	-	-
Mixed Rifle	-	-	Lacrosse	-	-
Skiing	-	-	Skiing	-	-
Soccer	73	46	Soccer	88	62
Swimming	88	77	Softball	-	-
Tennis	-	-	Swimming	83	67
Volleyball	-	-	Tennis	100	88
Water Polo	-	-	Volleyball	100	100
Wrestling	-	-	Water Polo	-	-
Men's Non-NCAA Sponsor. Sports	-	-	Women's Non-NCAA Sponsor. Sports	-	-

University of Wisconsin-Milwaukee

FRESHMAN-COHORT GRADUATION RATES

	All Students	Student-Athletes #
2012-13 Graduation Rate	48%	75%
Four-Class Average	45%	67%
Student-Athlete Graduation Success Rate		84%

1. Graduation-Rates Data

a. All Students

	Men				Women				Total			
	2012-13		4-Class		2012-13		4-Class		2012-13		4-Class	
	N	%	N	%	N	%	N	%	N	%	N	%
Am. Ind./AN	5	20	23	30	6	17	26	31	11	18	49	31
Asian	98	51	380	45	73	49	343	45	171	50	723	45
Black	64	28	336	23	133	29	531	24	197	28	867	24
Hispanic	39	23	164	32	45	44	185	34	84	35	349	33
Nat. Haw./PI	0	-	7	0	4	50	8	50	4	50	15	27
N-R Alien	***	***	144	63	***	***	66	59	***	***	210	61
Two or More	133	32	419	33	158	41	520	42	291	37	939	38
Unknown	***	***	8	38	***	***	14	29	***	***	22	32
White	989	51	4983	48	1117	51	4868	48	2106	51	9851	48
Total	1395	48	6464	45	1557	47	6561	45	2952	48	13025	45

b. Student-Athletes

	Men						Women						Total					
	2012-13		4-Class		GSR		2012-13		4-Class		GSR		2012-13		4-Class		GSR	
	N	%	N	%	N	%	N	%	N	%	N	%	N	%	N	%	N	%
Am. Ind./AN	***	***	0	-	0	-	***	***	3	0	0	-	***	***	3	0	0	-
Asian	0	-	***	***	0	-	0	-	***	***	0	-	0	-	***	***	0	-
Black	***	***	7	57	12	75	***	***	13	77	13	85	***	***	20	70	25	80
Hispanic	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***
Nat. Haw./PI	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-
N-R Alien	***	***	5	20	3	100	***	***	0	-	0	-	***	***	5	20	3	100
Two or More	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***
Unknown	***	***	5	20	6	67	***	***	6	67	5	100	***	***	11	45	11	82
White	28	82	92	70	94	82	27	74	100	72	90	88	55	78	192	71	184	85
Total	32	78	113	63	118	80	35	71	126	70	110	88	67	75	239	67	228	84

c. Student-Athletes by Sport Category

Baseball				Men's Basketball				Men's CC/Track			
% - N				% - N				% - N			
2012-13 4-Class GSR				2012-13 4-Class GSR				2012-13 4-Class GSR			
Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-
Asian	-	-	-	Asian	-	-	-	Asian	-	-	-
Black	-	-	-	Black	-	0-a	67-b	Black	100-a	100-a	100-a
Hispanic	-	-	-	Hispanic	-	-	-	Hispanic	-	-	-
Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-
N-R Alien	-	-	-	N-R Alien	-	-	-	N-R Alien	-	-	100-a
Two or More	-	-	-	Two or More	-	-	-	Two or More	-	-	-
Unknown	-	-	100-a	Unknown	-	-	100-a	Unknown	-	-	-
White	75-a	56-d	70-e	White	100-a	75-a	80-a	White	80-b	70-e	85-e
Total	75-a	56-d	71-e	Total	100-a	43-b	75-c	Total	82-c	74-e	87-e
Football				Men's Other							
% - N				% - N							
2012-13 4-Class GSR				2012-13 4-Class GSR							
Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-				
Asian	-	-	-	Asian	-	0-a	-				
Black	-	-	-	Black	-	-	50-a				
Hispanic	-	-	-	Hispanic	0-a	0-a	0-a				
Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-				
N-R Alien	-	-	-	N-R Alien	0-a	20-a	100-a				
Two or More	-	-	-	Two or More	-	100-a	100-a				
Unknown	-	-	-	Unknown	100-a	20-a	50-a				
White	-	-	-	White	85-c	75-e	88-e				
Total	-	-	-	Total	75-d	61-e	80-e				
Women's Basketball				Women's CC/Track				Women's Other			
% - N				% - N				% - N			
2012-13 4-Class GSR				2012-13 4-Class GSR				2012-13 4-Class GSR			
Am. Ind./AN	0-a	0-a	-	Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-
Asian	-	-	-	Asian	-	-	-	Asian	-	-	-
Black	50-a	50-a	67-a	Black	100-a	86-b	88-b	Black	100-a	100-a	100-a
Hispanic	-	100-a	100-a	Hispanic	-	-	-	Hispanic	-	0-a	-
Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-
N-R Alien	-	-	-	N-R Alien	-	-	-	N-R Alien	-	-	-
Two or More	-	0-a	-	Two or More	-	-	-	Two or More	100-a	100-a	100-a
Unknown	-	-	-	Unknown	100-a	100-a	100-a	Unknown	-	33-a	100-a
White	100-a	75-b	100-b	White	67-a	75-d	81-d	White	74-e	71-e	88-e
Total	40-a	53-d	91-c	Total	80-a	81-e	85-e	Total	76-e	70-e	89-e

Values for N (a. 1-5, b. 6-10, c. 11-15, d. 16-20, e. greater than 20)

2. Undergraduate-Enrollment Data (All full-time baccalaureate-degree seeking students enrolled 2018-19)

a. All Students	Men	Women	Total	b. Student-athletes #	Men	Women	Total
	N	N	N		N	N	N
Am. Ind./AN	30	40	70	Am. Ind./AN	2	1	3
Asian	496	528	1024	Asian	3	1	4
Black	521	855	1376	Black	19	9	28
Hispanic	271	314	585	Hispanic	4	5	9
Nat. Haw./PI	9	5	14	Nat. Haw./PI	2	0	2
N-R Alien	448	251	699	N-R Alien	1	1	2
Two or More	1234	1530	2764	Two or More	7	8	15
Unknown	25	17	42	Unknown	4	1	5
White	6021	6750	12771	White	130	134	264
Total	9055	10290	19345	Total	172	160	332

c. Student-Athletes # By Sports Category

Men					
	Basketball	Baseball	CC/Track	Football	Other
Am. Ind./AN	0	0	0	0	2
Asian	0	1	0	0	2
Black	11	0	4	0	4
Hispanic	0	1	1	0	2
Nat. Haw./PI	0	1	0	0	1
N-R Alien	0	0	0	0	1
Two or More	3	1	1	0	2
Unknown	0	0	3	0	1
White	2	37	37	0	54
Total	16	41	46	0	69

Women			
	Basketball	CC/Track	Other
Am. Ind./AN	1	0	0
Asian	0	0	1
Black	4	2	3
Hispanic	0	2	3
Nat. Haw./PI	0	0	0
N-R Alien	0	0	1
Two or More	1	1	6
Unknown	0	1	0
White	11	41	82
Total	17	47	96

#Only student-athletes receiving athletics aid are included in this report.

Graduation Success Rate Report

2008 - 2011 Cohorts: University of Wisconsin, Milwaukee

Men's Sports			Women's Sports		
Sport	GSR	Fed Rate	Sport	GSR	Fed Rate
Baseball	60	47	Basketball	100	64
Basketball	56	44	Bowling	-	-
CC/Track	80	68	CC/Track	80	76
Fencing	-	-	Crew/Rowing	-	-
Football	-	-	Fencing	-	-
Golf	-	-	Field Hockey	-	-
Gymnastics	-	-	Golf	-	-
Ice Hockey	-	-	Gymnastics	-	-
Lacrosse	-	-	W. Ice Hockey	-	-
Mixed Rifle	-	-	Lacrosse	-	-
Skiing	-	-	Skiing	-	-
Soccer	67	36	Soccer	90	60
Swimming	85	75	Softball	-	-
Tennis	-	-	Swimming	81	61
Volleyball	-	-	Tennis	100	100
Water Polo	-	-	Volleyball	90	89
Wrestling	-	-	Water Polo	-	-
Men's Non-NCAA Sponsor. Sports	-	-	Women's Non-NCAA Sponsor. Sports	-	-

University of Wisconsin, Milwaukee

FRESHMAN-COHORT GRADUATION RATES

	All Students	Student-Athletes #
2011-12 Graduation Rate	45%	70%
Four-Class Average	45%	63%
Student-Athlete Graduation Success Rate		78%

1. Graduation-Rates Data

a. All Students

	Men				Women				Total			
	2011-12		4-Class		2011-12		4-Class		2011-12		4-Class	
	N	%	N	%	N	%	N	%	N	%	N	%
Am. Ind./AN	6	17	24	25	5	20	29	34	11	18	53	30
Asian	113	46	370	45	87	46	355	49	200	46	725	47
Black	105	20	327	22	125	18	515	24	230	19	842	23
Hispanic	40	30	171	33	45	24	185	30	85	27	356	32
Nat. Haw./PI	***	***	7	0	***	***	5	40	***	***	12	17
N-R Alien	32	59	93	63	18	67	54	63	50	62	147	63
Two or More	98	32	359	37	153	41	469	43	251	37	828	40
Unknown	***	***	24	13	***	***	25	20	***	***	49	16
White	1092	49	5306	47	1198	48	5134	48	2290	49	10440	48
Total	1489	45	6681	45	1633	45	6771	46	3122	45	13452	45

b. Student-Athletes

	Men						Women						Total						
	2011-12		4-Class		GSR		2011-12		4-Class		GSR		2011-12		4-Class		GSR		
	N	%	N	%	N	%	N	%	N	%	N	%	N	%	N	%	N	%	
Am. Ind./AN	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***
Asian	0	-	***	***	0	-	0	-	***	***	0	-	0	-	***	***	0	-	
Black	***	***	8	38	13	54	***	***	10	80	10	90	***	***	18	61	23	70	
Hispanic	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	
Nat. Haw./PI	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-	
N-R Alien	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	
Two or More	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	
Unknown	***	***	5	0	5	40	***	***	5	60	4	100	***	***	10	30	9	67	
White	21	76	97	63	98	74	22	82	99	70	88	85	43	79	196	66	186	80	
Total	25	68	118	57	122	71	29	72	120	69	105	87	54	70	238	63	227	78	

c. Student-Athletes by Sport Category

Baseball				Men's Basketball				Men's CC/Track			
% - N				% - N				% - N			
2011-12	4-Class	GSR		2011-12	4-Class	GSR		2011-12	4-Class	GSR	
Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-	Am. Ind./AN	-	100-a	100-a
Asian	-	-	-	Asian	-	-	-	Asian	-	-	-
Black	-	-	-	Black	0-a	0-a	43-b	Black	100-a	75-a	75-a
Hispanic	-	-	-	Hispanic	-	-	-	Hispanic	-	-	-
Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-
N-R Alien	-	-	-	N-R Alien	-	-	-	N-R Alien	-	-	100-a
Two or More	-	-	-	Two or More	-	-	-	Two or More	-	-	-
Unknown	-	-	-	Unknown	-	-	100-a	Unknown	-	0-a	0-a
White	67-a	47-d	60-e	White	100-a	67-b	63-b	White	71-b	68-e	83-e
Total	67-a	47-d	60-e	Total	50-a	44-b	56-d	Total	75-b	68-e	80-e
Football				Men's Other							
% - N				% - N							
2011-12	4-Class	GSR		2011-12	4-Class	GSR					
Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-				
Asian	-	-	-	Asian	-	0-a	-				
Black	-	-	-	Black	-	0-a	50-a				
Hispanic	-	-	-	Hispanic	-	0-a	0-a				
Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-				
N-R Alien	-	-	-	N-R Alien	0-a	25-a	100-a				
Two or More	-	-	-	Two or More	-	100-a	100-a				
Unknown	-	-	-	Unknown	-	0-a	33-a				
White	-	-	-	White	80-b	66-e	81-e				
Total	-	-	-	Total	67-c	55-e	76-e				
Women's Basketball				Women's CC/Track				Women's Other			
% - N				% - N				% - N			
2011-12	4-Class	GSR		2011-12	4-Class	GSR		2011-12	4-Class	GSR	
Am. Ind./AN	0-a	0-a	-	Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-
Asian	-	-	-	Asian	-	-	-	Asian	-	-	-
Black	50-a	50-a	100-a	Black	100-a	86-b	88-b	Black	-	100-a	100-a
Hispanic	-	100-a	100-a	Hispanic	-	-	-	Hispanic	0-a	50-a	100-a
Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-
N-R Alien	-	-	-	N-R Alien	-	-	-	N-R Alien	-	100-a	100-a
Two or More	0-a	0-a	-	Two or More	-	-	-	Two or More	-	-	-
Unknown	-	-	-	Unknown	-	100-a	100-a	Unknown	100-a	33-a	100-a
White	100-a	78-b	100-b	White	83-b	70-d	75-d	White	80-c	69-e	87-e
Total	40-a	64-c	100-b	Total	86-b	76-e	80-e	Total	76-d	68-e	88-e

Values for N (a. 1-5, b. 6-10, c. 11-15, d. 16-20, e. greater than 20)

2. Undergraduate-Enrollment Data (All full-time baccalaureate-degree seeking students enrolled 2017-18)

a. All Students	Men N	Women N	Total N	b. Student-athletes #	Men N	Women N	Total N
Am. Ind./AN	38	34	72	Am. Ind./AN	3	2	5
Asian	512	485	997	Asian	3	1	4
Black	551	926	1477	Black	9	9	18
Hispanic	307	341	648	Hispanic	3	3	6
Nat. Haw./PI	8	8	16	Nat. Haw./PI	0	0	0
N-R Alien	490	270	760	N-R Alien	2	1	3
Two or More	1234	1507	2741	Two or More	3	6	9
Unknown	15	15	30	Unknown	1	1	2
White	6285	6856	13141	White	107	126	233
Total	9440	10442	19882	Total	131	149	280

c. Student-Athletes # By Sports Category

Men	Basketball	Baseball	CC/Track	Football	Other
Am. Ind./AN	0	1	0	0	2
Asian	1	1	0	0	1
Black	6	0	3	0	0
Hispanic	0	0	1	0	2
Nat. Haw./PI	0	0	0	0	0
N-R Alien	0	0	0	0	2
Two or More	0	1	0	0	2
Unknown	0	0	1	0	0
White	4	26	30	0	47
Total	11	29	35	0	56

Women	Basketball	CC/Track	Other
Am. Ind./AN	1	1	0
Asian	0	0	1
Black	3	4	2
Hispanic	0	1	2
Nat. Haw./PI	0	0	0
N-R Alien	0	0	1
Two or More	0	3	3
Unknown	0	0	1
White	11	37	78
Total	15	46	88

#Only student-athletes receiving athletics aid are included in this report.

ATTACHMENT E

**Academic Progress Rate Comparison
Horizon League 2018-19**

	Cleveland State	Detroit	Green Bay	Oakland	UIC	IUPUI	PFW	RMU	Wright State	Youngstown State	Northern Kentucky	Milwaukee	UWM Rank	DI Average	Horizon League Ave
Baseball	NA	NA	NA	970	993	NA	964	NA	990	977	991	989	4/7	977	982
Men's Basketball	941	909	985	952	954	980	985	948	990	958	964	983	4/12	966	962
Men's Cross Country	NA	988	963	984	967	996	971	NA	995	970	993	1000	1/10	982	983
Men's Soccer	962	989	993	949	965	991	955	984	970	NA	957	964	7/11	978	971
Men's Swimming	984	NA	975	990	952	977	NA	NA	NA	NA	NA	971	5/6	983	975
Men's Track	NA	958	NA	967	976	993	NA	NA	NA	953	1000	996	2/7	974	978
Women's Basketball	1000	986	996	973	977	995	948	1000	985	990	990	1000	T1/12	983	987
Women's Cross Country	1000	1000	994	1000	1000	997	984	993	1000	987	1000	1000	T1/12	989	996
Women's Soccer	990	984	995	978	991	1000	1000	988	998	957	995	986	9/12	990	989
Women's Swimming	988	NA	983	992	1000	997	NA	NA	NA	991	NA	994	3/7	993	992
Women's Tennis	974	NA	NA	992	1000	1000	NA	NA	NA	973	1000	1000	T1/7	991	991
Women's Track	967	987	NA	978	994	993	967	1000	990	965	1000	992	5/11	984	985
Volleyball	979	NA	1000	1000	980	1000	1000	985	1000	970	989	990	6/11	988	990
All Academic Progress Rate Reports for all NCAA institutions can be found online at: https://web1.ncaa.org/maps/aprRelease.jsp															

**Graduation Success Rate Comparison
Horizon League 2019-2020**

	Cleveland		UIC	Green Bay*		Northern			RMU	Wright	Youngstown		UWM Rank	Horizon	DI (Non-	DI	UWM
	State	Detroit		Bay*	IUPUI	Kentucky	Oakland	PFW		State	State	Milwaukee		League	Football)	Average	Average
Baseball	NA	NA	77	NA	NA	75	88	80	NA	100	81	83	3/7	83	85	85	100
Men's Basketball	92	69	83	94	93	82	82	100	100	100	100	83	T8/12	90	87	84	100
Men's Soccer	80	88	100	86	100	59	88	62	69	68	NA	71	7/11	79	85	86	40
Men's Swimming	100	NA	84	77	64	NA	93	NA	NA	NA	NA	96	2/6	86	89	90	83
Men's CC/Track	NA	82	75	86	94	79	77	44	NR	80	52	87	2/10	76	84	83	100
Women's Basketball	100	85	88	100	100	100	83	92	100	82	91	92	T6/12	93	91	92	100
Women's Soccer	100	100	100	95	100	100	100	94	100	88	91	96	8/11	97	95	94	100
Women's Swimming	86	NA	100	95	89	NA	100	NA	NA	NA	93	92	5/7	94	96	96	100
Women's Tennis	100	NR	100	NR	100	100	100	NR	NR	NR	100	100	T1/10	100	97	96	100
Women's CC/Track	100	96	88	100	82	72	95	81	96	86	72	93	6/12	88	92	91	100
Volleyball	100	NA	100	92	100	100	92	75	100	100	100	100	T1/11	96	94	94	100
Overall GSR	92	91	89	91	91	79	90	80	90	86	80	89	T7/12	87	90	88	
Single Year GSR												92					
Overall Fed Rate	71	73	73	69	67	61	73	59	73	62	62	74	1/12	68	70	68	
Student Body Fed Rate	47	66	59	51	49	42	51	31	51	38	36	45	8/12	47	65	67	
Single Yr Fed Rate	65	75	74	68	77	62	76	61	76	60	64	78	1/12	70	70	69	
Single Yr Student Body	46	67	61	54	52	48	57	39	57	44	41	44	T9/12	51	67	69	
*Cross Country Only																	
NR-not reported																	
The GSR report does not provide the single year GSR data for other institutions. The single year GSR data was gathered from the NCAA IPP application.																	
All Graduation Rate Success Reports for all NCAA institutions can be accessed online at: http://web1.ncaa.org/GSRSearch/exec/homePage																	

ATTACHMENT F



Ms. Jessica Kumke
Milwaukee Athletics
P.O. Box 413
The Pavilion – Room 150
Milwaukee, WI 53201

Dear Jessica:

I have attached a copy of Milwaukee's 2015-16 Horizon League Compliance Review. I apologize for the delay in finalizing the written report after the on-campus visit. As you will see in the pages that follow, there are several strengths in the compliance department but the biggest strength of Milwaukee's in the area of compliance is the institutional personnel's commitment to adhering to NCAA rules. This university-wide commitment to compliance is reflected in many areas, including the collaboration and long-standing cooperation between non-athletic departments, such as Admissions, Financial Aid, University Registrar, and the Athletic Department. In addition, athletic administrators and coaches have a good understanding of the importance of rules compliance and appear committed to staying within the NCAA rules.

You will note that I have indicated a number of suggestions for improvement throughout this report. I want to remind you that all suggestions are voluntary, but are recommended actions that I believe would help improve your compliance department. The area of most importance to address in the short run is supplying the athletics department a secondary contact in the Registrar and Financial aid offices, as all athletic liaisons have lengthy tenures and need to begin training replacements. These tenured professionals are of great assistance and have vast institutional/NCAA knowledge, but the institution will be open to risk should these individuals depart without training a replacement. In addition, a few suggestions for improvement, among others you will read, that are included in the report: 1) Enhance the use of technology in the compliance program to ease the burden on coaches, the Assistant AD for Compliance, and the Compliance Coordinator; 2) Include someone outside of athletics involved in the eligibility certification; and 3) Implement a formal process for tracking facility rentals.

Please feel free to contact me with any questions or concerns you may have. I also want to thank you and your entire staff for your hospitality during the review. Everyone was well prepared, and Jessica did a great job ensuring that I had everything I needed for the review. Again, I am sorry for the delayed sending of this written report.

Sincerely,

A handwritten signature in black ink, appearing to read "Kyle Fairchild".

Kyle Fairchild
Assistant Commissioner for Governance and Compliance

Cc: Amanda Braun, Athletics Director

2015 Horizon League Compliance Review
December 2-3, 2015
University of Wisconsin Milwaukee

A. Governance and Institutional Control

Current Strengths:

1. The Director of Athletics reports directly to the Vice Chancellor for Student Affairs with a dotted line to the Chancellor. The Director of Athletics is a member of the Chancellor's Cabinet. Both reporting lines meet and communicate regularly (i.e. at least monthly on a formal basis) with the Director of Athletics and are kept apprised of all important matters regarding NCAA rules compliance.
2. The Chancellor and Vice Chancellor are notified of all issues that arise in the area of NCAA rules compliance in a timely manner and are involved in all major personnel decisions, including the hiring and firing of high profile coaches.
3. The Faculty Athletic Representative communicates consistently (i.e. two formal meetings per year as well as prior to all in-person League meetings) with the Chancellor. The Director of Athletics meets with the Faculty Athletics Representative one time per month.
4. There is an established Athletic Board that meets one time per month to discuss athletic issues, of which the FAR is a member to provide the outside of athletics perspective on issues.
5. There are established procedures for the reporting and investigation of alleged rules violations. The Assistant AD for Compliance leads the paperwork and follow-up for alleged rules violations. The Chancellor is kept apprised of violations.
6. Job descriptions and coaches' contracts reference compliance with NCAA rules and address consequences of the institution or NCAA discovering a major violation including unethical conduct.
7. The Chancellor is kept apprised of and signs off on NCAA graduation metrics such as APR, GSR and academic success after discussing with the Director of Athletics at a high level.
8. A missed class policy specific to athletes is in place that is signed by the FAR. In addition, early registration for athletics minimizes missed class time for athletic reasons.

9. The Vice Chancellor for Student Affairs handles athletics budgeting oversight. The Director of Athletics reports to the Faculty Senate each semester on key issues.
10. The athletic department receives outstanding support from the financial aid office admissions office, and university registrar. The liaisons in each of these offices have established excellent working relationships with the Assistant AD for Compliance. The liaisons and athletics department staff members have lengthy tenures at the university; therefore, everyone is comfortable with and adheres to the compliance processes.
11. The athletic department is audited on an annual basis by a group of outside auditors.
12. The FAR is involved at beginning of the year athletics meetings, also meets with athletics monthly. The FAR is also involved on the institution's athletics board.

Suggestion for Improvement:

1. The Assistant AD for Compliance has a dotted line report to the Chancellor, however does not currently meet with or communicate key or confidential institutional compliance issues on a normal basis. Implementing a more uniform or consistent communication line or meeting structure would provide the institution further protection from an NCAA institutional control violation.
2. The NCAA is requiring more monitoring in compliance than ever before. Milwaukee has tenured, long-standing distinct individuals in campus departments such as the registrar and financial aid that deal with student-athlete eligibility and scholarships. A backup individual liaison to athletics in each of these departments is necessary in order to insulate athletics from risk in the event of an immediate departure or extended time period to train a new liaison.

B. Eligibility Certification

Current Strengths:

1. Student-athletes have an enrollment limit placed on their account the Friday before classes start each semester to ensure that student-athletes cannot drop below full-time status of 12 hours. A weekly full-time credit report is run through the Registrar's office.
2. The Registrar has access to Compliance Assistant software, rolls over the eligibility data in the software each year, and enters student-athlete data for

- eligibility based on the student-athlete list provided by the Assistant AD for Compliance.
3. Transfer student-athlete eligibility is handled by a specific transfer credit team. This allows for determinations on what credits are transferrable to be determined by experts instead of solely someone in athletics.
 4. The Registrar is the final reviewer of both APR and GSR data after the Assistant AD for Compliance inputs data, which demonstrates institutional control.
 5. The Registrar liaisons attend the NCAA Compliance Rules Seminars and supplement current NCAA rules education through educational sessions with the Assistant AD for Compliance.
 6. The Admissions and Registrar offices do not receive undue pressure from any athletics department staff members or coaches regarding the admissions status of prospective student-athletes or eligibility status of current student-athletes since all communication comes through the Assistant AD for Compliance.
 7. The Admissions and Registrar liaison have athletics responsibilities listed in their job descriptions.
 8. The Admissions office follows the standard process for all special admissions, not just athletes, which includes admission into the University Opportunity Center.

Suggestions for Improvement:

1. The list of student-athletes is sent to the Registrar from the Assistant AD for Compliance without the Registrar being able to check in the institutional system whether or not a student is in fact a student-athlete. If the institutional system had a group indicator for student-athletes to cross check the athletics department list, it would ensure that no student-athletes are missed in full-time credit checks or eligibility certification.
2. Only three individuals, two from the Registrar and the Assistant AD for Compliance, are involved in eligibility certification each semester (i.e. credits hour requirements, percentage towards degree and GPA). Student-athletes' on-campus advisor is involved in certification by completing specific student-athlete degree audits that then are provided to Registrar and athletics. It would be prudent to have an additional person outside of athletics who is familiar with requirements from specific colleges on the eligibility committee. With the FAR not currently involved in eligibility certification, a suggested solution would be to make the FAR this "person outside of athletics."

3. It would be beneficial for the Admissions liaisons to athletics to attend the NCAA Compliance Rules Seminars. It is important for them to supplement their current NCAA rules education and attendance offers a good learning opportunity.
4. The Admissions office should flag all student-athletes once they are admitted so that the Assistant AD for Compliance does not have to continually ask about student-athlete's admission status. If they are flagged, a report could potentially be provided on a normal timeline from admissions to ease the burden on tracking student-athlete admission.

C. Academic Support

Current Strengths:

1. In addition to an assigned primary on-campus advisor, the athletic academic advisors are a secondary advisor to all student-athletes throughout their career at the university. Academic advisors have a manageable work load based on student count.
2. Student-athletes have good access to tutors through tutoring services. All student-athletes have an opportunity to meet with a tutor. Academic advisors, tutors and student instructors are trained and educated on academic fraud as well as NCAA rules by the Assistant AD for Compliance in collaboration with the academic center prior to involvement with student-athletes.
3. Freshman and transfers having to be involved in a study table is a normal protocol in DI, but a good practice that the institution follows, nonetheless. For study tables, the GPA threshold is set per team after consultation with the academic center. Men's and women's basketball having objective based study table goals is a strength of the academic support system, with student-athletes not able to practice if not meeting objectives. Class checks are done for those student-athletes where poor attendance is suspected.
4. With three full-time employees in academics, the athletics department has adequate resources to support student-athletes, including at-risk students. The Accessibility Resource Center is a great resource to assist at-risk student-athletes. The institution has a procedure in place to go through procedures to test student-athletes for learning disabilities. These at-risk student-athletes are tracked with more frequency, and many are tracked in smaller groups by coaching staffs.

Suggestion for Improvement:

1. In addition to the advisors attendance to N4A that already takes place, it would be valuable for the institution to provide the resources for the academic advisor team to attend Regional Rules, especially if it is within driving distance as it takes place in Indianapolis every other year.

D. Financial Aid Monitoring

Current Strengths:

1. The Assistant AD for Compliance and the Director of Financial Aid communicate regularly regarding the aid received by student-athletes, and inform each other of any outside aid a student-athlete receives. Outside aid is not inputted into the system until it is approved on an athletics form and sent to financial aid.
2. The Director of Financial Aid has athletics listed in her job description and is the one primary contact with athletics. The director attends the NCAA Regional Rules Seminar.
3. The Director of Financial Aid is the final sign off for institution squad lists aside from getting coaches to sign prior to filing.
4. The Compliance office and the Financial Aid office have a procedure in place for indicating non-renewal, renewal and reduction. There is a form for change of status that the student-athlete signs indicating understanding of the change. The institution also has a written policy and procedure in place for hearings related to cancellation/non-renewal of financial aid.
5. All student-athletes are part of an NCAA group in the financial aid system, which is the same group used for the Registrar. Not just students on aid are in this group, but all student-athletes with a roster spot. This ensures that the financial aid department system cannot award excess or impermissible aid to student-athletes.
6. The Financial Aid department includes an appeals process policy in the student-athlete handbook.
7. The Director of Financial Aid has attended the NCAA Compliance Rules Seminars in the past. She is educated about NCAA rules and through the seminar has developed a network of other financial aid personnel to contact if he has questions or wants to discuss a topic.

Suggestion for Improvement:

1. The athletics department sends the financial aid appeal letters to the coach, student-athlete, financial aid office, Financial Aid Director and sport administrator. By NCAA rule, notification of the opportunity for an appeal letter must be sent by the institution's regular financial aid authority.

2. Even though financial aid data is confirmed by the Director of Financial Aid, include a checks and balance between the Director of Financial Aid and athletics for the inputting (or after the data has been input) of athletics financial aid into the NCAA Compliance Assistant Software and into the UW system. This check and balance should go both ways; Director of Financial Aid to athletics, and athletics to Director of Financial Aid.
3. Begin to train a secondary financial aid contact with athletics in the event that the primary contact is unavailable or is no longer with the institution, as the primary contact is the sole knowledgeable person about athletics in the financial aid office.

E. Recruitment Monitoring

Current Strengths:

1. Official visit monitoring is very good. Prior to a visit, a preliminary evaluation of transcripts are completed as a best practice. Coaches are required to submit paperwork to the Compliance Coordinator prior to the visit and only receive approval from compliance upon receipt of a signed copy of such paperwork. Student hosts are given written education about the do's and don'ts of hosting, understand that they have to fill out forms to return to coaches and are required to document expenses.
2. Coaches are required to submit recruiting logs in paper form to the compliance office on a monthly basis. All coaches interviewed appeared to have a good system for ensuring the initial permissible call is logged and evaluation days are tracked.
3. For some sports, complimentary tickets for athletes and coaches are handled electronically and each ticket must list name and relationship to ensure tickets are not going to the incorrect individuals (i.e. so tickets are not going to Individuals Associated with Prospects).
4. An academic workup is completed by compliance/academics for each prospect that visits the university. This workup is given to the coach prior to the visit, as well as the prospective student when on campus.
5. Sports with certified events or event restrictions are handled through coaches with education coming from the Assistant AD for Compliance on this topic each year.
6. Coaching staffs had one individual that handled recruiting travel, counting evaluations, and playing/practice season logs, which leads to consistency in travel and evaluation.

Suggestions for Improvement:

1. In order to ease the tracking down of forms and paperwork for the compliance office, instituting some sort of online system for submitting recruiting logs would be beneficial. This would also allow coaches to input data on a timely basis instead of on monthly paperwork, which might ensure better quality of records. This could come through the university's online system or recruiting software.
2. Move to an electronic system for all sports complimentary tickets. Some teams indicated that they are using paper forms with just the name listed, which opens the institution up to providing complimentary tickets to impermissible persons.

F. Playing and Practice Season and Outside Competition

Current Strengths:

1. Coaches complete playing and practice season declarations in a timely manner and submit them to the compliance office for approval. Also, weekly playing and practice logs are submitted to compliance on a monthly basis and student-athletes sign off on the forms.
2. All student-athletes interviewed indicated that their coaches stayed within the permissible number of practice hours. In addition, those sports with in/out of season work understood the breakdown prior to the season.
3. Coaches submit playing and practice declaration, schedules and promotional materials to the compliance office for approval.

Suggestion for Improvements:

1. Add a check/balance to have each sport randomly select student-athletes sign off on playing and practice season forms instead of one or two student-athletes or captains.

G. Rules Education

Current Strengths:

1. There are monthly rules education meetings that all coaches are required to attend. Staff members are educated at department staff meetings. Materials are sent via email as a follow up if an individual misses a meeting.
2. The compliance staff does a nice job of using technology for rules education for coaches, students, and staff.
3. The institution provides NCAA rules education to all new employees. Additional information is given regarding their specific area of employment.

H. Amateurism, Extra Benefits, Boosters and Agents

Current Strengths:

1. Boosters are well educated about extra benefits. Educational materials are provided on institution's website, as well as "do/don't" information is distributed to all boosters once a year.
2. The Assistant AD for Compliance meets with each team at the beginning of each sport season to review NCAA legislation, extra benefits and encourage asking questions when they are unsure about rules related to extra benefits and boosters.
3. Senior student-athletes (or those seeking professional career prior to graduation) are well educated about permissible and non-permissible activities related to agents. Student-athletes who were interviewed said that they don't have much agent interaction, but also that their coaches had talked to them about agents and that they informed their coaches when they received correspondence.
4. Student-athletes do not often receive per diem cash, as teams eat meals using institutional credit cards. But, if student-athletes do receive per diem, they are required to sign for all money received during travel.
5. The Assistant AD for Compliance monitors the amateurism status of all incoming and current student-athletes.
6. The Assistant AD for Compliance has the ability to review and approve all institutional credit card expenditures.
7. All memorabilia, appearance and donation requests go through the compliance office to ensure that each entity receiving a donation is acceptable per NCAA rules. All requests are documented on the institutional form.

Suggestion for Improvements:

1. All outside groups that wish to use to the athletic facilities are required to go through the facilities staff. But, the process seemed somewhat informal. In order to ensure that groups consisting of prospects do not get free or reduced rental of facilities, it would be prudent to formalize the rental approval process within the department even though there is verbal process that is followed for facility approval for outside groups to use the one facility that athletics controls for rental (soccer field).
2. In the future, it would be beneficial for the Assistant AD for Compliance to meet with the boosters at an event to give an in-person presentation on rules education.

3. The equipment management is loosely managed at the institution. Since coaches order equipment, the one point person in athletics should be copied on all orders placed by anyone outside of the administrator that handles equipment.

I. Camps and Clinics

Current Strengths:

1. The compliance office has a detailed policy and procedure, including required forms that must be filled out and returned before and after the camp or clinic, relating to institutional camps and clinics.
2. The compliance office requires institutional staff members, high school coaches and student-athletes to submit an employment form to the Assistant AD for Compliance to review and ensure proper payments.
3. The business manager administers camp funds for all institutional camps. The business manager also pays all employees of the camps only after receiving a camp employment form for each employee.

Suggestion for Improvements:

1. During the review, privatizing camps was mentioned on numerous occasions. The compliance office should be aware of any private camps, or desire to privatize camps, to ensure that the camps are still meeting NCAA institutional camp legislation and sports that do not have the ability to host outside, non-institutional camps are not doing so.
2. The business manager should review and audit the books of all privatized coaches camps, as all camps run by an institution's coach are considered to be institutional camps.

J. Student-Athlete Employment

Current Strengths:

1. Prior to the student-athlete's employment, the employment arrangement must be approved in writing by the Assistant AD for Compliance and each student-athlete signs an employment form.
2. There is appropriate monitoring of non-athletic employment. All student-athletes with jobs are required to have their employer complete paperwork detailing payment and other employment information.

K. Student-Athlete Welfare

Current Strengths:

1. The institution has a way to anonymously report NCAA violations so that student-athletes or administrators do not have to be involved in the reporting if they do not want their name attached to the violation.
2. Men's/women's basketball and SAAC student-athletes take part in media training.
3. All student-athletes interviewed believed that there was someone in the athletics department that they could go to in the event of a major problem/issue related to their team, themselves or the department.
4. The institution partners with the health center for all eating disorder issues in the event that an intervention is necessary.

Suggestion for Improvements:

1. Implement a protocol where all supplements taken or used by student-athletes get approved by sports medicine/athletic training.
2. The institution training staff allows weigh-ins. Weigh-ins are on a more frequent basis for men's teams and only at the beginning and end of each year for women's teams. With the heightened sensitivity surrounding this area of mental illness, the institution needs to monitor this area to ensure that this is going to continue being the proper protocol.

The Milwaukee compliance department has numerous strengths that have been highlighted in this report. The review emphasized the university and athletic department's commitment to compliance. The report also emphasizes the compliance office's relationship and collaboration with other departments on campus. The compliance office has appropriate and effective monitoring procedures and policies in place. We have made some suggestions, mostly minor tweaks, for improvement but overall were pleased with the outcome of the review, as the Assistant AD for Compliance does a nice job managing the athletics compliance program.

ATTACHMENT G



NCAA Oversight Certification Letter

May 14, 2021

Andrew Petersen, Board of Regents President
Tommy Thompson, UW System President
Van Hise Hall
1220 Linden Drive
Madison, WI 53706

Dear Presidents Petersen and Thompson:

In connection with your oversight of UW-Milwaukee's intercollegiate athletics program, we confirm, to the best of our knowledge and belief, the following:

1. We have self-reported any known or suspected violations of NCAA rules and regulations. Reported NCAA violations are listed in the Annual Report submitted on or around 5/14/2021.
2. There are no known or suspected illegal acts or non-compliance with federal, state, or local law by individuals employed by the institution, or individuals who serve as an agent of the institution.
3. There are no investigations/monitoring reviews currently underway by the NCAA, law enforcement officials, or others.
4. We have no knowledge of any allegations of fraud or suspected fraud affecting intercollegiate athletics received in communications from employees, former employees, or others.
5. There have been no modifications to the institution's gender-equity plan from our previous self-study conducted May 1, 2008, and all aspects of the plan have been fully implemented.
6. We have processes in place to ensure background checks are performed on all coaches, assistant coaches, contractors, volunteers, student employees, and other individuals serving as an agent of the institution related to intercollegiate athletics. These processes apply to those involved with offering camps and clinics, and others who participate in activities involving children. Adverse outcomes of background checks are evaluated pursuant to UWM's Criminal Background Check Policy, last updated on February 13, 2013, which is consistent with the Board of Regents Policy 20-19 University of Wisconsin System Criminal Background Check Policy.
7. We understand our fiduciary responsibilities to act in the best interest of the institution even if it conflicts with the immediate interests of the athletic department.
8. The athletic department has not intervened when a student-athlete is being disciplined under regular student conduct rules.

Yours truly,



Mark Mone
Chancellor



Amanda Braun
Athletic Director



Jessica Kumke
Associate AD-Compliance



Cathy Rossi
Deputy Athletic Director

Thursday, June 3, 2021

**UW SYSTEM: FALL 2021 PLANS TO RETURN TO
PRE-PANDEMIC CAMPUS LIFE**

REQUESTED ACTION

No action is required; this item is for information only.

SUMMARY

Across the UW System, we are preparing for a campus experience in fall 2021 that marks a full return to pre-pandemic life. The culture of responsibility exhibited by our students helped us successfully navigate the pandemic during the 2020-21 academic year. It is abundantly clear that students and their parents want a vibrant, in-person academic and social experience, and we owe them as much of it as we can provide.

Three UW chancellors will share their experience and perspectives as they prepare to offer more in-person classes and more face-to-face experiences on campus, from residence halls and dining halls to athletics, concerts, and social gatherings.

Chancellors will also address how the UW System can build on some of lessons learned over the past year. While moving to a largely online platform would not be the first choice for many students and faculty, for example, the experience gained in 2020-21 provided valuable insights into how UW System's online learning experience can be enhanced to potentially expand educational opportunities in the future.

Chancellors also will address the increased attention to expanded student support systems – academic, financial, mental health, and other – for the coming year.

Presenters:

- Deborah Ford, Chancellor, UW-Parkside
- Joe Gow, Chancellor, UW-La Crosse
- Dennis Shields, Chancellor, UW-Platteville

BOARD OF REGENTS OF THE UNIVERSITY OF WISCONSIN SYSTEM

II. All Regents

Friday, June 4, 2021
8:45 a.m.

UW-Milwaukee Student Union Ballroom
2200 E. Kenwood Blvd., Milwaukee
Via WebEx Videoconference

1. Calling of the Roll
2. Declaration of Conflicts
3. Report and approval of actions taken by the Education Committee
4. Report and approval of actions taken by the Capital Planning & Budget Committee
5. Report of the Research, Economic Development, and Innovation Committee
6. Report and approval of actions taken by the Audit Committee
7. Report and approval of actions taken by the Business & Finance Committee
8. Presentation: Board of Regents 2020 Academic Staff Excellence Awards
 - a. Denise Olstinske, College of Social Sciences and Professional Studies, UW-Parkside
 - b. Jenice Meyer, Center for Community Engaged Learning, UW-Superior
 - c. We Are Falcons, UW-River Falls
9. Presentation: Board of Regents 2021 Academic Staff Excellence Awards
 - a. Dr. Malia Jones, Applied Population Laboratory, UW-Madison
 - b. Kimberly Langolf, Risk & Sponsored Programs, UW-Oshkosh
 - c. Project Success, UW-Oshkosh
10. Resolution of Appreciation for Regent Emeritus Eve Hall's service on the UW System Board of Regents
11. Resolution of Appreciation for Regent Carolyn Stanford Taylor's service on the UW System Board of Regents
12. Review and approval of the Board of Regents regular meeting schedule for 2022
13. Annual election of the officers of the Board of Regents

14. Resolution of appreciation to UW-Milwaukee for hosting the June meeting

15. Regent communications, petitions, and memorials

16. Optional Closed Session

17. Adjourn

The closed session agenda for Thursday, June 3, 2021, may also be considered on Friday, June 4, 2021, as the Board's needs may dictate. In addition, the Board may reconvene in open session regarding matters taken up in the closed session, including voting, where applicable.

**APPROVAL OF UW SYSTEM BOARD OF REGENTS
REGULAR MEETING SCHEDULE FOR 2022**

REQUESTED ACTION

Adoption of Resolution 12., adopting the 2022 regular meeting schedule for the Board of Regents.

Resolution 12. That, upon the recommendation of the Executive Director and Corporate Secretary, the Board of Regents adopts the attached regular-meeting schedule for calendar year 2022.

SUMMARY

Regular meeting dates are determined based on Chapter 1, Section 2 of the Bylaws of the Board of Regents. This section states:

“Unless otherwise specially ordered, regular meetings of the Board shall be held at regular intervals six times each calendar year on the Thursday and Friday following the first Monday in a month, except that the August meeting shall be held on the Thursday and Friday following the third Monday. In addition, twice each year, a one-day meeting may be held on the Thursday following the first Monday in a month. Annually, the Board shall adopt a 12-month calendar of meeting dates and locations, after consideration of a related recommendation from the Secretary of the Board...”

The location of the meetings is determined based on Chapter 1, Section 4 of the Bylaws of the Board of Regents. This section states:

“Meetings of the Board shall be held in Madison, except that up to four meetings each year may be held at UW institutions throughout the UW System, on a rotating basis.”

The proposed schedule for 2022 includes two adjustments that will require a suspension of the Board’s bylaws. As detailed in Chapter IV, Section 2 of the Bylaws of the Board of Regents, a suspension of the Board’s bylaws requires an affirmative vote of two-thirds of

the total members of the Board of Regents. The two proposed adjustments include the following:

- The regular meeting of the Board that would normally be scheduled for October 6-7, 2022, would be moved to September 29-30, 2022, out of respect for individuals who intend to observe the Jewish holiday Yom Kippur on October 5, 2022.
- The meetings scheduled for August 18-19, 2022 and December 8-9, 2022 may be hosted by a UW comprehensive campus, rather than the Board of Regents Office, if a host campus can be identified. This would mean that up to six meetings would be held at UW institutions during 2022.

BACKGROUND

Among the responsibilities of the Executive Director and Corporate Secretary is making a recommendation for a calendar of regular meetings.

ATTACHMENTS

- A) UW System Board of Regents Regular Meeting Schedule – 2022

**UW SYSTEM BOARD OF REGENTS
REGULAR MEETING SCHEDULE – 2022**

February 10-11, 2022 – Hosted by UW-Madison

March 10, 2022 – In Madison*

April 7-8, 2022 – Hosted by UW-Stevens Point

June 9-10, 2022 – Hosted by UW-Milwaukee

August 18-19, 2022 – In Madison**

September 29-30, 2022 – Hosted by UW-Eau Claire

November 10, 2022 – In Madison*

December 8-9, 2022 – In Madison**

Commented [J1]: Yom Kippur is October 5; proposal to move meeting from 10/6 and 10/7, to 9/29-9/30 to avoid Wednesday evening travel and social event conflict with the holiday.

*Hosted by the Board of Regents Office

** Location of the meeting to be determined by the Board Secretary