

BOARD OF REGENTS OF THE UNIVERSITY OF WISCONSIN SYSTEM
UW-Milwaukee Union, 2200 East Kenwood Blvd., Milwaukee, Wisconsin
Friday, June 7, 2019

II. 9:00 a.m. All Regents – 2nd floor, Wisconsin Room

1. Calling of the roll
2. Approval of the minutes of the April 4-5, 2019 and May 23, 2019 minutes of the Board of Regents meeting and the April 30, 2019 meeting of the Board's Executive Committee
3. Report of the Vice President of the Board
 - a. Report of the Wisconsin Technical College System Board
 - b. Update on the UW-Stout and UW-Whitewater chancellor search processes
 - c. Additional items that the President may report to the Board
4. Report of the President of the System
 - a. Student spotlight
 - b. News from around the System
 - c. State and Federal policy update
 - d. Shared governance meetings
 - e. Additional items that the System President may report to the Board
5. Report of the Research, Economic Development, and Innovation Committee
6. Report and approval of actions taken by the Audit Committee
7. Report and approval of actions taken during the joint meeting of the Audit and the Business and Finance Committees
8. Report and approval of actions taken by the Business and Finance Committee
9. Report and approval of actions taken by the Education Committee
10. Report and approval of actions taken by the Capital Planning and Budget Committee
11. Presentation of Board of Regents 2019 Academic Staff Excellence Awards
 - a. Elaina Koltz, UW-Green Bay
 - b. Mark Klapatch, UW-River Falls
 - c. UW-Parkside Library
12. Presentation and Discussion: UW-Milwaukee's NCAA Division I Athletics 2019 Annual Report
13. Review and approval of the Board of Regents regular meeting schedule
[Resolution II.13]
14. Resolution of appreciation for Regent Emeritus Ryan Ring's service on the UW System Board of Regents
15. Annual election of officers of the Board of Regents

16. Resolution of appreciation to UW-Milwaukee for hosting the June meeting

17. Regent communications, petitions, and memorials

18. Optional Closed Session

The closed session agenda for Thursday, June 6, 2019, may also be considered on Friday, June 7, 2019, as the Board's needs may dictate. In addition, the Board may reconvene in open session regarding matters taken up in the closed session, including voting, where applicable.

Adjourn

**UW-MILWAUKEE NCAA DIVISION I ATHLETICS
2018-19 REPORT**

EXECUTIVE SUMMARY

BACKGROUND

Collegiate athletics are high-profile activities that exist for the betterment of the student body, student athletes, and the university. As some of the most visible programs at institutions of higher education, athletics provide valuable experiences for student athletes, opportunities to engage the broader community and a public face for the institution. This visibility, as well as the number of student-athlete participants, the members of the public attending athletic events and the substantial compliance requirements, necessitates a high level of oversight and scrutiny by both administrators and governing bodies.

In 2012, the Association of Governing Boards of Colleges and Universities (AGB) issued a report, “Trust, Accountability, and Integrity: Board Responsibilities for Intercollegiate Athletics,” that highlighted the important role of higher education governing boards in providing proper oversight of athletics programs. That same year, the Board of Regents established a reporting framework for institutions that participate in NCAA Division I athletics.

Under the Regents’ Accountability Reporting Framework, each UW System Division I athletics program is required to provide information to the Board annually describing the extent to which the program: 1) adheres to any performance standards implemented by an institution or its athletic board; 2) safeguards the welfare of all students; 3) maintains NCAA compliance; and 4) assures fiscal integrity. The Accountability Reporting Framework provides the Board with consistent information about each NCAA Division I athletics program, enhancing the Board’s ability to effectively monitor the activities of UW System’s Division I athletics programs.

The UW System has three institutions (UW-Madison, UW-Milwaukee, and UW-Green Bay) with NCAA Division I athletics programs. UW-Milwaukee is the second program to present its annual athletics report to the Board of Regents in 2019.

REQUESTED ACTION

This item is for discussion purposes only.

DISCUSSION

The following are some of the highlights of UW-Milwaukee’s Athletics Annual Report:

Program Overview

UW-Milwaukee sponsors 15 varsity sports, providing approximately 300 student-athletes with the opportunity to participate in college athletics. UW-Milwaukee competes in the Horizon League. During the 2018-19 season, UW-Milwaukee claimed a pair of Horizon League titles, with the women's cross-country team and women's soccer team bringing home the championship trophies. For the soccer program, it marked the fourth-straight crown, culminating with a trip to the NCAA Tournament and a 16-2-1 overall record. Troy Fabiano became the first coach to earn four straight Horizon League Coach of the Year honors in over 25 years. Seven players earned league postseason honors. The men's cross-country team earned a runner-up conference title in the fall, the men's soccer team played into the Horizon League Tournament semifinals. Overall, ten teams finished in third place or better in either the regular season or league tournament.

Financial Situation

The UW-Milwaukee Athletics Department's report includes the department's budget, actual revenues, actual expenses, balances, debt balances and payments, and endowments for Fiscal Year (FY) 2018-19. The report includes tables showing revenues and expenses as listed in UW System financial reporting statements and notes an operating surplus of \$623,062 in FY 2017-18. Actual expenses exceeded budgeted revenue in 2017-18 by \$327,579, or 3.8%. Actual expenditures exceeded budgeted expenses by \$45,807 for Fund 128 salaries and fringe benefits and by \$613,903 for Fund 128 operating expenses. The report notes that these tables do not include UWM Foundation deposits and payments, in-kind donations, waivers for tuition, books, room and board, and University indirect facilities and maintenance expenses. The report also includes a copy of the June 30, 2018 NCAA Statement of Revenues and Expenses in the appendix, which captures all UWM Athletics financial transactions. The report also notes a year-end unrestricted fund balance of \$992,255, which is held in the UWM Foundation's Development Revenue, Athletic Director's Excellence, and Panther Club Accounts.

The UW-Milwaukee Athletics Department reported a cumulative deficit of \$10,956,870 for several years up until the end of FY 2014. The Department set a goal of eliminating the structural operating deficit by the end of FY 2017 and then reducing the cumulative deficit over time once the operating budget was stabilized. The Athletics Department eliminated its operating deficit for the first time in FY 2015, two years ahead of its goal and had a surplus in its operating budget in FY 2016 -17. At the same time that it stabilized its operating budget, the Athletics Department was able to reduce its cumulative deficit to \$10,031,870 at the end of FY 2018, and it may be reduced again by the end of FY 2019.

Academics

The UW-Milwaukee Athletics Department uses two benchmarks to measure the academic progress of its student athletes. First, UW-Milwaukee's student athletes are expected to maintain a multi-year Academic Progress Rate (APR) score that is at or higher than all Division I scores for each sport, and second, UW-Milwaukee's student athletes are expected to achieve multi-year

APR scores that are greater than the average scores at other Horizon League institutions in each sport.

Based on APR scores for 2016-17, which is the most recent year for which data is available, neither of the two benchmarks were met. On the first benchmark, ten of the thirteen sports had a multi-year APR that was at or higher than the average Division I score for the sport. This represents a significant improvement from 2015-16 when four of the 13 sports had a multi-year APR score that was at or higher than the average Division I score. On the second benchmark, UW-Milwaukee's multi-year APR scores exceeded the average score for other Horizon League institutions in seven of 13 sports, and tied with the Horizon League in two additional sports. Again, this represents a significant improvement from 2015-16 when APR scores from four sports exceeded the Horizon League average.

The UW-Milwaukee Athletics Department established seven benchmarks to monitor the Department's federal graduation rate (FGR), graduation success rate (GSR) and other measures of academic progress. The FGR is compiled by the US Department of Education and measures the percentage of first-time, full-time freshmen who graduate within six years of entering their original four-year institution. Students who transfer and graduate from another institution are not considered to have graduated. The GSR, on the other hand, takes into consideration whether a student-athlete has transferred and graduated from another institution. UW-Milwaukee's benchmarks are as follows:

- The first benchmark is to maintain a Federal graduation rate that is greater than that of UW-Milwaukee's general student body. The FGR for student athletes for the 2011 freshmen cohort was 70 percent, compared to 45 percent for the general UW-Milwaukee student body.
- The second benchmark is to maintain a student athlete graduation rate of greater than 75 percent. The overall FGR rate for UW-Milwaukee student athletes was 70 percent.
- The third benchmark is to maintain an overall student athlete GSR that is greater than the average for the Horizon League. The Horizon League's average GSR was 85 percent, and UW-Milwaukee's overall student athlete GSR was 78 percent.
- The fourth benchmark is to maintain an overall federal graduation rate that is greater than the average for the Horizon League. The average federal graduation rate for the Horizon League was 66 percent, and UW-Milwaukee's overall federal graduation rate was 63 percent.
- The fifth benchmark is to maintain an overall student athlete graduation rate that is greater than Horizon League institutions' average for each sport. UW-Milwaukee's GSR exceeded the average GSR in the league in three sports, Men's Swimming, Women's Basketball, and Women's Tennis. Men's Cross-Country and Track tied the Horizon League's average. The Men's Basketball had the lowest GSR in the league compared to the average GSR for each program.

- The sixth benchmark is to maintain a student athlete cumulative grade point average (GPA) of greater than 3.0. The cumulative GPA for UW-Milwaukee student athletes in 2017-18 was 3.347.
- The final benchmark is to maintain an average standardized test score (i.e. ACT) and grade point average for incoming student athletes that is greater than the average test score and grade point average for the general student body's incoming class. The average GPA for incoming freshman student athletes was 3.46, and the average GPA for the general body incoming students was 3.13. The average ACT score for incoming student athletes was 23.86 compared to 22.47 for the general student body.

NCAA Rules Compliance

The report identifies four self-reported NCAA violations from 2017-18 academic year and three violations between November 2018 and March 2019. The report includes a signed certification letter indicating that there are no investigations or reviews of the Athletics Department or personnel by the institution, NCAA, or law enforcement underway at this time.

The NCAA-required Agreed-Upon Procedures report for the year ending on June 30, 2018 is included as an appendix to the report. The audit report, which is prepared by the UW System Office of Internal Audit, was completed January 9, 2019 and presented to the UW System Board of Regents Audit Committee. The report noted two reportable findings relating to methods for reporting debt service on the Klotsche Center and reporting athletics-related capital expenditures. The Office of Internal Audit discussed their findings with UW-Milwaukee's athletics program management who agreed to implement the recommendations in the report.

The report also includes a copy of UW-Milwaukee's 2015-16 Horizon League Compliance Review, which was discussed previously. The review was generally positive, noting only minor suggestions for improvement, including the need to enhance the use of technology in the compliance program, the need to involve staff outside of athletics in eligibility certification, and a suggestion to implement a formal process for tracking facility rentals. Implementation of the suggestions are voluntary, however, the majority of the suggestions from the Horizon League have already been implemented while the remaining suggestions will continue to be reviewed and implemented as appropriate. Compliance audits by the Horizon League generally occur every five to ten years. UW-Milwaukee would likely be reviewed again sometime between the years 2020-2025.

RELATED REGENT POLICIES

Regent Policy Document 10-1: "Endorsement of the Statement of Principles from the Knight Foundation Commission on Intercollegiate Athletics"

ATHLETICS ANNUAL REPORT

PRESENTED TO UW BOARD OF REGENTS

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INTRODUCTORY SECTION

ATHLETIC DEPARTMENT MISSION AND VISION STATEMENTS

IT IS OUR MISSION TO:

PROMOTE a spirit and pride in the University through positive athletic experiences for student-athletes, coaches and spectators.

EMBRACE the academic purpose of UWM while achieving athletic excellence.

MAINTAIN an athletics program of high quality through attracting, retaining and developing the best student-athletes, coaches and staff.

ENGAGE students, faculty, staff, alumni and the surrounding community.

IT IS OUR VISION TO:

BUILD A PASSIONATE AND PROUD UNIVERSITY OF WISCONSIN-MILWAUKEE THROUGH CHAMPIONSHIP EXPERIENCES IN ACADEMICS, ATHLETICS AND LEADERSHIP.

CHAMPIONSHIP EXPERIENCES IN ACADEMICS represent the achievement of the highest standards in an academic environment conducive to the success and personal development of our student-athletes.

CHAMPIONSHIP EXPERIENCES IN ATHLETICS represent the highest standards of the Horizon League and the NCAA. Our achievements encourage a culture of excellence consistent with that of the University.

CHAMPIONSHIP EXPERIENCES IN LEADERSHIP represent a commitment to personal and team achievements and to service in our community by student-athletes, coaches and staff.

With **PASSION** and **PRIDE** we portray our spirit to the University and beyond.

UWM MISSION STATEMENT

UWM Select Mission Statement:

To fulfill its mission as a major urban doctoral university and to meet the diverse needs of Wisconsin's largest metropolitan area, the University of Wisconsin–Milwaukee must provide a wide array of degree programs, a balanced program of applied and basic research, and a faculty who are active in public service. Fulfilling this mission requires the pursuit of these mutually reinforcing academic goals:

(a) To develop and maintain high quality undergraduate, graduate and continuing education programs appropriate to a major urban doctoral university.

(b) To engage in a sustained research effort which will enhance and fulfill the University's role as a doctoral institution of academic and professional excellence.

- (c) To continue development of a balanced array of high quality doctoral programs in basic disciplines and professional areas.
- (d) To attract highly qualified students who demonstrate the potential for intellectual development, innovation, and leadership for their communities.
- (e) To further academic and professional opportunities at all levels for women, minority, part-time, and financially or educationally disadvantaged students.
- (f) To establish and maintain productive relationships with appropriate public and private organizations at the local, regional, state, national, and international levels.
- (g) To promote public service and research efforts directed toward meeting the social, economic and cultural needs of the state of Wisconsin and its metropolitan areas.
- (h) To encourage others from institutions in the University of Wisconsin System and from other educational institutions and agencies to seek benefit from the University's research and educational resources such as libraries, special collections, archives, museums, research facilities, and academic programs.
- (i) To provide educational leadership in meeting future social, cultural, and technological challenges.

NCAA CLASSIFICATION

The University of Wisconsin-Milwaukee and its predecessor institutions have offered some form of intercollegiate athletics for over 100 years.

Currently, UWM offers 15 sports at the NCAA Division I level, with more than 300 student-athletes in the sports of men's and women's basketball, men's and women's soccer, men's and women's cross country, men's and women's indoor and outdoor track and field, men's and women's swimming and diving, women's volleyball, women's tennis and baseball.

UWM moved all of its sports back into Division I in 1990 and previously had placed its sports at the NCAA Division I, II and III levels, as well as in the NAIA, the AIAW and the NCAA's "Small College" division.

Over the years, UWM has had three main conference affiliations – the Wisconsin State University Conference/Wisconsin Women's Intercollegiate Athletic Conference, the Mid-Continent Conference and the Midwestern Collegiate Conference/Horizon League. Men's soccer has always had Division I status with the NCAA.

(Reflects sport sponsorship figures for 2017-18)

SPORT	# OF MALE STUDENT-ATHLETES	# OF FEMALE STUDENT-ATHLETES
BASEBALL	37	-
BASKETBALL	15	15
CROSS COUNTRY	13	20
SOCCER	32	30
SWIMMING	35	34
TENNIS	-	6
TRACK INDOOR/OUTDOOR	40/39	47/47
VOLLEYBALL	-	15
TOTALS	211 (49.6%)	214 (50.4%)
Chart reflects the duplicated count of cross country, indoor & outdoor track.		

There are a number of sports UWM has offered over the years that it does not offer anymore. Most notably, UWM had a football team through 1974 and won a number of WSUC championships. The school has also offered wrestling, men's tennis and men's volleyball, among other sports.

For more than a decade, UWM has been a dominant and successful presence in the Horizon League. The school has captured the league's all-sports award – the McCafferty Trophy – seven times. On the national stage, the men's basketball team advanced to the Sweet 16 of the NCAA Tournament in 2005 and to the second round of the tournament in both 2006 and 2014. In addition, the men's and women's soccer teams have been ranked in the top 10 nationally. In the classroom, Panther student-athletes have posted a combined 3.0 grade point average for 36 straight semesters following the 2017-2018 academic year.

HORIZON LEAGUE

In its 40th season of operation in the 2018-19 academic year, the Horizon League continues to aspire toward its goal of being one of the nation's leading athletics conferences while being recognized as a leader in the development of student-athletes as leaders and role models. Each of the League's 2,600 Division I student-athletes has the opportunity to maximize athletic development and academic achievement, while also serving the broader community and growing in personal responsibility and accountability. Through athletics, the 180,000-plus undergraduates among Horizon League institutions have the opportunity to come together, learn and grow in life skills and opportunities.



The Horizon League membership features ten public and private institutions that have impressive academic reputations and a storied tradition of broad-based athletic programs. Membership includes Cleveland State University, the University of Detroit Mercy, the University of Illinois at Chicago, Indiana University-Purdue University Indianapolis, Northern Kentucky University, Oakland University, the University of Wisconsin-Green Bay, the University of Wisconsin-Milwaukee, Wright State University and Youngstown State University.

The Horizon League's primary focus is to add value to the educational experience through its four platforms: athletic performance, academic achievement, community outreach, and personal responsibility and accountability. It is the League's belief that athletics is a powerful and visible resource tool that can be used to enhance student-athletes' collegiate experience. The Horizon League's goals are to enhance the holistic university experience for the student-athlete, to create an affiliation of institutions with similar athletic goals, and to adhere to the principles of integrity, diversity, excellence and growth. The Horizon League sponsors competition in 19 sports - nine for men (baseball, basketball, cross country, golf, soccer, swimming and diving, indoor track and field, outdoor track and field and tennis) and 10 for women (basketball, cross country, golf, soccer, softball, swimming and diving, indoor track and field, outdoor track and field, tennis and volleyball).

The League receives automatic bids to NCAA championships in baseball, men's and women's basketball, men's golf, men's and women's soccer, softball, men's and women's tennis, women's volleyball and women's golf. The Horizon League is headquartered in Indianapolis, the "Amateur Sports Capital of the World," with offices in the Pan American Plaza (201 S. Capitol Avenue), located a block from Lucas Oil Stadium and just minutes from Bankers Life Fieldhouse, the State Capitol Building, Victory Field (home of the Indianapolis Indians) and the NCAA national office.

Jonathan B. (Jon) LeCrone is in his 27th year as Commissioner of the Horizon League, having been named to the position on May 11, 1992, and is the fourth-longest tenured commissioner among the 31 Division I conferences.

DESCRIPTION OF ATHLETICS' ROLE AT UWM

The Athletics Department at UWM provides NCAA Division I competition for over 300 students in 15 varsity sports. Gifted student-athletes are attracted to the university because of these programs, and their talents are added to the diverse gifts of the student body. Besides adding to the diversity of the community, the intercollegiate athletics programs add distinction and identity to the University.

The value of an NCAA Division I Athletics program is reflected in the financial support the students and University provides. Tuition fee remissions, and room and board waivers are examples of campus resources used for daily operation of the Athletics Department.

UWM student-athletes compete in over 250 athletic contests each year, representing the University in Horizon League and NCAA championship events. This adds value to the University brand and provides entertainment and gathering opportunities for students, faculty, staff, alumni and friends of the University. Over the past four years, an annual average of 11,000 students attended athletic contests and promotional events. As UWM continues to evolve as a residential campus, the intercollegiate athletics programs will become an even more important force for driving enrollment and attracting positive interaction for the community.

UWM student-athletes are excellent role models for all students through their performance in the classroom. With 36 consecutive semesters of collectively achieving a better than 3.0 grade point average and a graduation rate of over 78%, Panther athletes demonstrate excellence in the classroom, while achieving championship results in the athletic arena.

The Intercollegiate Athletics programs at the University of Wisconsin-Milwaukee provide a shining example of academic and athletic excellence in proud and appropriate perspective. Add to this the lessons learned in preparation for and participation in competition by the student-athletes, the role of athletics at UWM is entirely consonant with the Mission and Core Values of the University and the Department of Athletics.

2018-2019 ATHLETICS YEAR IN REVIEW

Milwaukee Athletics continues to excel on and off the field and did so again during the 2018-19 academic year.

Milwaukee claimed a pair of Horizon League titles, with the women's cross country team and women's soccer team bringing home the championship trophies. For the soccer program, it marked the fourth-straight crown (as well as the 18th in the last 19 seasons), culminating with a trip to the NCAA Tournament and a 16-2-1 overall record.

Troy Fabiano became the first coach to earn four straight Horizon League Coach of the Year honors in over 25 years, leading the team to the longest unbeaten streak in program and Horizon League history at 27 (23-0-4) games. Seven players earned league postseason honors, including Kelli Swenson – who became the first-ever player to be named Horizon League Defensive Player of the Year on two occasions. Swenson also leaves as one of the most decorated student-athletes in program history, earning seven different academic honors last fall – including a pair of National First-Team Academic All-American accolades.

The men's cross country team earned a runner-up conference title in the fall, men's soccer played into the Horizon League Tournament semifinals and the baseball team is chasing a regular-season crown of its own as the school year comes to a close. Overall, ten teams finished in third place or better in either the regular season or league tournament.

Highlights from the winter sports season included a women's basketball program that wrapped up the best four-year span in program history, with the seniors graduating with 77 victories to their

name. The men's team was able to play in a tournament in Ireland, nearly broke several program free throw records and witnessed Jake Wright go over the 1,000-point mark in his final season with the Panthers.

Kyra Arendt (triple jump), Brady Irwin (high jump/repeat winner), Jake Wendt (weight throw) and Peter Andrew (shot put) each won league titles in track & field. For Andrew, the league crown was a second-straight and he was also named a member of the U.S. Track & Field and Cross Country Coaches Association (USTFCCCA) All-Academic Team.

On the tennis court, an impressive 6-3 Horizon League slate produced award winners: Alexandra Belle was named to the first team, while Mayya Perova was selected the Horizon League Freshman of the Year. On the baseball diamond, Austin Schulfer was named First-Team All-Horizon League in 2018 before becoming the program's 21st Major League Baseball Draft selection. The Panther baseball program will also have a new home competition venue beginning with the 2019-2020 season as Routine Field at the Ballpark Commons in Franklin, WI is completed this June.

Success was not limited to the court or field, however, as Milwaukee student-athletes again piled up the community service hours. In the classroom, the Panthers posted a cumulative grade-point average of 3.00 or higher for a remarkable 37th consecutive semesters (the current spring semester will make 38).

This year's accomplishments add to a long storyline of success in Panther athletics. Milwaukee first broke through in winning the McCafferty Trophy following the 2000-01 school year and then claimed the award in three straight years from 2004-06. Milwaukee also won the all-sports honor in 2009, 2012 and 2014. On the women's side, MKE has won the league award 11 times in the last 18 years.

SUCCESS IN THE CLASSROOM

- The Panthers averaged nearly 125 student-athletes on both the fall and spring league honor rolls during 2017-18.
- The cumulative GPA for all Panther student-athletes is 3.24 – also making it 37 straight semesters where the departmental GPA is above 3.0.
- Graduation success rate was at 70%, well above the percentage for the general student population.
- Student-Athletes log many hours of community service in Milwaukee and the surrounding area. Teams volunteer for community activities like United Way, Junior Achievement, Team IMPACT, Operation Dream and the United Lutheran Soup Kitchen, among dozens of other agencies. The Athletics Department also partners with the Center for Community-Based Learning, Leadership and Research.

MCCAFFERTY TROPHY WINNERS

2001, 2004, 2005, 2006, 2009, 2012, 2014

HORIZON LEAGUE WOMEN'S ALL-SPORTS AWARD

2001, 2002, 2003, 2004, 2006, 2007, 2008, 2009, 2010, 2012, 2014

HORIZON LEAGUE MEN'S ALL-SPORTS AWARD

2000, 2005, 2006

REPORTING STRUCTURE FOR DIRECTOR OF ATHLETICS

The Director of Intercollegiate Athletics at the University of Wisconsin–Milwaukee reports directly to the Chief Student Affairs Officer regarding the day-to-day operations and strategic planning for the department. For long-term strategic development and external relations, including league and donor connections, the Director of Athletics reports via dotted line to the Chancellor. The Director of Intercollegiate Athletics represents the Department of Athletics by sitting on both the Chancellor’s Cabinet and the Chief Student Affairs Officers’ Cabinet.

In addition, the UWM Director of Intercollegiate Athletics is an ex-officio member of the UWM Athletic Board. The UWM Athletic Board is made up of 13 elected or appointed faculty, academic staff, and student members. The Athletic Board meets monthly and takes an active role in providing oversight to the operations of the entire UWM athletics program.

FINANCIAL INFORMATION

The Athletics Budget information on the next two pages includes only the revenues and expenses that are listed in the UW System financial reporting statements. One chart shows the budget for FY 2019 while the second chart is a comparison of FY 2018 budget vs. actuals. Neither of these include UWM Foundation deposits and payments, in-kind donations, waivers for tuition, books, and room and board, and University indirect facilities and maintenance expenses. Those revenues and expenses are captured in the NCAA Financial Report audited annually. See Attachment B for the year-end June 30, 2018 NCAA Statement of Revenues and Expenses, which captures all UWM Athletics' financial transactions (including the below items) associated with operations.

2018-19 UNIVERSITY OF WISCONSIN - MILWAUKEE BUDGET REPORT

REVENUE	FY 19 BUDGET
Student Fees	\$ 6,457,000
101 Funding	\$ 232,380
NCAA & Conference Distributions	\$ 500,000
Development	\$ 582,800
Corporate Sponsorships	\$ 350,000
Ticket Sales	\$ 347,000
Game Guarantees	\$ 190,000
228 Capital Transfer	\$ 225,000
One Time NCAA Distribution	\$ 92,200
Other Revenue	\$ 79,500
TOTAL REVENUE	\$ 9,055,880
EXPENSES	FY 19 BUDGET
128 Salaries and Fringe Benefits	\$ 4,467,500
101 Salaries and Fringe Benefits	\$ 232,380
128 Operating Expenses	
Team Expenses	\$ 1,470,715
Support Services Expenses	\$ 1,129,445
Game Operation Expenses	\$ 575,000
101 Operating Expenses	\$ 0
Capital	\$ 225,000
Athletic Student Aid	\$ 538,340
Indirect Expenses	\$ 412,620
TOTAL EXPENSES	\$ 9,051,000
Fiscal Year Operating Net	\$ 4,880

PREVIOUS FISCAL YEAR BUDGET vs. ACTUAL RESULTS

2017-18 UNIVERSITY OF WISCONSIN - MILWAUKEE BUDGET REPORT

REVENUE	FY 18 BUDGET	ACTUAL
Student Fees	\$ 6,467,600	\$ 6,481,631
101 Funding	\$ 228,120	\$ 228,120
NCAA & Conference Distributions	\$ 520,000	\$ 609,189
Corporate Sponsorships	\$ 359,250	\$ 291,800
Ticket Sales	\$ 280,050	\$ 186,943
228 Capital Transfer	\$ 345,000	\$ 241,840
Development	\$ 150,000	\$ 136,600
Game Guarantees	\$ 190,000	\$ 281,151
Transfers	\$ 0	\$ (331,248)
Other Revenue	\$ 79,500	\$ 149,313
TOTAL REVENUE	\$ 8,619,520	\$ 8,275,339
EXPENSES	FY 18 BUDGET	ACTUAL
128 Salaries and Fringe Benefits	\$ 4,158,700	\$ 4,204,507
101 Salaries and Fringe Benefits	\$ 228,120	\$ 228,120
128 Operating Expenses		
Team Expenses	\$ 1,475,715	\$ 1,702,343
Support Services Expenses	\$ 864,085	\$ 1,113,604
Game Operations Expenses	\$ 540,000	\$ 677,756
101 Operating Expenses	\$ 0	\$ 0
Capital	\$ 345,000	\$ 241,840
101 Capital	\$ 0	\$ 0
Athletic Student Aid	\$ 574,900	\$ 536,418
Indirect Expenses	\$ 422,800	\$ 242,511
TOTAL EXPENSES	\$ 8,609,320	\$ 8,947,099
Fiscal Year Operating Net (Loss)*	\$ 10,200	\$ (671,760)

*This Fiscal Year Net Operating Loss was anticipated, and planned to be covered with \$1,294,822 in surplus funds generated and carried over from FY17.

**Tuition fee waivers provided by the University and used as athletic student aid were \$3,776,841 but are not included in the amount above.

YEAR-END UNRESTRICTED FUND BALANCES

Athletics Department year-end unrestricted fund balances = \$623,062

This surplus balance includes \$368,587 of a one-time distribution from the NCAA that is budgeted to be spent over the next four fiscal years (FY 2019- FY 2022). The NCAA designated what these funds could be used for. The remaining unrestricted fund balance is \$254,475.

*Note this balance is separate from the Historic Operating Debt outlined in this report on page 11.

EXTERNAL AUDIT REPORTS

An external audit of the NCAA's Statement of Revenue and Expenses, for the year ending June 30, 2018, was performed by Barbara Musgrave, of the UW System Office of Internal Audit. Please see Attachment A for the Independent Accountant's Report on the Agreed-Upon Procedures.

CAPITAL RESERVE

The Department of Athletics has reserves held in a Fund 228 Capital Account. The reserve balance is a result of a Student Senate Finance Committee Resolution (SFC0910-002), signed on December 14, 2009. This resolution instituted a \$25 per semester segregated fee for the purpose of approving a capital bonding project for Athletics. The resolution was further clarified by a Student Association Resolution Reaffirmation (SB1011-006), on June 7, 2010. The fee was reduced to \$14.50 per semester beginning in FY 2016 and has continued at that rate to the present.

On May 8, 2014, the UWM Student Association voted on an amendment to the capital projects resolution to affirm the segregated fee collected can be used for athletic facility improvements. The Athletics Department Administration will present proposals for future facility developments and enhancements to Student Association executive staff.

UWM sought enumeration and \$11.8 million in Program Revenue Supported Bonding for a new Basketball Practice Facility in the 2015-17 Capital Budget. This request would have been funded by the capital projects segregated fee noted above. The request was approved by the Board of Regents but was not approved by the Legislature and Governor because of the limitation on any new bonding. UWM again sought enumeration and Program Revenue Supported Bonding for this project in the 2017-19 Capital Budget, however it was not ultimately put forward to the Board of Regents. Due to the delay in approval and the challenge with securing bonding, UWM has now proposed a project that would not require bonding, at a cost of \$7 million. The project was approved by the Board of Regents and forwarded as a recommended project in the 2019-2021 Capital Budget. This facility would enhance both the athletic and recreation experience for all students on campus. The project request was approved by the Governor, and is now being evaluated by the Legislature. UWM is hopeful this cash project, requiring no bonding, will be approved and move on to construction in FY 2019-21. If it does, the segregated fee associated with the project is scheduled to end in FY 2022 as the full \$7 million in segregated fees will have accumulated by the end of FY 2022.

Balance as of FY Year-End 2018: \$4,777,460

FY18 Capital Projects:

\$25,000 Improved Klotsche Curtain System

\$154,965 Engelmann Shed Project

\$61,875 Klotsche Pool Scoreboard

FY19 Projected Expenditures:

\$49,795 Swim Program Locker Room Project

\$50,000 Basketball Program Office Renovations

FY20 Projected Expenditures:

\$0 No projects planned

Note: If the Klotsche Annex project is approved in the 2019-2021 Capital Budget, spending from the capital reserve is likely to begin in FY 2021.

TOTAL OUTSTANDING DEBT & ANNUAL DEBT SERVICE

Total annual debt service = \$1,379,064

Total debt outstanding = \$8,772,443

The outstanding debt on facilities is a result of the construction of the Pavilion, which is a shared facility between Recreation Sports, Athletics, Academics, and Parking. Designated segregated fees and user fees (non-athletic fee) provides the resources for the annual payment of the debt service.

VALUE OF ENDOWMENTS AND FOUNDATION BALANCES FOR ATHLETICS

Value of Endowments dedicated to the support of athletics = \$875,544

All endowed amounts are held in five scholarship funds and one non-scholarship fund:

Nicholas Family Scholarship

Kuehneisen Swim and Dive Scholarship

D & E Bogenschild "Green Gulls" Scholarship

Coach John Tierney Memorial Scholarship

Dexter Riesch Memorial Scholarship

Chuck Hildebrand Baseball Fund

Athletics Department year-end unrestricted fund balances = \$992,255

This amount is held in the UWM Foundation in three different accounts:

Development Revenue

Athletic Director's Excellence

Panther Club

HISTORIC OPERATING DEBT

From around FY 2000 through the end of FY 2014, under prior UWM administration, UWM's Department of Athletics accumulated a cumulative operating debt of \$10,956,870 in Fund 128. The debt was due to significant changes taking place in Division 1 athletics which resulted in increased costs over that time. UWM as an institution and the Department of Athletics share responsibility for this historic operating debt.

In 2014, UWM administration and the Department of Athletics committed to balancing Athletics' annual operating budget and reducing the historic operating debt over time. At that time, the historic operating debt was transferred to an account separate from operating funds for ongoing oversight and management.

In 2015-17, UWM entered into a financial crisis as it faced the largest cuts in state appropriations in history, the beginning of a six-year tuition freeze, and steep enrollment declines due to changing demographics in the State of Wisconsin. Nevertheless, despite the challenges and cuts in campus funding of Athletics, the Department of Athletics eliminated its annual operating deficit for the first time in FY 2015 and has worked with administration to reduce the historic operating deficit each year since. The historic operating deficit held in the separate account was reduced by \$925,000 by the end of FY 2018 and will be reduced further by the end of FY 2019. As UWM's overall financial situation continues to stabilize following the 2015-17 challenges and expense cuts across the institution, it will continue to strive to increase the rate at which it pays down the historic operating debt.

ACADEMIC PROGRESS RATE (APR) DATA

The NCAA holds Division I institutions accountable for the academic progress of their student-athletes through the Academic Progress Rate, a team-based metric that accounts for the eligibility and retention of each student-athlete, each term.

Starting with the 2013-14 academic year data, in order to avoid penalty each team is required to earn a 4-year APR score of 930 or higher. The data is released to the public each spring by the NCAA. Due to the uncertainty of when the NCAA will release the data each year, we will continue to report the APR data from the previous year.

HOW TO CALCULATE APR SCORES: Each term, a scholarship student-athlete may earn one retention point for staying in school and one eligibility point for being academically eligible. A team's total points are divided by points possible and then multiplied by one thousand to equal the team's Academic Progress Rate.

Please see Attachment C for the official 2016-17 Academic Progress Rate Report from the NCAA.

SPORT	2016-17 APR SCORE	4-YEAR APR SCORE	NCAA 4-YR AVERAGE
BASEBALL	1000	976	975
MEN'S BASKETBALL	1000	1000	967
WOMEN'S BASKETBALL	1000	981	982
MEN'S CROSS COUNTRY	1000	995	981
WOMEN'S CROSS COUNTRY	1000	993	989
MEN'S SOCCER	955	957	978
WOMEN'S SOCCER	1000	998	988
MEN'S SWIMMING	992	966	981
WOMEN'S SWIMMING	1000	994	992
WOMEN'S TENNIS	1000	993	989
MEN'S TRACK	977	995	973
WOMEN'S TRACK	981	983	983
WOMEN'S VOLLEYBALL	1000	995	988

THREE-YEAR APR TREND FOR HIGHEST REVENUE SPORTS

The following lists the single year APR scores for the three highest revenue sports during the 2014-15, 2015-16, and 2016-17 academic years. Please see Attachment C for the official Academic Progress Rate Reports from the NCAA.

HIGHEST REVENUE SPORTS	2014-15	2015-2016	2016-2017
MEN'S BASKETBALL	1000	957	1000
BASEBALL	981	941	1000
WOMEN'S TRACK	987	986	981

BENCHMARKS USED BY ATHLETICS TO ASSESS ACADEMIC PROGRESS DATA

Benchmark #1: Maintain multi-year APR score that is at or higher than the all Division I score for each sport.

Benchmark #2: Achieve multi-year APR scores that are greater than average scores at other Horizon League institutions in each sport.

Please see Attachment E for the list of Horizon League institutions and APR data.

GRADUATION SUCCESS RATE (GSR), ACADEMIC & ADMISSIONS DATA

What is the Graduation Success Rate?

The NCAA Graduation Success Rate (GSR) is designed to show the proportion of student-athletes on any given team who earn a college degree. The NCAA has imposed a new set of academic standards that seeks to hold teams and institutions accountable for how well student-athlete progresses toward a degree.

The GSR was developed in response to colleges and universities who asked for an alternative rate that more accurately reflects the movement among college student-athletes. The GSR takes into account incoming transfers who graduate from a different institution than the one they started at and transfers who leave an institution in good standing.

How does it differ from the Federal Graduation Rate?

The Federal Graduation Rate (FGR) is compiled by the U.S. Department of Education and is used as an indicator of academic success for college student-athletes. FGR measures the percentage of first-time, full-time freshmen who graduate within six years of entering their original four-year institution.

The NCAA developed its GSR in response to criticism that the FGR understates the academic success of athletes because the FGR method does not take into account two important factors in college athletics:

1. When student-athletes transfer from an institution before graduating and are in good academic standing (perhaps to transfer to another institution for more playing time, different major, or to go pro); and
2. Those student-athletes who transfer to an institution (e.g., from a community college or another 4-year college) and earn a degree.

The FGR treats transfers as non-graduates for the original institution the student-athlete attended, even if that student-athlete later graduates from another institution. Also, the FGR does not include that student-athlete in the graduation rates at the new institution where he/she does graduate. Therefore, once a student-athlete transfers to another school he/she is no longer recognized in the calculated graduation rate. The GSR takes into account both factors and gives credit to institutions for successful transfers, whether they are leaving or entering an institution.

The following is a summary of the Graduate Success Rate report that was submitted during the 2017-18 academic year. **Please see Attachment D for official Graduation Success Rate Report (2011 freshmen cohort) from the NCAA that was released in October, 2018.**

2008-11 COHORT GSR SCORES

Below is a summary of the 2008-11 cohort Graduate Success Rate report. **Please see Attachment D for official Graduation Success Rate Report from the NCAA that was released in 2018.**

SPORT	GRADUATION SUCCESS RATE	FEDERAL GRADUATION RATE
BASEBALL	60	47
MEN'S BASKETBALL	56	44
WOMEN'S BASKETBALL	100	64
MEN'S SOCCER	67	36
WOMEN'S SOCCER	90	60
MEN'S SWIMMING	85	75
WOMEN'S SWIMMING	81	61
WOMEN'S TENNIS	100	100
MEN'S TRACK/CROSS COUNTRY	80	68
WOMEN'S TRACK/XC	80	76
WOMEN'S VOLLEYBALL	90	89

THREE-YEAR FEDERAL GRADUATION RATE TRENDS

The following is a summary of the Federal Graduation Rates for the University of Wisconsin-Milwaukee, reported during the 2015-16, 2016-17, and 2017-18 years. **Please see Attachment D for official Graduation Success Rate Report from the NCAA.**

FEDERAL GRADUATION RATE FOR UWM STUDENT-ATHLETES & UWM'S GENERAL STUDENT BODY

ACADEMIC YEAR	GENERAL UWM STUDENT BODY FEDERAL GRADUATION RATE	UWM STUDENT-ATHLETE FEDERAL GRADUATION RATE
2009 FRESHMEN COHORT (reported in 2015-16)	45%	48%
2010 FRESHMEN COHORT (reported in 2016-17)	43%	72%
2011 FRESHMEN COHORT (reported in 2017-18)	45%	70%

FEDERAL GRADUATION RATE FOR THREE HIGHEST REVENUE WOMEN'S SPORTS

HIGHEST REVENUE WOMEN'S SPORTS	2015-16 (2009 freshmen cohort)	2016-17 (2010 freshmen cohort)	2017-2018 (2011 freshmen cohort)
WOMEN'S TRACK	71%	71%	76%
WOMEN'S BASKETBALL	75%	69%	64%
WOMEN'S SWIMMING	59%	64%	61%

FEDERAL GRADUATION RATE FOR THREE HIGHEST REVENUE MEN'S SPORTS

HIGHEST REVENUE MEN'S SPORTS	2015-16 (2009 freshmen cohort)	2016-2017 (2010 freshmen cohort)	2017-2018 (2011 freshmen cohort)
MEN'S BASKETBALL	17%	27%	44%
BASEBALL	50%	50%	47%
MEN'S SOCCER	25%	34%	36%

ADMISSION OF STUDENT-ATHLETES

Student-athletes admitted to UWM are expected to meet the same academic standards as other admitted students. Student-athletes are also, in general, expected to follow the same application process and adhere to the same deadlines as other applicants. However it is understood that in some cases, prospective student-athletes whom UWM wishes to recruit may not be identified, or may not make the decision to apply to UWM, until very late in the admissions cycle. In such cases, the office of admissions should be contacted about the student-athlete (name and contact information) so that the application process can be initiated.

- Policy updated in April 2015

Admissions Data

	<i>Average Incoming Freshman High School GPA</i>	<i>Average Incoming Freshman ACT Score</i>
<i>Student-Athletes</i>	3.46	23.86
<i>General Student Body</i>	3.13	22.47

GRADE POINT AVERAGE INFORMATION

GRADE POINT AVERAGE FOR ALL STUDENT-ATHLETES DURING THE 2016-2017 ACADEMIC YEAR:

Fall 2017: 3.317

Spring 2018: 3.349

FALL 2017 STUDENT-ATHLETE GRADE POINT AVERAGE PER SPORT

ALL SPORTS	FALL 2017 GPA	CUMULATIVE GPA
Men's Baseball	3.202	3.170
Men's Basketball	2.772	3.052
Men's Cross Country	3.442	3.360
Men's Soccer	3.092	3.152
Men's Swimming and Diving	3.269	3.171
Men's Track and Field	3.190	3.278
Women's Basketball	3.449	3.484
Women's Cross Country	3.481	3.552
Women's Soccer	3.362	3.467
Women's Swimming and Diving	3.447	3.563
Women's Tennis	3.706	3.780
Women's Track and Field	3.462	3.406
Women's Volleyball	3.723	3.561
	3.317	3.347

SPORTS (HIGHEST TO LOWEST GPA)	FALL 2017 GPA	CUMULATIVE GPA
Women's Volleyball	3.723	3.561
Women's Tennis	3.706	3.780
Women's Cross Country	3.481	3.552
Women's Track and Field	3.462	3.406
Women's Basketball	3.449	3.484

Women's Swimming and Diving	3.447	3.563
Men's Cross Country	3.442	3.360
Women's Soccer	3.362	3.467
Men's Swimming and Diving	3.269	3.171
Men's Baseball	3.202	3.170
Men's Track and Field	3.190	3.278
Men's Soccer	3.092	3.152
Men's Basketball	2.772	3.052

SPRING 2018 STUDENT-ATHLETE GRADE POINT AVERAGE PER SPORT

ALL SPORTS	SPRING 2018 GPA	CUMULATIVE GPA
Men's Baseball	3.308	3.230
Men's Basketball	2.849	3.073
Men's Cross Country	3.504	3.431
Men's Soccer	3.153	3.174
Men's Swimming and Diving	3.173	3.206
Men's Track and Field	2.960	3.229
Women's Basketball	3.504	3.508
Women's Cross Country	3.546	3.550
Women's Soccer	3.441	3.463
Women's Swimming and Diving	3.475	3.483
Women's Tennis	3.916	3.844
Women's Track and Field	3.580	3.477
Women's Volleyball	3.643	3.598
	3.349	3.368

SPORT (HIGHEST TO LOWEST GPA)	SPRING 2018 GPA	CUMULATIVE GPA
Women's Tennis	3.916	3.844
Women's Volleyball	3.643	3.598
Women's Track and Field	3.580	3.477

Women's Cross Country	3.546	3.550
Men's Cross Country	3.504	3.431
Women's Basketball	3.504	3.508
Women's Swimming and Diving	3.475	3.483
Women's Soccer	3.441	3.463
Men's Baseball	3.308	3.230
Men's Swimming and Diving	3.173	3.206
Men's Soccer	3.153	3.174
Men's Track and Field	2.960	3.229
Men's Basketball	2.849	3.073

DECLARED MAJORS OF STUDENT-ATHLETES

Below is a summary of the declared degree programs for student-athletes and the UWM general student body.

MAJOR	# OF STUDENT-ATHLETES	% OF STUDENT-ATHLETES	% OF UWM STUDENT BODY
ACCOUNTING	7	2.80%	2.17%
ARCHITECTURAL STUDIES	6	2.40%	2.25%
ART	3	1.20%	2.61%
ATHLETIC TRAINING	1	.40%	.03%
ATMOSPHERIC SCIENCE	1	.40%	.11%
BIOCHEMISTRY	1	.40%	.61%
BIOLOGICAL SCIENCES	6	2.40%	2.58%
BIOMEDICAL ENGINEERING	3	1.20%	.59%
BIOMEDICAL SCIENCES	5	2.00%	2.69%
BUSINESS	10	4.00%	3.38%
BUSINESS MANAGEMENT	1	.40%	.08%

CIVIL ENGINEERING	6	2.40%	1.54%
COMM SCIENCES & DISORDERS	2	.80%	.44%
COMMUNICATION	10	4.00%	1.59%
COMMUNITY ENGAGEMENT	3	1.20%	.73%
COMPUTER ENGINEERING	2	.80%	.56%
COMPUTER SCIENCE	3	1.20%	2.14%
CONSERVATION SCIENCE	1	.40%	1.22%
CRIMINAL JUSTICE	3	1.20%	2.20%
ECONOMICS	2	.80%	.60%
EDUCATION	15	6.00%	4.34%
EDUCATIONAL STUDIES	1	.40%	.07%
ELECTRICAL ENGINEERING	1	.40%	1.23%
ENGINEERING	1	.40%	.59%
ENTREPRENEURSHIP	1	.40%	.19%
EXCEPTIONAL EDUCATION	1	.40%	.40%
FILM	2	.80%	2.11%
FINANCE	20	8.00%	2.89%
GENERAL BUSINESS	5	2.00%	.66%
HEALTH CARE ADMIN	3	1.20%	.92%
HISTORY	2	.80%	.71%
HUMAN RESOURCES	5	2.00%	1.24%
INDUSTRIAL ENGINEERING	3	1.20%	.49%
INFORMATION SCIENCE & TECH	5	2.00%	2.87%
INTERNATIONAL BUSINESS	1	.40%	.37%
JOURNALISM, ADVERTISING, & MEDIA STUDIES	4	1.60%	1.93%
KINESIOLOGY	20	8.00%	1.50%
MARKETING	11	4.40%	4.34%

MATERIALS ENGINEERING	1	.40%	.29%
MATHEMATICS	2	.80%	.44%
MECHANICAL ENGINEERING	6	2.40%	2.96%
MUSIC EDUCATION	1	.40%	.30%
NURSING	16	6.40%	5.49%
NUTRITION	1	.40%	.61%
OCCUPATIONAL STUDIES	2	.80%	.58%
POLITICAL SCIENCE	1	.40%	1.00%
PRE LAW	1	.40%	.66%
PRE MEDICINE	7	2.80%	1.40%
PRE PHYSICAL THERAPY	2	.80%	.32%
PRE VETERINARY	1	.40%	.28%
PSYCHOLOGY	12	4.80%	4.79%
REAL ESTATE & URBAN DEVELOPMENT	1	.40%	.13%
SOCIOLOGY	1	.40%	.63%
SPANISH	1	.40%	.45%
SUPPLY CHAIN & OPERATIONS MANAGEMENT	4	1.60%	1.75%
UNDECIDED	13	5.20%	5.75%

BENCHMARKS USED TO ASSESS GSR, ADMISSIONS & ACADEMIC DATA

Benchmark #1: Maintain student-athlete Federal graduation rate that is greater than UWM's general student body.

Benchmark #2: Maintain student-athlete NCAA graduation rate that is greater than 75%.

Benchmark #3: Maintain an overall student-athlete NCAA graduation rate that is greater than the average for Horizon League.

Benchmark #4: Maintain an overall student-athlete Federal graduation rate that is greater than the average for Horizon League.

Benchmark #5: Maintain student-athlete NCAA graduation rate that is greater than Horizon League institution's average for each sport.

Benchmark #6: Maintain a student-athlete cumulative GPA greater than 3.0.

Benchmark #7: Maintain average standardized test scores (i.e., ACT and SAT) and grade point average for incoming freshmen student-athletes that are greater than the average test scores and grade point average for UWM's general student body's incoming freshman class.

AGREED-UPON PROCEDURES REPORT

2017-18 INSTITUTIONAL AGREED-UPON PROCEDURES REPORT

The NCAA requires all Division I athletic programs to complete an annual *Statement of Revenues and Expenses*. The deadline to submit the *Statement of Revenues and Expenses* is January 15th for the preceding fiscal year. Prior to submission, agreed-upon procedures are performed by an independent accountant validating that the *Statement of Revenues and Expenses* are in compliance with the NCAA.

Please see Attachments A for the Independent Accountant's Report on Agreed-Upon Procedures for UWM's NCAA Statement of Revenues and Expenses for the year ended June 30, 2018.

INTERCOLLEGIATE ATHLETICS BUDGET REPORT

UWM'S AUDITED NCAA FINANCIAL REPORT FOR 2017-18

Please see Attachment B for the NCAA Statement of Revenue and Expenses, which assigns all transactions to a predetermined revenue or expense category.

It is important to note the *NCAA Statement of Revenues and Expenses* report looks very different from the UWM Athletics Budget previously provided in this report. The *NCAA Statement of Revenues and Expenses* includes all financial transactions associated with the operations of UWM Athletics for the year ended June 30, 2018. Many of the transactions included in *NCAA Statement of Revenues and Expenses* extend outside the Athletics Department's UW System financial statement reporting parameters.

Examples of transactions included in *NCAA Statement of Revenues and Expenses* which are not found in the Athletic Department's UW System financial statements are:

- a. University of Wisconsin – Milwaukee Foundation deposits and payments
- b. In-kind donations
- c. Tuition waivers provided by the University as part of student-athletes' aid awards
- d. On-campus room and board provided by Auxiliary Services as part of the student-athletes' grant in aid
- e. University indirect facilities and maintenance costs allocated to Athletics

The *NCAA Statement of Revenues and Expenses* provides a more complete analysis of all the costs associated with operating the athletics program. The *NCAA Statement of Revenues and Expenses* data is used by the NCAA and multiple media outlets to compare and benchmark athletic financial information of one athletic program to another.

OUTSIDE COMPLIANCE REVIEW

HORIZON LEAGUE OUTSIDE COMPLIANCE REVIEW

The Horizon League staff offers, as a service but not a requirement, outside compliance reviews to all institutions in the Horizon League. Staff members from the Horizon League administered their review of athletic compliance in December of 2015. While on campus, Horizon League staff members met with the following individuals:

Athletic Director
Senior Woman Administrator
Faculty Athletic Representative
Ticket Manager
Athletic Trainer
Strength & Conditioning Coach
Sports Information Director
Marketing Director
Facilities Director
Development staff
Men's Basketball coaching staff
Women's Basketball coaching staff
Team Sport coaching staff
Individual Sport coaching staff
Men's Basketball student-athlete
Women's Basketball student-athlete
Individual Sport student-athlete
Team Sport student-athlete
Academic Advisor
Business Manager
Registrar Office staff
Admissions Liaison
Financial Aid Liaison

The Horizon League sent a written report to the Assistant AD for Compliance and the Athletic Director. The report includes strengths of the compliance department as well as recommendations for areas that could be improved. No NCAA rules violations were discovered during the audit and the majority of the suggestions from the Horizon League have already been implemented and the remaining suggestions will continue to be reviewed and implemented as appropriate. Compliance audits by the Horizon League generally occur every 5-10 years. UWM will be reviewed again sometime between FY 2020-FY 2025.

Please see Attachment F for the Horizon League Compliance Review Report.

NCAA VIOLATIONS REPORT

2017-18 SELF-REPORTED NCAA VIOLATIONS

SPORT	DESCRIPTION OF RULE	DATE	DESCRIPTION OF VIOLATION	ACTION TAKEN
Women's Volleyball	Bylaw 13.1.2.5 states that only coaches properly identified under 11.7.4.2, 11.7.5.2, and 11.7.6 are allowed to contact or evaluate prospective student-athletes off campus.	10/4/17	A representative of athletics interest (RAI) had off-campus contact with a prospective student-athlete.	<ul style="list-style-type: none"> Letter of education was issued to the RAI. Rules education was provided at the next coaches compliance meeting.
Men's Basketball	Bylaw 17.02.19 prohibits the recording of participation in voluntary athletically related activities.	11/20/17	The Assistant AD for Communications posted a video on social media of a student-athlete involved in a voluntary workout.	<ul style="list-style-type: none"> Rules education was provided at the next all-staff meeting.
Women's Basketball	Bylaw 17.1.7.3.2.1 prohibits countable athletically related activities following competitions.	11/30/17	Three women's basketball student-athletes engaged in 15 minutes of countable athletically related activities following a competition.	<ul style="list-style-type: none"> A letter of education was provided to the women's basketball staff. Rules education was already scheduled to be provided at the all-staff meeting (based on the MBB violation), and now would include information on workouts after competition. Entire women's basketball team had CARA reduced by 30 minutes.
Women's Basketball	Bylaw 13.10.2.1 prohibits an institution from commenting publicly about a prospective student-athlete prior to them signing an NLI or offer of admission.	5/2/2018	A student worker with access to the Athletic Department social media accounts retweeted a prospective student-athletes tweet and commented on the tweet prior to the prospective student-athlete signing an NLI or offer of admission.	<ul style="list-style-type: none"> Rules education was provided to the student worker The impermissible tweet was removed

2018-19 SELF-REPORTED NCAA VIOLATIONS

SPORT	DESCRIPTION OF RULE	DATE	DESCRIPTION OF VIOLATION	ACTION TAKEN
Women's Swim & Dive	Bylaw 13.5.2.6 prohibits sports other than basketball from providing transportation to campus for an official visit for parents/legal guardians or others accompanying a prospective student-athlete.	11/9/2018	On three occasions the swimming and diving program paid for flights for parents accompanying prospective student-athletes on official visits.	<ul style="list-style-type: none"> • A letter of admonishment was issued to the Head Coach • Rules education was provided to all coaches and staff • Involved prospective student-athletes were declared ineligible • All swim & dive coaches were prohibited from engaging in recruiting activity for one week
Women's Basketball	Bylaw 17.1.7.1 allows student-athletes to only engage in a maximum of 4 hours per day of countable athletically related activity while in-season.	11/6/2018	On one occasion the women's basketball team exceed the 4 hour per day limit of countable athletically related activity by 15 minutes.	<ul style="list-style-type: none"> • The team was required to reduce their practice activity by 30 minutes for one week • A letter of education was provided to the entire women's basketball staff • Rules education was provided to all staff on hour limits
Various	Bylaw 14.3.1.1.2.1 requires that an institution ensures that all final high school transcripts are submitted to the NCAA Eligibility Center for any student-athlete that was declared an Early Qualifier by February 1 st of the student-athletes first year of enrollment.	3/14/2019	The Compliance Office did not ensure submission of final high school transcripts for 3 student-athletes prior to the February 1 st deadline.	<ul style="list-style-type: none"> • The transcripts were submitted as soon as the error was realized • The Compliance Office reviewed the process for tracking incoming student-athlete information and will make changes to ensure all final transcripts are submitted prior to February 1

ATTACHMENTS

Independent Accountant’s Report on Agreed-Upon Procedures.....	Attachment A
NCAA Statement of Revenues and Expenses.....	Attachment B
NCAA Academic Progress Rate (APR) Score Reports.....	Attachment C
NCAA Graduation Success Rate (GSR) Score Reports.....	Attachment D
Peer Institution’s APR and GSR Data.....	Attachment E
Outside Compliance Review, performed by the Horizon League.....	Attachment F
NCAA Oversight Certification Letter.....	Attachment G

ATTACHMENT A



NCAA Agreed-Upon Procedures for Division I at University of Wisconsin-Milwaukee

Office of Internal Audit

Report Control #2019-01
January 9, 2019

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REPORT

January 9, 2019

Chancellor Mark A. Mone
University of Wisconsin-Milwaukee
P.O. Box 413
Milwaukee, Wisconsin 53201

Dear Chancellor Mone:

We have performed the agreed-upon procedures enumerated in the attached report for the sole purpose of assisting you in evaluating whether the accompanying *Statement of Revenues and Expenses* (SRE) for the University of Wisconsin-Milwaukee (UWM or University) Athletics Department is in compliance with National Collegiate Athletic Association (NCAA) Bylaw 3.2.4.15 for Division I for the year ended June 30, 2018. UWM administration and staff are responsible for the SRE and the University's compliance with those requirements.

This agreed-upon procedures engagement was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants, as well as requirements enumerated in the NCAA Constitution and common auditing practices. The sufficiency of these procedures is solely the responsibility of those parties specified in this report. Consequently, we make no representation regarding the sufficiency of the procedures described in the *Independent Auditor's Report on the Application of Agreed-Up Upon Procedures* either for the purpose for which this report has been requested or for any other purpose.

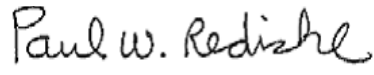
The NCAA requires this agreed-upon procedures engagement to be conducted by a qualified independent accountant. For the purposes of this engagement, the NCAA considers the UW System Administration Office of Internal Audit to be independent.

Our procedures and findings are explained in this report and its attachments. Reportable findings are defined as errors or misclassifications equal to or greater than \$1,000.

We were not engaged to and did not conduct an examination, the objective of which would be the expression of an opinion on the accompanying SRE. Accordingly, we do not express such an opinion. Had we performed additional procedures, other matters might have come to our attention that would have been reported to you.

This report is intended solely for the information and use of the chancellor, management of UWM, the Athletics Department, and authorized representatives of the NCAA. The report is not intended to be, and should not be, used by anyone other than these specified parties. However, this guidance does not supersede distributions governed by the *Wisconsin Open Records Law*.

Very truly yours,

A handwritten signature in cursive script that reads "Paul W. Rediske". The signature is written in dark ink and is positioned above a horizontal line.

Paul W. Rediske
Director
UW System Administration Office of Internal Audit

Attachments

cc: Vice Chancellor for Finance & Administrative Affairs, Robin Van Harpen
Director of Athletics, Amanda Braun
Deputy Athletic Director, Cathy Rossi
UW System Administration Chief Audit Executive, Lori Stortz

SUMMARY OF REPORTABLE FINDINGS

As a result of applying the agreed-upon procedures enumerated in the attached report, two reportable findings were noted. These findings are summarized in the following comments. The comments have been discussed with the appropriate members of management and include recommendations that are intended to ensure compliance, as well as improve internal control or result in other operational improvements.

Management's responses to the written observations and recommendations included herein will be evaluated after the response planned completion date included herein. The Office of Internal Audit will review the corrective action, perform follow-up testing, and report periodically to the Audit Committee of the Board of Regents on management's progress.

COMMENT 1 – DEBT SERVICE FOR ATHLETIC FACILITIES

Criteria: SRE Revenue Category 6A is titled, *Indirect Institutional Support – Athletic Facilities Debt Service, Lease and Rental Fees* according to the *2018 NCAA Agreed-Upon Procedures Guidelines*. The description for this category includes the following guidance:

- “If the institution is paying for debt service, leases, or rental fees for athletic facilities, but not charging to athletics, include those amounts in Category 6A.”
- “If the institution is paying for all athletic facilities debt service, lease and rental fees and not charging to athletics, this category will equal Category 34. If athletics or other entities are also paying these expenses or the institution is charging directly to athletics, this category will not equal Category 34.”

SRE Expense Category 34 is titled, *Athletic Facilities Debt Service, Leases and Rental Fees* according to the *2018 NCAA Agreed-Upon Procedures Guidelines*. The description for this category includes the following guidance:

- “Input debt service payments (principal and interest, including internal loan programs), leases and rental fees for athletics facilities for the reporting year regardless of entity paying (athletics, institution or other).”
- “If the institution is paying for all debt service, leases, or rental fees for athletic facilities but not charging to athletics, this category should equal Category 6A. If athletics or other entities are paying these expenses or the institution is charging directly to athletics, this category will not equal Category 6A.”

Observation: UW-Milwaukee Athletics uses the Klotsche Center for a variety of purposes including offices, intercollegiate sporting events, practice facilities, and locker rooms. The Klotsche Center is managed by University Recreation (a department within the Division of Student Affairs).

Debt service on the Klotsche Center is paid from the budget of University Recreation. The amount of annual debt service is approximately \$280,000. The debt service is primarily funded through a student segregated fee.

No portion of the debt service is allocated or charged back to UWM Athletics. Also, no portion of the debt service for the Klotsche Center was included in SRE Revenue Category 6A or SRE Expense Category 34 (*Attachment B*).

Recommendation: Starting in fiscal year (FY) 2019, UW-Milwaukee Athletics should estimate the portion of Klotsche Center debt service that corresponds with usage by intercollegiate athletics and include the estimated amount in SRE Revenue Category 6A and SRE Expense Category 34.

See also Procedures #10, #40, and #50 in *Attachment B*.

Management's Response:

Planned course of action: Beginning with the FY19 NCAA Financial Report, UW-Milwaukee Athletics will report a portion of the Klotsche Center Debt Service in SRE Revenue Category 6A and SRE Expense Category 34 (*Attachment B*). Athletics will engage with the Controller and Deputy Controller on campus to determine the appropriate amount to report beginning with the FY19 report.

Planned completion date: January 15, 2020

Contact person: Cathy Rossi, Deputy Athletic Director

Contact's email/phone: rossic@uwm.edu / 414-229-2655

COMMENT 2 – TOTAL ATHLETICS-RELATED CAPITAL EXPENDITURES

Criteria: SRE Other Reporting Category 56 is titled, *Total Athletics Related Capital Expenditures* according to the *2018 NCAA Agreed-Upon Procedures Guidelines*. The description for this category includes the following guidance:

- “Input cost of athletics related capital expenditures for the reporting year.”

The NCAA provides further guidance on the accounting for capital expenditures in the following question and answer posted on their website:

Q: Where should we report capital expenditures?

A: Capital expenditures should be reported in Category 56, *Total Athletics Related Capital Expenditures* under Other Reporting Items. These expenditures should be excluded from all other reporting categories.

The *2018 NCAA Agreed-Upon Procedures Guidelines* describes the “primary purpose” of the agreed-upon procedures audit report to be:

- “Ensure that the president or chancellor is made aware of all financial activity (both internal and external) for athletics purposes and to assist the institution in exercising control over financial activity made by or on behalf of the intercollegiate athletics program.”

The *2018 NCAA Agreed-Upon Procedures Guidelines* defines the “scope of work” for the agreed-upon procedures to include:

- “The reporting revenue and expenses required in NCAA financial reporting information. The definitions used in the agreed-upon procedures provide a consistent means of reporting intercollegiate athletics finances and will provide the presidents or chancellors and other

campus decision makers of our member institutions with empirical data to assist them in making their formal decisions.”

An important and fundamental principle of financial reporting is *disclosure*. The three concepts of disclosure generally proposed are *adequate*, *fair*, and *full* disclosure. *Adequate* implies a minimum amount of disclosure congruous with the negative objective of making statements not misleading. *Fair* and *full* are more positive concepts. *Fair* disclosure implies an ethical objective of providing equal treatment for all potential readers. *Full* disclosure implies the presentation of all relevant information.

Observation: UW-Milwaukee Athletics accurately reported \$306,021 in SRE Category 56, *Total Athletics Related Capital Expenditures* and correctly excluded these expenditures from all other reporting categories.

However, as disclosed in Procedures #54 in *Attachment B* as well as Note #5 in *Attachment D*, revenue reported in the SRE was reduced for a certain amount of capital expenditures. While this accounting treatment is adequately disclosed, it has the effect of understating total revenue in the SRE and, therefore, has a potentially negative impact on accomplishing the primary purpose of the agreed-upon procedures audit report – to *wit*, to ensure that the president or chancellor is made aware of all financial activity. Also, applying the concept of *full* disclosure is most closely aligned with not reducing revenues to correspond with amounts reported as capital expenditures.

Recommendation: Starting in FY 2019, UW-Milwaukee Athletics should not reduce revenues in the SRE by the amount of capital expenditures reported in SRE Category 56, *Total Athletics Related Capital Expenditures*.

Management’s Response:

Planned course of action: Starting in FY 2019, UW-Milwaukee Athletics will not reduce revenues in the SRE by the amount of capital expenditures reported in SRE Category 56, *Total Athletics Related Capital Expenditures*.

Planned completion date: January 15, 2020

Contact person: Cathy Rossi, Deputy Athletic Director

Contact’s email/phone: rossic@uwm.edu / 414-229-2655

**ATTACHMENT A: INDEPENDENT AUDITOR'S REPORT ON THE APPLICATION OF
AGREED-UPON PROCEDURES**

**UNIVERSITY OF WISCONSIN-MILWAUKEE
FISCAL YEAR ENDED JUNE 30, 2018**

Source: *NCAA 2018 Agreed-Upon Procedures*, revised April 20, 2018

Procedures Related to All Revenues and Expenses

1. Agreed the amounts reported on the SRE to UWM's general ledger.

In performing this procedure and the procedure described in #2 below, we identified the need for certain material adjustments that management agreed to record in the SRE. As a result, revenues and expenses reported on the SRE (as contained in *Attachment B*) reflect those adjustments and materially agree with the amounts reported in UWM's general ledger. In addition, there are certain items recorded on the SRE that are not required to be reported in the athletics accounts, including tuition waivers, indirect facilities and administrative support, and gifts-in-kind. The NCAA requires that these items be reported on the SRE to fully reflect the operations of the Athletics Department.

2. Performed the following procedures for all revenue and expense categories applicable to UWM:
 - a. Compared and agreed each operating revenue and expense category reported on the SRE during the reporting period to supporting schedules provided by the institution.
 - b. Compared and agreed a sample of revenue receipts and operating expenses obtained from the above supporting schedules to adequate supporting documentation.
 - c. Compared each revenue and expense account to prior period amounts and budget estimates. Obtained and documented an understanding of any significant variations greater than 10%.

As part of the procedure described in #1 above and from comparing and agreeing each operating revenue and expense item reported on the SRE during the reporting period to supporting schedules provided by the institution, we identified the need for certain material adjustments that management agreed to record in the SRE. These are the same adjustments described in #1 above.

Attachment C describes the results of comparing revenue and expense amounts to budget and prior year values.

3. Identified and documented aspects of the institution's internal control structure unique to the Athletics Department. Tested specific elements of the control environment and accounting systems that (1) are unique to intercollegiate athletics and (2) have not been addressed in connection with the audit of the institution's financial statements.

No material exceptions were noted as a result of these procedures.

4. Identified intercollegiate athletics-related affiliated and outside organizations and obtained those organizations' financial information for the reporting period. Agreed the amounts reported on the SRE to the athletics-related and outside organization's records.

There is only one related affiliated or outside organization: the UWM Foundation. No material exceptions were noted as a result of these procedures.

Procedures Related to Revenues

5. **Ticket Sales.** The NCAA agreed-upon procedures guidelines require that we compare tickets sold, complimentary tickets provided during the reporting period, and unsold tickets to amounts included within the related sales reported by UWM on the SRE and the associated attendance figures and that we recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for ticket sales was less than 4% of total revenue recorded on the SRE.

6. **Direct State or Other Government Support.** The NCAA agreed-upon procedures guidelines require that we compare direct state or other governmental support recorded by UWM Athletics during the reporting period with institutional authorizations and/or other corroborative supporting documentation.

The Athletics Department did not record any amounts for direct state or other government support on the SRE.

7. **Student Fees.** Obtained and documented an understanding of the institution's methodology for allocating student fees to intercollegiate athletics programs.

No material exceptions were noted as a result of these procedures.

8. **Direct Institutional Support.** Compared the direct institutional support recorded by UWM during the reporting period with state appropriations. In addition, compared total fee waivers for student-athletes as recorded in the SRE to corroborative supporting documentation. For a sample of 60 student-athletes, we compared the amount of the waiver to the applicable squad list, Athletic Grant-In-Aid Award Letter, and amount recorded in the student accounting system.

No material exceptions were noted as a result of these procedures.

9. **Transfers Back to Institution.** The NCAA agreed-upon procedures guidelines require that we compare transfers back to the institution with permanent transfers back to the institution from the Athletics Department and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for transfers back to the institution was less than 4% of total revenue recorded on the SRE.

10. **Indirect Institutional Support; and Indirect Institutional Support-Athletic Facilities Debt Service, Lease and Rental Fees.** The NCAA agreed-upon procedures guidelines require that we compare the indirect institutional support recorded by the institution during the reporting period with expense payments, cost allocation detail, and other corroborative supporting documentation, and recalculate totals.

No procedures were required to be performed for indirect institutional support since the amount recorded on the SRE for this category was less than 4% of total revenue recorded on the SRE.

The Athletics Department did not record any amount for indirect institutional support-athletic facilities debt service, lease and rental fees. However, the Athletics Department uses the Klotsche Center for a variety of purposes including offices, intercollegiate sporting events, practice facilities, and locker rooms.

The Klotsche Center is managed by University Recreation, a department within the Division of Student Affairs. Debt service on the Klotsche Center is paid from the budget of University Recreation. The amount of annual debt service is approximately \$280,000. The debt service is primarily funded through a student segregated fee. No portion of the debt service is allocated or charged back to the Athletics Department. Also, no portion of the debt service for the Klotsche Center was included in the associated SRE Expense Category 34. See also Comment #1 in the Summary of Reportable Findings.

11. **Guarantees.** The NCAA agreed-upon procedures guidelines require that we select a sample of contractual agreements pertaining to revenues derived from contests during the reporting period and agree each selection to UWM's general ledger and the SRE and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for guarantees was less than 4% of total revenue recorded on the SRE.

12. **Contributions.** The NCAA agreed-upon procedures guidelines require that we obtain and review supporting documentation for any contributions of money, goods or services received directly by the Athletics Department from any affiliated or outside organization that constitutes 10% or more of all contributions received for intercollegiate athletics and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for contributions was less than 4% of total revenue recorded on the SRE.

13. **In-Kind.** The NCAA agreed-upon procedures guidelines require that we compare gifts-in-kind recorded by the institution during the reporting period with a schedule of gifts-in-kind donations and recalculate totals.

The Athletics Department did not record any in-kind donations on the SRE.

14. **Compensation and Benefits Provided by a Third Party.** The NCAA agreed-upon procedures guidelines require that we obtain the summary of revenues from affiliated and outside organizations (the "Summary") as of the end of the reporting period from the institution and select a sample of funds from the Summary and compare and agree each selection to supporting documentation, UWM's general ledger, and/or the Summary, and recalculate totals.

The Athletics Department did not record any compensation and benefits provided by a third party on the SRE.

15. **Media Rights.** The NCAA agreed-upon procedures guidelines require that we obtain and inspect agreements to understand UWM's total media (broadcast, television, radio) rights received by the institution or through their conference offices as reported in the statement. Also, agreed-upon procedures require that we compare and agree the media right revenues to a summary statement of all media rights identified, if applicable, and UWM's general ledger and recalculate totals.

The Athletics Department did not record any media rights on the SRE.

16. **NCAA Distributions.** The NCAA agreed-upon procedures guidelines require that we compare the amounts recorded in the SRE to general ledger detail for NCAA distributions and other corroborative supporting documents and recalculate totals. We would also have inquired about UWM's process of verifying the correctness of the amounts in this category.

No procedures were required to be performed for this category since the amount recorded on the SRE for NCAA distributions was less than 4% of total revenue recorded on the SRE.

17. **Conference Distributions (Non-Media or Bowl).** The NCAA agreed-upon procedures guidelines require that we obtain and inspect agreements related to UWM's Horizon League Conference distributions and participation in revenues from tournaments during the reporting period to gain an understanding of the relevant terms and conditions. Also, the agreed-upon procedures require that we compare and agree the related revenues to UWM's general ledger and the SRE and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for conference distributions (non-media or bowl) was less than 4% of total revenue recorded on the SRE.

18. **Program, Novelty, Parking and Concession Sales.** The NCAA agreed-upon procedures guidelines require that we compare the amount recorded in the revenue reporting category to general ledger detail of program sales, concessions, novelty sales, and parking as well as any other corroborative supporting documents and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for program, novelty, parking and concession sales was less than 4% of total revenue recorded on the SRE.

19. **Royalties, Licensing, Advertisement and Sponsorships.** The amount recorded in the SRE for this category was primarily generated from a single contract. For this contract, we obtained and inspected the agreement related to UWM's participation in revenues from royalties, licensing, advertisements, and sponsorships during the reporting period to gain an understanding of the relevant terms and conditions. We also compared and agreed the related revenues to the SRE and recalculated totals.

No material exceptions were noted as a result of these procedures.

20. **Sports Camp Revenues.** The NCAA agreed-upon procedures guidelines require that we obtain an understanding of UWM's methodology for conducting camps and recording revenues. For camps related to one sport, we would be required to obtain the roster of participants and fee schedule and agree corresponding cash receipts to UWM's general ledger and into the total recorded on the SRE.

The Athletics Department did not record any sports camp revenues on the SRE.

21. **Athletics Restricted Endowment and Investments Income.** The NCAA agreed-upon procedures guidelines require that we obtain and inspect endowment agreements (if any) to gain an understanding of the relevant terms and conditions. Also, the agreed-upon procedures require that we compare and agree the classification and use of endowment and investment income reported in the SRE during the reporting period to the uses of income deferred within the related endowment agreement and recalculate totals.

The Athletics Department did not record any restricted endowment and investments income on the SRE.

22. **Other Operating Revenue.** The NCAA agreed-upon procedures guidelines require application of work as noted for all revenue in Step 2.

No procedures were required to be performed for this category since the amount recorded on the SRE for other operating revenue was less than 4% of total revenue recorded on the SRE.

23. **Bowl Revenues.** The NCAA agreed-upon procedures guidelines require we obtain and inspect agreements related to UWM's participation in revenues from post-season bowl games during the reporting period to gain an understanding of the relevant terms and conditions. Also, the agreed-upon procedures require that we compare and agree the related revenues to UWM's general ledger and the SRE and recalculate totals.

The Athletics Department did not record any post-season bowl game revenue on the SRE.

Procedures Related to Expenses

24. **Athletic Student Aid.** Selected a sample of 60 student-athletes from the listing of student aid recipients during the reporting period. Obtained individual student account detail for each athlete selected. Performed a check of each student selected to ensure their information was reported accurately in the NCAA's Compliance Assistant (CA) software. For those student-athletes selected, traced to corresponding amounts recorded on Athletics supporting schedules and agreed totals for certain sports to the SRE.

No material exceptions were noted as a result of these procedures.

25. **Guarantees.** The NCAA agreed-upon procedures guidelines require that we obtain and inspect visiting institution's away-game settlement reports received by UWM for a sample of games during the reporting period and agree related expenses to UWM's general ledger and/or the statement and recalculate totals. Also, the agreed-upon procedures require that we obtain and inspect contractual agreements pertaining to expenses recorded by the institution from guaranteed contests during the reporting period, compare and agree related amounts expensed by UWM in the institution's general ledger and/or the statement, and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for guarantees was less than 4% of total expenses recorded on the SRE.

26. **Coaching Salaries, Benefits and Bonuses paid by the University and Related Entities.** Obtained and inspected a listing of coaches employed by UWM and related entities during the reporting period. Selected a sample of four coaches' contracts that included men's and women's basketball from the listing. Compared and agreed the financial terms and conditions of each selection to the related coaching salaries, benefits, and bonuses recorded by UWM and related entities in the statement during the reporting period. Obtained and inspected payroll summaries for the reporting year for each selection. Compared and agreed payroll summaries from the reporting period to the related coaching salaries, benefits, and bonuses paid by UWM and related entities expense recorded by the institution in the SRE. Compared and agreed the totals recorded to any employment contracts executed for the sample selected and recalculated totals.

No material exceptions were noted as a result of these procedures.

27. **Coaching Salaries, Benefits and Bonuses paid by a Third Party.** The NCAA agreed-upon procedures guidelines require that we (1) obtain and inspect a listing of coaches employed by third parties during the reporting period; (2) select a sample of coaches' contracts that must include men's

and women's basketball from the listing; (3) compare and agree the financial terms and conditions of each selection to the related coaching other compensation and benefits paid by a third party and recorded by UWM in the statement during the reporting period; (4) obtain and inspect reporting period payroll summary registers for each selection; and (5) compare and agree related payroll summary register to other compensation and benefits paid by a third party to expenses recorded by UWM in the SRE during the reporting period and recalculate totals.

The Athletics Department did not record any coaching salaries, benefits and bonuses paid by a third party on the SRE.

28. **Support Staff/Administrative Compensation, Benefits and Bonuses paid by the University and Related Entities.** Selected a sample of two support staff/administrative personnel employed by UWM and related entities during the reporting period. Obtained and inspected reporting period payroll summaries for each selection. Compared and agreed related summaries to the related support staff/administrative salaries, benefits, and bonuses paid by UWM and related entities expense recorded by UWM on the SRE and recalculated totals.

No material exceptions were noted as a result of these procedures.

29. **Support Staff/Administrative Compensation, Benefits and Bonuses paid by a Third Party.** The NCAA agreed-upon procedures guidelines require that we select a sample of support staff/administrative personnel employed by the third parties during the reporting period. The agreed-upon procedures also require that we obtain and inspect reporting period payroll summaries. For each selection: compare and agree related payroll summaries to the related other compensation and benefit expenses recorded by UWM on the SRE and recalculate totals.

The Athletics Department did not record any support staff/administrative compensation, benefits and bonuses paid by a third party on the SRE.

30. **Severance Payments.** The NCAA agreed-upon procedures guidelines require that we select a sample of employees receiving severance payments by the institution during the reporting period and agree each severance payment to the related termination letter or employment contract and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for severance payments was less than 4% of total expenses recorded on the SRE.

31. **Recruiting.** The NCAA agreed-upon procedures guidelines require that we examine expenses/transactions to obtain and document an understanding of UWM's recruiting expense policies, and that we compare and agree to existing institutional and NCAA-related policies. It also requires that we obtain general ledger detail and compare it to total expenses reported and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for recruiting was less than 4% of total expenses recorded on the SRE.

32. **Team Travel.** Examined 10 expenses/transactions to obtain and document an understanding of UWM's team travel policies. Compared and agreed to existing institutional and NCAA-related policies. Obtained general ledger detail and compared to the total expenses reported and recalculated totals.

No material exceptions were noted as a result of these procedures.

33. **Sports Equipment, Uniforms and Supplies.** The NCAA agreed-upon procedures guidelines require that we obtain general ledger detail and compare it to the total expenses reported. We are required to select expenses/transactions to validate existence of transactions and accuracy of recording and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for sports equipment, uniforms and supplies was less than 4% of total expenses recorded on the SRE.

34. **Game Expenses.** The NCAA agreed-upon procedures guidelines require that we obtain general ledger detail and compare to the total expenses reported. Also, we are required to select transactions to validate existence of transactions and accuracy of recording and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for game expenses was less than 4% of total expenses recorded on the SRE.

35. **Fund Raising, Marketing and Promotion.** Examined a sample of 10 expenses to validate existence of the transactions and the accuracy of recording and recalculated totals. Compared general ledger detail to total expenses reported.

No material exceptions were noted as a result of these procedures.

36. **Sports Camp Expenses.** The NCAA agreed-upon procedures guidelines require that we obtain general ledger detail and compare to the total expenses reported. Also, agreed-upon procedures guidelines require that we select transactions to validate existence of transactions and accuracy of recording and recalculate totals.

The Athletics Department did not record any sports camp expenses on the SRE.

37. **Spirit Groups.** The NCAA agreed-upon procedures guidelines require that we obtain general ledger detail and compare to the total expenses reported. It also requires that we select transactions to validate existence of transactions and accuracy of recording and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for spirit groups was less than 4% of total expenses recorded on the SRE.

38. **Athletics Facilities Debt Service, Leases and Rental Fees.** The NCAA agreed-upon procedures guidelines require that we compare a sample of facility payments to additional supporting documentation (e.g., debt financing agreements, leases, and rental agreements) and that we compare amounts recorded to amounts listed in the general ledger detail and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for athletics facilities debt service, leases and rental fees was less than 4% of total expenses recorded on the SRE.

39. **Direct Overhead and Administrative Expenses.** The NCAA agreed-upon procedures guidelines require that we obtain general ledger detail and compare to the total expenses reported and that we select transactions to validate existence of transactions and accuracy of recording and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for direct overhead and administrative expenses was less than 4% of total expenses recorded on the SRE.

40. **Indirect Institutional Support.** The NCAA agreed-upon procedures guidelines require that we compare the indirect institutional support recorded by the institution during the reporting period with expense payments, cost allocation detail, and other corroborative supporting documentation and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for indirect institutional support was less than 4% of total expenses recorded on the SRE.

41. **Medical Expenses and Insurance.** The NCAA agreed-upon procedures guidelines require that we obtain general ledger detail and compare to the total expenses reported, and that we select transactions to validate existence of transactions and accuracy of recording and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for medical expenses and insurance was less than 4% of total expenses recorded on the SRE.

42. **Memberships and Dues.** The NCAA agreed-upon procedures guidelines require that we obtain general ledger detail and compare to the total expenses reported. Also, select transactions to validate existence of transactions and accuracy of recording and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for memberships and dues was less than 4% of total expenses recorded on the SRE.

43. **Student-Athlete Meals (Non-Travel).** The NCAA agreed-upon procedures guidelines require that we obtain general ledger detail and compare to the total expenses reported. Also, agreed-upon procedures require that we select a sample of transactions to validate existence of transactions and accuracy of recording and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for student-athlete meals (non-travel) was less than 4% of total expenses recorded on the SRE.

44. **Other Operating Expenses and Transfers to Institution.** The NCAA agreed-upon procedures guidelines require that we obtain general ledger detail and compare to the total expenses reported on the SRE to validate existence of transactions and accuracy of recording and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for other operating expenses and transfers to institution was less than 4% of total expenses recorded on the SRE.

45. **Bowl Expenses; and Bowl Expenses – Coaching Compensation/Bonuses.** The NCAA agreed-upon procedures guidelines require that we obtain general ledger detail and compare to the total expenses reported. Also, agreed-upon procedures require that we select a sample of transactions to validate existence of transactions and accuracy of recording and recalculate totals.

The Athletics Department did not record any bowl expenses on the SRE.

The Athletics Department did not record any bowl expenses - coaching compensation/bonuses on the SRE.

Additional Minimum Agreed-Upon Procedures

46. **NCAA Membership Financial Reporting System.** Compared and agreed the sports sponsored reported in the NCAA Membership Reporting System to the squad lists of UWM.

No material exceptions were noted as a result of these procedures.

47. **Sports Sponsorship and Demographics Forms.** Obtained UWM's "Sports Sponsorship and Demographics Forms Report" for the reporting year. Validated that the countable sports reported by the institution met the minimum requirements set in Bylaw 20.9.6.3 for the number of contests and the number of participants in each contest that is counted toward meeting the minimum-contest requirement.

Ensured that UWM has properly reported the sports as countable for revenue distribution purposes within the NCAA Membership Financial Reporting System. No discrepancies were noted.

No material exceptions were noted as a result of these procedures.

48. **Pell Grant Awards.** Agreed the total number of Division I student-athletes who, during the academic year, received a Pell Grant award (e.g., Pell recipients on Full Grant-in-Aid, Pell Grant recipients on Partial Grants-in-Aid, and Pell Grant recipients with no Grants-in-Aid) to the total value of these Pell Grants reported in financial aid records, of all student-athlete Pell Grants.

No material exceptions were noted as a result of these procedures.

Minimum Agreed-Upon Procedures Program for Other Reporting Items

49. **Excess Transfers to Institution and Conference Realignment Expenses.** The NCAA agreed-upon procedures guidelines require that we obtain general ledger detail and compare to the total expenses reported. Also, agreed-upon procedures require that we select a sample of transactions to validate existence of transactions and accuracy of recording and recalculate totals.

The Athletics Department did not record any excess transfers to institution or conference realignment expenses on the SRE.

50. **Total Athletics-Related Debt.** Obtained repayment schedules for all outstanding intercollegiate athletics debt during the reporting period. Recalculated annual maturities (consisting of principal and interest) provided in the schedules obtained. Agreed the total annual maturities and total outstanding athletics-related debt to supporting documentation and the institution's general ledger, as applicable.

The amount of "Total Athletics-Related Debt" on line 52 of the SRE (\$8,772,443) represents the outstanding bonds payable on the Klotzsche Center, a facility used by the Athletics Department (generally without rental fees or chargebacks). However, payment of interest and principal on these bonds is not the responsibility of the Athletics Department, and a separate segregated fee is used to fund the debt service. Also, the amount of "Total Athletics-Related Debt" on line 52 does not include the cumulative deficit in the Athletics Department in Fund 128 as of June 30, 2018 (\$9,388,179). See also Note 4 in *Attachment D*.

51. **Total Institutional Debt.** Agreed the total outstanding institutional debt to supporting documentation and the institution's audited financial statements, if available, or the institution's general ledger.

No material exceptions were noted as a result of these procedures.

52. **Value of Athletics Dedicated Endowments.** Obtained a schedule of all athletics dedicated endowments maintained by athletics, the institution, and affiliated organizations. Agreed the fair market value in the schedule(s) to supporting documentation, the general ledger(s), and audited financial statements, if available.

No material exceptions were noted as a result of these procedures.

53. **Value of Institutional Endowments.** Agreed the total fair market value of institutional endowments to supporting documentation, the institution's general ledger, and/or audited financial statements, if available.

No material exceptions were noted as a result of these procedures.

54. **Total Athletics-Related Capital Expenditures.** Obtained a schedule of athletics-related capital expenditures made by athletics, the institution, and affiliated organizations during the reporting period. Obtained general ledger detail and compared to the total expenses reported. Selected a sample of transactions to validate existence of transactions and accuracy of recording and recalculated totals.

The amount of "Total Athletics-Related Capital Expenditures" on line 56 of the SRE (\$306,021) is not included, or accounted for, elsewhere in the "Expenses" section of the SRE (as permitted by the *NCAA 2018 Agreed-Upon Procedures Manual*, revised April 20, 2018). As a corresponding/matching revenue accounting treatment, a certain amount, \$241,840, was excluded/deducted from the "Revenues" section of the SRE. See also Note #5 in *Attachment D*.

ATTACHMENT B: STATEMENT OF REVENUES AND EXPENSES

UNIVERSITY OF WISCONSIN-MILWAUKEE ATHLETICS DEPARTMENT STATEMENT OF REVENUES AND EXPENSES FOR THE YEAR ENDED JUNE 30, 2018 (Unaudited)

		MEN'S BASKETBALL	WOMEN'S BASKETBALL	OTHER SPORTS	NON- PROGRAM SPECIFIC	TOTAL	PRIOR YEAR	VARIANCE
REVENUES:								
1 Ticket Sales	\$	157,904	\$ 11,775	\$ 17,974	\$0	\$ 187,653	\$ 172,885	\$ 14,768
2 Direct State or Other Government Support		0	0	0	0	0	0	0
3 Student Fees		0	0	0	6,481,631	6,481,631	6,646,528	(164,897)
4 Direct Institutional Support		274,803	336,027	3,166,011	697,784	4,474,625	4,301,260	173,365
5 Less: Transfers to Institution		(51,000)	0	0	(206,081)	(257,081)	(333,264)	76,183
6 Indirect Institutional Support		0	0	0	420,000	420,000	400,000	20,000
6A Indirect Institutional Support-Athletic Facilities Debt Service, Lease and Rental Fees		0	0	0	0	0	0	0
7 Guarantees		241,545	30,651	41,800	0	313,996	329,852	(15,856)
8 Contributions		49,561	59,713	144,278	107,187	360,739	376,166	(15,427)
9 In-Kind		0	0	0	0	0	0	0
10 Compensation and Benefits Provided by a Third-Party		0	0	0	0	0	0	0
11 Media Rights		0	0	0	0	0	0	0
12 NCAA Distributions		0	0	0	381,730	381,730	926,365	(544,635)
13 Conference Distributions (Non Media and Non Bowl)		21,130	17,090	3,917	200,323	242,460	223,236	19,224
14 Program, Novelty, Parking and Concession Sales		0	0	0	1,104	1,104	2,053	(949)
15 Royalties, Licensing, Advertisement, and Sponsorships		40,015	2,715	28,977	486,395	558,102	628,827	(70,725)
16 Sports Camp Revenues		0	0	0	0	0	0	0
17 Athletics Restricted Endowment and Investment Income		0	0	0	0	0	0	0
18 Other Operating Revenue		19	163	3,335	27,175	30,692	708,554	(677,862)
19 Bowl Revenues		0	0	0	0	0	0	0
Total Operating Revenue	\$	733,977	\$ 458,134	\$ 3,406,292	\$ 8,597,248	\$ 13,195,651	\$ 14,382,462	\$ (1,186,811)
EXPENSES:								
20 Athletic Student Aid	\$	412,681	\$ 525,899	\$ 3,366,529	\$0	\$ 4,305,109	\$ 4,092,468	\$ 212,641
21 Guarantees		87,600	2,000	12,700	0	102,300	19,000	83,300
22 Coaching Salaries, Benefits, and Bonuses Paid by the University and Related Entities		826,326	420,879	1,172,737	0	2,419,942	2,418,661	1,281
23 Coaching Salaries, Benefits and Bonuses paid by a Third Party		0	0	0	0	0	0	0
24 Support Staff/Administrative Compensation, Benefits, and Bonuses Paid by the University and Related Entities		78,029	81,598	8,536	1,983,499	2,151,662	2,074,500	77,162
25 Support Staff/Administrative Compensation, Benefits and Bonuses Paid by a Third Party		0	0	0	0	0	0	0
26 Severance Payments		40,215	0	0	0	40,215	70,341	(30,126)
27 Recruiting		112,285	45,418	57,709	0	215,412	158,125	57,287
28 Team Travel		281,270	177,873	644,739	0	1,103,882	1,114,345	(10,463)
29 Sports Equipment, Uniforms, and Supplies		30,928	37,549	199,394	0	267,871	289,831	(21,960)
30 Game Expenses		189,164	60,033	97,104	0	346,301	387,148	(40,847)
31 Fund Raising, Marketing, and Promotion		25,260	16,281	3,242	531,685	576,468	443,147	133,321
32 Sport Camp Expenses		0	0	0	0	0	0	0
33 Spirit Groups		11,700	3,940	0	99,498	115,138	53,458	61,680
34 Athletics Facilities, Debt Service, Leases, and Rental Fees		115,668	0	26,562	0	142,230	105,000	37,230
35 Direct Overhead and Administrative Expenses		12,035	5,882	18,211	315,815	351,943	324,454	27,489
36 Indirect Institutional Support		0	0	0	420,000	420,000	400,000	20,000
37 Medical Expenses and Insurance		190	2,000	2,000	231,838	236,028	235,303	725
38 Memberships and Dues		1,079	1,045	4,109	9,966	16,199	18,637	(2,438)
39 Student-Athlete Meals (non-travel)		31,638	15,570	13,371	14,535	75,114	70,572	4,542
40 Other Operating Expenses		65,070	50,114	60,548	350,708	526,440	421,889	104,551
41 Bowl Expenses		0	0	0	0	0	0	0
41A Bowl Expenses - Coaching Compensation/Bonuses		0	0	0	0	0	0	0
Total Operating Expenses	\$	2,321,138	\$ 1,446,081	\$ 5,687,491	\$ 3,957,544	\$ 13,412,254	\$ 12,696,879	\$ 715,375
EXCESS (DEFICIENCY) OF REVENUES OVER (UNDER) EXPENSES	\$	(1,587,161)	\$ (987,947)	\$ (2,281,199)	\$ 4,639,704	\$ (216,603)	\$ 1,685,583	\$ (1,902,186)

ATTACHMENT B: STATEMENT OF REVENUES AND EXPENSES - CONTINUED

Additional Information:

	<u>TOTAL</u>	<u>PRIOR</u> <u>YEAR</u>	<u>VARIANCE</u>
50 Excess Transfers to Institutions	\$0	\$0	\$0
51 Conference Realignment Expenses	\$0	\$0	\$0
52 Total Athletics Related Debt	\$ 8,772,443	\$ 9,678,706	(\$906,263)
53 Total Institutional Debt	\$ 79,251,204	\$ 85,677,242	(\$6,426,038)
54 Value of Athletics Dedicated Endowments	\$ 771,200	\$ 770,635	\$565
55 Value of Institutional Endowments	\$ 174,650,593	\$ 147,195,335	\$27,455,258
56 Total Athletics Related Capital Expenditures	\$ 306,021	\$ 186,309	\$ 119,712

FOOTNOTES TO STATEMENT OF REVENUES AND EXPENSES - See Attachment D.

Note: The NCAA does not use category numbers 42 through 49 for purposes of the Statement of Revenues and Expenses.

**ATTACHMENT C: COMPARISONS OF MAJOR REVENUE AND EXPENSE CATEGORIES
FOR THE YEAR ENDED JUNE 30, 2018**

NCAA Agreed-Upon Procedures require the independent auditor to:

- a) Compare each major revenue account over 10% of the total revenues to prior period amounts and budget estimates. Obtain and document an understanding of any variations over 10%. Report the analysis as a supplement to the final agreed-upon procedures report.

Based upon the above-stated criteria, no revenue account variances from the prior period required an explanation. Based upon the above-stated criteria, the following revenue account variance from budgeted amount required an explanation:

NCAA Category	17-18 Amount	17-18 Budget	Variance	Explanation
#4 Direct Institutional Support	\$4,474,625	\$3,818,720	17.18%	The value of the benefits for 101 funding as well as the work study funding are not budgeted annually as direct institutional support, explaining the difference here.

- b) Compare each major expense account over 10% of the total expenses to prior period amounts and budget estimates. Obtain and document an understanding of any variations over 10%. Report the analysis as a supplement to the final agreed-upon procedures report.

Based upon the above-stated criteria, no expense account variances from the prior period required an explanation. Based upon the above-stated criteria, no expense account variances from the budgeted amounts required an explanation.

ATTACHMENT D: NOTES TO STATEMENT OF REVENUES AND EXPENSES FOR THE YEAR ENDED JUNE 30, 2018

Note 1: Summary of Significant Accounting Policies

UWM uses a fund accounting system of financial reporting. The main premise of fund accounting is to facilitate the stewardship of financial resources and ensure that these resources are budgeted, received, and expended in compliance with legal requirements. UWM has established policies and procedures to ensure that all University assets, including those in the custody of Athletics are appropriately acquired, utilized, and disposed.

The SRE has been prepared on the budgetary basis of accounting, which is a comprehensive basis of accounting other than generally accepted accounting principles (GAAP). The budgetary basis for the UWM Athletics Department represents the accounting principles used in managing its operations in accordance with its budgetary procedures. The Government Accounting Standards Board establishes GAAP for states and local governmental units, including the UWM Athletics Department. One of the most significant differences between the budgetary basis and GAAP (full accrual basis) is depreciation on capital assets is not reported.

The format for the SRE is based upon the prescribed format of the NCAA.

Note 2: Reporting Entity

The UWM Athletics Department is responsible for intercollegiate sports programs of the University. The Director of Athletics is responsible for the day-to-day operation of the Athletics Department. The Athletics Department is ultimately governed by the University's Chancellor through authority delegated by the Board of Regents of the University of Wisconsin System, which is a unit of the State of Wisconsin.

The SRE includes all functions related to intercollegiate athletics at UWM as well as the accounts of its sole affiliated/outside organization, the UWM Foundation (an independent 501(c)(3) organization that is the official not-for-profit fundraising corporation for UWM). Contributions are recognized and recorded on the SRE in the period used by UWM Athletics. Disbursements made from the UWM Foundation on behalf of the Athletics Department to third parties or paid directly to UWM Athletics are recorded on the SRE in the period of disbursement. In 2018, the UWM Foundation made payments of \$215,948 directly to third parties and \$151,141 directly to UWM Athletics (\$136,600 into Fund 128 and \$14,541 into Fund 133).

Note 3: Contributions

The UWM Athletics Department receives contributions in support of various intercollegiate sports programs. Donations can be made to the UWM Athletics Department or the UWM Foundation.

Revenue from contributions (line 8 of the SRE) was \$360,739. The UWM Foundation received contributions for the Athletic Department from three donors that each constituted greater than 10% or more of all contributions: The following amounts were received from two individual donors: \$60,581; \$396,990; and \$67,500 was received from a charitable trust. Since line 8 of the SRE recognizes revenue as it is spent, this amount differs from that which was donated during the fiscal year.

Note 4: Total Athletics-Related Debt

The amount of “Total Athletics-Related Debt” on line 52 of the SRE (\$8,772,443) represents the outstanding bonds payable on the Klotzsche Center, a facility used by the Athletics Department (generally without rental fees or chargebacks). However, payment of interest and principal on these bonds is not the responsibility of the Athletics Department, and a separate segregated fee is used to fund the debt service. Also, the amount of “Total Athletics-Related Debt” on line 52 does not include the cumulative deficit in the Athletics Department in Fund 128 at June 30, 2018 (\$9,388,179).

The scheduled payments of the general obligation bonds are as follows:

Fiscal Year	Principal	Interest	Total
2019	\$ 1,300,912	\$ 431,897	\$ 1,732,809
2020	1,112,879	367,761	1,480,640
2021	1,237,222	296,729	1,533,951
2022	1,419,874	234,670	1,654,544
2023	1,499,605	167,930	1,667,535
2024	1,414,218	110,098	1,524,316
2025	779,488	39,387	818,875
2026 - 2032	8,245	2,073	10,318
	<u>\$ 8,772,443</u>	<u>\$ 1,650,545</u>	<u>\$ 10,422,988</u>

Note 5: Total Athletics-Related Capital Expenditures

The amount of “Total Athletics-Related Capital Expenditures” on line 56 of the SRE (\$306,021) is not included, or accounted for, elsewhere in the “Expenses” section of the SRE (as permitted by the *NCAA 2018 Agreed-Upon Procedures Manual*, revised April 20, 2018). A certain amount, \$241,840, was excluded/deducted from the “Revenues” section of the SRE.

Note 6: Restricted Revenue

During the year ending June 30, 2017, UWM received \$460,734 from the NCAA as part of its special one-time Division I distribution to directly support student-athletes. Since this distribution is considered restricted with respect to purpose, the entire amount received in fiscal year 16-17 was recognized as revenue in line 12, NCAA distributions, of the SRE during that year. The expense related to this revenue item will be recognized during the year it is spent. One-fifth of this amount, \$92,400, was spent during fiscal year 17-18. UWM plans to spend one-fifth of the funds over the next four fiscal years, ending in 21-22. They intend to provide the full cost of attendance to both the men’s and women’s basketball programs. Full cost of attendance is approximately \$3,300 annually for 28 full scholarship student-athletes.

ATTACHMENT B

ATTACHMENT B: STATEMENT OF REVENUES AND EXPENSES

UNIVERSITY OF WISCONSIN-MILWAUKEE ATHLETICS DEPARTMENT STATEMENT OF REVENUES AND EXPENSES FOR THE YEAR ENDED JUNE 30, 2018 (Unaudited)

REVENUES:	MEN'S BASKETBALL	WOMEN'S BASKETBALL	OTHER SPORTS	NON- PROGRAM SPECIFIC	TOTAL	PRIOR YEAR	VARIANCE
1 Ticket Sales	\$ 157,904	\$ 11,775	\$ 17,974	\$ 0	\$ 187,653	\$ 172,885	\$ 14,768
2 Direct State or Other Government Support	0	0	0	0	0	0	0
3 Student Fees	0	0	0	6,481,631	6,481,631	6,646,528	(164,897)
4 Direct Institutional Support	274,803	336,027	3,166,011	697,784	4,474,625	4,301,260	173,365
5 Less: Transfers to Institution	(51,000)	0	0	(206,081)	(257,081)	(333,264)	76,183
6 Indirect Institutional Support	0	0	0	420,000	420,000	400,000	20,000
6A Indirect Institutional Support-Athletic Facilities Debt Service, Lease and Rental Fees	0	0	0	0	0	0	0
7 Guarantees	241,545	30,651	41,800	0	313,996	329,852	(15,856)
8 Contributions	49,561	59,713	144,278	107,187	360,739	376,166	(15,427)
9 In-Kind	0	0	0	0	0	0	0
10 Compensation and Benefits Provided by a Third-Party	0	0	0	0	0	0	0
11 Media Rights	0	0	0	0	0	0	0
12 NCAA Distributions	0	0	0	381,730	381,730	926,365	(544,635)
13 Conference Distributions (Non Media and Non Bowl)	21,130	17,090	3,917	200,323	242,460	223,236	19,224
14 Program, Novelty, Parking and Concession Sales	0	0	0	1,104	1,104	2,053	(949)
15 Royalties, Licensing, Advertisement, and Sponsorships	40,015	2,715	28,977	486,395	558,102	628,827	(70,725)
16 Sports Camp Revenues	0	0	0	0	0	0	0
17 Athletics Restricted Endowment and Investment Income	0	0	0	0	0	0	0
18 Other Operating Revenue	19	163	3,335	27,175	30,692	708,554	(677,862)
19 Bowl Revenues	0	0	0	0	0	0	0
Total Operating Revenue	\$ 733,977	\$ 458,134	\$ 3,408,292	\$ 8,597,248	\$ 13,195,651	\$ 14,382,462	\$ (1,186,811)
EXPENSES:							
20 Athletic Student Aid	\$ 412,681	\$ 525,899	\$ 3,366,529	\$ 0	\$ 4,305,109	\$ 4,092,468	\$ 212,641
21 Guarantees	87,600	2,000	12,700	0	102,300	19,000	83,300
22 Coaching Salaries, Benefits, and Bonuses Paid by the University and Related Entities	826,326	420,879	1,172,737	0	2,419,942	2,418,661	1,281
23 Coaching Salaries, Benefits and Bonuses paid by a Third Party	0	0	0	0	0	0	0
24 Support Staff/Administrative Compensation, Benefits, and Bonuses Paid by the University and Related Entities	78,029	81,598	8,536	1,983,499	2,151,662	2,074,500	77,162
25 Support Staff/Administrative Compensation, Benefits and Bonuses Paid by a Third Party	0	0	0	0	0	0	0
26 Severance Payments	40,215	0	0	0	40,215	70,341	(30,126)
27 Recruiting	112,285	45,418	57,709	0	215,412	158,125	57,287
28 Team Travel	281,270	177,873	644,739	0	1,103,882	1,114,345	(10,463)
29 Sports Equipment, Uniforms, and Supplies	30,928	37,549	199,394	0	267,871	289,831	(21,960)
30 Game Expenses	189,164	60,033	97,104	0	346,301	387,148	(40,847)
31 Fund Raising, Marketing, and Promotion	25,260	16,281	3,242	531,685	576,468	443,147	133,321
32 Sport Camp Expenses	0	0	0	0	0	0	0
33 Spirit Groups	11,700	3,940	0	99,498	115,138	53,458	61,680
34 Athletics Facilities, Debt Service, Leases, and Rental Fees	115,668	0	26,562	0	142,230	105,000	37,230
35 Direct Overhead and Administrative Expenses	12,035	5,882	18,211	315,815	351,943	324,454	27,489
36 Indirect Institutional Support	0	0	0	420,000	420,000	400,000	20,000
37 Medical Expenses and Insurance	190	2,000	2,000	231,838	236,028	235,303	725
38 Memberships and Dues	1,079	1,045	4,109	9,966	16,199	18,637	(2,438)
39 Student-Athlete Meals (non-travel)	31,638	15,570	13,371	14,535	75,114	70,572	4,542
40 Other Operating Expenses	65,070	50,114	60,548	350,708	526,440	421,889	104,551
41 Bowl Expenses	0	0	0	0	0	0	0
41A Bowl Expenses - Coaching Compensation/Bonuses	0	0	0	0	0	0	0
Total Operating Expenses	\$ 2,321,138	\$ 1,446,081	\$ 5,687,491	\$ 3,957,544	\$ 13,412,254	\$ 12,686,879	\$ 715,375
EXCESS (DEFICIENCY) OF REVENUES OVER (UNDER) EXPENSES	\$ (1,587,161)	\$ (987,947)	\$ (2,281,199)	\$ 4,639,704	\$ (216,603)	\$ 1,685,583	\$ (1,902,186)

ATTACHMENT B: STATEMENT OF REVENUES AND EXPENSES - CONTINUED

Additional Information:

	<u>TOTAL</u>	<u>PRIOR</u>	<u>VARIANCE</u>
	\$0	\$0	\$0
50. Excess Transfers to Institutions	\$0	\$0	\$0
51. Conference Realignment Expenses	\$0	\$0	\$0
52. Total Athletics Related Debt	\$ 8,772,443	\$ 9,678,706	(\$906,263)
53. Total Institutional Debt	\$ 79,251,204	\$ 85,677,242	(\$6,426,038)
54. Value of Athletics Dedicated Endowments	\$ 771,200	\$ 770,635	\$565
55. Value of Institutional Endowments	\$ 174,650,593	\$ 147,195,335	\$27,455,258
56. Total Athletics Related Capital Expenditures	\$ 306,021	\$ 186,309	\$ 119,712

FOOTNOTES TO STATEMENT OF REVENUES AND EXPENSES - See Attachment D.

Note: The NCAA does not use category numbers 42 through 49 for purposes of the Statement of Revenues and Expenses.

**ATTACHMENT C: COMPARISONS OF MAJOR REVENUE AND EXPENSE CATEGORIES
FOR THE YEAR ENDED JUNE 30, 2018**

NCAA Agreed-Upon Procedures require the independent auditor to:

- a) Compare each major revenue account over 10% of the total revenues to prior period amounts and budget estimates. Obtain and document an understanding of any variations over 10%. Report the analysis as a supplement to the final agreed-upon procedures report.

Based upon the above-stated criteria, no revenue account variances from the prior period required an explanation. Based upon the above-stated criteria, the following revenue account variance from budgeted amount required an explanation:

NCAA Category	17-18 Amount	17-18 Budget	Variance	Explanation
#4 Direct Institutional Support	\$4,474,625	\$3,818,720	17.18%	The value of the benefits for 101 funding as well as the work study funding are not budgeted annually as direct institutional support, explaining the difference here.

- b) Compare each major expense account over 10% of the total expenses to prior period amounts and budget estimates. Obtain and document an understanding of any variations over 10%. Report the analysis as a supplement to the final agreed-upon procedures report.

Based upon the above-stated criteria, no expense account variances from the prior period required an explanation. Based upon the above-stated criteria, no expense account variances from the budgeted amounts required an explanation.

**ATTACHMENT D: NOTES TO STATEMENT OF REVENUES AND EXPENSES
FOR THE YEAR ENDED JUNE 30, 2018**

Note 1: Summary of Significant Accounting Policies

UWM uses a fund accounting system of financial reporting. The main premise of fund accounting is to facilitate the stewardship of financial resources and ensure that these resources are budgeted, received, and expended in compliance with legal requirements. UWM has established policies and procedures to ensure that all University assets, including those in the custody of Athletics are appropriately acquired, utilized, and disposed.

The SRE has been prepared on the budgetary basis of accounting, which is a comprehensive basis of accounting other than generally accepted accounting principles (GAAP). The budgetary basis for the UWM Athletics Department represents the accounting principles used in managing its operations in accordance with its budgetary procedures. The Government Accounting Standards Board establishes GAAP for states and local governmental units, including the UWM Athletics Department. One of the most significant differences between the budgetary basis and GAAP (full accrual basis) is depreciation on capital assets is not reported.

The format for the SRE is based upon the prescribed format of the NCAA.

Note 2: Reporting Entity

The UWM Athletics Department is responsible for intercollegiate sports programs of the University. The Director of Athletics is responsible for the day-to-day operation of the Athletics Department. The Athletics Department is ultimately governed by the University's Chancellor through authority delegated by the Board of Regents of the University of Wisconsin System, which is a unit of the State of Wisconsin.

The SRE includes all functions related to intercollegiate athletics at UWM as well as the accounts of its sole affiliated/outside organization, the UWM Foundation (an independent 501(c)(3) organization that is the official not-for-profit fundraising corporation for UWM). Contributions are recognized and recorded on the SRE in the period used by UWM Athletics. Disbursements made from the UWM Foundation on behalf of the Athletics Department to third parties or paid directly to UWM Athletics are recorded on the SRE in the period of disbursement. In 2018, the UWM Foundation made payments of \$215,948 directly to third parties and \$151,141 directly to UWM Athletics (\$136,600 into Fund 128 and \$14,541 into Fund 133).

Note 3: Contributions

The UWM Athletics Department receives contributions in support of various intercollegiate sports programs. Donations can be made to the UWM Athletics Department or the UWM Foundation.

Revenue from contributions (line 8 of the SRE) was \$360,739. The UWM Foundation received contributions for the Athletic Department from three donors that each constituted greater than 10% or more of all contributions: The following amounts were received from two individual donors: \$60,581; \$396,990; and \$67,500 was received from a charitable trust. Since line 8 of the SRE recognizes revenue as it is spent, this amount differs from that which was donated during the fiscal year.

Note 4: Total Athletics-Related Debt

The amount of "Total Athletics-Related Debt" on line 52 of the SRE (\$8,772,443) represents the outstanding bonds payable on the Klotsche Center, a facility used by the Athletics Department (generally without rental fees or chargebacks). However, payment of interest and principal on these bonds is not the responsibility of the Athletics Department, and a separate segregated fee is used to fund the debt service. Also, the amount of "Total Athletics-Related Debt" on line 52 does not include the cumulative deficit in the Athletics Department in Fund 128 at June 30, 2018 (\$9,388,179).

The scheduled payments of the general obligation bonds are as follows:

Fiscal Year	Principal	Interest	Total
2019	\$ 1,300,912	\$ 431,897	\$ 1,732,809
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2021	1,237,222	296,729	1,533,951
2022	1,419,874	234,670	1,654,544
2023	1,499,605	167,930	1,667,535
2024	1,414,218	110,098	1,524,316
2025	779,488	39,387	818,875
2026 - 2032	8,245	2,073	10,318
	<u>\$ 8,772,443</u>	<u>\$ 1,650,545</u>	<u>\$ 10,422,988</u>

Note 5: Total Athletics-Related Capital Expenditures

The amount of "Total Athletics-Related Capital Expenditures" on line 56 of the SRE (\$306,021) is not included, or accounted for, elsewhere in the "Expenses" section of the SRE (as permitted by the *NCAA 2018 Agreed-Upon Procedures Manual*, revised April 20, 2018). A certain amount, \$241,840, was excluded/deducted from the "Revenues" section of the SRE.

Note 6: Restricted Revenue

During the year ending June 30, 2017, UWM received \$460,734 from the NCAA as part of its special one-time Division I distribution to directly support student-athletes. Since this distribution is considered restricted with respect to purpose, the entire amount received in fiscal year 16-17 was recognized as revenue in line 12, NCAA distributions, of the SRE during that year. The expense related to this revenue item will be recognized during the year it is spent. One-fifth of this amount, \$92,400, was spent during fiscal year 17-18. UWM plans to spend one-fifth of the funds over the next four fiscal years, ending in 21-22. They intend to provide the full cost of attendance to both the men's and women's basketball programs. Full cost of attendance is approximately \$3,300 annually for 28 full scholarship student-athletes.

ATTACHMENT C

NCAA Division I 2016 - 2017 Academic Progress Rate Institutional Report

Institution: University of Wisconsin, Milwaukee

Date of Report: 03/18/2019

This report is based on NCAA Division I Academic Progress Rate (APR) data submitted by the institution for the 2013-14, 2014-15, 2015-16 and 2016-17 academic years. Institutions are encouraged to forward this report to appropriate institutional personnel on campus.

[Note: All information contained in this report is for four academic years. Some squads may still have small sample sizes within certain sport groups. In accordance with the Family Educational Rights and Privacy Act's (FERPA's) interpretation of federal privacy regulations, institutions should not disclose statistical data contained in this report for cells made up of three or fewer students without student consent.]

Sport	APR			Eligibility/Graduation		Retention	
	Multiyear Rate (N)	Multiyear Rate Upper Confidence Boundary	2016 - 2017 (N)	Multiyear Rate	2016 - 2017	Multiyear Rate	2016 - 2017
Baseball	976 (117)	N/A	1,000 (29)	948	1,000	987	1,000
Men's Basketball	1,000 (50)	N/A	1,000 (11)	990	1,000	989	1,000
Men's Cross Country	995 (47)	N/A	1,000 (9)	978	1,000	1,000	1,000
Men's Soccer	957 (96)	N/A	955 (24)	966	956	943	953
Men's Swimming	966 (123)	N/A	992 (31)	929	934	983	983
Men's Track	995 (142)	N/A	977 (32)	986	969	993	984
Women's Basketball	981 (58)	N/A	1,000 (15)	991	1,000	971	1,000
Women's Cross Country	993 (71)	N/A	1,000 (18)	971	971	993	1,000
Women's Soccer	998 (112)	N/A	1,000 (29)	995	1,000	1,000	1,000
Women's Swimming	994 (140)	N/A	1,000 (35)	981	1,000	989	1,000

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NCAA Division I 2016 - 2017 Academic Progress Rate Institutional Report

Institution: University of Wisconsin, Milwaukee

Date of Report: 03/18/2019

Sport	APR			Eligibility/Graduation		Retention	
	Multiyear Rate (N)	Multiyear Rate Upper Confidence Boundary	2016 - 2017 (N)	Multiyear Rate	2016 - 2017	Multiyear Rate	2016 - 2017
Women's Tennis	993 (38)	N/A	1,000 (9)	1,000	1,000	985	1,000
Women's Track	983 (153)	N/A	981 (41)	960	950	987	975
Women's Volleyball	995 (57)	N/A	1,000 (13)	991	1,000	990	1,000

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NCAA Division I 2015 - 2016 Academic Progress Rate Institutional Report

Institution: University of Wisconsin-Milwaukee

Date of Report: 03/18/2019

This report is based on NCAA Division I Academic Progress Rate (APR) data submitted by the institution for the 2012-13, 2013-14, 2014-15 and 2015-16 academic years. Institutions are encouraged to forward this report to appropriate institutional personnel on campus.

[Note: All information contained in this report is for four academic years. Some squads may still have small sample sizes within certain sport groups. In accordance with the Family Educational Rights and Privacy Act's (FERPA's) interpretation of federal privacy regulations, institutions should not disclose statistical data contained in this report for cells made up of three or fewer students without student consent.]

Sport	APR			Eligibility/Graduation		Retention	
	Multiyear Rate (N)	Multiyear Rate Upper Confidence Boundary	2015 - 2016 (N)	Multiyear Rate	2015 - 2016	Multiyear Rate	2015 - 2016
Baseball	967 (116)	N/A	941 (30)	943	898	982	966
Men's Basketball	965 (54)	N/A	957 (13)	942	958	960	955
Men's Cross Country	995 (51)	N/A	1,000 (12)	980	1,000	1,000	1,000
Men's Soccer	962 (98)	N/A	943 (24)	972	977	935	909
Men's Swimming	956 (124)	N/A	934 (32)	924	885	983	984
Men's Track	995 (147)	N/A	1,000 (39)	982	1,000	996	986
Women's Basketball	972 (59)	N/A	1,000 (15)	982	1,000	962	1,000
Women's Cross Country	984 (65)	N/A	971 (17)	976	971	984	971
Women's Soccer	993 (117)	N/A	991 (29)	991	982	995	1,000
Women's Swimming	980 (141)	N/A	1,000 (36)	963	986	977	1,000

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NCAA Division I 2015 - 2016 Academic Progress Rate Institutional Report

Institution: University of Wisconsin-Milwaukee

Date of Report: 03/18/2019

Sport	APR			Eligibility/Graduation		Retention	
	Multiyear Rate (N)	Multiyear Rate Upper Confidence Boundary	2015 - 2016 (N)	Multiyear Rate	2015 - 2016	Multiyear Rate	2015 - 2016
Women's Tennis	978 (37)	N/A	1,000 (9)	986	1,000	971	1,000
Women's Track	977 (145)	N/A	986 (36)	958	972	982	986
Women's Volleyball	991 (59)	N/A	964 (16)	982	964	991	964

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NCAA Division I 2014 - 2015 Academic Progress Rate Institutional Report

Institution: University of Wisconsin, Milwaukee

Date of Report: 03/18/2019

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Sport	APR			Eligibility/Graduation		Retention	
	Multiyear Rate (N)	Multiyear Rate Upper Confidence Boundary	2014 - 2015 (N)	Multiyear Rate	2014 - 2015	Multiyear Rate	2014 - 2015
Baseball	977 (114)	N/A	981 (28)	960	964	981	1,000
Men's Basketball	962 (54)	N/A	1,000 (13)	943	1,000	942	1,000
Men's Cross Country	995 (50)	N/A	1,000 (13)	980	1,000	1,000	1,000
Men's Soccer	954 (99)	N/A	975 (22)	949	975	941	974
Men's Swimming	960 (122)	N/A	966 (30)	928	948	987	966
Men's Track	987 (138)	N/A	1,000 (37)	967	1,000	1,000	1,000
Women's Basketball	968 (60)	N/A	939 (13)	982	960	953	917
Women's Cross Country	987 (60)	N/A	972 (19)	974	944	991	1,000
Women's Soccer	996 (118)	N/A	1,000 (28)	996	1,000	995	1,000
Women's Swimming	976 (140)	N/A	1,000 (36)	955	1,000	977	971

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NCAA Division I 2014 - 2015 Academic Progress Rate Institutional Report

Institution: University of Wisconsin, Milwaukee

Date of Report: 03/18/2019

Sport	APR			Eligibility/Graduation		Retention	
	Multiyear Rate (N)	Multiyear Rate Upper Confidence Boundary	2014 - 2015 (N)	Multiyear Rate	2014 - 2015	Multiyear Rate	2014 - 2015
Women's Tennis	978 (36)	N/A	970 (9)	986	1,000	970	938
Women's Track	978 (139)	N/A	987 (41)	960	950	985	1,000
Women's Volleyball	995 (56)	N/A	1,000 (14)	982	1,000	1,000	1,000

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ATTACHMENT D

Graduation Success Rate Report

2008 - 2011 Cohorts: University of Wisconsin, Milwaukee

Men's Sports			Women's Sports		
Sport	GSR	Fed Rate	Sport	GSR	Fed Rate
Baseball	60	47	Basketball	100	64
Basketball	56	44	Bowling	-	-
CC/Track	80	68	CC/Track	80	76
Fencing	-	-	Crew/Rowing	-	-
Football	-	-	Fencing	-	-
Golf	-	-	Field Hockey	-	-
Gymnastics	-	-	Golf	-	-
Ice Hockey	-	-	Gymnastics	-	-
Lacrosse	-	-	W. Ice Hockey	-	-
Mixed Rifle	-	-	Lacrosse	-	-
Skiing	-	-	Skiing	-	-
Soccer	67	36	Soccer	90	60
Swimming	85	75	Softball	-	-
Tennis	-	-	Swimming	81	61
Volleyball	-	-	Tennis	100	100
Water Polo	-	-	Volleyball	90	89
Wrestling	-	-	Water Polo	-	-
Men's Non-NCAA Sponsor. Sports	-	-	Women's Non-NCAA Sponsor. Sports	-	-

University of Wisconsin, Milwaukee

FRESHMAN-COHORT GRADUATION RATES	All Students	Student-Athletes #
2011-12 Graduation Rate	45%	70%
Four-Class Average	45%	63%
Student-Athlete Graduation Success Rate		78%

1. Graduation-Rates Data

a. All Students

	Men				Women				Total			
	2011-12		4-Class		2011-12		4-Class		2011-12		4-Class	
	N	%	N	%	N	%	N	%	N	%	N	%
Am. Ind./AN	6	17	24	25	5	20	29	34	11	18	53	30
Asian	113	46	370	45	87	46	355	49	200	46	725	47
Black	105	20	327	22	125	18	515	24	230	19	842	23
Hispanic	40	30	171	33	45	24	185	30	85	27	356	32
Nat. Haw./PI	***	***	7	0	***	***	5	40	***	***	12	17
N-R Alien	32	59	93	63	18	67	54	63	50	62	147	63
Two or More	98	32	359	37	153	41	469	43	251	37	828	40
Unknown	***	***	24	13	***	***	25	20	***	***	49	16
White	1092	49	5306	47	1198	48	5134	48	2290	49	10440	48
Total	1489	45	6681	45	1633	45	6771	46	3122	45	13452	45

b. Student-Athletes

	Men						Women						Total					
	2011-12		4-Class		GSR		2011-12		4-Class		GSR		2011-12		4-Class		GSR	
	N	%	N	%	N	%	N	%	N	%	N	%	N	%	N	%	N	%
Am. Ind./AN	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***
Asian	0	-	***	***	0	-	0	-	***	***	0	-	0	-	***	***	0	-
Black	***	***	8	38	13	54	***	***	10	80	10	90	***	***	18	61	23	70
Hispanic	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***
Nat. Haw./PI	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-
N-R Alien	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***
Two or More	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***
Unknown	***	***	5	0	5	40	***	***	5	60	4	100	***	***	10	30	9	67
White	21	76	97	63	98	74	22	82	99	70	88	85	43	79	196	66	186	80
Total	25	68	118	57	122	71	29	72	120	69	105	87	54	70	238	63	227	78

c. Student-Athletes by Sport Category

Baseball				Men's Basketball				Men's CC/Track			
% -N				% -N				% -N			
2011-12	4-Class	GSR		2011-12	4-Class	GSR		2011-12	4-Class	GSR	
Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-	Am. Ind./AN	-	100-a	100-a
Asian	-	-	-	Asian	-	-	-	Asian	-	-	-
Black	-	-	-	Black	0-a	0-a	43-b	Black	100-a	75-a	75-a
Hispanic	-	-	-	Hispanic	-	-	-	Hispanic	-	-	-
Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-
N-R Alien	-	-	-	N-R Alien	-	-	-	N-R Alien	-	-	100-a
Two or More	-	-	-	Two or More	-	-	-	Two or More	-	-	-
Unknown	-	-	-	Unknown	-	-	100-a	Unknown	-	0-a	0-a
White	67-a	47-d	60-e	White	100-a	67-b	63-b	White	71-b	68-e	83-e
Total	67-a	47-d	60-e	Total	50-a	44-b	56-d	Total	75-b	68-e	80-e

Football				Men's Other			
% -N				% -N			
2011-12	4-Class	GSR		2011-12	4-Class	GSR	
Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-
Asian	-	-	-	Asian	-	0-a	-
Black	-	-	-	Black	-	0-a	50-a
Hispanic	-	-	-	Hispanic	-	0-a	0-a
Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-
N-R Alien	-	-	-	N-R Alien	0-a	25-a	100-a
Two or More	-	-	-	Two or More	-	100-a	100-a
Unknown	-	-	-	Unknown	-	0-a	33-a
White	-	-	-	White	80-b	66-e	81-e
Total	-	-	-	Total	67-c	55-e	76-e

Women's Basketball				Women's CC/Track				Women's Other			
% -N				% -N				% -N			
2011-12	4-Class	GSR		2011-12	4-Class	GSR		2011-12	4-Class	GSR	
Am. Ind./AN	0-a	0-a	-	Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-
Asian	-	-	-	Asian	-	-	-	Asian	-	-	-
Black	50-a	50-a	100-a	Black	100-a	86-b	88-b	Black	-	100-a	100-a
Hispanic	-	100-a	100-a	Hispanic	-	-	-	Hispanic	0-a	50-a	100-a
Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-
N-R Alien	-	-	-	N-R Alien	-	-	-	N-R Alien	-	100-a	100-a
Two or More	0-a	0-a	-	Two or More	-	-	-	Two or More	-	-	-
Unknown	-	-	-	Unknown	-	100-a	100-a	Unknown	100-a	33-a	100-a
White	100-a	78-b	100-b	White	83-b	70-d	75-d	White	80-c	69-e	87-e
Total	40-a	64-c	100-b	Total	86-b	76-e	80-e	Total	76-d	68-e	88-e

Values for N (a. 1-5, b. 6-10, c. 11-15, d. 16-20, e. greater than 20)

2. Undergraduate-Enrollment Data (All full-time baccalaureate-degree seeking students enrolled 2017-18)

a. All Students	Men N	Women N	Total N	b. Student-athletes #	Men N	Women N	Total N
Am. Ind./AN	38	34	72	Am. Ind./AN	3	2	5
Asian	512	485	997	Asian	3	1	4
Black	551	926	1477	Black	9	9	18
Hispanic	307	341	648	Hispanic	3	3	6
Nat. Haw./PI	8	8	16	Nat. Haw./PI	0	0	0
N-R Alien	490	270	760	N-R Alien	2	1	3
Two or More	1234	1507	2741	Two or More	3	6	9
Unknown	15	15	30	Unknown	1	1	2
White	6285	6856	13141	White	107	126	233
Total	9440	10442	19882	Total	131	149	280

c. Student-Athletes # By Sports Category

Men	Basketball	Baseball	CC/Track	Football	Other
Am. Ind./AN	0	1	0	0	2
Asian	1	1	0	0	1
Black	6	0	3	0	0
Hispanic	0	0	1	0	2
Nat. Haw./PI	0	0	0	0	0
N-R Alien	0	0	0	0	2
Two or More	0	1	0	0	2
Unknown	0	0	1	0	0
White	4	26	30	0	47
Total	11	29	35	0	56

Women	Basketball	CC/Track	Other
Am. Ind./AN	1	1	0
Asian	0	0	1
Black	3	4	2
Hispanic	0	1	2
Nat. Haw./PI	0	0	0
N-R Alien	0	0	1
Two or More	0	3	3
Unknown	0	0	1
White	11	37	78
Total	15	46	88

#Only student-athletes receiving athletics aid are included in this report.



INFORMATION ABOUT THE GRADUATION RATES INSTITUTION REPORT

Introduction.

This information sheet and the NCAA Graduation Rates Institution Report have been prepared by the NCAA, based on data provided by the institution in compliance with NCAA Bylaw 18.4.2.2.1 (admissions and graduation-rate disclosure) and the federal Student Right-to-Know and Campus Security Act. Please note, the NCAA will make this report public.

The Graduation Rates Institution Report gives graduation information about the most recent six-year graduating class of students and student-athletes who entered as freshmen in 2011-12. The graduation rate (percent) is based on a comparison of the number (N) of students who entered a college or university and the number of those who graduated within six years. For example, if 100 students entered and 60 graduated within six years, the graduation rate is 60 percent.

The Graduation Rates Institution Report provides information about two groups of students:

1. All Students. All undergraduate students who were enrolled in a full-time program of studies for a baccalaureate degree; and
2. Student-Athletes. Student-athletes who received athletics aid from the school for any period of time during their entering year. [Note: Athletics aid is a grant, scholarship, tuition waiver or other assistance from a college or university that is awarded on the basis of a student's athletics ability.]

Two different measures of graduation rates are presented in this report: Federal Graduation Rate and NCAA Division I Graduation Success Rate (GSR). The Federal Graduation Rate indicates the percentage of freshmen who entered and received athletics aid during a given academic year who graduated within six years. The GSR adds to the first-time freshmen, those students who entered midyear as well as student-athletes who transferred into an institution and received athletics aid. Both the Federal Graduation Rate and the GSR subtract students from the entering cohort who are considered allowable exclusions (i.e., those who either die or become permanently disabled, those who leave the school to join the armed forces, foreign services or attend a religious mission). In addition, the GSR subtracts those who left the institution prior to graduation, had athletics eligibility remaining and would have been academically eligible to compete had they returned to the institution.

Graduation Rates Report.

The box at the top of the Graduation Rates Institution Report provides the most recent class (i.e., 2011-12) and four-class Federal Graduation Rate (i.e., 2008-09 through 2011-12) for all students and for student-athletes who received athletics aid at this school. Additionally, this box provides GSR data (i.e., 2008-09 through 2011-12) for student-athletes.

1. Graduation-Rates Data. The second section of the report provides Federal Graduation Rate and GSR data for all students, student-athletes and student-athletes by sport group. **[Note: Pursuant to the Student-Right-to-Know Act, anytime a cell containing cohort numbers includes only one or two students, the data in that cell and one other will be suppressed so that no individual can be identified.]**
 - a. All Students. This section provides the freshman-cohort graduation rates for all full-time, degree-seeking students by race or ethnic group. It shows the rate for men who entered as freshmen in 2011-12 and the four-class average, which includes those who entered as freshmen in 2008-09, 2009-10, 2010-11 and 2011-12. The same rates are provided for women. The total for 2011-12 is the rate for men and women combined, and the four-class average is for all students who entered in 2008-09, 2009-10, 2010-11 and 2011-12.
 - b. Student-Athletes. This section provides the freshman-cohort graduation rates and the GSR for student-athletes in each race and ethnic group who received athletics aid. Information is provided for men and women separately and for all student-athletes.
 - c. Student-Athletes by Sports Categories. This section provides the identified graduation rates as in 1-b for each of the eight sports categories. (The small letters indicate the value of N according to the legend at the bottom of 1-c.)
2. Undergraduate-Enrollment Data.
 - a. All Students. This section indicates the total number of full-time, undergraduate, baccalaureate, degree-seeking students enrolled for the 2017 fall term and the number of men and women in each racial or ethnic group not just freshmen).
 - b. Student-Athletes. This section identifies how many student-athletes were enrolled and received athletics aid for the 2017-18 academic year and the number of men and women in each racial or ethnic group.
 - c. Student-Athletes by Sports Categories. This section provides the enrollment data as identified in 2-b for each of the eight sports categories.

Graduation Success Rate Report

2007 - 2010 Cohorts: University of Wisconsin, Milwaukee

Men's Sports			Women's Sports		
Sport	GSR	Fed Rate	Sport	GSR	Fed Rate
Baseball	57	50	Basketball	82	69
Basketball	38	27	Bowling	-	-
CC/Track	74	59	CC/Track	79	71
Fencing	-	-	Crew/Rowing	-	-
Football	-	-	Fencing	-	-
Golf	-	-	Field Hockey	-	-
Gymnastics	-	-	Golf	-	-
Ice Hockey	-	-	Gymnastics	-	-
Lacrosse	-	-	W. Ice Hockey	-	-
Mixed Rifle	-	-	Lacrosse	-	-
Skiing	-	-	Skiing	-	-
Soccer	60	34	Soccer	91	63
Swimming	80	67	Softball	-	-
Tennis	-	-	Swimming	87	64
Volleyball	-	-	Tennis	100	88
Water Polo	-	-	Volleyball	78	75
Wrestling	-	-	Water Polo	-	-
Men's Non-NCAA Sponsor. Sports	-	-	Women's Non-NCAA Sponsor. Sports	-	-

University of Wisconsin, Milwaukee

FRESHMAN-COHORT GRADUATION RATES

	All Students	Student-Athletes #
2010-11 Graduation Rate	43%	72%
Four-Class Average	46%	60%
Student-Athlete Graduation Success Rate		74%

1. Graduation-Rates Data

a. All Students

	Men				Women				Total			
	2010-11		4-Class		2010-11		4-Class		2010-11		4-Class	
	N	%	N	%	N	%	N	%	N	%	N	%
Am. Ind./AN	5	60	26	27	6	33	42	26	11	45	68	26
Asian	95	33	363	42	96	48	345	48	191	40	708	45
Black	91	23	293	24	148	26	493	25	239	25	786	25
Hispanic	40	30	180	33	55	40	196	30	95	36	376	31
Nat. Haw./PI	1	0	5	0	3	67	5	40	4	50	10	20
N-R Alien	24	58	85	67	15	67	44	59	39	62	129	64
Two or More	102	35	305	45	124	43	363	47	226	39	668	46
Unknown	1	0	35	6	2	100	38	8	3	67	73	7
White	1560	44	5747	47	1169	46	5471	49	2729	45	11218	48
Total	1919	42	7039	45	1618	44	6997	46	3537	43	14036	46

b. Student-Athletes

	Men						Women						Total					
	2010-11		4-Class		GSR		2010-11		4-Class		GSR		2010-11		4-Class		GSR	
	N	%	N	%	N	%	N	%	N	%	N	%	N	%	N	%	N	%
Am. Ind./AN	0	-	1	100	1	100	0	-	0	-	0	-	0	-	1	100	1	100
Asian	0	-	1	0	0	-	0	-	0	-	0	-	0	-	1	0	0	-
Black	2	100	11	18	15	33	2	100	10	70	11	73	4	100	21	43	26	50
Hispanic	1	0	2	0	1	0	1	100	2	100	2	100	2	50	4	50	3	67
Nat. Haw./PI	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-
N-R Alien	2	50	3	67	4	100	0	-	1	100	1	100	2	50	4	75	5	100
Two or More	0	-	1	100	1	100	0	-	0	-	0	-	0	-	1	100	1	100
Unknown	1	0	8	0	6	33	2	100	6	50	4	100	3	67	14	21	10	60
White	25	68	93	59	88	69	22	77	106	68	91	86	47	72	199	64	179	78
Total	31	65	120	51	116	64	27	81	125	68	109	85	58	72	245	60	225	74

c. Student-Athletes by Sport Category

Baseball				Men's Basketball				Men's CC/Track			
% - N				% - N				% - N			
2010-11	4-Class	GSR		2010-11	4-Class	GSR		2010-11	4-Class	GSR	
Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-	Am. Ind./AN	-	100-a	100-a
Asian	-	-	-	Asian	-	-	-	Asian	-	-	-
Black	-	-	-	Black	-	0-b	22-b	Black	100-a	67-a	67-a
Hispanic	-	-	-	Hispanic	-	-	-	Hispanic	-	-	-
Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-
N-R Alien	-	-	-	N-R Alien	-	-	-	N-R Alien	-	-	100-a
Two or More	-	-	-	Two or More	-	-	-	Two or More	-	-	-
Unknown	-	-	-	Unknown	-	-	100-a	Unknown	-	0-a	0-a
White	57-b	50-d	57-e	White	50-a	60-a	50-b	White	63-b	62-e	76-e
Total	57-b	50-d	57-e	Total	50-a	27-c	38-d	Total	70-b	59-e	74-e

Football				Men's Other			
% - N				% - N			
2010-11	4-Class	GSR		2010-11	4-Class	GSR	
Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-
Asian	-	-	-	Asian	-	0-a	-
Black	-	-	-	Black	-	0-a	33-a
Hispanic	-	-	-	Hispanic	0-a	0-a	0-a
Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-
N-R Alien	-	-	-	N-R Alien	50-a	67-a	100-a
Two or More	-	-	-	Two or More	-	100-a	100-a
Unknown	-	-	-	Unknown	0-a	0-b	25-a
White	-	-	-	White	88-b	61-e	76-e
Total	-	-	-	Total	67-c	51-e	70-e

Women's Basketball				Women's CC/Track				Women's Other			
% - N				% - N				% - N			
2010-11	4-Class	GSR		2010-11	4-Class	GSR		2010-11	4-Class	GSR	
Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-
Asian	-	-	-	Asian	-	-	-	Asian	-	-	-
Black	-	0-a	0-a	Black	100-a	86-b	88-b	Black	100-a	100-a	100-a
Hispanic	100-a	100-a	100-a	Hispanic	-	-	-	Hispanic	-	100-a	100-a
Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-
N-R Alien	-	-	-	N-R Alien	-	-	-	N-R Alien	-	100-a	100-a
Two or More	-	-	-	Two or More	-	-	-	Two or More	-	-	-
Unknown	-	-	-	Unknown	100-a	100-a	100-a	Unknown	-	25-a	100-a
White	67-a	80-b	100-b	White	67-a	63-d	72-d	White	81-d	68-e	88-e
Total	75-a	69-c	82-c	Total	83-b	71-e	79-e	Total	82-d	67-e	89-e

Values for N (a. 1-5, b. 6-10, c. 11-15, d. 16-20, e. greater than 20)

2. Undergraduate-Enrollment Data (All full-time baccalaureate-degree seeking students enrolled 2016-17)

a. All Students	Men N	Women N	Total N	b. Student-athletes #	Men N	Women N	Total N
Am. Ind./AN	45	43	88	Am. Ind./AN	0	1	1
Asian	570	513	1083	Asian	0	0	0
Black	601	986	1587	Black	6	12	18
Hispanic	307	348	655	Hispanic	0	0	0
Nat. Haw./PI	12	9	21	Nat. Haw./PI	0	0	0
N-R Alien	519	258	777	N-R Alien	0	0	0
Two or More	1100	1425	2525	Two or More	0	0	0
Unknown	23	20	43	Unknown	21	16	37
White	6640	6991	13631	White	124	125	249
Total	9817	10593	20410	Total	151	154	305

c. Student-Athletes # By Sports Category

Men	Basketball	Baseball	CC/Track	Football	Other
Am. Ind./AN	0	0	0	0	0
Asian	0	0	0	0	0
Black	4	0	1	0	1
Hispanic	0	0	0	0	0
Nat. Haw./PI	0	0	0	0	0
N-R Alien	0	0	0	0	0
Two or More	0	0	0	0	0
Unknown	1	0	6	0	14
White	11	36	28	0	49
Total	16	36	35	0	64

Women	Basketball	CC/Track	Other
Am. Ind./AN	1	0	0
Asian	0	0	0
Black	2	6	4
Hispanic	0	0	0
Nat. Haw./PI	0	0	0
N-R Alien	0	0	0
Two or More	0	0	0
Unknown	1	4	11
White	11	32	82
Total	15	42	97

#Only student-athletes receiving athletics aid are included in this report.

Graduation Success Rate Report

2006 - 2009 Cohorts: University of Wisconsin, Milwaukee

Men's Sports

Sport	GSR	Fed Rate
Baseball	53	50
Basketball	36	17
CC/Track	64	50
Fencing	-	-
Football	-	-
Golf	-	-
Gymnastics	-	-
Ice Hockey	-	-
Lacrosse	-	-
Mixed Rifle	-	-
Skiing	-	-
Soccer	56	25
Swimming	72	62
Tennis	-	-
Volleyball	-	-
Water Polo	-	-
Wrestling	-	-
Men's Non-NCAA Sponsor. Sports	-	-

Women's Sports

Sport	GSR	Fed Rate
Basketball	83	75
Bowling	-	-
CC/Track	81	71
Crew/Rowing	-	-
Fencing	-	-
Field Hockey	-	-
Golf	-	-
Gymnastics	-	-
W. Ice Hockey	-	-
Lacrosse	-	-
Skiing	-	-
Soccer	82	56
Softball	-	-
Swimming	81	59
Tennis	100	75
Volleyball	80	78
Water Polo	-	-
Women's Non-NCAA Sponsor. Sports	-	-

University of Wisconsin, Milwaukee

FRESHMAN-COHORT GRADUATION RATES	All Students	Student-Athletes #
2009-10 Graduation Rate	45%	48%
Four-Class Average	45%	56%
Student-Athlete Graduation Success Rate		71%

1. Graduation-Rates Data

a. All Students

	Men				Women				Total			
	2009-10		4-Class		2009-10		4-Class		2009-10		4-Class	
	N	%	N	%	N	%	N	%	N	%	N	%
Am. Ind./AN	7	29	34	18	9	44	58	33	16	38	92	27
Asian	74	53	312	44	87	39	292	48	161	45	604	46
Black	76	24	286	23	125	25	522	22	201	24	808	22
Hispanic	45	44	210	29	40	25	215	29	85	35	425	29
Nat. Haw./PI	***	***	51	22	***	***	72	26	***	***	123	24
N-R Alien	24	71	75	67	13	54	33	48	37	65	108	61
Two or More	86	35	203	49	85	45	239	49	171	40	442	49
Unknown	***	***	63	21	***	***	68	19	***	***	131	20
White	1342	48	5830	47	1384	47	5979	49	2726	47	11809	48
Total	1661	46	7064	45	1753	44	7478	46	3414	45	14542	45

b. Student-Athletes

	Men						Women						Total					
	2009-10		4-Class		GSR		2009-10		4-Class		GSR		2009-10		4-Class		GSR	
	N	%	N	%	N	%	N	%	N	%	N	%	N	%	N	%	N	%
Am. Ind./AN	0	-	***	***	***	***	0	-	***	***	***	***	0	-	***	***	***	***
Asian	***	***	***	***	0	-	***	***	***	***	0	-	***	***	***	***	0	-
Black	***	***	12	0	10	20	***	***	14	71	14	71	***	***	26	38	24	50
Hispanic	0	-	***	***	***	***	0	-	***	***	***	***	0	-	***	***	***	***
Nat. Haw./PI	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-
N-R Alien	0	-	***	***	***	***	0	-	***	***	***	***	0	-	***	***	***	***
Two or More	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***
Unknown	***	***	8	0	***	***	***	***	4	25	***	***	***	***	12	8	***	***
White	18	44	83	55	81	64	29	59	106	66	88	84	47	53	189	61	169	75
Total	25	36	108	45	101	59	35	57	127	65	106	83	60	48	235	56	207	71

c. Student-Athletes by Sport Category

Baseball				Men's Basketball				Men's CC/Track			
% -N				% -N				% -N			
2009-10	4-Class	GSR		2009-10	4-Class	GSR		2009-10	4-Class	GSR	
Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-	Am. Ind./AN	-	100-a	100-a
Asian	-	-	-	Asian	-	-	-	Asian	-	-	-
Black	-	-	-	Black	0-a	0-b	14-b	Black	-	0-a	0-a
Hispanic	-	-	-	Hispanic	-	-	-	Hispanic	-	-	-
Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-
N-R Alien	-	-	-	N-R Alien	-	-	100-a	N-R Alien	-	-	100-a
Two or More	-	-	-	Two or More	-	-	-	Two or More	-	-	-
Unknown	-	-	-	Unknown	-	-	-	Unknown	-	0-a	0-a
White	25-a	50-c	53-d	White	-	50-a	50-b	White	60-a	56-e	67-e
Total	25-a	50-c	53-d	Total	0-a	17-c	36-c	Total	60-a	50-e	64-e
Football				Men's Other							
% -N				% -N							
2009-10	4-Class	GSR		2009-10	4-Class	GSR					
				Am. Ind./AN	-	-	-				
				Asian	0-a	0-a	-				
				Black	-	0-a	50-a				
				Hispanic	-	0-a	-				
				Nat. Haw./PI	-	-	-				
				N-R Alien	-	100-a	100-a				
				Two or More	100-a	100-a	100-a				
				Unknown	0-a	0-b	0-a				
				White	44-b	57-e	70-e				
				Total	36-c	48-e	67-e				
Women's Basketball				Women's CC/Track				Women's Other			
% -N				% -N				% -N			
2009-10	4-Class	GSR		2009-10	4-Class	GSR		2009-10	4-Class	GSR	
Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-	Am. Ind./AN	-	0-a	-
Asian	-	-	-	Asian	-	-	-	Asian	-	-	-
Black	-	60-a	60-a	Black	75-a	75-b	75-b	Black	-	100-a	100-a
Hispanic	-	-	-	Hispanic	-	-	-	Hispanic	-	100-a	100-a
Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-
N-R Alien	-	-	-	N-R Alien	-	-	-	N-R Alien	-	100-a	100-a
Two or More	-	-	-	Two or More	-	-	-	Two or More	-	-	-
Unknown	-	-	-	Unknown	-	-	-	Unknown	0-a	25-a	100-a
White	67-a	86-b	100-b	White	75-a	70-d	83-d	White	55-e	63-e	83-e
Total	67-a	75-c	83-c	Total	75-b	71-e	81-e	Total	50-e	62-e	84-e

Values for N (a. 1-5, b. 6-10, c. 11-15, d. 16-20, e. greater than 20)

2. Undergraduate-Enrollment Data (All full-time baccalaureate-degree seeking students enrolled 2015-16)

a. All Students	Men N	Women N	Total N	b. Student-athletes #	Men N	Women N	Total N
Am. Ind./AN	38	53	91	Am. Ind./AN	0	0	0
Asian	618	573	1191	Asian	0	0	0
Black	696	1010	1706	Black	9	10	19
Hispanic	324	333	657	Hispanic	0	0	0
Nat. Haw./PI	12	10	22	Nat. Haw./PI	0	0	0
N-R Alien	555	297	852	N-R Alien	0	0	0
Two or More	1033	1259	2292	Two or More	0	0	0
Unknown	22	22	44	Unknown	43	33	76
White	7024	7319	14343	White	85	97	182
Total	10322	10876	21198	Total	137	140	277

c. Student-Athletes # By Sports Category

Men	Basketball	Baseball	CC/Track	Football	Other
Am. Ind./AN	0	0	0	0	0
Asian	0	0	0	0	0
Black	4	0	4	0	1
Hispanic	0	0	0	0	0
Nat. Haw./PI	0	0	0	0	0
N-R Alien	0	0	0	0	0
Two or More	0	0	0	0	0
Unknown	2	12	9	0	20
White	7	17	26	0	35
Total	13	29	39	0	56

Women	Basketball	CC/Track	Other
Am. Ind./AN	0	0	0
Asian	0	0	0
Black	2	6	2
Hispanic	0	0	0
Nat. Haw./PI	0	0	0
N-R Alien	0	0	0
Two or More	0	0	0
Unknown	4	9	20
White	9	20	68
Total	15	35	90

#Only student-athletes receiving athletics aid are included in this report.

ATTACHMENT E

Academic Progress Rate Comparision Horizon League 2016-17

[illegible]

Graduation Success Rate Comparision Horizon League 2017-2018

[illegible]

ATTACHMENT F



Ms. Jessica Kumke
Milwaukee Athletics
P.O. Box 413
The Pavilion – Room 150
Milwaukee, WI 53201

Dear Jessica:

I have attached a copy of Milwaukee's 2015-16 Horizon League Compliance Review. I apologize for the delay in finalizing the written report after the on-campus visit. As you will see in the pages that follow, there are several strengths in the compliance department but the biggest strength of Milwaukee's in the area of compliance is the institutional personnel's commitment to adhering to NCAA rules. This university-wide commitment to compliance is reflected in many areas, including the collaboration and long-standing cooperation between non-athletic departments, such as Admissions, Financial Aid, University Registrar, and the Athletic Department. In addition, athletic administrators and coaches have a good understanding of the importance of rules compliance and appear committed to staying within the NCAA rules.

You will note that I have indicated a number of suggestions for improvement throughout this report. I want to remind you that all suggestions are voluntary, but are recommended actions that I believe would help improve your compliance department. The area of most importance to address in the short run is supplying the athletics department a secondary contact in the Registrar and Financial aid offices, as all athletic liaisons have lengthy tenures and need to begin training replacements. These tenured professionals are of great assistance and have vast institutional/NCAA knowledge, but the institution will be open to risk should these individuals depart without training a replacement. In addition, a few suggestions for improvement, among others you will read, that are included in the report: 1) Enhance the use of technology in the compliance program to ease the burden on coaches, the Assistant AD for Compliance, and the Compliance Coordinator; 2) Include someone outside of athletics involved in the eligibility certification; and 3) Implement a formal process for tracking facility rentals.

Please feel free to contact me with any questions or concerns you may have. I also want to thank you and your entire staff for your hospitality during the review. Everyone was well prepared, and Jessica did a great job ensuring that I had everything I needed for the review. Again, I am sorry for the delayed sending of this written report.

Sincerely,

Kyle Fairchild
Assistant Commissioner for Governance and Compliance

Cc: Amanda Braun, Athletics Director

2015 Horizon League Compliance Review
December 2-3, 2015
University of Wisconsin Milwaukee

A. Governance and Institutional Control

Current Strengths:

1. The Director of Athletics reports directly to the Vice Chancellor for Student Affairs with a dotted line to the Chancellor. The Director of Athletics is a member of the Chancellor's Cabinet. Both reporting lines meet and communicate regularly (i.e. at least monthly on a formal basis) with the Director of Athletics and are kept apprised of all important matters regarding NCAA rules compliance.
2. The Chancellor and Vice Chancellor are notified of all issues that arise in the area of NCAA rules compliance in a timely manner and are involved in all major personnel decisions, including the hiring and firing of high profile coaches.
3. The Faculty Athletic Representative communicates consistently (i.e. two formal meetings per year as well as prior to all in-person League meetings) with the Chancellor. The Director of Athletics meets with the Faculty Athletics Representative one time per month.
4. There is an established Athletic Board that meets one time per month to discuss athletic issues, of which the FAR is a member to provide the outside of athletics perspective on issues.
5. There are established procedures for the reporting and investigation of alleged rules violations. The Assistant AD for Compliance leads the paperwork and follow-up for alleged rules violations. The Chancellor is kept apprised of violations.
6. Job descriptions and coaches' contracts reference compliance with NCAA rules and address consequences of the institution or NCAA discovering a major violation including unethical conduct.
7. The Chancellor is kept apprised of and signs off on NCAA graduation metrics such as APR, GSR and academic success after discussing with the Director of Athletics at a high level.
8. A missed class policy specific to athletes is in place that is signed by the FAR. In addition, early registration for athletics minimizes missed class time for athletic reasons.

9. The Vice Chancellor for Student Affairs handles athletics budgeting oversight. The Director of Athletics reports to the Faculty Senate each semester on key issues.
10. The athletic department receives outstanding support from the financial aid office admissions office, and university registrar. The liaisons in each of these offices have established excellent working relationships with the Assistant AD for Compliance. The liaisons and athletics department staff members have lengthy tenures at the university; therefore, everyone is comfortable with and adheres to the compliance processes.
11. The athletic department is audited on an annual basis by a group of outside auditors.
12. The FAR is involved at beginning of the year athletics meetings, also meets with athletics monthly. The FAR is also involved on the institution's athletics board.

Suggestion for Improvement:

1. The Assistant AD for Compliance has a dotted line report to the Chancellor, however does not currently meet with or communicate key or confidential institutional compliance issues on a normal basis. Implementing a more uniform or consistent communication line or meeting structure would provide the institution further protection from an NCAA institutional control violation.
2. The NCAA is requiring more monitoring in compliance than ever before. Milwaukee has tenured, long-standing distinct individuals in campus departments such as the registrar and financial aid that deal with student-athlete eligibility and scholarships. A backup individual liaison to athletics in each of these departments is necessary in order to insulate athletics from risk in the event of an immediate departure or extended time period to train a new liaison.

B. Eligibility Certification

Current Strengths:

1. Student-athletes have an enrollment limit placed on their account the Friday before classes start each semester to ensure that student-athletes cannot drop below full-time status of 12 hours. A weekly full-time credit report is run through the Registrar's office.
2. The Registrar has access to Compliance Assistant software, rolls over the eligibility data in the software each year, and enters student-athlete data for

eligibility based on the student-athlete list provided by the Assistant AD for Compliance.

3. Transfer student-athlete eligibility is handled by a specific transfer credit team. This allows for determinations on what credits are transferrable to be determined by experts instead of solely someone in athletics.
4. The Registrar is the final reviewer of both APR and GSR data after the Assistant AD for Compliance inputs data, which demonstrates institutional control.
5. The Registrar liaisons attend the NCAA Compliance Rules Seminars and supplement current NCAA rules education through educational sessions with the Assistant AD for Compliance.
6. The Admissions and Registrar offices do not receive undue pressure from any athletics department staff members or coaches regarding the admissions status of prospective student-athletes or eligibility status of current student-athletes since all communication comes through the Assistant AD for Compliance.
7. The Admissions and Registrar liaison have athletics responsibilities listed in their job descriptions.
8. The Admissions office follows the standard process for all special admissions, not just athletes, which includes admission into the University Opportunity Center.

Suggestions for Improvement:

1. The list of student-athletes is sent to the Registrar from the Assistant AD for Compliance without the Registrar being able to check in the institutional system whether or not a student is in fact a student-athlete. If the institutional system had a group indicator for student-athletes to cross check the athletics department list, it would ensure that no student-athletes are missed in full-time credit checks or eligibility certification.
2. Only three individuals, two from the Registrar and the Assistant AD for Compliance, are involved in eligibility certification each semester (i.e. credits hour requirements, percentage towards degree and GPA). Student-athletes' on-campus advisor is involved in certification by completing specific student-athlete degree audits that then are provided to Registrar and athletics. It would be prudent to have an additional person outside of athletics who is familiar with requirements from specific colleges on the eligibility committee. With the FAR not currently involved in eligibility certification, a suggested solution would be to make the FAR this "person outside of athletics."

3. It would be beneficial for the Admissions liaisons to athletics to attend the NCAA Compliance Rules Seminars. It is important for them to supplement their current NCAA rules education and attendance offers a good learning opportunity.
4. The Admissions office should flag all student-athletes once they are admitted so that the Assistant AD for Compliance does not have to continually ask about student-athlete's admission status. If they are flagged, a report could potentially be provided on a normal timeline from admissions to ease the burden on tracking student-athlete admission.

C. Academic Support

Current Strengths:

1. In addition to an assigned primary on-campus advisor, the athletic academic advisors are a secondary advisor to all student-athletes throughout their career at the university. Academic advisors have a manageable work load based on student count.
2. Student-athletes have good access to tutors through tutoring services. All student-athletes have an opportunity to meet with a tutor. Academic advisors, tutors and student instructors are trained and educated on academic fraud as well as NCAA rules by the Assistant AD for Compliance in collaboration with the academic center prior to involvement with student-athletes.
3. Freshman and transfers having to be involved in a study table is a normal protocol in DI, but a good practice that the institution follows, nonetheless. For study tables, the GPA threshold is set per team after consultation with the academic center. Men's and women's basketball having objective based study table goals is a strength of the academic support system, with student-athletes not able to practice if not meeting objectives. Class checks are done for those student-athletes where poor attendance is suspected.
4. With three full-time employees in academics, the athletics department has adequate resources to support student-athletes, including at-risk students. The Accessibility Resource Center is a great resource to assist at-risk student-athletes. The institution has a procedure in place to go through procedures to test student-athletes for learning disabilities. These at-risk student-athletes are tracked with more frequency, and many are tracked in smaller groups by coaching staffs.

Suggestion for Improvement:

1. In addition to the advisors attendance to NCAA that already takes place, it would be valuable for the institution to provide the resources for the academic advisor team to attend Regional Rules, especially if it is within driving distance as it takes place in Indianapolis every other year.

D. Financial Aid Monitoring

Current Strengths:

1. The Assistant AD for Compliance and the Director of Financial Aid communicate regularly regarding the aid received by student-athletes, and inform each other of any outside aid a student-athlete receives. Outside aid is not inputted into the system until it is approved on an athletics form and sent to financial aid.
2. The Director of Financial Aid has athletics listed in her job description and is the one primary contact with athletics. The director attends the NCAA Regional Rules Seminar.
3. The Director of Financial Aid is the final sign off for institution squad lists aside from getting coaches to sign prior to filing.
4. The Compliance office and the Financial Aid office have a procedure in place for indicating non-renewal, renewal and reduction. There is a form for change of status that the student-athlete signs indicating understanding of the change. The institution also has a written policy and procedure in place for hearings related to cancellation/non-renewal of financial aid.
5. All student-athletes are part of an NCAA group in the financial aid system, which is the same group used for the Registrar. Not just students on aid are in this group, but all student-athletes with a roster spot. This ensures that the financial aid department system cannot award excess or impermissible aid to student-athletes.
6. The Financial Aid department includes an appeals process policy in the student-athlete handbook.
7. The Director of Financial Aid has attended the NCAA Compliance Rules Seminars in the past. She is educated about NCAA rules and through the seminar has developed a network of other financial aid personnel to contact if he has questions or wants to discuss a topic.

Suggestion for Improvement:

1. The athletics department sends the financial aid appeal letters to the coach, student-athlete, financial aid office, Financial Aid Director and sport administrator. By NCAA rule, notification of the opportunity for an appeal letter must be sent by the institution's regular financial aid authority.

2. Even though financial aid data is confirmed by the Director of Financial Aid, include a checks and balance between the Director of Financial Aid and athletics for the inputting (or after the data has been input) of athletics financial aid into the NCAA Compliance Assistant Software and into the UW system. This check and balance should go both ways; Director of Financial Aid to athletics, and athletics to Director of Financial Aid.
3. Begin to train a secondary financial aid contact with athletics in the event that the primary contact is unavailable or is no longer with the institution, as the primary contact is the sole knowledgeable person about athletics in the financial aid office.

E. Recruitment Monitoring

Current Strengths:

1. Official visit monitoring is very good. Prior to a visit, a preliminary evaluation of transcripts are completed as a best practice. Coaches are required to submit paperwork to the Compliance Coordinator prior to the visit and only receive approval from compliance upon receipt of a signed copy of such paperwork. Student hosts are given written education about the do's and don'ts of hosting, understand that they have to fill out forms to return to coaches and are required to document expenses.
2. Coaches are required to submit recruiting logs in paper form to the compliance office on a monthly basis. All coaches interviewed appeared to have a good system for ensuring the initial permissible call is logged and evaluation days are tracked.
3. For some sports, complimentary tickets for athletes and coaches are handled electronically and each ticket must list name and relationship to ensure tickets are not going to the incorrect individuals (i.e. so tickets are not going to Individuals Associated with Prospects).
4. An academic workup is completed by compliance/academics for each prospect that visits the university. This workup is given to the coach prior to the visit, as well as the prospective student when on campus.
5. Sports with certified events or event restrictions are handled through coaches with education coming from the Assistant AD for Compliance on this topic each year.
6. Coaching staffs had one individual that handled recruiting travel, counting evaluations, and playing/practice season logs, which leads to consistency in travel and evaluation.

Suggestions for Improvement:

1. In order to ease the tracking down of forms and paperwork for the compliance office, instituting some sort of online system for submitting recruiting logs would be beneficial. This would also allow coaches to input data on a timely basis instead of on monthly paperwork, which might ensure better quality of records. This could come through the university's online system or recruiting software.
2. Move to an electronic system for all sports complimentary tickets. Some teams indicated that they are using paper forms with just the name listed, which opens the institution up to providing complimentary tickets to impermissible persons.

F. Playing and Practice Season and Outside Competition

Current Strengths:

1. Coaches complete playing and practice season declarations in a timely manner and submit them to the compliance office for approval. Also, weekly playing and practice logs are submitted to compliance on a monthly basis and student-athletes sign off on the forms.
2. All student-athletes interviewed indicated that their coaches stayed within the permissible number of practice hours. In addition, those sports with in/out of season work understood the breakdown prior to the season.
3. Coaches submit playing and practice declaration, schedules and promotional materials to the compliance office for approval.

Suggestion for Improvements:

1. Add a check/balance to have each sport randomly select student-athletes sign off on playing and practice season forms instead of one or two student-athletes or captains.

G. Rules Education

Current Strengths:

1. There are monthly rules education meetings that all coaches are required to attend. Staff members are educated at department staff meetings. Materials are sent via email as a follow up if an individual misses a meeting.
2. The compliance staff does a nice job of using technology for rules education for coaches, students, and staff.
3. The institution provides NCAA rules education to all new employees. Additional information is given regarding their specific area of employment.

H. Amateurism, Extra Benefits, Boosters and Agents

Current Strengths:

1. Boosters are well educated about extra benefits. Educational materials are provided on institution's website, as well as "do/don't" information is distributed to all boosters once a year.
2. The Assistant AD for Compliance meets with each team at the beginning of each sport season to review NCAA legislation, extra benefits and encourage asking questions when they are unsure about rules related to extra benefits and boosters.
3. Senior student-athletes (or those seeking professional career prior to graduation) are well educated about permissible and non-permissible activities related to agents. Student-athletes who were interviewed said that they don't have much agent interaction, but also that their coaches had talked to them about agents and that they informed their coaches when they received correspondence.
4. Student-athletes do not often receive per diem cash, as teams eat meals using institutional credit cards. But, if student-athletes do receive per diem, they are required to sign for all money received during travel.
5. The Assistant AD for Compliance monitors the amateurism status of all incoming and current student-athletes.
6. The Assistant AD for Compliance has the ability to review and approve all institutional credit card expenditures.
7. All memorabilia, appearance and donation requests go through the compliance office to ensure that each entity receiving a donation is acceptable per NCAA rules. All requests are documented on the institutional form.

Suggestion for Improvements:

1. All outside groups that wish to use the athletic facilities are required to go through the facilities staff. But, the process seemed somewhat informal. In order to ensure that groups consisting of prospects do not get free or reduced rental of facilities, it would be prudent to formalize the rental approval process within the department even though there is verbal process that is followed for facility approval for outside groups to use the one facility that athletics controls for rental (soccer field).
2. In the future, it would be beneficial for the Assistant AD for Compliance to meet with the boosters at an event to give an in-person presentation on rules education.

3. The equipment management is loosely managed at the institution. Since coaches order equipment, the one point person in athletics should be copied on all orders placed by anyone outside of the administrator that handles equipment.

I. Camps and Clinics

Current Strengths:

1. The compliance office has a detailed policy and procedure, including required forms that must be filled out and returned before and after the camp or clinic, relating to institutional camps and clinics.
2. The compliance office requires institutional staff members, high school coaches and student-athletes to submit an employment form to the Assistant AD for Compliance to review and ensure proper payments.
3. The business manager administers camp funds for all institutional camps. The business manager also pays all employees of the camps only after receiving a camp employment form for each employee.

Suggestion for Improvements:

1. During the review, privatizing camps was mentioned on numerous occasions. The compliance office should be aware of any private camps, or desire to privatize camps, to ensure that the camps are still meeting NCAA institutional camp legislation and sports that do not have the ability to host outside, non-institutional camps are not doing so.
2. The business manager should review and audit the books of all privatized coaches camps, as all camps run by an institution's coach are considered to be institutional camps.

J. Student-Athlete Employment

Current Strengths:

1. Prior to the student-athlete's employment, the employment arrangement must be approved in writing by the Assistant AD for Compliance and each student-athlete signs an employment form.
2. There is appropriate monitoring of non-athletic employment. All student-athletes with jobs are required to have their employer complete paperwork detailing payment and other employment information.

K. Student-Athlete Welfare

Current Strengths:

1. The institution has a way to anonymously report NCAA violations so that student-athletes or administrators do not have to be involved in the reporting if they do not want their name attached to the violation.
2. Men's/women's basketball and SAAC student-athletes take part in media training.
3. All student-athletes interviewed believed that there was someone in the athletics department that they could go to in the event of a major problem/issue related to their team, themselves or the department.
4. The institution partners with the health center for all eating disorder issues in the event that an intervention is necessary.

Suggestion for Improvements:

1. Implement a protocol where all supplements taken or used by student-athletes get approved by sports medicine/athletic training.
2. The institution training staff allows weigh-ins. Weigh-ins are on a more frequent basis for men's teams and only at the beginning and end of each year for women's teams. With the heightened sensitivity surrounding this area of mental illness, the institution needs to monitor this area to ensure that this is going to continue being the proper protocol.

The Milwaukee compliance department has numerous strengths that have been highlighted in this report. The review emphasized the university and athletic department's commitment to compliance. The report also emphasizes the compliance office's relationship and collaboration with other departments on campus. The compliance office has appropriate and effective monitoring procedures and policies in place. We have made some suggestions, mostly minor tweaks, for improvement but overall were pleased with the outcome of the review, as the Assistant AD for Compliance does a nice job managing the athletics compliance program.

ATTACHMENT G

NCAA Oversight Certification Letter



May 3, 2019

John Behling, Board of Regents President
Ray Cross, UW System President
Van Hise Hall
1220 Linden Drive
Madison, WI 53706

Dear Presidents Behling and Cross:

In connection with your oversight of UW-Milwaukee's intercollegiate athletics program, we confirm, to the best of our knowledge and belief, the following:

1. We have self-reported any known or suspected violations of NCAA rules and regulations. Reported NCAA violations are listed in the Annual Report submitted on 5/3/19.
2. There are no known or suspected illegal acts or non-compliance with federal, state, or local law by individuals employed by the institution, or individuals who serve as an agent of the institution.
3. There are no investigations/monitoring reviews currently underway by the NCAA, law enforcement officials, or others.
4. We have no knowledge of any allegations of fraud or suspected fraud affecting intercollegiate athletics received in communications from employees, former employees, or others.
5. There have been no modifications to the institution's gender-equity plan from our previous self-study conducted May 1, 2008, and all aspects of the plan have been fully implemented.
6. We have processes in place to ensure background checks are performed on all coaches, assistant coaches, contractors, volunteers, student employees, and other individuals serving as an agent of the institution related to intercollegiate athletics. These processes apply to those involved with offering camps and clinics, and others who participate in activities involving children. Adverse outcomes of background checks are evaluated pursuant to UWM's Criminal Background Check Policy, last updated on February 13, 2013, which is consistent with the Board of Regents Policy 20-19 University of Wisconsin System Criminal Background Check Policy.
7. We understand our fiduciary responsibilities to act in the best interest of the institution even if it conflicts with the immediate interests of the athletic department.
8. The athletic department has not intervened when a student-athlete is being disciplined under regular student conduct rules.

Yours truly,



Mark Mone
Chancellor



Amanda Braun
Athletic Director



Jessica Kumke
Associate AD-Compliance



Cathy Rossi
Deputy Athletic Director

Approval of UW System Board of Regents
Regular Meeting Schedule for 2020

BOARD OF REGENTS

Resolution II.13.

That, upon the recommendation of the Executive Director and Corporate Secretary, the Board of Regents adopts the attached regular-meeting schedules for calendar year 2020.

**APPROVAL OF UW SYSTEM BOARD OF REGENTS
REGULAR MEETING SCHEDULES FOR 2020**

BACKGROUND

Among the responsibilities of the Executive Director and Corporate Secretary is making a recommendation for a calendar of regular meetings.

REQUESTED ACTION

Adoption of Resolution II.13.

DISCUSSION

Regular meeting dates are determined based on Chapter 1, Section 2 of the Bylaws of the Board of Regents.

The attached schedule includes an adjustment for the April 2020 meeting to accommodate religious holidays on April 9-10, 2020, which requires a suspension of the Board's bylaws. As detailed in Chapter IV, Section 2 of the Bylaws of the Board of Regents, a suspension of the Board's bylaws requires an affirmative vote of two-thirds of the total members of the Board of Regents.

RELATED BOARD OF REGENTS POLICIES

Bylaws of the Board of Regents

**UW SYSTEM BOARD OF REGENTS
REGULAR MEETING SCHEDULE – 2020**

February 6-7, 2020 – Hosted by UW-Madison

March 5, 2020 – In Madison* (Optional)

April 2-3, 2020 – Hosted by UW-Green Bay

June 4-5, 2020 – Hosted by UW-Milwaukee

August 20-21, 2020 – In Madison*

October 8-9, 2020 – Hosted by UW-River Falls

November 5, 2020— In Madison* (Optional)

December 10-11, 2020 – In Madison*

*Hosted by the Board of Regents Office at Gordon Dining & Event Center