

BOARD OF REGENTS OF THE UNIVERSITY OF WISCONSIN SYSTEM

Gordon Dining and Event Center, 2nd floor
770 W. Dayton Street, Madison, Wisconsin
Friday, July 12, 2019

II. 9:00 a.m. All Regents – Concerto Room

1. Calling of the roll
2. Approval of the minutes of the June 6-7, 2019 Board of Regents meeting
3. Introduction of the universities' delegation from Hessen, Germany
4. Report of the President of the Board
 - a. Reports of the Wisconsin Technical College System Board
 - b. Advocating for the UW System
 - c. Additional items that the President may report to the Board
5. Report of the President of the System
 - a. News from around the System
 - b. Faculty spotlight
 - c. Update on the biennial budget process
6. Report of the Audit Committee
7. Report and approval of actions taken by the Business and Finance Committee
8. Report and approval of actions taken by the Education Committee
9. Report and approval of actions taken by the Capital Planning and Budget Committee
10. Report of the Research, Economic Development, and Innovation Committee
11. Presentation and Discussion: Early Brain Development and its Impact on the Economy
12. Presentation and Discussion: UW-Green Bay's NCAA Division I Athletics 2019 Annual Report
13. Approval of Notice of Preliminary Hearing on Administrative Code Scope Statement for Chapter UWS 17
[Resolution II.13.]
14. Resolution of appreciation for UW-Stout Chancellor Bob Meyer's service within the UW System

15. Resolution of appreciation for Regent Emeritus Regina Millner's service on the UW System Board of Regents

16. Resolution of appreciation for Regent Emeritus Mark Tyler's service on the UW System Board of Regents

17. Regent communications, petitions, and memorials

18. Optional Closed Session

The closed session agenda for Thursday, July 11, 2019, may also be considered on Friday, July 12, 2019, as the Board's needs may dictate. In addition, the Board may reconvene in open session regarding matters taken up in the closed session, including voting, where applicable.

Adjourn

EARLY BRAIN DEVELOPMENT AND ITS IMPACT ON THE ECONOMY

BACKGROUND

A key feature of a thriving economy is a skilled, well-educated workforce. Research shows investments in early childhood development and education are a critical first step in workforce development and building a strong Wisconsin economy.

REQUESTED ACTION

For discussion.

DISCUSSION

The discussion of “Early Brain Development and Its Impact on the Economy” will include the following components:

- Regent Emeritus S. Mark Tyler, chair of the Governor’s Council on Workforce Investment and a member of the Governor’s Early Childhood Advisory Council, will introduce the intrinsic connection between two of his passions: early childhood education and workforce development.
- Dr. Dipesh Navsaria, Department of Pediatrics, UW-Madison’s School of Medicine & Public Health, will discuss early brain and child development, and the impact of stress on brain development. Dr. Navsaria will also discuss interventions and investments to provide children, and adults, with a foundation for success.
- Mr. Dennis Winters, Wisconsin’s chief economist and director of the Bureau of Workforce Information & Technical Supports at the Wisconsin Department of Workforce Development, will discuss the longer-term importance of early childhood education in building a skilled workforce, reducing inequality and expanding opportunity. Mr. Winters will also discuss the return on investment of high-quality, early childhood education programming.

RELATED POLICIES

None.

UW-GREEN BAY NCAA DIVISION I ATHLETICS 2018-19 REPORT

EXECUTIVE SUMMARY

BACKGROUND

Collegiate athletics are high-profile activities that exist for the betterment of the student body, student athletes, and the university. As some of the most visible programs at institutions of higher education, athletics provide valuable experiences for student athletes, opportunities to engage the broader community and a public face for the institution. This visibility, as well as the number of student-athlete participants, the members of the public attending athletic events and the substantial compliance requirements, necessitates a high level of oversight and scrutiny by both administrators and governing bodies.

In 2012, the Association of Governing Boards of Colleges and Universities (AGB) issued a report, “Trust, Accountability, and Integrity: Board Responsibilities for Intercollegiate Athletics,” that highlighted the important role of higher education governing boards in providing proper oversight of athletics programs. That same year, the Board of Regents established a reporting framework for institutions that participate in NCAA Division I athletics.

Under the Regents’ Accountability Reporting Framework, each UW System Division I athletics program is required to provide information to the Board annually describing the extent to which the program: 1) adheres to any performance standards implemented by an institution or its athletic board; 2) safeguards the welfare of all students; 3) maintains NCAA compliance; and 4) assures fiscal integrity. The Accountability Reporting Framework provides the Board with consistent information about each NCAA Division I athletics program, enhancing the Board’s ability to effectively monitor the activities of UW System’s Division I athletics programs.

The UW System has three institutions (UW-Madison, UW-Milwaukee, and UW-Green Bay) with NCAA Division I athletics programs. This report, prepared by UW-Green Bay, is the third of the three programs to present its annual report to the Board of Regents in 2019.

REQUESTED ACTION

This item is for discussion purposes only.

DISCUSSION

UW-Green Bay’s report for 2019 addresses the requirements of UW System’s Accountability Reporting Framework. The following are some of the highlights of the report:

I. Program Overview

UW-Green Bay sponsors 16 sports, providing approximately 240 student-athletes with the opportunity to participate in college athletics in the sports of men's and women's basketball, men's and women's cross-country, men's and women's golf, men's and women's Nordic skiing, men's and women's soccer, women's softball, men's and women's swimming and diving, men's and women's tennis, and women's volleyball.

The University has had three conference affiliations while participating in Division I NCAA athletics: the men's programs participated in the Mid-Continent Conference, the women in the North Star Conference, and both joined the Midwestern Collegiate Conference/Horizon League.

The Horizon League features ten public and private institutions that have impressive academic reputations and a storied tradition of broad-based athletic programs. These include Cleveland State University, the University of Detroit Mercy, the University of Illinois at Chicago, Northern Kentucky, Oakland University, IUPUI, Wright State University, Youngstown State University, UW-Green Bay and UW-Milwaukee.

II. Athletic Achievements

The report highlights several athletic accomplishments in 2017-18 season, including the following:

- Two Horizon League Regular Season Championships
 - Men's Soccer & Women's Basketball
- One Horizon League Tournament Championships
 - Women's Basketball
- Two Horizon League Tournament Runner-Up Finishes
 - Men's Soccer & Volleyball
- One NCAA postseason appearance
 - Women's Basketball
- Over 20 individual All-Horizon League award winners

III. Academics

The UW-Green Bay identifies three measures used to monitor the academic progress of student-athletes — grade point average (GPA), the academic progress rate (APR), and the graduation success rate (GSR). The department established benchmarks for each.

A. Grade Point Average

The overall GPA for the department in 2017-18, the most recent year data is available, was 3.39. The GPA for student-athletes was the highest in UW-Green Bay Athletics' history. The department GPA benchmark requires student-athlete to maintain a cumulative GPA of 3.0 or greater each semester. Spring 2018 became the 37th consecutive semester that the combined GPA of UW-Green Bay's student-athletes exceeded 3.0. Fifteen of the 16 teams recorded a

GPA above 3.0, with six of these teams posting a GPA of 3.5 and above. Women's basketball earned the top spot in the WBCA Academic Top 25, posting a program best 3.753 team GPA. It is the fourth time in program history the team earned the top spot.

B. Academic Progress Rate

The NCAA holds institutions accountable for the academic progress of student-athletes using the Academic Progress Rate (APR). To calculate the APR, each student-athlete who receives financial aid receives one point for each term they stay in school and one point for maintaining academic eligibility. The points are used to calculate a standardized team score, with 1000 representing a perfect score. Teams must earn a minimum four-year APR of 930 to compete in postseason competition.

The department's benchmark for APR is to maintain an APR that is higher than the NCAA minimum for each sport, an APR of 930. The four-year APR for all 16 programs exceeded the NCAA minimum in 2017-18.

C. Graduation Success Rate

The Graduation Success Rate (GSR) and the Federal Graduation Rate (FGR) are often used to monitor student-athlete graduation rates. Both the GSR and FGR measure the percentage of first time, full-time freshmen who graduate within six years of entering their original four-year institution. However, unlike the FGR, the GSR excludes those student-athletes who leave the institution in good academic standing and includes student-athletes who transfer into the institution and go on to graduate.

To monitor graduation rates, the department establishes two benchmarks related to the GSR. These include: 1) maintaining a student-athlete graduation rate that is higher than the UW-Green Bay general student body and 2) maintaining a department-wide student-athlete NCAA graduation rate that is higher than the average of peer institutions.

On the first benchmark, the GSR for student-athletes was 70% in comparison to 51% for the UW-Green Bay general student body. In regards to the second benchmark, the GSR for Green Bay student-athletes is 93% compared to the overall GSR of the Horizon League of 91.3%. UW-Green Bay student-athletes overall GSR is second only to Cleveland State University within the Horizon League.

IV. Finances

UW-Green Bay Athletics Department's report includes the department's budget, actual revenues, actual expenses, balances, debt balances and payments, and endowments for Fiscal Year 2017-18. The report notes a net operating margin of (\$134,625) in FY 2017-18, compared to \$408,800 in FY 2016-17 and \$260,876 in FY 2015-16, which is attributed to an accounts receivable from a vendor of \$85,000 and the use of prior year cash balances including 411 Grant expenses. The 411 Grant was a one-time Division I Distribution spending plan UW-Green Bay awarded in summer of 2017. The funds were used for the direct benefit of student athletes and their

academic success, life skills, career success, health and safety and student-athlete focused diversity and inclusion initiatives.

The report also identifies an unrestricted fund balance of \$619,049 at the end of FY 2017, or approximately 6.7% of FY 2017-18 expenditures. The outstanding debt for the athletics facilities at the end of FY 2018 is \$5,729,913 or a decline of 5.2% from FY 2017. At the end of FY 2017 the endowed funds dedicated to the support of the Athletics department was \$877,187.

NCAA Rules Compliance

The report identifies five self-reported NCAA violations in 2017-18, compared to one violation in 2016-17 and three in 2015-16. The report includes a certification that there are no investigations or reviews of the Athletic Department or personnel by the institution, NCAA, or law enforcement underway at this time.

The NCAA-required Agreed-Upon Procedures report for the year ending on June 30, 2018 is included as an appendix to the report. The audit report, which is prepared by the UW System Office of Internal Audit, was discussed with the Board's Audit Committee and reported to the Board in February 2019. The report noted no significant findings.

The report also includes the most recent report of the Horizon League Compliance Review, completed fall of 2018. The report identifies numerous strengths of the programs. The report includes suggestions for improvement in such areas as academic advising, financial aid and recruitment monitoring and rules education.

RELATED REGENT POLICIES

Regent Policy Document 10-1: "Endorsement of the Statement of Principles from the Knight Foundation Commission on Intercollegiate Athletics"



University of Wisconsin-Green Bay

Intercollegiate Athletics Department Summary

Drafted for the Board of Regents, July 2019

TABLE OF CONTENTS

1. INTRODUCTION.....	1
A. MISSION OF GREEN BAY INTERCOLLEGIATE ATHLETICS	1
B. HISTORY OF GREEN BAY INTERCOLLEGIATE ATHLETICS.....	1
C. NATIONAL COLLEGIATE ATHLETIC ASSOCIATION (NCAA) CLASSIFICATION	1
D. HORIZON LEAGUE	2
E. YEAR IN REVIEW (2017-18).....	2
2. FINANCIAL INFORMATION.....	6
A. 2017-18 GREEN BAY ATHLETICS BUDGET REPORT	6
B. 2017-18 AGREED-UPON PROCEDURES REPORT	7
C. 2017-18 GREEN BAY ATHLETICS CASH BALANCES.....	7
D. 2017-18 GREEN BAY ATHLETICS ENDOWMENT REPORT	7
3. ACADEMIC PROGRESS RATE	7
A. SPORT BY SPORT.....	7
B. 3-YEAR TREND CHANGE (PRIORITY SPORTS).....	8
C. BENCHMARK FOR ASSESSMENT OF APR	9
4. GRADUATION SUCCESS RATE (GSR).....	8
A. GREEN BAY GSR VS. FEDERAL GRADUATION RATE BY SPORT	9
B. GREEN BAY STUDENT-ATHLETE GSR VS. GENERAL GSR	10
C. FGR FOR PRIORITY SPORTS	10
D. BENCHMARKS FOR ASSESSMENT OF GSR	10
5. ACADEMIC INFORMATION.....	11
A. GREEN BAY SPORT BY SPORT GPA.....	11
B. YEAR BY YEAR GPAs FOR DEPARTMENT	12
C. DECLARED MAJORS.....	12
D. SPECIAL ADMISSIONS STATEMENT	14
E. BENCHMARK FOR ASSESSMENT OF GPA	14
6. COMPLIANCE INFORMATION.....	15
A. NCAA MAJOR/MINOR VIOLATIONS REPORT	15
B. NCAA OVERSIGHT CERTIFICATION LETTER	15

C. HORIZON LEAGUE CERTIFICATION LETTER	15
D. NCAA SELF-STUDY REPORT	15
E. HORIZON STRATEGIC PLAN.....	15
F. GSR HL COMPARATIVE DATA.....	15
G. 2018 FACILITIES DEBT OUTSTANDING AND PAYMENT APPENDIX.....	15
H. NCAA AGREED-UPON PROCEDURE	15

7. APPENDICES 16

A. APPENDIX A: 2017-18 STATEMENT OF REVENUE AND EXPENSES.....	16
B. APPENDIX B: 2017-18 ACADEMIC PROGRESS RATE FROM THE NCAA	17
C. APPENDIX C: OFFICIAL 2017-18 GRADUATION SUCCESS RATE REPORT (2011 FRESHMEN COHORT) FROM THE NCAA	19
D. APPENDIX D: NCAA MAJOR/MINOR VIOLATIONS REPORT	24
E. APPENDIX E: NCAA ORVERSIGHT CERTIFICATION LETTER	25
F. APPENDIX F: HORIZON LEAGUE COMPLIANCE AUDIT.....	26
H. APPENDIX G: HORIZON LEAGUE STRATEGIC PLAN.....	36
I. APPENDIX H: GSR HL COMPARATIVE DATA.....	37
J. APPENDIX I: 2018 FACILITIES DEBT OUTSTANDING AND PAYMENT.....	38
K. APPENDIX J. NCAA AGREED-UPON PROCEDURE.....	39

1. INTRODUCTION

The intent of this executive summary is to provide annual information of intercollegiate athletics to the Wisconsin Board of Regents. Information is provided about the Intercollegiate Athletics Department, the NCAA, and the Horizon League. The second section will outline specific information addressing academics, fiscal responsibility and compliance regulation.

A. Mission of Green Bay Intercollegiate Athletics

The Intercollegiate Athletics Department is intended to be an integral component of the educational mission of the University. The Athletics Department is managed consistent with the mission and focus of the University. The University mission offers a context for how the program prepares students to develop critical thinking and problem-solving skills, to practice learning as a life-long activity, to be engaged and contributing citizens, and to enhance the position and image of the University locally, regionally, and nationally.

The program will be administered in a manner to ensure the amateur nature of athletics by responsibly, honestly and effectively recognizing and communicating that student-athletes are, first and foremost, students who possess academic abilities and attain personal growth objectives. The student shall be accorded due respect as a person and is expected to reflect the high academic and behavioral standards of the University. Intercollegiate athletics strives for success in competition while continuing to attract and retain students who succeed academically and athletically and whose careers after graduation are a tribute to them, UW-Green Bay and society.

The Intercollegiate Athletics Department embraces the Horizon League principles of sportsmanship and ethical conduct; is committed to the concept of equitable opportunity for all students and staff regardless of gender or ethnicity; and is administered to substantiate compliance with the University of Wisconsin System, UW-Green Bay, the National Collegiate Athletic Association and the Horizon League rules and regulations, which ensure institutional control and integrity.

Given the impact of a Division I athletics program on a university, community and region, the Director of Athletics serves on the Chancellor's Cabinet and is a direct report to the Chancellor.

B. History of Green Bay Intercollegiate Athletics

The establishment of athletics at UW-Green Bay occurred in September of 1969 with men's soccer followed by men's basketball in the same year, four years after the University of Wisconsin-Green Bay was founded. In November of 1969, the University applied for membership in the National Association of Intercollegiate Athletics (NAIA). In May of 1970, the Phoenix became the mascot and the official name of UW-Green Bay athletic teams. In December 1973, the women's basketball team made its intercollegiate debut. Prior to the 1973-74 season, the men's basketball team and men's soccer team moved to the NCAA Division II ranks. UW-Green Bay requested to enter NCAA Division I athletics in September 1979 and was granted that status beginning Fall of 1981. In 1982, the University joined seven more schools to form the Mid-Continent Conference (MCC) to compete in NCAA Division I athletics. Women's programs transitioned from the NAIA to Division I in 1987-1988 when they joined the North Star Conference. In 1994-1995, the men's and women's programs joined the Midwestern Collegiate Conference which changed its name to the Horizon League in 2001.

C. National Collegiate Athletic Association (NCAA) Classification

Currently, UW-Green Bay sponsors 16 sports programs supporting approximately 240 student-athletes in the sports of men's and women's basketball, men's and women's cross-country, men's and women's golf, men's and women's nordic skiing, men's and women's soccer, women's softball, men's and women's swimming and diving, men's and women's tennis, and women's volleyball. UW-Green Bay has enjoyed

successes with NCAA tournament appearances in men's basketball, women's basketball, men's soccer, softball, men's tennis and volleyball, as well as individual appearances in men's golf, and men's and women's nordic skiing. The University has had three conference affiliations while participating in Division I NCAA athletics: the men's programs participated in the Mid-Continent Conference, the women in the North Star Conference, and both joined the Midwestern Collegiate Conference/Horizon League.

D. Horizon League

In its 40th season of operation in the 2018-19 academic year, the Horizon League continues to aspire toward its goal of being one of the nation's leading athletics conferences while being recognized as a leader in the development of student-athletes as leaders and role models.

The Horizon League membership features ten public and private institutions that have impressive academic reputations and a storied tradition of broad-based athletic programs. Membership includes Cleveland State University, the University of Detroit Mercy, the University of Illinois at Chicago, Oakland University, Northern Kentucky, IUPUI, the University of Wisconsin-Green Bay, the University of Wisconsin-Milwaukee, Wright State University, and Youngstown State University. IUPUI was admitted into the Horizon League effective summer 2018.

The Horizon League's primary focus is to add value to the educational experience through its four platforms: athletic performance, academic achievement, community outreach, personal responsibility and accountability. It is the League's belief that athletics is a powerful and visible resource tool that can be used to enhance student-athletes' collegiate experience. The Horizon League's goals are to enhance the holistic university experience for the student-athlete, to create an affiliation of institutions with similar athletic goals, and to adhere to the principles of integrity, diversity, excellence, and growth. The Horizon League sponsors competition in 19 sports – nine for men (baseball, basketball, cross country, golf, soccer, swimming and diving, indoor track and field, outdoor track and field, and tennis), and ten for women (basketball, cross country, golf, soccer, softball, swimming and diving, indoor track and field, outdoor track and field, tennis and volleyball).

The League receives automatic bids to NCAA championships in baseball, men's and women's basketball, men's and women's golf, men's and women's soccer, softball, men's and women's tennis, and women's volleyball. The Horizon League is headquartered in Indianapolis, the "Amateur Sports Capital of the World," with offices in the Pan American Plaza (201 S. Capitol Avenue), located a block from Lucas Oil Stadium and just minutes from the Bankers Life Fieldhouse, the State Capitol Building, Victory Field (home of the Indianapolis Indians) and the NCAA national office.

Jonathan B. (Jon) LeCrone is in his 26th year as Commissioner of the Horizon League, having been named to the position on May 11, 1992, and is the fifth-longest tenured commissioner among the 31 Division I conferences.

The Horizon League recently completed a strategic plan (attached) that was approved by the Board of Directors in June 2017.

E. Year in Review

The following information provides an overview of personnel transition, academic success, and competitive accomplishments and includes the many occasions where athletics put the institution in the national spotlight.

Academic Year 2017-18

Personnel

- Lee Reinke made full-time Head Men's and Women's Golf Coach
- Abbey Sutherland hired as head volleyball coach
 - Korey Schroeder hired as assistant volleyball coach
- Jeremy Hecker hired as head men's and women's Nordic ski coach

- Jason Spain named head women's soccer coach
 - Cassidy Weiss named women's soccer assistant coach
- Brian Nelson became first full-time head men's and women's tennis coach in program history
- Nellie Schafer hired as women's basketball director of player development
- Shane Starr hired as director of the Phoenix Fund
- Jodi Schleis hired as Associate AD for Business and Finance

Academic Accomplishments

Fall 2017

- The Fall 2017 semester marked the 36th straight semester the department posted a GPA of 3.0 or higher
- 14 teams achieved a 3.0 or higher in Fall 2017
- 8 teams posted a 3.5 or higher
- 185 student-athletes with a GPA above 3.0, which was 81 percent
- 20 percent of student-athletes achieved a 4.0 GPA
- 124 student-athletes graduated with Honors, High Honors or the Highest Honors
- Lydia DeWeese from volleyball earned Scholar Athlete of the Season honors
- Volleyball team posted NCAA Top 25 Team GPA 2017-18 season
- One women's soccer player earned All-Academic Team honors
- Volleyball had two All-Academic Team honors
- Cross Country had two All-Academic Team honors

Spring 2018

- For the 37th-consecutive semester in Spring 2018, GB student-athletes combined to achieve above a 3.0 GPA, recording the highest-ever combined GPA during any semester of the streak
- 15 of the 16 teams recorded above a 3.0 GPA
- 6 teams posted above a 3.5 GPA
- 4 teams posted above a 3.6 GPA
- 173 student-athletes posted a 3.0 GPA or higher, which accounted for 80 percent of the SA body
- 127 student-athletes achieved Honors, High Honors or the Highest Honors
- Women's basketball earned the top spot in the WBCA Academic Top 25, posting a program-best 3.753 team GPA; the fourth time in program history they've earned the top spot
- The men's and women's swimming and diving teams were recognized by the CSCAA for having a team cumulative GPA of 3.0 or higher, receiving Scholar All-American recognition
- Three tennis players earned Horizon League All-Academic Team
- Women's basketball had one All-Academic Team member
- Men's golf had one All-Academic Team member
- One softball player earned All-Academic Team honors

Athletic Accomplishments

- Two Horizon League Regular Season Championships
- One Horizon League Tournament Championships
- Two Horizon League Tournament Runner-Up Finishes
- One NCAA postseason appearance
- Over 20 All-Horizon League award winners
- Over 20 Horizon League Player of the Week honors

Men's Tennis

- Florian Kraenzler named First Team All-League

- James Ling named Second Team All-League
- Team advanced to semifinals of Horizon League Tournament

Men's Basketball

- Khalil Small named First Team All-League and All-Defensive Team, only player to be named to both teams
- Was youngest team in the Horizon League in terms of experience

Women's Basketball

- Jessica Lindstrom named First Team All-League and All-Defensive Team
- Allie LeClaire named Second Team All-League
- Highest GPA in the nation, WBCA Academic Top 25 – No. 1
- Advanced to 18th NCAA Tournament
- Won 20TH-consecutive conference championship, Horizon League Tournament Champions, Allie LeClaire named HL Tournament MVP
- Ranked Top 10 in the nation in scoring defense
- Led HL in attendance

Men's Soccer

- Audi Jepson named Offensive Player of the Year and First Team All-League
- Jeremy Bonomo named Coach of the Year
- Tomer Zloczwer named First Team All-League
- Buran Huseini and Marcos Bautista named Second Team All-League
- Adam Mansa named to All-Freshman Team
- Audi Jepson named to USC All-Region First Team
- Won Horizon League regular season championship
- Finished Horizon League tournament runner-up

Men's Golf

- Jimmy Albright finished T7 at the Horizon League Championships
- Team finished sixth

Women's Golf

- Leah Birch finished Top 10

Softball

- Chyanne Onstad named First Team All-Horizon League
- Kayla Schwebke named to All-Freshman Team

Men's Swimming & Diving

- Finished third at the Horizon League Championships
- Riley Darling won 200 butterfly

Women's Swimming & Diving

- Finished sixth at the Horizon League Championships

Visibility/Image/External Accomplishments

- Kress Events Center has over 150,000 users to fitness classes, rec center usage
- Intramural participation remains strong with over 4,000 participants
- Kress Events Center served as host for several community/regional/state/D1 athletics events bringing over 75,000 visitors to campus. Some events include:
 - High school commencements (Green Bay East, Green Bay West, Green Bay Southwest, De Pere)

- 10th Annual Shawano Sundrop Shootout
- Lambeau Leap Gymnastics event
- WPS Solar Olympics
- Arthritis Foundation Jingle Bell Run
- UWGB Spring Commencement
- ASPIRO – Free Throw Competition
- WIAA girls' basketball practices
- WIAA girls' volleyball practices

UWGB, through the athletics vehicle, has received national and regional media attention from the following platforms:

- | | |
|----------------------------------|-----------------------------------|
| ○ ESPN.com | ○ MidMajorMadness.com |
| ○ ESPN's SportsCenter | ○ Green Bay Press-Gazette |
| ○ Big Ten Network | ○ Fox 11 (local TV) |
| ○ NCAA.com | ○ NBC 26 (local TV) |
| ○ Fox Sports Live (nightly news) | ○ WFRV (local TV) |
| ○ CBSSports.com | ○ WBAY (local TV) |
| ○ Yahoo.com | ○ WNFL (local radio) |
| ○ YahooSports.com | ○ WIXX (local radio) |
| ○ SportsIllustrated.com | ○ WDUZ (local radio) |
| ○ Sporting News | ○ Time Warner Cable SportsChannel |
| ○ Basketball Times | ○ Milwaukee Journal-Sentinel |
| ○ NBC Sports.com | ○ Winona Daily News |
| ○ Bleacher Report | ○ Racine Journal-Times |
| ○ Fox Sports | ○ Chicago Tribune |
| ○ USA Today Sports | ○ Chicago Sun-Times |
| ○ CollegeInsider.com | |

- Phoenix Fund raises over \$1 million in program support for scholarships and operations with highlights including:
 - ✓ 19th Annual Phoenix Packers Steak Fry raised over \$177,000 in net profits and had over 900 attendees
 - ✓ Celebrated the grand opening of the Kress Family Outdoor Recreation Complex in August 2018 including the first official soccer games in the new Aldo Santaga Stadium. The project represented a \$4.9 million campaign to enhance soccer and softball.
 - ✓ A trio of successful golf outings including Men's Basketball, Women's Basketball and the Mark King Invite raised over \$250,000 collectively for the programs.
- Men's basketball former players signed to play professionally: Alfonzo McKinnie signed 2-year contract with Golden State Warriors; Carrington Love in Belgium; Jordan Fouse in France; Keifer Sykes remains one of top international players in Italy; Charles Cooper in Portugal; Khalil Small in Finland; Greg Mays in Australia; Alec Brown in Spain;

2. Financial Information

A. 2017-18 Green Bay Athletics Final Budget Report

2017-18 Green Bay Athletics Final Budget Report

REVENUE		BUDGET		ACTUAL
University Support	\$	3,547,600	\$	4,127,756
Student Fees	\$	1,454,495	\$	1,454,495
Ticket Sales	\$	675,000	\$	619,655
Phoenix Fund Support	\$	1,150,320	\$	2,640,410
NCAA Distributions	\$	480,250	\$	279,126
Sponsorships	\$	1,046,497	\$	866,430
Guarantees	\$	200,000	\$	209,000
Other Revenue	\$	459,520	\$	531,174
Total Revenue	\$	9,013,682	\$	10,728,046

EXPENSES		BUDGET		ACTUAL
Salaries & Fringes	\$	2,709,882	\$	2,782,343
Financial Aid	\$	2,565,820	\$	2,529,393
Team Travel & Equipment	\$	1,407,400	\$	1,407,938
Other Expenses	\$	2,351,860	\$	2,515,270
Total Expenses	\$	9,034,962	\$	9,234,944

Total Capital Improvements		\$	1,627,727
----------------------------	--	----	-----------

Net Operating Margin	\$	(21,280)	\$	(134,625)
-----------------------------	-----------	-----------------	-----------	------------------

Notes:

1. Tuition remissions provided by the University and used for athletic scholarships are included in the University Support line (\$1,856,071).
2. Actual revenues include contributions from the booster clubs towards operating expenses (i.e. team travel, equipment, recruiting). The Budget figures do not include any estimated contributions from booster clubs.
3. The negative operating margin is attributed to an accounts receivable from vendor of \$85,000, the use of prior year cash balances including 411 Grant expenses.

B. 2017-18 Agreed-Upon Procedures Report

Please see Appendix K for the NCAA Agree upon Procedures Document.

C. 2017-18 Green Bay Athletics Cash Balances

Account	Amount
Fund 128	-\$85,691.90
NCAA Student Retention Funds	\$381,744.27
Foundation – Restricted/Unrestricted	\$322,996.70
Total	\$619,049.07

D. 2017-18 Green Bay Athletics Endowment Report

The Green Bay Athletics Endowment balance as of June 30, 2018 was:

Book Value \$824,821.80

Market Value \$877,187.07

The figures above do not include the value of endowed scholarships dedicated to Athletics.

3. Academic Progress Rate

The NCAA holds Division I institutions accountable for the academic progress of their student-athletes through the Academic Progress Rate (APR), a team-based metric that accounts for the eligibility and retention of each student-athlete, each term.

Beginning with the 2014-15 academic year, teams must earn a 930 four-year APR to compete in postseason competition.

APR is calculated by term. Each term, a student-athlete receiving athletically related financial aid may earn one retention point for staying in school and one eligibility point for being academically eligible. A team's total points are divided by points possible and then multiplied by one thousand to equal the team's Academic Progress Rate.

Please see Appendix B for the 2017-18 Academic Progress Rate from the NCAA.

A. Sport by Sport

SPORT	2017-18 APR SCORE	4-YEAR APR SCORE MULTI-YEAR	NCAA 4-YEAR
MEN'S BASKETBALL	1000	980	967
WOMEN'S BASKETBALL	1000	995	982
MEN'S CROSS COUNTRY	944	976	981
WOMEN'S CROSS COUNTRY	1000	1000	989
MEN'S GOLF	1000	992	990
WOMEN'S GOLF	1000	982	990

MEN'S SKIING	1000	984	978
WOMEN'S SKIING	1000	1000	989
MEN'S SOCCER	975	967	978
WOMEN'S SOCCER	1000	997	988
WOMEN'S SOFTBALL	985	975	985
MEN'S SWIMMING	990	979	981
WOMEN'S SWIMMING	965	986	992
MEN'S TENNIS	1000	1000	982
WOMEN'S TENNIS	958	974	989
WOMEN'S VOLLEYBALL	1000	989	988

B. 3-Year Trend Change (Priority Sports)

The table below lists the single year APR scores for the five priority sports for the 2015-2016, 2016-17, 2017-2018 academic years.

PRIORITY SPORTS	2015-16	2016-2017	2017-2018
MEN'S BASKETBALL	1000	980	1000
WOMEN'S BASKETBALL	982	1000	1000
MEN'S SOCCER	1000	975	1000
WOMEN'S SOCCER	1000	1000	1000
WOMEN'S VOLLEYBALL	1000	1000	1000

C. Benchmarks for Assessment of APR

1. Maintain Academic Progress Rates that are higher than the minimum standard of the 930 NCAA APR benchmark.

4. Graduation Success Rate (GSR)

The NCAA Graduation Success Rate (GSR) is designed to show the proportion of student-athletes on any given team who earn a college degree. The NCAA has imposed a new set of academic standards in order to hold teams and institutions accountable for how well student-athletes progress towards a degree.

The GSR was developed in response to colleges and universities who asked for an alternative rate that more accurately reflects the movement among college student-athletes. The GSR takes into account

incoming transfers who graduate from a different institution than the one they started at and transfers who leave an institution in good standing.

The Federal Graduation Rate (FGR) is compiled by the U.S. Department of Education and is used as an indicator of academic success of college student-athletes. FGR measures the percentage of first-time, full-time freshman who graduate within six years of entering their original four-year institution. The NCAA developed its GSR in response to criticism that the FGR understates the academic success of student-athletes because the FGR method does not take into account two important factors in college athletics:

1. When student-athletes transfer from an institution before graduating and is in good academics standing (perhaps to transfer to another institution for more playing time, different major, or to go pro); and
2. Those student-athletes who transfer to an institution (e.g., from a community college or another 4-year college) and earn a degree.

The FGR treats transfers as non-graduates for the original institution the student-athlete attended, even if that student-athlete later graduates from another institution. Also, the FGR does not include that student-athlete in the graduation rates at the new institution where he/she does graduate. Therefore, once a student-athlete transfers to another school he/she is no longer recognized in the calculated graduation rate. The GSR takes into account both factors and gives credit to institutions for successful transfers, whether they are leaving or entering an institution.

The following is a summary for the 2017-18 Graduate Success Rate report. Please see Appendix C for the official 2017-18 Graduation Success Rate Report from the NCAA.

A. Green Bay GSR vs. Federal Graduation Rate by Sport

SPORT	2017-18 GSR	2017-18 FGR
MEN'S BASKETBALL	82%	38%
WOMEN'S BASKETBALL	100%	82%
MEN'S CROSS COUNTRY	89%	89%
WOMEN'S CROSS COUNTRY	100%	90%
MEN'S GOLF	89%	78%
WOMEN'S GOLF	88%	60%
MEN'S SKIING	100%	50%
WOMEN'S SKIING	100%	70%
MEN'S SOCCER	79%	46%
WOMEN'S SOCCER	100%	57%

WOMEN'S SOFTBALL	100%	78%
MEN'S SWIMMING	79%	65%
WOMEN'S SWIMMING	100%	78%
MEN'S TENNIS	100%	43%
WOMEN'S TENNIS	100%	67%
WOMEN'S VOLLEYBALL	100%	89%

**B. Green Bay Student-Athlete GSR vs. General Student Body Graduation Success Rate
2011-12 Graduate Rate**

ACADEMIC YEAR	GREEN BAY STUDENT-ATHLETE GSR	GREEN BAY STUDENT BODY GSR
2009 FRESHMAN COHORT (REPORTED IN 2015-2016)	60%	47%
2010 FRESHMAN COHORT (REPORTED IN 2016-2017)	68%	49%
2011 FRESHMAN COHORT (REPORTED IN 2017-2018)	70%	51%

*Green Bay student-athlete graduation success rate is overall a 93% on an annually basis.

C. FGR for Priority Sports

SPORT	2015-2016 (2009 FRESHMAN COHORT)	2016-2017 (2010 FRESHMAN COHORT)	2017-2018 (2011 FRESHMAN COHORT)
MEN'S BASKETBALL	17%	31%	38%
WOMEN'S BASKETBALL	80%	82%	82%
MEN'S SOCCER	85%	42%	46%
WOMEN'S SOCCER	93%	47%	57%
WOMEN'S VOLLEYBALL	100%	89%	89%
MEN'S SWIMMING & DIVING	95%	61%	65%

*Numbers depict FGR rates per sport as reported on the Academic Portal

D. Benchmarks for Assessment of GSR

1. Maintain a student-athlete graduation rate that is higher than the UW-Green Bay general student body
2. Maintain a department wide student-athlete NCAA graduation rate that is higher than the collective average for peer institutions within the Horizon League. *Attachment I

5. Academic Information

Academics are considered a top priority by the UW-Green Bay Athletics Department. It boasts 37th straight semesters of a department GPA of 3.0 or higher.

General student body GPA: 3.09

A. **Green Bay Sport by Sport GPA**

SPORT	FALL 2017	SPRING 2018
MEN'S BASKETBALL	2.52	2.76
WOMEN'S BASKETBALL	3.86	3.67
MEN'S CROSS COUNTRY	2.40	3.24
WOMEN'S CROSS COUNTRY	3.61	3.60
MEN'S GOLF	3.37	3.71
WOMEN'S GOLF	3.331	3.54
MEN'S SKIING	3.333	3.51
WOMEN'S SKIING	3.63	3.424
MEN'S SOCCER	3.26	3.36
WOMEN'S SOCCER	3.62	3.70
WOMEN'S SOFTBALL	3.50	3.08
MEN'S SWIMMING	3.36	2.86
WOMEN'S SWIMMING	3.54	3.46
MEN'S TENNIS	3.56	3.34
WOMEN'S TENNIS	3.29	3.31
WOMEN'S VOLLEYBALL	3.53	3.42

B. Year by Year GPAs for Department

YEAR	GPA
2017-2018	3.39
2016-2017	3.37
2015-2016	3.31
2014-2015	3.30
2013-2014	3.20
2012-2013	3.26
2011-2012	3.23
2010-2011	3.14
2009-2010	3.13
2008-2009	3.12

C. Declared Majors

*includes double-majors

MAJOR	NUMBER OF STUDENT-ATHLETES	% OF STUDENT-ATHLETES TAKING THAT MAJOR	% OF STUDENT BODY TAKING THAT MAJOR
Accounting	6	2.4%	3.2%
Art	0	0.0%	1.7%
Arts Management	0	0.0%	0.6%
Biology	9	3.6%	3.0%
Business Administration	56	22.2%	16.0%
Chemistry	5	2.0%	1.0%
Communication	9	3.6%	2.5%
Computer Science	5	2.0%	1.0%

Democracy & Justice Studies	4	1.6%	1.9%
Design Arts	4	1.6%	1.75%
Economics	5	2.0%	0.6%
Elementary Education	13	5.3%	3.3%
Electrical Engineering Technology	0	0.0%	0.6%
English	1	0.4%	2.2%
Environmental Engineering Technology	0	0.0%	0.5%
Environmental Policy & Planning	1	0.4%	1.0%
Environmental Science	5	2.0%	1.6%
First Nations Studies	0	0.0%	0.3%
French	0	0.0%	0.0%
Geoscience	2	0.8%	0.3%
German	1	0.4%	0.3%
Health Information Management & Technology	0	0.0%	1.9%
History	3	1.2%	2.0%
Human Biology	52	20.5%	9.0%
Human Development	10	4.0%	4.9%
Humanistic Studies	1	0.4%	0.6%
Individual Major	0	0.0%	0.0%
Information Science	0	0.0%	0.7%
Interdisciplinary Studies	0	0.0%	9.0%

Mathematics	4	1.6%	0.0%
Music	1	0.4%	1.0%
Mechanical Engineering	8	3.2%	0.3%
Mechanical Engineering Technology	0	0.0%	0.3%
Nursing	1	0.4%	7.6%
Philosophy	0	0.0%	0.5%
Political Science	2	0.8%	1.6%
Psychology	19	8.0%	9.0%
Public Administration	2	0.8%	1.0%
Social Work	0	0.0%	1.2%
Spanish	5	2.0%	1.3%
Theatre	0	0.0%	1.0%
Undeclared	15	6.4%	3.5%
Urban & Regional Studies	0	0.0%	0.3%

(1) Interdisciplinary Studies has two tracks, which are both completed primarily online and serve returning adults who cannot participate in traditional programs. The Nursing major also has several tracks and is a degree completion program for students who have already completed an Associate's degree in nursing at another school. Two of the nursing tracks are also online programs.

D. Special Admissions Statement

Green Bay athletics does not have a Special Admissions policy.

E. Benchmarks for Assessment of GPA

- a. Maintain a student-athlete cumulative GPA of 3.0 or greater each semester
- b. Maintain a student-athlete grade point average higher than the UW-Green Bay general student body.

6. Compliance Information

A. NCAA Major/Minor Violations Report

See Appendix D

B. NCAA Oversight Certification Letter

See Appendix E

C. Horizon League Compliance Audit

See Appendix F

D. NCAA Self-Study Report

See Appendix G

E. Horizon Strategic Plan

See Appendix H

F. GSR HL Comparative Data

See Appendix I

G. 2017 Facilities Debt Outstanding and Payment Appendix

See Appendix J

H. NCAA Agreed-Upon Procedure

See Appendix K

Appendix A

UNIVERSITY OF WISCONSIN-GREEN BAY ATHLETICS DEPARTMENT STATEMENT OF REVENUES AND EXPENSES FOR THE YEAR ENDED JUNE, 2018								
ENT		MEN'S BASKETBALL	WOMEN'S BASKETBALL	OTHER SPORTS	NON- PROGRAM SPECIFIC	TOTAL	PRIOR YEAR	VARIANCE
REVENUES:								
1	Ticket Sales	364,421	240,366	4,125	10,741	619,653	639,748	(20,095)
2	Direct State or Other Government Support	-	-	-	-	-	124,000	(124,000)
3	Student Fees	-	-	-	1,454,495	1,454,495	1,304,495	150,000
4	Direct Institutional Support	428,205	504,091	2,089,130	613,535	3,634,961	3,173,230	461,732
5	Less: Transfers to Institution	-	-	-	(10,826)	(10,826)	(10,906)	833
6	Indirect Institutional Support	-	-	-	112,995	112,998	110,123	2,875
Indirect Institutional Support-Athletic Facilities Debt Service, Lease and Rental Fees								
6A	Lease and Rental Fees	-	-	-	180,722	180,722	246,256	(65,534)
7	Guarantees	180,000	-	29,000	-	209,000	236,200	(29,200)
8	Contributions	95,632	108,219	1,700,989	735,571	2,640,410	1,578,459	1,461,951
9	In Kind	-	-	-	336,177	336,177	-	-
10	Compensation and Benefits Provided by Third-Party	-	-	-	-	-	-	-
11	Media Rights	-	-	-	-	-	15,000	(15,000)
12	NCAA Distributions	-	38,438	-	240,668	279,126	895,347	(606,221)
13	Conference Distributions	-	-	-	268,514	268,514	204,321	63,893
14	Program, Novelty, Parking and Concessions Sales	-	2,462	-	96,581	99,042	79,097	19,945
15	Royalties, Licensing, Advertisement, and Sponsorships	62,014	45,464	124,466	256,280	530,253	723,832	(193,579)
16	Sports Camp Revenues	-	-	37,332	2,580	39,912	29,954	9,958
17	Athletics Restricted Endowment and Investment Income	3,800	3,500	33,655	37,440	78,395	21,287	57,108
18	Other	1,360	3,060	4,500	36,470	45,390	91,300	(45,910)
19	Bowl Revenues	-	-	-	-	-	-	-
Total Operating Revenue		1,135,432	948,931	4,023,228	4,623,787	10,728,046	9,380,830	1,337,216
EXPENSES:								
20	Athletic Student Aid	326,600	328,098	1,852,151	22,457	2,529,306	2,461,664	67,722
21	Guarantees	90,000	-	7,948	-	97,948	35,740	62,208
Coaching Salaries, Benefits, and Bonuses Paid by the University and Related Entities								
22	University and Related Entities	684,166	517,721	665,578	-	1,867,465	1,804,933	62,534
Coaching Compensation and Benefits Paid By a Third Party								
23	Support Staff/Administrative Salaries, Benefits, and Bonuses Paid by the University and Related Entities	82	2,079	3,069	919,046	924,876	975,365	(50,519)
Support Staff/Administrative Other Compensation and Benefits Paid by a Third Party								
24	Support Staff/Administrative Other Compensation and Benefits Paid by a Third Party	-	-	-	-	-	-	-
25	Severance Payments	-	-	-	-	-	-	-
26	Recruiting	71,370	42,693	49,069	-	163,132	140,374	22,758
27	Team Travel	234,452	206,291	580,100	3,902	1,024,855	849,436	175,419
28	Sports Equipment, Uniforms, and Supplies	49,454	50,594	243,847	37,160	381,055	503,477	(122,422)
29	Game Expenses	127,822	85,542	43,574	17,802	274,740	249,323	25,417
30	Fundraising, Marketing, and Promotion	20,110	27,475	634	272,647	320,866	329,448	(8,581)
31	Sport Camp Expenses	-	976	8,455	-	9,431	6,494	2,937
32	Spirit Groups	-	-	-	48,497	48,497	41,323	7,174
33	Athletic Facilities, Debt Service, Leases, and Rental Fees	113,728	-	25,836	191,260	330,824	375,899	(45,075)
34	Direct Overhead and Administrative Expenses	14,095	14,567	16,163	287,230	314,055	327,938	(13,420)
35	Indirect Institutional Support	-	-	-	112,998	112,998	110,123	2,875
36	Medical Expenses and Medical Insurance	-	-	96	487,804	487,900	414,474	73,226
37	Membership and Dues	1,455	530	5,079	2,950	10,014	9,917	97
38	Student-Athlete Meals (non-travel)	1,228	143	12,815	15,470	29,656	26,577	3,079
39	Other Operating Expenses	23,061	32,824	21,692	257,090	334,668	319,504	15,163
40	Bowl Expenses	-	-	-	-	-	-	-
Total Operating Expenses		1,756,211	1,292,154	3,628,286	2,655,294	9,331,944	8,862,631	469,313
EXCESS (DEFICIENCY) OF REVENUES OVER (UNDER) EXPENSES		(622,779)	(343,223)	404,942	1,967,493	1,400,102	498,769	1,084,393
Additional Information:								
50	Excess Transfers to Institution	-	-	-	-	\$0	\$0	-
51	Conference Realignment Expenses	-	-	-	-	\$0	\$0	-
52	Total Athletics Related Debt	-	-	-	-	\$ 5,729,914	\$ 6,046,066	(\$ 316,152)
53	Total Institutional Debt	-	-	-	-	\$ 6,231,435	\$ 6,880,224	(\$ 648,789)
54	Value of Athletics Dedicated Endowments	-	-	-	-	\$ 1,832,531	\$ 1,770,827	\$ 61,704
55	Value of Institutional Endowments	-	-	-	-	\$ 32,815,825	\$ 31,380,384	\$ 1,435,441
56	Total Athletics Related Capital Expenditures	-	-	-	-	\$0	\$10,000	(\$10,000)

FOOTNOTES TO STATEMENT OF REVENUES AND EXPENSES - See Attachment E.

NCAA Division I 2017 - 2018 Academic Progress Rate Institutional Report

Institution: University of Wisconsin-Green Bay

Date of Report: 03/18/2019

This report is based on NCAA Division I Academic Progress Rate (APR) data submitted by the institution for the 2014-15, 2015-16, 2016-17 and 2017-18 academic years. Institutions are encouraged to forward this report to appropriate institutional personnel on campus.

[Note: All information contained in this report is for four academic years. Some squads may still have small sample sizes within certain sport groups. In accordance with the Family Educational Rights and Privacy Act's (FERPA's) interpretation of federal privacy regulations, institutions should not disclose statistical data contained in this report for cells made up of three or fewer students without student consent.]

Sport	APR		Eligibility/Graduation		Retention	
	Ministry Rate (N)	Ministry Rate Upper Confidence Boundary	2017 - 2018 (N)	Ministry Rate	2017 - 2018	Ministry Rate
Men's Basketball	980 (51)	N/A	1,000 (12)	990	1,000	960
Men's Cross Country	976 (44)	N/A	944 (10)	965	889	988
Men's Golf	992 (34)	N/A	1,000 (8)	985	1,000	1,000
Men's Skiing	984 (32)	N/A	1,000 (7)	1,000	1,000	969
Men's Soccer	982 (81)	N/A	1,000 (17)	993	1,000	970
Men's Swimming	979 (108)	N/A	990 (26)	976	980	981
Men's Tennis	1,000 (32)	N/A	1,000 (8)	1,000	1,000	1,000
Women's Basketball	995 (54)	N/A	1,000 (14)	1,000	1,000	991
Women's Cross Country	1,000 (44)	N/A	1,000 (11)	1,000	1,000	1,000
Women's Golf	982 (31)	N/A	1,000 (8)	982	1,000	982

⁴ Denotes data representing three or fewer student-athletes. In accordance with FERPA's interpretation of federal privacy regulations, institutions should not disclose statistical data contained in this report in cells made up of three or fewer students without student consent.

N/A = No APR or not applicable

N = Number of student-athletes represented

¹ Denotes APR that does not subject the team to ineligibility for postseason competition based on institutional, athletics and student resources and the team's Graduation Success Rate.

² Denotes APR that does not subject the team to ineligibility for postseason competition due to the team's demonstrated academic improvement.

³ Denotes APR that does not subject the team to ineligibility for postseason competition due to the squad-size adjustment. The "upper confidence boundary" of a team's APR must be below 930 for that team to be subject to ineligibility for postseason competition. Squad-size adjustment does not apply to teams with four years of APR data and a ministry cohort of 30 or more student-athletes.

⁴ Denotes APR that does not subject the team to penalties due to the team's demonstrated academic improvement.

⁵ Denotes APR that does not subject the team to penalties due to the squad-size adjustment. The "upper confidence boundary" of a team's APR must be below 930 for that team to be subject to penalties. The squad-size adjustment does not apply to teams with four years of APR data and a ministry cohort of 30 or more student-athletes.

⁶ Denotes APR based on a one year cohort, not subject to ineligibility for postseason competition and/or any penalties.

⁷ Denotes APR based on a two year cohort, not subject to ineligibility for postseason competition and/or any penalties.

⁸ Denotes APR that is not subject to ineligibility for postseason competition and/or penalties based on institutional resources.

⁹ Denotes APR that requires an APR Improvement Plan be created for this sport.

NCAA Division I 2017 - 2018 Academic Progress Rate Institutional Report

Institution: University of Wisconsin-Green Bay

Date of Report: 03/18/2019

Sport	APR		Eligibility/Graduation		Retention	
	Multiyear Rate (N)	Multiyear Rate Upper Confidence Boundary	2017 - 2018 (N)	Multiyear Rate	2017 - 2018	Multiyear Rate
Women's Softball	975 (77)	N/A	985 (20)	993	1,000	957
Women's Skiing	1,000 (36)	N/A	1,000 (9)	1,000	1,000	1,000
Women's Soccer	997 (96)	N/A	1,000 (26)	1,000	1,000	994
Women's Swimming	996 (112)	N/A	995 (30)	995	1,000	977
Women's Tennis	974 (30)	N/A	938 (7)	1,000	1,000	946
Women's Volleyball	989 (48)	N/A	1,000 (11)	1,000	1,000	977

- * Denotes data representing three or fewer student-athletes. In accordance with FERPA's interpretation of federal privacy regulations, institutions should not disclose statistical data contained in this report in cells made up of three or fewer students without student consent.
- N/A = No APR or not applicable.
- N = Number of student-athletes represented.
- ¹ Denotes APR that does not subject the team to ineligibility for postseason competition based on institutional, athletics and student resources and the team's Graduation Success Rate.
- ² Denotes APR that does not subject the team to ineligibility for postseason competition due to the team's demonstrated academic improvement.
- ³ Denotes APR that does not subject the team to ineligibility for postseason competition due to the squad-size adjustment. The "upper confidence boundary" of a team's APR must be below .930 for that team to be subject to ineligibility for postseason competition. Squad-size adjustment does not apply to teams with four years of APR data and a multiyear cohort of 30 or more student-athletes.
- ⁴ Denotes APR that does not subject the team to penalties due to the team's demonstrated academic improvement.
- ⁵ Denotes APR that does not subject the team to penalties due to the squad-size adjustment. The "upper confidence boundary" of a team's APR must be below .930 for that team to be subject to penalties. The squad-size adjustment does not apply to teams with four years of APR data and a multiyear cohort of 30 or more student-athletes.
- ⁶ Denotes APR based on a one year cohort, not subject to ineligibility for postseason competition and/or any penalties.
- ⁷ Denotes APR based on a two year cohort, not subject to ineligibility for postseason competition and/or any penalties.
- ⁸ Denotes that team is not subject to ineligibility for postseason competition and/or penalties based on institutional resources.
- ⁹ Denotes APR that requires an APR Improvement Plan be created for this sport.

Appendix C

University of Wisconsin-Green Bay

FRESHMAN-COHORT GRADUATION RATES	All Students	Student-Athletes #
2011-12 Graduation Rate	51%	70%
Four-Class Average	49%	66%
Student-Athlete Graduation Success Rate		93%

1. Graduation-Rates Data

a. All Students

	Men				Women				Total			
	2011-12		4-Class		2011-12		4-Class		2011-12		4-Class	
	N	%	N	%	N	%	N	%	N	%	N	%
Am. Ind./AN	0	-	9	22	5	20	19	26	5	20	28	25
Asian	10	60	40	45	9	67	65	45	19	63	105	45
Black	5	40	18	39	3	33	15	40	8	38	33	39
Hispanic	12	33	36	25	18	39	57	44	30	37	93	37
Nat. Haw./PI	0	-	0	-	0	-	2	100	0	-	2	100
N-R Alien	4	25	22	41	7	43	19	53	11	36	41	46
Two or More	3	0	20	20	13	54	33	48	16	44	53	38
Unknown	1	100	6	33	0	-	8	50	1	100	14	43
White	268	49	1250	47	511	53	2178	52	779	52	3428	50
Total	303	48	1401	45	566	53	2396	52	869	51	3797	49

b. Student-Athletes

	Men						Women						Total					
	2011-12		4-Class		GSR		2011-12		4-Class		GSR		2011-12		4-Class		GSR	
	N	%	N	%	N	%	N	%	N	%	N	%	N	%	N	%	N	%
Am. Ind./AN	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-
Asian	0	-	1	100	1	100	0	-	0	-	0	-	0	-	1	100	1	100
Black	4	50	9	33	8	88	0	-	1	100	1	100	4	50	10	40	9	89
Hispanic	0	-	2	100	3	100	0	-	1	100	1	100	0	-	3	100	4	100
Nat. Haw./PI	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-
N-R Alien	2	50	6	33	7	86	1	0	5	60	4	100	3	33	11	45	11	91
Two or More	0	-	0	-	1	100	0	-	0	-	0	-	0	-	0	-	1	100
Unknown	1	0	1	0	0	-	0	-	0	-	0	-	1	0	1	0	0	-
White	20	65	78	60	60	83	29	83	120	73	98	99	49	76	198	68	158	93
Total	27	59	97	57	80	85	30	80	127	72	104	99	57	70	224	66	184	93

Appendix C (conti.)

c. Student-Athletes by Sport Category

Baseball				Men's Basketball				Men's CC/Track			
%N				%N				%N			
2011-12 4-Class GSR				2011-12 4-Class GSR				2011-12 4-Class GSR			
Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-
Asian	-	-	-	Asian	-	-	-	Asian	-	-	-
Black	-	-	-	Black	67-a	33-b	86-b	Black	-	-	-
Hispanic	-	-	-	Hispanic	-	-	-	Hispanic	-	-	-
Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-
N-R Alien	-	-	-	N-R Alien	-	-	-	N-R Alien	-	-	-
Two or More	-	-	-	Two or More	-	-	-	Two or More	-	-	-
Unknown	-	-	-	Unknown	-	-	-	Unknown	-	-	-
White	-	-	-	White	-	43-b	75-a	White	100-a	89-b	89-b
Total	-	-	-	Total	67-a	38-c	82-c	Total	100-a	89-b	89-b

Football				Men's Other			
%N				%N			
2011-12 4-Class GSR				2011-12 4-Class GSR			
Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-
Asian	-	-	-	Asian	-	100-a	100-a
Black	-	-	-	Black	0-a	33-a	100-a
Hispanic	-	-	-	Hispanic	-	100-a	100-a
Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-
N-R Alien	-	-	-	N-R Alien	50-a	33-b	86-b
Two or More	-	-	-	Two or More	-	-	100-a
Unknown	-	-	-	Unknown	0-a	0-a	-
White	-	-	-	White	63-d	58-e	83-e
Total	-	-	-	Total	57-e	56-e	85-e

Women's Basketball				Women's CC/Track				Women's Other			
%N				%N				%N			
2011-12 4-Class GSR				2011-12 4-Class GSR				2011-12 4-Class GSR			
Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-
Asian	-	-	-	Asian	-	-	-	Asian	-	-	-
Black	-	100-a	100-a	Black	-	-	-	Black	-	-	-
Hispanic	-	-	-	Hispanic	-	-	-	Hispanic	-	100-a	100-a
Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-
N-R Alien	-	100-a	100-a	N-R Alien	-	-	-	N-R Alien	0-a	50-a	100-a
Two or More	-	-	-	Two or More	-	-	-	Two or More	-	-	-
Unknown	-	-	-	Unknown	-	-	-	Unknown	-	-	-
White	67-a	78-b	100-b	White	100-a	90-b	100-c	White	82-e	70-e	99-e
Total	67-a	82-c	100-c	Total	100-a	90-b	100-c	Total	78-e	70-e	99-e

Values for N (a. 1-5, b. 6-10, c. 11-15, d. 16-20, e. greater than 20)

Attachment C (conti)

2. Undergraduate-Enrollment Data (All full-time baccalaureate-degree seeking students enrolled 2017-18)

a. All Students	Men	Women	Total	b. Student-athletes #	Men	Women	Total
	N	N	N		N	N	N
Am. Ind./AN	14	29	43	Am. Ind./AN	0	0	0
Asian	60	75	135	Asian	0	0	0
Black	39	32	71	Black	0	0	0
Hispanic	83	142	225	Hispanic	0	0	0
Nat. Haw./PI	0	1	1	Nat. Haw./PI	0	0	0
N-R.Alien	32	23	55	N-R.Alien	0	0	0
Two or More	52	87	139	Two or More	0	0	0
Unknown	0	2	2	Unknown	0	0	0
White	1168	2222	3390	White	0	0	0
Total	1448	2613	4061	Total	0	0	0

c. Student-Athletes # By Sports Category

Men	Basketball	Baseball	CC/Track	Football	Other
Am. Ind./AN	0	0	0	0	0
Asian	0	0	0	0	0
Black	0	0	0	0	0
Hispanic	0	0	0	0	0
Nat. Haw./PI	0	0	0	0	0
N-R.Alien	0	0	0	0	0
Two or More	0	0	0	0	0
Unknown	0	0	0	0	0
White	0	0	0	0	0
Total	0	0	0	0	0

Women	Basketball	CC/Track	Other
Am. Ind./AN	0	0	0
Asian	0	0	0
Black	0	0	0
Hispanic	0	0	0
Nat. Haw./PI	0	0	0
N-R.Alien	0	0	0
Two or More	0	0	0
Unknown	0	0	0
White	0	0	0
Total	0	0	0

#Only student-athletes receiving athletics aid are included in this report.



INFORMATION ABOUT THE GRADUATION RATES INSTITUTION REPORT

Introduction.

This information sheet and the NCAA Graduation Rates Institution Report have been prepared by the NCAA, based on data provided by the institution in compliance with NCAA Bylaw 18.4.2.2.1 (admissions and graduation-rate disclosure) and the federal Student Right-to-Know. Please note, the NCAA will make this report public.

The Graduation Rates Institution Report gives graduation information about the most recent six-year graduating class of students and student-athletes who entered as freshmen in 2011-12. The graduation rate (percent) is based on a comparison of the number (N) of students who entered a college or university and the number of those who graduated within six years. For example, if 100 students entered and 60 graduated within six years, the graduation rate is 60 percent.

The Graduation Rates Institution Report provides information about two groups of students:

1. All Students. All undergraduate students who were enrolled in a full-time program of studies for a baccalaureate degree; and
2. Student-Athletes. Student-athletes who received athletics aid from the school for any period of time during their entering year. [Note: Athletics aid is a grant, scholarship, tuition waiver or other assistance from a college or university that is awarded on the basis of a student's athletics ability.]

Two different measures of graduation rates are presented in this report: Federal Graduation Rate and NCAA Division I Graduation Success Rate (GSR). The Federal Graduation Rate indicates the percentage of freshmen who entered and received athletics aid during a given academic year who graduated within six years. The GSR adds to the first-time freshmen, those students who entered midyear as well as student-athletes who transferred into an institution and received athletics aid. Both the Federal Graduation Rate and the GSR subtract students from the entering cohort who are considered allowable exclusions (i.e., those who either die or become permanently disabled, those who leave the school to join the armed forces, foreign services or attend a religious mission). In addition, the GSR subtracts those who left the institution prior to graduation, had athletics eligibility remaining and would have been academically eligible to compete had they returned to the institution.

ATTACHEMENT C (conti.)

Information About the Graduation Rates Report
Page No. 2

Graduation Rates Report.

The box at the top of the Graduation Rates Institution Report provides the most recent class (i.e., 2012-13) and four-class Federal Graduation Rate (i.e., 2009-10 through 2012-13) for all students and for student-athletes who received athletics aid at this school. Additionally, this box provides GSR data (i.e., 2009-10 through 2012-13) for student-athletes.

1. Graduation-Rates Data. The second section of the report provides Federal Graduation Rate and GSR data for all students, student-athletes and student-athletes by sport group. (Note: Pursuant to the Student Right-to-Know Act, anytime a cell containing cohort numbers includes only one or two students, the data in that cell and one other will be suppressed so that no individual can be identified.)
 - a. All Students. This section provides the freshman-cohort graduation rates for all full-time, degree-seeking students by race or ethnic group. It shows the rate for men who entered as freshmen in 2012-13 and the four-class average, which includes those who entered as freshmen in 2009-10, 2010-11, 2011-12 and 2012-13. The same rates are provided for women. The total for 2012-13 is the rate for men and women combined, and the four-class average is for all students who entered in 2009-10, 2010-11, 2011-12 and 2012-13.
 - b. Student-Athletes. This section provides the freshman-cohort graduation rates and the GSR for student-athletes in each race and ethnic group who received athletics aid. Information is provided for men and women separately and for all student-athletes.
 - c. Student-Athletes by Sports Categories. This section provides the identified graduation rates as in 1-b for each of the eight sports categories. (The small letters indicate the value of N according to the legend at the bottom of 1-c.)
2. Undergraduate-Enrollment Data.
 - a. All Students. This section indicates the total number of full-time, undergraduate, baccalaureate, degree-seeking students enrolled for the 2018 fall term and the number of men and women in each racial or ethnic group (not just freshmen).
 - b. Student-Athletes. This section identifies how many student-athletes were enrolled and received athletics aid for the 2018-19 academic year, and the number of men and women in each racial or ethnic group.
 - c. Student-Athletes by Sports Categories. This section provides the enrollment data as identified in 2-b for each of the eight sports categories.

Attachment D

2017-2018 Self- Reported NCAA Violations

Sport	Date	Bylaw	Type of Violation	Violation Summary
MBB/Department	4/17/2018	12.5.1.1.6	Amateurism, Promotional Activities	Institution's Learfield rep asked the men's basketball team to participate in an event at a restaurant which triggered a co-sponsorship status through their advertising of the event.
MSOC	12/22/18	13.6.3	Recruiting, Official visits	The institution failed to put men's soccer prospective student-athlete's (PSA) on the IRL prior to his official visit to the institution.
WBB	11/30/18	13.4.1	Recruiting, Telephone calls	Impermissible text to women's basketball PSA's mother.
SOFT	10/01/17	13.4.1	Recruiting, Telephone Calls	Impermissible text to softball PSA.
WBB	9/13/17	13.4.1, 13.1.3.1	Recruiting, Telephone Calls	Impermissible text to women's basketball PSA. Specifically, the assistant coach noticed that as she was about to add the PSA in her contact list she sent the PSA her location via text message.

Appendix E

NCAA Oversight Certification Letter

March 29, 2019

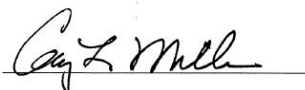
John Behling, Board of Regents President
Raymond Cross, UW System President
Van Hise Hall
1220 Linden Dr.
Madison, Wisconsin 53706

Dear Presidents Behling and Cross:

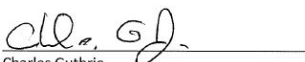
In connection with your oversight of the UW-Green Bay's intercollegiate athletics program, we confirm, to the best of our knowledge and belief, the following:

1. We have self-reported any known or suspected violations of NCAA rules and regulations. Reported NCAA violations are listed in the Annual Report submitted on March 29, 2019.
2. There are no known or suspected illegal acts of non-compliance with federal, state, or local law by individuals employed by the institution, or individuals who serve as an agent of the institution.
3. There are no investigations/monitoring reviews currently underway by the NCAA, law enforcement officials, or others.
4. We have no knowledge of any allegations of fraud or suspected fraud affecting intercollegiate athletics received in communications from employees, former employees, or others.
5. There have been no modifications to the institution's gender-equity plan from our previous self-study conducted in Spring 2011 and many aspects of the plan have been fully addressed and/or implemented.
6. We have a process in place to ensure background checks are performed on administrators, support staff, coaches, volunteers, student employees, and other individuals serving as an agent of the institution related to intercollegiate athletics. These processes apply to those involved with offering camps and clinics, and others who participate in activities involving children. Adverse outcomes of background checks are evaluated pursuant to UW-Green Bay's Criminal Background Check Policy, last updated March 1, 2013, which is consistent with the Board of Regents Policy 20-19 University of Wisconsin System Criminal Background Check Policy.
7. We understand our fiduciary responsibilities to act in the best interest of the institution even if it conflicts with the immediate interests of the athletic department.
8. The athletic department has not intervened when a student-athlete is being disciplined under regular conduct rules.

Sincerely,



Dr. Gary Miller
Chancellor



Charles Guthrie
Director of Intercollegiate Athletics



Kassie Batchelor
Associate Athletic Director for Compliance and Student Welfare/ Senior Woman Administrator

Attachment F

Kassie Batchelor
Sr. Associate Athletic Director for Compliance and Student Services/SWA
University of Wisconsin-Green Bay
2420 Nicolet Drive
Green Bay, WI 54311

Dear Kassie:

I have attached a copy of Green Bay's 2018 Horizon League Compliance Review. I apologize for the delay in finalizing the written report after the on-campus visit. As you will see in the pages that follow, there are several strengths in the compliance department but two main strengths of Green Bay Athletics in the area of compliance are 1) cross-department collaboration with financial aid and Registrar, and 2) frequent sharing of rules information and consistent education within the department on NCAA rules. Based on these strengths, athletic administrators and coaches have a good understanding of the importance of rules compliance and appear committed to staying within the NCAA rules.

You will note that I have indicated a number of suggestions for improvement throughout this report. I want to remind you that all suggestions are voluntary, but are recommended actions that I believe would help improve your compliance department. The area of most importance to address in the short run is supplying the athletics department an additional full-time individual focusing on academics, especially in light of the newly implemented NCAA academic-based revenue distribution. Secondly, many athletic liaisons in departments outside of athletics have lengthy tenures and need to begin training replacements. For example, financial aid and the now retired Faculty Athletics Representative have been or were in their roles for many years. These tenured professionals are of great assistance and have vast institutional/NCAA knowledge, but the institution will be open to risk should these individuals depart without training a replacement. In addition, a few suggestions for improvement, among others you will read, that are included in the report would be: 1) continue to implement the use of technology in the compliance program to ease the burden on coaches, the Sr. Associate AD for Compliance and Student Services, and student-athletes; 2) include Faculty Athletics Representative in dealings with academic issues involving student-athletes instead of just athletics academic advisor; and 3) consider involvement or development of a broader, more inclusive continuing eligibility committee.

Please feel free to contact me with any questions or concerns you may have. I also want to thank you and your entire staff for your hospitality during the review. Everyone was well prepared, and you did a great job ensuring that I had everything I needed for the review. Again, I am sorry for the delayed sending of this written report.

Sincerely,

Kyle Fairchild
Horizon League
Assistant Commissioner for Governance and Compliance

2018 Horizon League Compliance Review
September 18-19, 2018
University of Wisconsin—Green Bay

A. Governance and Institutional Control

Current Strengths:

1. The Director of Athletics reports directly to the Chancellor. The Chancellor meets every two weeks with the Director of Athletics and is kept apprised of key areas such as human resources/compliance, facilities, resources and team activity.
2. Based on the timely, every other week meetings between the Chancellor and Director of Athletics, the Chancellor is notified of all issues that arise in the area of NCAA rules compliance in a timely manner and is involved in all major personnel decisions.
3. There is an established Athletic Board that meets one time per month to discuss athletic issues, of which the Faculty Athletic Representative is an ex-officio member to provide the outside of athletics perspective on issues.
4. There are established procedures for the reporting and investigation of alleged rules violations. The Senior Associate AD for Compliance leads the paperwork and follow-up for alleged rules violations. The Chancellor is kept apprised of violations.
5. Job descriptions, coaches' contracts and coaches' goal evaluation documents reference compliance with NCAA rules and address consequences of the institution or NCAA discovering a violation.
6. The Controller handles athletics budgeting management and oversight. The Director of Athletics keeps a five-year strategic budget projection and reports to an entity outside of athletics within the university regularly on key issues.
7. The athletic department receives outstanding support from the financial aid office admissions office, and university registrar. The liaisons in each of these offices have established excellent working relationships with the Senior Associate AD for Compliance. The liaisons and athletics department staff members have lengthy tenures at the university; therefore, everyone is comfortable with and adheres to the compliance processes.

Suggestion for Improvement:

1. The Faculty Athletic Representative does not have a standing meeting or agenda with the Chancellor even though there is an open communication line. Since the Faculty Athletic Representative is appointed by the Chancellor as an outside entity for athletics, a formal meeting schedule should be established with the Chancellor. A similar scheduled meeting

should take place with the Director of Athletics, as well. A recommended frequency for these meetings would be quarterly at a minimum.

2. The Senior Associate AD for Compliance reports directly to the Director of Athletics, but communicates often (2-3 times a week) with the legal affairs office within the University of Wisconsin system. If this is a formalized communication requirement with the legal affairs office, that communication structure should be documented within the Green Bay athletics department, especially since the Senior Associate AD for Compliance is not reporting (dotted line or direct report) to the legal affairs office.

B. Eligibility and Academic Support

Current Strengths:

1. Student-athletes are encouraged to register for 15 credit hours per semester, yet have discretion to enroll in at least 12 credit hours to be full time. There is a block placed on student-athletes' accounts so they cannot drop below full-time enrollment. A daily full-time credit report is run through the Registrar's office that is sent to the athletics representative in the Registrar, Senior Associate AD for Compliance and athletics Academic Advisor.
2. The institution has a system in place for verifying the eligibility status of student-athletes. The Senior Associate AD for Compliance and the Registrar certify the eligibility of each student-athlete.
3. Transfer student-athlete eligibility is through the Registrar's office. This allows for determinations on what credits are transferrable to be determined by experts instead of solely someone in athletics.
4. Initial eligibility core course workups are completed by the Senior Associate AD for Compliance, and transcripts are provided to the athletics Academic Advisor to keep on file in case a transcript is needed in a department outside of athletics.
5. Academic Advisors for student-athletes are decided by the Registrar, and student-athletes are not solely advised by an athletics Academic Advisor. Student-athletes also are given priority registration to enable them to schedule classes that will not conflict with competition or practice.
6. The Registrar liaison attends the NCAA Compliance Rules Seminars and supplement current NCAA rules education through educational sessions with the Senior Associate AD for Compliance.
7. The Admissions and Registrar offices do not receive undue pressure from any athletics department staff members or coaches regarding the admissions status of prospective student-athletes or eligibility status of current student-athletes since all communication comes through the Senior Associate AD for Compliance or athletics Academic Advisor.

8. The Registrar liaison has athletics responsibilities listed in their job descriptions.
9. Student-athletes have access to an abundance of tutors set up by the athletics Academic Advisor. All student-athletes have an opportunity to meet with a tutor.
10. Freshman having to be involved in a study table is a normal protocol in DI, but a good practice that the institution follows, nonetheless. For study tables, the GPA threshold is 3.0, but can be set per team over the 3.0 threshold. Attendance reports are received by the athletics Academic Advisor. Grade checks are done during the sixth and tenth week of the semester and provided to the Director of Athletics, Senior Associate AD for Compliance and head coach.
11. A missed class time policy, including a letter written by the Faculty Athletics Director to provide to professors, is in place and effective. Student-athletes provide full travel schedules to professors in advance so that professors are aware of student-athletes absences throughout the semester.
12. A campus resource of Disability Services is confidentially available to student-athletes that may have learning barriers.

Suggestions for Improvement:

1. It would be valuable for the institution to hire a staff member in the area of academic advising specifically for athletics. This individual can help insure that each athlete is receiving the necessary academic support.
2. Only two individuals, one from the Registrar and the Senior Associate AD for Compliance, are involved in eligibility certification each semester (i.e. credits hour requirements, percentage towards degree and GPA). It would be prudent to have an additional person outside of athletics who is familiar with requirements from specific colleges on the eligibility committee. With the FAR not currently involved in eligibility certification, a suggested solution would be to make the FAR this “person outside of athletics.”
3. The athletics Academic Advisor has good relationships across campus, but involving faculty as an outside entity is one main reason the Faculty Athletic Representative exists. Isolating academic issues and resolving them solely within athletics opens the institution to risk of academic misconduct or other institutional control violations.
4. It would be beneficial for the athletics Academic Advisor to be educated in initial eligibility monitoring and core course workups to take an important, yet tedious task away from the Senior Associate AD for Compliance who has many other things on her plate.

5. A formal process for NCAA rules education on extra benefits and academic fraud should take place with academic advisors, tutors and student instructors, especially with the tutors that are informally set up through the athletics Academic Advisor as “unsupervised” (i.e. after being referred as a tutor through a professor in subjects not formally supervised in athletics).

C. Financial Aid Monitoring

Current Strengths:

1. The Senior Associate AD for Compliance and the Director of Financial Aid communicate regularly regarding the aid received by student-athletes. The Director of Financial Aid inputs the data into the university SIS system and is the sole individual who can disburse aid. Both individuals work off of the same financial aid master spreadsheet.
2. The Director of Financial Aid has athletics listed in her job description and is the one primary contact with athletics. The director has attended the NCAA Regional Rules Seminar in the past, and the Director of Financial Aid’s supervisor has expressed interest in attending Regional Rules.
3. The Compliance office and the Financial Aid office have a procedure in place for indicating non-renewal, cancellation and reduction. The institution also has a written policy and procedure in place for hearings related to cancellation/non-renewal of financial aid which is communicated to student-athletes through the Director of Financial aid.
4. All student-athletes are part of a group in the financial aid system (SIS) and the Bursar can see these athletics holds on each student-athlete account. This ensures that the financial aid department system and the Bursar cannot award excess or impermissible aid to student-athletes.

Suggestion for Improvement:

1. The Director of Financial Aid is not provided the squad list. The Director needs to be the final sign off for institution squad lists aside from getting coaches to sign prior to filing.
2. Contemplate mandating equivalency sports use a uniform approach to awarding athletics financial aid to ease tracking and interpretation from the Director of Financial Aid. For example, all awards should be in dollar amounts instead of percentages. In addition, move all sports to the denominator of the full grant-in-aid definition including tuition, fees, room, board, books and other expenses related to enrollment (i.e. FGIA up to full cost-of-attendance).
3. Currently athletics sends or hands out financial aid renewal letters to student-athletes, while non-renewal letters come from the Director of Financial Aid. All letters, both

renewal and nonrenewal should be sent directly from the Financial Aid Department to the student-athletes.

4. Begin to train a secondary financial aid contact with athletics in the event that the primary contact is unavailable or is no longer with the institution, as the primary contact is the sole knowledgeable person about athletics in the financial aid office after being around for almost fifteen years.

D. Recruitment Monitoring

Current Strengths:

1. Official visit monitoring is very good, especially since much of it is now done through Jump Forward. Coaches are required to submit request to the compliance office prior to the visit and only receive approval from compliance upon receipt of all necessary paperwork. Student hosts are given written education about the do's and don'ts of hosting, understand that they have to fill out forms to return to coaches and are required to document expenses.
2. Coaches are required to submit recruiting logs in Jump Forward to the compliance office. All coaches interviewed appeared to have a good system for ensuring the initial permissible call is logged and evaluation days are tracked.
3. An academic workup is completed by compliance/academics for each prospect that visits the university. This workup is given to the coach prior to the visit, as well as the prospective student when on campus.
4. Coaching staffs had one individual that handled recruiting travel, counting evaluations, and playing/practice season logs, which leads to consistency in travel and evaluation.

Suggestions for Improvement:

1. Based on student-athlete interviews, it was clear that the men's soccer student-athlete and women's basketball student-athlete had different views and understanding about the student host process entertainment allowance. If there is not already a reporting process in place for the per day entertainment allowance post-visit, the department should implement a reporting mechanism, including receipts, to account for all the monies distributed for official visit entertainment with the student host.
2. Move to use of the electronic system for all sports complimentary tickets. Some teams indicated that they are using paper forms with just the name listed, which opens the institution up to providing complimentary tickets to impermissible persons when there is a complex way to monitor this through Jump Forward.

E. Playing and Practice Season and Outside Competition

Current Strengths:

1. Coaches complete playing and practice season declarations in a timely manner and submit them to the compliance office for approval. Also, weekly playing and practice logs are submitted to compliance on a monthly basis and student-athletes sign off on the forms, but mostly captains.
2. All student-athletes interviewed indicated that their coaches stayed within the permissible number of practice hours. In addition, those sports with in/out of season work understood the breakdown prior to the season.
3. Coaches submit playing and practice declaration, schedules and promotional materials to the compliance office for approval.

Suggestion for Improvements:

1. Add a check/balance to have each sport randomly select student-athletes sign off on playing and practice season forms instead of one or two student-athletes or captains. This is an easily managed function in the Jump Forward recruiting software.

F. Rules Education**Current Strengths:**

1. Weekly rules education and compliance updates are sent to everyone in the athletics department by the Senior Associate AD for Compliance.
2. There are regular rules education meetings that coaches are required to attend. Staff members are educated at department staff meetings at least twice a year. The department's four pods meet weekly where an attitude of rules compliance is reinforced by the Director of Athletics.
3. The compliance staff does a nice job of using technology for rules education for coaches, students, and staff.
4. Interviewed student-athletes relayed that they understand the rules, there is a culture of compliance and NCAA regulation doesn't often come up in their teams.

Suggestion for Improvements:

1. Ensure that part-time coaches attend rules education meetings, and are educated with the same diligence as full-time coaches that are in the office more frequently. If part-time coaches do not attend rules education/staff meetings and are missing out on information, make that a requirement because the part-time coaches can often be the individuals committing violations.

G. Amateurism, Extra Benefits, Boosters and Agents

Current Strengths:

1. Boosters are well educated about extra benefits. Educational flyer is provided to all season ticket holders along with tickets each year. Upon sending a donation receipt to a donor, the donor receives information related to NCAA rules on the receipt.
2. The Senior Associate AD for Compliance meets with each team at the beginning of each sport season to review NCAA legislation, extra benefits and encourage asking questions when they are unsure about rules related to extra benefits and boosters.
3. Student-athletes do not often receive per diem cash, as teams eat meals using institutional credit cards. But, if student-athletes do receive per diem, they are required to sign for all money received during travel.
4. The Senior Associate AD for Compliance monitors the amateurism status of all incoming and current student-athletes.
5. The Senior Associate AD for Compliance is included in the initial recruiting travel itinerary for coaches and the reimbursement process for coaches' recruiting expenses. Having this second step of review along with the business manager provides an extra layer of review and approval of all institutional credit card expenditures to ensure proper spending/usage.
6. The institution has developed an autograph policy for only those less than 18 years of age limited to a certain area of the arena post-game. This policy protects student-athletes, especially in the sport of women's basketball for extreme booster involvement and exposure to fans that may be looking for autographs for the wrong reasons.
7. The women's basketball program is heavily involved with boosters and supporters of the program. The Senior Associate AD and senior staff have a heightened awareness of rules compliance with the women's basketball program. That awareness should continue due to the sport being of high profile, as well as the frequent involvement of student-athletes at donor events, post-game interactions, occasional meals, etc.

Suggestion for Improvements:

1. During the visit, student-athlete education about agents/agent interaction was not discussed. Should there not be a process in place related to agents, senior student-athletes trying to turn professional (or those seeking professional career prior to graduation), need to be well educated about permissible and non-permissible activities related to agents on an individual basis through the Senior Associate AD for Compliance. It is believed that this is covered on a base level at the beginning of the year compliance meeting, but may need to be more individually focused towards the end of each academic year.

2. There was no mention of a policy for facility rentals for groups including prospective student-athletes during the visit. In order to ensure that groups consisting of prospects do not get free or reduced rental of facilities, if not already in place, it would be prudent to formalize the rental approval process within the department even though if there may be verbal approval process that is followed for facility approval for outside groups.
3. In the future, it would be beneficial for the Senior Associate AD for Compliance to meet with the boosters at an event to give an in-person presentation on rules education in addition to the written material that is provided annually.
4. The equipment management is loosely managed at the institution due to there not being a dedicated equipment manager. Since coaches order equipment directly through an Adidas contact, the department needs to develop a process in which the compliance office and/or sport administrator is/are copied on all orders placed by coaches.

H. Camps and Clinics

Current Strengths:

1. The compliance office has a detailed policy and procedure, including required forms that must be filled out and returned before and after the camp or clinic, relating to institutional camps and clinics.
2. The business manager administers camp funds and reconciles funds for all institutional camps. The business manager also pays all employees of the camps only after receiving a camp employment form for each employee.
3. Camp brochures or forms are reviewed by the Senior Associate AD for Compliance prior to dissemination.

Suggestion for Improvements:

1. The business manager should review and audit the books of all privatized coaches' camps (i.e. camps run through LLCs), as all camps run by an institution's coach are still considered to be institutional camps.

I. Student-Athlete Employment

Current Strengths:

1. All staff members are required to notify the compliance office prior to hiring any students for work in the athletic department. Prior to the student-athlete's employment, the employment arrangement must be approved by the Senior Associate AD for Compliance.

2. There is appropriate monitoring of athletic and non-athletic employment. All student-athletes with jobs are required to have their employer complete paperwork detailing payment and other employment information.

J. Student-Athlete Welfare

Current Strengths:

1. All student-athletes interviewed believed that there was someone in the athletics department that they could go to in the event of a major problem/issue related to their team, themselves or the department.
2. All student-athletes must agree at the beginning of the academic year to uphold the department's social media policy. Men's/women's basketball student-athletes take part in media training.
3. The institution refuses to do weigh ins for student-athletes. Instead the strength and conditioning coaches have approved programs related to performance. With the heightened scrutiny surrounding mental health, if there is a concern related to a student-athlete's weight, professionals gather to discuss the issue and handle appropriately.
4. There is a protocol in place that the head athletic trainer is the designated approver of all supplements/nutrition enhancements. The strength and conditioning staff gets all supplements approved by the head athletic trainer even if they believe it is an approved substance.
5. The department has a Safe Space program within athletic training for drug testing and drug usage.

K. Conclusion

The Green Bay compliance department has numerous strengths that have been highlighted in this report. The review emphasized the university and athletic department's commitment to compliance. The report also emphasizes the compliance office's relationship and collaboration with other departments on campus, especially financial aid and the Registrar. The compliance office has appropriate and effective monitoring procedures and policies in place, and rules education is a major strength within the entire department. Suggestions included are mostly tweaks for improvement, not major overhauls. The main suggestions for improvement would include adding an additional staff member in the area of academics, and succession planning with departments outside of athletics to ensure smooth transitions when long-tenured individuals are no longer working with athletics. Overall, the outcome of the review was positive, as the Senior Associate AD for Compliance does a nice job managing the athletics compliance program.

Appendix G



STRATEGIC PLAN

HORIZON LEAGUE REVISED STRATEGIC PLAN, MARCH 20, 2017 (Updated, August 24, 2017)

MISSION STATEMENT

The mission of the Horizon League is to provide NCAA Division I athletic competition, programs, and services that support the member institutions' educational missions and values.

VISION STATEMENT

The Horizon League vision is to be highly competitive with our peer conferences and nationally recognized as a NCAA Division I athletic league while developing student-athletes to their full potential.

STATEMENT OF CORE VALUES

STUDENT-ATHLETE WELL-BEING: We are uncompromising in our support of student-athlete academic achievement as well as their intellectual, physical, emotional, and social development.

INTEGRITY: We are uncompromising in our commitment to operate ethically. We will constantly promote and recognize honesty and sportsmanship.

RESPECT: We embrace equity and inclusion by valuing and supporting our individual and institutional differences.

STEWARDSHIP: We value and nurture our relationship, our fiscal and physical resources, our reputation, and the dreams and aspirations of our student-athletes, striving at all times to be the best we can be.

V. GOALS (Membership)

V.1. The Horizon League will ensure that its membership plans are proactive and responsive to the national landscape and align with its Mission, Vision, and Core Values.

V.2. Expand the membership to 12 members if supported by Council, beginning with 2018-19 season.

V.3. Revisit expansion goals and accompanying processes and protocols.

VI. GOALS (Resources)

VI.1. Develop financial sustainability plan aligning expenses with revenues by FY 2020-21. Retain a balance of at least \$3mil (FY2020-21 budget) in unrestricted assets for cash flow.

VI.2. Develop a distribution formula for new NCAA Academic unit revenue by July, 2018.

VI.3. Identify additional opportunities to generate revenue (e.g., Esports, sponsorship).

Appendix H

Horizon League GSR Overall Comparative Data 2017-2018

Graduation Success Rate: Green Bay	Graduation Success Rate: Horizon League
93	91.3

Graduation Success Rate Overall	GSR
UW-Green Bay Overall	93
Cleveland State University Overall	94
University of Detroit Mercy Overall	90
University of Illinois at Chicago Overall	84
Northern Kentucky University Overall	77
Oakland University Overall	84
UW-Milwaukee Overall	78
Wright State University Overall	84
Youngstown Overall	80

APPENDIX I

File: C:\Users\schneig\AppData\Local\Microsoft\Windows\NetCache\Content.Outlook\22ML307FY18 Bonds Payable NCAA_GBY.xlsx
Worksheet: (4) PR BP By Unit (NCAA)
Printed: 6/16/2018

SCHEDULE OF SELF AMORTIZING G.O. BONDS PAYABLE FISCAL YEAR 2018 (ATHLETIC FACILITIES ONLY)									
	Balance 7-01-17	Additions	Prior Period Adjustment	Prior Years	Principal Payments			Refundings	Balance 6-30-18
					FY2018	Adjustments	Total		
GREEN BAY (Athletic Facilities Only)									
2007-A GBY Phoenix Sports Center (#03D1R)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2007-C GBY Phoenix Sports Center (#03D1R)	84,444.90	0.00	0.00	600,248.55	84,444.90	0.00	884,693.45	0.00	0.00
2008-A GBY Phoenix Sports Center (#03D1R)	9,384.08	0.00	0.00	85,695.97	9,384.08	0.00	95,080.05	0.00	0.00
BOND 2011-2 Refunding GBY - PHOENIX SPORTS CENTER (#03D1R)	127,772.28	0.00	0.00	0.00	0.00	0.00	0.00	0.00	127,772.28
BOND 2012-2 Refunding GBY - PHOENIX SPORTS CENTER (#03D1R)	283,654.78	0.00	0.00	0.00	0.00	0.00	0.00	0.00	283,654.78
BOND 2013-1 Refunding GBY - PHOENIX SPORTS CENTER (#03D1R)	2,770,594.99	0.00	0.00	452,273.01	220,943.26	0.00	673,216.27	0.00	2,948,641.73
BOND 2014-2 Refunding 2014-22 GBY - PHOENIX SPORTS CENTER (#03D1R)	1,610,708.91	0.00	0.00	0.00	0.00	0.00	0.00	(34,087.76)	1,595,622.15
BOND 2014-3 Refunding GBY - PHOENIX SPORTS CENTER (#03D1R)	455,967.93	0.00	0.00	0.00	0.00	0.00	0.00	0.00	455,967.93
BOND 2014-4 Refunding GBY - PHOENIX SPORTS CENTER (#03D1R)	695,425.13	0.00	0.00	0.00	0.00	0.00	0.00	0.00	695,425.13
BOND 2016-1 (G1112) Refunding GBY - PHOENIX SPORTS CENTER (#03D1R)	13,606.63	0.00	0.00	0.00	0.00	0.00	0.00	0.00	13,606.63
BOND 2016-1 Refunding UNIGS PHOENIX SPORTS CENTER (#03D1R)	13,512.22	0.00	0.00	0.00	0.00	0.00	0.00	0.00	13,512.22
BOND 2017-1 Refunding GBY - PHOENIX SPORTS CENTER (#03D1R)	0.00	22,687.84	0.00	0.00	0.00	0.00	0.00	0.00	22,687.84
TOTAL GREEN BAY (Athletic Facilities Only)	6,046,085.85	22,687.84	0.00	1,119,217.53	314,772.24	0.00	1,433,985.77	(34,087.76)	5,725,913.80

Appendix J



December 12, 2018

Green Bay Phoenix
Department of Athletics
Phoenix Fund
2625 Nicolai Drive
Green Bay, WI 54911-7001
P: 920.465.2023
F: 920.465.2652
GreenBayPhoenix.com

To: Paul W. Rediske
Director
UW System Office of Internal Audit

This representation letter is provided in connection with your application of agreed-upon procedures to the *Statement of Revenues and Expenses* for the Intercollegiate Athletics Program at UW-Green Bay for the year ended June 30, 2018. The sole purpose of your work is to assist UW-Green Bay compliance with *NCAA Division I Bylaw 3.2.4.15*.

We acknowledge our responsibility for the fair and accurate presentation of the *Statement of Revenues and Expenses* for the Intercollegiate Athletics Program.

We confirm, to the best of our knowledge and belief, the following representations:

- There have been no irregularities involving management or employees who have a significant role in internal control or that could have a material effect on the *Statement of Revenues and Expenses* for the Intercollegiate Athletics Program.
- We have made available to you all supporting transactions and documentation that was requested.
- We confirm the completeness of the information provided regarding the identification of affiliated and outside organizations.
- The *Statement of Revenues and Expenses* for the Intercollegiate Athletics Program is free of material misstatements, including omissions.
- The Intercollegiate Athletics Program at UW-Green Bay has complied with all aspects of contractual agreements that could have a material effect on the *Statement of Revenues and Expenses* in the event of noncompliance.
- There has been no noncompliance with requirements of regulatory authorities, the NCAA and the Horizon League that could have a material effect on the *Statement of Revenues and Expenses* in the event of noncompliance.
- The following have been properly recorded and, when appropriate, adequately disclosed in the *Statement of Revenues and Expenses*:
 - Transactions with and/or by affiliated and outside organizations.
 - Transactions recorded in the Shared Financial System.
 - Non-monetary transactions including those related to sponsorships and in-kind donations.
- There have been no events subsequent to period end which require adjustment of or disclosure in the *Statement of Revenues and Expenses*.

Charles Guthrie 12/13/2018
Charles Guthrie, Director of Athletics Date

Jodi Schleis 12/13/18
Jodi Schleis, Associate Athletics Director Date

1

UNIVERSITY of WISCONSIN
GREEN BAY



NCAA Agreed-Upon Procedures for
Division I at
University of Wisconsin-Green Bay

Office of Internal Audit

Report Control #2019-02
January 9, 2019

TABLE OF CONTENTS

REPORT.....	1
SUMMARY OF REPORTABLE FINDINGS	3
ATTACHMENT A: INDEPENDENT AUDITOR’S REPORT ON THE APPLICATION OF AGREED- UPON PROCEDURES.....	4
Procedures Related to All Revenues and Expenses	4
Procedures Related to Revenues	5
Procedures Related to Expenses	8
Additional Minimum Agreed-Upon Procedures	12
Minimum Agreed-Upon Procedures Program for Other Reporting Items	12
ATTACHMENT B: STATEMENT OF REVENUES AND EXPENSES.....	14
ATTACHMENT C: COMPARISONS OF MAJOR REVENUE AND EXPENSE CATEGORIES FOR THE YEAR ENDED JUNE 30, 2018.....	16
ATTACHMENT D: NOTES TO STATEMENT OF REVENUES AND EXPENSES FOR THE YEAR ENDED JUNE 30, 2018	18



Office of Internal Audit

780 Regent Street, Suite 200
Madison, Wisconsin 53715
(608) 263-4397
<https://www.wisconsin.edu/offices/audit/>

REPORT

January 9, 2019

Chancellor Gary L. Miller
University of Wisconsin-Green Bay
Office of the Chancellor
David A. Cofrin Library, Suite 810
2420 Nicolet Drive
Green Bay, Wisconsin 54311-7001

Dear Chancellor Miller:

We have performed the agreed-upon procedures enumerated in the attached report for the sole purpose of assisting you in evaluating whether the accompanying *Statement of Revenues and Expenses* (SRE) for the University of Wisconsin-Green Bay (UW-Green Bay or University) Athletics Department is in compliance with National Collegiate Athletic Association (NCAA) Bylaw 3.2.4.15 for Division I for the year ended June 30, 2018. UW-Green Bay administration and staff are responsible for the SRE and the University's compliance with those requirements.

This agreed-upon procedures engagement was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants, as well as requirements enumerated in the NCAA Constitution and common auditing practices. The sufficiency of these procedures is solely the responsibility of those parties specified in this report. Consequently, we make no representation regarding the sufficiency of the procedures described in the *Independent Auditor's Report on the Application of Agreed-Upon Procedures* either for the purpose for which this report has been requested or for any other purpose.

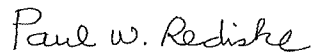
The NCAA requires this agreed-upon procedures engagement to be conducted by a qualified independent accountant. For purposes of this engagement, the NCAA considers the UW System Administration Office of Internal Audit to be independent.

Our procedures and findings are explained in this report and its attachments. Reportable findings are defined as errors or misclassifications equal to or greater than \$500.

We were not engaged to and did not conduct an examination, the objective of which would be the expression of an opinion on the accompanying SRE. Accordingly, we do not express such an opinion. Had we performed additional procedures, other matters might have come to our attention that would have been reported to you.

This report is intended solely for the information and use of the chancellor, management of UW-Green Bay, the Athletics Department, and authorized representatives of the NCAA. The report is not intended to be, and should not be, used by anyone other than these specified parties. However, this guidance does not supersede distributions governed by the *Wisconsin Open Records Law*.

Very truly yours,



Paul W. Rediske
Director
UW System Administration Office of Internal Audit

Attachments

cc: Vice Chancellor for Business and Finance, Sheryl Van Gruensven
Director of Athletics, Charles Guthrie
Controller, SuAnn Detampel
Assistant Controller, Lisa Jackovich
Associate Director of Athletics, Jodi Schleis
UW System Administration Chief Audit Executive, Lori Stortz

SUMMARY OF REPORTABLE FINDINGS

As a result of applying the agreed-upon procedures enumerated in the attached report, no reportable findings were noted.

**ATTACHMENT A: INDEPENDENT AUDITOR'S REPORT ON THE APPLICATION
OF AGREED-UPON PROCEDURES**

**UNIVERSITY OF WISCONSIN-GREEN BAY
FISCAL YEAR ENDED JUNE 30, 2018**

Source: *NCAA 2018 Agreed-Upon Procedures*, revised April 20, 2018

Procedures Related to All Revenues and Expenses

1. Agreed the amounts reported on the SRE to UW-Green Bay's general ledger.

In performing this procedure and the procedure described in #2 below, we identified the need for certain material adjustments that management agreed to record in the SRE. As a result, revenues and expenses reported on the SRE (as contained in *Attachment B*) reflect those adjustments and materially agree with the amounts reported in UW-Green Bay's general ledger. In addition, there are certain items recorded on the SRE that are not required to be reported in the athletics accounts, including tuition waivers, indirect facilities and administrative support, and gifts-in-kind. The NCAA requires that these items be reported on the SRE to fully reflect the operations of the Athletics Department.

2. Performed the following procedures for all revenue and expense categories applicable to UW-Green Bay:
 - a. Compared and agreed each operating revenue and expense category reported on the SRE during the reporting period to supporting schedules provided by the institution. If a specific reporting category is less than 4% of the total revenues or total expenses, no procedures are required for that specific category.
 - b. Compared and agreed a sample of revenue receipts and operating expenses obtained from the above supporting schedules to adequate supporting documentation.
 - c. Compared each revenue and expense account to prior period amounts and budget estimates. Obtained and documented an understanding of any significant variations greater than 10%.

Attachment C describes the results of comparing revenue and expense amounts to budget and prior year values.

3. Identified and documented aspects of the institution's internal control structure unique to the Athletics Department. Tested specific elements of the control environment and accounting systems that (1) are unique to intercollegiate athletics and (2) have not been addressed in connection with the audit of the institution's financial statements.

No material exceptions were noted as a result of these procedures.

4. Identified intercollegiate athletics-related affiliated and outside organizations and obtained those organizations' financial information for the reporting period. Agreed the amounts reported on the SRE to the athletics-related and outside organization's records.

The SRE includes all functions related to intercollegiate athletics at UW-Green Bay as well as the accounts of its sole affiliated/outside organization, the UW-Green Bay Foundation (an independent 501(c)(3))

organization that is the official not-for-profit fundraising corporation for UW-Green Bay).

No material exceptions were noted as a result of these procedures.

Procedures Related to Revenues

5. **Ticket Sales.** For one men's basketball game and two women's basketball games, compared tickets sold, complimentary tickets provided, and unsold tickets to amounts included within the related sales reported by UW-Green Bay on the SRE and the associated attendance figures. Recalculated totals.

No material exceptions were noted as a result of these procedures.

6. **Direct State or Other Government Support.** The NCAA agreed-upon procedures guidelines require that we compare direct state or other governmental support recorded by UW-Green Bay Athletics during the reporting period with state appropriations, institutional authorizations, and/or other corroborative supporting documentation and recalculate totals.

The Athletics Department did not record any direct state or other government support on the SRE.

7. **Student Fees.** Compared and agreed student fees reported by UW-Green Bay on the SRE for the reporting period to student enrollments during the reporting period. Obtained and documented an understanding of the institution's methodology for allocating student fees to intercollegiate athletics programs.

No material exceptions were noted as a result of these procedures.

8. **Direct Institutional Support.** Compared the direct institutional support recorded by UW-Green Bay during the reporting period with institutional authorizations and/or other corroborative supporting documentation and recalculated totals. In addition, compared total fee waivers for student-athletes as recorded in the SRE to corroborative supporting documentation.

No material exceptions were noted as a result of these procedures.

9. **Transfers Back to Institution.** The NCAA agreed-upon procedures guidelines require that we compare transfers back to the institution with permanent transfers back to the institution from the Athletics Department and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for transfers back to the institution was less than 4% of total revenue recorded on the SRE.

10. **Indirect Institutional Support; and Indirect Institutional Support - Athletic Facilities Debt Service, Lease and Rental Fees.** The NCAA agreed-upon procedures guidelines require that we compare the indirect institutional support recorded by the institution during the reporting period with expense payments, cost allocation detail, and other corroborative supporting documentation, and recalculate totals.

No procedures were required to be performed for indirect institutional support since the amount recorded on the SRE for this category was less than 4% of total revenue recorded on the SRE.

11. **Guarantees.** The NCAA agreed-upon procedures guidelines require that we select a sample of contractual agreements pertaining to revenues derived from contests during the reporting period and agree each selection to UW-Green Bay's general ledger and the SRE and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for guarantees was less than 4% of total revenue recorded on the SRE.

12. **Contributions.** Obtained and reviewed supporting documentation for any contributions of money, goods, or services received directly by the Athletics Department from any affiliated or outside organization that constituted 10% or more of all contributions received for inter collegiate athletics and recalculated totals.

No material exceptions were noted as a result of these procedures.

13. **In-Kind.** The NCAA agreed-upon procedures guidelines require that we compare the gifts-in-kind recorded by the institution during the reporting period with a schedule of gifts-in-kind donations and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for in-kind donations was less than 4% of total revenue recorded on the SRE.

14. **Compensation and Benefits Provided by a Third Party.** The NCAA agreed-upon procedures guidelines require that we obtain the summary of revenues from affiliated and outside organizations (the "Summary") as of the end of the reporting period from the institution and select a sample of funds from the Summary and compare and agree each selection to supporting documentation, UW-Green Bay's general ledger, and/or the Summary, and recalculate totals.

The Athletics Department did not record any compensation and benefits provided by a third party on the SRE.

15. **Media Rights.** The NCAA agreed-upon procedures guidelines require that we obtain and inspect agreements to understand UW-Green Bay's total media (broadcast, television, radio) rights received by the institution or through their conference offices as reported in the statement. Also, agreed-upon procedures require that we compare and agree the media right revenues to a summary statement of all media rights identified, if applicable, and UW-Green Bay's general ledger and recalculate totals.

The Athletics Department did not record any media rights on the SRE.

16. **NCAA Distributions.** Compared the amounts recorded in the SRE to general ledger detail for NCAA distributions and other corroborative supporting documents and recalculated totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for NCAA distributions was less than 4% of total revenue recorded on the SRE.

17. **Conference Distributions (Non-Media or Bowl).** The NCAA agreed-upon procedures guidelines require that we obtain and inspect agreements related to UW-Green Bay's distributions and participation in revenues from tournaments during the reporting period to gain an understanding of the relevant terms and conditions. Also, the agreed-upon procedures require that we compare and agree the related revenues to UW-Green Bay's general ledger and the SRE and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for conference distributions (non-media or bowl) was less than 4% of total revenue recorded on the SRE.

18. **Program, Novelty, Parking and Concession Sales.** The NCAA agreed-upon procedures guidelines require that we compare the amount recorded in the revenue reporting category to general ledger detail of program sales, concessions, novelty sales, and parking as well as any other corroborative supporting documents and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for program, novelty, parking and concession sales was less than 4% of total revenue recorded on the SRE.

19. **Royalties, Licensing, Advertisement and Sponsorships.** For a sample of two sports marketing contracts, we obtained and inspected the agreements related to UW-Green Bay's participation in revenues from royalties, licensing, advertisements, and sponsorships during the reporting period to gain an understanding of the relevant terms and conditions. We also compared and agreed the related revenues to the SRE and recalculated totals.

No material exceptions were noted as a result of these procedures.

20. **Sports Camp Revenues.** The NCAA agreed-upon procedures guidelines require that we obtain an understanding of UW-Green Bay's methodology for conducting camps and recording revenues. For a sample of individual camp participant cash receipts from the schedule of sports-camp participants, agree each selection to the institution's general ledger and/or the statement and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for sports camp revenues was less than 4% of total revenue recorded on the SRE.

21. **Athletics Restricted Endowment and Investments Income.** The NCAA agreed-upon procedures guidelines require that we obtain and inspect endowment agreements (if any) to gain an understanding of the relevant terms and conditions. Also, compare and agree the classification and use of endowment and investment income reported in the SRE during the reporting period to the uses of income deferred within the related endowment agreement and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for athletics restricted endowment and investments income was less than 4% of total revenue recorded on the SRE.

22. **Other Operating Revenue.** The NCAA agreed-upon procedures guidelines require that we perform minimum agreed-upon procedures referenced for all revenue in Procedure #2 above and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for other operating revenue was less than 4% of total revenue recorded on the SRE.

23. **Bowl Revenues.** The NCAA agreed-upon procedures guidelines require we obtain and inspect agreements related to UW-Green Bay's participation in revenues from post-season bowl games

during the reporting period to gain an understanding of the relevant terms and conditions. Also, the agreed-upon procedures require that we compare and agree the related revenues to UW-Green Bay's general ledger and the SRE and recalculate totals.

The Athletics Department did not record any bowl revenues on the SRE.

Procedures Related to Expenses

24. **Athletic Student Aid.** Selected a sample of 10% of student-athletes from the listing of student aid recipients during the reporting period. The sample was selected from data captured through the creation of a squad list from each sponsored sport. Obtained individual student account detail for each athlete selected and performed a check of each student-athlete selected to ensure their information was reported accurately in the NCAA's Compliance Assistant (CA) software. We recalculated totals for each sport and overall in the SRE.

No material exceptions were noted as a result of these procedures.

25. **Guarantees.** The NCAA agreed-upon procedures guidelines require that we obtain and inspect visiting institution's away-game settlement reports received by UW-Green Bay for a sample of games during the reporting period and agree related expenses to UW-Green Bay's general ledger and/or the statement and recalculate totals. Also, the NCAA agreed-upon procedures require that we obtain and inspect contractual agreements pertaining to expenses recorded by the institution from guaranteed contests during the reporting period, compare and agree related amounts expensed by UW-Green Bay in the institution's general ledger and/or the statement, and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for guarantees was less than 4% of total expenses recorded on the SRE.

26. **Coaching Salaries, Benefits and Bonuses Paid by the University and Related Entities.** Obtained and inspected a listing of coaches employed by UW-Green Bay and related entities during the reporting period. Selected a sample of three coaches' contracts that included men's and women's basketball from the listing. Compared and agreed the financial terms and conditions of each selection to the related coaching salaries, benefits, and bonuses recorded by UW-Green Bay and related entities in the statement during the reporting period. Obtained and inspected payroll summaries for the reporting year for each selection. Compared and agreed payroll summaries from the reporting period to the related coaching salaries, benefits, and bonuses paid by UW-Green Bay and related entities expense recorded by the institution in the SRE. Compared and agreed the totals recorded to any employment contracts executed for the sample selected and recalculated totals.

No material exceptions were noted as a result of these procedures.

27. **Coaching Salaries, Benefits and Bonuses Paid by a Third Party.** The NCAA agreed-upon procedures guidelines require that we (1) obtain and inspect a listing of coaches employed by third parties during the reporting period; (2) select a sample of coaches' contracts that must include men's and women's basketball from the listing; (3) compare and agree the financial terms and conditions of each selection to the related coaching other compensation and benefits paid by a third party and recorded by UW-Green Bay in the statement during the reporting period; (4) obtain and inspect reporting period payroll summary registers for each selection; and (5) compare and agree

related payroll summary register to other compensation and benefits paid by a third party to expenses recorded by UW-Green Bay in the SRE during the reporting period and recalculate totals.

The Athletics Department did not record any coaching salaries, benefits and bonuses paid by a third party on the SRE.

28. **Support Staff/Administrative Compensation, Benefits and Bonuses Paid by the University and Related Entities.** Selected a sample of two support staff/administrative personnel employed by UW-Green Bay and related entities during the reporting period. Obtained and inspected reporting period payroll summaries for each selection. Compared and agreed related summaries to the related support staff administrative salaries, benefits, and bonuses paid by UW-Green Bay and related entities expense recorded by UW-Green Bay on the SRE and recalculated totals.

No material exceptions were noted as a result of these procedures.

29. **Support Staff/Administrative Compensation, Benefits and Bonuses paid by a Third Party.** The NCAA agreed-upon procedures guidelines require that we select a sample of support staff/administrative personnel employed by third parties during the reporting period. The agreed-upon procedures also require that we obtain and inspect reporting period payroll summaries. For each selection: compare and agree related payroll summaries to the related other compensation and benefit expenses recorded by UW-Green Bay on the SRE and recalculate totals.

The Athletics Department did not record any support staff/administrative compensation, benefits and bonuses paid by a third party on the SRE.

30. **Severance Payments.** The NCAA agreed-upon procedures guidelines require that we select a sample of employees receiving severance payments by the institution during the reporting period and agree each severance payment to the related termination letter or employment contract and recalculate totals.

The Athletics Department did not record any severance payments on the SRE.

31. **Recruiting.** The NCAA agreed-upon procedures guidelines require that we obtain and document an understanding of UW-Green Bay's recruiting expense policies, and that we compare and agree to existing institutional and NCAA-related policies. It also requires that we obtain general ledger detail and compare it to the total expenses reported and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for recruiting was less than 4% of total expenses recorded on the SRE.

32. **Team Travel.** Examined 23 expenses/transactions to obtain and document an understanding of UW-Green Bay's team travel policies. Compared and agreed to existing institutional and NCAA-related policies. Obtained general ledger detail and compared to the total expenses reported and recalculated totals.

No material exceptions were noted as a result of these procedures.

33. **Sports Equipment, Uniforms and Supplies.** Obtained general ledger detail and compared it to the total expenses reported. Selected 14 expenses/transactions to validate existence of transactions and accuracy of recording and recalculated totals.

No material exceptions were noted as a result of these procedures.

34. **Game Expenses.** The NCAA agreed-upon procedures guidelines require that we obtain general ledger detail and compare to the total expenses reported. Also, we are required to select transactions to validate existence of transactions and accuracy of recording and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for game expenses was less than 4% of total expenses recorded on the SRE.

35. **Fund Raising, Marketing and Promotion.** The NCAA agreed-upon procedures guidelines require that we obtain general ledger detail and compare to total expenses reported. Also, agreed-upon procedures require that we select transactions to validate existence of transactions and accuracy of recording and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for fund raising, marketing and promotion was less than 4% of total expenses recorded on the SRE.

36. **Sports Camp Expenses.** The NCAA agreed-upon procedures guidelines require that we obtain general ledger detail and compare to the total expenses reported. Also, agreed-upon procedures require that we select transactions to validate existence of transactions and accuracy of recording and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for sports camp expenses was less than 4% of total expenses recorded on the SRE.

37. **Spirit Groups.** The NCAA agreed-upon procedures guidelines require that we obtain general ledger detail and compare to the total expenses reported. It also requires that we select transactions to validate existence of transactions and accuracy of recording and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for spirit groups was less than 4% of total expenses recorded on the SRE.

38. **Athletics Facilities Debt Service, Leases and Rental Fees.** Obtained a listing of debt service schedules, lease payments, and rental fees for athletics facilities for the reporting year. Compared a sample of facility payments, including the top two highest facility payments, to additional supporting documentation (e.g., debt financing agreements, leases, and rental agreements). Also, compared amounts recorded to amounts listed in the general ledger detail and recalculated totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for athletics facilities debt service, leases and rental fees was less than 4% of total expenses recorded on the SRE.

39. **Direct Overhead and Administrative Expenses.** The NCAA agreed-upon procedures guidelines require that we obtain general ledger detail and compare to the total expenses reported and that we select transactions to validate existence of transactions and accuracy of recording and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for direct overhead and administrative expenses was less than 4% of total expenses recorded on the SRE.

40. **Indirect Institutional Support.** The NCAA agreed-upon procedures guidelines require that we compare the indirect institutional support recorded by the institution during the reporting period with expense payments, cost allocation detail, and other corroborative supporting documentation and recalculate the totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for indirect institutional support was less than 4% of total expenses recorded on the SRE.

41. **Medical Expenses and Insurance.** Obtained general ledger detail and compared to the total expenses reported. Selected nine transactions to validate existence of transactions and accuracy of recording and recalculated totals.

No material exceptions were noted as a result of these procedures.

42. **Memberships and Dues.** The NCAA agreed-upon procedures guidelines require that we obtain general ledger detail and compare to the total expenses reported. Also, select transactions to validate existence of transactions and accuracy of recording and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for membership and dues was less than 4% of total expenses recorded on the SRE.

43. **Student-Athlete Meals (Non-Travel).** The NCAA agreed-upon procedures guidelines require that we obtain general ledger detail and compare to the total expenses reported. Also, agreed-upon procedures require that we select a sample of transactions to validate existence of transactions and accuracy of recording and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for student-athlete meals (non-travel) was less than 4% of total expenses recorded on the SRE.

44. **Other Operating Expenses and Transfers to Institution.** The NCAA agreed-upon procedures guidelines require that we obtain general ledger detail and compare to the total expenses reported on the SRE to validate existence of transactions and accuracy of recording and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for other operating expenses and transfers to institution was less than 4% of total expenses recorded on the SRE.

45. **Bowl Expenses; and Bowl Expenses - Coaching Compensation/Bonuses.** The NCAA agreed-upon procedures guidelines require that we obtain general ledger detail and compare to the total expenses reported. Also, agreed-upon procedures require that we select a sample of transactions to validate existence of transactions and accuracy of recording and recalculate totals.

The Athletics Department did not record any bowl expenses on the SRE.

The Athletics Department did not record any bowl expenses - coaching compensation/bonuses on the SRE.

Additional Minimum Agreed-Upon Procedures

46. **NCAA Membership Financial Reporting System.** Compared and agreed the sports sponsored reported in the NCAA Membership Reporting System to the squad lists of UW-Green Bay Athletics. The NCAA Membership Financial Reporting System populates the sports from the NCAA Membership Database as they are reported by the University. If there was a discrepancy in the sports sponsored between the NCAA Membership Financial Reporting System and the squad lists, we inquired about the discrepancy and reported the justification in the Agreed-Upon Procedures report.

No material exceptions were noted as a result of these procedures.

47. **Sports Sponsorship and Demographics Forms.** We obtained UW-Green Bay's "Sports Sponsorship and Demographics Forms Report" for the reporting year and validated that the countable sports reported by the institution met the minimum requirements set in Bylaw 20.9.6.3 for the number of contests and the number of participants in each contest that is counted toward meeting the minimum-contest requirement.

We ensured that UW-Green Bay has properly reported the sports as countable for revenue distribution purposes within the NCAA Membership Financial Reporting System.

No material exceptions were noted as a result of these procedures.

48. **Pell Grant Awards.** Agreed the total number of Division I student-athletes who, during the academic year, received a Pell Grant award (e.g., Pell Grant recipients on Full Grant-in-Aid, Pell Grant recipients on Partial Grants-in-Aid, and Pell Grant recipients with no Grants-in-Aid) to the total value of these Pell Grants reported, in the NCAA Membership Financial Reporting System, to a report generated out of the institution's financial aid records, of all student-athlete Pell Grants.

No material exceptions were noted as a result of these procedures.

Minimum Agreed-Upon Procedures Program for Other Reporting Items

49. **Excess Transfers to Institution and Conference Realignment Expenses.** The NCAA agreed-upon procedures guidelines require that we obtain general ledger detail and compare to the total expenses reported. Also, agreed-upon procedures require that we select a sample of transactions to validate existence of transactions and accuracy of recording and recalculate totals.

The Athletics Department did not record any excess transfers to institution or conference realignment expenses on the SRE.

50. **Total Athletics-Related Debt.** Obtained repayment schedules for all outstanding intercollegiate athletics debt during the reporting period. Recalculated annual maturities (consisting of principal and interest) provided in the schedules obtained. Agreed the total annual maturities and total outstanding athletic-related debt to supporting documentation and the institution's general ledger, as applicable.

No material exceptions were noted as a result of these procedures.

51. **Total Institutional Debt.** Agreed the total outstanding institutional debt to supporting documentation and the institution's audited financial statements, if available, or the institution's general ledger.

No material exceptions were noted as a result of these procedures.

52. **Value of Athletics Dedicated Endowments.** Obtained a schedule of all athletics dedicated endowments maintained by athletics, the institution, and affiliated organizations. Agreed the fair market value in the schedule(s) to supporting documentation, the general ledger(s), and audited financial statements, if available.

No material exceptions were noted as a result of these procedures.

53. **Value of Institutional Endowments.** Agreed the total fair market value of institutional endowments to supporting documentation, the institution's general ledger, and/or audited financial statements, if available.

No material exceptions were noted as a result of these procedures.

54. **Total Athletics-Related Capital Expenditures.** Obtained a schedule of athletics-related capital expenditures made by athletics, the institution, and affiliated organizations during the reporting period. Obtained general ledger detail and compared to the total expenses reported. Selected a sample of transactions to validate existence of transactions and accuracy of recording and recalculated the totals.

No material exceptions were noted as a result of these procedures.

ATTACHMENT B: STATEMENT OF REVENUES AND EXPENSES

UNIVERSITY OF WISCONSIN-GREEN BAY ATHLETICS DEPARTMENT
STATEMENT OF REVENUES AND EXPENSES
FOR THE YEAR ENDED JUNE 30, 2018

REVENUES:	MEN'S BASKETBALL	WOMEN'S BASKETBALL	OTHER SPORTS	NON- PROGRAM SPECIFIC	TOTAL	PRIOR YEAR	VARIANCE
1 Ticket Sales	364,421	240,368	4,125	10,741	619,655	639,748	(20,093)
2 Direct State or Other Government Support	-	-	-	-	-	124,000	(124,000)
3 Student Fees	-	-	-	1,454,495	1,454,495	1,304,495	150,000
4 Direct Institutional Support	428,205	504,091	2,089,130	813,536	3,834,962	3,173,230	661,732
5 Less: Transfers to Institution	-	-	-	(10,926)	(10,926)	(10,096)	(830)
6 Indirect Institutional Support	-	-	-	112,998	112,998	110,123	2,875
6A Indirect Institutional Support-Athletic Facilities Debt Service, Lease and Rental Fees	-	-	-	190,722	190,722	246,256	(55,534)
7 Guarantees	180,000	-	29,000	-	209,000	238,200	(29,200)
8 Contributions	95,632	108,219	1,700,988	735,571	2,640,410	1,178,459	1,461,951
9 In-Kind	-	-	-	336,177	336,177	336,177	-
10 Compensation and Benefits Provided by Third-Party	-	-	-	-	-	-	-
11 Media Rights	-	-	-	-	-	15,000	(15,000)
12 NCAA Distributions	-	38,438	-	240,688	279,126	885,347	(606,222)
13 Conference Distributions	-	-	-	268,014	268,014	204,321	63,693
14 Program, Novelty, Parking and Concessions Sales	-	2,462	-	96,581	99,042	79,097	19,945
15 Royalties, Licensing, Advertisement, and Sponsorships	62,014	45,464	124,486	298,288	530,253	723,932	(193,679)
16 Sports Camp Revenues	-	-	37,332	2,580	39,922	29,954	9,968
17 Athletics Restricted Endowment and Investment Income	3,800	3,500	33,655	37,440	78,395	21,287	57,108
18 Other	1,360	3,060	4,509	36,870	45,799	91,300	(45,501)
19 Bowl Revenues	-	-	-	-	-	-	-
Total Operating Revenue	1,135,432	945,601	4,023,226	4,623,787	10,728,046	9,390,830	1,337,216
EXPENSES:							
20 Athletic Student Aid	326,686	328,098	1,852,151	22,457	2,529,393	2,461,664	67,729
21 Guarantees	90,000	-	7,948	-	97,948	35,740	62,208
22 Coaching Salaries, Benefits, and Bonuses Paid by the University and Related Entities	684,168	517,721	655,578	-	1,857,467	1,804,933	52,534
23 Coaching Compensation and Benefits Paid By a Third Party	-	-	-	-	-	-	-
24 Support Staff/Administrative Salaries, Benefits, and Bonuses Paid by the University and Related Entities	82	2,679	3,069	919,046	924,876	975,395	(50,519)
25 Support Staff/Administrative Other Compensation and Benefits Paid by a Third Party	-	-	-	-	-	-	-
26 Severance Payments	-	-	-	-	-	-	-
27 Recruiting	71,370	42,693	49,059	-	163,122	140,374	22,748
28 Team Travel	234,452	208,291	580,188	3,962	1,026,893	849,438	177,455
29 Sports Equipment, Uniforms, and Supplies	49,454	50,584	243,847	37,160	381,045	503,477	(122,432)
30 Game Expenses	127,822	65,542	43,674	17,892	254,929	249,323	5,606
31 Fundraising, Marketing, and Promotion	20,110	27,475	634	272,647	320,867	329,448	(8,581)
32 Sport Camp Expenses	-	976	8,455	-	9,431	6,464	2,967
33 Spirit Groups	-	-	-	48,497	48,497	41,323	7,174
34 Athletic Facilities, Debt Service, Leases, and Rental Fees	113,728	-	25,838	191,290	330,855	375,869	(45,014)
35 Direct Overhead and Administrative Expenses	14,595	14,597	18,163	267,230	314,585	327,988	(13,403)
36 Indirect Institutional Support	-	-	-	112,998	112,998	110,123	2,875
37 Medical Expenses and Medical Insurance	-	-	96	487,604	487,700	414,474	73,226
38 Membership and Dues	1,455	530	5,079	2,950	10,014	9,917	97
39 Student-Athlete Meals (non-travel)	1,228	143	12,815	15,470	29,656	28,577	3,079
40 Other Operating Expenses	23,061	32,824	21,692	257,090	334,666	319,504	15,162
41 Bowl Expenses	-	-	-	-	-	-	-
41A Bowl Expenses-Coaching Compensation/Bonuses	-	-	-	-	-	-	-
Total Operating Expenses	1,758,211	1,292,164	3,528,286	2,656,294	9,234,944	8,982,031	252,913
EXCESS (DEFICIENCY) OF REVENUES OVER (UNDER) EXPENSES	(622,779)	(346,563)	494,940	1,967,493	1,493,102	408,799	1,084,303

ATTACHMENT B: STATEMENT OF REVENUES AND EXPENSES – CONTINUED

Additional Information:

	TOTAL	PRIOR YEAR	VARIANCE
50 Excess Transfers to Institution	\$0	\$0	\$0
51 Conference Realignment Expenses	\$0	\$0	\$0
52 Total Athletics Related Debt	\$ 5,729,914	\$ 6,046,086	(\$316,172)
53 Total Institutional Debt	\$ 6,231,435	\$ 6,880,224	(\$648,789)
54 Value of Athletics Dedicated Endowments	\$ 1,832,531	\$ 1,770,827	\$61,704
55 Value of Institutional Endowments	\$ 32,815,825	\$ 31,160,984	\$1,654,841
56 Total Athletics Related Capital Expenditures	\$1,627,727	\$10,000	\$1,617,727

FOOTNOTES TO STATEMENT OF REVENUES AND EXPENSES - See Attachment D.

Note: The NCAA does not use category numbers 42 through 49 for purposes of the Statement of Revenues and Expenses.

**ATTACHMENT C: COMPARISONS OF MAJOR REVENUE AND EXPENSE CATEGORIES
FOR THE YEAR ENDED JUNE 30, 2018**

NCAA Agreed-Upon Procedures require the independent auditor to:

- a) Compare each major revenue account over 10% of the total revenues to prior period amounts and budget estimates. Obtain and document an understanding of any variations over 10%. Report the analysis as a supplement to the final agreed-upon procedures report.

Based upon the above-stated criteria, the following revenue account variances from budgeted amounts required an explanation:

NCAA Category	17-18 Amount	17-18 Budget	Variance	Explanation
#4 Direct Institutional Support	\$3,834,962	\$3,240,950	\$594,012 over budget	Increase in tuition waivers and increase in salaries and fringe benefits paid on Fund 102
#8 Contributions	\$2,640,410	\$1,150,320	\$1,490,090 over budget	Increase towards Athletic Soccer Complex renovation

Based upon the above-stated criteria, the following major revenue account variances from prior period amounts required an explanation:

NCAA Category	17-18 Amount	16-17 Prior period	Variance	Explanation
#3 Student Fees	\$1,454,495	\$1,304,495	\$150,000	Additional segregated fee approved for various athletics requests
#4 Direct Institutional Support	\$3,834,962	\$3,173,230	\$661,732 increase from prior period amount	Increase in tuition waivers and increase in salaries and fringe benefits paid on Fund 102

#8 Contributions	\$2,640,410	\$1,178,459	\$1,461,951 increase from prior period amount	Additional contributions for Soccer Complex renovation
------------------	-------------	-------------	--	---

- b) Compare each major expense account over 10% of the total expenses to prior period amounts and budget estimates. Obtain and document an understanding of any variations over 10%. Report the analysis as a supplement to the final agreed-upon procedures report.

Based upon the above-stated criteria, the following expense variance from the prior period amount required an explanation:

NCAA Category	17-18 Amount	16-17 Prior Period	Variance	Explanation
#28 Team Travel	\$1,026,893	\$849,438	\$177,455 increase from prior period amount	Increased travel in post season tournament play and decrease in trade sponsorship

**ATTACHMENT D: NOTES TO STATEMENT OF REVENUES AND EXPENSES
FOR THE YEAR ENDED JUNE 30, 2018**

Note 1: Summary of Significant Accounting Policies

UW-Green Bay uses a fund accounting system of financial reporting. The main premise of fund accounting is to facilitate the stewardship of financial resources and ensure that these resources are budgeted, received, and expended in compliance with legal requirements. UW-Green Bay has established policies and procedures to ensure that all University assets, including those in the custody of Athletics are appropriately acquired, utilized, and disposed.

The SRE has been prepared on the budgetary basis of accounting, which is a comprehensive basis of accounting other than generally accepted accounting principles (GAAP). The budgetary basis for the UW-Green Bay Athletics Department represents the accounting principles used in managing its operations in accordance with its budgetary procedures. The Government Accounting Standards Board establishes GAAP for states and local governmental units in the UW-Green Bay Athletics Department. Two of the most significant differences between the budgetary basis and GAAP (full accrual basis) are as follows:

- Capital asset acquisitions funded by revenues are reported as expenditures rather than as capital assets.
- Depreciation on capital assets is not reported.

The format for the SRE is based upon the prescribed format of the NCAA.

Note 2: Reporting Entity

The UW-Green Bay Athletics Department is responsible for intercollegiate sports programs of the University. The Director of Athletics is responsible for the day-to-day operation of the Athletics Department. The Athletics Department is ultimately governed by the University's Chancellor through authority delegated by the Board of Regents of the University of Wisconsin System, which is a unit of the State of Wisconsin.

The SRE includes all functions related to intercollegiate athletics at UW-Green Bay as well as the accounts of its sole affiliated/outside organization, the UW-Green Bay Foundation (an independent 501(c)(3) organization that is the official not-for-profit fundraising corporation for UW-Green Bay). Contributions are received by the UW-Green Bay Foundation on behalf of UW-Green Bay Athletics. Contributions are recognized and recorded on the SRE in the period provided and used by UW-Green Bay Athletics. Disbursements made from the UW-Green Bay Foundation on behalf of the Athletics Department to third parties are recorded on the SRE in the period of disbursement.

Note 3: Contributions

The UW-Green Bay Athletics Department receives contributions in support of various intercollegiate sports programs. Donations can be made to the UW-Green Bay Athletics Department or the UW-Green Bay Foundation.

Contributions (line 8 of the SRE) include \$2,558,478 received by the UW-Green Bay Foundation on behalf of the Athletics Department. In fiscal year 2017-18, the UW-Green Bay Foundation received contributions from a private foundation in the amount of \$435,000 that was greater than 10% of all contributions received.

Note 4: Long-Term Debt

The Kress Events Center is a multi-purpose arena that opened on the UW-Green Bay campus in 2007. The arena was built around the previous Phoenix Sports Center. General obligation bonds were issued beginning in 2007 for the UW-Green Bay Phoenix Sports Center renovation. The outstanding debt balance for facilities related to intercollegiate athletics is \$5,729,914 as of June 30, 2018.

The scheduled maturities of the general obligation bonds and notes are as follows:

		Bonds		Interest		Total
2019	\$	460,556	\$	282,225	\$	742,781
2020		691,917		262,391		954,308
2021		551,575		225,749		777,324
2022		582,306		198,314		782,643
2023-2027		3,281,355		546,127		3,827,483
2028-2032		162,204		10,314		172,518
	\$	5,729,914	\$	1,525,120	\$	7,257,056

Note 5: Restricted Revenue

During the year ending June 30, 2017, UW-Green Bay Athletics received \$411,563 from the NCAA as part of its special one-time Division I distribution to directly support student-athletes. Since this distribution is considered restricted with respect to purpose, the entire amount received in fiscal year 2017 (\$411,563) was recognized as revenue in line 12, NCAA distributions, of the SRE during that year. UW-Green Bay Athletics plans to spend approximately \$82,300 from this distribution each year (starting in 2017-18 and ending in 2021-22). UW-Green Bay Athletics intends to utilize the funds to directly support student-athletes through academic support, life skill career success programs, diversity and inclusion initiatives, and student-athlete health and well-being.

Approval of Notice of Preliminary Hearing
on Administrative Code Scope Statement for
Chapter UWS 17

BOARD OF REGENTS

Resolution II.13.

That, upon recommendation of the President of the University of Wisconsin System, the Board of Regents approves a Notice of Preliminary Public Hearing on a scope statement for the revision of Ch. UWS 17, Wis. Admin. Code, “Nonacademic Student Misconduct.”

**NOTICE OF PRELIMINARY PUBLIC HEARING ON
ADMINISTRATIVE CODE SCOPE STATEMENT FOR
CHAPTER UWS 17, WISCONSIN ADMINISTRATIVE CODE**

BACKGROUND

The University of Wisconsin System (UW System) seeks to modify the University of Wisconsin Board of Regents (Board) administrative rule, known as Ch. UWS 17, Wis. Admin. Code, “Nonacademic Student Misconduct.” All UW System institutions would be affected by the proposed rule revisions articulated in the related scope statement.

The Board has statutory authority for Chapter UWS 17 under s. 36.35, Wis. Stats., which reads as follows: “The board shall promulgate rules under ch. 227 governing student conduct and procedures for the administration of violations.”

Approval from the full Board for a Notice of Preliminary Public Hearing on the scope statement pertaining to the above-named chapter is requested.

Regent approval of the Notice will result in publication of the Notice and the holding of a public hearing on the scope statement, followed by review of testimony and comments received on the scope statement, and Regent review and potential approval of the scope statement at a subsequent Board meeting.

REQUESTED ACTION

Approval of Resolution II.13., approving the Notice of Preliminary Public Hearing on a scope statement for Ch. UWS 17, Wis. Admin. Code, “Nonacademic Student Misconduct.”

DISCUSSION

Regent Policy Document 4-21, Commitment to Academic Freedom and Freedom of Expression (RPD 4-21), contains a provision stating that a student found responsible on two occasions for disrupting the free speech rights of others is to be suspended for a minimum of one semester. A student found responsible for three violations is to be expelled. RPD 4-21 also specifically states that these mandatory penalties are “effective upon amendment of Chapter UWS 17 of the Wisconsin Administrative Code under Chapter 227 of the Wisconsin Statutes to include a parallel provision.” UW institutions currently have authority to suspend or expel students who disrupt the free speech rights of others. This proposed rule change would impose mandatory penalties for repeat violators.

The University’s proposed scope statement for the amendment of Chapter UWS 17 to effectuate these penalties has been approved by the Governor and the DOA Secretary. The State Legislature’s Joint Committee for Review of Administrative Rules (JCRAR) has directed that

the University hold a preliminary public hearing and comment on the scope statement. Accordingly, the next steps in the rulemaking process are Board of Regents' approval of a proposed meeting notice for a preliminary public hearing on the scope statement, followed by formal publication of that notice and by a public hearing.

A copy of the Statement of Scope is included as Appendix A; a Notice of Preliminary Public Hearing on Scope Statement is included as Appendix B.

RELATED REGENT POLICIES

Regent Policy Document 4-21, Commitment to Academic Freedom and Freedom of Expression

STATEMENT OF SCOPE

Board of Regents of the University of Wisconsin System

Rule No.: Chapter UWS 17

Relating to: Nonacademic Student Misconduct

Rule Type: Permanent

1. Finding/nature of emergency (Emergency Rule only):

N/A

2. Detailed description of the objective of the proposed rule:

The Board of Regents of the University of Wisconsin System (Board) has been a leader in its support of academic freedom and the freedom of expression. On October 6, 2017, the Board approved Regent Policy Document 4-21 on *Commitment to Academic Freedom and Freedom of Expression*, setting forth the expectations of the Board regarding academic freedom and freedom of expression, and the consequences for those who violate the free expression of others.

The portion of the policy document that addresses student discipline requires that Chapter UWS 17 be modified to state when a formal investigation and hearing is required and the instances in which the disciplinary sanctions of suspension and expulsion must be invoked. Therefore, the Board seeks to modify Chapter UWS 17 regarding Student Nonacademic Misconduct, consistent with the Board's action on October 6, 2017 in approving the Regent Policy Document on *Commitment to Academic Freedom and Freedom of Expression*.

3. Description of the existing policies relevant to the rule, new policies proposed to be included in the rule, and an analysis of policy alternatives:

Chapter UWS 17 currently provides discretion to the investigating officer and provides a list of optional disciplinary sanctions for nonacademic misconduct. It does not specify when a formal investigation and disciplinary hearing is required, nor does it state mandatory sanctions for students found responsible for misconduct that materially and substantially disrupted the free expression of others.

The Board's *Commitment to Academic Freedom and Freedom of Expression* policy states that a formal investigation and disciplinary hearing is required the second time a formal complaint alleges a student has engaged in violent or other disorderly misconduct that materially and substantially disrupted the free expression of others. The policy also requires that any student who has twice been found responsible for misconduct that materially and substantially disrupted the free expression of others at any time during the student's enrollment shall be suspended for a minimum of one semester. Finally, the policy requires that any student who has three times been found responsible for misconduct that materially and substantially disrupted the free expression of others at any time during the student's enrollment shall be expelled. The Board policy states that these measures would be effective upon amendment of Chapter UWS 17 under Chapter 227 of the Wisconsin Statutes to include a parallel provision.

4. Detailed explanation of statutory authority for the rule (including the statutory citation and language):

Wisconsin Statute § 36.35 states: "The board shall promulgate rules under ch. 227 governing student conduct and procedures for the administration of violations."

5. Estimate of amount of time that state employees will spend developing the rule and of other resources necessary to develop the rule:

50 hours

6. List with description of all entities that may be affected by the proposed rule:

All University of Wisconsin institutions and the students thereof.

7. Summary and preliminary comparison with any existing or proposed federal regulation that is intended to address the activities to be regulated by the proposed rule:

N/A

8. Anticipated economic impact of implementing the rule (note if the rule is likely to have a significant economic impact on small businesses):

There is no anticipated economic impact of the proposed rule.

Contact Person: Raymond Cross, President of the University of Wisconsin System, 608-262-2321



Department Head or Authorized Signature



Date Submitted

Appendix B

NOTICE OF PRELIMINARY PUBLIC HEARING BOARD OF REGENTS OF THE UNIVERSITY OF WISCONSIN SYSTEM

Tuesday, August 13, 2019
3:00 p.m. to 5:00 p.m.
Gordon Dining and Event Center – 2nd floor, Symphony Room
770 W. Dayton Street, Madison, Wisconsin

The Board of Regents of the University of Wisconsin System will hold a public hearing on permanent rules to amend Chapters UWS 17, Wis. Admin. Code, to address student discipline related to violent or other disorderly misconduct that materially and substantially disrupts the free expression of others, when a formal investigation and disciplinary hearing is required, and mandatory sanctions for students found responsible for misconduct that materially and substantially disrupts the free expression of others.

Appearances at the Hearing and Submittal of Written Comments

UW System personnel or a member of the Board of Regents will preside over the preliminary public hearing on behalf of the Board of Regents, to provide interested persons with the opportunity to make an oral presentation on the proposed scope statement. Each individual who registers to speak will be given up to three minutes to give an oral presentation. Persons making oral presentations are requested to also submit their comments in writing.

The Board of Regents will accept written comments until August 20, 2019. Comments may be submitted: (1) on the web at <https://www.wisconsin.edu/regents/public-comment-form/> or adminrules.wisconsin.gov; (2) by email to board@uwsa.edu; (3) at the public hearing; or (4) by mail to Office of the Board of Regents, 1860 Van Hise Hall, 1220 Linden Drive, Madison, Wisconsin 53706.

To request a paper copy of the scope statement, contact the Office of the Board of Regents at the address above or by email at board@uwsa.edu or phone at (608) 262-2324. The scope statement is also available for review at <http://adminrules.wisconsin.gov>. This site includes additional documents associated with this proposed permanent rule promulgation.

Initial Regulatory Flexibility Analysis

The proposed rules will not have an effect on small businesses, as defined under s. 227.114(1), Stats.

Accessibility

Persons with special needs or circumstances regarding communication or accessibility at the hearing should call Jess Lathrop, Executive Director, Office of the Board of Regents, at (608) 262-2324 prior to the hearing date. Accommodations such as ASL interpreters, English translators, or materials in audio format will be made available on request to the fullest extent possible.