

BOARD OF REGENTS OF THE UNIVERSITY OF WISCONSIN SYSTEM

Gordon Dining and Event Center, 2nd floor
770 W. Dayton Street, Madison, Wisconsin
April 6, 2018

II. 9:00 a.m. All Regents — Symphony Room

1. Calling of the roll
2. Approval of the minutes of the February 2018 meeting
3. Report of the President of the Board
 - a. Wisconsin Technical College System Board report
 - b. Additional items that the President may report to the Board
4. Report of the President of the System
 - a. Update on recent events
 - b. News from the UW System
 - c. Student Spotlight
5. Presentation: Annual NCAA Division I Athletics Report—UW-Green Bay
6. Report and approval of actions taken by the Education Committee
7. Report of the Audit Committee
8. Report of joint meeting of the Business and Finance and Audit Committees
9. Report and approval of actions taken by the Business and Finance Committee
10. Report and approval of actions taken by the Capital Planning and Budget Committee
11. Report and approval of the actions taken by the Research, Economic Development and Innovation Committee
12. Presentation of Board of Regents 2018 Academic Staff Excellence Awards
 - a. Ms. Jaclyn Esqueda, Student Success Programs in the College of Engineering, Mathematics and Science, UW-Platteville—Individual Award
 - b. Ms. Karen Mittelstadt, School of Nursing, UW-Madison—Individual Award
 - c. Lake Superior Research Institute, UW-Superior—Program Award
13. Potential election of future Board Officer: Executive Director and Corporate Secretary
14. Regent communications, petitions, and memorials
15. Closed Session – Sonata Room

Move into closed session to: (a) consider personal histories or disciplinary data of specific persons relating to the UW-Oshkosh Foundation matter which, if discussed in public, would be likely to have a substantial effect upon the reputation of such persons, as permitted by s. 19.85(1)(f), Wis. Stats.; and (b) confer with legal counsel regarding pending litigation (Bank First National v. UW-Oshkosh Foundation; Board of Regents v. Sonnleitner and Wells; University of Wisconsin-Oshkosh Foundation, Inc. v. Board of Regents; UW-Oshkosh Foundation, Inc. Chapter 11 Bankruptcy Filing) and potential litigation, as permitted by s. 19.85(1)(g), Wis. Stats.

Adjourn

UW-GREEN BAY NCAA DIVISION I ATHLETICS 2016-17 REPORT

EXECUTIVE SUMMARY

BACKGROUND

Collegiate athletics are high-profile activities that exist for the betterment of the student body, student athletes, and the university. As some of the most visible programs at institutions of higher education, athletics provide valuable experiences for student athletes, opportunities to engage the broader community and a public face for the institution. This visibility, as well as the number of student-athlete participants, the members of the public attending athletic events and the substantial compliance requirements, necessitates a high level of oversight and scrutiny by both administrators and governing bodies.

In 2012, the Association of Governing Boards of Colleges and Universities (AGB) issued a report, “Trust, Accountability, and Integrity: Board Responsibilities for Intercollegiate Athletics,” that highlighted the important role of higher education governing boards in providing proper oversight of athletics programs. That same year, the Board of Regents established a reporting framework for institutions that participate in NCAA Division I athletics.

Under the Regents’ Accountability Reporting Framework, each UW System Division I athletics program is required to provide information to the Board annually describing the extent to which the program: 1) adheres to any performance standards implemented by an institution or its athletic board; 2) safeguards the welfare of all students; 3) maintains NCAA compliance; and 4) assures fiscal integrity. The Accountability Reporting Framework provides the Board with consistent information about each NCAA Division I athletics program, enhancing the Board’s ability to effectively monitor the activities of UW System’s Division I athletics programs.

The UW System has three institutions (UW-Madison, UW-Milwaukee, and UW-Green Bay) with NCAA Division I athletics programs. This report, prepared by UW-Green Bay, is the second of the three programs to present its annual report to the Board of Regents in 2018.

REQUESTED ACTION

This item is for discussion purposes only.

DISCUSSION

UW-Green Bay’s report for 2016-17 addresses the requirements of UW System’s Accountability Reporting Framework. The following are some of the highlights of the report:

I. Program Overview

UW-Green Bay sponsors 16 sports, providing approximately 240 student-athletes with the opportunity to participate in college athletics in the sports of men's and women's basketball, men's and women's cross-country, men's and women's golf, men's and women's Nordic skiing, men's and women's soccer, women's softball, men's and women's swimming and diving, men's and women's tennis, and women's volleyball.

The University has had three conference affiliations while participating in Division I NCAA athletics: the men's programs participated in the Mid-Continent Conference, the women in the North Star Conference, and both joined the Midwestern Collegiate Conference/Horizon League.

II. 2016-17 Achievements

The report highlights several athletic accomplishments in 2016-17 season, including the following:

- The men's basketball team made its seventh postseason appearance in the last nine years; fifth straight appearance overall. Four players earned All-Horizon League honors, the most of any team in the Horizon League.
- The men's tennis team finished third in the Horizon League.
- The women's basketball team won its 19th consecutive conference championship and advanced to its 17th NCAA Tournament.
- One student-athlete in men's Nordic skiing qualified for the NCAA skiing championships.
- Over 20 All-Horizon League award winners.

III. Academics

The UW-Green Bay Athletics Department's 2016-17 report to the Board of Regents identifies three measures used to monitor the academic progress of student-athletes — grade point average (GPA), the academic progress rate (APR), and the graduation success rate (GSR). The department established benchmarks for each.

A. Grade Point Average

The overall GPA for the department in 2016-17 was 3.37. The GPA for student-athletes was the highest in UW-Green Bay Athletics' history. The department GPA benchmark requires student-athlete to maintain a cumulative GPA of 3.0 or greater each semester. Spring 2017 became the 35th consecutive semester that the combined GPA of UW-Green Bay's student-athletes exceeded 3.0.

B. Academic Progress Rate

The NCAA holds institutions accountable for the academic progress of student-athletes using the Academic Progress Rate (APR). To calculate the APR, each student-athlete who receives financial aid receives one point for each term they stay in school and one point for maintaining

academic eligibility. The points are used to calculate a standardized team score, with 1000 representing a perfect score. Teams must earn a minimum four-year APR of 930 to compete in postseason competition.

The department's benchmark for APR is to maintain an APR that is higher than the NCAA minimum for each sport. The four-year APR for all 16 programs exceeded the NCAA minimum in 2016-17.

C. Graduation Success Rate

The Graduation Success Rate (GSR) and the Federal Graduation Rate (FGR) are often used to monitor student-athlete graduation rates. Both the GSR and FGR measure the percentage of first time, full-time freshmen who graduate within six years of entering their original four-year institution. However, unlike the FGR, the GSR excludes those student-athletes who leave the institution in good academic standing and includes student-athletes who transfer into the institution and go on to graduate.

To monitor graduation rates, the department establishes two benchmarks related to the GSR. These include: 1) maintaining a student-athlete graduation rate that is higher than the UW-Green Bay general student body and 2) maintaining a department-wide student-athlete NCAA graduation rate that is higher than the average of peer institutions.

On the first benchmark, the GSR for student-athletes was 68% in comparison to 49% for the UW-Green Bay general student body. In regards to the second benchmark, the GSR for Green Bay student-athletes compared to the overall GSR of the Horizon League is 10% higher (GB 93.8% HL 83.6%). UW-Green Bay student-athletes have the highest overall GSR percentage compared to all other institutions within the Horizon League.

IV. Financial Situation

UW-Green Bay Athletics Department's report includes the department's budget, actual revenues, actual expenses, balances, debt balances and payments, and endowments for Fiscal Year 2016-17. The report notes a net operating margin of \$408,800 in FY 2016-17 compared to \$260,876 in FY 2015-16. The report also identifies an unrestricted fund balance of \$636,914 at the end of FY 2016-17, or approximately 7.1% of FY 2016-17 expenditures. The outstanding debt for the athletics facilities at the end of FY 2016-17 is \$6,046,086. At the end of FY2016-17 there is also \$848,023 of endowed funds dedicated to the support of Athletics.

V. NCAA Rules Compliance

The report identifies one self-reported NCAA violation in 2016-17 compared to three in 2015-16. The report includes a certification that there are no investigations or reviews of the Athletic Department or personnel by the institution, NCAA, or law enforcement underway at this time.

The NCAA-required Agreed-Upon Procedures report for the year ending on June 30, 2017 is included as an appendix to the report. The audit report, which is prepared by the UW System

Office of Internal Audit, was discussed with the Board's Audit Committee and reported to the Board in February 2018. The report noted no material findings.

The report also includes the most recent report of the Horizon League Compliance Review, dated November 8-10, 2010. This same league review report was previously included in the department's report to the Board.

RELATED REGENT POLICIES

Regent Policy Document 10-1: "Endorsement of the Statement of Principles from the Knight Foundation Commission on Intercollegiate Athletics"



University of Wisconsin-Green Bay

Intercollegiate Athletics Department Summary

Drafted for the Board of Regents, April 2018

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1. INTRODUCTION

The intent of this executive summary is to provide annual information of intercollegiate athletics to the Wisconsin Board of Regents. Information is provided about the Intercollegiate Athletics Department, the NCAA, and the Horizon League. The second section will outline specific information addressing academics, fiscal responsibility and compliance regulation.

A. Mission of Green Bay Intercollegiate Athletics

The Intercollegiate Athletics Department is intended to be an integral component of the educational mission of the University. The Athletics Department is managed consistent with the mission and focus of the University. The University mission offers a context for how the program prepares students to develop critical thinking and problem-solving skills, to practice learning as a life-long activity, to be engaged and contributing citizens, and to enhance the position and image of the University locally, regionally, and nationally.

The program will be administered in a manner to ensure the amateur nature of athletics by responsibly, honestly and effectively recognizing and communicating that student-athletes are, first and foremost, students who possess academic abilities and attain personal growth objectives. The student shall be accorded due respect as a person and is expected to reflect the high academic and behavioral standards of the University. Intercollegiate athletics strives for success in competition while continuing to attract and retain students who succeed academically and athletically and whose careers after graduation are a tribute to them, UW-Green Bay and society.

The Intercollegiate Athletics Department embraces the Horizon League principles of sportsmanship and ethical conduct; is committed to the concept of equitable opportunity for all students and staff regardless of gender or ethnicity; and is administered to substantiate compliance with the University of Wisconsin System, UW-Green Bay, the National Collegiate Athletic Association and the Horizon League rules and regulations, which ensure institutional control and integrity.

Given the impact of a Division I athletics program on a university, community and region, the Director of Athletics serves on the Chancellor's Cabinet and is a direct report to the Chancellor.

B. History of Green Bay Intercollegiate Athletics

The establishment of athletics at UW-Green Bay occurred in September of 1969 with men's soccer followed by men's basketball in the same year, four years after the University of Wisconsin-Green Bay was founded. In November of 1969, the University applied for membership in the National Association of Intercollegiate Athletics (NAIA). In May of 1970, the Phoenix became the mascot and the official name of UW-Green Bay athletic teams. In December 1973, the women's basketball team made its intercollegiate debut. Prior to the 1973-74 season, the men's basketball team and men's soccer team moved to the NCAA Division II ranks. UW-Green Bay requested to enter NCAA Division I athletics in September 1979 and was granted that status beginning Fall of 1981. In 1982, the University joined seven more schools to form the Mid-Continent Conference (MCC) to compete in NCAA Division I athletics. Women's programs transitioned from the NAIA to Division I in 1987-1988 when they joined the North Star Conference. In 1994-1995, the men's and women's programs joined the Midwestern Collegiate Conference which changed its name to the Horizon League in 2001.

C. National Collegiate Athletic Association (NCAA) Classification

Currently, UW-Green Bay sponsors 16 sports programs supporting approximately 240 student-athletes in the sports of men's and women's basketball, men's and women's cross-country, men's and women's golf, men's and women's nordic skiing, men's and women's soccer, women's softball, men's and women's swimming and diving, men's and women's tennis, and women's volleyball. UW-Green Bay has enjoyed

successes with NCAA tournament appearances in men's basketball, women's basketball, men's soccer, softball, men's tennis and volleyball, as well as individual appearances in men's golf, and men's and women's nordic skiing. The University has had three conference affiliations while participating in Division I NCAA athletics: the men's programs participated in the Mid-Continent Conference, the women in the North Star Conference, and both joined the Midwestern Collegiate Conference/Horizon League.

D. Horizon League

In its 38th season of operation in the 2016-2017 academic year, the Horizon League continues to aspire toward its goal of being one of the nation's leading athletics conferences while being recognized as a leader in the development of student-athletes as leaders and role models.

The Horizon League membership features ten public and private institutions that have impressive academic reputations and a storied tradition of broad-based athletic programs. Membership includes Cleveland State University, the University of Detroit Mercy, the University of Illinois at Chicago, Oakland University, IUPUI, the University of Wisconsin-Green Bay, the University of Wisconsin-Milwaukee, Wright State University, and Youngstown State University. IUPUI was admitted into the Horizon League effective summer 2018.

The Horizon League's primary focus is to add value to the educational experience through its four platforms: athletic performance, academic achievement, community outreach, personal responsibility and accountability. It is the League's belief that athletics is a powerful and visible resource tool that can be used to enhance student-athletes' collegiate experience. The Horizon League's goals are to enhance the holistic university experience for the student-athlete, to create an affiliation of institutions with similar athletic goals, and to adhere to the principles of integrity, diversity, excellence, and growth. The Horizon League sponsors competition in 19 sports – nine for men (baseball, basketball, cross country, golf, soccer, swimming and diving, indoor track and field, outdoor track and field, and tennis), and ten for women (basketball, cross country, golf, soccer, softball, swimming and diving, indoor track and field, outdoor track and field, tennis and volleyball).

The League receives automatic bids to NCAA championships in baseball, men's and women's basketball, men's and women's golf, men's and women's soccer, softball, men's and women's tennis, and women's volleyball. The Horizon League is headquartered in Indianapolis, the "Amateur Sports Capital of the World," with offices in the Pan American Plaza (201 S. Capitol Avenue), located a block from Lucas Oil Stadium and just minutes from the Bankers Life Fieldhouse, the State Capitol Building, Victory Field (home of the Indianapolis Indians) and the NCAA national office.

Jonathan B. (Jon) LeCrone is in his 25th year as Commissioner of the Horizon League, having been named to the position on May 11, 1992, and is the fifth-longest tenured commissioner among the 31 Division I conferences.

The Horizon League recently completed a strategic plan (attached) that was approved by the Board of Directors in June 2017.

E. Year in Review

The following information provides an overview of personnel transition, academic success, and competitive accomplishments and includes the many occasions where athletics put the institution in the national spotlight.

Academic Year 2016-2017

Personnel

- Scott Wachholz hired as the Head Softball Coach
- Tim Dean hired as Head Men and Women's Head Tennis Coach
- Tyler Kelly hired as Director of Men's Basketball Player Development
- Elizabeth Murray hired as Financial Assistant

- Amy Sisson hired as Assistant Softball Coach
- Nelson Pouliot hired as Director of the Phoenix Fund
- Molly Christel hired as Assistant Ticket Director
- Justin Helm hired as Director of Multimedia Services
- Beth Jones hired as Chief of Staff
- Brent Haack hired as Events Coordinator
- Brent Tavis hired as Assistant Athletic Director for Business and Internal Operations

Academic Accomplishments

Fall 2016

- The Fall 2016 semester marked the 34th straight semester the department posted a GPA of 3.0 or higher, recording the highest-ever fall semester GPA with a 3.35
- 14 teams achieved a 3.0 or higher in Fall 2016
- 150 student-athletes with a GPA above 3.0, which was 79 percent
- 15 percent of student-athletes achieved a 4.0 GPA
- 121 student-athletes graduated with Honors, High Honors or the Highest Honors
- Three volleyball players earned Horizon League All-Academic Team honors
- Five swimming and diving team members earned HL All-Academic Team honors
- Two cross country runners earned HL All-Academic Team honors
- Volleyball team posted NCAA Top 25 Team GPA 2016-17 season

Spring 2017

- For the 35th-consecutive semester in Spring 2017, GB student-athletes combined to achieve above a 3.0 GPA, recording the highest-ever combined GPA during any semester of the streak
- 14 of the 16 teams recorded above a 3.0 GPA
- 5 teams posted above a 3.5 GPA
- 179 student-athletes posted a 3.0 GPA or higher, which accounted for 79 percent of the SA body
- 129 student-athletes achieved Honors, High Honors or the Highest Honors
- Women's basketball earned the top spot in the WBCA Academic Top 25, posting a program-best 3.819 team GPA; the third time in program history they've earned the top spot
- The men's and women's swimming and diving teams were recognized by the CSCAA for having a team cumulative GPA of 3.0 or higher, receiving Scholar All-American recognition
- Two softball players earned HL All-Academic Team honors
- Mehryn Kraker earned Division I AAA Scholar Athlete of the Year

Athletic Accomplishments

- One Horizon League Regular Season Championship
- One Horizon League Tournament Championships
- One Horizon League Tournament Runner-Up Finish
- One NCAA postseason appearance
- One CBI postseason appearance
- Over 20 All-Horizon League award winners
- Over 20 Horizon League Player of the Week honors

Men's Tennis

- Florian Kraenzler named First Team All-League
- Team finished third in the Horizon League, going 5-2

Men's Basketball

- Four players earned All-League honors, the most number of any individual players of any team in the HL
- Team made its seventh postseason appearance in the last nine years; 5th-straight overall
- Went 12-6 in HL play, finishing third; fourth-straight season the team has finished in the top half of the league standings
- Led Horizon League in scoring with 80.4 PPG, ranking 34th in the NCAA

Women's Basketball

- Mehryn Kraker: Horizon League Player of the Year, espnW mid-major player of the year, Cecil N. Coleman Medal of Honor Recipient, Academic All-League, Scholar-Athlete of the Season, Drafted by Washington Mystics 27th Overall in WNBA, Division I-AAA ADA Scholar Athlete of the Year
- Jessica Lindstrom, Jen Wellnitz and Caitlyn Hibner named to Horizon All-League Teams
- Highest GPA in the nation, WBCA Academic Top 25 – No. 1
- Advanced to 17th NCAA Tournament
- Won 19TH-consecutive conference championship, Horizon League Tournament Champions, Jessica Lindstrom named HL Tournament MVP
- Led HL in attendance

Men's Soccer

- Andre Baires named Second Team All-League and All-Freshman Team
- Team qualified for first Horizon League Tournament since the 2011 season

Men's Golf

- Ryan Reinke finished 3rd at the Horizon League Championships; highest individual finish by any GB golfer since 2012
- Team finished fifth, its highest finish since the 2013 season
- Joe Du Chateau named to Men's All-Horizon League Team

Women's Golf

- Avery Steen named to Women's All-Horizon League Team

Softball

- Allie Taylor and Abby Brinkmeier named All-Horizon League

Cross Country

- Mitchell Bilitz named First Team All-League, finishing in 7th place at HL Championships

Men's Swimming & Diving

- Finished fifth at the Horizon League Championships

Women's Swimming & Diving

- Finished fourth at the Horizon League Championships

Men's Nordic Skiing

- Matt Nichols qualified for NCAA Skiing Championships

Visibility/Image/External Accomplishments

- Kress Events Center has 140,182 users to fitness classes, rec center usage
- Intramural participation remains strong with 4,007 participants
- Kress Events Center served as host for several community/regional/state/D1 athletics events bringing over 75,000 visitors to campus. Some events include:

- High school commencements (Green Bay East, Green Bay West, Green Bay Southwest, De Pere)
- 9th Annual Shawano Sundrop Shootout
- Lambeau Leap Gymnastics event
- WPS Solar Olympics
- Arthritis Foundation Jingle Bell Run
- 2016-17 St. Norbert College Men's and Women's Home Basketball Games (their venue under renovation)
- 2017 Midwest Conference Basketball Tournament (St. Norbert was the host school as #1 seed)
- UWGB Spring Commencement
- ASPIRO – Free Throw Competition
- WIAA girls' basketball practices
- WIAA girls' volleyball practices

UWGB, through the athletics vehicle, has received national and regional media attention from the following platforms:

- | | |
|----------------------------------|-----------------------------------|
| ○ ESPN.com | ○ MidMajorMadness.com |
| ○ ESPN's SportsCenter | ○ Green Bay Press-Gazette |
| ○ Big Ten Network | ○ Fox 11 (local TV) |
| ○ NCAA.com | ○ NBC 26 (local TV) |
| ○ Fox Sports Live (nightly news) | ○ WFRV (local TV) |
| ○ CBSSports.com | ○ WBAY (local TV) |
| ○ Yahoo.com | ○ WNFL (local radio) |
| ○ YahooSports.com | ○ WIXX (local radio) |
| ○ SportsIllustrated.com | ○ WDUZ (local radio) |
| ○ Sporting News | ○ Time Warner Cable SportsChannel |
| ○ Basketball Times | ○ Milwaukee Journal-Sentinel |
| ○ NBC Sports.com | ○ Winona Daily News |
| ○ Bleacher Report | ○ Racine Journal-Times |
| ○ Fox Sports | ○ Chicago Tribune |
| ○ USA Today Sports | ○ Chicago Sun-Times |
| ○ CollegeInsider.com | |

- Annual Phoenix Packers Steak Fry set new records in revenue (\$234,675), and again maxing out attendance at 1000.
- Phoenix Fund raises record high of \$1,224,587 for student-athlete scholarships and program support.
- Women's basketball raised an all-time high over \$130,000 at its Prevea Fore Hoops Golf Classic.
- Men's basketball former players signed to play professionally: Carrington Love in the Netherlands; Jordan Fouse in France; Warren Jones in Bolivia, Jamar Hurdle in Bahrain; Alfonso McKinnie with the Toronto Raptors

2. Financial Information

A. 2016-17 Green Bay Athletics Final Budget Report

2016-17 Green Bay Athletics Final Budget Report

REVENUE		BUDGET		ACTUAL
University Support	\$	3,398,430	\$	3,519,513
Student Fees	\$	1,304,495	\$	1,304,495
Ticket Sales	\$	738,000	\$	639,748
Phoenix Fund Support	\$	950,000	\$	1,178,459
NCAA Distributions	\$	355,142	\$	885,347
Sponsorships	\$	718,000	\$	1,060,108
Guarantees	\$	180,000	\$	238,200
Fund 187 Oneida Support	\$	123,750	\$	124,000
Other Revenue	\$	397,070	\$	440,960
Total Revenue	\$	8,164,887	\$	9,390,830

EXPENSES		BUDGET		ACTUAL
Salaries & Fringes	\$	2,601,647	\$	2,780,328
Financial Aid	\$	2,664,822	\$	2,461,664
Team Travel & Equipment	\$	1,051,658	\$	1,352,916
Other Expenses	\$	1,846,759	\$	2,387,122
Total Expenses	\$	8,164,886	\$	8,982,030
Net Operating Margin	\$	1	\$	408,800

Notes:

1. Tuition remissions provided by the University and used for athletic scholarships are included in the University Support line (\$1,817,670).
2. Actual revenues include contributions from the booster clubs towards operating expenses (i.e. team travel, equipment, recruiting). The Budget figures do not include any estimated contributions from booster clubs.
3. Included in the NCAA distributions is a \$411,563 grant received by UW Green Bay Athletics as part of the NCAA's *Special One Time Division I Distribution*. This grant required a 10 year spend plan prior the distribution of funds. UW Green Bay Athletics intends to utilize the funds to directly support student athletes through academic support, life skill career success programs, diversity and inclusion initiatives and student athlete health and well-being.

B. 2016-17 Agreed-Upon Procedures Report

Please see Appendix A for the complete report.

C. 2016-17 Green Bay Athletics Cash Balances

Account	Amount
Fund 128	-\$6,826.38
NCAA Student Retention Funds	\$411,563.00
Foundation – Restricted/Unrestricted	\$232,177.50
Total	\$636,914.12

D. 2016-17 Green Bay Athletics Endowment Report

The Green Bay Athletics Endowment balance as of June 30, 2017 was:

Book Value \$824,876.20

Market Value \$848,023.18

The figures above do not include the value of endowed scholarships dedicated to Athletics.

3. Academic Progress Rate

The NCAA holds Division I institutions accountable for the academic progress of their student-athletes through the Academic Progress Rate (APR), a team-based metric that accounts for the eligibility and retention of each student-athlete, each term.

Beginning with the 2014-15 academic year, teams must earn a 930 four-year APR to compete in postseason competition.

APR is calculated by term. Each term, a student-athlete receiving athletically related financial aid may earn one retention point for staying in school and one eligibility point for being academically eligible. A team's total points are divided by points possible and then multiplied by one thousand to equal the team's Academic Progress Rate.

Please see Appendix B for the 2016-17 Academic Progress Rate from the NCAA.

A. Sport by Sport

SPORT	2016-17 APR SCORE	4-YEAR APR SCORE MULTI-YEAR	NCAA 4-YEAR
MEN'S BASKETBALL	980	966	973
WOMEN'S BASKETBALL	1000	986	980
MEN'S CROSS COUNTRY	957	989	979
WOMEN'S CROSS COUNTRY	1000	1000	988
MEN'S GOLF	1000	993	984

WOMEN'S GOLF	1000	983	990
MEN'S SKIING	1000	986	987
WOMEN'S SKIING	1000	1000	993
MEN'S SOCCER	975	967	977
WOMEN'S SOCCER	100	997	986
WOMEN'S SOFTBALL	984	981	983
MEN'S SWIMMING	990	976	979
WOMEN'S SWIMMING	991	995	991
MEN'S TENNIS	1000	1000	981
WOMEN'S TENNIS	1000	975	988
WOMEN'S VOLLEYBALL	1000	990	987

B. 3-Year Trend Change (Priority Sports)

The table below lists the single year APR scores for the five priority sports for the 2014-2015, 2015-2016 and 2016-17 academic years.

PRIORITY SPORTS	2014-15	2015-16	2016-2017
MEN'S BASKETBALL	942	1000	980
WOMEN'S BASKETBALL	1000	982	1000
MEN'S SOCCER	954	1000	975
WOMEN'S SOCCER	989	1000	1000
WOMEN'S VOLLEYBALL	956	1000	1000

C. Benchmarks for Assessment of APR

1. Maintain Academic Progress Rates that are higher than the NCAA minimum for each sport.

4. Graduation Success Rate (GSR)

The NCAA Graduation Success Rate (GSR) is designed to show the proportion of student-athletes on any given team who earn a college degree. The NCAA has imposed a new set of academic standards in order to hold teams and institutions accountable for how well student-athletes progress towards a degree.

The GSR was developed in response to colleges and universities who asked for an alternative rate that more accurately reflects the movement among college student-athletes. The GSR takes into account

incoming transfers who graduate from a different institution than the one they started at and transfers who leave an institution in good standing.

The Federal Graduation Rate (FGR) is compiled by the U.S. Department of Education and is used as an indicator of academic success of college student-athletes. FGR measures the percentage of first-time, full-time freshman who graduate within six years of entering their original four-year institution. The NCAA developed its GSR in response to criticism that the FGR understates the academic success of student-athletes because the FGR method does not take into account two important factors in college athletics:

1. When student-athletes transfer from an institution before graduating and is in good academics standing (perhaps to transfer to another institution for more playing time, different major, or to go pro); and
2. Those student-athletes who transfer to an institution (e.g., from a community college or another 4-year college) and earn a degree.

The FGR treats transfers as non-graduates for the original institution the student-athlete attended, even if that student-athlete later graduates from another institution. Also, the FGR does not include that student-athlete in the graduation rates at the new institution where he/she does graduate. Therefore, once a student-athlete transfers to another school he/she is no longer recognized in the calculated graduation rate. The GSR takes into account both factors and gives credit to institutions for successful transfers, whether they are leaving or entering an institution.

The following is a summary for the 2016-17 Graduate Success Rate report. Please see Appendix C for the official 2016-17 Graduation Success Rate Report (2008 freshmen cohort) from the NCAA.

A. Green Bay GSR vs. Federal Graduation Rate by Sport

SPORT	2016-17 GSR	2016-17 FGR
MEN'S BASKETBALL	73%	29%
WOMEN'S BASKETBALL	100%	82%
MEN'S CROSS COUNTRY	88%	78%
WOMEN'S CROSS COUNTRY	100%	86%
MEN'S GOLF	100%	83%
WOMEN'S GOLF	80%	47%
MEN'S SKIING	100%	38%
WOMEN'S SKIING	100%	69%
MEN'S SOCCER	86%	59%
WOMEN'S SOCCER	93%	46%

WOMEN'S SOFTBALL	100%	65%
MEN'S SWIMMING	94%	76%
WOMEN'S SWIMMING	100%	85%
MEN'S TENNIS	100%	50%
WOMEN'S TENNIS	100%	57%
WOMEN'S VOLLEYBALL	100%	91%

B. Green Bay Student-Athlete GSR vs. General Student Body Graduation Success Rate

ACADEMIC YEAR	GREEN BAY STUDENT-ATHLETE GSR	GREEN BAY STUDENT BODY GSR
2008 FRESHMAN COHORT (REPORTED IN 2014-2015)	61%	47%
2009 FRESHMAN COHORT (REPORTED IN 2015-2016)	60%	47%
2010 FRESHMAN COHORT (REPORTED IN 2016-2017)	68%	49%

***Green Bay student-athlete graduation success rate is overall a 94% on an annually basis.**

C. FGR for Priority Sports

SPORT	2014-2015 (2008 FRESHMAN COHORT)	2015-2016 (2009 FRESHMAN COHORT)	2016-2017 (2010 FRESHMAN COHORT)
MEN'S BASKETBALL	55%	17%	31%
WOMEN'S BASKETBALL	77%	80%	82%
MEN'S SOCCER	57%	85%	42%
WOMEN'S SOCCER	58%	93%	47%
WOMEN'S VOLLEYBALL	73%	100%	89%
MEN'S SWIMMING & DIVING	77%	95%	61%

***Numbers depict FGR rates per sport as reported on the Academic Portal**

D. Benchmarks for Assessment of GSR

1. Maintain a student-athlete graduation rate that is higher than the UW-Green Bay general student body
2. Maintain a department wide student-athlete NCAA graduation rate that is higher than the collective average for peer institutions within the Horizon League.

***Attachment I**

5. Academic Information

Academics are considered a top priority by the UW-Green Bay Athletics Department. It boasts 35th straight semesters of a department GPA of 3.0 or higher.

General student body GPA: 2.865

A. **Green Bay Sport by Sport GPA**

SPORT	FALL 2016	SPRING 2017
MEN'S BASKETBALL	2.56	2.74
WOMEN'S BASKETBALL	3.72	3.85
MEN'S CROSS COUNTRY	3.19	3.47
WOMEN'S CROSS COUNTRY	3.82	3.93
MEN'S GOLF	3.40	3.45
WOMEN'S GOLF	2.60	2.85
MEN'S SKIING	3.55	3.48
WOMEN'S SKIING	3.56	3.34
MEN'S SOCCER	3.10	3.09
WOMEN'S SOCCER	3.42	3.54
WOMEN'S SOFTBALL	3.50	3.40
MEN'S SWIMMING	3.18	3.19
WOMEN'S SWIMMING	3.49	3.50
MEN'S TENNIS	3.77	3.61
WOMEN'S TENNIS	3.42	3.15
WOMEN'S VOLLEYBALL	3.64	3.71

B. Year by Year GPAs for Department

YEAR	GPA
2016-2017	3.37
2015-2016	3.31
2014-2015	3.30
2013-2014	3.20
2012-2013	3.26
2011-2012	3.23
2010-2011	3.14
2009-2010	3.13
2008-2009	3.12
2007-2008	3.04

C. Declared Majors

*includes double-majors

MAJOR	NUMBER OF STUDENT-ATHLETES	% OF STUDENT-ATHLETES TAKING THAT MAJOR	% OF STUDENT BODY TAKING THAT MAJOR
ACCOUNTING	6	2.0%	3%
ART	0	0%	1%
ARTS MANAGEMENT	0	0.0%	0.6%
BIOLOGY	12	4%	3%
BUSINESS ADMINISTRATION	61	22%	14%
CHEMISTRY	5	2%	1.0%
COMMUNICATION	6	2%	2%
COMPUTER SCIENCE	6	2%	3%
DEMOCRACY & JUSTICE STUDIES	3	1%	1%
DESIGN ARTS	6	2%	2%

ECONOMICS	5	2%	1%
ELEMENTARY EDUCATION	12	4%	2%
ENGLISH	2	1%	2%
ENVIRONMENTAL POLICY & PLANNING	0	0%	0.6%
ENVIRONMENTAL SCIENCES	5	2%	2%
FIRST NATIONS STUDIES	0	0.0%	0.2%
FRENCH	0	0.0%	0%
GEOSCIENCE	2	1%	0%
GERMAN	1	0.4%	0.2%
HEALTH INFORMATION MGMT & TECH	0	0.0%	2.0%
HISTORY	3	1%	2%
HUMAN BIOLOGY	52	19%	8.0%
HUMAN DEVELOPMENT	13	5%	4%
HUMANISTIC STUDIES	0	0.0%	1%
INDIVIDUAL MAJOR	0	0.0%	0.0%
INFORMATION SCIENCES	1	0.3%	0.5%
INTERDISCIPLINARY STUDIES (1)	0	0.0%	7%
MATHEMATICS	4	1%	1%
MUSIC	0	0%	1%
MECHANICAL ENGINEERING TECHNOLOGY	9	3%	1%
NURSING (1)	0	0.0%	6%
PHILOSOPHY	0	0.0%	0.2%
POLITICAL SCIENCE	3	1%	1%
PSYCHOLOGY	13	5%	8%
PUBLIC ADMINISTRATION	1	0.3%	1%

SOCIAL WORK	0	0.0%	1.1%
SPANISH	8	3%	1%
THEATRE	0	0.0%	0.6%
UNDECLARED	38	14.%	14.%
URBAN & REGIONAL STUDIES	0.0	0.0%	0.1%

(1)Interdisciplinary Studies has two tracks, which are both completed primarily online and serve returning adults who cannot participate in traditional programs. The Nursing major also has several tracks and is a degree completion program for students who have already completed an Associate's degree in nursing at another school. Two of the nursing tracks are also online programs.

D. Special Admissions Statement

Green Bay athletics does not have a Special Admissions policy.

E. Benchmarks for Assessment of GPA

- a. Maintain a student-athlete cumulative GPA of 3.0 or greater each semester
- b. Maintain a student-athlete grade point average higher than the UW-Green Bay general student body.

6. Compliance Information

A. NCAA Major/Minor Violations Report

See Appendix D

B. NCAA Oversight Certification Letter

See Appendix E

C. Horizon League Compliance Audit

See Appendix F

*Green Bay is slotted for a compliance audit with the Horizon League fall 2018

D. NCAA Self-Study Report

See Appendix G

E. Horizon Strategic Plan

See Appendix H

F. GSR HL Comparative Data

See Appendix I

G. 2017 Facilities Debt Outstanding and Payment Appendix

See Appendix J

H. NCAA Agreed-Upon Procedure

See Appendix K

Appendix A

UNIVERSITY OF WISCONSIN-GREEN BAY ATHLETICS DEPARTMENT							
STATEMENT OF REVENUES AND EXPENSES							
FOR THE YEAR ENDED JUNE 30, 2017							
				NON-PROGRAM SPECIFIC		PRIOR YEAR	VARIANCE
REVENUES:	MEN'S BASKETBALL	WOMEN'S BASKETBALL	OTHER SPORTS		TOTAL		
1 Ticket Sales	395,805	239,645	4,298	-	639,748	721,062	(81,313)
2 Direct State or Other Government Support	-	-	-	124,000	124,000	247,500	(123,500)
3 Student Fees	-	-	-	1,304,495	1,304,495	1,243,440	61,055
4 Direct Institutional Support	277,419	413,111	1,803,185	679,515	3,173,230	3,294,618	(121,388)
5 Less: Transfers to Institution	(267)	-	-	(9,829)	(10,096)	(4,656)	(5,440)
6 Indirect							486

Indirect	013
Service	100
Guarantee	051
Contribution	.
In-kind	.
Competition	.
Media	892
NCAA	734
Conference	382
Program	869
Royalty	365
Sports	036
Athletic	850
Other	.
Bowl Fee	726
Total	437
EXPENSES:	590
Athletic	638
Guarantee	290
Coach	.
University	358
Coach	636
Support	195
Bonus	623
Support	887
Benefit	971
Severance	526
Recruitment	661
Team	432
Sports	486
Game	851
Fundraising	526
Spirit	661
Athletic	432
Direct	486
Indirect	851
Medical	853
Membership	712
Student	604
Other	526
Bowl Fee	252
Total	526
EXCESS (DEFICIT)	

NCAA Division I 2016 - 2017 Academic Progress Rate Institutional Report

Institution: University of Wisconsin-Green Bay

Date of Report: 11/14/2017

This report is based on NCAA Division I Academic Progress Rate (APR) data submitted by the institution for the 2013-14, 2014-15, 2015-16 and 2016-17 academic years. Institutions are encouraged to forward this report to appropriate institutional personnel on campus.

[Note: All information contained in this report is for four academic years. Some squads may still have small sample sizes within certain sport groups. In accordance with the Family Educational Rights and Privacy Act's (FERPA's) interpretation of federal privacy regulations, institutions should not disclose statistical data contained in this report for cells made up of three or fewer students without student consent.]

Sport	APR		Eligibility/Graduation		Retention	
	Multiyear Rate (N)	Multiyear Rate Upper Confidence Boundary	Multiyear Rate	2016 - 2017	Multiyear Rate	2016 - 2017
Men's Basketball	966 (52)	N/A	980	1,000	941	958
Men's Cross Country	989 (46)	N/A	957 (12)	957	989	957
Men's Golf	993 (36)	N/A	1,000 (8)	1,000	1,000	1,000
Men's Skiing	986 (35)	N/A	1,000 (8)	1,000	971	1,000
Men's Soccer	967 (88)	N/A	975 (23)	981	1,000	949
Men's Swimming	976 (109)	N/A	990 (26)	976	981	1,000
Men's Tennis	1,000 (33)	N/A	1,000 (7)	1,000	1,000	1,000
Women's Basketball	986 (52)	N/A	1,000 (14)	1,000	971	1,000
Women's Cross Country	1,000 (45)	N/A	1,000 (9)	1,000	1,000	1,000
Women's Golf	983 (32)	N/A	1,000 (8)	983	1,000	1,000

- * Denotes data representing three or fewer student-athletes. In accordance with FERPA's interpretation of federal privacy regulations, institutions should not disclose statistical data contained in this report in cells made up of three or fewer students without student consent.
- N/A = No APR or not applicable
- N = Number of student-athletes represented
- 1 Denotes APR that does not subject the team to ineligibility for postseason competition based on institutional, athletics and student resources and the team's Graduation Success Rate.
- 2 Denotes APR that does not subject the team to ineligibility for postseason competition due to the team's demonstrated academic improvement.
- 3 Denotes APR that does not subject the team to ineligibility for postseason competition due to the team's demonstrated academic improvement.
- 4 Denotes APR that does not subject the team to penalties due to the team's demonstrated academic improvement.
- 5 Denotes APR that does not subject the team to penalties due to the team's demonstrated academic improvement.
- 6 Denotes APR that does not subject the team to penalties due to the team's demonstrated academic improvement.
- 7 Denotes APR based on a one year cohort, not subject to ineligibility for postseason competition and/or any penalties.
- 8 Denotes APR based on a two year cohort, not subject to ineligibility for postseason competition and/or any penalties.
- 9 Denotes APR that requires an APR Improvement Plan be created for this sport.

Appendix B (cont.)

NCAA Division I 2016 - 2017 Academic Progress Rate Institutional Report

Institution: University of Wisconsin-Green Bay

Date of Report: 11/14/2017

Sport	APR			Eligibility/Graduation		Retention	
	Multiyear Rate (N)	Multiyear Rate Upper Confidence Boundary	2016 - 2017 (N)	Multiyear Rate	2016 - 2017	Multiyear Rate	2016 - 2017
Women's Softball	981 (81)	N/A	984 (17)	994	1,000	968	968
Women's Skiing	1,000 (35)	N/A	1,000 (9)	1,000	1,000	1,000	1,000
Women's Soccer	997 (95)	N/A	1,000 (22)	1,000	1,000	994	1,000
Women's Swimming	995 (111)	N/A	991 (28)	995	981	995	1,000
Women's Tennis	975 (31)	N/A	1,000 (8)	984	1,000	966	1,000
Women's Volleyball	990 (53)	N/A	1,000 (12)	1,000	1,000	979	1,000

- * Denotes data representing three or fewer student-athletes. In accordance with FERPA's interpretation of federal privacy regulations, institutions should not disclose statistical data contained in this report in cells made up of three or fewer students without student consent.
N/A = No APR, or not applicable.
N = Number of student-athletes represented.
- 1 Denotes APR that does not subject the team to ineligibility for postseason competition based on institutional, athletics and student resources and the team's Graduation Success Rate.
 - 2 Denotes APR that does not subject the team to ineligibility for postseason competition due to the team's demonstrated academic improvement.
 - 3 Denotes APR that does not subject the team to ineligibility for postseason competition due to the team's demonstrated academic improvement.
 - 4 Denotes APR that does not subject the team to penalties due to the team's demonstrated academic improvement.
 - 5 Denotes APR that does not subject the team to penalties due to the team's demonstrated academic improvement.
 - 6 Denotes APR based on a one year cohort, not subject to ineligibility for postseason competition and/or any penalties.
 - 7 Denotes APR based on a two year cohort, not subject to ineligibility for postseason competition and/or any penalties.
 - 8 Denotes that team is not subject to ineligibility for postseason competition and/or penalties based on institutional resources.
 - 9 Denotes APR that requires an APR Improvement Plan be created for this sport.

Appendix C

University of Wisconsin-Green Bay

FRESHMAN-COHORT GRADUATION RATES	All Students	Student-Athletes #
2010-11 Graduation Rate	49%	68%
Four-Class Average	48%	65%
Student-Athlete Graduation Success Rate		94%

1. Graduation-Rates Data

a. All Students

	Men				Women				Total			
	2010-11		4-Class		2010-11		4-Class		2010-11		4-Class	
	N	%	N	%	N	%	N	%	N	%	N	%
Am. Ind./AN	3	0	12	25	3	0	21	38	6	0	33	33
Asian	5	40	44	41	17	29	69	46	22	32	113	44
Black	1	100	18	39	2	100	19	42	3	100	37	41
Hispanic	12	8	30	23	14	71	47	40	26	42	77	34
Nat. Haw./PI	0	-	0	-	0	-	2	100	0	-	2	100
N-R.Alien	8	50	25	40	4	50	15	67	12	50	40	50
Two or More	8	25	17	24	9	67	20	45	17	47	37	35
Unknown	2	50	10	30	1	100	13	46	3	67	23	39
White	283	47	1285	45	521	51	2268	51	804	50	3553	49
Total	322	44	1441	44	571	51	2474	51	893	49	3915	48

b. Student-Athletes

	Men						Women						Total					
	2010-11		4-Class		GSR		2010-11		4-Class		GSR		2010-11		4-Class		GSR	
	N	%	N	%	N	%	N	%	N	%	N	%	N	%	N	%	N	%
Am. Ind./AN	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-
Asian	0	-	1	100	1	100	0	-	0	-	0	-	0	-	1	100	1	100
Black	2	50	9	33	9	78	0	-	1	100	1	100	2	50	10	40	10	80
Hispanic	1	100	3	67	2	100	0	-	1	100	1	100	1	100	4	75	3	100
Nat. Haw./PI	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-
N-R.Alien	1	0	7	29	9	89	3	67	4	75	4	100	4	50	11	45	13	92
Two or More	0	-	0	-	1	100	0	-	0	-	0	-	0	-	0	-	1	100
Unknown	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-
White	19	63	71	65	55	91	30	73	132	67	104	97	49	69	203	67	159	95
Total	23	61	91	59	77	90	33	73	138	68	110	97	56	68	229	65	187	94

Appendix C (cont.)

c. Student-Athletes by Sport Category

Baseball				Men's Basketball				Men's CC/Track			
%N				%N				%N			
2010-11 4-Class GSR				2010-11 4-Class GSR				2010-11 4-Class GSR			
Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-
Asian	-	-	-	Asian	-	-	-	Asian	-	-	-
Black	-	-	-	Black	-	17-b	71-b	Black	-	-	-
Hispanic	-	-	-	Hispanic	-	0-a	-	Hispanic	-	-	-
Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-
N-R Alien	-	-	-	N-R Alien	-	-	-	N-R Alien	-	-	-
Two or More	-	-	-	Two or More	-	-	-	Two or More	-	-	-
Unknown	-	-	-	Unknown	-	-	-	Unknown	-	-	-
White	-	-	-	White	100-a	43-b	75-a	White	50-a	78-b	88-b
Total	-	-	-	Total	100-a	29-c	73-c	Total	50-a	78-b	88-b

Football				Men's Other			
%N				%N			
2010-11 4-Class GSR				2010-11 4-Class GSR			
Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-
Asian	-	-	-	Asian	-	100-a	100-a
Black	-	-	-	Black	50-a	67-a	100-a
Hispanic	-	-	-	Hispanic	100-a	100-a	100-a
Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-
N-R Alien	-	-	-	N-R Alien	0-a	29-b	89-b
Two or More	-	-	-	Two or More	-	-	100-a
Unknown	-	-	-	Unknown	-	-	-
White	-	-	-	White	57-c	65-e	93-e
Total	-	-	-	Total	56-d	63-e	93-e

Women's Basketball				Women's CC/Track				Women's Other			
%N				%N				%N			
2010-11 4-Class GSR				2010-11 4-Class GSR				2010-11 4-Class GSR			
Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-
Asian	-	-	-	Asian	-	-	-	Asian	-	-	-
Black	-	100-a	100-a	Black	-	-	-	Black	-	-	-
Hispanic	-	-	-	Hispanic	-	-	-	Hispanic	-	100-a	100-a
Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-
N-R Alien	100-a	100-a	100-a	N-R Alien	-	-	-	N-R Alien	50-a	67-a	100-a
Two or More	-	-	-	Two or More	-	-	-	Two or More	-	-	-
Unknown	-	-	-	Unknown	-	-	-	Unknown	-	-	-
White	-	78-b	100-b	White	100-a	86-b	100-b	White	72-e	66-e	97-e
Total	100-a	82-c	100-c	Total	100-a	86-b	100-b	Total	71-e	66-e	97-e

Values for N (a. 1-5, b. 6-10, c. 11-15, d. 16-20, e. greater than 20)

Appendix C (cont.)

2. Undergraduate-Enrollment Data (All full-time baccalaureate-degree seeking students enrolled 2016-17)

a. All Students	Men	Women	Total	b. Student-athletes #	Men	Women	Total
	N	N	N		N	N	N
Am. Ind./AN	14	29	43	Am. Ind./AN	0	0	0
Asian	65	72	137	Asian	0	0	0
Black	42	22	64	Black	0	0	0
Hispanic	71	119	190	Hispanic	0	0	0
Nat. Haw./PI	0	2	2	Nat. Haw./PI	0	0	0
N-R.Alien	40	34	74	N-R.Alien	0	0	0
Two or More	39	76	115	Two or More	0	0	0
Unknown	1	1	2	Unknown	0	0	0
White	1125	2223	3348	White	0	0	0
Total	1397	2578	3975	Total	0	0	0

c. Student-Athletes # By Sports Category

Men					
	Basketball	Baseball	CC/Track	Football	Other
Am. Ind./AN	0	0	0	0	0
Asian	0	0	0	0	0
Black	0	0	0	0	0
Hispanic	0	0	0	0	0
Nat. Haw./PI	0	0	0	0	0
N-R.Alien	0	0	0	0	0
Two or More	0	0	0	0	0
Unknown	0	0	0	0	0
White	0	0	0	0	0
Total	0	0	0	0	0

Women			
	Basketball	CC/Track	Other
Am. Ind./AN	0	0	0
Asian	0	0	0
Black	0	0	0
Hispanic	0	0	0
Nat. Haw./PI	0	0	0
N-R.Alien	0	0	0
Two or More	0	0	0
Unknown	0	0	0
White	0	0	0
Total	0	0	0

#Only student-athletes receiving athletics aid are included in this report.



INFORMATION ABOUT THE GRADUATION RATES INSTITUTION REPORT

Introduction.

This information sheet and the NCAA Graduation Rates Institution Report have been prepared by the NCAA, based on data provided by the institution in compliance with NCAA Bylaw 18.4.2.2.1 (admissions and graduation-rate disclosure) and the federal Student Right-to-Know and Campus Security Act. Please note, the NCAA will make this report public.

The Graduation Rates Institution Report gives graduation information about the most recent six-year graduating class of students and student-athletes who entered as freshmen in 2010-11. The graduation rate (percent) is based on a comparison of the number (N) of students who entered a college or university and the number of those who graduated within six years. For example, if 100 students entered and 60 graduated within six years, the graduation rate is 60 percent.

The Graduation Rates Institution Report provides information about two groups of students:

1. All Students. All undergraduate students who were enrolled in a full-time program of studies for a baccalaureate degree; and
2. Student-Athletes. Student-athletes who received athletics aid from the school for any period of time during their entering year. [Note: Athletics aid is a grant, scholarship, tuition waiver or other assistance from a college or university that is awarded on the basis of a student's athletics ability.]

Two different measures of graduation rates are presented in this report: Federal Graduation Rate and Graduation Success Rate. The Federal Graduation Rate indicates the percentage of freshmen who entered and received athletics aid during a given academic year who graduated within six years. The GSR adds to the first-time freshmen, those students who entered midyear as well as student-athletes who transferred into an institution and received athletics aid. Both the Federal Graduation Rate and the GSR subtract students from the entering cohort who are considered allowable exclusions (i.e., those who either die or become permanently disabled, those who leave the school to join the armed forces, foreign services or attend a church mission). In addition, the GSR subtracts those who left the institution prior to graduation, had athletics eligibility remaining and would have been academically eligible to compete had they returned to the institution.

Graduation Rates Report.

1. Graduation Rates Data. The box at the top of the Graduation Rates Institution Report provides the most recent class (i.e., 2010-11) and four-class Federal Graduation Rate for all students and for student-athletes who received athletics aid at this school. Additionally, this box provides GSR data for student-athletes. [Note: Pursuant to the Student-Right-to-Know Act, anytime a cell containing cohort numbers includes only

National Collegiate Athletic Association

Supporting student-athlete success on the field, in the classroom and for life

Appendix C (cont.)

Information about the Graduation Rates
Institution Report
Page No. 2

one or two students, the data in that cell and one other will be suppressed so that no individual can be identified.]

- a. All Students. This section provides the freshman-cohort graduation rates for all full-time, degree-seeking students by race or ethnic group. It shows the rate for men who entered as freshmen in 2010-11 and the four-class average, which includes those who entered as freshmen in 2007-08, 2008-09, 2009-10 and 2010-11. The same rates are provided for women. The total for 2010-11 is the rate for men and women combined, and the four-class average is for all students who entered in 2007-08, 2008-09, 2009-10 and 2010-11.
- b. Student-Athletes. This section provides the freshman-cohort graduation rates and also the GSR for student-athletes in each race and ethnic group who received athletics aid. Information is provided for men and women separately and for all student-athletes.
- c. Student-Athletes by Sports Categories. This section provides the identified graduation rates as in 1-b for each of the eight sports categories. (The small letters indicate the value of N).

2. Undergraduate Enrollment Data.

- a. All Students. This section indicates the number of full-time, undergraduate, baccalaureate, degree-seeking students enrolled for the 2016 fall term and the number of men and women in each racial or ethnic group.
- b. Student-Athletes. This section identifies how many student-athletes were enrolled and received athletics aid for the 2016-17 academic year and the number of men and women in each racial or ethnic group.
- c. Student-Athletes by Sports Categories. This section provides the enrollment data as identified in 3-b for each of the eight sports categories.

Appendix D

2016-2017 Self-Reported NCAA Violations			
Sport	Date	Bylaw	Type of Violation
Women's Volleyball	11/04/2016	12.1.2, 12.5, 16.11.1.1	SA created a Go Fund Me account prior to exhausting eligibility. Level III Violation. It was later determined that a violation did not need to be filed as the money was not received by the student-athlete and the self-reported violation was removed from the NCAA database.

Appendix E

NCAA Oversight Certification Letter

March 27, 2018

John Behling, Board of Regents President
Raymond Cross, UW System President
Van Hise Hall
1220 Linden Dr.
Madison, Wisconsin 53706

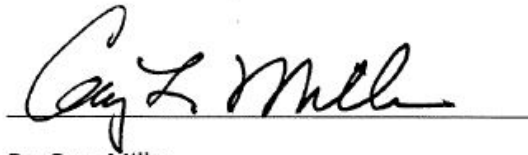
Dear Presidents Behling and Cross:

In connection with your oversight of the UW-Green Bay's intercollegiate athletics program, we confirm, to the best of our knowledge and belief, the following:

1. We have self-reported any known or suspected violations of NCAA rules and regulations. Reported NCAA violations are listed in the Annual Report submitted on March 28, 2018.
2. There are no known or suspected illegal acts of non-compliance with federal, state, or local law by individuals employed by the institution, or individuals who serve as an agent of the institution.
3. There are no investigations/monitoring reviews currently underway by the NCAA, law enforcement officials, or others.
4. We have no knowledge of any allegations of fraud or suspected fraud affecting intercollegiate athletics received in communications from employees, former employees, or others.
5. There have been no modifications to the institution's gender-equity plan from our previous self-study conducted in Spring 2011 and many aspects of the plan have been fully addressed and/or implemented.
6. We have a process in place to ensure background checks are performed on administrators, support staff, coaches, volunteers, student employees, and other individuals serving as an agent of the institution related to intercollegiate athletics. These processes apply to those involved with offering camps and clinics, and others who participate in activities involving children. Adverse outcomes of background checks are evaluated pursuant to UW-Green Bay's Criminal Background Check Policy, last updated March 1, 2013, which is consistent with the Board of Regents Policy 20-19 University of Wisconsin System Criminal Background Check Policy.
7. We understand our fiduciary responsibilities to act in the best interest of the institution even if it conflicts with the immediate interests of the athletic department.

8. The athletic department has not intervened when a student-athlete is being disciplined under regular conduct rules.

Sincerely,

A handwritten signature in cursive script, appearing to read "Gary Miller", written over a horizontal line.

Dr. Gary Miller
Chancellor

A handwritten signature in cursive script, appearing to read "Charles Guthrie", written over a horizontal line.

Charles Guthrie
Director of Intercollegiate Athletics

A handwritten signature in cursive script, appearing to read "Kassie Batchelor", written over a horizontal line.

Kassie Batchelor
Associate Athletic Director for Compliance and Student Welfare/ Senior Woman Administrator

Appendix F

Ken Bothof
Director of Athletics
University of Wisconsin-Green Bay
2420 Nicolet Drive
Green Bay, WI 54311

Dear Ken:

Attached please find a copy of the 2010 Horizon League Compliance Review for Green Bay. One of the biggest strengths of Green Bay in the area of compliance is the commitment to rules compliance by all institutional personnel. The Chancellor puts a strong emphasis on adherence to NCAA rules that is reflected in many areas, including the cooperation between non-athletic departments, such as Admissions and Financial Aid, and the Athletic Department. Athletic Administrators and coaches have a good understanding of the importance of rules compliance and appear committed to staying within the NCAA rules.

You will note that I have indicated several suggestions for improvement. I want to again remind you that all suggestions are voluntary, and are recommended actions that I believe would help improve your compliance department. The one area that is the most important to address right now is the following: The reorganization of the equipment issuance and retrieval process. I also would recommend supplying both the compliance and academic departments with some help, whether hired or in the form of interns who volunteer or receive credit.

Please feel free to contact me with any questions or concerns you may have. I also want to thank you and your entire staff for your hospitality during the review. Everyone was well prepared, and Justin did a great job ensuring that Christine and I had everything we needed for the review.

Sincerely,

Stephanie Jarvis
Associate Commissioner for Compliance and Legal Affairs

Cc:

Justin Pollnow
Christine Halstead

2010 Horizon League Compliance Review
November 8-10, 2010
Final Report
University of Wisconsin-Green Bay

A. Governance and Institutional Control

Current Strengths:

1. The Director of Athletics reports directly to the Chancellor. The Chancellor meets monthly with the Director of Athletics and is kept apprised of all important matters regarding NCAA rules compliance.
2. The Chancellor is notified of all issues that arise in the area of NCAA rules compliance in a timely manner and is involved in all major personnel decisions.
3. The Faculty Athletic Representative communicates regularly with the Director of Athletics, the Senior Woman Administrator, and the Assistant Athletic Director for Compliance regarding NCAA rules compliance, including, but not limited to: secondary violations, academic issues and the review of policies and procedures.
4. The Faculty Athletic Representative has a clearly written job description.
5. There is an established Intercollegiate Athletics Council which meets regularly to advise on issues related to athletics and student welfare. There is a good mix of faculty, students, and administrators on the council. The Chancellor receives the minutes from each meeting.
6. The Chancellor is involved with the hiring/firing of high profile coaches.
7. There are established procedures for the reporting and investigation of alleged rules violations, which have been followed in the most recent violations. The institution has also reported an appropriate number of secondary violations in past years. The Chancellor is kept apprised of all violations and wants to know if there are any trends.
8. Letters of appointment and coaches' contracts reference compliance with NCAA rules, and all performance evaluations contain an evaluation of their adherence to NCAA rules. Further, every new athletic staff member meets with the Assistant Athletics Director for Compliance to review NCAA compliance expectations and signs an agreement to comply with NCAA rules.
9. The Chancellor is kept up to date on APR and academic success.
10. The Chancellor approves and oversees the athletic budget.

11. The Faculty Athletic Representative periodically attends SAAC meetings and receives minutes from all meetings.
12. The athletic department receives outstanding support from the financial aid office and the admissions office, and the liaisons have established an excellent working relationship with the Assistant Athletic Director for Compliance.
13. An outside audit firm reviews the athletic department on a periodic basis.
14. The Athletic Department has an appropriate procedure in place to ensure any APR penalties are imposed if necessary.

Suggestions for Improvement:

1. The NCAA is requiring more monitoring in compliance than ever before. UWGB has a relatively small compliance staff and may not be able to adequately monitor everything that the NCAA requires. **It would be highly beneficial to the athletic department to hire an additional staff member in the area of compliance to help further monitor all sports.**
2. The FAR is appointed by the chancellor and should have a formal review and reappointment process included in the FAR job description. The FAR should also meet with the Chancellor periodically throughout the year.

B. Eligibility Certification

Current Strengths:

1. The institution has an excellent system for verifying the eligibility status of student-athletes. The Assistant Athletic Director for Compliance and the Registrar certify the eligibility of each student-athlete.
2. The committee receives a copy of the NCAA Eligibility Center Report for each incoming student-athlete and verifies their initial and continuing eligibility.
3. The academic advisors appointed through student services meets with student-athletes prior to registering for classes for each semester.
4. Student-Athletes are flagged in the system and there is a block in place to ensure student-athletes cannot drop below full-time. Student-athletes can't drop below 12 credits without the knowledge of the Registrar. Student-athletes are also given priority registration to enable them to schedule classes that will not conflict with competition or practice.
5. The Admissions office does not receive undue pressure from any athletics department staff members regarding the admissions status of prospective student-athletes.

6. The Admissions Office evaluates transcripts of prospective transfers to determine how many hours they have earned in conjunction with the academic advisors through student services and if necessary, the International Office, and then they are able to advise transfers of how many credits they need to take prior to transfer.
7. The Admissions Office periodically meets with prospective student-athletes on official visits to explain the admissions process and detail what the requirements for admission are.
8. For eligibility purposes, the Registrar inputs the student-athlete information into CAi. This is a new procedure implemented from the last audit.

Suggestions for Improvement:

1. The APR final calculations should be performed or at a minimum reviewed by someone outside of athletics, possibly institutional research, the registrar or FAR to further demonstrate institutional control.
2. It would be valuable for the institution to hire a staff member in the area of academic advising specifically for athletics. This individual can help insure that each athlete is receiving the necessary academic support.
3. It would be beneficial for the Registrar and Admissions liaison to athletics to attend the NCAA Compliance Rules Seminars. It is important for them to stay educated about NCAA rules and it is a good learning opportunity.

C. Financial Aid Monitoring

Current Strengths:

1. The Assistant Athletic Director for Compliance and the Assistant Director of Financial Aid communicate regularly regarding the aid received by student-athletes, and inform each other of any outside aid a student-athlete receives.
2. The institution has appropriate hearing procedures in place for dealing with issues arising out of financial aid disputes.
3. Athletics responsibilities are listed in the Assistant Director of Financial Aid's job description.
4. The institution has developed a good system to monitor the international student-athlete tax and uses the SAOF as appropriate.

5. Student-athletes are flagged in the system, which ensures that no money will accidentally be given to a student-athlete without prior knowledge of the office of Financial Aid. Checks will not be distributed without the approval from the financial aid office.
6. The Financial Aid Office inputs student-athlete financial aid information directly onto the CAi software.
7. The Financial Aid office creates a spreadsheet with financial aid information from the Assistant Athletic Director for Compliance for each coach.

Suggestion for Improvement:

1. Currently the Assistant Athletic Director for Compliance is issuing the renewal letters while the non-renewal letters are being sent from the Assistant Director for Financial Aid. **All letters, both renewal and nonrenewal should be sent directly from the Financial Aid Department to the student-athletes.**
2. The Financial Aid department should include an appeals process policy in the student-athlete handbook.
3. It would be beneficial for the Assistant Director of Financial Aid to attend the NCAA Compliance Rules Seminars. It is important for the assistant director of financial aid to stay educated about NCAA rules and it is a good learning opportunity.

D. Recruitment Monitoring

Current Strengths:

1. Official visit monitoring is very good. The student hosts are given written education about the do's and don'ts of hosting and are required to fill out a report detailing their activated after the visit.
2. Coaches are required to submit recruiting logs to the compliance office regularly. All coaches interviewed appeared to have a good system for ensuring the calls permitted per week rule was followed.
3. Unofficial visits are monitored and records are kept regarding complimentary admissions provided to prospects and reviewed by the compliance office.
4. The compliance office reviews all recruiting expense paperwork submitted by coaches to ensure compliance with recruiting rules. This is a new procedure implemented from the last audit.

Suggestion for Improvement:

1. Text messaging violations have become more prevalent in NCAA as of late and have led to significant penalties. The institution should monitor cell phone text message records.

E. Playing and Practice Season and Outside Competition**Current Strengths:**

1. Coaches complete playing and practice season declarations in a timely manner and submit them to the compliance office for approval. Team captains sign the forms as well.
2. All student-athletes interviewed indicated that their coaches stayed within the permissible number of practice hours. Coaches are required to turn in regular logs detailing their CARA hours. In addition, all student-athletes interviewed indicated that summer workouts were not required or recorded by coaches.
3. Basketball student-athletes were informed about summer league through their coaches. They are required to fill out a summer league request form.

F. Rules Education**Current Strengths:**

1. There are regular rules education meetings that all coaches and selected staff members are required to attend. The Director of Athletics is very supportive of the Rules Education provided by the compliance office.
2. Rules education is also done via email on topics of interest to the entire athletic department staff.
3. The institution provides NCAA rules education to all new employees. Additional information is given regarding their specific area of employment.
4. New coaches are given additional rules compliance and are educated on NCAA rules and institutional compliance policies. This is a new procedure implemented from the last audit.

Suggestion for Improvement:

1. All coaches, including part-time coaches must attend rules education meetings. Currently, part-time coaches do not attend rules ed meetings and are missing out on information which could lead to violations.

G. Amateurism, Extra Benefits, Boosters and Agents

Current Strengths:

1. Boosters are well educated about extra benefits. Educational material is provided on their website along with a booklet provided to boosters, as well as information that is distributed to all donors and to season ticket holders.
2. Student-athletes are well educated about permissible and non-permissible activities related to agents. Student-athletes who were interviewed said that their coaches had talked to them about agents and that they informed their coaches when they received correspondence.
3. Student-athletes are required to sign for all per diem money received during travel.
4. The Assistant Athletic Director for Compliance monitors the amateurism status of all incoming and current student-athletes.
5. The Assistant Athletic Director for Compliance receives travel rosters and reviews travel expense reports.

Suggestions for Improvement:

1. All sports should provide detailed lists of all apparel and equipment to the Assistant Athletic Director for Compliance who should monitor what is reusable or is nonreusable. Also, all sports need to submit a list regarding all equipment they have received to ensure proper monitoring. The institution should place a hold on student-athletes' accounts if required things are not returned.
2. The institution should have a written policy regarding what equipment is reusable.
3. Institution needs to have a system to monitor hard tickets provided to coaches for personal use.

H. Camps and Clinics

Current Strengths:

1. The compliance office has required forms relating to camps and clinics that must be filled out and returned prior to the camp or clinic.
2. Camp brochures are reviewed by the compliance office and sports information department prior to printing.

3. The compliance office reviews all camp employment forms and payments to ensure that high school/JC coaches nor athletes are receiving compensation that is not appropriate.

Suggestions for Improvement:

1. The business manager should review and audit the books of all coaches camps as all camps run by an institution's coach are considered to be institutional camps.

I. Student-Athlete Employment

Current Strengths:

1. All staff members are required to notify the compliance staff prior to hiring any students for work in the athletic department. All student employment from work study is communicated from the financial aid office to the compliance office.
2. There is appropriate monitoring of non-athletic employment. All student-athletes with jobs are required to complete paperwork detailing payment and other employment information.

J. Miscellaneous/Student-Athlete Welfare

Current Strengths:

1. All outside groups that wish to use to the UWGB athletic facilities are required to go through the facilities staff and not through the coaches. This process ensures that groups consisting of prospects do not get free or reduced rental of the facilities.
2. The institution training staff has developed a weight management policy for the department. This helps ensure the health and welfare of the student-athlete
3. The sports information department conducts media training with student-athletes.

K. Review of Academic Support Services

Continuing Eligibility Support

Current Strengths:

1. The academic coordinator informs student-athletes at the beginning of each academic year about their eligibility status and what courses they will need to take.
2. Student-athletes interviewed indicated that the academic coordinator is very helpful in informing regarding eligibility requirements.

Academic Advising

Current Strengths:

1. The academic coordinator meets with all first year student-athletes on a regular basis and meets with all returning student-athletes on a periodic basis.
2. The academic coordinator tracks class attendance throughout the semester and gets periodic updates on academic progress from the professors. Coaches also indicated that they do unannounced class checks on attendance for their teams.

Academic Counseling

Current Strengths:

1. Freshmen are required to attend study table. Study table policies for subsequent semesters are determined based on g.p.a.
2. Student-athletes have good access to tutors. All student-athletes have an opportunity to meet with a tutor. Tutors receive rules education and adhere to University policies that ensure appropriate tutorial guidance within university expectations that would comply with NCAA regulations as well.

Missed Class Time

Current Strengths:

1. Team travel schedules are given to class professors by the student-athletes in advance so that professors are aware of when student-athletes will be absent.
2. A university wide missed class policy is in place and is very effective.

NCAA SELF-STUDY REPORT

UWGB ATHLETICS SELF-STUDY REPORT

Athletics certification is meant to ensure the National Collegiate Athletic Association's (NCAA) fundamental commitment to integrity in intercollegiate athletics. The program is structured to achieve its goal in several ways: (a) by opening the affairs of athletics to the university community and the public; (b) by setting standards (called operating principles) for the operation of Division I athletics programs; and (c) by putting tough sanctions in place for institutions that fail to conduct a comprehensive self-study or to correct problems. Three subcommittees are identified by the NCAA to assist institutions in meeting the purpose and goals of the process. They are:

- Subcommittee on Governance and Commitment to Rules Compliance
- Subcommittee on Academic Integrity
- Subcommittee on Equity and Student Athlete Well-Being

An effective self-study benefits the University of Wisconsin-Green Bay by providing self-awareness, affirmation, and opportunities to improve. The ultimate goal is to have an athletics program that provides a superior experience for our student-athletes and that is fully integrated into the academic mission of the University.

The NCAA certification team visited UWGB's campus during fall 2007 in order to complete the NCAA Self-Study Report. The NCAA's final report was published spring 2008. Click to view UWGB's NCAA Self-Study Report: <http://www.greenbayphoenix.com/fls/22500/PDF/ncaa.pdf>



STRATEGIC PLAN

HORIZON LEAGUE REVISED STRATEGIC PLAN, MARCH 20, 2017 (Updated, August 24, 2017)

MISSION STATEMENT

The mission of the Horizon League is to provide NCAA Division I athletic competition, programs, and services that support the member institutions' educational missions and values.

VISION STATEMENT

The Horizon League vision is to be highly competitive with our peer conferences and nationally recognized as a NCAA Division I athletic league while developing student-athletes to their full potential.

STATEMENT OF CORE VALUES

STUDENT-ATHLETE WELL-BEING: We are uncompromising in our support of student-athlete academic achievement as well as their intellectual, physical, emotional, and social development.

INTEGRITY: We are uncompromising in our commitment to operate ethically. We will constantly promote and recognize honesty and sportsmanship.

RESPECT: We embrace equity and inclusion by valuing and supporting our individual and institutional differences.

STEWARDSHIP: We value and nurture our relationship, our fiscal and physical resources, our reputation, and the dreams and aspirations of our student-athletes, striving at all times to be the best we can be.

V. GOALS (Membership)
V.1. The Horizon League will ensure that its membership plans are proactive and responsive to the national landscape and align with its Mission, Vision, and Core Values.
V.2. Expand the membership to 12 members if supported by Council, beginning with 2018-19 season.
V.3. Revisit expansion goals and accompanying processes and protocols.
VI. GOALS (Resources)
VI.1. Develop financial sustainability plan aligning expenses with revenues by FY 2020-21. Retain a balance of at least \$3mil (FY2020-21 budget) in unrestricted assets for cash flow.
VI.2. Develop a distribution formula for new NCAA Academic unit revenue by July, 2018.
VI.3. Identify additional opportunities to generate revenue (e.g., Esports, sponsorship).

Appendix I

Horizon League GSR Overall Comparative Data 2016-2017

Graduation Success Rate Green Bay	Graduation Success Rate: Horizon League
93.8	83.6

Graduation Success Rate Overall	GSR
UW-Green Bay Overall	94
Cleveland State University Overall	90
University of Detroit Mercy Overall	90
University of Illinois at Chicago Overall	83
Northern Kentucky University Overall	72
Oakland University Overall	82
Valparaiso University Overall	83
UW-Milwaukee Overall	74
Wright State University Overall	87
Youngstown Overall	79

APPENDIX J

SCHEDULE OF SELF AMORTIZING G.O. BONDS PAYABLE
FISCAL YEAR 2017

Green Bay - (Athletics Facilities Only)	Principal Payments						
	Balance 7-01-16	Additions	Prior Period Adjustment	Prior Years	FY2017	Adjustments	Balance 6-30-17
2007-A GBV Phoenix Sports center (#03D1R)	-	-	-	-	-	-	-
2007-C GBV Phoenix Sports center (#03D1R)	164,850.87	-	-	519,842.58	80,405.97	-	84,444.90
2008-A GBV Phoenix Sports center (#03D1R)	18,321.95	-	-	57,758.10	8,937.87	-	9,384.08
BOND 2011-2 Refunding GBV - PHOENIX SPORTS CENTER (#03D1R)	127,772.28	-	-	-	-	-	127,772.28
BOND 2012-2 Refunding GBV - PHOENIX SPORTS CENTER (03D1R)	263,654.78	-	-	-	-	-	263,654.78
BOND 2013-1 Refunding GBV - PHOENIX SPORTS CENTER (03D1R)	3,151,010.61	-	-	71,847.39	380,425.62	-	2,770,584.99
BOND 2014-2 Refunding GBV - PHOENIX SPORTS CENTER (03D1R)	1,610,709.91	-	-	-	-	-	1,610,709.91
BOND 2014-3 Refunding GBV - PHOENIX SPORTS CENTER (03D1R)	455,987.93	-	-	-	-	-	455,987.93
BOND 2014-4 Refunding GBV - PHOENIX SPORTS CENTER (03D1R)	696,425.13	-	-	-	-	-	696,425.13
Bond 2015-1 (G1112) Refunding GBV - PHOENIX SPORTS CENTER (03D1R)	13,609.63	-	-	-	-	-	13,609.63
BOND 2016-1 Refunding GBV - PHOENIX SPORTS CENTER (03D1R)	13,512.22	-	-	-	-	-	13,512.22
TOTAL GREEN BAY	6,515,855.31	-	-	649,448.07	469,765.46	-	6,046,085.85

Appendix K



NCAA Agreed-Upon Procedures for Division I at University of Wisconsin-Green Bay

Office of Internal Audit

Report Control #2018-57
January 9, 2018

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Office of Internal Audit

780 Regent Street, Suite 200
Madison, Wisconsin 53715
(608) 263-4397
<https://www.wisconsin.edu/offices/audit/>

REPORT

January 9, 2018

Chancellor Gary L. Miller
University of Wisconsin-Green Bay
Office of the Chancellor
David A. Cofrin Library, Suite 810
2420 Nicolet Drive
Green Bay, Wisconsin 54311-7001

Dear Chancellor Miller:

We have performed the agreed-upon procedures enumerated in the attached report for the sole purpose of assisting you in evaluating whether the accompanying *Statement of Revenues and Expenses* (SRE) for the University of Wisconsin-Green Bay Athletics Department is in compliance with National Collegiate Athletic Association (NCAA) Bylaw 3.2.4.15 for Division I for the year ended June 30, 2017. The University of Wisconsin-Green Bay (UW-Green Bay or University) administration and staff are responsible for the SRE and the University's compliance with those requirements.

This NCAA agreed-upon-procedures engagement was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants as well as requirements enumerated in the NCAA Constitution and common auditing practices. The sufficiency of these procedures is solely the responsibility of those parties specified in this report. Consequently, we make no representation regarding the sufficiency of the procedures described in the *Independent Auditor's Report on the Application of Agreed-Upon Procedures* either for the purpose for which this report has been requested or for any other purpose.

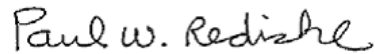
The NCAA requires this agreed-upon procedures engagement to be conducted by a qualified independent accountant. For purposes of this engagement, the NCAA considers the UW System Office of Internal Audit to be independent.

Our procedures and findings are explained in this report and its attachments. Reportable findings are defined as errors or misclassifications equal to or greater than \$500.

We were not engaged to, and did not conduct, an examination, the objective of which would be the expression of an opinion on the accompanying SRE. Accordingly, we do not express such an opinion. Had we performed additional procedures, other matters might have come to our attention that would have been reported to you.

This report is intended solely for the information and use of the Chancellor, management of the University of Wisconsin-Green Bay, the Athletics Department, and authorized representatives of the National Collegiate Athletic Association. The report is not intended to be, and should not be, used by anyone other than these specified parties. However, this guidance does not supersede distributions governed by the *Wisconsin Open Records Law*.

Very truly yours,

A handwritten signature in black ink that reads "Paul W. Rediske". The signature is written in a cursive, slightly slanted style.

Paul W. Rediske
Director
UW System Administration Office of Internal Audit

Attachments

cc: Vice Chancellor for Business and Finance, Sheryl Van Gruensven
Director of Athletics, Charles Guthrie
Controller, SuAnn Detampel
Assistant Controller, Lisa Jackovich
UW System Administration Chief Audit Executive, Lori Stortz

**ATTACHMENT A: INDEPENDENT AUDITOR'S REPORT ON THE APPLICATION OF
AGREED-UPON PROCEDURES**

**UNIVERSITY OF WISCONSIN-GREEN BAY
FISCAL YEAR ENDED JUNE 30, 2017**

Source: *NCAA 2017 Agreed-Upon Procedures*, revised May 15, 2017

Procedures Related to all Revenues and Expenses

1. Agreed the amounts reported on the SRE to UW-Green Bay's general ledger.

In performing this procedure and the procedure described in #2 below, we identified the need for certain material adjustments that management agreed to record in the SRE. As a result, revenues and expenses reported on the SRE (as contained in *Attachment B*) reflect those adjustments and materially agree with the amounts reported in the UW-Green Bay general ledger. In addition, there are certain items recorded on the SRE that are not required to be reported in the athletics accounts, including tuition waivers, indirect facilities and administrative support and gifts-in-kind. The NCAA requires that these items be reported on the SRE to fully reflect the operations of the Athletics Department.

2. Performed the following procedures for all revenue and expense categories applicable to UW-Green Bay:
 - a. Compared and agreed each operating revenue and expense category reported on the SRE during the reporting period to supporting schedules provided by the institution. If a specific reporting category is less than 4% of the total revenues or total expenses, no procedures are required for that specific category.
 - b. Compared and agreed a sample of revenue receipts and operating expenses obtained from the above supporting schedules to adequate supporting documentation.
 - c. Compared each major revenue and expense account over 10% of the total revenues and total expenses to prior period amounts and budget estimates. Obtained and documented an understanding of any significant variations greater than 10%. *Attachment C* describes the results of comparing revenue and expense amounts to budget and prior year values.
3. Identified and documented aspects of the institution's internal control structure unique to the Athletics Department. Tested specific elements of the control environment and accounting systems that (1) are unique to intercollegiate athletics and (2) have not been addressed in connection with the audit of the institution's financial statements.

No material exceptions were noted as a result of these procedures.

4. Identified intercollegiate athletics related affiliated and outside organizations and obtained those organizations' financial information for the reporting period. Agreed the amounts reported on the SRE to the athletics related and outside organization's records.

The SRE includes all functions related to intercollegiate athletics at UW-Green Bay as well as the accounts of its sole affiliated/outside organization, the UW-Green Bay Foundation (an independent 501(c)(3) organization that is the official not-for-profit fundraising corporation for UW-Green Bay).

No material exceptions were noted as a result of these procedures.

Procedures Related to Revenues

5. **Ticket Sales.** For one (1) Men's Basketball game, compared tickets sold, complimentary tickets provided, and unsold tickets to amounts included within the related sales reported by UW-Green Bay on the SRE and the associated attendance figures. Recalculated totals.

No material exceptions were noted as a result of these procedures.

6. **Student Fees.** Compared and agreed student fees reported by UW-Green Bay on the SRE for the reporting period to student enrollments during the reporting period. Obtained and documented an understanding of the institution's methodology for allocating student fees to intercollegiate athletics programs.

No material exceptions were noted as a result of these procedures.

7. **Direct State or Other Governmental Support.** The NCAA agreed-upon procedure guidelines require that we compare direct state or other governmental support recorded by UW-Green Bay Athletics during the reporting period with state appropriations, institutional authorizations and/or other corroborative supporting documentation and recalculated totals.

No procedures were required to be performed for this category since the amount recorded on the SRE was less than 4% of total revenue recorded on the SRE.

8. **Direct Institutional Support.** Compared the direct institutional support recorded by UW-Green Bay during the reporting period with institutional authorizations and/or other corroborative supporting documentation and recalculated totals. In addition, we compared total fee waivers for student athletes as recorded in the SRE to corroborative supporting documentation.

No material exceptions were noted as a result of these procedures.

9. **Transfers to Institution.** The NCAA agreed-upon procedure guidelines require that we compare transfers back to the institution with permanent transfers back to the institution from the Athletics Department and recalculated totals.

No material exceptions were noted as a result of these procedures.

10. **Indirect Institutional Support.** The NCAA agreed-upon procedure guidelines require that we compare the indirect institutional support recorded by the institution during the reporting period with expense payments, cost allocation detail and other corroborative supporting documentation and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE was less than 4% of total revenue recorded on the SRE.

11. **Guarantees.** The NCAA agreed-upon procedure guidelines require that we select a sample of contractual agreements pertaining to revenues derived from contests during the reporting period and agree each selection to UW-Green Bay's general ledger and the SRE and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE was less than 4% of total revenue recorded on the SRE.

12. **Contributions.** Obtained and reviewed supporting documentation for any contributions of money, goods or services received directly by the Athletics Department from any affiliated or outside organization that constituted 10% or more of all contributions received for inter-collegiate athletics and recalculated totals.

No material exceptions were noted as a result of these procedures.

13. **In-Kind.** The NCAA agreed-upon procedure guidelines require that we compare the gifts-in-kind recorded by the institution during the reporting period with a schedule of in-kind donations and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE was less than 4% of total revenue recorded on the SRE.

14. **Compensation and Benefits Provided by a Third Party.** The NCAA agreed-upon procedure guidelines require that we obtain the summary of revenues from affiliated and outside organizations (the "Summary") as of the end of the reporting period from the institution and select a sample of funds from the Summary and compare and agree each selection to supporting documentation, the UW-Green Bay general ledger and/or the summary and recalculate totals.

The Athletics Department did not record any Compensation and Benefits Provided by a Third Party on the SRE.

15. **Media Rights.** The NCAA agreed-upon procedure guidelines require that we obtain and inspect agreements to understand UW-Green Bay's total media (broadcast, television, radio) rights received by the institution or through their conference offices as reported in the statement. Also, the NCAA agreed-upon procedure guidelines require that we compare and agree the media right revenues to a summary statement of all media rights identified, if applicable, and UW-Green Bay's general ledger and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE was less than 4% of total revenue recorded on the SRE.

16. **NCAA Distributions.** Compared the amounts recorded in the SRE to general ledger detail for NCAA distributions and other corroborative supporting documents and recalculated totals.

No material exceptions were noted as a result of these procedures.

17. **Conference Distributions (Non-Media or Bowl).** The NCAA agreed-upon procedure guidelines require that we obtain and inspect agreements related to UW-Green Bay's distributions and participation in revenues from tournaments during the reporting period to gain an understanding of the relevant terms and conditions. Also, the agreed-upon procedures require that we compare and agree the related revenues to UW-Green Bay's general ledger, and the SRE and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE was less than 4% of total revenue recorded on the SRE.

18. **Program, Novelty, Parking and Concession Sales.** The NCAA agreed-upon procedure guidelines require that we compare the amount recorded in the revenue reporting category to general ledger detail of program sales, concessions, novelty sales and parking as well as any other corroborative supporting documents and recalculate the totals.

No procedures were required to be performed for this category since the amount recorded on the SRE was less than 4% of total revenue recorded on the SRE.

19. **Royalties, Licensing, Advertisement and Sponsorships.** For a sample of two (2) sports marketing contracts, we obtained and inspected the agreements related to UW-Green Bay's participation in revenues from royalties, licensing, advertisements and sponsorships during the reporting period to gain an understanding of the relevant terms and conditions. We also compared and agreed the related revenues to the SRE and recalculated totals.

No material exceptions were noted as a result of these procedures.

20. **Sports Camp Revenues.** The NCAA agreed-upon procedure guidelines require that we obtain an understanding of UW-Green Bay's methodology for conducting camps and recording revenues. For a sample of individual camp participant cash receipts from the schedule of sports- camp participants, agree each selection to the institution's general ledger, and/or the statement and recalculate totals

No procedures were required to be performed for this category since the amount recorded on the SRE was less than 4% of total revenue recorded on the SRE.

21. **Athletics Restricted Endowment and Investments Income.** The NCAA agreed-upon procedure guidelines require that we obtain and inspect endowment agreements to gain an understanding of the relevant terms and conditions. Also, compare and agree the classification and use of endowment and investment income reported in the SRE during the reporting period to the uses of income deferred within the related endowment agreement and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE was less than 4% of total revenue recorded on the SRE.

22. **Other Operating Revenue.** The NCAA agreed-upon procedure guidelines require that we perform minimum agreed-upon procedures referenced for all revenue in Procedure 2 and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE was less than 4% of total revenue recorded on the SRE.

23. **Bowl Revenues.** The NCAA agreed-upon procedure guidelines require we obtain and inspect agreements related to UW-Green Bay's participation in revenues from post-season bowl games during the reporting period to gain an understanding of the relevant terms and conditions. Also, the agreed-upon procedures require that we compare and agree the related revenues to UW-Green Bay's general ledger, and the SRE and recalculate totals.

The Athletics Department did not record any Bowl Revenues on the SRE.

Procedures Related to Expenses

24. **Athletic Student Aid.** We selected a sample of 10% of student athletes for institutions who used NCAA's Compliance Assistant (CA) software to prepare athletic aid detail, from the listing of University student aid recipients for the year ended June 30, 2017. The sample was pulled from data captured through the creation of a squad list from each sponsored sport. We obtained individual student account detail for each athlete selected and performed a check of each student athlete selected to ensure their information was reported accurately in the NCAA's Compliance Assistant (CA) software. We recalculated totals for each sport and overall in the SRE.

No material exceptions were noted as a result of these procedures.

25. **Guarantees.** The NCAA agreed-upon procedure guidelines require that we obtain and inspect visiting institution's away-game settlement reports received by UW-Green Bay for a sample of games during the reporting period and agree related expenses to UW-Green Bay's general ledger and/or the statement and recalculate totals. Also, the NCAA agreed-upon procedure guidelines require that we obtain and inspect contractual agreements pertaining to expenses recorded by the institution from guaranteed contests during the reporting period and compare and agree related amounts expensed by UW-Green Bay on the institution's general ledger and/or the statement and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE was less than 4% of total expenses recorded on the SRE.

26. **Coaching Salaries, Benefits and Bonuses paid by the University and Related Entities.** Obtained and inspected a listing of coaches employed by UW-Green Bay and related entities during the reporting period. Selected a sample of three (3) coaches' contracts that included men's and women's basketball from the listing. Compared and agreed the financial terms and conditions of each selection to the related coaching salaries, benefits, and bonuses recorded by UW-Green Bay and related entities in the statement during the reporting period. Obtained and inspected payroll summaries for the reporting year for each selection. Compared and agreed payroll summaries from the reporting period to the related coaching salaries, benefits and bonuses paid by UW-Green Bay and related entities expense recorded by the institution in the SRE. Compared and agreed the totals recorded to any employment contracts executed for the sample selected and recalculated totals.

No material exceptions were noted as a result of these procedures.

27. **Coaching Salaries, Benefits and Bonuses paid by a Third Party.** The NCAA agreed-upon procedure guidelines require that we (1) obtain and inspect a listing of coaches employed by third parties during the reporting period. Select a sample of coaches' contracts that must include men's and women's basketball from the listing; (2) compare and agree the financial terms and conditions of each selection to the related coaching other compensation and benefits paid by a third party and recorded by UW-Green Bay in the statement during the reporting period; (3) obtain and inspect reporting period payroll summary registers for each selection; and (4) compare and agree related payroll summary register to the coaching other compensation and benefits paid by a third party expenses recorded by UW-Green Bay in the SRE during the reporting period and recalculate totals.

The Athletics Department did not record any Coaching Salaries, Benefits and Bonuses paid by a Third Party on the SRE.

28. **Support Staff/Administrative Compensation, Benefits and Bonuses paid by the University and Related Entities.** Selected a sample of two (2) support staff/administrative personnel employed by UW-Green Bay and related entities during the reporting period. Obtained and inspected reporting period payroll summaries for each selection. Compared and agreed related summaries to the related support staff administrative salaries, benefits and bonuses paid by UW-Green Bay and related entities expense recorded by UW-Green Bay on the SRE and recalculated totals.

No material exceptions were noted as a result of these procedures.

29. **Support Staff/Administrative Compensation, Benefits and Bonuses paid by Third Party.** The NCAA agreed upon procedure guidelines require that we select a sample of support staff/administrative personnel employed by the third parties during the reporting period. The NCAA agreed-upon procedure guidelines also require that we obtain and inspect reporting period payroll summaries. For each selection: compare and agree related payroll summaries to the related support staff administrative other compensation and benefits expense recorded by UW-Green Bay on the SRE and recalculate totals.

The Athletics Department did not record any Support Staff/Administrative Compensation, Benefits and Bonuses paid by a Third Party on the SRE.

30. **Severance Payments.** The NCAA agreed-upon procedure guidelines require that we select a sample of employees receiving severance payments by the institution during the reporting period and agree each severance payment to the related termination letter or employment contract and recalculate totals.

The Athletics Department did not record any Severance Payments on the SRE.

31. **Recruiting.** The NCAA agreed-upon procedure guidelines require that we obtain and document an understanding of UW-Green Bay's recruiting expense policies. Also, compare and agree to existing institutional and NCAA-related policies. Obtain general ledger detail and compare to the total expenses reported and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE was less than 4% of total expenses recorded on the SRE.

32. **Team Travel.** Examined four (4) expenditures/transactions to obtain and document an understanding of UW-Green Bay's team travel policies. Compared and agreed to existing institutional and NCAA-related policies. Obtained general ledger detail and compared to the total expenses reported and recalculated totals.

No material exceptions were noted as a result of these procedures.

33. **Sports Equipment, Uniforms and Supplies.** Obtained general ledger detail and compared to the total expenses reported. Selected three (3) expenditure/transactions to validate existence of transactions and accuracy of recording and recalculated totals.

No material exceptions were noted as a result of these procedures.

34. **Game Expenses.** The NCAA agreed-upon procedure guidelines require that we obtain general ledger detail and compare to the total expenses reported. Also, agreed-upon procedures require that we select a sample of transactions to validate existence of transaction and accuracy of recording and recalculate the totals.

No procedures were required to be performed for this category since the amount recorded on the SRE was less than 4% of total expenses recorded on the SRE.

35. **Fund Raising, Marketing and Promotion.** The NCAA agreed-upon procedure guidelines require that we obtain general ledger detail and compare to the total expenses reported. Also, agreed-upon procedures require that we select transactions to validate existence of transaction and accuracy of recording and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE was less than 4% of total expenses recorded on the SRE.

36. **Sports Camp Expenses.** The NCAA agreed-upon procedure guidelines require that we obtain general ledger detail and compare to the total expenses reported. Also, agreed-upon procedures require that we select a sample of transactions to validate existence of transaction and accuracy of recording and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE was less than 4% of total expenses recorded on the SRE.

37. **Spirit Groups.** The NCAA agreed-upon procedure guidelines require that we obtain general ledger detail and compare to the total expenses reported. Also, agreed-upon procedures require that we select a sample of transactions to validate existence of transaction and accuracy of recording and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE was less than 4% of total expenses recorded on the SRE.

38. **Athletics Facilities, Debt Service, Leases and Rental Fees.** Obtained a listing of debt service schedules, lease payments and rental fees for athletics facilities for the reporting year. Compared a sample of facility payments including the top two highest facility payments to additional supporting documentation (e.g., debt financing agreements, leases, rental agreements). Also, compared amounts recorded to amounts listed in the general ledger detail and recalculated totals.

No material exceptions were noted as a result of these procedures.

39. **Direct Overhead and Administrative Expenses.** The NCAA agreed-upon procedure guidelines require that we obtain general ledger detail and compare to the total expenses reported. Also, agreed-upon procedures require that we select a sample to validate existence of transactions and accuracy of recording and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE was less than 4% of total expenses recorded on the SRE.

40. **Indirect Institutional Support.** The NCAA agreed-upon procedure guidelines require that we compare the indirect institutional support recorded by the institution during the reporting period with expense payments, cost allocation detail and other corroborative supporting documentation and recalculate the totals.

No procedures were required to be performed for this category since the amount recorded on the SRE was less than 4% of total expenses recorded on the SRE.

41. **Medical Expenses and Medical Insurance.** Obtained general ledger detail and compared to the total expenses reported. Selected one (1) transaction to validate existence of transaction and accuracy of recording and recalculated totals.

No material exceptions were noted as a result of these procedures.

42. **Memberships and Dues.** The NCAA agreed-upon procedure guidelines require that we obtain general ledger detail and compare to the total expenses reported. Also, agreed-upon procedures require that we select a sample of transactions to validate the existence of transactions and accuracy of recording and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE was less than 4% of total expenses recorded on the SRE.

43. **Student-Athlete Meals (non-travel).** The NCAA agreed-upon procedure guidelines require that we obtain general ledger detail and compare to the total expenses reported. Also, agreed-upon procedures require that we select a sample of transactions to validate existence of transaction and accuracy of recording and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE was less than 4% of total expenses recorded on the SRE.

44. **Other Operating Expenses.** The NCAA agreed-upon procedure guidelines require that we obtain general ledger detail and compare to the total expenses reported. Also, agreed-upon procedures require that we select a sample of transactions to validate existence of transactions and accuracy of recording and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE was less than 4% of total expenses recorded on the SRE.

45. **Bowl Expenses.** The NCAA agreed-upon procedure guidelines require that we obtain general ledger detail and compare to the total expenses reported. Also, agreed-upon procedures require that we select a sample of transactions to validate existence of transaction and accuracy of recording and recalculate totals.

The Athletics Department did not record any Bowl Expenses on the SRE.

Additional Minimum Agreed Upon Procedures

46. **NCAA Membership Financial Reporting System.** Compared and agreed the sports sponsored reported in the NCAA Membership Reporting System to the squad lists of UW-Green Bay Athletics. The NCAA Membership Financial Reporting System populates the sports from the NCAA Membership Database as they are reported by the University. If there was a discrepancy in the sports sponsored between the NCAA Membership Financial Reporting System and the squad lists, we inquired about the discrepancy and reported the justification in the Agreed-Upon Procedures report.

No material exceptions were noted as a result of these procedures.

47. **Sports Sponsorship and Demographics Forms Report.** We obtained UW-Green Bay's "Sports Sponsorship and Demographics Forms Report" for the reporting year and validated the countable sports reported by the institution met the minimum requirements set in by-law 20.9.6.3 for the number of contests and the number of participants in each contest that is counted toward meeting the minimum-contest requirement.

We ensured that UW-Green Bay has properly reported the sports as countable for revenue distribution purposes within the NCAA Membership Financial Reporting System.

No material exceptions were noted as a result of these procedures.

48. **Pell Grants.** Agreed the total number of Division I student-athletes who, during the academic year, received a Pell Grant award (e.g., Pell Grant recipients on Full Grant-in-Aid, Pell Grant recipients on Partial Grants-in-Aid and Pell Grant recipients with no Grants-in-Aid) to the total value of these Pell Grants reported in the NCAA Membership Financial Reporting System, to a report generated out of the institutions financial aid records, of all student-athlete Pell Grants.

No material exceptions were noted as a result of these procedures.

MINIMUM AGREED-UPON PROCEDURES PROGRAM FOR OTHER REPORTING ITEMS

49. **Excess Transfers to Institution and Conference Realignment Expenses.** The NCAA agreed-upon procedure guidelines require that we obtain general ledger detail and compare to the total expenses reported. Also, agreed-upon procedures require that we select a sample of transactions to validate existence of transactions and accuracy of recording and recalculate totals.

The Athletics Department did not record any Excess Transfers to Institution or Conference Realignment Expenses on the SRE.

50. **Total Athletics Related Debt.** Obtained repayment schedules for all outstanding intercollegiate athletics debt during the reporting period. Recalculated annual maturities (consisting of principal and interest) provided in the schedules. Agreed the total annual maturities and total outstanding athletic related to supporting documentation and the institution's general ledger, as applicable.

No material exceptions were noted as a result of these procedures.

51. **Total Institutional Debt.** Agreed the total outstanding institutional debt to supporting documentation and the institution's audited financial statements, if available, or the institution's general ledger.

No material exceptions were noted as a result of these procedures.

52. **Value of Athletics Dedicated Endowments.** Obtained a schedule of all athletics dedicated endowments maintained by athletics, the institution, and affiliated organizations. Agreed the fair market value in the schedule(s) to supporting documentation, the general ledger(s) and audited financial statements, if available.

No material exceptions were noted as a result of these procedures.

53. **Value of Institutional Endowments.** Agreed the total fair market value of institutional endowments to supporting documentation, the institution's general ledger and/or audited financial statements, if available.

No material exceptions were noted as a result of these procedures.

54. **Total Athletics Related Capital Expenditures.** Obtained a schedule of athletics related capital expenditures made by athletics, the institution, and affiliated organizations during the reporting period.

Obtained general ledger detail and compared to the total expenses reported. Selected a sample of transactions to validate existence of transaction and accuracy of recording and recalculated the totals.

No material exceptions were noted as a result of these procedures.

ATTACHMENT B: STATEMENT OF REVENUE AND EXPENSES

UNIVERSITY OF WISCONSIN-GREEN BAY ATHLETICS DEPARTMENT STATEMENT OF REVENUES AND EXPENSES FOR THE YEAR ENDED JUNE 30, 2017

REVENUES:	MEN'S		WOMEN'S		OTHER	NON-PROGRAM		TOTAL	PRIOR YEAR	VARIANCE
	BASKETBALL	BASKETBALL	BASKETBALL	BASKETBALL		SPECIFIC	SPECIFIC			
1 Ticket Sales	\$ 395,805	\$ 239,645	\$ 4,298	\$ -	\$ 639,748	\$ 721,062	\$ (81,313)			
2 Direct State or Other Government Support	-	-	-	-	124,000	124,000	247,500	(123,500)		
3 Student Fees	-	-	-	-	1,304,495	1,304,495	1,243,440	61,055		
4 Direct Institutional Support	277,419	413,111	1,803,185	679,515	3,173,230	3,294,618	(121,388)			
5 Less: Transfers to Institution	(267)	-	-	(9,829)	(10,096)	(4,656)	(5,440)			
6 Indirect Institutional Support	-	-	-	110,123	110,123	100,637	9,486			
Indirect Institutional Support-Athletic Facilities Debt										
6A Service, Lease and Rental Fees				246,256	246,256	151,243	95,013			
7 Guarantees	230,000	-	8,200	-	238,200	260,300	(22,100)			
8 Contributions	190,766	131,801	100,113	755,778	1,178,459	1,102,407	76,051			
9 In-Kind	-	-	-	336,177	336,177	336,177	-			
10 Compensation and Benefits Provided by Third-Party	-	-	-	-	-	-	-			
11 Media Rights	-	-	-	15,000	15,000	15,000	-			
12 NCAA Distributions	20,720	40,488	-	824,139	885,347	499,455	385,892			
13 Conference Distributions	-	-	-	204,321	204,321	42,587	161,734			
14 Program, Novelty, Parking and Concessions Sales	505	4,533	-	74,059	79,097	101,479	(22,382)			
15 Royalties, Licensing, Advertisement, and Sponsorships	58,774	45,917	177,773	441,468	723,932	673,064	50,869			
16 Sports Camp Revenues	-	4,020	25,934	-	29,954	58,319	(28,365)			
17 Athletics Restricted Endowment and Investment Income	950	6,900	13,437	-	21,287	58,323	(37,036)			
18 Other	9,800	2,134	9,195	70,172	91,300	130,150	(38,850)			
19 Bowl Revenues	-	-	-	-	-	-	-			
Total Operating Revenue	\$ 1,184,473	\$ 888,550	\$ 2,142,135	\$ 5,175,672	\$ 9,390,830	\$ 9,031,104	\$ 359,726			
EXPENSES:										
20 Athletic Student Aid	\$ 320,776	\$ 333,539	\$ 1,807,349	\$ -	\$ 2,461,664	\$ 2,629,101	\$ (167,437)			
21 Guarantees	13,000	22,500	240	-	35,740	25,150	10,590			
Coaching Salaries, Benefits, and Bonuses Paid by the										
22 University and Related Entities	666,694	500,860	637,380	-	1,804,933	1,741,295	63,638			
23 Coaching Compensation and Benefits Paid By a Third Party	-	-	-	-	-	-	-			
Support Staff/Administrative Salaries, Benefits, and										
24 Bonuses Paid by the University and Related Entities	8,909	-	320	966,166	975,395	1,114,684	(139,290)			
Support Staff/Administrative Other Compensation and										
25 Benefits Paid by a Third Party	-	-	-	-	-	-	-			
26 Severance Payments	-	-	-	-	-	-	-			
27 Recruiting	65,246	38,887	36,240	-	140,374	120,016	20,358			
28 Team Travel	209,549	184,473	455,417	-	849,438	949,074	(99,636)			
29 Sports Equipment, Uniforms, and Supplies	55,688	64,553	308,021	75,216	503,477	513,672	(10,195)			
30 Game Expenses	106,982	66,318	47,052	28,972	249,323	282,946	(33,623)			
31 Fundraising, Marketing, and Promotion	52,920	34,061	125	242,342	329,448	296,561	32,887			
32 Sport Camp Expenses	-	-	6,383	82	6,464	2,493	3,971			
33 Spirit Groups	-	-	-	41,323	41,323	18,797	22,526			
34 Athletic Facilities, Debt Service, Leases, and Rental Fees	91,371	-	38,242	246,256	375,869	250,208	125,661			
35 Direct Overhead and Administrative Expenses	22,658	17,266	24,803	263,261	327,988	242,556	85,432			
36 Indirect Institutional Support	-	-	-	110,123	110,123	100,637	9,486			
37 Medical Expenses and Medical Insurance	-	-	261	414,213	414,474	391,623	22,851			
38 Membership and Dues	2,045	213	3,659	4,000	9,917	10,770	(853)			
39 Student-Athlete Meals (non-travel)	947	-	25,572	58	26,577	17,865	8,712			
40 Other Operating Expenses	26,444	30,627	13,185	249,248	319,504	498,108	(178,604)			
41 Bowl Expenses	-	-	-	-	-	-	-			
Total Operating Expenses	\$ 1,643,228	\$ 1,293,296	\$ 3,404,248	\$ 2,641,258	\$ 8,982,030	\$ 9,205,556	\$ (223,526)			
EXCESS (DEFICIENCY) OF REVENUES OVER (UNDER) EXPENSES	\$ (458,755)	\$ (404,747)	\$ (1,262,113)	\$ 2,534,413	\$ 408,799	\$ (174,452)	\$ 583,252			

ATTACHMENT B: STATEMENT OF REVENUE AND EXPENSES – CONTINUED

Additional Information:

50 Excess Transfers to Institution	\$ -	\$ -	\$ -
51 Conference Realignment Expenses	-	-	-
52 Total Athletics Related Debt	6,046,086	6,515,855	(469,769)
53 Total Institutional Debt	6,880,224	7,486,329	(606,105)
54 Value of Athletics Dedicated Endowments	1,770,827	1,665,556	105,271
55 Value of Institutional Endowments	31,160,984	28,167,244	2,993,740
56 Total Athletics Related Capital Expenditures	10,000	-	10,000

FOOTNOTES TO STATEMENT OF REVENUES AND EXPENSES - See Attachment D.

ATTACHMENT C: COMPARISONS OF MAJOR REVENUE AND EXPENSE CATEGORIES
FOR THE YEAR ENDED JUNE 30, 2017

The NCAA agreed-upon procedure guidelines require the independent auditor to:

- a) Compare each major revenue account over 10% of the total revenues to prior period amounts and budget estimates. Obtain and document an understanding of any variations over 10%. Report the analysis as a supplement to the final agreed-upon procedures report.

Based upon the above-stated criteria, the following revenue account variance from budgeted amount required an explanation:

NCAA Category	16-17 Amount	16-17 Budget	Variance	Explanation
#8 Contributions	\$1,178,459	\$950,000	\$76,051 over budget.	This line item amount includes \$59,000 that was previously budgeted for in the "Other" line item.

- b) Compare each major expense account over 10% of the total expenses to prior period amounts and budget estimates. Obtain and document an understanding of any variations over 10%. Report the analysis as a supplement to the final agreed-upon procedures report.

Based upon the above-stated criteria, the following major expense account variance from prior period amount required an explanation:

NCAA Category	16-17 Amount	16-17 Prior Period	Variance	Explanation
#24 Support Staff/Administrative Salaries, Benefits, and Bonuses paid by the University and Related Entities	\$975,395	\$1,114,684	\$139,290 decrease from prior period amount.	Fewer support staff are employed by the Athletics Department for Administrative support.

ATTACHMENT D: NOTES TO STATEMENT OF REVENUES AND EXPENSES

FOR THE YEAR ENDED JUNE 30, 2017

Note 1: Summary of Significant Accounting Policies

UW-Green Bay uses a fund accounting system of financial reporting. The main premise of fund accounting is to facilitate the stewardship of financial resources and ensure that these resources are budgeted, received and expended in compliance with legal requirements. UW-Green Bay has established policies and procedures to ensure that all University assets, including those in the custody of Athletics are appropriately acquired, utilized and disposed.

The SRE has been prepared on the budgetary basis of accounting, which is a comprehensive basis of accounting other than generally accepted accounting principles (GAAP). The budgetary basis for the UW-Green Bay Athletics Department represents the accounting principles used in managing its operations in accordance with its budgetary procedures. The Government Accounting Standards Board establishes generally accepted accounting principles for states and local governmental units in the UW-Green Bay Athletics Department. The most significant differences between the budgetary basis and GAAP (full accrual basis) are as follows:

- Capital asset acquisitions funded by revenues are reported as expenditures rather than as capital assets.
- Depreciation on capital assets is not reported.

The format for the *Statement of Revenues and Expenses* is based upon the prescribed format of the NCAA.

Note 2: Reporting Entity

The UW-Green Bay Athletics Department is responsible for intercollegiate sports programs of the University. The Director of Athletics is responsible for the day-to-day operation of the Athletics Department. The Athletics Department is ultimately governed by the University's Chancellor through authority delegated by the Board of Regents of the University of Wisconsin System, which is a unit of the State of Wisconsin.

The SRE includes all functions related to intercollegiate athletics at UW-Green Bay as well as the accounts of its sole affiliated/outside organization, the UW-Green Bay Foundation (an independent 501(c)(3) organization that is the official not-for-profit fundraising corporation for UW-Green Bay). Contributions are received by the UW-Green Bay Foundation on behalf of UW-Green Bay Athletics. Contributions are recognized and recorded on the SRE in the period provided and used by UW-Green Bay Athletics. Disbursements made from the UW-Green Bay Foundation on behalf of the Athletics Department to third parties are recorded on the SRE in the period of disbursement.

Note 3: Contributions

The UW-Green Bay Athletics Department receives contributions in support of various intercollegiate sports programs. Donations can be made to the UW-Green Bay Athletics Department or the UW-Green Bay Foundation.

Contributions (line 8) include \$1,178,459 received by the UW-Green Bay Foundation on behalf of the Athletics Department. In fiscal year 2016-17, the UW-Green Bay Foundation did not receive contributions from any individual or organization constituting more than 10% of all contributions received.

Note 4: Long-Term Debt

The *Kress Events Center* is a multi-purpose arena that opened on the UW-Green Bay campus in 2007. The arena was built around the previous *Phoenix Sports Center*. General obligation bonds were issued beginning in 2007 for the UW-Green Bay Phoenix Sports Center renovation. The outstanding debt balance for facilities related to intercollegiate athletics is \$6,046,086, as of June 30, 2017.

The scheduled maturities of the general obligation bonds and notes are as follows:

	Bonds	Interest	Total
2018	\$ 314,772	\$ 301,228	\$ 616,000
2019	460,556	282,295	742,851
2020	691,917	262,461	954,378
2021	551,575	225,819	777,394
2022	582,306	198,384	780,691
2023-2027	3,305,443	545,459	3,850,902
2028-2029	139,516	6,875	146,392
	<u>\$ 6,046,086</u>	<u>\$ 1,822,521</u>	<u>\$ 7,868,607</u>

Note 5: Restricted Revenue

During the year ending June 30, 2017, UW-Green Bay Athletics received \$411,563 from the NCAA as part of its *Special One-Time Division I Distribution* to directly support student athletes. Since this distribution is considered restricted with respect to purpose, the entire amount received in fiscal year 2017 (\$411,563) was recognized as revenue in Line 12 of the SRE. UW-Green Bay Athletics plans to spend approximately \$82,300 from this distribution each year (starting in 2017-18 and ending in 2021-22). UW-Green Bay Athletics intends to utilize the funds to directly support student-athletes through academic support, life skill career success programs, diversity and inclusion initiatives and student-athlete health and well-being.