

BOARD OF REGENTS OF THE UNIVERSITY OF WISCONSIN SYSTEM
UW-Madison, Union South, 1308 W. Dayton Street, 2nd Floor, Madison, Wisconsin
Friday, February 8, 2019

II. 9:00 a.m. All Regents – Varsity Hall II

1. Calling of the roll
2. Approval of the minutes of the December 2018 meeting of the Board of Regents and the January 10, 2019 meeting of the Board's Executive Committee
3. Report of the President of the Board
 - a. Reports of the Wisconsin Technical College System Board and the Higher Educational Aids Board
 - b. Additional items that the President may report to the Board
4. Report of the President of the System
 - a. Student spotlight
 - b. News from around the System
 - c. Restructuring update
 - d. Federal agenda
 - e. Update on recent events
5. Report and approval of actions taken by the Education Committee
6. Report and approval of actions taken by the Capital Planning and Budget Committee
7. Report of the Research, Economic Development, and Innovation Committee
8. Report of the Audit Committee
9. Report and approval of actions taken by the Business and Finance Committee
10. Presentation of Board of Regents 2019 Diversity Awards
 - a. Dennis Beale, Blugold Beginnings, UW-Eau Claire
 - b. Food Center and Pantry, UW-Milwaukee
 - c. Titan Advantage Program, UW-Oshkosh
11. 2019-21 Biennial Budget Update: Capacity-building projects
12. Presentation: Annual NCAA Division I Athletics Report—UW-Madison
13. Approval of a change to the Board of Regents regular meeting schedule
[Resolution II.13.]
14. Resolution of appreciation to UW-Madison for hosting the February 2019 meeting
15. Regent communications, petitions, and memorials
16. Optional Closed Session
The closed session agenda for Thursday, February 7, 2019, may also be considered on Friday, February 8, 2019, as the Board's needs may dictate. In addition, the Board may reconvene in open session regarding matters taken up in the closed session, including voting, where applicable.

Adjourn

2019-21 CAPACITY BUILDING INITIATIVES

EXECUTIVE SUMMARY

BACKGROUND

In August 2018, the UW System Board of Regents approved a biennial budget request of \$25 million for Capacity Building Initiatives within System institutions. The request has been submitted to the Department of Administration for inclusion in the Governor's 2019-21 budget proposal.

The Capacity Building Initiatives seek to expand Wisconsin's talent pipeline, ensure affordable educational attainment, prepare students for success, and collaborate to spur innovation. Each UW System institution has prepared initiatives designed to help meet the priorities set by both the Legislature and UW System Administration.

At the February Board of Regents meeting, five institutions (UW-Green Bay, UW-Madison, UW-Platteville, UW-Stevens Point, and UW-Whitewater) will be sharing examples of their proposals to align with these goals.

UW-Eau Claire, UW-La Crosse, UW-River Falls and UW-Superior previously presented their capacity-building proposals to the Board in December, with UW-Milwaukee, UW-Oshkosh, UW-Parkside, and UW-Stout presenting to the Board in October.

REQUESTED ACTION

For information.

DISCUSSION

In response to a call for proposals, each institution submitted high-priority initiatives that addressed the state's key priorities. The submissions, while diverse, contained common themes. Workforce demands can be met by bolstering programming in the areas of STEM, healthcare, and business. In addition, institutions can increase student success in areas such as time to degree, advising, and participation in high-impact practices which have been shown to increase retention and graduation rates.

Proposed capacity-building initiatives address several state priorities:

- **EXPAND WISCONSIN'S TALENT PIPELINE:** Campuses propose to aggressively add hundreds of students to existing high-demand degree programs of healthcare, science, engineering, and technology. These include creating innovative new programs in such areas as bioinformatics, cybersecurity, robotics, supply chain

management, data analysis and mental health counseling. An investment in these programs now will help address the workforce needs of tomorrow.

- **ENSURE AFFORDABLE EDUCATIONAL ATTAINMENT:** Helping students stay enrolled, make progress toward a degree, and graduate on time keeps higher education affordable. Many campuses are proposing to centralize and strengthen student advising to meet these needs.
- **PREPARE STUDENTS FOR SUCCESS:** In order to meet the state’s workforce development goals, UW System institutions have been proactively developing services and programming to ensure student success. Examples of these programs include internships, undergraduate research, community partnerships, transition to college courses, enhancements to traditional advising, and creating diversity in learning environments. The proposals put forward by UW System institutions aim to improve on existing programs and develop new initiatives.
- **COLLABORATE TO SPUR INNOVATION:** Several UW System campuses propose cultivating entrepreneurship, collaborating on new or existing programs, and engaging in community outreach on such topics as mental health, employing people with disabilities, and English-language acquisition. Partnerships between campuses and communities may help to address high-demand needs more efficiently at less cost.

RELATED BOARD OF REGENTS ACTIONS

Resolution 11078, “2019-21 UW System Biennial Operating Budget Request” (August 23, 2018)

UW-MADISON NCAA DIVISION I ATHLETICS 2017-18 REPORT

EXECUTIVE SUMMARY

BACKGROUND

Collegiate athletics are high-profile activities that exist for the betterment of the student body, student-athletes, and the university. As some of the most visible programs at institutions of higher education, athletics provide valuable experiences for student-athletes, opportunities to engage the broader community and a public face for the institution. This visibility, as well as the number of student-athlete participants, the members of the public attending athletic events and the substantial compliance requirements, necessitates a high level of oversight and scrutiny by both administrators and governing bodies.

In 2012, the Association of Governing Boards of Colleges and Universities (AGB) issued a report, “Trust, Accountability, and Integrity: Board Responsibilities for Intercollegiate Athletics,” that highlighted the important role of higher education governing boards in providing proper oversight of athletics programs. That same year, the Board of Regents established a reporting framework for institutions that participate in NCAA Division I athletics.

Under the Regents’ Accountability Reporting Framework, each UW System Division I athletics program is required to provide information to the Board annually describing the extent to which the program: 1) adheres to any performance standards implemented by an institution or its athletic board; 2) safeguards the welfare of all students; 3) maintains NCAA compliance; and 4) assures fiscal integrity. The Accountability Reporting Framework provides the Board with consistent information about each NCAA Division I athletics program, enhancing the Board’s ability to effectively monitor the activities of UW System’s Division I athletics programs.

This is the first of three reports to be presented by the UW System’s NCAA Division I athletics programs in 2019.

REQUESTED ACTION

This item is for discussion purposes only.

DISCUSSION

The following provides a brief summary of UW-Madison’s 2017-18 accountability report.

Program Overview

UW-Madison sponsors 23 sports, providing approximately 900 student-athletes with the opportunity to participate in college athletics. The Division of Intercollegiate Athletics (Athletics Department) employs approximately 600 people. UW-Madison is a member of the NCAA, a charter member of the Big Ten Conference, and a member of the Western Collegiate Hockey Association (WCHA).

The Athletics Department operates as a departmental unit within the University. The Chancellor has delegated authority for the day-to-day administration of the intercollegiate program to the Athletic Director. UW-Madison's Athletics Board provides faculty oversight to the program as part of UW-Madison's shared-governance system.

2017-18 Achievements

Eighteen of UW-Madison's 23 sports advanced to postseason competition. Headlining the year was an undefeated regular season for the football team and an Orange Bowl victory over the University of Miami. In addition, the women's hockey team advanced to the Frozen Four for the fifth straight season, and the men's soccer team captured its first Big Ten Championship in 23 seasons. Individually, women's track student-athlete Georgia Ellenwood became the first Badger to win a national title in the heptathlon, and men's track student-athlete Ollie Hoare captured the 1500-meter national championship at NCAA Outdoors.

Financial Situation

UW-Madison reported that in 2017-18, the program had actual revenues of \$133,627,878 and expenditures of \$133,356,044, for an operating surplus of \$271,834. The report notes that the program had an unrestricted fund balance of \$2,224,809, or 1.7% of actual expenditures for the 2017-18 fiscal year. UW-Madison also reports the Athletics Department had outstanding debt of \$90,671,513 as of June 30, 2018, with debt service payments of \$10,451,768 in the 2017-18 fiscal year.

Academics

The Athletics Department uses various means to monitor the academic progress of student-athletes including the Academic Progress Rate, the Graduation Success Rate, and the Federal Graduation Rate.

Academic Progress Rate. The Academic Progress Rate (APR) is a term-by-term calculation of the eligibility and retention of all student-athletes. A score of 1000 means every student-athlete on that particular team stayed eligible and returned to school. Teams lose points for student-athletes who are not eligible and/or are not retained.

UW-Madison expects all teams to achieve both a single-year and multiyear APR above 930. Under NCAA rules, teams must maintain a minimum multiyear APR above 930 to participate in postseason competition.

The APR for UW-Madison student-athletes exceeded 930 in all sports in both the single-year and multiyear measures. Single-year APRs ranged from 944 to 1000, with fifteen of 21 sports teams achieving an APR of 1000. Multiyear APRs ranged from 968 to 1000, with three of 21 teams achieving an APR of 1000.

The APR for the three largest revenue generating sports—football, men’s basketball, and men’s hockey—were 978, 1000, and 991 respectively, for 2016-17.

Graduation Rates. UW-Madison uses both the Federal Graduation Rate (FGR) and the Graduation Success Rate (GSR) to monitor student-athlete graduation rates. The FGR is compiled by the U.S. Department of Education and measures the percentage of first-time, full-time freshmen who graduate within six years of entering their original four-year institution. The GSR differs from the FGR in that it excludes from the denominator those student-athletes who leave the institution in good academic standing and includes in the numerator those who transfer into the institution and go on to graduate.

UW-Madison uses three benchmarks related to the FGR to monitor student-athlete graduation rates. UW-Madison expects student-athletes to maintain an FGR that is equal to or greater than the FGR for (1) all UW-Madison students; (2) all student-athletes in the Big Ten Conference; and (3) all Division I student-athletes. UW-Madison student-athletes had an FGR of 73% for 2018, an increase from the FGR of 68% in 2017. The student-athlete FGR fell short of the FGR for all UW-Madison students (87%), and Big Ten Conference student-athletes (76%) but exceeded the FGR for all NCAA Division I student-athletes (68%).

UW-Madison monitors student-athletes’ graduation success using two benchmarks related to the GSR. UW-Madison expects student-athletes to achieve a GSR (1) equal to or greater than the median GSR for the Big Ten Conference; and (2) greater than the GSR of all NCAA Division I athletes. In 2018, the GSR for UW-Madison student-athletes was 90%, equal to the median GSR for the Big Ten Conference, and three percentage points higher than the GSR for all NCAA Division I student-athletes (87%).

UW-Madison’s student-athletes carried a 3.12 cumulative grade-point average (GPA) at the end of the Spring 2018 term. After the Spring 2018 semester, six student-athletes achieved a perfect 4.00 cumulative GPA. Between the Fall 2017 and Spring 2018 semesters, a total of 280 student-athletes earned Academic All-Big Ten honors.

A total of 143 student-athletes graduated with either a Bachelor’s or Master’s degree during the 2017-18 academic year.

NCAA Rules Compliance

The Athletics Department reported no Level I or Level II violations (formerly classified as “major” violations) and 15 Level III or Level IV violations (formerly classified as “secondary” violations) to the NCAA in 2017-18. These numbers are consistent with reports from previous

years. UW-Madison's report includes a detailed list of Level III and Level IV violations in Appendix J.

The report includes a copy of the Athletics Department's *Standards for Safeguarding Institutional Governance of Intercollegiate Athletics*. The Big Ten Council of Presidents/Chancellors adopted the standards in response to issues at Penn State University. The standards, which went into effect on August 1, 2015, demonstrate a commitment by Big Ten institutions to maintaining the "integrity of governance in athletics programs." The report notes that a required internal annual review found that UW-Madison has maintained compliance with the standards.

The report also includes the transmittal letter and audit comments from the most recent NCAA Compliance review conducted by UW-System's Office of Internal Audit, as well as a copy of the NCAA-required report on "Agreed-Upon Procedures" issued by independent auditor Baker Tilly, for the year ended June 30, 2018. Neither report identified any compliance or control deficiencies.

RELATED REGENT POLICIES

Regent Policy Document 10-1: "Endorsement of the Statement of Principles from the Knight Foundation Commission on Intercollegiate Athletics"

2017-18

REPORT TO BOARD OF REGENTS ON INTERCOLLEGIATE ATHLETICS AT UW-MADISON



February 7, 2019

2017-18 Executive Summary to Board of Regents

Introduction

This report provides information about University of Wisconsin-Madison intercollegiate athletics for the Board of Regents. It addresses fiscal responsibility, academics, and compliance.

The Athletics Department: Makeup, Mission, & Membership

The Division of Intercollegiate Athletics, generally referred to as the “Athletics Department,” is a departmental unit within the University governed by the policies of UW-Madison (“UW”). While retaining ultimate authority, subject to the general oversight of the Board of Regents of the University of Wisconsin System, the Chancellor has delegated the authority, responsibility, and accountability for the day-to-day administration of intercollegiate athletics to the Athletic Director. There are no exceptions to this delegation. The Athletic Board exercises the authority of the faculty as part of the University’s shared-governance system.¹

The Athletics Department dedicates itself to the mission of providing athletic opportunities to a wide range of students and providing an environment in which all student-athletes can achieve their academic and competitive goals.

The Athletics Department is a member institution of the National Collegiate Athletic Association (NCAA), the Big Ten Conference, and the Western Collegiate Hockey Association (WCHA). Therefore, the Athletics Department abides by the rules and regulations promulgated by these organizations.

On-Field Achievements

The UW experienced another successful season in 2017-18. Of the UW’s 23 sports, 18 advanced to postseason competition. Headlining the year was an undefeated regular season for the football team and an Orange Bowl victory over Miami (FL). In addition, the women’s hockey team advanced to the Frozen Four for the fifth straight season, and the men’s soccer team captured its first Big Ten Championship in 23 seasons. Individually, women’s track student-athlete Georgia Ellenwood became the first Badger to win a national title in the heptathlon, and men’s track student-athlete Ollie Hoare captured the 1500-meter national championship at NCAA Outdoors.

Financial Highlights

The full report contains the final budget, debt balances and payments, and endowments for the 2017-18 fiscal year (see Appendices C and D). The annual budget for the Athletics Department supports 23 sports and approximately 900 student-athlete participation opportunities at any given time, depending on roster changes. The Athletics Department employs over 600 people. The Athletics Department’s economic impact has been estimated at \$970 million annually, with nearly 9,000 jobs supported and created. There is no deficit from 2017-18 operations.

¹ For a more detailed elaboration on the authority over intercollegiate athletics, please see Appendix A: “University of Wisconsin-Madison Standards for Safeguarding Institutional Governance of Intercollegiate Athletics.” These standards were prepared in response to the standards adopted by the Big Ten’s Council of Presidents/Chancellors (Appendix B).

Academic Measures

The figures presented in the executive summary are also located in each of the corresponding sections. Please refer to later sections if there are questions about where these numbers were derived from.

The Athletics Department uses various means to monitor the academic progress of the student-athletes. The Federal Graduation Rate (FGR), Graduation Success Rate (GSR), and Academic Progress Rate (APR) are the most recognizable of these measures.

The FGR is compiled by the U.S. Department of Education and measures the percentage of first-time, full-time freshmen who graduate within six years of entering their original four-year institution. The GSR differs from the FGR in that it excludes from the denominator those student-athletes who leave the institution in good academic standing and includes in the numerator those who transfer into the institution and go on to graduate. Lastly, the APR is a term-by-term calculation of the eligibility and retention of all student-athletes. A score of 1000 means every student-athlete on that particular team stayed eligible and returned to school. Teams begin losing points for student-athletes who are not eligible and/or are not retained.

Of the 12 student-athletes in the 2011-12 single-year FGR cohort for the three highest revenue men's and women's sports who did not graduate from the UW in six years, six transferred to other institutions, of which five have since earned degrees, and five left early to pursue professional opportunities. One student-athlete remained in school at UW but did not graduate.

The APR for UW student-athletes – used by the NCAA to hold institutions accountable for the academic progress of their student-athletes – was above 930 in all sports in both the multiyear and single year measures. The GSR for UW student-athletes was 90% in 2017-18, which was three percentage points higher than the GSR for all NCAA Division I student-athletes (87%). The UW student-athlete four-year average FGR was 72% in 2017-18, compared to 76% for the Big Ten Conference, and 67% for all NCAA Division I student-athletes. The graduation rate for all UW-Madison students is 87%.

The Academic Support staff also utilizes its own additional measures to track success. For example, the Athletics Department considers a student-athlete successful who matriculates at UW and receives Athletics aid, but graduates from another institution. This rate is compared to that of the general student population at both a six- and ten-year mark.

University of Wisconsin student-athletes carried a 3.12 cumulative grade-point average (GPA) at the end of the Spring 2018 term. After the Spring 2018 semester, six student-athletes carried a perfect 4.00 cumulative GPA. Between the Fall 2017 and Spring 2018 semesters, a total of 280 student-athletes earned Academic All-Big Ten honors.

UW undergraduate student-athletes currently major in 86 diverse areas of study. Personal Finance, Life Sciences Communication, Economics, and Kinesiology are the most common majors among undergraduate student-athletes. Additionally, of these 86 areas of study, there are currently 67 different areas which 10 or fewer student-athletes have declared as majors. A total of 143 student-athletes graduated with either a Bachelor's or Master's degree during the 2017-18 academic year.

The UW does not employ a special admissions process for student-athletes. Student-athletes progress through the admissions process in the same manner as other applicants. The UW utilizes a holistic, competitive, and selective admissions review.

Agreed-Upon Procedures & Intercollegiate Athletics Budget Report

The full report contains reports from an independent auditor on the Athletics Department's agreed-upon procedures and budget report for the 2017-18 fiscal year.

Compliance

The Athletics Department recently underwent a compliance review by the UW System Administration Office of Internal Audit for the 2017-18 academic year. No compliance matters were identified in this review. For the full report, see **Appendix H**.

The Athletics Department reported no Level I or Level II violations (formerly classified as "major" violations) and 15 Level III or Level IV violations (formerly classified as "secondary" violations) to the NCAA in 2017-18. These numbers are consistent with reporting statistics from previous years. For a detailed list of reported Level III and Level IV violations, see **Appendix J**.

INTERCOLLEGIATE ATHLETICS REPORT

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INTRODUCTION

The purpose of this report is to provide annual information of intercollegiate athletics and the functions outlined by the Faculty Senate to the Board of Regents. In the first section, information is provided about the NCAA, the Big Ten, and the Intercollegiate Athletics Department. The subsequent sections outline specific information addressing academics, fiscal responsibility, and compliance regulation.

I. DIVISION OF INTERCOLLEGIATE ATHLETICS

The Division of Intercollegiate Athletics, generally referred to as the “Athletics Department,” is a departmental unit within the University governed by the policies of the University of Wisconsin-Madison (“UW”). While retaining ultimate authority subject to the general oversight of the Board of Regents of the University of Wisconsin System, the Chancellor has delegated the authority, responsibility, and accountability for the day-to-day administration of intercollegiate athletics to the Athletic Director. There are no exceptions to this delegation. The Athletic Board exercises the authority of the faculty as part of the UW’s shared-governance system.²

A. Mission of Intercollegiate Athletics

The Athletics Department dedicates itself to the mission of providing athletic opportunities to a wide range of students and creating an environment in which all student-athletes can achieve their academic and competitive goals. The Athletics Department strives to provide equitable opportunities for all student-athletes and staff regardless of gender or ethnic backgrounds. To honor its academic mission and that of the UW, the Athletics Department supports the educational aspirations, academic progress, and general welfare of the student-athlete. In competition, the Athletics Department fosters principles of sportsmanship, respect, fair play, and athletic excellence. In all of its activities, the Athletics Department insists on integrity, ethical conduct, and accountability.

The Athletics Department’s mission on behalf of the...

- **Student-Athletes** - Provide the resources for student-athletes to pursue their academic and athletic dreams, while shaping their development into adulthood.
- **University** - Operate all areas of the Athletics Department with class and quality while accepting our role as a source of school spirit and pride for all faculty, staff, students, and alumni of the UW.
- **Citizens of the State** - Support the community by participating in a variety of public service projects, hosting events that provide good entertainment and contribute positively to the economy, and serving as a source of state pride through the academic achievement of our student-athletes and successful performance in their athletic pursuits.

This mission is derived from the overall mission of the UW to provide the opportunity for highest achievement in all areas of human knowledge and endeavor: intellectual, artistic, and physical. Intercollegiate athletics is a part of the UW’s total educational purpose: (1) through values; (2) through diversity and outreach; (3) through cultural appreciation; and (4) through physical human development. In addition to these four explicit interactions with the UW’s purpose, intercollegiate athletics is aligned with the UW’s mission through tradition.

² For a more detailed elaboration on the authority over intercollegiate athletics, please see **Appendix A**: “University of Wisconsin-Madison Standards for Safeguarding Institutional Governance of Intercollegiate Athletics.” These standards were prepared in response to the standards adopted by the Big Ten’s Council of Presidents/Chancellors (**Appendix B**).

B. National Collegiate Athletic Association (NCAA)

The colleges, universities, and conferences, referred to as “members,” make up the NCAA. The members appoint volunteer representatives who serve on committees that introduce and vote on rules called bylaws. The members also establish programs to govern, promote, and further the purposes and goals of intercollegiate athletics.

Approximately 500 paid professionals who implement the rules and programs established by the membership make up the “national office.” The national office staff is located primarily at the NCAA headquarters office in Indianapolis, Indiana. The entire organization, comprised of members and staffs, is referred to as the NCAA.

The NCAA's core ideology consists of two notions: core purpose – the organization's reason for being – and core values – essential and enduring principles that guide the organization. Its purpose is to govern competition in a fair, safe, equitable, and sportsmanlike manner and to integrate intercollegiate athletics into higher education so that the educational experience of the student-athlete is paramount. The NCAA – through its member institutions, conferences, and national office staff – shares a belief in and commitment to:

- The collegiate model of athletics in which students participate as an avocation, balancing their academic, social, and athletics experiences.
- The highest levels of integrity and sportsmanship.
- The pursuit of excellence in both academics and athletics.
- The supporting role that intercollegiate athletics plays in the higher education mission and in enhancing the sense of community and strengthening the identity of member institutions.
- An inclusive culture that fosters equitable participation for student-athletes and career opportunities for coaches and administrators from diverse backgrounds.
- Respect for institutional autonomy and philosophical differences.
- Presidential leadership of intercollegiate athletics at the campus, conference, and national levels.

For more information regarding the NCAA, visit <http://www.ncaa.org/>.

C. History of Intercollegiate Athletics

The creation of athletic teams occurred just 25 years after the UW was founded in 1848. By the end of the 19th century, the UW joined six other schools to form the Western Conference (now known as the Big Ten Conference). As a charter member of the Big Ten, the UW has a long and proud athletic history.

Men's sports dominated the scene at the UW until the late 1960s when women's athletics began to grow. Naming Kit Saunders as the administrator of the women's sports program in 1967 and the enactment of Title IX of the Educational Amendments Act of 1972 both helped vault UW women's athletics into the mainstream. That movement, along with the growing popularity of sports on college campuses around the nation, catapulted the Athletics Department into the college culture.

Today, the UW sponsors 23 sports programs supporting approximately 900 student-athlete participation opportunities at any given time, depending on roster changes. The UW experienced another successful season in 2017-18. Of the UW's 23 sports, 18 advanced to postseason competition. Headlining the year was an undefeated regular season for the football team and an Orange Bowl victory over Miami (FL). In addition, the women's hockey team advanced to the Frozen Four for the fifth straight season, and the men's soccer team captured its first Big Ten Championship in 23 seasons. Individually, women's track student-athlete Georgia Ellenwood became the first Badger to win a national title in the heptathlon, and men's track student-athlete Ollie Hoare captured the 1500-meter national championship at NCAA Outdoors.

For more information regarding the Athletics Department, visit www.uwbadgers.com.

The Athletics Department is a member institution of the National Collegiate Athletic Association (NCAA), the Big Ten Conference, and the Western Collegiate Hockey Association (WCHA). Therefore, the Athletics Department abides by the rules and regulations promulgated by these organizations.

Big Ten Conference

The Big Ten Conference is an association of 14 world-class universities whose member institutions share a common mission of research, graduate, professional, and undergraduate teaching, and public service. Intercollegiate athletics has an important place within the Big Ten's common mission. Conference institutions sponsor broad-based athletic programs supporting more than 300 teams.

Founded in 1896, the Big Ten Conference has sustained a comprehensive set of shared practices and policies that enforce the priority of academics in student-athletes' lives and emphasize the values of integrity, fairness, and competitiveness. Big Ten universities provide nearly \$200 million in athletic scholarship aid to more than 9,500 men and women student-athletes who compete for 28 championships – 14 for men and 14 for women.

The Big Ten Conference institutions provide an avenue for thousands of young men and women to pursue higher education while competing in sport. The Big Ten Conference sponsors the following 28 sports:

Men's Sports

Baseball
Basketball
Cross Country
Football
Golf
Gymnastics
Ice Hockey
Lacrosse
Soccer
Swimming & Diving
Tennis
Indoor Track & Field
Outdoor Track & Field
Wrestling

Women's Sports

Basketball
Cross Country
Field Hockey
Golf
Gymnastics
Lacrosse
Rowing
Soccer
Softball
Swimming & Diving
Tennis
Indoor Track & Field
Outdoor Track & Field
Volleyball

For more information regarding the Big Ten Conference, visit www.bigten.org.

Western Collegiate Hockey Association (WCHA)

The Big Ten Conference does not sponsor women's hockey; thus the Athletics Department is a member of the Western Collegiate Hockey Association (WCHA).

From the WCHA's founding days as the Midwest Collegiate Hockey League (MCHL) from 1951-53, as the Western Intercollegiate Hockey League (WIHL) from 1953-58, and ultimately as the Western Collegiate Hockey Association in 1959, this prestigious association has maintained a tradition of excellence that is second to none. With a noteworthy past that spans seven decades, three overriding characteristics that have continued to define the WCHA over its existence are history, tradition, and success.

For more information regarding the WCHA, visit <http://www.wcha.com/>.

D. Athletics Department Annual Report

On a yearly basis the Athletics Department creates an Annual Report that provides an overview of the Athletics Department's accomplishments and progress towards specific performance goals. The Athletics Department has embraced the following core values to guide future decision making:

<p>EXCELLENCE in Academics and Athletic Competition</p> <p>COMMITMENT to Fiscal Responsibility, Compliance, and Diversity and Gender Equity</p> <p>PERSONAL DEVELOPMENT of Student-Athletes</p> <p>SERVICE AND CONNECTION to Community and Campus</p> <p>PROFESSIONAL DEVELOPMENT of Staff</p> <p>RECOGNITION of Department Success</p>

The 2017-18 report is found online at https://issuu.com/uwathletics/docs/2017-18_annual_report.

II. FINANCIAL HIGHLIGHTS

A. 2017-18 Final Budget Report

Please see **Appendix C** for the detailed report.

B. 2017-18 Debt Balances & Payments Report

Please see **Appendix D** for the detailed report.

C. 2017-18 Value of Endowments Dedicated to Support Athletics

	Market Value	Cash/Interest
June 2018	\$97,998,613	\$2,982,223
June 2017	\$86,392,556	\$2,962,888

III. MEASURES & BENCHMARKS USED TO ASSESS STUDENT-ATHLETE ACADEMIC PROGRESS

A. Measure 1: Academic Progress Rate (APR)

Please see **Appendix E** for the detailed NCAA 2016-17 report. **The 2016-17 NCAA report is the most current publicly available data.

Definition: A term-by-term calculation of the eligibility and retention of all student-athletes. Each student-athlete receiving athletically related financial aid earns one retention point for staying in school and one eligibility point for being academically eligible. A team's total points are divided by points possible and then multiplied by one thousand to equal the team's Academic Progress Rate score.

Benchmark: Achieve a multiyear Academic Progress Rate (APR) above 930 for all sports.

Benchmark: Achieve a single-year Academic Progress Rate (APR) above 930 for all sports.

Number of Sports with a Multiyear APR below 930

Year	APR < 930
2017	0 sports
2016	0 sports
2015	0 sports

APR by Sport

Single-Year = 2016-17 academic year
Multiyear = 2013-14, 2014-15, 2015-16, 2016-17 (avg. of single-year rates)

Men's Sports	Single-Year APR	Multiyear APR	Multiyear DI NCAA Sport APR
Basketball	1000	985	967
Cross Country	952	973	981
Football	978	986	968
Golf	1000	971	986
Hockey	991	977	987
Rowing	-	-	-
Soccer	1000	1000	978
Swimming & Diving	1000	989	981
Tennis	1000	1000	982
Track (I/O)	944	968	973
Wrestling	1000	988	975

Women's Sports	Single-Year APR	Multiyear APR	Multiyear DI NCAA Sport APR
Basketball	1000	996	982
Cross Country	1000	996	989
Golf	1000	1000	990
Hockey	948	985	992
Rowing	1000	992	989
Soccer	1000	987	988
Softball	1000	996	985
Swimming & Diving	1000	992	992
Tennis	1000	993	989
Track (I/O)	984	987	983
Volleyball	1000	995	988

Three-Year single-year APR trend for the three largest revenue-generating sports

	Football	M. Basketball	M. Hockey
2016-2017	978	1000	991
2015-2016	985	1000	991
2014-2015	980	900	963

B. Measure 2: Graduation Success Rate (GSR)

Please see **Appendix F** for the most recent published report.

Definition: The percentage of first-time, full-time freshmen who graduate within six years of entering their original four-year institution, excluding from the denominator those student-athletes who leave the institution in good academic standing and including in the numerator those who transfer into the institution and go on to graduate.

Benchmark: Achieve a GSR for student-athletes that is equal to or greater than the median GSR for the Big Ten Conference. Note: 2018 reporting year represents multi-year GSR of 2008-2011 cohorts.

UW Student-Athletes vs. Median of Big Ten Conference

Year	UW S-A	Big Ten S-A
2018	90%	90%
2017	86%	89%
2016	86%	88%

Benchmark: Achieve a GSR for student-athletes that is equal to or greater than the GSR for all NCAA Division I student-athletes. Note: 2018 reporting year represents multi-year GSR of 2008-2011 cohorts.

UW Student-Athletes vs. All Division I Student-Athletes

Year	UW S-A	D1 S-A
2018	90%	87%
2017	86%	86%
2016	86%	84%

C. Measure 3: Federal Graduation Rate

Definition: The percentage of first-time, full-time freshmen who graduate within six years of entering their original four-year institution.

Three-Year Federal Graduation Rate Trend for the Three Highest Revenue Men's Sports*

	Football	Basketball	Hockey
2017-2018 (2011-2012 Cohort)	72%	71%	53%
2016-2017 (2010-2011 Cohort)	65%	100%	80%
2015-2016 (2009-2010 Cohort)	75%	100%	50%

Three-Year Federal Graduation Rate Trend for the Three Highest Revenue Women's Sports*

	Basketball	Hockey	Volleyball
2017-2018 (2011-2012 Cohort)	62%	83%	92%
2016-2017 (2010-2011 Cohort)	67%	80%	75%
2015-2016 (2009-2010 Cohort)	50%	67%	100%

**There were 40 student-athletes in the 2011-2012 single-year FGR cohort for the three highest revenue men's and women's sports. Twelve of these student-athletes did not graduate from the UW in six years; six transferred to other institutions, of which five have since earned degrees, and five left early to pursue professional opportunities. One student-athlete remained in school at UW but did not graduate.*

Benchmark: Achieve a student-athlete graduation rate that is equal to or greater than the rate for all UW-Madison students. Note: The 2018 reporting year represents 2011-12 entering freshman cohort. The four-class average combines the current cohort with the three previous freshman classes, using data from previous years.

UW Student-Athletes vs. All UW Madison Students

Year	Graduation Rate		Four-Class Average	
	UW S-A	UW	UW S-A	UW
2018	73%	87%	72%	86%
2017	68%	85%	70%	85%
2016	74%	85%	71%	84%

Benchmark: Achieve a student-athlete graduation rate that is equal to or greater than the rate for the Big Ten Conference. Note: The 2018 reporting year represents 2011-12 entering freshman cohort. The four-class average combines the current cohort with the three previous freshman classes, using data from previous years.

UW Student-Athletes vs. Median of Big Ten Conference

Year	Graduation Rate		Four-Class Average	
	UW S-A	Big Ten S-A	UW S-A	Big Ten S-A
2018	73%	76%	72%	74%
2017	68%	76%	70%	73%
2016	74%	75%	71%	74%

Benchmark: Achieve a student-athlete graduation rate that is equal to or greater than the rate for all Division I student-athletes. Note: The 2018 reporting year represents 2011-12 entering freshman cohort. The four-class average combines the current cohort with the three previous freshman classes, using data from previous years.

UW Student-Athletes vs. All NCAA Division I Student-Athletes

Year	Graduation Rate		Four-Class Average	
	UW S-A	D1 S-A	UW S-A	D1 S-A
2018	73%	68%	72%	67%
2017	68%	68%	70%	67%
2016	74%	66%	71%	66%

D. Additional Information: GPA & Major Selection

UW student-athletes carried a 3.12 cumulative grade-point average (GPA) at the end of the Spring 2018 term. After the Spring 2018 semester, six student-athletes carried a perfect 4.00 cumulative GPA. Between the Fall 2017 and Spring 2018 semesters, a total of 280 student-athletes earned Academic All-Big Ten honors.

UW undergraduate student-athletes currently major in 86 diverse areas of study. Personal Finance, Life Sciences Communication, Economics, and Kinesiology are the most common majors among undergraduate student-athletes. Additionally, of these 86 areas of study, there are currently 67 different areas which 10 or fewer student-athletes have declared as majors. A total of 143 student-athletes graduated with either a Bachelor's or Master's degree during the 2017-18 academic year.

For a full list of majors, please see **Appendix G**. This chart is a breakdown of our student-athletes' declared majors (by percentage) compared against the percentage of UW students who are majoring in each of those areas. The categories of majors were predetermined by the report of major breakdowns that is produced by the UW-Madison Registrar's Office. The total number of student-athlete majors is representative of the number of majors rather than the number of student-athletes, because a student-athlete with a declared double major is counted twice.

E. Additional Information: Special Admissions of Student-Athletes

The UW does not employ a special admissions process for student-athletes. Student-athletes progress through the admissions process in the same manner as other applicants. The UW utilizes a holistic, competitive, and selective admissions review. The Athletics Department's goal is to recruit, admit, and enroll a high quality and diverse student body. The academic record always comes first in our review, but we also consider written statements, standardized test scores, extracurricular activities, leadership involvement, and optional letters of recommendation.

IV. COMPLIANCE PROCEDURES REVIEW

Please see **Appendix H** for the full audit report issued by the UW System Administration Office of Internal Audit for the 2017-18 academic year.

V. NCAA SELF-STUDY REPORT

The NCAA self-study report requirement no longer exists. In lieu of this report, please see **Appendix I** for the UW's 2017-18 review of the effectiveness of its Standards for Safeguarding Institutional Governance of Intercollegiate Athletics, as required by the Big Ten Conference.

VI. LIST OF LEVEL III & LEVEL IV NCAA VIOLATIONS & NCAA OVERSIGHT CERTIFICATION LETTER

Please see **Appendix J** for the detailed report, and **Appendix K** for the letter.

VII. AGREED UPON PROCEDURES REPORT & INTERCOLLEGIATE ATHLETIC BUDGET REPORT

Please see **Appendix L** for the full report.

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Appendix A

UNIVERSITY OF WISCONSIN-MADISON

STANDARDS FOR SAFEGUARDING INSTITUTIONAL GOVERNANCE OF INTERCOLLEGIATE ATHLETICS

Introduction

At its June 1, 2014 meeting, the Big Ten Council of Presidents/Chancellors adopted “The Big Ten Conference Standards for Safeguarding Institutional Governance of Intercollegiate Athletics” (the “Conference Standards”). The Conference Standards embody the firm and common commitment of each Big Ten Conference member institution to the core principle that intercollegiate athletics must be properly aligned with the institution’s overall educational mission. The University of Wisconsin-Madison fully supports the Conference Standards, and adopts this document to demonstrate its commitment to the principles contained therein. While many of the requirements of the Conference Standards are current University policy and practice, the University is pleased to adopt this document and to fully implement the Conference Standards. To the extent any existing policies or practices at the University are contrary to the principles and standards contained in this document, this document controls.

Basic Principles

In accordance with the principles set forth by both the Conference Standards and the Big Ten Handbook, the University affirms that intercollegiate athletics is an integral part of the educational mission of the institution, and that accordingly, governance of intercollegiate athletics should be consistent with, and not independent from, the governance applicable to other University units and programs.

Organizational Governance Standards

The Chancellor of the University, subject to the general oversight of the Board of Regents of the University of Wisconsin System, and working within the constructs of the principles of shared governance, has ultimate authority, responsibility, and accountability for the administration of intercollegiate athletics. There are no exceptions to this authority, responsibility, or accountability.

While retaining ultimate authority, the Chancellor has delegated the authority, responsibility and accountability for the day-to-day administration of intercollegiate athletics to the Athletic Director. There are no exceptions to this delegation.

The Athletic Board exercises the authority of the faculty as part of the University’s shared-governance system. The Athletic Board is a University Committee with membership, functions

and responsibilities set forth in the University's Faculty Policies and Procedures.¹ The "authority of the faculty" in the University's shared-governance system must be understood in the context of the Chancellor being ultimately responsible for the institutional control of athletics. However, Wisconsin law recognizes that chancellors are expected to consult with faculty in discharging their responsibilities and recognizes the role of the faculty in governance.² In this way, University faculty has the shared responsibility for academic and educational activities and faculty personnel matters.³

Operational Standards for Athletics

The University reaffirms its policies and operational standards in the following areas: 1) Academic Support; 2) Institutional, Conference, and NCAA Rules Compliance; 3) Admissions; 4) Student Discipline and Codes of Conduct; 5) Medical and Athletic Training Services; and 6) Cost of Attendance.

1. Academic Support

Academic Success

The University is committed to providing student-athletes with academic support and counseling (e.g., academic advising) that is adequate and appropriate for their progress toward a degree and graduation.⁴ The personal and academic well-being of every student-athlete is the primary concern of the University and the Athletic Board.

Academic Integrity

The University is committed to both the detection and prevention of (a) academic fraud and misconduct, and (b) abusive use of independent study or clustering of student-athletes in particular courses or majors.⁵

¹ Appendix 1, University of Wisconsin-Madison Faculty Policies and Procedures ("FPP"), Section 6.26.

² Wisconsin Statute Section 36.09(4).

³ For more information regarding the Role of the Athletic Board, see Appendix 2, Faculty Document 2114, "Role of the Athletic Board."

⁴ For a detailed review of the steps the University takes to provide student-athletes with academic support, see Appendix 3, Office of Academic Services Handbook ("OAS Handbook"), pgs. 25 ("Advisors"), 27 ("Learning Specialists"), 29 ("Mentors"), 33 ("Individual Tutoring & Drop-In Study Groups"); see also Appendix 4, 2008-2009 Division 1 Athletics Certification Self-Study Instrument ("Self Study"), pgs. 33-35 (point 8) and pgs. 65-91 ("Operating Principle 2.2, Academic Support"); see also Appendix 5, 2013-2014 Badger Student-Athlete Handbook and Planner ("SA Handbook") pgs. 10 ("The Shared Responsibility of Academic Success" and "Staff"), and 12 ("Services and Programs").

⁵ For a detailed review of the steps the University takes to detect and prevent such academic problems, see Appendix 3, OAS Handbook, pgs. 14 ("Academic Misconduct"), 20 ("Writing and Editing Guidelines"), 21 ("Ethical Conduct"), 25 ("Advisors"), 36 ("Class Checking"), and 37 ("Directed Study"); see also Appendix 5, SA Handbook, pgs. 60-64, ("Academic Misconduct Guide for Students"); see also Appendix 9, Coaches Orientation Handbook ("Coaches Handbook"), pgs. 61-64 ("Directed Study Policy").

Prevention of Improper Influence

In order to ensure that athletic academic support staff members are free from potential improper influence, coaches shall not have direct responsibility for, or exercise undue or improper influence over, the hiring or supervision of any member of the academic support staff. Further, coaches shall not attempt to inappropriately influence any member of the academic support staff in order to obtain or maintain the academic eligibility of a student athlete. While a coach may have input or be otherwise involved in the hiring or evaluation of the performance of an academic support staff member, the ultimate authority for hiring and supervising academic support staff will reside with the Associate Athletic Director for Student Services.

In addition, coaches shall not attempt to inappropriately influence any faculty member. To prevent the potential for improper influence, communication between the athletics department staff and faculty regarding a student-athlete's performance in class shall be conducted through the Associate Athletic Director for Student Services, the staff members of the Office of Academic Services, staff members of the Office of Compliance, or through the Faculty Athletics Representative.⁶

In addition to reporting to the Athletic Director, the Associate Athletic Director for Student Services reports monthly to the Athletic Board, chaired by the Faculty Academics Representative, regarding academic performance and support.

2. Institutional, Conference, and NCAA Rules Compliance

Compliance Success

The University is committed to the detection and prevention of breaches of institutional, conference and NCAA rules.⁷ The University does and will maintain appropriate and adequate compliance staffing in order to maintain a compliant environment. In addition to the five full-time employees devoted exclusively to compliance issues, compliance is considered to be a shared responsibility at the University. That is, other athletics department personnel, the

⁶ Coaches are instructed at yearly coaches meetings not to contact campus instructional faculty or staff. See Appendix 9, Coaches Handbook, pg. 7 ("Academic Services will make all contact with professors."); see also, Appendix 6, University of Wisconsin, Division of Intercollegiate Athletics, Compliance Education for New Staff ("Compliance Education") (As part of the hiring process, all coaches are told to utilize the resources available and warned not to "directly contact individuals from the Registrar, Housing, Admissions, Big Ten, etc.").

⁷ For a detailed review of the steps the University takes to detect and prevent such breaches, see Appendix 4, Self Study, pgs. 17-23; see also Appendix 5, SA Handbook, pgs. 39-54 ("Big Ten/NCAA Rules & Regulations"); see also Appendix 6, Compliance Education; see also Appendix 7, Compliance Manual, The University of Wisconsin Division of Intercollegiate Athletics ("Compliance Manual").

Faculty Athletics Representative, and the head coaches and administrators outside of the athletic department who are responsible for the certification of student-athletes for financial aid and practice and competition eligibility, are all expected to assume a primary role in ensuring compliance with all rules.⁸

Prevention of Improper Influence

In order to ensure that athletic compliance staff members are free from potential improper influence, coaches shall not have direct responsibility for, or exercise undue or improper influence over, the hiring or supervision of any member of the athletic compliance staff. Further, coaches shall not attempt to influence inappropriately any member of the athletic compliance staff in any way. While a coach may have input or be otherwise involved in the hiring or evaluation of the performance of an athletic compliance staff member, the ultimate authority for hiring and supervising athletic compliance staff will reside with the Associate Athletic Director for Compliance. Communication between the athletics department staff and such compliance constituents as the Registrar's Office, the Student Financial Services Office, Big Ten and NCAA regarding the application of NCAA or Big Ten rules shall be conducted through the Associate Athletic Director for Compliance or staff members of the Office of Compliance.

In addition to reporting to the Athletic Director, the Associate Athletic Director for Compliance reports monthly, for oversight purposes, to the Chair of the Athletic Board, (which is chaired by the Faculty Academics Representative), regarding institutional, Big Ten and NCAA compliance. The Assistant Athletic Director for Compliance shall also make available any information or reports that may be requested by the Vice Chancellor for Legal Affairs.

3. Admissions

Admission Decisions

The final decision-making authority for the admission of potential student-athletes resides in the same office that admits other undergraduate applicants to the University, the Office of Admissions and Recruitment ("Admissions"). Student-athletes progress through the admissions process in the same manner as other applicants.⁹ Admissions utilizes a holistic, competitive, and selective review process. The goal is to recruit, admit, and enroll a high quality and diverse freshman class. Admissions seeks students from groups that are underrepresented at the University, including not only ethnic minorities, but international students, those from rural Wisconsin farm backgrounds, first-generation college students, women and men who have

⁸ For more information regarding this shared responsibility, see Appendix 6, Compliance Education; see also Appendix 7, Compliance Manual pgs. 6 ("Institutional Control") and 7 ("Compliance Philosophy").

⁹ For more information regarding admission of potential student-athletes, see Appendix 3, OAS Handbook, pgs. 46-63 ("Admissions"); see also Appendix 8, "Requirements and Expectations" document created and maintained by the Office of Admissions; see also Appendix 9, Coaches Handbook pgs. 40-41 ("Admissions Information").

served our country in the armed forces, and women interested in STEM (science, technology, engineering, or math) majors. Admissions seeks students with special talent in music, dance, art, athletics, or other areas of the University community.

Prevention of Improper Influence

In order to prevent the potential for improper influence, communication between athletics department staff, and either Admissions or Admissions personnel from individual schools and colleges regarding prospective student-athletes, shall be conducted through the Associate Athletic Director for Student Services or the staff members of the Office of Academic Services.¹⁰

4. Student Discipline and Codes of Conduct

University and Athletic Department Discipline

Under the authority of the University's Division of Student Life, student-athletes shall be subject to general disciplinary rules and codes of conduct applicable to other students at the University, and shall be subject to the same procedures and sanctions.¹¹ In addition to, and independent from the process managed by the Division of Student Life, student-athletes are further subject to the Student-Athlete Discipline Policy and to individual team rules.¹²

Prevention of Improper Influence

In order to prevent the potential for improper influence, communication between athletics department staff and the Division of Student Life regarding student-athletes shall be conducted through the Associate Athletic Director for Student Services or the staff members of the Office of Academic Services.¹³

¹⁰ Coaches are instructed at yearly coaches meetings not to contact Admissions. See Appendix 9, Coaches Handbook, pg. 7 ("Academic Services will make all contact with the Office of Admissions."); see also, Appendix 6, Compliance Education (As part of the hiring process, all coaches are told to utilize the resources available and warned not to "directly contact individuals from the Registrar, Housing, Admissions, Big Ten, etc.").

¹¹ For more information regarding the application of general disciplinary rules of the University to student athletes, see Appendix 5, SA Handbook pgs. 60 ("Academic Misconduct Guide for Students"), and 64 ("Institutional Discipline" and "Violations of University Regulations").

¹² For a detailed explanation of the Student Athlete Discipline Policy, see SA Handbook, pgs. 67-71 ("Athletic Department Rules and Policies") and pg. 72 ("Violations of Team Rules").

¹³ Coaches are instructed at yearly coaches meetings not to contact the University's Division of Student Life. See Appendix 6, Compliance Education (As part of the hiring process, all coaches are told to utilize the resources available and warned not to "directly contact individuals from the Registrar, Housing, Admissions, Big Ten, etc.").

5. Medical and Athletic Training Services

Medical Decisions

The University places priority on a student-athlete's health over other considerations.¹⁴ The personal and academic well-being of every student-athlete is the primary concern of the University and the Athletic Board. Health-related policies, procedures and protocols, including those related to student-athlete concussions,¹⁵ will be followed.

Medical Services to student-athletes at the University are offered in a medical model of care under which the diagnosis and treatment of student-athletes is the ultimate responsibility of the physicians employed by the University of Wisconsin School of Medicine and Public Health, administratively managed by its Division of Sports Medicine in the Department of Orthopedics and Rehabilitation. The Athletic Department's licensed athletic trainers practice under the supervision of these physicians and the Assistant Athletic Director for Sports Medicine. Each Head Team Physician is appointed by the Chair of the Department of Orthopedics and Rehabilitation. The Head Team Physician and the Assistant Athletic Director for Sports Medicine assume joint responsibility for quality assurance and the monitoring of student-athlete care on an ongoing basis. A Medical Advisory Committee reviews and advises policies and procedures related to the delivery of medical services to student-athletes. The committee consists of the Chair of the Division of Sports Medicine, the Head Team Physician, Athletic Department administrators, a representative from UW Hospital and Clinics and two members of the Athletic Board.

Prevention of Improper Influence

The final decision regarding student-athlete participation, in practice or competition, resides with the medical and athletic training staff.¹⁶ In order to ensure that medical and athletic training staff are able to exercise their best professional judgment in caring for student-athletes, coaches shall not have direct responsibility for, or exercise undue or improper influence over, the hiring or supervision of any member of the medical or athletic training staff who works with the coach's own team. Further, coaches shall not attempt to influence

¹⁴ See Appendix 5, SA Handbook, pg. 79 ("Sports Medicine Policy" and "Pregnancy Policy"); see also Appendix 10, Competition and Practice Injury Management Plan; see also Appendix 11, Concussion Management Plan.

¹⁵ See Appendix 10, Competition and Practice Injury Management Plan; see also Appendix 11, Concussion Management Plan

¹⁶ Coaches' employment contracts each state that "[c]oach hereby understands and agrees that the final decision regarding student-athlete participation in organized practices and/or competitions shall be made by the Division's medical and training room staff," and that "[t]his provision is essential to this Employment Agreement and violation thereof may be considered just cause for termination."

inappropriately any member of the medical or athletic training staff regarding the medical treatment of a student athlete.

6. Cost of Attendance

Determination of Cost of Attendance

The process for determining the cost of attendance for student-athletes shall be essentially the same process utilized for all students. Cost of attendance is determined by the Office of Student Financial Aid.¹⁷

Prevention of Improper Influence

Coaches shall not attempt to inappropriately influence any member of the Financial Aid staff in order to adjust cost of attendance values to better serve recruiting or other athletically-related interests.¹⁸ In order to prevent the potential for improper influence, communication between athletics department staff, and the Office of Student Financial Aid, shall be conducted through the Associate Athletic Director for Compliance. The Director of Financial Aid should notify the Chancellor or designee of any inappropriate communication relating to financial aid policy received from any booster or official of the University of staff member in the Athletics Department.

Concern Reporting

The University recognizes that ensuring compliance with the six areas of operational standards set forth above necessarily requires that individuals have the ability to report concerns, free from fear of retaliation, regarding whether these standards are being followed. Individuals can and should report any concerns they have in relation to these standards to the Athletic Director, the Associate Athletic Director for Compliance, Compliance staff or the Faculty Athletics Representative, with the knowledge that no retaliation will occur as a result of voicing such concerns. Indeed, the University encourages individuals to report any concerns they may have.

¹⁷ For the current breakdown of University Cost of Attendance, see Appendix 12, Office of Student Financial Aid, Undergraduate Cost of Attendance, 2015-16.

¹⁸ Coaches are instructed at yearly coaches meetings not to contact the University's Office of Student Financial Aid. See Appendix 6, Compliance Education (As part of the hiring process, all coaches are told to utilize the resources available and warned not to "directly contact individuals from the Registrar, Housing, Admissions, Big Ten, etc.")

Ongoing Obligations

1. Annual Review

The University will conduct an annual internal review of the effectiveness of these Conference Standards.

2. Reporting Requirements

Following the internal annual review, the University will report to the Big Ten Conference Office on how it is achieving compliance with the Conference Standards, and how it is taking action to avoid governance-related problems in athletics. Within this report, the University will describe to the Big Ten Conference Office any violation of the Conference Standards.¹⁹ The University will report to the Big Ten Conference Office any revisions to this document, or any material revisions to any incorporated Appendix, within thirty days of the adoption of any such revision.

¹⁹ Within this requirement, it is understood that identifying and addressing violations in a timely manner is a characteristic of a properly functioning compliance system—indicative of a system that is working as opposed to a system that is malfunctioning. Accordingly, violations of these standards that are properly identified and addressed in a timely manner are to be accounted for in the annual report within the context of demonstrating the effectiveness of the standards. By contrast, violations that are indicative of systemic failures of the standards (e.g., system malfunctions) are to be reported to the conference office within a timeframe that is contemporaneous to the time at which such failures are discovered.

Appendix B

THE BIG TEN CONFERENCE STANDARDS FOR SAFEGUARDING INSTITUTIONAL GOVERNANCE OF INTERCOLLEGIATE ATHLETICS

Introduction

The Big Ten Conference's history demonstrates that high-level athletic programs can be successfully maintained at top research universities and advance the mission of such universities. Incorporating athletic competition within the broader framework of higher education also presents challenges, however, particularly given the visibility of intercollegiate athletics and the passion it engenders. Good governance of athletics within the structure of each Big Ten Conference Member Institution is essential to manage these challenges. The public's trust in a Member Institution and the value of its intercollegiate athletics program are eroded when the Institution fails to implement sound governance principles applicable to its athletics programs, principles that are reflective of those applied to its academic programs and consistent with the values of higher education.

While not alone in this regard, Big Ten Member Institutions have experienced lapses in achieving sound governance of their athletic programs. All Member Institutions are affected when any Member Institution fails to maintain proper control over its intercollegiate athletics programs. Recognizing this, the Big Ten Council of Presidents/Chancellors (COPC) directed the Conference "to initiate an immediate review of the fundamental issues and systems affecting intercollegiate athletics, including the serious issues relating to control of athletics."

Institutions that affiliate in an athletics conference should have common values and common objectives. The Conference's attention to maintaining institutional integrity and earning public trust predates the activities of the NCAA, which did not begin in earnest until the 1960's. Members of the Big Ten Conference have traditionally come together to deal with important matters of common concern. For example, in 1972, the Conference formed an Advisory Commission on the Integration of African-American Athletes to advance their opportunities for participation in intercollegiate athletics at Member Institutions. In 1992, the Conference was a national leader in adopting measures and making concerted efforts to advance gender equity of student-athletes at the Member Institutions. The Conference has had a long history of establishing academic standards governing eligibility of student-athletes, and the Conference office and the Member Institutions have regularly interacted in a constructive manner to improve practices at Member Institutions, especially those involving academic and compliance matters. Conference attention to the integrity of the governance of athletic programs is clearly consistent with this tradition.

The Risks Associated with Failure to Implement Good Governance Policies

To be successful in their objectives, Member Institutions depend in large measure on public trust and confidence. The failure effectively to govern any part or program of the Institution, including athletics, undermines public trust and confidence in the Institution.

Because of the high level of public interest in Big Ten sports, the risks of departures from good governance procedures in athletics are significant, and the impact of such departures on public trust and confidence may prove to be severe.

The loss of public trust in a Member Institution because of governance problems affecting its athletics programs has many negative consequences:

- the reputations of the individual Member Institution and all other Member Institutions in the Conference are damaged;
- injury to reputation reduces support for athletics and other programs among the Member Institution's various constituencies (faculty, staff, students, alumni, donors, fans, legislators, and the general public);
- lack of public confidence invites outside intervention in the Member Institution's affairs;
- student-athletes may lose opportunities for lessons in teamwork, effort, fair play, and the pursuit of excellence because of program-related sanctions;
- the Member Institution may suffer financial losses and additional costs when it is difficult for the Institution to absorb them.

The visibility, competitiveness, and passion associated with intercollegiate athletics combine to create a high-risk, high-reward environment which places great pressures on good governance procedures. At Member Institutions, athletics departments are expected to produce revenue streams through successful sports programs that will be sufficient to fund broad-based athletic programs without additional institutional support. Fans and boosters have high expectations and higher hopes, coaches and administrators seek the job security winning programs provide, the extraordinary popularity of athletics grows every year, and the financial consequences of success and failure are very high, both individually and institutionally. Fans or boosters, in pursuit of personal agendas or through a misplaced desire to "help" their favorite programs, are drawn to interact with coaches and student-athletes in ways that may circumvent ordinary procedures or violate the rules governing athletics. Some participants, by their celebrity status, obtain concentrated power far beyond that held by other employees or students. Successful coaches, major donors, and other persons of influence can seek to circumvent normal lines of authority and to exercise undue and improper influence over the actual responsible or accountable decision-makers. All of these problems are exacerbated if authority over athletic decision-making is unclear or is not formalized in official institutional policies.

For all of these reasons, the COPC proposes to address collectively the issues of integrity in intercollegiate athletics by encouraging the adoption by Member Institutions of clearer governance standards and to engage the Conference office to assist Member Institutions in implementing these standards.

Basic Principles

The Big Ten Conference Standards for Safeguarding Institutional Governance of Intercollegiate Athletics (Conference Standards) conform to the following basic principles:

1. Conference policies for governance of athletics should reflect a common commitment to integrity and good governance practice while recognizing the autonomy of the Member Institutions in fashioning their own organizational structures and allocating authority, responsibility, and accountability to their own officials.
2. Each Member Institution should have a set of governance standards that clearly define the authority over, and responsibility and accountability for, the governance of its athletic programs. Each Member Institution should be expected to comply fully with its own standards.
3. Intercollegiate athletics is an integral part of each Member Institution. Accordingly, athletic governance should be consistent with, and not independent from, the governance applicable to other university units and programs. For example, each Member Institution in the Big Ten provides that the President or Chancellor of the Institution is the chief executive officer and is responsible and accountable for the general administration of the Institution, subject to the general oversight of a Governing Board or a Systems Administration or both. Absent specific policies to the contrary, the President or Chancellor should, therefore, be the responsible and accountable officer for decisions made with respect to athletics.

Organizational Governance Standards

Each Member Institution shall have written standards relating to the allocation of authority, responsibility, and accountability for intercollegiate athletics at its Institution. These standards shall prescribe the governance structure for athletics at the Member Institution. It is anticipated that, at a minimum, the standards shall:

1. a. Provide, expressly or by general description, that the President or Chancellor, subject to the general oversight of the Governing Board or Systems Administration or both, and working within the constructs of the principles of shared governance held by each Member Institution, has ultimate authority, responsibility, and accountability for the administration of intercollegiate athletics, and
b. State any exceptions to this authority, responsibility, or accountability.
2. a. Provide, expressly or by general description, that the President or Chancellor has delegated authority, responsibility, and accountability for the administration of the Athletics Department to the Athletics Director, and
b. State any exceptions to that delegation.

3. State the role and responsibility of any other institutional officer, board, or committee with responsibility for issues relating to intercollegiate athletics, including those officers, boards, or committees who or which play an advisory role to the Athletics Director or to the President or Chancellor relating to intercollegiate athletics.
4. Establish procedures to implement the expectation that those with authority and responsibility to govern the athletic programs of the Member Institution do so without improper influence from others within or outside the Institution.

Operational Standards for Athletics

The integrity of the governance of a Member Institution's intercollegiate athletics program is threatened when improper influence is brought to bear on Member Institution officials to make a decision that is not in the best interest of the Institution or, in more egregious cases, that violates the Institution's, the Conference's, or the NCAA's rules. Certainly, the line between providing advice or appropriate advocacy on the one hand and undue or improper influence on the other is not a bright one, but, at one time or another, that line has been crossed at various Member Institutions. Operational standards that address the exercise of improper influence on important decision-making affecting athletic programs at each Member Institution should reduce the risk that such situations will recur.

Each Member Institution shall, therefore, have written standards with respect to the operation of its Athletics Department and units within the Institution that interact with its Athletics Department. The purpose of these operational standards shall, at a minimum, be to:

1. Assure that the unit that provides academic support services for student-athletes operates without undue influence by Athletics Department staff, including coaching staff. Each Institution's operational standards shall, therefore, be designed to:
 - a. Prevent coaches from: (i) having direct responsibility for, or exercising undue or improper influence over, the hiring or supervision of any member of the academic support staff, and (ii) attempting to influence inappropriately any member of the academic support staff or any faculty member in order to obtain or maintain the academic eligibility of a student-athlete.
 - b. Detect and prevent (i) academic fraud and misconduct, and (ii) abusive use of independent study or clustering of student-athletes in particular courses or majors.
 - c. Provide student-athletes with academic support and counseling that is adequate and appropriate for their progress toward a degree and graduation.
 - d. Route communications between Athletics Department staff and faculty regarding student-athletes' performance in classes through the Director of Academic Support Services or his/her designee(s) or through the FAR.

In addition, good practice suggests that the Director of Academic Support Services should report to an academic administrator outside the Athletics Department, such as the Provost or FAR, either exclusively or as a dual report to that administrator and the Athletics Director.

-
2. Assure that the units that enforce compliance with the rules and regulations of the Member Institution, Conference, and NCAA have sufficient independence from athletics staff to meet their responsibilities. Each Institution's operational standards shall, therefore, be designed to:
 - a. Prevent coaches from (i) having direct responsibility for, or exercising undue or improper influence over, the hiring or supervision of any member of the athletics compliance staff, and (ii) attempting to influence inappropriately any member of the athletics compliance staff.
 - b. Detect and prevent breaches of the Institution's, the Conference's, and the NCAA's rules.
 - c. Provide adequate and appropriate athletics compliance staffing for the Institution.

In addition, good practice suggests that, for oversight purposes, the Director of Compliance should report to an administrator outside the Athletics Department, such as the Campus Compliance/Integrity Officer, General Counsel, or FAR, either exclusively or as a dual report to that administrator and the Athletics Director.

3. Assure that the admission process for student-athletes is essentially the same as that for other applicants with special talents. Each Institution's operational standards shall, therefore, be designed to:
 - a. Place final decision-making authority for the admission of student-athletes in the same office that admits other undergraduate applicants to the Member Institution.
 - b. Route all communications regarding prospective student-athletes between Athletics Department staff and the admissions office through the Athletics Director or his/her designee(s).

In addition, good practice suggests that the Director of Admissions should notify the President or Chancellor or his/her designee(s) of any inappropriate communication relating to the admission of a prospective student-athlete received from any booster or official of the Member Institution or from any staff member in the Athletics Department.

4. Assure that student-athletes are subject to general disciplinary rules and codes of conduct applicable to other students at the Member Institution. Each Institution's operational standards shall, therefore, be designed to:
 - a. Apply such rules and codes, including the same procedures and sanctions, as well as any Athletics Department policies applicable specifically to student-athletes and any team rules, to student-athletes.
 - b. Route communications regarding student-athletes between Athletics Department staff and student disciplinary staff through the Athletics Director or

his/her designee(s).

In addition, good practice suggests that the Dean of Students or his/her designee should notify the President or Chancellor or his/her designee(s) of any inappropriate communication on behalf of a student-athlete in connection with a disciplinary decision, especially if that communication is from a booster or official of the Member Institution or from any staff member in the Athletics Department.

5. Assure that the medical and athletic training staff who provide medical services to student-athletes are able to exercise their best professional judgment in caring for student-athletes. Each Institution's operational standards shall, therefore, be designed to:
 - a. Prevent coaches from (i) having direct responsibility for, or exercising undue or improper influence over, the hiring or supervision of any member of the medical or athletic training staff who works with the coach's own team, and (ii) attempting to influence inappropriately any member of the medical or athletic training staff regarding the medical treatment of a student-athlete.
 - b. Allow for effective implementation of and adherence to institutional policies, procedures, and/or protocols regarding student-athlete concussions.
 - c. Place priority on the student-athlete's health over other considerations.

In addition, good practice suggests that the Director of Sports Medicine Services should report to an academic or medical administrator outside the Athletics Department, either exclusively or as a dual report to the administrator and the Athletics Director.

6. Assure that the process for determining an institution's cost of attendance for student-athletes is essentially the same as that for all students, and that the unit that determines an institution's cost of attendance values for financial aid purposes operates without undue influence by Athletics Department staff, including coaching staff. Each Institution's operational standards shall, therefore, be designed to:
 - a. Prevent coaches from attempting to influence inappropriately any member of the financial aid staff in order to adjust an institution's cost of attendance values to better serve recruiting or other athletically related interests.
 - b. Route all communications regarding financial aid between Athletics Department staff and the financial aid office through the Athletics Director or his/her designee(s).

In addition, good practice suggests that the Director of Financial Aid should notify the President or Chancellor or his/her designee(s) of any inappropriate communication relating to financial aid policy received from any booster or official of the Member Institution or from any staff member in the Athletics Department.

Enforcement of the Policies

The Member Institutions recognize that the integrity of the governance of intercollegiate athletics is important for the Conference as well as for intercollegiate athletics generally and that failures of one Member Institution affect the reputation of all Member Institutions. Accordingly, the Member Institutions agree to the following relating to enforcement of these Conference Standards:

1. **Annual Review - Members.** Each Member Institution will conduct an annual internal review of the effectiveness of the standards it has implemented in fulfillment of these Conference Standards.
2. **Reports to/by Conference.**
 - a. After conducting its internal review, each Member Institution will report annually to the Conference on how it is achieving compliance with these Conference Standards and taking action to avoid governance-related problems in athletics. It will attach to that report copies of the standards it has implemented in fulfillment of these Conference Standards. Each Member Institution will also submit copies of any revisions to its standards to the Conference within thirty (30) days after their adoption.
 - b. After reviewing the reports from the Member Institutions, the Conference will prepare a summary in which it will draw on the reports to provide information to the Member Institutions that will assist them in complying with these Conference Standards. The Conference will submit the summary to the COPC for review and discussion at a COPC meeting. The Conference summary may include recommendations for changes in the Conference Standards for consideration by the COPC.
3. **Compliance.**
 - a. Each Member Institution will comply with the standards it has implemented in fulfillment of these Conference Standards.
 - b. If the Conference receives a report or allegation that a Member Institution is not in compliance with these Conference Standards, (i) it shall so advise the Member Institution and require that it file a detailed response to the report or allegation, including means to achieve compliance if the Member Institution determines that the report or allegation is, in one or more respects, accurate; and (ii) it may conduct its own investigation of the report or allegation. The Member Institution will cooperate with the Conference's investigation.
 - c. Each Member Institution will report to the Conference any violation of the standards it has implemented in fulfillment of these Conference Standards.

4. Enforcement.

- a. **Informal Actions.** The purpose of these Conference Standards is to enhance the integrity of the governance of intercollegiate athletics among the Member Institutions. In the event a Member Institution does not achieve complete compliance with these Conference Standards, the initial response should be that the Conference and the Member Institution engage in constructive conversations whose goal is greater compliance with these Conference Standards by the Member Institution.
- b. **Formal Actions.** In cases where a Member Institution persistently fails to comply with these Conference Standards, the Commissioner may recommend corrective action to the COPC. The COPC may request information from the Member Institution, which the Member Institution will provide, and the Commissioner, may, if so instructed by the COPC, conduct a more formal hearing on the matter. The COPC may adopt the Commissioner's recommendation or any other corrective action designed to enhance the Member Institution's compliance with these Conference Standards. Such corrective action may include:
 - i. Financial penalties, including a reduction of Conference distributions.
 - ii. Probation, under terms that reasonably relate to correcting the failure to comply.
 - iii. Suspension from participation in a particular sport, or from membership in general, for a stated period of time.
 - iv. Expulsion from membership.

The Big Ten Conference is a voluntary association of Member Institutions. The Conference Bylaws provide that suspension of membership, expulsion from the Conference, or placement on probation each requires a vote of at least 70% of the Membership. It is extraordinarily unlikely that a Member Institution would be expelled unless the failure to comply with these standards was so persistent and serious that it indicated the Member Institution no longer subscribes to the common values and objectives of the Conference and the other Member Institutions.

5. Effective Date

These Conference Standards were approved by the COPC on June 1, 2014 effective for the Conference and all Member Institutions on August 1, 2015. Between the approval date and the effective date, the Conference will assist each Member Institution in preparing to comply fully with the Conference Standards as of the effective date.

2017-18 Wisconsin Athletics Budget Report

	2017-18	
	Budget	Actual
REVENUE		
Concessions & Catering	\$4,620,000	\$5,230,593
Conference Distributions	\$51,216,000	\$51,608,036
Events	\$3,975,500	\$4,202,342
Gift Funds	\$22,080,000	\$15,875,000
Multi Media	\$18,550,000	\$19,752,377
Other Revenue	\$10,727,600	\$11,544,416
Post Season	\$2,600,000	\$2,543,226
Ticket Sales	\$29,780,000	\$31,409,722
Transfers to Institution	(\$10,954,500)	(\$8,537,834)
TOTAL REVENUE	\$132,594,600	\$133,627,878
EXPENSE		
All Salaries & Fringe Benefits	\$53,020,247	\$53,984,031
Capital Projects	\$11,365,000	\$11,462,929
Debt Service	\$10,672,100	\$10,451,768
Financial Aid	\$9,550,000	\$10,854,892
Operational Expenses	\$44,834,276	\$43,976,817
Post Season Participation	\$3,000,000	\$2,625,607
TOTAL EXPENSE	\$132,441,623	\$133,356,044
NET SURPLUS/(DEFICIT)	\$152,977	\$271,834

There is no deficit from 2017-18 operations

Ending Cash Balance \$2,224,809
(Unrestricted Fund Balance)

Appendix D

2017-18 University of Wisconsin Athletics Department Debt Balances & Payments Report

<u>Project</u>	<u>Outstanding Debt, as of 6/30/18</u>	<u>Debt Service Payment FY '18</u>
Kohl Center	0	63,869
Goodman Softball Complex	49,423	54,783
Camp Randall Stadium Renovation	33,841,539	6,402,599
Crew House	2,342,390	478,309
Nielsen Tennis Stadium Floor	2,654	980
University Ridge Golf Course	101,744	13,478
Hockey/Swimming Facility	7,691,225	555,023
Student Athlete Performance Center	46,642,538	<u>2,882,617</u>
Total Debt Service - GO Bonds	90,671,513	10,451,768

NCAA Division I 2016 - 2017 Academic Progress Rate Institutional Report

Institution: University of Wisconsin, Madison

Date of Report: 04/30/2018

This report is based on NCAA Division I Academic Progress Rate (APR) data submitted by the institution for the 2013-14, 2014-15, 2015-16 and 2016-17 academic years.

*[Note: All information contained in this report is for four academic years. Some squads may still have small sample sizes within certain sport groups. In accordance with the Family Educational Rights and Privacy Act's (FERPA's) interpretation of federal privacy regulations, data cells containing three or fewer student-athletes have been suppressed and are indicated by an * symbol. The information in this report does not reflect any changes to data made after this date.]*

The following chart represents by-sport APR averages for noted subgroups. National aggregates are based on all squads that have certified their academic data as final.

Sport (N)	Multiyear APR	2016-2017 APR	Percentile Rank within Sport	Percentile Rank within All Sports	By Sport - Men's						Football Championship Subdivision	Division I (Non-Football)
					All Division I	P u b l i c Institutions	Private Institutions	Football Subdivision	Bowl Subdivision	Football Subdivision		
Men's Basketball (348)	985	1,000	80th-90th	40th-50th	967	964	973	969	963	969		969
Men's Cross Country (312)	973	952	20th-30th	20th-30th	981	977	989	986	975	982		982
Football (250)	986	978	90th-100th	40th-50th	964	962	972	968	961	NA		NA
Men's Golf (296)	971	1,000	10th-20th	20th-30th	986	984	990	986	985	985		985
Men's Ice Hockey (60)	977	991	20th-30th	30th-40th	987	984	990	987	994	983		983

¹ Specific information on the playing and practice season penalty may be located in the APP General Summary document located on the Reports tab within the APP data collection portal.

² The team is also subject to a penalty that was previously conditionally waived; however, the team failed to meet the condition and the penalty must now be imposed.

³ Denotes that team is not subject to ineligibility for postseason competition based on institutional, athletics and student resources and the team's Graduation Success Rate.

⁴ Denotes that team is not subject to ineligibility for postseason competition due to the team's demonstrated academic improvement.

⁵ The team's Level One penalty has been waived.

⁶ The team's Level Two penalty has been waived.

⁷ The team is subject to a penalty that was previously conditionally waived; however, the team failed to meet the condition and the penalty must now be imposed.

⁸ The team's Postseason ineligibility has been waived.

⁹ The team's penalty waiver request is pending.

¹⁰ Denotes that team's APR data is under review.

NCAA Division I 2016 - 2017 Academic Progress Rate Institutional Report

Institution: University of Wisconsin, Madison

Date of Report: 04/30/2018

Sport (N)	Multiyear APR	2016 - 2017 APR	Percentile Rank within Sport	Percentile Rank within All Sports	All Division I	P u b l i c Institutions	Private Institutions	Football Subdivision	Bowl Subdivision	Football Championship Subdivision	Division I (Non-Football)
Men's Soccer (204)	1,000	1,000	90th-100th	80th-90th	978	974	982	976		982	977
Men's Swimming and Diving (130)	989	1,000	60th-70th	50th-60th	981	980	982	983		986	975
Men's Tennis (253)	1,000	1,000	90th-100th	80th-90th	982	980	985	983		980	982
Men's Track (282)	968	944	30th-40th	10th-20th	973	968	984	976		968	977
Men's Wrestling (74)	988	1,000	80th-90th	50th-60th	975	973	979	977		974	976
By Sport - Women's											
Women's Basketball (346)	996	1,000	80th-90th	70th-80th	982	980	987	984		980	983
Women's Cross Country (345)	996	1,000	60th-70th	70th-80th	989	988	992	992		985	990
Women's Rowing (87)	992	1,000	50th-60th	60th-70th	989	987	991	988		992	987
Women's Golf (265)	1,000	1,000	90th-100th	80th-90th	990	990	992	993		988	989
Women's Ice Hockey (35)	985	948	10th-20th	40th-50th	992	989	993	992		996	990

¹ Specific information on the playing and practice season penalty may be located in the APP General Summary document located on the Reports tab within the APP data collection portal.

² The team is also subject to a penalty that was previously conditionally waived; however, the team failed to meet the condition and the penalty must now be imposed.

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NCAA Division I 2016 - 2017 Academic Progress Rate Institutional Report

Institution: University of Wisconsin, Madison

Date of Report: 04/30/2018

Sport (N)	Multiyear APR	2016 - 2017 APR	Percentile Rank within Sport	Percentile Rank within All Sports	All Division I	P u b l i c Institutions	Private Institutions	Football Subdivision	Bowl Subdivision	Football Championship Subdivision	Division I (Non-Football)
Softball (291)	996	1,000	70th-80th	70th-80th	985	983	991	987		984	986
Women's Soccer (332)	987	1,000	30th-40th	50th-60th	988	986	992	989		985	988
Women's Swimming and Diving (193)	992	1,000	40th-50th	60th-70th	992	991	994	992		993	991
Women's Tennis (314)	993	1,000	50th-60th	60th-70th	989	989	991	991		989	988
Women's Track (334)	987	984	50th-60th	50th-60th	983	980	989	986		978	986
Women's Volleyball (331)	995	1,000	60th-70th	70th-80th	988	986	991	991		986	986
By Sport - Co-Ed											

- ¹ Specific information on the playing and practice season penalty may be located in the APP General Summary document located on the Reports tab within the APP data collection portal.
- ² The team is also subject to a penalty that was previously conditionally waived; however, the team failed to meet the condition and the penalty must now be imposed.
- ³ Denotes that team is not subject to ineligibility for postseason competition based on institutional, athletics and student resources and the team's Graduation Success Rate.
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- ⁵ The team's Level One penalty has been waived.
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- ⁷ The team is subject to a penalty that was previously conditionally waived; however, the team failed to meet the condition and the penalty must now be imposed.
- ⁸ The team's Postseason ineligibility has been waived.
- ⁹ The team's penalty waiver request is pending.
- ¹⁰ Denotes that team's APR data is under review.

Appendix F

Graduation Success Rate Report

2008 - 2011 Cohorts: University of Wisconsin, Madison

Men's Sports			Women's Sports		
Sport	GSR	Fed Rate	Sport	GSR	Fed Rate
Baseball	-	-	Basketball	100	62
Basketball	91	71	Bowling	-	-
CC/Track	88	74	CC/Track	91	74
Fencing	-	-	Crew/Rowing	94	71
Football	86	72	Fencing	-	-
Golf	100	56	Field Hockey	-	-
Gymnastics	-	-	Golf	100	86
Ice Hockey	73	53	Gymnastics	-	-
Lacrosse	-	-	W. Ice Hockey	100	83
Mixed Rifle	-	-	Lacrosse	-	-
Skiing	-	-	Skiing	-	-
Soccer	94	63	Soccer	92	83
Swimming	86	62	Softball	83	82
Tennis	67	75	Swimming	100	73
Volleyball	-	-	Tennis	100	43
Water Polo	-	-	Volleyball	100	92
Wrestling	86	73	Water Polo	-	-
Men's Non-NCAA Sponsor. Sports	-	-	Women's Non-NCAA Sponsor. Sports	-	-

Appendix G

UW Student-Athlete Majors vs. UW Student Body Majors (as of 6/1/18)

Major	# S-A Majors*	S-A %	# UW Majors*	UW %
Agricultural & Applied Economics	3	0.37%	56	0.17%
Agricultural Business Management	10	1.23%	72	0.21%
Agronomy	2	0.25%	22	0.07%
Animal Sciences	4	0.49%	149	0.44%
Anthropology	1	0.12%	89	0.26%
Applied Mathematics, Engineering & Physics	1	0.12%	64	0.19%
Art	2	0.25%	206	0.61%
Astronomy-Physics	1	0.12%	68	0.20%
Biochemistry	7	0.86%	595	1.77%
Biological Systems Engineering	4	0.49%	215	0.64%
Biology	18	2.22%	1,281	3.81%
Biomedical Engineering	9	1.11%	557	1.66%
Bus: Accounting	3	0.37%	424	1.26%
Bus: Actuarial Science	2	0.25%	213	0.63%
Bus: Finance, Investment & Banking	30	3.70%	1,041	3.09%
Bus: Management & Human Resources	7	0.86%	401	1.19%
Bus: Marketing	4	0.49%	673	2.00%
Bus: Operations & Technology Management	2	0.25%	119	0.35%
Bus: Real Estate & Urban Land Economics	9	1.11%	378	1.12%
Bus: Risk Management & Insurance	5	0.62%	274	0.81%
Chemical Engineering	2	0.25%	518	1.54%
Chemistry	3	0.37%	216	0.64%
Civil Engineering	13	1.60%	427	1.27%
Classical Humanities	8	0.99%	32	0.09%
Communication Arts	29	3.58%	703	2.09%
Communication Sciences & Disorders	4	0.49%	208	0.62%
Community & Environmental Sociology	2	0.25%	69	0.20%
Community & Nonprofit Leadership	12	1.48%	146	0.43%
Computer Engineering	2	0.25%	364	1.08%
Computer Sciences	9	1.11%	1,576	4.68%
Conservation Biology	1	0.12%	123	0.36%
Economics	41	5.06%	1,158	3.44%
Educational Leadership MS**	5	0.62%	-	-
Electrical Engineering	4	0.49%	415	1.23%
Elementary Education	5	0.62%	347	1.03%
Engineering Mechanics	2	0.25%	205	0.61%
English	2	0.25%	345	1.02%
Environment and Resources MS**	1	0.12%	-	-
Environmental Sciences	3	0.37%	161	0.48%
Environmental Studies	8	0.99%	261	0.78%
Food Science	2	0.25%	122	0.36%
French	2	0.25%	92	0.27%
Genetics & Genomics	4	0.49%	305	0.91%
Geography	3	0.37%	82	0.24%
Geological Engineering	2	0.25%	101	0.30%

Major	# S-A Majors*	S-A %	# UW Majors*	UW %
Geology & Geophysics	2	0.25%	126	0.37%
History	12	1.48%	349	1.04%
Human Development & Family Studies	17	2.10%	366	1.09%
Human Ecology MS**	1	0.12%	-	-
Industrial Engineering	1	0.12%	386	1.15%
Interior Architecture	2	0.25%	139	0.41%
Italian	1	0.12%	23	0.07%
Journalism	6	0.74%	511	1.52%
Kinesiology	37	4.57%	480	1.43%
Law**	1	0.12%	-	-
Legal Studies	4	0.49%	213	0.63%
Life Sciences Communication	42	5.18%	142	0.42%
Mathematics	3	0.37%	567	1.68%
Mechanical Engineering	8	0.99%	802	2.38%
Microbiology	5	0.62%	249	0.74%
Molecular Biology	1	0.12%	98	0.29%
Neurobiology	12	1.48%	561	1.67%
Nuclear Engineering	3	0.37%	91	0.27%
Nuclear Engineering & Engineering Physics MS**	1	0.12%	-	-
Nursing	12	1.48%	59	0.17%
Nutritional Sciences	6	0.74%	232	0.69%
Personal Finance	44	5.43%	266	0.79%
Philosophy	1	0.12%	118	0.35%
Physical Education	6	0.74%	31	0.09%
Physics	2	0.25%	146	0.43%
Political Science	13	1.60%	848	2.52%
Psychology	14	1.73%	1,149	3.42%
Rehabilitation Psychology	11	1.36%	223	0.66%
Retailing & Consumer Behavior	26	3.21%	376	1.12%
Russian	1	0.12%	24	0.07%
Scandinavian Studies	1	0.12%	22	0.07%
Social Welfare	1	0.12%	137	0.41%
Social Work	1	0.12%	36	0.11%
Social Work MS**	1	0.12%	-	-
Sociology	16	1.97%	224	0.66%
Soil Science	1	0.12%	6	0.02%
Spanish	4	0.49%	441	1.31%
Special Education	1	0.12%	94	0.28%
Statistics	4	0.49%	240	0.71%
Zoology	3	0.37%	170	0.50%
Total*	804	76.58%	33,634	73.69%

*As students with more than one major are counted as one unit in each major, the total number of majors may exceed the total number of students.

** Graduate degrees that are not included in the undergraduate student body percentage calculation.

***Students who have not declared majors or who are declared in pre-majors are not included in these calculations.



NCAA Compliance at the
University of Wisconsin-Madison

Office of Internal Audit

Report Control # 2018-108
November 26, 2018

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Office of Internal Audit
780 Regent Street, Suite 200
Madison, Wisconsin 53715
(608) 263-4397
www.wisconsin.edu/offices/audit/

TRANSMITTAL

To: Rebecca M. Blank, Chancellor, University of Wisconsin-Madison

As more fully described within the *Objectives, Scope, and Approach* section of this report, we have performed an internal audit of National Collegiate Athletic Association (NCAA) Compliance at the University of Wisconsin-Madison (UW-Madison or University) for the period of July 1, 2017 through June 30, 2018. NCAA compliance is the responsibility of management of UW-Madison's Athletic Department.

The performance of an internal audit includes performing procedures and applying professional judgment in the evaluation of results. The Institute of Internal Auditors, internationally recognized as the official governing body over the profession of internal auditing, recognizes that audit procedures, even when performed with due professional care, do not guarantee that all significant risks, errors, or irregularities will be identified. Our audit does not provide a legal determination of compliance with all applicable UW System, federal, and state regulations.

This report is the result of the Office of Internal Audit's evaluation of the information described within the *Objectives, Scope, and Approach* section of this report, and is intended solely for the information of management of UW-Madison and the Board of Regents of the UW System. This restriction is not intended to limit the distribution of this report, which upon final issuance is a matter of public record.

We greatly appreciate the assistance and cooperation of staff at UW-Madison who provided information during the conduct of this audit.

A handwritten signature in cursive script, appearing to read "Amanda Nehmer".

Amanda Nehmer, CPA
Director, Office of Internal Audit

November 26, 2018

OBJECTIVES, SCOPE, AND APPROACH

The objective of this audit was to determine if the internal controls were adequately designed and operating effectively for the fiscal year ended June 30, 2018. The internal controls have been designed and implemented to help ensure UW-Madison Athletic Department's compliance with regulations outlined in the *NCAA 2017-2018 Division I Manual* (manual) for the selected topics.

Our examination included the recommended audit procedures suggested by the comprehensive program in the *NCAA Division I Compliance Audit Guide* published by the Association of College and University Auditors (ACUA). This comprehensive program provides for a general understanding of the compliance system with limited substantive work involving testing and data examination. This was an examination of 16 of the 21 compliance areas as identified below.

- | | |
|---------------------------------|---|
| 1. Academic Performance | 10. Student-Athlete Employment |
| 2. Initial Eligibility | 11. Athletic Apparel and Equipment |
| 3. Continuing Eligibility | 12. Commitment to Compliance |
| 4. Transfer Eligibility | 13. Investigations and Self-Reporting |
| 5. Financial Aid Administration | 14. Representatives of the University's Athletics Interests |
| 6. Recruiting | 15. Complimentary Admissions |
| 7. Camps and Clinics | 16. Student-Athlete Vehicles |
| 8. Rules Education | |
| 9. Playing and Practice Seasons | |

AUDIT COMMENTS

During our audit, we noted no compliance matters based on the objectives listed above.

Appendix I

Standards for Safeguarding Institutional Governance of Intercollegiate Athletics University of Wisconsin- Madison's Annual Report for 2017-2018

As required by the Big Ten Conference and University of Wisconsin-Madison's Standards for Safeguarding Institutional Governance of Intercollegiate Athletics ("Standards"), the University has conducted its annual review of the effectiveness of the Standards. The time period covered by this review is August 1, 2017 through July 31, 2018. A copy of the University's Standards (Appendix omitted), is included with this Annual Report as Exhibit 1.

Organizational Governance Standards

The allocation of authority, responsibility and accountability for intercollegiate athletics at the University remains as stated in the University's Standards. The Chancellor of the University, subject to the general oversight of the Board of Regents of the University of Wisconsin System, and working within the constructs of the principles of shared governance, continues to have ultimate authority, responsibility, and accountability for the administration of intercollegiate athletics. While retaining ultimate authority, the Chancellor has delegated the authority, responsibility and accountability for the day-to-day administration of intercollegiate athletics to the Athletic Director. The University's Athletic Board continues to exercise the authority of the faculty as part of the University's shared-governance system.

Operational Standards

In order to determine whether the University achieved compliance with the Operational Standards, the Office of Legal Affairs reviewed the Standards with the following members of the University community, who would be in a position to verify such compliance and identify any deviations from the requirements of the Standards:

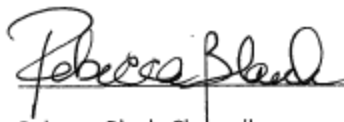
- Senior Associate Athletic Director for Student Services, Mr. Doug Tiedt;
- Associate Athletic Director for Compliance, Ms. Katie Smith;
- Registrar, Mr. Scott Owczarek;
- Director of the Office of Admissions and Recruitment, Mr. André E. Phillips;
- Interim Dean of Students, Mr. Argyle Wade;
- Assistant Athletic Director for Sports Medicine, Mr. Michael Moll;
- Head Team Physician, Dr. David T. Bernhardt; and
- Director of Financial Aid, Mr. Derek Kindle.

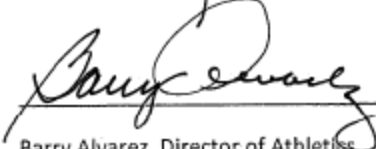
Each of these members of the University community has provided a written statement comparing their own experiences with the requirements of the Standards. These written statements have also been attached to this Annual Report as Exhibit 2. During these interviews, one instance of potential non-compliance during the year under review was identified, related to a single

communication between a coach and a faculty member. A detailed description of that instance is attached as Exhibit 3. A review of that instance shows that the potential non-compliance was fairly innocuous, and was identified and remedied quickly.

Conclusion

Based upon our review of the statements contained in Exhibit 2, we believe that the University is achieving compliance with the Standards and taking action to avoid governance-related problems in intercollegiate athletics. The University will remain vigilant regarding continuing compliance, and will report any revisions to its Standards document to the Conference within thirty days of the adoption of any such revision.

 10/29/2018
Rebecca Blank, Chancellor (date)

 10/10/18
Barry Alvarez, Director of Athletics (date)

 10/11/18
Peter Miller, Athletic Board Chair (date)

UNIVERSITY OF WISCONSIN-MADISON
STANDARDS FOR SAFEGUARDING INSTITUTIONAL GOVERNANCE
OF
INTERCOLLEGIATE ATHLETICS

Introduction

At its June 1, 2014 meeting, the Big Ten Council of Presidents/Chancellors adopted "The Big Ten Conference Standards for Safeguarding Institutional Governance of Intercollegiate Athletics" (the "Conference Standards"). The Conference Standards embody the firm and common commitment of each Big Ten Conference member institution to the core principle that intercollegiate athletics must be properly aligned with the institution's overall educational mission. The University of Wisconsin-Madison fully supports the Conference Standards, and adopts this document to demonstrate its commitment to the principles contained therein. While many of the requirements of the Conference Standards are current University policy and practice, the University is pleased to adopt this document and to fully implement the Conference Standards. To the extent any existing policies or practices at the University are contrary to the principles and standards contained in this document, this document controls.

Basic Principles

In accordance with the principles set forth by both the Conference Standards and the Big Ten Handbook, the University affirms that intercollegiate athletics is an integral part of the educational mission of the institution, and that accordingly, governance of intercollegiate athletics should be consistent with, and not independent from, the governance applicable to other University units and programs.

Organizational Governance Standards

The Chancellor of the University, subject to the general oversight of the Board of Regents of the University of Wisconsin System, and working within the constructs of the principles of shared governance, has ultimate authority, responsibility, and accountability for the administration of intercollegiate athletics. There are no exceptions to this authority, responsibility, or accountability.

While retaining ultimate authority, the Chancellor has delegated the authority, responsibility and accountability for the day-to-day administration of intercollegiate athletics to the Athletic Director. There are no exceptions to this delegation.

The Athletic Board exercises the authority of the faculty as part of the University's shared-governance system. The Athletic Board is a University Committee with membership, functions

EXHIBIT 1

and responsibilities set forth in the University's Faculty Policies and Procedures.¹ The "authority of the faculty" in the University's shared-governance system must be understood in the context of the Chancellor being ultimately responsible for the institutional control of athletics. However, Wisconsin law recognizes that chancellors are expected to consult with faculty in discharging their responsibilities and recognizes the role of the faculty in governance.² In this way, University faculty has the shared responsibility for academic and educational activities and faculty personnel matters.³

Operational Standards for Athletics

The University reaffirms its policies and operational standards in the following areas: 1) Academic Support; 2) Institutional, Conference, and NCAA Rules Compliance; 3) Admissions; 4) Student Discipline and Codes of Conduct; 5) Medical and Athletic Training Services; and 6) Cost of Attendance.

1. Academic Support

Academic Success

The University is committed to providing student-athletes with academic support and counseling (e.g., academic advising) that is adequate and appropriate for their progress toward a degree and graduation.⁴ The personal and academic well-being of every student-athlete is the primary concern of the University and the Athletic Board.

Academic Integrity

The University is committed to both the detection and prevention of (a) academic fraud and misconduct, and (b) abusive use of independent study or clustering of student-athletes in particular courses or majors.⁵

¹ Appendix 1, University of Wisconsin-Madison Faculty Policies and Procedures ("FPP"), Section 6.26.

² Wisconsin Statute Section 36.09(4).

³ For more information regarding the Role of the Athletic Board, see Appendix 2, Faculty Document 2114, "Role of the Athletic Board."

⁴ For a detailed review of the steps the University takes to provide student-athletes with academic support, see Appendix 3, Office of Academic Services Handbook ("OAS Handbook"), pgs. 25 ("Advisors"), 27 ("Learning Specialists"), 29 ("Mentors"), 33 ("Individual Tutoring & Drop-In Study Groups"); see also Appendix 4, 2008-2009 Division 1 Athletics Certification Self-Study Instrument ("Self Study"), pgs. 33-35 (point 8) and pgs. 65-91 ("Operating Principle 2.2, Academic Support"); see also Appendix 5, 2013-2014 Badger Student-Athlete Handbook and Planner ("SA Handbook") pgs. 10 ("The Shared Responsibility of Academic Success" and "Staff"), and 12 ("Services and Programs").

⁵ For a detailed review of the steps the University takes to detect and prevent such academic problems, see Appendix 3, OAS Handbook, pgs. 14 ("Academic Misconduct"), 20 ("Writing and Editing Guidelines"), 21 ("Ethical Conduct"), 25 ("Advisors"), 36 ("Class Checking"), and 37 ("Directed Study"); see also Appendix 5, SA Handbook, pgs. 60-64, ("Academic Misconduct Guide for Students"); see also Appendix 9, Coaches Orientation Handbook ("Coaches Handbook"), pgs. 61-64 ("Directed Study Policy").

Prevention of Improper Influence

In order to ensure that athletic academic support staff members are free from potential improper influence, coaches shall not have direct responsibility for, or exercise undue or improper influence over, the hiring or supervision of any member of the academic support staff. Further, coaches shall not attempt to inappropriately influence any member of the academic support staff in order to obtain or maintain the academic eligibility of a student athlete. While a coach may have input or be otherwise involved in the hiring or evaluation of the performance of an academic support staff member, the ultimate authority for hiring and supervising academic support staff will reside with the Associate Athletic Director for Student Services.

In addition, coaches shall not attempt to inappropriately influence any faculty member. To prevent the potential for improper influence, communication between the athletics department staff and faculty regarding a student-athlete's performance in class shall be conducted through the Associate Athletic Director for Student Services, the staff members of the Office of Academic Services, staff members of the Office of Compliance, or through the Faculty Athletics Representative.⁶

In addition to reporting to the Athletic Director, the Associate Athletic Director for Student Services reports monthly to the Athletic Board, chaired by the Faculty Academics Representative, regarding academic performance and support.

2. Institutional, Conference, and NCAA Rules Compliance

Compliance Success

The University is committed to the detection and prevention of breaches of institutional, conference and NCAA rules.⁷ The University does and will maintain appropriate and adequate compliance staffing in order to maintain a compliant environment. In addition to the five full-time employees devoted exclusively to compliance issues, compliance is considered to be a shared responsibility at the University. That is, other athletics department personnel, the

⁶ Coaches are instructed at yearly coaches meetings not to contact campus instructional faculty or staff. See Appendix 9, Coaches Handbook, pg. 7 ("Academic Services will make all contact with professors."); see also, Appendix 6, University of Wisconsin, Division of Intercollegiate Athletics, Compliance Education for New Staff ("Compliance Education") (As part of the hiring process, all coaches are told to utilize the resources available and warned not to "directly contact individuals from the Registrar, Housing, Admissions, Big Ten, etc.").

⁷ For a detailed review of the steps the University takes to detect and prevent such breaches, see Appendix 4, Self Study, pgs. 17-23; see also Appendix 5, SA Handbook, pgs. 39-54 ("Big Ten/NCAA Rules & Regulations"); see also Appendix 6, Compliance Education; see also Appendix 7, Compliance Manual, The University of Wisconsin Division of Intercollegiate Athletics ("Compliance Manual").

Faculty Athletics Representative, and the head coaches and administrators outside of the athletic department who are responsible for the certification of student-athletes for financial aid and practice and competition eligibility, are all expected to assume a primary role in ensuring compliance with all rules.⁸

Prevention of Improper Influence

In order to ensure that athletic compliance staff members are free from potential improper influence, coaches shall not have direct responsibility for, or exercise undue or improper influence over, the hiring or supervision of any member of the athletic compliance staff. Further, coaches shall not attempt to influence inappropriately any member of the athletic compliance staff in any way. While a coach may have input or be otherwise involved in the hiring or evaluation of the performance of an athletic compliance staff member, the ultimate authority for hiring and supervising athletic compliance staff will reside with the Associate Athletic Director for Compliance. Communication between the athletics department staff and such compliance constituents as the Registrar's Office, the Student Financial Services Office, Big Ten and NCAA regarding the application of NCAA or Big Ten rules shall be conducted through the Associate Athletic Director for Compliance or staff members of the Office of Compliance.

In addition to reporting to the Athletic Director, the Associate Athletic Director for Compliance reports monthly, for oversight purposes, to the Chair of the Athletic Board, (which is chaired by the Faculty Academics Representative), regarding institutional, Big Ten and NCAA compliance. The Assistant Athletic Director for Compliance shall also make available any information or reports that may be requested by the Vice Chancellor for Legal Affairs.

3. Admissions

Admission Decisions

The final decision-making authority for the admission of potential student-athletes resides in the same office that admits other undergraduate applicants to the University, the Office of Admissions and Recruitment ("Admissions"). Student-athletes progress through the admissions process in the same manner as other applicants.⁹ Admissions utilizes a holistic, competitive, and selective review process. The goal is to recruit, admit, and enroll a high quality and diverse freshman class. Admissions seeks students from groups that are underrepresented at the University, including not only ethnic minorities, but international students, those from rural Wisconsin farm backgrounds, first-generation college students, women and men who have

⁸ For more information regarding this shared responsibility, see Appendix 6, Compliance Education; see also Appendix 7, Compliance Manual pgs. 6 ("Institutional Control") and 7 ("Compliance Philosophy").

⁹ For more information regarding admission of potential student-athletes, see Appendix 3, OAS Handbook, pgs. 46-63 ("Admissions"); see also Appendix 8, "Requirements and Expectations" document created and maintained by the Office of Admissions; see also Appendix 9, Coaches Handbook pgs. 40-41 ("Admissions Information").

served our country in the armed forces, and women interested in STEM (science, technology, engineering, or math) majors. Admissions seeks students with special talent in music, dance, art, athletics, or other areas of the University community.

Prevention of Improper Influence

In order to prevent the potential for improper influence, communication between athletics department staff, and either Admissions or Admissions personnel from individual schools and colleges regarding prospective student-athletes, shall be conducted through the Associate Athletic Director for Student Services or the staff members of the Office of Academic Services.¹⁰

4. Student Discipline and Codes of Conduct

University and Athletic Department Discipline

Under the authority of the University's Division of Student Life, student-athletes shall be subject to general disciplinary rules and codes of conduct applicable to other students at the University, and shall be subject to the same procedures and sanctions.¹¹ In addition to, and independent from the process managed by the Division of Student Life, student-athletes are further subject to the Student-Athlete Discipline Policy and to individual team rules.¹²

Prevention of Improper Influence

In order to prevent the potential for improper influence, communication between athletics department staff and the Division of Student Life regarding student-athletes shall be conducted through the Associate Athletic Director for Student Services or the staff members of the Office of Academic Services.¹³

¹⁰ Coaches are instructed at yearly coaches meetings not to contact Admissions. See Appendix 9, Coaches Handbook, pg. 7 ("Academic Services will make all contact with the Office of Admissions."); see also, Appendix 6, Compliance Education (As part of the hiring process, all coaches are told to utilize the resources available and warned not to "directly contact individuals from the Registrar, Housing, Admissions, Big Ten, etc.").

¹¹ For more information regarding the application of general disciplinary rules of the University to student athletes, see Appendix 5, SA Handbook pgs. 60 ("Academic Misconduct Guide for Students"), and 64 ("Institutional Discipline" and "Violations of University Regulations").

¹² For a detailed explanation of the Student Athlete Discipline Policy, see SA Handbook, pgs. 67-71 ("Athletic Department Rules and Policies") and pg. 72 ("Violations of Team Rules").

¹³ Coaches are instructed at yearly coaches meetings not to contact the University's Division of Student Life. See Appendix 6, Compliance Education (As part of the hiring process, all coaches are told to utilize the resources available and warned not to "directly contact individuals from the Registrar, Housing, Admissions, Big Ten, etc.").

5. Medical and Athletic Training Services

Medical Decisions

The University places priority on a student-athlete's health over other considerations.¹⁴ The personal and academic well-being of every student-athlete is the primary concern of the University and the Athletic Board. Health-related policies, procedures and protocols, including those related to student-athlete concussions,¹⁵ will be followed.

Medical Services to student-athletes at the University are offered in a medical model of care under which the diagnosis and treatment of student-athletes is the ultimate responsibility of the physicians employed by the University of Wisconsin School of Medicine and Public Health, administratively managed by its Division of Sports Medicine in the Department of Orthopedics and Rehabilitation. The Athletic Department's licensed athletic trainers practice under the supervision of these physicians and the Assistant Athletic Director for Sports Medicine. Each Head Team Physician is appointed by the Chair of the Department of Orthopedics and Rehabilitation. The Head Team Physician and the Assistant Athletic Director for Sports Medicine assume joint responsibility for quality assurance and the monitoring of student-athlete care on an ongoing basis. A Medical Advisory Committee reviews and advises policies and procedures related to the delivery of medical services to student-athletes. The committee consists of the Chair of the Division of Sports Medicine, the Head Team Physician, Athletic Department administrators, a representative from UW Hospital and Clinics and two members of the Athletic Board.

Prevention of Improper Influence

The final decision regarding student-athlete participation, in practice or competition, resides with the medical and athletic training staff.¹⁶ In order to ensure that medical and athletic training staff are able to exercise their best professional judgment in caring for student-athletes, coaches shall not have direct responsibility for, or exercise undue or improper influence over, the hiring or supervision of any member of the medical or athletic training staff who works with the coach's own team. Further, coaches shall not attempt to influence

¹⁴ See Appendix 5, SA Handbook, pg. 79 ("Sports Medicine Policy" and "Pregnancy Policy"); see also Appendix 10, Competition and Practice Injury Management Plan; see also Appendix 11, Concussion Management Plan.

¹⁵ See Appendix 10, Competition and Practice Injury Management Plan; see also Appendix 11, Concussion Management Plan.

¹⁶ Coaches' employment contracts each state that "[c]oach hereby understands and agrees that the final decision regarding student-athlete participation in organized practices and/or competitions shall be made by the Division's medical and training room staff," and that "[t]his provision is essential to this Employment Agreement and violation thereof may be considered just cause for termination."

inappropriately any member of the medical or athletic training staff regarding the medical treatment of a student athlete.

6. Cost of Attendance

Determination of Cost of Attendance

The process for determining the cost of attendance for student-athletes shall be essentially the same process utilized for all students. Cost of attendance is determined by the Office of Student Financial Aid.¹⁷

Prevention of Improper Influence

Coaches shall not attempt to inappropriately influence any member of the Financial Aid staff in order to adjust cost of attendance values to better serve recruiting or other athletically-related interests.¹⁸ In order to prevent the potential for improper influence, communication between athletics department staff, and the Office of Student Financial Aid, shall be conducted through the Associate Athletic Director for Compliance. The Director of Financial Aid should notify the Chancellor or designee of any inappropriate communication relating to financial aid policy received from any booster or official of the University of staff member in the Athletics Department.

Concern Reporting

The University recognizes that ensuring compliance with the six areas of operational standards set forth above necessarily requires that individuals have the ability to report concerns, free from fear of retaliation, regarding whether these standards are being followed. Individuals can and should report any concerns they have in relation to these standards to the Athletic Director, the Associate Athletic Director for Compliance, Compliance staff or the Faculty Athletics Representative, with the knowledge that no retaliation will occur as a result of voicing such concerns. Indeed, the University encourages individuals to report any concerns they may have.

¹⁷ For the current breakdown of University Cost of Attendance, see Appendix 12, Office of Student Financial Aid, Undergraduate Cost of Attendance, 2015-16.

¹⁸ Coaches are instructed at yearly coaches meetings not to contact the University's Office of Student Financial Aid. See Appendix 6, Compliance Education (As part of the hiring process, all coaches are told to utilize the resources available and warned not to "directly contact individuals from the Registrar, Housing, Admissions, Big Ten, etc.")

Ongoing Obligations

1. Annual Review

The University will conduct an annual internal review of the effectiveness of these Conference Standards.

2. Reporting Requirements

Following the internal annual review, the University will report to the Big Ten Conference Office on how it is achieving compliance with the Conference Standards, and how it is taking action to avoid governance-related problems in athletics. Within this report, the University will describe to the Big Ten Conference Office any violation of the Conference Standards.³⁹ The University will report to the Big Ten Conference Office any revisions to this document, or any material revisions to any incorporated Appendix, within thirty days of the adoption of any such revision.

³⁹ Within this requirement, it is understood that identifying and addressing violations in a timely manner is a characteristic of a properly functioning compliance system—indicative of a system that is working as opposed to a system that is malfunctioning. Accordingly, violations of these standards that are properly identified and addressed in a timely manner are to be accounted for in the annual report within the context of demonstrating the effectiveness of the standards. By contrast, violations that are indicative of systemic failures of the standards (e.g., system malfunctions) are to be reported to the conference office within a timeframe that is contemporaneous to the time at which such failures are discovered.

Standards for Safeguarding Institutional Governance of Intercollegiate Athletics

Statement of Doug Tiedt

To Whom It May Concern:

As part of the University of Wisconsin-Madison's annual internal review of the effectiveness of its Standards for Safeguarding Institutional Governance of Intercollegiate Athletics ("Standards"), I have been asked to review the requirements of the Standards and compare them with my experiences during the year under review, which I understand to be August 1, 2017 through July 31, 2018.

I am the Senior Associate Athletic Director for Student Services. I would expect to be made aware of any problems related to student-athlete academic support, admission, discipline or medical and training services.

With regard to Section 1 of the Standards, "Academic Support;" Section 3 of the Standards, "Admissions;" Section 4 of the Standards "Student Discipline and Codes of Conduct;" and Section 5 of the Standards, "Medical and Athletic Training Services;" I am only aware of one instance of potential non-compliance with these Standards, as detailed in Exhibit 3. More specifically, in my role as Senior Associate Athletic Director for Student Services, I confirm the following:

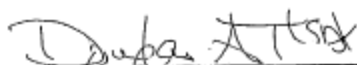
- The University is committed to both the detection and prevention of academic fraud and misconduct and abusive use of independent study or clustering of student-athletes in particular courses or majors;
- While, as a result of sport calendars and team schedules, multiple student-athletes may end up taking the same classes, there has been no abusive use of clustering that would bring into question the academic integrity of any of those classes;
- No coach had direct responsibility for, or exercised undue or improper influence over, the hiring or supervision of any member of the academic support staff;
- No coach attempted to inappropriately influence any member of the academic support staff in order to obtain or maintain the academic eligibility of a student-athlete;
- The ultimate authority for hiring and supervising academic support staff resided, and will continue to reside, with me;
- I am not aware of any coach attempting to inappropriately influence any faculty member;
- In all but one instance during the year under review (see Exhibit 3), communication between the Athletic Department staff and faculty regarding a student-athlete's performance in class was conducted through me, the staff members of my office, the staff members of the Office of Compliance, or through the Faculty Athletics Representative;
- The final decision-making authority for the admission of potential student-athletes resides in the Office of Admissions and Recruitment ("Admissions"), which is the same office that admits other undergraduate applicants to the University;

EXHIBIT 2

Standards for Safeguarding Institutional Governance of Intercollegiate Athletics

- Student-athletes progressed, and will continue to progress, through the admissions process in the same manner as other applicants;
- Communication between Athletic Department staff, and either Admissions or Admissions personnel from individual schools and colleges regarding prospective student-athletes has been appropriately conducted through my office;
- While coaches are occasionally invited by Admissions to provide insight regarding an applicant for admission, I am not aware of any coach attempting to assert undue influence over the admissions process;
- Under the authority of the Division of Student Life, student-athletes were, and continue to be, subject to general disciplinary rules and codes of conduct applicable to other students at the University, and were, and continue to be subject to the same procedures and sanctions;
- Any actions taken by the Athletic Department under its own Student-Athlete Discipline Policy or under any individual team rules were in addition to, and independent from, the process managed by the Division of Student Life;
- Communication between Athletic Department staff and the Division of Student Life regarding student-athletes was, and will continue to be, conducted through my office;
- I am not aware of any coach attempting to influence inappropriately any member of the staff of the Division of Student Life;
- The final decision regarding student-athlete participation, in practice or competition, resided, and will continue to reside, with the medical and athletic training staff;
- Coaches did not have direct responsibility for, or exercise undue or improper influence over, the hiring or supervision of any member of the medical or athletic training staff; and
- I am not aware of any coach attempting to influence inappropriately any member of the medical or athletic training staff regarding the medical treatment of a student-athlete.

I further confirm that I am familiar with the "Concern Reporting" section of the Standards, and that I am encouraged to report any future concerns I may have regarding compliance with the Standards.

 07/18/18
Douglas A. Tiedt (date)

Standards for Safeguarding Institutional Governance of Intercollegiate Athletics

Statement of Katie Smith

To Whom It May Concern:

As part of the University of Wisconsin-Madison's annual internal review of the effectiveness of its Standards for Safeguarding Institutional Governance of Intercollegiate Athletics ("Standards"), I have been asked to review the requirements of the Standards and compare them with my experiences during the year under review, which I understand to be August 1, 2017 through July 31, 2018.

I am the Associate Athletic Director for Compliance. I would expect to be made aware of any problems related to compliance with institutional, conference or NCAA rules and any problems related to eligibility of student-athletes.

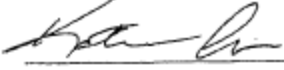
With regard to Section 1 of the Standards, "Academic Support;" Section 2 of the Standards, "Institutional, Conference, and NCAA Rules Compliance;" and Section 6 of the Standards "Cost of Attendance," I am only aware of one instance of potential non-compliance with these Standards, as detailed in Exhibit 3. More specifically, in my role as Associate Athletic Director for Compliance, I confirm the following:

- I am not aware of any coach attempting to inappropriately influence any member of the academic support staff in order to obtain or maintain the academic eligibility of a student athlete;
- I am not aware of any coach attempting to inappropriately influence any faculty member;
- In all but one instance during the year under review (see Exhibit 3), communication between the Athletic Department staff and faculty regarding a student-athlete's performance in class was conducted through the Senior Associate Athletic Director for Student Services, the staff members of the Office of Academic Services, the staff members of my office, or through the Faculty Athletics Representative;
- No coach had direct responsibility for, or exercised undue or improper influence over, the hiring or supervision of any member of the athletic compliance staff;
- No coach attempted to influence inappropriately any member of the athletic compliance staff in any way;
- The ultimate authority for hiring and supervising athletic compliance staff resided, and will continue to reside, with me;
- Communication between the Athletic Department staff and such compliance constituents as the Registrar's Office, the Student Financial Services Office, Big Ten and NCAA regarding the application of NCAA or Big Ten rules was and will continue to be conducted through my office;

Standards for Safeguarding Institutional Governance of Intercollegiate Athletics

- I am not aware of any coach attempting to inappropriately influence any member of the Financial Aid staff in order to adjust cost of attendance values to better serve recruiting or other athletically-related interests; and
- Communication between Athletic Department staff, and the Office of Student Financial Aid has been conducted through my office.

I further confirm that I am familiar with the "Concern Reporting" section of the Standards, and that I am encouraged to report any future concerns I may have regarding compliance with the Standards.

 9/18/18
Katie Smith (date)

Standards for Safeguarding Institutional Governance of Intercollegiate Athletics

Statement of Scott Owczarek

To Whom It May Concern:

As part of the University of Wisconsin-Madison's annual internal review of the effectiveness of its Standards for Safeguarding Institutional Governance of Intercollegiate Athletics ("Standards"), I have been asked to review the requirements of the Standards and compare them with my experiences during the year under review, which I understand to be August 1, 2017 through July 31, 2018.

I am the University's Registrar. In that role, both the Athletic Eligibility Certification Officer and the Athletic Academic Eligibility Coordinator report to me through the Assistant Registrar for Administration, Reporting, and Eligibility Services. I would expect to be kept informed of any issues or problems related to monitoring of student-athlete compliance with institutional requirements, NCAA and B1G Ten policies regarding progress toward degree status, certification of eligibility (for incoming freshman, transfer and continuing student-athletes), and the completion and submission of two annual reports required by the NCAA, the Academic Performance Plans and Graduation Success Rates. I am unaware of any instance in which a coach or any other member of the Athletic Department exerted any inappropriate influence in any of these areas.

With regard to Section 1 of the Standards, "Academic Support" and Section 2 of the Standards, "Institutional, Conference, and NCAA Rules Compliance," I am not aware of any non-compliance during the year under review. More specifically, in my role as University Registrar, I confirm that my office's communication with the Athletic Department in those areas has appropriately been through either the Associate Athletic Director for Student Services or the Associate Athletic Director for Compliance.

I further confirm that my staff and I are familiar with the "Concern Reporting" section of the Standards, and we are encouraged to report any future concerns we may have regarding compliance with the Standards.



September 11, 2018

Scott Owczarek

(date)

Standards for Safeguarding Institutional Governance of Intercollegiate Athletics

Statement of André E. Phillips

To Whom It May Concern:

As part of the University of Wisconsin-Madison's annual internal review of the effectiveness of its Standards for Safeguarding Institutional Governance of Intercollegiate Athletics ("Standards"), I have been asked to review the requirements of the Standards and compare them with my experiences during the year under review, which I understand to be August 1, 2017 through July 31, 2018.

I am the Director of the Office of Admissions and Recruitment. The individuals responsible for making decisions regarding the admission of potential students to the University report to me. I expect to be kept informed of any issues or problems related to the admission of student-athletes to the University.

With regard to Section 3 of the Standards, "Admissions," I am not aware of any non-compliance during the year under review. More specifically, in my role as Director, I confirm the following:

- Student-athletes progressed, and will continue to progress, through the admissions process in the same manner as other applicants;
- The final decision-making authority for the admission of potential student-athletes resides in my office, which is the same office that admits other undergraduate applicants to the University;
- Communication between Athletic Department staff, and my office, including admissions personnel from individual schools and colleges regarding prospective student-athletes, has been appropriately conducted through the Associate Athletic Director for Student Services or the staff members of the Office of Academic Services; and
- While coaches are occasionally invited by my office to provide insight regarding an applicant for admission, no coach has attempted to assert undue influence over the admissions process.

I further confirm that I am familiar with the "Concern Reporting" section of the Standards, and that I am encouraged to report any future concerns I may have regarding compliance with the Standards.

 9/12/18
André E. Phillips (date)

Standards for Safeguarding Institutional Governance of Intercollegiate Athletics

Statement of Argyle Wade

To Whom It May Concern:

As part of the University of Wisconsin-Madison's annual internal review of the effectiveness of its Standards for Safeguarding Institutional Governance of Intercollegiate Athletics ("Standards"), I have been asked to review the requirements of the Standards and compare them with my experiences during the year under review, which I understand to be August 1, 2017 through July 31, 2018.

I am currently the Interim Dean of Students within the Division of Student Life. For the year under review I served as the Assistant Vice Provost Chief of staff and Associate Dean of Students. In that role I oversaw the Division's Office of Student Conduct and Community Standards ("OSCCS") and the individuals responsible for investigating and adjudicating student conduct at the University reported to me. In this position I was made aware of potential issues related to student conduct throughout the year under review and was informed of any issues or problems related to student-athlete conduct at the University.

With regard to Section 4 of the Standards, "Student Discipline and Codes of Conduct," I am not aware of any non-compliance during the year under review. More specifically, in my current role as Interim Dean of Students, I confirm the following:

- Under the authority of the OSCCS, student-athletes were, and continue to be, subject to general disciplinary rules and codes of conduct applicable to other students at the University, and were, and continue to be subject to the same procedures and sanctions;
- Any actions taken by the Athletic Department under its own Student-Athlete Discipline Policy or under any individual team rules were in addition to, and independent from, the process managed by the OSCCS;
- Communication between Athletic Department staff and the OSCCS regarding student-athletes was, and will continue to be, conducted through the Associate Athletic Director for Student Services or the staff members of the Office of Academic Services; and
- Coaches did not attempt to influence inappropriately any member of the staff of the OSCCS.

I further confirm that I am familiar with the "Concern Reporting" section of the Standards, and that I am encouraged to report any future concerns I may have regarding compliance with the Standards.


Argyle Wade

9/26/18
(date)

Standards for Safeguarding Institutional Governance of Intercollegiate Athletics

Statement of Michael Moll

To Whom It May Concern:

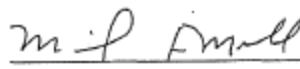
As part of the University of Wisconsin-Madison's annual internal review of the effectiveness of its Standards for Safeguarding Institutional Governance of Intercollegiate Athletics ("Standards"), I have been asked to review the requirements of the Standards and compare them with my experiences during the year under review, which I understand to be August 1, 2017 through July 31, 2018.

I am the Assistant Athletic Director for Sports Medicine. In that role, I oversee the Athletic Trainers for the University's athletic teams. As Assistant Athletic Director, I would expect to be kept informed of any issues or problems a team Athletic Trainer may be having with regard to student-athlete care.

With regard to Section 5 of the Standards, "Medical and Athletic Training Services," I am not aware of any non-compliance during the year under review. More specifically, in my role as Assistant Athletic Director, I confirm the following:

- I am not aware of any policies, procedures or protocols affecting the health or well-being of a student athlete, including those related to student-athlete concussions, which have not been followed;
- The final decision regarding student-athlete participation, in practice or competition, resided, and continues to reside, with the medical and athletic training staff;
- Coaches did not, and do not, have direct responsibility for, or exercise undue or improper influence over, the hiring or supervision of any member of the athletic training staff; and
- Coaches did not attempt to influence inappropriately any member of the athletic training staff regarding the medical treatment of any student-athlete.

I further confirm that I am familiar with the "Concern Reporting" section of the Standards, and that I am encouraged to report any future concerns I may have regarding compliance with the Standards.

 9-10-2018
Michael Moll (date)

Statement of Dr. David T. Bernhardt

To Whom It May Concern:

As part of the University of Wisconsin-Madison's annual internal review of the effectiveness of its Standards for Safeguarding Institutional Governance of Intercollegiate Athletics ("Standards"), I have been asked to review the requirements of the Standards and compare them with my experiences during the year under review, which I understand to be August 1, 2017 through July 31, 2018.

I am the Team Physician for Women's Volleyball, Women's Crew, Women's Lightweight Crew, Women's Cross Country, Men's Cross Country and Men's Basketball. I am also the Head Team Physician, overseeing the medical care provided by the Team Physicians for the University's other programs. In my role as Team Physician, I have direct experience with the management and treatment of student-athlete injuries. As Head Team Physician, I would expect to be kept informed of any issues or problems another Team Physician may be having with regard to student-athlete medical care.

With regard to Section 5 of the Standards, "Medical and Athletic Training Services," I am not aware of any non-compliance during the year under review. More specifically, in my role as Head Team Physician, I confirm the following:

- Health-related policies, procedures and protocols, including those related to student-athlete concussions, have been followed;
- The final decision regarding student-athlete participation, in practice or competition, resided, and continues to reside, with the medical and athletic training staff;
- Coaches did not, and do not, have direct responsibility for, or exercise undue or improper influence over, the hiring or supervision of any member of the medical staff; and
- Coaches did not attempt to influence inappropriately any member of the medical staff regarding the medical treatment of any student-athlete.

I further confirm that I am familiar with the "Concern Reporting" section of the Standards, and that I am encouraged to report any future concerns I may have regarding compliance with the Standards.

David T. Bernhardt

9-11-18

Dr. David T. Bernhardt

(date)

Standards for Safeguarding Institutional Governance of Intercollegiate Athletics

Statement of Derek Kindle

To Whom It May Concern:


As part of the University of Wisconsin-Madison's annual internal review of the effectiveness of its Standards for Safeguarding Institutional Governance of Intercollegiate Athletics ("Standards"), I have been asked to review the requirements of the Standards and compare them with my experiences during the year under review, which I understand to be August 1, 2017 through July 31, 2018.

I am the Director of Student Financial Aid. The individual responsible for compiling and determining the University's cost of attendance is the Associate Director for Federal Awards, who reports to me. I would expect to be kept informed of any issues or problems related to the calculation of cost of attendance or application of cost of attendance to a student-athlete conduct at the University.

With regard to Section 6 of the Standards, "Cost of Attendance," I am not aware of any non-compliance during the year under review, and have confirmed the same with the Associate Director for Federal Awards. In my role as Director of Student Financial Aid, I confirm the following:

- The process for determining the cost of attendance for student-athletes was, and will continue to be the same process utilized for all students, as determined by the Office of Student Financial Aid;
- No coach or member of the Athletic Department staff has attempted to inappropriately influence any member of the Office of Student Financial Aid in order to adjust cost of attendance values to better serve recruiting or other athletically-related interests; and
- Communication between Athletic Department staff, and the Office of Student Financial Aid has been conducted through the Associate Athletic Director for Compliance.

I further confirm that I am familiar with the "Concern Reporting" section of the Standards, and that I am encouraged to report any future concerns I may have regarding compliance with the Standards. More specifically, I agree to notify the Chancellor or Chancellor's designee of any inappropriate communication relating to financial aid policy received from any booster or official of the University or staff member in the Athletic Department

 9/11/2018
Derek Kindle (date)

Standards for Safeguarding Institutional Governance of Intercollegiate Athletics

Potential Violation of Standards for Safeguarding Institutional Athletics

It is understood that identifying and addressing violations in a timely manner is a characteristic of a properly functioning compliance system—indicative of a system that is working as opposed to a system that is malfunctioning. Violations of the Standards for Safeguarding Institutional Athletics ("Standards") that are properly identified and addressed in a timely manner will be accounted for in the Annual Report within the context of demonstrating the effectiveness of the Standards. Violations that are indicative of systemic failures of the Standards (e.g., system malfunctions) are to be reported to the conference office within a timeframe that is contemporaneous to the time at which such failures are discovered.

Potential Violation:

In the fall semester of 2017, an assistant coach communicated directly with a faculty member regarding one of the student-athletes on the assistant coach's team. The assistant coach stated that she and the faculty member were discussing the team's season when she mentioned that she coached a student-athlete that was struggling in the faculty member's class. While the faculty member confirmed that the student was in the class, there is no indication that further discussion regarding the student-athlete took place.

Relevant Section of the Standards:

Section 1 of the University's Standards states as follows:

(C)oaches shall not attempt to inappropriately influence any faculty member. To prevent the potential for improper influence, communication between the athletics department staff and faculty regarding a student-athlete's performance in class shall be conducted through the Associate Athletic Director for Student Services, the staff members of the Office of Academic Services, staff members of the Office of Compliance, or through the Faculty Athletics Representative.

Is This a Violation of the Standards?

Yes. While there is no reason to believe this was an attempt to inappropriately influence the faculty member, the correct communication channel was not utilized.

If Yes, Is the Violation Indicative of a Systemic Failure Requiring Immediate Reporting?

No. There is no indication that the assistant coach attempted to inappropriately influence the faculty member in any way.

Steps Taken to Remedy the Violation and to Prevent Future Occurrences:

The Senior Associate Director of Compliance educated the involved coach of the prohibition on any contact with faculty regarding student-athletes. Such communication must be done by the Academic Services or Compliance Offices. The involved coach acknowledged the error. All coaches are reminded of this rule through a variety of mechanisms (i.e., coaches meetings, compliance question of the week).

EXHIBIT 3

Appendix J

2017-18 Summary of Level III/IV NCAA Violations

Sport/Department	Occurred	Bylaw(s) Involved	Violation
Men's Tennis	7/10/2017	Rule 14.6	A Men's Tennis student-athlete participated in outside competition prior to completing the Outside Competition form
Women's Golf	7/25/2017	Rule 14.6	A Women's Golf student-athlete participated in outside competition prior to completing the Outside Competition form
Women's Tennis	7/31/2017	Rule 14.6	A Women's Tennis student-athlete participated in outside competition prior to completing the Outside Competition form
Swimming & Diving	7/12/2017	17.02.19	A Swimming and Diving Coach allowed a local television crew to conduct interviews with him and various student-athletes for a news piece during voluntary summer activities
Women's Soccer, Volleyball, Women's Track	3/1/2017	16.02.3	Six student-athletes received a second refund of their University Housing deposits due to an accounting error in the University Housing office.
Women's Rowing	9/6/2017	12.7.2.1; 12.7.3.1	Due to administrative error, three Women's Rowing student-athletes participated in practice before completing required forms.
Women's Softball	5/12/2017	15.5.3; 15.5.3.2	The UW Women's Softball team exceeded their team equivalency limit by 0.005041 (0.5041%) during the 2016-17 academic year.
Swimming & Diving	5/17/2016	2/14/13 Ed Column	A Swimming & Diving Coach traveled to Colorado Springs and participated in coaching activities with an outside team and recruiting activities on behalf of UW on the same trip.
Wrestling	10/5/2017	13.8.1	The UW Wrestling program provided travel expenses to a UW alum to speak to the team, and it was later discovered that the alum is a volunteer high school wrestling coach
Women's Rowing	9/30/2017	13.6.7.4	A freshman Women's Rowing student-athlete, who was serving as a student host for a prospect visiting UW on an official visit, provided the prospect with a portion of the student host money.
Women's Cross Country	12/18/2018	13.5.1	After cancelling their official visits to UW, two Women's Cross Country prospects used their cancelled flight credits with the airline for personal travel.
Multiple Sports (WCR, WIH, WSW)	9/6/2017	12.7.2.1; 12.7.3.1	During the Fall 2017 semester, parent signatures were not obtained for eight student-athletes who signed required forms while under 18.
Men's Basketball	2/8/2018	14.2.2; 16.8.1	Due to unknowingly being administratively dropped from a class, a Men's Basketball student-athlete was enrolled less than full-time during a period of time that included his participation in a competition.
Volleyball	1/3/2018	13.4.3.2.1	The UW Volleyball program advertised their upcoming camps on a recruiting service website in an impermissible way.
Administration	4/20/2018	13.10.2.1	An administrative staff member included a photo of unsigned prospects in a presentation given to a group outside of athletic department staff

Appendix K



February 7, 2019

Mr. John Robert Behling, President, University of Wisconsin Board of Regents
Mr. Raymond W. Cross, President, University of Wisconsin System
1720 Van Hise Hall
1220 Linden Drive
Madison, WI 53706

Presidents:

In connection with your oversight of the University of Wisconsin-Madison's intercollegiate athletics program, we are confirming via this letter and supporting documents, to the best of our knowledge and belief, the following pertaining to 2017-18.

There were no instances of any major Level I or Level II compliance violations. We are aware of fifteen Level III or Level IV secondary violations of non-compliance with NCAA rules and regulations which were reported during 2017-18.

There were no illegal acts or non-compliance with federal, state, or local law by individuals employed by the institution or representatives of the University of Wisconsin-Madison's athletics interests.

There are no monitoring reviews currently underway by the NCAA, law enforcement officials, or others, except for routine audits done annually or periodically.

There are no allegations of fraud or suspected fraud affecting intercollegiate athletics received in communication from employees, former employees, or others.

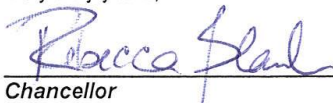
The institution has evaluated and updated its gender-equity plan from our previous self-study, dated 2008-2009.

We have processes in place to ensure background checks are performed on all coaches, assistant coaches, contractors, volunteers, student employees, and any other individuals serving as an agent of the institution related to intercollegiate athletics. These processes apply to those involved with offering camps and clinics, and others who participate in activities involving children. Adverse outcomes of background checks are evaluated by Human Resources.

We understand our fiduciary responsibilities to act in the best interest of the institution even if it conflicts with the immediate interests of the athletic department.

The athletic department has not intervened when a student-athlete is being disciplined under regular student conduct rules.

Very truly yours,


Chancellor


Athletics Compliance Officer


Athletic Director


Deputy Athletic Director

University of Wisconsin • Intercollegiate Athletics

Kellner Hall • 1440 Monroe Street • Madison, Wisconsin 53711
(608) 262-1866 • www.uwbadgers.com

**UNIVERSITY OF WISCONSIN-MADISON
DIVISION OF INTERCOLLEGIATE ATHLETICS**
Madison, Wisconsin

STATEMENT OF REVENUES AND
EXPENDITURES – BUDGETARY BASIS

For the Year Ended June 30, 2018

TOGETHER WITH INDEPENDENT AUDITORS' REPORT
AND INDEPENDENT ACCOUNTANTS' REPORT ON
AGREED-UPON PROCEDURES
REQUIRED BY THE PROVISIONS OF
NCAA BYLAW 3.2.4.15

**UNIVERSITY OF WISCONSIN-MADISON
DIVISION OF INTERCOLLEGIATE ATHLETICS**

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INDEPENDENT AUDITORS' REPORT

To the Chancellor
University of Wisconsin - Madison
Madison, Wisconsin

Report on the Financial Statements

We have audited the accompanying statement of revenues and expenditures - budgetary basis of the University of Wisconsin - Madison Division of Intercollegiate Athletics (the "Athletic Department"), for the year ended June 30, 2018, and related notes to the statement of revenues and expenditures - budgetary basis.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with the basis of accounting described in Note 1.B; this includes determining that the basis of accounting described in Note 1.B is an acceptable basis for the preparation of the financial statements in the circumstances. Management is also responsible for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the Athletic Department's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances but not for the purpose of expressing an opinion on the effectiveness of the Athletic Department's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the revenues and expenditures of the Athletic Department for the year ended June 30, 2018, in accordance with the basis of accounting described in Note 1.B.

Emphasis of Matter

As discussed in Note 1.A., the statement of revenues and expenditures - budgetary basis of the Athletic Department is intended to present the changes in financial position that are attributable to the transactions of the Athletic Department. The financial statement does not purport to, and does not, present fairly the financial position of the State of Wisconsin as of June 30, 2018, the changes in its financial position, or, where applicable, its cash flows for the year then ended in conformity with accounting principles generally accepted in the United States of America.

Basis of Accounting

We draw attention to Note 1.B. to the financial statements, which describes the basis of accounting. The financial statements are prepared on the budgetary basis of accounting, which is a basis of accounting other than accounting principles generally accepted in the United States of America. Our opinion is not modified with respect to this matter.

Baker Tilly Virchow Krause, LLP

Madison, Wisconsin
January 11, 2019

UNIVERSITY OF WISCONSIN-MADISON
DIVISION OF INTERCOLLEGIATE ATHLETICS
STATEMENT OF REVENUES AND EXPENDITURES - BUDGETARY BASIS
For the Year Ended June 30, 2018

	Football	Men's Basketball	Men's Hockey	Women's Volleyball	Women's Basketball	Other Sports	Not Team Related	Totals
REVENUES								
Ticket sales	\$ 22,670,951	\$ 6,828,343	\$ 2,474,419	\$ 273,983	\$ 96,355	\$ 135,257	\$ 26,873	\$ 32,506,181
Direct institutional support	2,031,238	306,459	393,630	331,649	349,840	2,752,402	57,818	6,263,036
Less - transfers to institution	(5,148,458)	(953,746)	(88,008)	-	(88,008)	-	15,184	(6,263,036)
Indirect institutional support	-	-	-	-	-	-	2,967,000	2,967,000
Guarantees	350,000	-	86,765	-	1,000	11,000	-	448,765
Contributions	3,912,848	1,447,100	1,201,703	46,792	1,191,964	1,534,573	4,730,367	14,055,347
In-kind	187,660	95,808	-	3,603	-	1,210	1,134,948	1,423,230
Media rights	43,765,925	9,523,138	589,220	142,305	-	-	-	54,000,588
NCAA distributions	-	2,343,871	-	34,145	-	212,802	2,677,071	5,157,889
Conference distributions	6,741,632	118,104	-	-	-	7,200	29,851	6,896,787
Program, novelty, parking and concession sales	4,756,054	2,282,327	1,422,622	335,501	227,846	416,729	(1,831,281)	7,649,798
Royalties, licensing, advertisements and sponsorships	493,763	97,883	70,545	70,545	91,388	866,350	11,495,605	13,185,624
Sports camp revenues	133,945	318,343	121,290	625,589	116,420	1,480,969	371,525	3,168,081
Athletics restricted endowment and investments income	-	-	-	-	-	-	3,304,256	3,304,256
Other operating revenue	69,912	131,165	19,676	5,915	23,245	110,346	4,434,791	4,785,050
Bowl revenues	2,418,197	-	-	-	-	-	-	2,418,197
Total Revenues	82,423,667	22,438,795	6,271,407	1,860,027	2,010,050	7,558,838	29,404,009	151,966,793
EXPENDITURES								
Athletic student aid	4,531,919	718,933	1,128,061	738,602	789,111	8,314,674	986,628	17,207,928
Guarantees	2,400,000	585,000	155,000	25,194	87,500	31,260	-	3,283,944
Head coaching salaries, benefits, and bonuses paid by the University and related entities	-	-	-	-	-	-	-	-
Coaches salaries, benefits, and bonuses paid by the University and related entities	3,735,659	2,545,789	598,370	447,305	734,072	2,854,926	-	10,916,121
Support staff/administrative salaries, benefits and bonuses paid by the University and related entities	4,589,367	930,352	589,270	315,670	525,604	3,012,938	-	9,963,201
Severance payments	2,503,965	421,602	313,188	345,480	249,646	592,252	28,778,913	33,204,047
Recruiting	-	-	259,605	-	17,773	100,962	-	378,340
Team travel	350,695	86,770	68,172	66,086	156,578	490,071	5,955	1,224,327
Sports equipment, uniforms and supplies	1,745,947	1,481,310	632,255	468,203	407,473	3,888,853	218,701	8,842,742
Game expenditures	1,013,887	148,081	230,035	100,816	93,903	1,283,252	-	2,869,994
Fund raising, marketing and promotion	1,424,731	310,372	181,464	63,101	186,928	187,709	2,762,704	5,137,009
Sports camp expenditures	7,738	4,180	-	609	180	1,260	3,400,387	3,414,354
Spirit groups	30,351	161,273	46,060	313,974	57,273	576,908	103,617	1,289,456
Athletic facilities debt service, leases and rental fees	31,601	180	-	-	-	-	151,117	182,898
Direct overhead and administrative expenditures	8,192,462	340,904	239,642	-	27,169	2,412,630	-	11,212,807
Indirect institutional support	3,419,273	2,534,479	2,573,004	20,611	2,532,486	1,650,883	9,331,389	22,062,125
Medical expenditures and insurance	-	-	-	-	-	-	2,967,000	2,967,000
Memberships and dues	292,933	43,000	72,060	42,386	34,788	1,834,880	49,500	2,369,547
Student athletic meals	5,055	1,476	636	2,886	727	16,929	44,216	71,326
Other operating expenditures	241,163	44,584	64,768	7,930	42,306	167,739	847,369	1,515,880
Bowl expenditures	750,275	299,707	61,332	61,054	65,512	419,640	7,007,585	8,665,105
Bowl expenditures - coaching compensation/bonuses	1,813,837	-	-	-	-	-	-	1,813,837
Other expenditures	1,205,566	-	-	-	-	-	-	1,205,566
Total Expenditures	38,285,425	10,658,002	7,212,943	3,019,897	6,009,029	27,837,776	56,775,081	149,798,154
Excess of Revenues Over (Under) Expenditures	\$ 44,138,241	\$ 11,780,793	\$ (541,536)	\$ (1,159,870)	\$ (3,998,979)	\$ (20,278,938)	\$ (27,371,072)	\$ 2,168,639

See accompanying notes to statement of revenues and expenditures - budgetary basis.

**UNIVERSITY OF WISCONSIN-MADISON
DIVISION OF INTERCOLLEGIATE ATHLETICS**

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For the Year Ended June 30, 2018

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**UNIVERSITY OF WISCONSIN-MADISON
DIVISION OF INTERCOLLEGIATE ATHLETICS**

**NOTES TO STATEMENT OF REVENUES AND EXPENDITURES – BUDGETARY BASIS
For the Year Ended June 30, 2018**

NOTE 1 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

The accompanying statement of revenues and expenditures – budgetary basis has been prepared on the budgetary basis of accounting, which is a comprehensive basis of accounting other than generally accepted accounting principles (GAAP). The budgetary basis for the University of Wisconsin-Madison Division of Intercollegiate Athletics represents the accounting principles used in managing its operations in accordance with its budgetary procedures. The Governmental Accounting Standards Board establishes generally accepted accounting principles for states and local governmental units, including the University of Wisconsin-Madison Division of Intercollegiate Athletics. The most significant differences between the budgetary basis and GAAP (full accrual basis) are as follows:

- > Capital asset acquisitions funded by revenues are reported as expenditures rather than as capital assets.
- > Capital asset acquisitions funded by debt proceeds or from the State of Wisconsin are not reported.
- > Depreciation on capital assets is not reported.
- > Long-term debt proceeds are not reported as other financing sources or as a liability.
- > Principal payments on long-term debt are reported as expenditures rather than as a reduction of a liability.
- > In-kind contributions are reported as revenues and expenditures in the year the goods were received or services provided to the Division of Intercollegiate Athletics.

See also Note 1B.

The format of the statement of revenues and expenditures – budgetary basis is based upon the prescribed format of the National Collegiate Athletic Association (NCAA).

A. REPORTING ENTITY

The Division of Intercollegiate Athletics (the "Athletic Department") of the University of Wisconsin-Madison (the "University") is responsible for intercollegiate sports programs of the University. The Athletic Director is responsible for the day to day operation of the Athletic Department. The Athletic Department is ultimately governed by the University's Chancellor through the authority delegated by the Board of Regents of the University of Wisconsin System, which is part of the State of Wisconsin.

This report includes the statement of revenues and expenditures – budgetary basis of the Athletic Department's intercollegiate sports programs. All functions related to these intercollegiate sports programs are included.

Officially recognized booster organizations have been established to aid the Athletic Department with its outreach booster efforts. These organizations are not component units of the University and are not under the direct accounting control of the University or Athletic Department. As such, the accompanying statement of revenues and expenditures – budgetary basis excludes the financial activity of these booster organizations. However, the accompanying statement of revenues and expenditures – budgetary basis includes, upon satisfying all donor restrictions, those cash or in-kind contributions made to the Athletic Department by the recognized booster organizations.

**UNIVERSITY OF WISCONSIN-MADISON
DIVISION OF INTERCOLLEGIATE ATHLETICS**

**NOTES TO STATEMENT OF REVENUES AND EXPENDITURES – BUDGETARY BASIS
For the Year Ended June 30, 2018**

NOTE 1 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (cont.)

A. REPORTING ENTITY (cont.)

Expenditures of these organizations are excluded from the accompanying statements. The recognized booster organizations at June 30, 2018 are as follows:

Badger Basketball Boosters
Mendota Gridiron Club, Inc.

B. BASIS OF ACCOUNTING

The accompanying statement of revenues and expenditures – budgetary basis has been prepared on the budgetary basis of accounting which is a comprehensive basis of accounting other than generally accepted accounting principles (GAAP). Under the budgetary basis of accounting, revenues are recorded when susceptible to accrual, i.e., both measurable and available. Available means collectible within the current period or soon enough thereafter to be used to pay liabilities of the current period. For this purpose, the Athletic Department considers revenues to be available if they are collected within 60 days of the end of the current fiscal period. Significant revenues susceptible to accrual include most operating revenues. Contributions from recognized booster organizations generally are recorded as revenues when received in cash or in-kind because they are not measurable or available until actually received. Cash receipts from advance ticket sales related to athletic events to be held in the subsequent year are deferred and will be recognized as revenues during the year the event takes place.

Expenditures are recorded when the related fund liability is incurred, except for unmatured interest on long-term debt, claims, judgments, compensated absences, and pension expenditures, which are recorded as a liability when expected to be paid with expendable available financial resources. Guaranteed payments to nonconference football opponents are reported as expenditures. Ticket sales shared with conference opponents are netted against gross ticket revenues.

Tuition waivers are recorded as athletic student aid. The value of the Under Armour merchandise received is reported under the sports or other team expenditure categories while revenue is recorded under corporate sponsorships.

C. MEASUREMENT FOCUS

The measurement focus of the statement of revenues and expenditures – budgetary basis is the flow of current financial resources concept. Under this concept, sources and uses of financial resources, including capital outlays funded by operating revenues and debt retirements are reflected in operations. Resources not available to finance expenditures and commitments of the current period are not recognized as revenue. Liabilities for claims, judgments, compensated absences, and pension contributions which will not be currently liquidated using expendable available financial resources are not recognized as current expenditures. These related expenditures are recognized when the liabilities are liquidated.

**UNIVERSITY OF WISCONSIN-MADISON
DIVISION OF INTERCOLLEGIATE ATHLETICS**

**NOTES TO STATEMENT OF REVENUES AND EXPENDITURES – BUDGETARY BASIS
For the Year Ended June 30, 2018**

NOTE 1 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (cont.)

D. CAPITAL ASSETS

Capital assets acquired for Athletic Department purposes are recorded as expenditures in the statement of revenues and expenditures – budgetary basis when they are funded by operating revenues. Capital assets funded by debt proceeds and the State of Wisconsin are not reported.

Depreciation is not reflected in the statement of revenues and expenditures – budgetary basis. Depreciation is accounted for and reported in Note 8. Depreciation is calculated using the straight-line method.

E. COMPENSATED ABSENCES

Under terms of employment, employees are granted sick leave and vacations in varying amounts. Employees are allowed to accumulate unused sick leave and vacation time and carry it forward to future periods. The Athletic Department is charged for sick leave by the State of Wisconsin as the sick leave is earned. Amounts are accumulated by the State of Wisconsin in the accumulated sick leave fund in the state's Comprehensive Annual Financial Report. Therefore, no sick leave liability exists for the Athletic Department. Total vested vacation time and other compensated absences at June 30, 2018 were \$2,565,959.

Payments for vacation and sick leave will be made at rates in effect when the benefits are used. Vested benefit liabilities are determined on the basis of current salary rates and include salary related payments.

F. OTHER POSTEMPLOYMENT BENEFITS

The State of Wisconsin's health insurance program, a cost-sharing multiple employer, defined benefit plan, is an employer-sponsored program offering group medical coverage to eligible employees and retirees of the State of Wisconsin. Under this plan, retired employees of the State are allowed to pay the same healthcare premium as active employees creating an implicit rate subsidy for retirees. This implicit rate subsidy, which is calculated to cover pre-age 65 retirees, is treated as an Other Postemployment Benefit (OPEB) and results in an actuarial determined liability and expense in the State of Wisconsin's Comprehensive Annual Financial report. Details of the plan are included in the Comprehensive Annual Financial Report of the State of Wisconsin for the year ended June 30, 2018. The liability and expense is computed for the entire State of Wisconsin and is not separately calculated for the Athletic Department.

G. CLAIMS AND JUDGMENTS

Claims and judgments are recorded as expenditures if all the conditions of Governmental Accounting Standards Board pronouncements are met. There were no significant claims or judgments at year-end.

The Athletic Department is a unit of a state governmental body. The Board of Regents of the University of Wisconsin System and Athletic Department, as a unit of this state agency, cannot be sued because they have governmental immunity. Claims arising out of employment or agency (excluding employment discrimination claims) must be brought against state employees or agents individually under § 895.46, Wis. Stats.

**UNIVERSITY OF WISCONSIN-MADISON
DIVISION OF INTERCOLLEGIATE ATHLETICS**

**NOTES TO STATEMENT OF REVENUES AND EXPENDITURES – BUDGETARY BASIS
For the Year Ended June 30, 2018**

NOTE 1 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (cont.)

H. CONTRIBUTIONS

The Athletic Department receives contributions in support of various intercollegiate sports programs. All contributions for the Athletic Department are initially remitted to the University of Wisconsin Foundation (the "Foundation") which is the official not-for-profit fund raising corporation for the University of Wisconsin-Madison.

The Athletic Department applies some of the contributions for capital outlay (capital assets). Other contributions are used to support the annual operations of the Athletic Department. These contributions are reported as contribution revenues, which are recorded as revenues when they are drawn from the Foundation.

NOTE 2 – LONG-TERM DEBT

The State of Wisconsin has issued various general obligation bonds, portions of which were for construction of various Athletic Department projects. Total institutional debt as of June 30, 2018 is \$804,260,314

The general obligation bonds are backed by the full faith and credit of the State of Wisconsin. The Athletic Department's share of these bonds will be retired by Athletic Department program revenues.

For the year ended June 30, 2018, the Athletic Department's debt service payments consisted of the following:

Principal on bonds	\$ 6,326,984
Interest on bonds	<u>4,124,784</u>
Total	<u><u>\$ 10,451,768</u></u>

The following represents the unpaid balance of all long-term general obligation debt for which the Athletic Department is financially responsible:

	Issue Year	Original Debt	Maturity Date	Average Interest Rates	Balance 6/30/18
Utility System Improvement					
	2007	\$ 31,802	4/15/20	5.00%	<u>\$ 31,802</u>
Total Utility System Improvement					<u><u>\$ 31,802</u></u>

**UNIVERSITY OF WISCONSIN-MADISON
DIVISION OF INTERCOLLEGIATE ATHLETICS**

NOTES TO STATEMENT OF REVENUES AND EXPENDITURES – BUDGETARY BASIS
For the Year Ended June 30, 2018

NOTE 2 – LONG-TERM DEBT (cont.)

	Issue Year	Original Debt	Maturity Date	Average Interest Rates	Balance 6/30/18
Goodman Softball Complex	2007	\$ 49,423	4/15/20	5.00%	\$ 49,423
Total Goodman Softball Complex					49,423
Environmental Management Center	2007	48,746	4/15/20	5.00%	18,871
	2011	26,763	10/17/22	5.00%	26,763
	2014	199,349	4/15/20	5.00%	59,651
Total Environmental Management Center					105,285
Camp Randall	2007	17,362,138	4/15/20	5.00%	6,932,630
	2011	431,577	4/15/22	5.00%	431,577
	2011	16,816,187	10/17/22	5.00%	14,549,661
	2012	7,690,868	4/15/25	5.00%	7,690,868
	2013	5,744,354	4/15/25	5.00%	4,236,803
Total Camp Randall					33,841,539
Nielsen Stadium	2007	31,802	4/15/20	5.00%	814
	2011	511	4/15/21	5.00%	189
	2014	3,166	4/16/18	2.70%	1,645
	2015	717	4/16/24	5.00%	6
Total Nielsen Stadium					2,654

**UNIVERSITY OF WISCONSIN-MADISON
DIVISION OF INTERCOLLEGIATE ATHLETICS**

NOTES TO STATEMENT OF REVENUES AND EXPENDITURES – BUDGETARY BASIS
For the Year Ended June 30, 2018

NOTE 2 – LONG-TERM DEBT (cont.)

	Issue Year	Original Debt	Maturity Date	Average Interest Rates	Balance 6/30/18
Crew House					
	2007	\$ 2,030,000	4/15/20	5.00%	\$ 739,027
	2011	1,194,973	10/17/22	5.00%	1,194,973
	2012	408,390	4/15/25	5.00%	408,390
Total Crew House					<u>2,342,390</u>
University Ridge Golf Course					
	2014	39,301	10/17/23	5.00%	39,301
	2014	62,443	4/14/28	5.00%	62,443
Total University Ridge Golf Course					<u>101,744</u>
Hockey/Swim Facility					
	2011	9,000,000	4/15/31	5.00%	551,761
	2014	10,696	4/14/28	5.00%	10,696
	2015	276,685	4/16/27	4.00%	276,686
	2016	988,642	10/15/27	4.00%	988,642
	2016	5,819,190	10/17/30	4.00%	5,819,190
	2017	44,250	10/17/28	4.00%	44,250
Total Hockey/Swim Facility					<u>7,691,225</u>
Student Athlete Performance Center					
	2012	31,422,857	4/16/42	5.50%	24,723,214
	2012	8,647,637	4/15/33	5.50%	8,257,286
	2012	6,474,464	5/03/21	5.50%	2,727,989
	2013	1,467,596	5/03/21	5.50%	630,488
	2015	1,327,671	4/16/35	5.00%	145,063
	2015	352,770	4/16/21	5.00%	211,788
	2016	2,426,096	10/15/27	4.00%	2,426,095
	2017	1,495,866	04/16/36	4.00%	1,495,866
	2017	1,888,621	10/17/25	4.00%	1,888,621
	2017	514,981	04/14/23	4.00%	433,740
	2017	2,275,911	10/17/31	4.00%	2,275,911
	2017	151,559	04/16/38	4.00%	151,559
	2017	299,142	10/17/28	4.00%	299,142
	2017	840,265	10/17/34	4.00%	840,265
	2018	135,511	04/15/36	4.00%	135,511
Total Student Athlete Performance Center					<u>46,642,538</u>
Total					<u>\$ 90,808,600</u>

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**UNIVERSITY OF WISCONSIN-MADISON
DIVISION OF INTERCOLLEGIATE ATHLETICS**

NOTES TO STATEMENT OF REVENUES AND EXPENDITURES – BUDGETARY BASIS
For the Year Ended June 30, 2018

NOTE 2 – LONG-TERM DEBT (cont.)

Future general obligation debt repayment schedule:

<u>Fiscal Year Ended June 30</u>	<u>Principal</u>	<u>Interest</u>	<u>Total</u>
2019	\$ 7,149,884	\$ 4,029,841	\$ 11,179,725
2020	6,853,085	3,650,938	10,504,023
2021	7,274,093	3,225,471	10,499,564
2022	7,212,042	2,840,173	10,052,215
2023	7,293,127	2,524,190	9,817,317
2024 – 2028	15,373,022	9,163,919	24,536,941
2029 – 2033	20,849,348	6,299,707	27,149,055
2034 – 2038	9,836,320	2,923,576	12,759,896
2039 – 2042	8,967,679	918,728	9,886,407
Totals	\$ 90,808,600	\$ 35,576,543	\$ 126,385,143

NOTE 3 – LONG-TERM CAPITAL LEASES

The State of Wisconsin (State) established a facility in 1992 that provides lease purchase financing for property and certain service items acquired by state agencies. This facility is the Third Amended and Restated Master Lease between the State acting by and through the Wisconsin Department of Administration and U.S. Bank National Association. Lease purchase obligations under the Master Lease are not general obligations of the State, but are payable from appropriations of State agencies participating in the Master Lease Program, subject to a separate determination. Pursuant to terms of the Master Lease, the Trustee for the facility issues parity Master Lease certificates of participation that evidence proportionate interest of the owners thereof in lease payments.

The capital leases that are the responsibility of University Ridge Golf Course are for various equipment capital assets with terms ranging from 48 to 60 months. Equipment under capital leases is included in capital assets as follows:

Equipment under capital leases	\$ 428,015
Less: Accumulated depreciation	69,978
Totals	\$ 358,037

Following is a schedule of future minimum lease payments required under these capital leases:

<u>Fiscal Year Ended June 30</u>	<u>Principal</u>	<u>Interest</u>	<u>Total</u>
2019	\$ 87,341	\$ 13,732	\$ 101,073
2020	91,763	9,311	101,074
2021	66,459	4,996	71,455
2022	50,648	1,710	52,358
Totals	\$ 296,211	\$ 29,749	\$ 325,960

**UNIVERSITY OF WISCONSIN-MADISON
DIVISION OF INTERCOLLEGIATE ATHLETICS**

**NOTES TO STATEMENT OF REVENUES AND EXPENDITURES – BUDGETARY BASIS
For the Year Ended June 30, 2018**

NOTE 4 – EMPLOYEES' RETIREMENT SYSTEM

All eligible Athletic Department employees participate in the Wisconsin Retirement System ("system"), a cost-sharing defined benefit multiple-employer public employee retirement system (PERS).

All permanent employees expected to work over 600 hours a year are eligible to participate in the system. Covered employees are required by statute to contribute 6.65% of their salary to the plan.

Details of the plan are included in the Comprehensive Annual Financial Report of the State of Wisconsin for the year ended June 30, 2018.

NOTE 5 – COMMITMENTS AND CONTINGENCIES

During the ordinary course of business, the Athletic Department enters into various long-term commitments with third parties. These commitments vary in duration and in the amount of resources required to fulfill each commitment. A description of the major commitments in effect at June 30, 2018, and the expiration date of the current agreements are summarized below:

<u>Description of Long-Term Commitment</u>	<u>Expiration Date of Current Agreement</u>
Employment contract – football head coach	January 31, 2023
Employment contract – men's basketball head coach	May 31, 2023
Employment contract – men's hockey head coach	June 30, 2023
Employment contract – women's basketball head coach	May 31, 2023
Employment contract – men's track head coach	June 30, 2021
Employment contract – wrestling head coach	May 31, 2021
Employment contract – volleyball head coach	January 31, 2023
Employment contract – women's hockey head coach	June 30, 2023
Employment contract – men's crew head coach	June 30, 2021
Employment contract – director of strength and conditioning	June 30, 2019
Employment contract – assistant football coach	June 30, 2019
Employment contract – assistant football coach	June 30, 2019
Employment contract – assistant football coach	June 30, 2019
Employment contract – assistant football coach	June 30, 2019
Employment contract – assistant football coach	June 30, 2019
Employment contract – assistant football coach	June 30, 2019
Employment contract – men's tennis head coach	May 31, 2021

**UNIVERSITY OF WISCONSIN-MADISON
DIVISION OF INTERCOLLEGIATE ATHLETICS**

**NOTES TO STATEMENT OF REVENUES AND EXPENDITURES – BUDGETARY BASIS
For the Year Ended June 30, 2018**

NOTE 5 – COMMITMENTS AND CONTINGENCIES (cont.)

From time to time, the Athletic Department is party to various pending claims and legal proceedings. Although the outcome of such matters cannot be forecasted with certainty, it is the opinion of management and the Legal Affairs Office that the likelihood is remote that any such claims or proceedings will have a material adverse effect on the Athletic Department's statement of revenues and expenditures – budgetary basis.

NOTE 6 – UNDER ARMOUR CONTRACT

A 10-year contract with Under Armour Promotional Retail Operators was entered into effective July 1, 2016. During each contract year, Under Armour shall be the exclusive supplier of athletic footwear and apparel to UW Intercollegiate Athletic teams, and Under Armour shall provide compensation in the form of goods, services and monetary contributions to benefit the University, Athletic Department and the Madison community.

NOTE 7 – RELATED PARTY TRANSACTIONS

The University provides various administrative and accounting services to the Athletic Department. The cost of these services is charged to the Athletic Department. In addition, the Foundation provides various administrative and accounting services to the Athletic Department. The Athletic Department pays the Foundation for these costs.

**UNIVERSITY OF WISCONSIN-MADISON
DIVISION OF INTERCOLLEGIATE ATHLETICS**

**NOTES TO STATEMENT OF REVENUES AND EXPENDITURES – BUDGETARY BASIS
For the Year Ended June 30, 2018**

NOTE 8 – CAPITAL ASSETS

A summary of changes in capital assets follows:

	Balance 7/01/17	Additions	Deletions	Balance 6/30/18
Land	\$ 10,651,178	\$ -	\$ -	\$ 10,651,178
Buildings and fixtures	361,611,643	3,831,587	-	365,443,230
Improvements other than buildings	18,422,022	13,000	-	18,435,022
Equipment	10,699,929	6,171,639	28,744	16,842,824
Total Capital Assets	401,384,772	10,016,226	28,744	411,372,254
Less: Accumulated depreciation for:				
Buildings and fixtures	111,416,260	9,254,300	-	120,670,560
Improvements other than buildings	9,766,532	375,686	-	10,142,218
Equipment	7,418,532	1,573,308	28,744	8,963,096
Total Accumulated Depreciation	128,601,324	11,203,294	28,744	139,775,874
Capital Assets, Net of Depreciation	\$ 272,783,448			\$ 271,596,380

The total Athletic Department related capital expenditures for the year is \$11,462,292.

NOTE 9 – RISK MANAGEMENT

The Athletic Department participates in the State of Wisconsin's Risk Management Fund. It is the general policy of the State not to purchase commercial insurance for the risks of losses to which it is exposed. Instead, the State believes it is more economical to manage its risks internally and set aside assets for claim settlement in its Risk Management Fund. The fund services most claims for risk of loss to which the State is exposed, including damage to State owned property, liability for property damages and injuries to third parties, and worker's compensation.

In addition, the Athletic Department participates in the Wisconsin Department of Employee Trust Funds. The Wisconsin Department of Employee Trust Funds operates four public entity risk pools: health insurance, group income continuation insurance, protective occupation duty disability insurance, and long-term disability insurance. Information regarding these risk pools can be found in the State's Comprehensive Annual Financial Report.

**UNIVERSITY OF WISCONSIN-MADISON
DIVISION OF INTERCOLLEGIATE ATHLETICS**

**NOTES TO STATEMENT OF REVENUES AND EXPENDITURES – BUDGETARY BASIS
For the Year Ended June 30, 2018**

NOTE 10 – CONTRIBUTIONS AND ENDOWMENTS HELD BY THE UNIVERSITY OF WISCONSIN FOUNDATION

As of June 30, 2018, the Athletic Department had \$164,443,941 of fundraising, endowment and other investments held at the Foundation. The total value of the Athletic Department's dedicated endowments being held at the Foundation for the year is \$97,998,613. The Athletic Department will draw funds from the Foundation as needed, and according to the Memorandum of Agreement upon approval, to finance expenditures of the Athletic Department. The total value of institutional endowments as of June 30, 2018 is \$2,985,251,464.

NOTE 11 – SUITES AND TICKETS GIVEN TO INSTITUTION

In fiscal year 2017-2018, the Athletic Department contributed \$650,846 to the Institution in the form of event tickets, suite access, and parking for events. The Athletics Department also waived donation requirements in the amount of \$133,000 for suites, premium seating and parking.

NOTE 12 – EXCESS TRANSFERS TO INSTITUTION

For the fiscal year ended June 30, 2018, the Athletic Department transferred \$2,275,297 to the Institution in excess of those funds allowed to be reported with the "Less – transfers to Institution" category on the Statement of Revenues and Expenditures – Budgetary Basis.

NOTE 13 – SUBSEQUENT EVENTS

In January 2019, the Board of Directors of the Mendota Gridiron Club, Inc., a recognized booster organization at June 30, 2018, voted unanimously to dissolve the 501(c)(3) entity effective January 31, 2019. Assets that exceed liabilities will be donated to the Wisconsin Foundation for use by the Athletic Department.

In December 2018, the Athletic Department issued general obligation bonds in the amount of \$23,552 with an interest rate of 5.0%. Those proceeds will be used for the Student Athlete Performance Center (SAPC) McClain project.

OTHER REPORTS

INDEPENDENT ACCOUNTANTS' REPORT ON APPLYING AGREED-UPON
PROCEDURES FOR AFFILIATED AND OUTSIDE ORGANIZATIONS

To the Chancellor
University of Wisconsin-Madison
Madison, Wisconsin

We have performed the procedures enumerated below, which were agreed to by the University of Wisconsin-Madison Division of Intercollegiate Athletics (the "Athletic Department") and the National Collegiate Athletic Association (the "NCAA"), solely to assist you with respect to complying with NCAA Bylaw 3.2.4.15 for the year ended June 30, 2018. The University of Wisconsin-Madison Division of Intercollegiate Athletics is responsible for compliance with the requirements of NCAA Bylaw 3.2.4.15 for the year ended June 30, 2018. The sufficiency of the procedures is solely the responsibility of the parties specified in this report. Consequently, we make no representation regarding the sufficiency of the procedures described below either for the purpose for which this report has been requested or for any other purpose.

The procedures we performed are summarized as follows:

- a. We obtained a list of recognized booster organizations ("organizations") and related statements of changes in cash of recognized organizations presented in Exhibit A for the year ended June 30, 2018. No audit procedures were performed on these statements in connection with our audit of the statement of revenues and expenditures – budgetary basis.
- b. We confirmed with the appropriate office of the recognized booster organizations the cash receipts and disbursements of the organizations for the year ended June 30, 2018 as shown in Exhibit A.
- c. We received the financial statements and report regarding internal control of the Mendota Gridiron Club, Inc. for the year ended December 31, 2017, which had been audited by other independent auditors who expressed an unmodified opinion on these statements.

The results of these procedures are summarized in the following statement of changes in cash of recognized booster organizations.

This agreed-upon procedures engagement was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants. We were not engaged to, and did not, conduct an audit, the objective of which would be the expression of an opinion on the accompanying statement of changes in cash of recognized booster organizations for the year ended June 30, 2018. Accordingly, we do not express such an opinion. Had we performed additional procedures, other matters might have come to our attention that would have been reported to you.

This report is intended solely for the information and use of the Chancellor, management of the University of Wisconsin Athletic Department, or an authorized representative of the NCAA and is not intended to be, and should not be, used by anyone other than these specified parties.

Baker Tilly Virchow Krause, LLP

Madison, Wisconsin
January 11, 2019

**UNIVERSITY OF WISCONSIN-MADISON
DIVISION OF INTERCOLLEGIATE ATHLETICS**

STATEMENT OF CHANGES IN CASH OF RECOGNIZED BOOSTER ORGANIZATIONS
For the Year Ended June 30, 2018

	Beginning Cash Balance	Revenue Transfers From/(To) Foundation	Booster Cash Receipts	Expenditures On Behalf of Athletics	Expenditures On Behalf of Booster Organization	Ending Cash Balance
Badger Basketball Boosters	\$ 83,730	\$ -	\$ 208,789	\$ -	\$ (155,301)	\$ 135,198
Mendota Gridiron Club, Inc.	56,136	-	193,450	(7,628)	(211,134)	30,824
TOTALS	\$ 139,866	\$ -	\$ 400,219	\$ (7,628)	\$ (366,435)	\$ 166,022

See independent accountants' report on applying agreed-upon procedures for affiliated and outside organizations and notes to statement of changes in cash of recognized booster organizations.

**UNIVERSITY OF WISCONSIN-MADISON
DIVISION OF INTERCOLLEGIATE ATHLETICS**

**NOTES TO STATEMENT OF CHANGES IN CASH OF
RECOGNIZED BOOSTER ORGANIZATIONS
For the Year Ended June 30, 2018**

(1) Cash Receipts

Cash receipts represent funds deposited by recognized booster organizations in their checking accounts during their fiscal year. These amounts are proceeds from club activities. Individual gifts made in support of particular sports are made directly to the University of Wisconsin Foundation and, therefore, are not reflected as a booster organization receipt in the Statement of Changes in Cash of Recognized Booster Organizations. These amounts are recognized as revenues when they are transferred to the Athletic Department.

(2) Expenditures

Cash disbursements are made by the recognized booster organizations for various purposes.

The Athletic Department may expend funds from specific Foundation funds to assist support organizations in their club activities. These amounts are included in the Athletic Department's statement of revenues and expenditures – budgetary basis.

INDEPENDENT ACCOUNTANTS' REPORT ON APPLYING AGREED-UPON PROCEDURES FOR THE
UNIVERSITY OF WISCONSIN – MADISON DIVISION OF INTERCOLLEGIATE ATHLETICS

To the Chancellor
University of Wisconsin-Madison
Madison, Wisconsin

We have performed the procedures enumerated below, which were agreed to by the University of Wisconsin-Madison Division of Intercollegiate Athletics (the "Athletic Department") and the National Collegiate Athletic Association (the "NCAA"), solely to assist you with respect to complying with NCAA Bylaw 3.2.4.15 for the year ended June 30, 2018. The University of Wisconsin-Madison Division of Intercollegiate Athletics is responsible for compliance with the requirements of NCAA Bylaw 3.2.4.15 for the year ended June 30, 2018. The sufficiency of the procedures is solely the responsibility of the parties specified in this report. Consequently, we make no representation regarding the sufficiency of the procedures described below either for the purpose for which this report has been requested or for any other purpose.

The procedures we performed are summarized as follows:

MINIMUM AGREED-UPON PROCEDURES FOR REVENUES

General

- > We compared and agreed each operating revenue category reported in the Statement of Revenues and Expenditures – Budgetary Basis ("Statement") during the reporting period to supporting schedules provided by the Athletic Department. If a specific reporting category was less than 4.0% of the total revenues, no procedures were required for that specific category.
- > We compared and agreed a sample of operating revenue receipts obtained from the above operating revenue supporting schedules to supporting documentation.
- > We compared each major revenue account over 10% of the total revenues to prior period amounts and budget estimates. We obtained an explanation from management of any variations greater than 10%. We reported the analysis as Exhibit B within the supplementary information.

No findings were noted.

The above referenced testing included the following procedures for specific revenue sources:

Ticket Sales

- > We compared tickets sold during the reporting period, complimentary tickets provided during the reporting period, and unsold tickets to the related revenue reported by the Athletic Department in the statement and the related attendance figures and recalculated totals.

No findings were noted.

MINIMUM AGREED-UPON PROCEDURES FOR REVENUES (cont.)

Student Fees

- > There were no student fees reported on the Statement, no procedures were performed.

Direct State or Other Governmental Support

- > There were no direct state or other governmental support reported on the Statement, no procedures were performed.

Direct Institutional Support

- > We compared the direct institutional support recorded by the Athletic Department during the reporting period with the institutional supporting budget transfers documentation and other corroborative supporting documentation and recalculated totals.

No findings were noted.

Transfers Back to Institution

- > We compared the transfers back to the institution with permanent transfers back to the institution from the Athletic Department and recalculated totals.

No findings were noted.

Indirect Institutional Support

- > Total indirect institutional support reported on the Statement were less than 4% of total revenues, no procedures were required to be performed.

Guarantees

- > Total guarantees reported on the Statement were less than 4% of total revenues, no procedures were required to be performed.

Contributions

- > We requested information regarding any contributions of monies, goods, or services received directly by an intercollegiate athletics program from any affiliated or outside organization, agency, or group of individuals (two or more) not included above (e.g., contributions by corporate sponsors) that constitutes 10 percent or more in aggregate for the reporting year of all contributions received for intercollegiate athletics during the reporting periods. We obtained supporting documentation for each contribution and recalculated totals.

No findings were noted.

In-Kind

- > Total in-kind reported on the Statement were less than 4% of total revenues, no procedures were required to be performed.

MINIMUM AGREED-UPON PROCEDURES FOR REVENUES (cont.)

Compensation and Benefits Provided by a Third-Party

- > There were no compensation and benefits provided by a third party reported on the Statement, no procedures were performed.

Media Rights

- > We obtained and inspected agreements explaining the Athletic Department's total media (broadcast, television, radio) rights received by the Athletic Department or through the Big 10 conference offices as reported in the statement.
- > We compared and agreed the media right revenues to a summary statement of all media rights identified, if applicable, and the Athletic Department general ledger and recalculated totals.

No findings were noted.

NCAA Distributions

- > Total NCAA distributions reported on the Statement were less than 4% of total revenues, no procedures were required to be performed.

Conference Distributions

- > We obtained and inspected agreements related to the Athletic Department's conference distributions and participation in revenues from tournaments during the reporting period for relevant terms and conditions.
- > We compared and agreed the related revenues to the Athletic Department's general ledger, and/or the statement and recalculated totals.

No findings were noted.

Program Sales, Concessions, Novelty Sales, and Parking

- > We compared the amount recorded in the revenue reporting category to a general ledger detail of program sales, concessions, novelty sales, and parking as well as any other corroborative supporting documents and recalculated totals.

No findings were noted.

Royalties, Licensing, Advertisements, and Sponsorships

- > We obtained and inspected agreements related to the Athletic Department's participation in revenues from royalties, licensing, advertisements, and sponsorships during the reporting period.
- > We compared and agreed the related revenues to the Athletic Department's general ledger, and/or the statement and recalculated totals.

No findings were noted.

Sports Camp Revenues

- > Total sports camp revenues reported on the Statement were less than 4% of total revenues, no procedures were required to be performed.

MINIMUM AGREED-UPON PROCEDURES FOR REVENUES (cont.)

Athletics Restricted Endowment and Investment Income

- > Total athletics restricted endowment and investment income reported on the Statement were less than 4% of total revenues, no procedures were required to be performed.

Other

- > Total other revenues reported on the Statement were less than 4% of total revenues, no procedures were required to be performed.

Bowl Revenues

- > Total bowl revenues reported on the Statement were less than 4% of total revenues, no procedures were required to be performed.

MINIMUM AGREED-UPON PROCEDURES FOR EXPENDITURES

General

- > We compared and agreed each expenditure category reported in the statement during the reporting period to supporting schedules provided by the Athletic Department. If a specific reporting category was less than 4.0% of the total expenditures, no procedures were required for that specific category.
- > We compared and agreed a haphazardly selected sample of 25 expenditures obtained from the statement to supporting documentation.
- > We compared each major expenditure account over 10% of the total expenditures to prior period amounts and budget estimates. We obtained an explanation from management of any variations greater than 10%. We reported the analysis as Exhibit B within the supplementary information.

No findings were noted.

The above referenced testing included the following procedures for specific expenditure categories:

Athletic Student Aid

- > We haphazardly selected a sample of 60 students from the listing of institutional student aid recipients during the reporting period.
- > We obtained individual student account detail for each selection and compared total aid allocated from the related aid award letter to the student's account.
- > We compared information for each student selected for consistency with information entered into the NCAA Membership Financial Reporting System using the criteria specified by the NCAA.
- > We recalculated totals for each sport and overall.

No findings were noted.

Guarantees

- > Total guarantees reported on the Statement were less than 4% of total expenditures; no procedures were required to be performed.

MINIMUM AGREED-UPON PROCEDURES FOR EXPENDITURES (cont.)

Coaching Salaries, Benefits, and Bonuses Paid by the University and Related Entities

- > We obtained and inspected a listing of coaches employed by the Athletic Department and related entities during the reporting period. We selected a sample of nine coaches' contracts including football, and men's and women's basketball from the listing.
- > We compared and agreed the financial terms and conditions of each selection to the related coaching salaries, benefits, and bonuses recorded by the Athletic Department and related entities in the statement during the reporting period.
- > We obtained and inspected payroll summary registers for the reporting year for each selection. We compared and agreed payroll summary registers from the reporting period to the related coaching salaries, benefits, and bonuses paid by the Athletic Department and related entities expenditures recorded by the Athletic Department in the statement during the reporting period.
- > We compared and agreed the totals recorded to any employment contracts executed for the sample selected and recalculated totals.

No findings were noted.

Coaching Other Compensation and Benefits Paid by a Third Party

- > There were no coaching other compensation and benefits paid by a third party reported on the Statement, no procedures were performed.

Support Staff/Administrative Salaries, Benefits and Bonuses Paid by the University and Related Entities

- > We haphazardly selected a sample of 25 support staff/administrative personnel employed by the Athletic Department and related entities during the reporting period.
- > We obtained and inspected reporting period summary payroll registers for each selection. We compared and agreed related summary payroll registers to the related support staff administrative salaries, benefits, and bonuses paid by the Athletic Department and related entities expenditure recorded by the Athletic Department in the statement during the reporting period and recalculated totals.

No findings were noted.

Support Staff/Administrative Other Compensation and Benefits Paid by a Third Party

- > There were no support staff/administrative other compensation and benefits paid by a third party reported on the Statement, no procedures were performed.

Severance Payments

- > Total severance payments reported on the Statement were less than 4% of total expenditures, no procedures were required to be performed.

MINIMUM AGREED-UPON PROCEDURES FOR EXPENDITURES (cont.)

Recruiting

- > Total recruiting expenditures reported on the Statement were less than 4% of total expenditures, no procedures were required to be performed.

Team Travel

- > We obtained a copy of the Athletic Department's team travel policies.
- > We compared and agreed to existing institutional- and NCAA-related policies.
- > We obtained general ledger detail and compared to the total expenditures reported and recalculated totals.

No findings were noted.

Equipment, Uniforms, and Supplies

- > Total equipment, uniforms, and supplies expenditures reported on the Statement were less than 4% of total expenditures, no procedures were required to be performed.

Game Expenditures

- > Total game expenditures reported on the Statement were less than 4% of total expenditures, no procedures were required to be performed.

Fund Raising, Marketing, and Promotion

- > Total fund raising, marketing, and promotion expenditures reported on the Statement were less than 4% of total expenditures, no procedures were required to be performed.

Sports Camp Expenditures

- > Total sports camp expenditures reported on the Statement were less than 4% of total expenditures, no procedures were required to be performed.

Spirit Groups

- > Total spirit groups expenditures reported on the Statement were less than 4% of total expenditures, no procedures were required to be performed.

Athletic Facilities Debt Service, Leases, and Rental Fees

- > We obtained a listing of debt service schedules, lease payments, and rental fees for athletics facilities for the reporting year. We compared a sample of 8 facility payments including the top two highest facility payments to additional supporting documentation (e.g., debt financing agreements, leases, rental agreements).
- > We compared amounts recorded to amounts listed in the general ledger detail and recalculated totals.

No findings were noted.

MINIMUM AGREED-UPON PROCEDURES FOR EXPENDITURES (cont.)

Direct Overhead and Administrative Expenditures

- > We obtained general ledger detail and compared to the total expenditures reported. We haphazardly selected a sample of 11 transactions to validate the existence of the transaction and accuracy of recording and recalculated totals.

No findings were noted.

Indirect Institutional Support

- > See the revenue section – Indirect Institutional Support.

No findings were noted.

Medical Expenditures and Medical Insurance

- > Total medical expenditures and medical insurance reported on the Statement were less than 4% of total expenditures, no procedures were required to be performed.

Memberships and Dues

- > Total membership and dues expenses reported on the Statement were less than 4% of total expenditures, no procedures were required to be performed.

Other Operating Expenditures and Transfers to Institution

- > We obtained general ledger detail and compared to the total expenditures reported. We haphazardly selected a sample of 3 transactions to validate the existence of the transaction and accuracy of recording and recalculated totals.

No findings were noted.

Student-Athlete Meals (non-travel)

- > Total student-athlete meals (non-travel) expenditures reported on the Statement were less than 4% of total expenditures, no procedures were required to be performed.

Bowl Expenses

- > Total bowl expenditures reported on the Statement were less than 4% of total expenses, no procedures were required to be performed.

ADDITIONAL MINIMUM AGREED-UPON PROCEDURES

- > We compared and agreed the sports sponsored reported in the NCAA Membership Financial Reporting System to the squad lists of the Athletic Department.
- > We obtained the Athletic Department's Sports Sponsorship and Demographics Forms Report for the reporting year and compared the countable sports reported to the minimum requirements set forth in Bylaw 20.9.6.3 for the number of contests and the number of participants in each contest that is counted toward meeting the minimum-contest requirement. We compared what the Athletic Department reported for these sports as countable, for revenue distribution purposes, within the NCAA Membership Financial Reporting System to the Sports Sponsorships and Demographics Forms report.

ADDITIONAL MINIMUM AGREED-UPON PROCEDURES (cont.)

- > We agreed the total number of Division I student-athletes who, during the academic year, received a Pell Grant award and the total value of these Pell Grants reported in the NCAA Membership Financial Reporting System to a report, generated out of the Athletic Department's financial aid records, of all student-athlete Pell Grants.

No findings were noted.

SUPPLEMENTAL AGREED-UPON PROCEDURES

- > We selected a sample of 25 operating expenditures to compare to supporting documentation for University of Wisconsin Foundation disbursements on behalf of the Athletic Department.

No findings were noted.

- > We obtained the Athletic Department's schedule of capital assets, including additions and deletions summarized by type.

No findings were noted.

- > We obtained support for and disclosed the source of funds, goods, and services, as well as the value associated with individual contributions of monies, goods, or services received directly by the Athletic Department from any affiliated or outside organization within the notes to the financial statements if they exceeded 10 percent of all contributions.

We performed this procedure and determined that there were no significant contributions meeting this criteria. Therefore, no disclosure was included in the notes to the Statement of Revenues and Expenditures – Budgetary Basis.

MINIMUM AGREED-UPON PROCEDURES PROGRAM FOR OTHER REPORTING ITEMS

Excess Transfers to Institution and Conference Realignment Expenses

- > We obtained general ledger detail and compared to the total expenditures reported. We haphazardly selected a sample of one transaction to validate the existence of the transaction and accuracy of recording and recalculated totals.

No findings were noted.

Total Athletics Related Debt

- > We obtained repayment schedules for all outstanding intercollegiate athletics debt during the reporting period and recalculated annual maturities.
- > We agreed the total annual maturities and total outstanding athletic related debt to supporting documentation and the Athletic Department's general ledger.

No findings were noted.

Total Institutional Debt

- > We agreed the total outstanding institutional debt to supporting documentation and the institution's audited financial statements, if available, or the institution's general ledger.

No findings were noted.

MINIMUM AGREED-UPON PROCEDURES PROGRAM FOR OTHER REPORTING ITEMS (cont.)

Value of Athletics Dedicated Endowments

- > We obtained a schedule of all athletics dedicated endowments maintained by athletics, the institution, and affiliated organizations and agreed the fair market value in the schedule(s) to supporting documentation, the general ledger(s) and audited financial statements, if available.

No findings were noted.

Value of Institutional Endowments

- > We agreed the total fair market value of institutional endowments to supporting documentation, the institution's general ledger and/or audited financial statements, if available.

No findings were noted.

Total Athletics Related Capital Expenditures

- > We obtained a schedule of athletics related capital expenditures made by athletics, the institution, and affiliated organizations during the reporting period.
- > We obtained general ledger detail and compared to the total expenditures reported. We selected capital asset additions greater than \$25,000 and reconciled the recorded costs to supporting documentation. We recalculated totals.

No findings were noted.

This agreed-upon procedures engagement was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants. We were not engaged to, and did not, conduct an audit, the objective of which would be the expression of an opinion on the accompanying analysis of major revenue and expenditure accounts with variations greater than ten percent. Accordingly, we do not express such an opinion. Had we performed additional procedures, other matters might have come to our attention that would have been reported to you.

This report is intended solely for the information and use of the Chancellor, management of the University of Wisconsin and Athletic Department, or an authorized representative of the NCAA and is not intended to be, and should not be, used by anyone other than these specified parties.

Baker Tilly Voichau Krause, LLP

Madison, Wisconsin
January 11, 2019

**UNIVERSITY OF WISCONSIN-MADISON
DIVISION OF INTERCOLLEGIATE ATHLETICS**

**ANALYSIS OF MAJOR* REVENUE AND EXPENDITURE ACCOUNTS
For the Year Ended June 30, 2018**

Revenue Account	Current Actual	Budgeted	Prior Actual	Explanations
Ticket Sales	\$32,506,181	**	\$28,773,189	The primary increase in ticket sales was due to: > There was 1 more home football game in FY18 compared to FY17.
Media Rights	\$54,00,588	**	\$35,379,832	The primary increase in media rights was due to: > The additional revenue from the new Big Ten TV contract in FY18.

Expense Account	Current Actual	Budgeted	Prior Actual	Explanations
Direct Overhead and Administrative Expenses	\$22,062,125	**	\$18,872,049	The primary increase in direct overhead and administrative expenses was due to the: > The video scoreboard projects at the Kohl Center and Fieldhouse.

* As defined within the Minimum Agreed-Upon Procedures.

** The University of Wisconsin – Madison Division of Intercollegiate Athletics budgets by units that differ from those categories presented for NCAA reporting.

See independent accountants' report on applying agreed-upon procedures for the University of Wisconsin-Division of Intercollegiate Athletics.

**UNIVERSITY OF WISCONSIN-MADISON
DIVISION OF INTERCOLLEGIATE ATHLETICS**

Madison, Wisconsin

COMMUNICATION TO THOSE CHARGED WITH
GOVERNANCE AND MANAGEMENT

For the Year Ended June 30, 2018

UNIVERSITY OF WISCONSIN-MADISON
DIVISION OF INTERCOLLEGIATE ATHLETICS

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REQUIRED COMMUNICATION OF INTERNAL CONTROL RELATED MATTERS
IDENTIFIED IN THE AUDIT TO THOSE CHARGED WITH GOVERNANCE



To the Chancellor
University of Wisconsin-Madison
Madison, Wisconsin

In planning and performing our audit of the statement of revenues and expenditures – budgetary basis of the University of Wisconsin-Madison Division of Intercollegiate Athletics (Athletic Department) for the year ended June 30, 2018, in accordance with auditing standards generally accepted in the United States of America, we considered the Athletic Department's internal control over financial reporting (internal control) as a basis for designing our auditing procedures for the purpose of expressing our opinion on the statement of revenues and expenditures – budgetary basis, but not for the purpose of expressing an opinion on the effectiveness of the Athletic Department's internal control. Accordingly, we do not express an opinion on the effectiveness of the Athletic Department's internal control.

Our consideration of internal control was for the limited purpose described in the preceding paragraph and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies and, therefore, material weaknesses or significant deficiencies may exist that were not identified.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct misstatements on a timely basis. A material weakness is a deficiency, or combination of deficiencies in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. We did not identify any deficiencies in internal control that we consider to be material weaknesses.

This communication is intended solely for the information and use of the Chancellor, management of the Athletic Department, or an authorized representative of the National Collegiate Athletic Association, and is not intended to be, and should not be, used by anyone other than these specified parties.

Baker Tilly Vorchaw Krause, LLP

Madison, Wisconsin
January 11, 2019



OTHER COMMUNICATIONS TO THOSE CHARGED WITH GOVERNANCE

TWO WAY COMMUNICATION REGARDING YOUR AUDIT

As part of our audit of your Statement of Revenues and Expenditures – Budgetary Basis, we are providing communications to you throughout the audit process. Auditing requirements provide for two way communication and are important in assisting the auditor and you with more information relevant to the audit.

As this past audit is concluded, we use what we have learned to begin the planning process for next year's audit. It is important that you understand the following points about the scope and timing of our next audit:

- a. We address the significant risks of material misstatement, whether due to fraud or error, through our detailed audit procedures.
- b. We will obtain an understanding of the five components of internal control sufficient to assess the risk of material misstatement of the Statement of Revenues and Expenditures – Budgetary Basis whether due to error or fraud, and to design the nature, timing, and extent of further audit procedures. We will obtain a sufficient understanding by performing risk assessment procedures to evaluate the design of controls relevant to an audit of the Statement of Revenues and Expenditures – Budgetary Basis and to determine whether they have been implemented. We will use such knowledge to:
 - > Identify types of potential misstatements.
 - > Consider factors that affect the risks of material misstatement.
 - > Design tests of controls, when applicable, and substantive procedures.

We will not express an opinion on the effectiveness of internal control over financial reporting or compliance with laws, regulations, and provisions of contracts or grant programs.

- c. The concept of materiality recognizes that some matters, either individually or in the aggregate, are important for fair presentation of the Statement of Revenues and Expenditures – Budgetary Basis in conformity with generally accepted accounting principles while other matters are not important. In performing the audit, we are concerned with matters that, either individually or in the aggregate, could be material to the Statement of Revenues and Expenditures – Budgetary Basis. Our responsibility is to plan and perform the audit to obtain reasonable assurance that material misstatements, whether caused by errors or fraud, are detected.

We are very interested in your views regarding certain matters. Those matters are listed here:

- a. We typically will communicate with your top level of management unless you tell us otherwise.
- b. We understand that the Athletic Department is ultimately governed by the University's Chancellor through the authority delegated by the Board of Regents of the University of Wisconsin System. The Athletic Director and others within management have the responsibility for achieving the objectives of the entity.
- c. We need to know your views about your organization's objectives and strategies, and the related business risks that may result in material misstatements.
- d. Which matters do you consider warrant particular attention during the audit, and are there any areas where you request additional procedures to be undertaken?
- e. Have you had any significant communications with regulators or grantor agencies?
- f. Are there other matters that you believe are relevant to the audit of the Statement of Revenues and Expenditures – Budgetary Basis?

TWO WAY COMMUNICATION REGARDING YOUR AUDIT (cont.)

Also, is there anything that we need to know about the attitudes, awareness, and actions of the Athletic Department concerning:

- a. The Athletic Department's internal control and its importance in the entity, including how those charged with governance oversee the effectiveness of internal control?
- b. The detection or the possibility of fraud?

We also need to know if you have taken actions in response to developments in financial reporting, laws, accounting standards, governance practices, or other related matters, or in response to previous communications with us.

With regard to the timing of our audit, here is some general information. Our final fieldwork is scheduled for late October or early November to best coincide with your readiness and report deadlines. After fieldwork, we wrap up our audit procedures at our office and review drafts of your report as prepared by your staff. Final copies of your report and other communications are issued after approval by your staff. This is typically in December or early January, but may vary depending on a number of factors.

Keep in mind that while this communication may assist us with planning the scope and timing of the audit, it does not change the auditor's sole responsibility to determine the overall audit strategy and the audit plan, including the nature, timing, and extent of procedures necessary to obtain sufficient appropriate audit evidence.

We realize that you may have questions on what this all means, or wish to provide other feedback. We welcome the opportunity to hear from you.

REQUIRED COMMUNICATIONS BY THE AUDITOR TO THOSE CHARGED WITH GOVERNANCE

To the Chancellor
University of Wisconsin-Madison
Madison, Wisconsin

Thank you for using Baker Tilly Virchow Krause, LLP as your auditor.

We have completed our audit of the statement of revenues and expenditures – budgetary basis (“financial statements”) of the University of Wisconsin-Madison Division of Intercollegiate Athletics (Athletic Department) for the year ended June 30, 2018, and have issued our report thereon dated January 11, 2019. This letter presents communications required by our professional standards.

OUR RESPONSIBILITY UNDER AUDITING STANDARDS GENERALLY ACCEPTED IN THE UNITED STATES OF AMERICA

The objective of a financial statement audit is the expression of an opinion on the financial statements. We conducted the audit in accordance with auditing standards generally accepted in the United States of America. These standards require that we plan and perform our audit to obtain reasonable, rather than absolute, assurance about whether the financial statements prepared by management with your oversight are free of material misstatement, whether caused by error or fraud. Our audit included examining, on a test basis, evidence supporting the amounts and disclosures in the financial statement, assessing accounting principles used and significant estimates made by management, and evaluating the overall financial statement presentation. Our audit does not relieve management or those charged with governance of their responsibilities.

As part of the audit we obtained an understanding of the entity and its environment, including internal control, sufficient to assess the risks of material misstatement of the financial statement and to design the nature, timing and extent of further audit procedures. The audit was not designed to provide assurance on internal control or to identify deficiencies in internal control.

OTHER INFORMATION IN DOCUMENTS CONTAINING AUDITED FINANCIAL STATEMENTS

The statement of revenues and expenditures – budgetary basis has been audited. Our responsibilities are addressed in the Independent Auditors’ Report. Also included in the bound document is the statement of changes in cash of recognized booster organizations, and the analysis of major revenue and expenditure accounts. We have not audited these documents and our responsibilities are addressed in the Independent Accountants’ Report on Applying Agreed-Upon Procedures.

PLANNED SCOPE AND TIMING OF THE AUDIT

We performed the audit according to the planned scope and timing previously communicated to you in the Communication to Those Charged with Governance and Management dated January 16, 2018 and our meeting with the Finance, Facilities, and Operations Committee on February 14, 2018.

QUALITATIVE ASPECTS OF THE ENTITY'S SIGNIFICANT ACCOUNTING PRACTICES

Accounting Policies

Management has the responsibility for selection and use of appropriate accounting policies. In accordance with the terms of our engagement letter, we will advise management about the appropriateness of accounting policies and their application. The significant accounting policies used by the Athletic Department are described in Note 1 to the statement of revenues and expenditures – budgetary basis. We noted no transactions entered into by the Athletic Department during the year that were both significant and unusual, and of which, under professional standards, we are required to inform you, or transactions for which there is a lack of authoritative guidance or consensus.

Accounting Estimates

Accounting estimates are an integral part of the statement of revenues and expenditures – budgetary basis prepared by management and are based on management's knowledge and experience about past and current events and assumptions about future events. Certain accounting estimates are particularly sensitive because of their significance to the financial statements and because of the possibility that future events affecting them may differ significantly from those expected. We are not aware of any particularly sensitive accounting estimates utilized by management in its financial statement process.

Financial Statement Disclosures

The disclosures in the notes to the financial statement are neutral, consistent, and clear.

DIFFICULTIES ENCOUNTERED IN PERFORMING THE AUDIT

We encountered no significant difficulties in dealing with management in performing our audit.

CORRECTED AND UNCORRECTED MISSTATEMENTS

Professional standards require us to accumulate all known and likely misstatements identified during the audit, other than those that are trivial, and communicate them to the appropriate level of management.

There were no such misstatements identified.

DISAGREEMENTS WITH MANAGEMENT

For purposes of this letter, professional standards define a disagreement with management as a matter, whether or not resolved to our satisfaction, concerning a financial accounting, reporting, or auditing matter that could be significant to the statement of revenues and expenditures – budgetary basis or the auditors' report. We are pleased to report that no such disagreements arose during the course of our audit.

CONSULTATIONS WITH OTHER INDEPENDENT ACCOUNTANTS

In some cases, management may decide to consult with other accountants about auditing and accounting matters. If a consultation involves application of an accounting principle to the governmental unit's financial statements or a determination of the type of auditors' opinion that may be expressed on those statements, our professional standards require the consulting accountant to check with us to determine that the consultant has all the relevant facts. To our knowledge, there were no such consultations with other accountants.

MANAGEMENT REPRESENTATIONS

We have requested certain representations from management that are included in the management representation letter. This letter follows this required communication.

INDEPENDENCE

We are not aware of any relationships between Baker Tilly Virchow Krause, LLP and the Athletic Department that, in our professional judgment, may reasonably be thought to bear on our independence.

Relating to our audit of the financial statements of for the year ended June 30, 2018, Baker Tilly Virchow Krause, LLP hereby confirms that we are, in our professional judgment, independent with respect to the Athletic Department in accordance with the Code of Professional Conduct issued by the American Institute of Certified Public Accountants, and provided no services to the Athletic Department other than audit services provided in connection with the audit of the current year's financial statement and the agreed-upon procedures which in our judgment do not impair our independence.

OTHER AUDIT FINDINGS OR ISSUES

We generally discuss a variety of matters, including the application of accounting principles and auditing standards, with management each year prior to retention as the Athletic Department's auditors. However, these discussions occurred in the normal course of our professional relationship and our responses were not a condition to our retention.

RESTRICTION ON USE

This information is intended solely for the use of the Chancellor, management of the Athletic Department, or an authorized representative of the National Collegiate Athletic Association, and is not intended to be, and should not be, used by anyone other than the specified parties.

We welcome the opportunity to discuss the information included in this letter and any other matters. Thank you for allowing us to serve you.

Baker Tilly Virchow Krause, LLP

Madison, Wisconsin
January 11, 2019

MANAGEMENT REPRESENTATIONS



January 11, 2019

Baker Tilly Virchow Krause, LLP
Ten Terrace Court
P.O. Box 7398
Madison, WI 53707-7398

Dear Baker Tilly Virchow Krause, LLP:

We are providing this letter in connection with your audit of the Statement of Revenues and Expenditures-Budgetary Basis of the University of Wisconsin Madison Division of Intercollegiate Athletics for the year ended June 30, 2018 for the purpose of expressing an opinion as to whether the Statement of Revenues and Expenditures-Budgetary Basis presents fairly, in all material respects, the revenues and expenditures of the University of Wisconsin Madison Division of Intercollegiate Athletics, in conformity with the budgetary basis of accounting. We confirm that we are responsible for the fair presentation of the previously mentioned Statement of Revenues and Expenditures-Budgetary Basis in conformity with the budgetary basis of accounting. We are also responsible for adopting sound accounting policies, establishing and maintaining internal control, and preventing and detecting fraud.

Certain representations in this letter are described as being limited to matters that are material. Items are considered material, regardless of size, if they involve an omission or misstatement of accounting information that, in the light of surrounding circumstances, makes it probable that the judgment of a reasonable person relying on the information would be changed or influenced by the omission or misstatement. An omission or misstatement that is monetarily small in amount could be considered material as a result of qualitative factors.

We confirm, to the best of our knowledge and belief, the following representations made to you during your audit.

1. We have fulfilled our responsibilities, as set out in the terms of the audit engagement letter.
2. The financial statements referred to above are presented in conformity with the budgetary basis of accounting which is an other comprehensive basis of accounting. This basis of accounting is not in accordance with accounting principles generally accepted in the United States of America. We have engaged you to advise us in fulfilling that responsibility.
3. We have provided you with:
 - a. Access to all information, of which we are aware, that is relevant to the preparation and fair presentation of the financial statements, such as financial records and related data, documentation, and other matters.
 - b. Additional information that you have requested from us for the purpose of the audit.
 - c. Unrestricted access to persons within the entity from whom you determined it necessary to obtain audit evidence.
 - d. Minutes of the meetings of the Athletic Board and Finance Committee or summaries of actions of recent meetings for which minutes have not yet been prepared.
4. There have been no communications from the NCAA or other regulating agencies concerning noncompliance with, or deficiencies in, financial reporting practices.
5. All material transactions have been recorded in the accounting records and are reflected in the financial statements

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6. We acknowledge our responsibility for the design, implementation, and maintenance of internal control to prevent and detect fraud.
7. We are not aware of any known actual, possible, pending, or threatened litigation, claims, or assessments or unasserted claims or assessments that are required to be recorded or disclosed in the financial statements in accordance with accounting principles generally accepted in the United States of America, and we have not consulted a lawyer concerning litigation, claims, or assessments.
8. We acknowledge our responsibility for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.
9. We have no knowledge of any fraud or suspected fraud affecting the entity involving:
 - a. Management,
 - b. Employees who have significant roles in internal control, or
 - c. Others where the fraud could have a material effect on the financial statements.
10. We have no knowledge of any allegations of fraud or suspected fraud affecting the entity received in communications from employees, former employees, analysts, regulators, or others.
11. Significant assumptions we used in making accounting estimates, if any, are reasonable
12. Related party relationships and transactions, including revenues, expenditures, loans, transfers, leasing arrangements, and guarantees, and amounts receivable from or payable to related parties have been appropriately accounted for and disclosed in accordance with the requirements of accounting principles generally accepted in the United States of America.
13. Guarantees, whether written or oral, under which the the University of Wisconsin Madison Division of Intercollegiate Athletics is contingently liable, if any, have been properly recorded or disclosed.
14. We are responsible for compliance with the laws, regulations, and provisions of contracts and grant and debt agreements applicable to us; and we have identified and disclosed to you all laws, regulations and provisions of contracts and grant agreements that we believe have a direct and material effect on the determination of financial statement amounts.
15. We have a process to track the status of audit findings and recommendations.
16. We have identified to you any previous financial audits, attestation engagements, and other studies related to the audit objectives and whether related recommendations have been implemented.
17. We have provided our views on reported findings, conclusions, and recommendations, as well as our planned corrective actions, for our report.
18. There are no –
 - a. Violations or possible violations of provisions of contracts, laws or regulations, and any related debt covenants whose effects should be considered for disclosure in the financial statements or as a basis for recording a loss contingency, or for reporting on noncompliance.

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- b. Other liabilities or gain or loss contingencies that are required to be accrued or disclosed by accounting principles generally accepted in the United States of America.
- 19. The University of Wisconsin - Madison Division of Intercollegiate Athletics has satisfactory title to all owned assets, and there are no liens or encumbrances on such assets nor has any asset been pledged as collateral.
- 20. The University of Wisconsin - Madison Division of Intercollegiate Athletics has complied with all aspects of contractual agreements that would have a material effect on the financial statement in the event of noncompliance.
- 21. The financial statements include all related organizations.
- 22. Capital assets are properly capitalized, reported, and, if applicable, depreciated as disclosed in the Notes to the Statement of Revenues and Expenditures – Budgetary Basis.
- 23. We have identified to you any previous financial audits, attestation engagements, performance audits, or other studies related to the objectives of this audit and agreed-upon procedures being undertaken and the corrective actions taken to address significant findings and recommendations.
- 24. With respect to your engagement to apply agreed-upon procedures as identified by the National Collegiate Athletic Association (NCAA), dated April 20, 2018, as of and for the year ended June 30, 2018, and the supplemental procedures agreed to by the University of Wisconsin – Madison Division of Intercollegiate Athletics ("Athletic Department"), we confirm to the best of our knowledge and belief, the following representations made to you during your engagement:
 - a. We are responsible for the financial and NCAA compliance-related data of the Athletic Department.
 - b. As of and for the year ended June 30, 2018, the Statement of Revenues and Expenditures-Budgetary Basis is presented in accordance with NCAA guidelines.
 - c. The Chancellor's office of the University of Wisconsin Madison and the Athletic Department's management selected the criteria. We are responsible for determining that such criteria are appropriate for our purposes.

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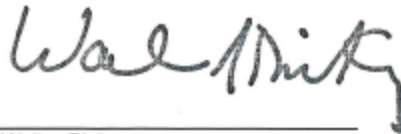
- d. We have disclosed to you all known matters related to noncompliance with NCAA reporting guidelines.
- e. We have disclosed to you any communications from regulatory agencies, internal auditors, and other independent practitioners or consultants, and others affecting the Athletic Department and NCAA reporting.
- f. We have provided you with access to all records that we believe are relevant to our compliance with the NCAA.
- g. We have responded fully to all inquiries made to us by you during the engagement.

To the best of our knowledge and belief, no events, including instances of noncompliance, have occurred subsequent to June 30, 2018, and through the date of this letter that would require adjustment to or disclosure in the aforementioned financial statement.

Sincerely,

UNIVERSITY OF WISCONSIN MADISON DIVISION
OF INTERCOLLEGIATE ATHLETICS

Signed: 
Barry Alvarez
Athletic Director

Signed: 
Walter Dickey
Special Assistant to the Athletic Director

Signed: 
Mario Morris
Associate Athletic Director-Business Operations

University of Wisconsin • Intercollegiate Athletics

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Approval to Change the
UW System Board of Regents
Regular Meeting Schedule for 2019

BOARD OF REGENTS

Resolution II.13.

That, upon the recommendation of the Executive Director and Corporate Secretary, the Board of Regents approves changes to the regular-meeting schedule for 2019 (attached).

**UW SYSTEM BOARD OF REGENTS
REGULAR MEETING SCHEDULE – 2019 (amended)**

February 7-8, 2019 – Hosted by UW-Madison

March 7, 2019 – In Madison*

April 4-5, 2019 – Hosted by Board of Regents Office at Van Hise Hall, Madison

June 6-7, 2019 – Hosted by UW-Milwaukee

July 11-12, 2019 – In Madison*

October 10-11, 2019 – Hosted by UW-Superior

November 7, 2019 – In Madison*

December 5-6, 2019 – Hosted by UW-Whitewater

**Hosted by the Board of Regents Office at Gordon Dining & Event Center*