

9/27/2017

BOARD OF REGENTS OF THE UNIVERSITY OF WISCONSIN SYSTEM

I.2. Business and Finance Committee

Thursday, October 5, 2017
10:15 a.m. – 11:30 a.m.
Cedarwood/Maplewood Rooms
UW-Stout Memorial Student Center
Menomonie, Wisconsin

- a. Approval of the Minutes of the July 6, 2017 Meeting of the Business and Finance Committee
- b. Review and Approval of Changes to Regent Policy Document (RPD) 25-3 *Policy on Use of University Information Technology Resources*
[Resolution I.2.b.]
- c. UW System Digital Learning Environment Contractual Agreement – Instructure Inc.
[Resolution I.2.c.]
- d. UW-Milwaukee Contractual Agreement – Calcigenix, LLC
[Resolution I.2.d.]
- e. UW-Madison Contractual Agreement – QuintilesIMS, Inc.
[Resolution I.2.e.]
- f. UW-Madison Contractual Agreement – Varian Medical Systems, Inc.
[Resolution I.2.f.]
- g. UW-Madison Contractual Agreement – Pepsi-Cola of Madison
[Resolution I.2.g.]
- h. FY2017 Program Revenue Balances Report
[Resolution I.2.h.]
- i. Managed Travel Program Update
- j. Quarterly Gifts, Grants and Contracts Report – FY2017 (4th Quarter)
- k. Financial Management Report – FY2017 (4th Quarter)
- l. Report of the Vice President(s)
 - Information Security Update
 - Segregated Fee Workgroup Update

Revision of Regent Policy Document 25-3,
“Policy on Use of University Information Technology Resources”

BUSINESS AND FINANCE COMMITTEE

Resolution:

That, upon the recommendation of the President of the University of Wisconsin System, the Board of Regents approves the attached revisions to Regent Policy Document 25-3, “Policy on Use of University Information Technology Resources,” to be renamed “Acceptable Use of Information Technology Resources,” with full implementation of the revised policy required within 90 days.

**REGENT POLICY DOCUMENT REVIEW:
RPD 25-3, “POLICY ON USE OF
INFORMATION TECHNOLOGY RESOURCES”**

BACKGROUND

The UW System Board of Regents’ policies are codified in Regent Policy Documents (RPDs) that have been adopted over time, some dating back to the creation of the UW System. The Board has adopted these policies under the authority granted in Chapter 36, Wis. Stats. The RPDs address a wide array of subjects, including academic policies and programs, contracts, student activities, and trust and investment policies.

Since 2011, the Office of the Board of Regents and UW System Administration have been working to review and update the RPDs. As part of this review process, the Board of Regents adopted a set of standards and protocols to direct the analysis of the RPDs. These standards include consideration of the extent to which a policy: addresses the UW System as a whole, establishing a fundamental principle as a basis and guide for later action; serves as an enduring statement rather than responding to a short-term issue; addresses institutional risks, promotes operational efficiency, or enhances the missions of the UW System or UW institutions; and promotes compliance with applicable laws and regulations.

At its October 2017 meeting, the Business and Finance Committee will consider revisions to RPD 25-3, “Policy on Use of Information Technology Resources.”

REQUESTED ACTION

Adoption of Resolution I.2.b., which revises Regent Policy Document 25-3, “Policy on Use of Information Technology Resources” to be renamed “Acceptable Use of Information Technology Resources.”

DISCUSSION

In June of 1997, the Board of Regents adopted its policy regarding the use of information technology resources. Resolution 7461, now codified as RPD 25-3 (included as Attachment B), states it is the policy of the UW System “to afford broad access to information technology resources for university students, faculty, and staff for use in fulfilling the university’s missions, and for appropriate university-related activities.” The current policy does not clearly define “information technology resources,” nor address what would be considered either acceptable or unacceptable use of information technology resources.

The proposed modifications to RPD 25-3 (Attachment A) provide a comprehensive description of what are considered “information technology resources.” The proposed changes also define acceptable uses of UW System information technology resources, and include a comprehensive and unambiguous description of what would be considered “unacceptable use.” The proposed policy retains aspects of the current policy that apply to privacy and other limitations on the use of information technology resources.

Full implementation of the revised policy should occur within 90 days, providing sufficient time for institutions to communicate the new policy to students, employees, and others.

The proposed Board of Regents policy will be the sole policy for the System, and UW institutions will be required to post the policy on their institutional website.

RELATED LAWS AND REGENT POLICIES

Regent Policy Document 25-4, Strategic Planning and Large or High Risk Projects

Regent Policy Document 25-5, Information Technology: Information Security

Attachment A

Proposed Alternative to RPD 25-3 (9/08/2017)

Regent Policy Document 25-3 Acceptable Use of Information Technology Resources

Scope

This policy covers authorized users who access information technology (IT) resources under the control of the University of Wisconsin (UW) System including but not limited to: currently-enrolled students; employees; authorized contractors, vendors, and guests; and other authorized users as determined by UW institutions.

Purpose

The purpose of this policy is to outline the expectations of the Board of Regents regarding the acceptable use of IT resources by authorized users and to establish the parameters for the use of IT resources.

Policy Statement

IT resources are essential tools in accomplishing the UW System's mission of disseminating and extending knowledge, fostering the free exchange of ideas, and providing effective support for teaching, research, and public service functions. It is the policy of the UW System Board of Regents that access to and use of UW System IT resources is a privilege that extends to authorized users for use in fulfilling the missions of the UW System and UW institutions, and for appropriate university-related activities.

UW System IT resources include all electronic equipment, facilities, technologies, and data used for information processing, transfer, storage, display, printing, and communications by the UW System and/or any UW institution. These include, but are not limited to, computer hardware and software, computer labs, classroom technologies such as computer-based instructional management systems, and computing and electronic communications devices and services, modems, email, networks, telephones, voicemail, facsimile transmissions, video, multi-function printing devices, mobile computer devices, data, multimedia and instructional materials. This definition also includes services that are owned, leased, operated, provided by, or otherwise connected to UW System resources, such as cloud computing or any other connected/hosted service provided.

Acceptable and Unacceptable Uses of Information Technology Resources

Acceptable use of UW System IT resources includes any purpose related to the direct and indirect support of the System's educational, research, service, student and campus life activities; administrative and business purposes; financial systems; and human resources administration. Authorized users are provided access to IT resources in order to support their studies, instruction, research, duties as employees, official business with the UW System and/or any UW System institution, and other university-sanctioned activities according to their roles and responsibilities.

Authorized users must not engage in unacceptable use of UW System IT resources, which includes but is not limited to the following:

1. Sharing or transferring authentication details to others, or using another user's authentication credentials such as network IDs and passwords, or other access codes or circumventing user authentication which could allow unauthorized users to gain access to UW System IT resources, except as required for administrative or business purposes;
2. Violation of federal, state, or local laws; institutional policies, rules or guidelines; or licensing agreements or contracts;
3. Harassment of, threats to or defamation of others; creation of a hostile environment; stalking; and/or illegal discrimination;
4. Widespread dissemination of unauthorized email messages (e.g., mass mailings, spam, email chain letters); or
5. Intentionally damaging, disrupting, or exposing IT resources or data to unauthorized access or harm.

In addition to the examples stated above, unacceptable use of UW System IT resources for employees, authorized contractors and vendors, also includes the following:

6. Storage, display, transmission, or intentional or solicited receipt of material that is or may reasonably be regarded as obscene, sexually explicit, or pornographic, except as such access relates to bonafide, university-related academic or research pursuits or as needed to investigate violations of this policy or laws;
7. Outside employment, commercial activities, or other forms of private financial gain;
8. Campaigning for public office or soliciting political contributions;
9. Political lobbying, except for specific employees designated to lobby on behalf of a the UW System or one of its institutions;
10. Wagering or betting, except as it relates to bonafide, university-related academic or research pursuits;
11. More than minimal use for private or personal purposes that interferes with work or job performance or that interferes with the activities of other employees, students, or other authorized users.

Authorized users must not use UW System IT resources to speak on behalf of the UW System or use the UW System trademarks or logos without authorization. Affiliation with the UW System does not, by itself, imply authorization to speak on behalf of the UW System. The UW System

is not responsible for the content of documents, exchanges or messages, including links to other information locations on the internet that reflect only the personal ideas, comments, and opinions of individual members of the university community, even when this content is published or otherwise circulated to the public at large by means of UW System IT resources.

Privacy and Security

The UW System shall take reasonable measures to protect the privacy of its IT resources and accounts assigned to authorized users. However, the UW System cannot guarantee absolute security and privacy. Any activity on UW System IT resources may be monitored, logged and reviewed by UW System-approved personnel or may be discovered in legal proceedings or in response to public records requests. Generally, the contents of user accounts will be treated as private and not examined or disclosed except:

- as required for system maintenance or business necessity, including security measures;
- when there exists reason to believe an individual is violating the law or UW System or institutional policy;
- to meet the requirements of the Wisconsin Public Records Law or other laws; regulations; or institutional policies, rules, or guidelines; or
- as permitted by applicable law or policy.

The UW System has the right to employ appropriate security measures, to investigate as needed, and to take necessary actions to protect UW System IT resources. The UW System may also have a duty to provide information relevant to ongoing investigations by law enforcement.

UW institutions will work with authorized users to protect their privacy interests, as well as those of the UW System.

Authorized users must not violate the privacy of other users. Technical ability to access unauthorized resources or others' accounts does not by itself imply authorization to do so, and it is a violation of this policy to access others' accounts unless authorized to do so for a legitimate business purpose.

Other Limitations on Use of Information Technology Resources

In addition to the general principles set forth in this policy, the use of IT resources may be affected by other laws and policies; included among these are: federal copyright laws and privacy laws related to student records; state statutes related to computer crimes and political activities of state employees; ethical standards of conduct; dismissal for cause; standards and disciplinary processes related to academic and nonacademic misconduct by students; and conduct on university lands.

Failure to Comply with Information Technology Resource Policies

Failure to adhere to the provisions of this policy may result in the suspension or loss of access to UW System IT resources; appropriate disciplinary action as provided under existing procedures

applicable to students, faculty, and staff; civil action; or criminal prosecution. To preserve and protect the integrity of UW System IT resources, there may be circumstances where a UW institution may immediately suspend or deny access to the resources.

Oversight, Roles, and Responsibilities

This policy constitutes the UW System's policy on the acceptable use of IT resources. It applies systemwide and may not be replaced, superseded, or substantially recreated by other systemwide or institutional policies. Institutions may supplement this policy only for specific programs or services after consultation with the UW System Chief Information Officer. In order to assist members of the university community in fulfilling their responsibilities with respect to use of IT resources, each UW System institution shall post this policy on its website.

It is the responsibility of authorized users to comply with this policy.

The chancellor is responsible for implementing this policy and operating the institution's IT resources consistent with the above-stated provisions.

Related Regent Policies and Applicable Laws

- Family Educational Rights and Privacy Act (FERPA)
- Gramm-Leach-Bliley Act (GLBA)
- Federal Copyright Law
- Chapter 11, Wisconsin State Statutes, Campaign Financing
- Chapter 19, Subchapter II, Wisconsin State Statutes, Public Records and Property
- Section 943.70, Wisconsin State Statutes, Computer crimes.
- Chapter UWS 4 Wisconsin Administrative Code, Procedures for Dismissal
- Chapter UWS 7 Wisconsin Administrative Code, Dismissal of Faculty in Special Cases
- Chapter UWS 8 Wisconsin Administrative Code, Unclassified Staff Code of Ethics
- Chapter UWS 11 Wisconsin Administrative Code, Dismissal of Academic Staff for Cause
- Chapter UWS 14 Wisconsin Administrative Code, Student Academic Disciplinary Procedures
- Chapter UWS 17 Wisconsin Administrative Code, Student Nonacademic Disciplinary Procedures
- Chapter UWS 18 Wisconsin Administrative Code, Conduct on University Lands
- Chapter UWS 21 Wisconsin Administrative Code, Use of University Facilities
- Regent Policy Document 20-22, Code of Ethics
- Regent Policy Document 21-4, Identity Theft Detection, Prevention, and Mitigation
- Regent Policy Document 25-4, Strategic Planning and Large or High-Risk Projects
- Regent Policy Document 25-5, Information Technology: Information Security

History: Res. 7461, adopted 06/06/1997, created Regent Policy Document 97-2; subsequently renumbered 25-3; Res. 10xxx, adopted xx/yy/zzzz, amended Regent Policy Document 25-3.

Attachment B

CURRENT Regent Policy Document 25-3

Policy on Use of University Information Technology Resources

In accordance with its mission to disseminate and extend knowledge, to foster the free exchange of ideas, and to provide effective support for its teaching, research, and public service functions, it is the policy of the University of Wisconsin System to afford broad access to information technology resources ¹ for university ² students, faculty, and staff for use in fulfilling the university's missions, and for appropriate university-related activities.

Access by Individuals

Access to information technology resources carries with it the responsibility for ensuring that the use of these resources is primarily for university purposes and university-related activities, and for maintaining the integrity and security of the university's computing facilities. In the interest of making the use of information technology resources a natural part of the day-to-day work of all members of the university community, incidental personal use is tolerated. However, one should use non-university sources of e-mail, internet access, and other information technology services for activities of an extensive or recurring nature that are not related to university purposes. For the security of the information technology system, individuals having access to information technology resources must take reasonable care to ensure that unauthorized persons are not able to use their access to the system.

Dissemination of Information and Official Documents

Information technology resources are a dynamic mechanism for the free exchange of knowledge, and it is desirable for the university to foster the robust dialogue that results from the use of the resource, and to encourage students, faculty, and staff to participate in that dialogue. Those exchanges that reflect the ideas, comments, and opinions of individual members of the university community must, however, be distinguished from those that represent the official positions, programs and activities of the university. Students, faculty and staff using information technology resources for purposes of exchanging, publishing, or circulating official university documents ³ must follow institutional requirements concerning appropriate content and style.

The university is not responsible for the content of documents, exchanges or messages, including links to other information locations on the internet or world wide web, that reflect only the personal ideas, comments, and opinions of individual members of the university

community, even where they are published or otherwise circulated to the public at large by means of university information technology resources.

Inter-institutional Cooperation

During times when they are away from the University of Wisconsin Institution where they are enrolled, students may benefit from the ability to use the information technology resources of another University of Wisconsin campus. To the extent possible with available resources, each University of Wisconsin System Institution should allow access to its information technology resources by students taking distance education and other courses from other University of Wisconsin System Institutions.

Limitations on the Availability of Information Technology Resources

The university's information technology resources are, by nature, finite. All members of the university community must recognize that certain uses of university information technology resources may be limited for reasons related to the capacity or security of the university's information technology systems, or as required for fulfilling the university's primary teaching, research, and public service missions.

Privacy and Confidentiality of Electronic Documents

No information technology resources can absolutely guarantee the privacy or confidentiality of electronic documents. University of Wisconsin Institutions should, however, take reasonable precautions to protect electronic documents containing private and confidential information, and to assure persons using university information technology resources to transmit e-mail or electronic documents that the university will not seek access to their messages or documents except where necessary to:

1. Meet the requirements of the Wisconsin Public Records Law, or other statutes, laws, or regulations ;
2. Protect the integrity of the university's information technology resources, and the rights and other property of the university;
3. Allow system administrators to perform routine maintenance and operations, and respond to emergency situations; or
4. Protect the rights of individuals working in collaborative situations where information and files are shared.

University of Wisconsin System Institutions may choose to establish more detailed procedures for determining when access to electronic documents will be sought by the institution. As encryption products become more readily available, institutions may also wish to make them available to information technology users as appropriate to protect privacy interests.

Other Limitations on Use of Information Technology Resources

In addition to the general principles set forth in this policy, the use of information technology resources may be affected by a number of other legal and ethical principles. While it is not possible to list all potentially applicable laws and regulations, the following are particularly likely to have implications for the use of university information technology resources:

1. Ethical standards of conduct for the appropriate use of one's university position and university resources are established for faculty and academic staff in Chapter UWS 8, Wisconsin Administrative Code, and for classified staff in Chapter ER-MRS 24, Wisconsin Administrative Code.
2. Chapters UWS 14 and 17, Wisconsin Administrative Code, establish standards and disciplinary processes relating to academic and nonacademic misconduct by students, including prohibitions on disruption of university activities, damage to university facilities, harassment, and similar matters.
3. Chapter UWS 18, Wisconsin Administrative Code, governs conduct on university lands, and applies to all members of the university community. Chapter UWS 21, Wisconsin Administrative Code, regulates the use of university facilities.
4. Section 943.70, Wisconsin Statutes, defines and prohibits certain computer crimes.
5. Chapter 11, Wisconsin Statutes, restricts the use of state facilities for political activities by state employees.
6. The federal copyright law applies to materials published or circulated through the use of computing resources.
7. The federal Family Educational Rights and Privacy Act restricts access to personally identifiable information from students' education records. Students, faculty and staff are responsible for understanding and observing these and all other applicable policies, regulations and laws in connection with their use of the university's information technology resources.

University of Wisconsin System Institution Responsibilities

In order to assist members of the university community in fulfilling their responsibilities with respect to use of information technology resources, each University of Wisconsin Institution shall disseminate this policy, together with guidance, as to any specific campus policies affecting the use of information technology resources.

Failure to Comply with Information Technology Resource Policies

Failure to adhere to the provisions of this policy may result in the suspension or loss of access to university information technology resources, appropriate disciplinary action as provided under existing procedures applicable to students, faculty, and staff, or civil or criminal prosecution.

To preserve and protect the integrity of information technology resources, there may be circumstances where the university must immediately suspend or deny access to the resources. Should a student's access be suspended under these circumstances, the university shall inform the student immediately and shall afford the student an opportunity to respond. The university shall then determine whether disciplinary action under Chapter UWS 17, Wisconsin Administrative Code, or some alternative course of action, is warranted and shall follow the procedures established for such cases.

1 Information technology resources include computers, software, e-mail accounts, internet access, and similar computing tools.

2 "University" is used in this document to refer to the University of Wisconsin System and its institutions.

3 Official university documents are those which purport to speak for the university and its official programs and departments, such as policy documents, official forms, curriculum information, institutional statistics, and departmental home pages on the world-wide web.

4 The electronic records of university employees are subject to disclosure in accordance with the Wisconsin Public Records Law. Student records, including electronic documents, are protected against disclosure by the Family and Educational Rights and Privacy Act, which restricts access to personally identifiable information from students' education records.

History: Res. 7461 adopted 6/6/97.

UW System
Contractual Agreement with
Instructure for
Digital Learning Environment

BUSINESS AND FINANCE COMMITTEE

Resolution:

That, upon recommendation of the President of the University of Wisconsin System, the Board of Regents approves the contract with Instructure, for its Digital Learning Environment platform. The contract is to have an initial term of 3 years with the option for 2 two-year extension periods.

**UNIVERSITY OF WISCONSIN SYSTEM
CONTRACTUAL AGREEMENT WITH
INSTRUCTURE FOR ITS DIGITAL LEARNING ENVIRONMENT**

BACKGROUND

The University of Wisconsin System's current Learning Management System (LMS) contract is with Desire2Learn (D2L.) More than 85% of the courses offered within the UW System use the LMS environment for face-to-face, blended/hybrid, and fully-online courses. The existing contract with D2L was set to expire July 2018, but the Board approved extending it through June 30, 2020.

The UW System conducted a "Learning Environment Needs Analysis" to: 1) understand the future learning technology landscape; 2) uncover the needs for academic technologies to support teaching and learning; and 3) identify gaps in existing technology to support teaching and learning. The final report resulted in a decision to conduct a Request for Proposal for a new Digital Learning Environment (DLE). A digital learning environment is a digital "hub" for services and tools that support teaching and learning and would provide more functionality to UW System students and faculty.

The RFP was unique in design: 1) it provided case studies to which proposers had to demonstrate how their product(s) could address the needs of the university, and 2) it required proposers to provide access to their digital learning environments in an on-line "sandbox" so that faculty, staff and students could test the proposed products and give the formal evaluation team feedback on the proposed system.

The evaluation team and core subject matter experts from across UW System read the proposals, tested the products in the sandbox, and attended product demonstrations. The evaluators reviewed the feedback from instructors, staff, and students from each institution who also tested the products in the sandbox and reviewed suppliers' handling of eight teaching and learning scenarios. All of these elements were scored in the RFP process.

Three suppliers provided proposals and the high scoring supplier was Instructure for its Canvas software.

REQUESTED ACTION

Approval of Resolution I.2.c., approving the contract with Instructure for its Digital Learning Platform. The contract is for a three-year term with two optional two-year extension periods.

DISCUSSION

Instructure's Canvas software platform was selected to provide the new digital learning environment. The cloud-based software platform is an online environment that includes services

and tools to support teaching and learning in all modes (e.g., face-to-face, blended/hybrid, and fully online.)

Student success will be supported by creating consistency among institutions along with flexibility to meet institutional needs. The software platform will be implemented and configured to support a pedagogy first design, and will be fully accessible, which should help increase student retention and improved time to graduation rates. The implementation project will be complete by June 30, 2020 to replace the current LMS.

The DLE will provide reliable access to data providing UW System greater capabilities to support the data analyses needed to improve our students' educational experience. Data will also be used to evolve our DLE strategy in the future.

Instructure's Canvas product is a "Software as a Service" (SaaS) product, meaning the software is hosted, maintained, and upgraded in Instructure's cloud-based technology system. The university pays an annual subscription, rather than a licensing fee to use the system. The Canvas SaaS model provides the opportunity to improve support and training so the needs of students, faculty, and staff are met while reducing the overhead of creating a system at each institution. The vendor creates and maintains the training and support materials used for both the initial implementation and the ongoing orientation and training.

Key highlights of Instructure's "open source" Canvas platform include a simple and intuitive interface for ease of use, a grading feature that provides an efficient way for instructors to provide feedback to students, and a collaborative "peer to peer" environment for students. Canvas uses industry standards to integrate with other software tools, thereby reducing the cost of supporting the technology. The Canvas Commons feature also provides a means for instructors to share course materials across courses, departments, and institutions. The Canvas platform can also be used by UW employees for training purposes.

The cost for this contract is as follows:

- The contract has an initial term of 3 years with 2 two-year option extension periods.
- The cost of the three-year base term is \$4,874,405
- The cost of the first, two-year option period is \$2,002,000
- The cost of the second, two-year option period is \$2,067,061

RELATED REGENT POLICIES

Regent Policy Document 13-1: General Contract Authority, Approval, and Reporting.

University of Wisconsin System
Office of Procurement
780 Regent Street

DIGITAL LEARNING ENVIRONMENT
CONTRACT NO: 17-2663

DATE: October 6, 2017

SERVICE: Digital Learning Environment

CONTRACT TERM: A three (3) year contract effective October 9, 2017 – June 30, 2020 with two (2) two (2) year renewal options

REFERENCE: Per the attached RFP and Vendor's response to RFP #RG-17-2663

SUPPLIER: Instructure
6330 South 3000 East
Salt Lake City, Utah 84121

SUPPLIER CONTACT: Sean Traigle
Enterprise Regional Director
straigle@instructure.com
www.instructure.com
www.canvaslms.com
O: 801.658.7483
M: 225.341.1838

FEIN NO: 26-3505687

PRICING: 3 year base term is \$4,874,405
The first, 2 year option period is \$2,002,000
The second, 2 year option period is \$2,067,061
The cost breakdowns are in the attached Order Forms

INSURANCE
CERTIFICATE: To be maintained by the UW System Administration Procurement Office.

ESTIMATED
CONTRACT TERM DATE: June 30, 2024

CONTRACT
DISTRIBUTION: Renee Pfeifer-Luckett
Email: Rpfeifer-luckett@uwsa.edu

For further information contact: Ruth Ginzberg
UW System Procurement
Email: rginzberg@uwsa.edu
Phone: 608-890-3961

BUSINESS AND FINANCE COMMITTEE

Resolution:

That, upon the recommendation of the Chancellor of the University of Wisconsin-Milwaukee and the President of the University of Wisconsin System, the Board of Regents approves the contractual agreement between the University of Wisconsin-Milwaukee and CalciGenix, LLC to run from October 7, 2017 to June 30, 2020. Under this agreement, UWM is evaluating a novel therapy involving administration of the calcium binding protein apoaequorin and its ability to protect neurons in the brain and ameliorate aging-related cognitive decline.

**UW-MILWAUKEE CONTRACTUAL AGREEMENT
WITH CALCIGENIX, LLC**

EXECUTIVE SUMMARY

BACKGROUND

UW Board of Regents policy requires any grant or contract with private profit-making organizations in excess of \$1,000,000 to be presented to the Board for formal approval prior to execution.

REQUESTED ACTION

Approval of Resolution I.2.d.

DISCUSSION

The College of Letters and Science and the Office of Sponsored Programs at the University of Wisconsin-Milwaukee (UWM) has negotiated a Sponsored Research Agreement with CalciGenix, LLC (a subsidiary of Quincy Bioscience Holding Company). Under this agreement, UWM is evaluating a novel therapy involving administration of the calcium binding protein apoaequorin and its ability to protect neurons in the brain and ameliorate aging-related cognitive decline. It is anticipated that the results of this project will impact stroke treatments as well as aging-related neurodegenerative disorders.

The pilot work for this project was funded through a \$150,000 Agreement in 2013. UWM then entered into a three-year agreement ending June 30, 2017, for a similar scope of work as described above, in the amount of \$504,000. That agreement was approved by the Board of Regents.

At its July 6-7, 2017 meeting, the Regents approved a short-term extension to the research agreement with CalciGenix through October 6, 2017, in the amount of \$48,405, to allow the research to continue pending further UW System review of a new longer-term Agreement. Such further review has now been completed. At this time, UW-Milwaukee is seeking approval of that longer-term Agreement under which services will be provided from October 7, 2017 to June 30, 2020. Revenues to UWM under this new Agreement will be \$491,595.

The total anticipated cumulative funds from CalciGenix to UWM on these related projects through 2020 are \$1,194,000. Ownership of any intellectual property created or developed under this agreement is governed by applicable US patent law and UWM retains the right to use and/or publish the results of the research. The work is being overseen by Dr. James Moyer in the College of Letters and Science.

RELATED REGENT POLICIES

Regent Policy Document 13-1: General Contract Authority, Approval, and Reporting.

UW-Madison Contractual Agreement
with QuintilesIMS, Inc.

BUSINESS AND FINANCE COMMITTEE

Resolution:

That, upon the recommendation of the Chancellor of the University of Wisconsin-Madison and the President of the University of Wisconsin System, the Board of Regents approves the contractual agreement between the Board of Regents of the University of Wisconsin System, doing business as UW-Madison, and QuintilesIMS, Inc. The agreement is to run from October 6, 2017 through October 15, 2022. Individual Study Work Orders will be executed under this Master Clinical Trial Agreement which will detail the specifics of clinical trials to be completed by the University under the Agreement.

**UW-MADISON CONTRACTUAL AGREEMENT
WITH QUINTILES IMS, INC.**

EXECUTIVE SUMMARY

BACKGROUND

UW System Board of Regents policy requires any grant or contract with private profit-making organizations in excess of \$1,000,000 be presented to the Board for formal approval prior to execution.

REQUESTED ACTION

Adoption of Resolution I.2.e., approving the contractual agreement between the Board of Regents and QuintilesIMS, Inc.

DISCUSSION

The University of Wisconsin-Madison School of Medicine and Public Health, with assistance from the Office of Research and Sponsored Programs at the University of Wisconsin-Madison has negotiated a Master Clinical Study Agreement with QuintilesIMS, Inc. QuintilesIMS is a contract research organization that facilitates the conduct of clinical trials for multiple pharmaceutical companies. Past projects at UW-Madison facilitated by QuintilesIMS include trials involving treatments for asthma, diabetes, osteoporosis, and Alzheimer's disease. In consideration for providing the requested clinical research, QuintilesIMS, Inc., could, potentially pay the University in excess of \$1,000,000. The research projects are anticipated to be conducted during the period from October 6, 2017 through October 15, 2022.

RELATED REGENT POLICIES

Regent Policy Document 13-1, General Contract Signature Authority, Approval, and Reporting

UW-Madison Contractual Agreement
with Varian Medical Systems, Inc.

BUSINESS AND FINANCE COMMITTEE

Resolution:

That, upon the recommendation of the Chancellor of the University of Wisconsin-Madison and the President of the University of Wisconsin System, the Board of Regents approves the contractual agreement between the Board of Regents of the University of Wisconsin System, doing business as UW-Madison, and Varian Medical Systems, Inc. The agreement shall be for a three-year term. Such term may be extended by mutual agreement of the parties with the prior approval of the Board of Regents. Individual project proposals detailing the work to be completed will be executed under this Master Research and Collaboration Agreement.

**UW-MADISON CONTRACTUAL AGREEMENT
WITH VARIAN MEDICAL SYSTEMS, INC.**

EXECUTIVE SUMMARY

BACKGROUND

UW System Board of Regents policy requires any grant or contract with private profit-making organizations in excess of \$1,000,000 be presented to the Board for formal approval prior to execution.

REQUESTED ACTION

Adoption of Resolution I.2.f., approving the contractual agreement between the Board of Regents and Varian Medical Systems, Inc.

DISCUSSION

The Office of Industrial Partnerships at the University of Wisconsin-Madison has negotiated a Master Research and Collaboration Agreement (“MRCA”) with Varian Medical Systems, Inc. (“Varian”). The research will be performed by the Departments of Human Oncology and Medical Physics in the School of Medicine and Public Health, under the oversight of Dr. Larry Dewerd. Varian is a manufacturer of radiotherapy equipment, and some projects could involve use of Varian equipment in patient care settings, while others entail basic research using proprietary Varian technology. There are two anticipated projects under negotiation to be conducted under the Master Agreement: a project entitled “Spectroscopic Determination for a Linear Accelerator Beam” and a project entitled “Cone Beam Computed Tomography of a Linear Accelerator.” The term of the MRCA shall be three years from the date of signature. Such term may be extended by mutual agreement of the parties with the prior approval of the Board of Regents. There is no funding associated with the MRCA. Instead, funding will be tied to the individual Research Plans processed under the terms of the MRCA. The overall value of research performed during the term of the MRCA may exceed \$1,000,000.

RELATED REGENT POLICIES

Regent Policy Document 13-1, General Contract Signature Authority, Approval, and Reporting

UW-Madison Exclusive Carbonated Soft
Drink of Wisconsin Athletics Contract
with WP Beverages, DBA Pepsi-Cola of Madison

BUSINESS AND FINANCE COMMITTEE

Resolution:

That, upon the recommendation of the Chancellor of the University of Wisconsin-Madison and the President of the University of Wisconsin System, the Board of Regents approves the five-year contractual agreement between the University of Wisconsin-Madison and WP Beverages, DBA Pepsi-Cola of Madison for the right to be the Exclusive Carbonated Soft Drink and Bottled Water of Wisconsin Athletics (“Athletics”). The term of this contract shall run July 1, 2018 through June 30, 2023.

October 6, 2017

Agenda Item I.2.g.

**UW-MADISON CONTRACTUAL AGREEMENT
WITH WP BEVERAGES, DBA PEPSI-COLA OF MADISON**

EXECUTIVE SUMMARY

BACKGROUND

UW System Board of Regents policy requires any grant or contract with private profit-making organizations in excess of \$1,000,000 be presented to the Board for formal approval prior to execution.

REQUESTED ACTION

Approval of Resolution I.2.g., approving the contract with WP Beverages (doing business as Pepsi-Cola of Madison).

DISCUSSION

UW-Madison is seeking approval to enter into a contractual agreement with WP Beverages, DBA Pepsi-Cola of Madison (“Pepsi”) for the right to be the Exclusive Carbonated Soft Drink and Bottled Water of Wisconsin Athletics (“Athletics”).

The current contract with Dr. Pepper Snapple Group for both carbonated soft drinks and bottled water expires June 30, 2018.

An Invitation to Submit Plans (ISP) was issued through UW-Madison Purchasing Services, offering identified carbonated soft drink beverage companies the opportunity to submit a plan. Plan submitters were asked to provide information regarding quantities of product and equipment, pricing, and compensation, including annual rights fees and product allotment.

Three organizations submitted formal responsive plans, providing both a written narrative and an in-person presentation. An evaluation committee carefully reviewed each organization’s plan. The committee determined that the Pepsi plan offered the best combination of quality product and financial support.

The proposed contract will have a term of 5 years, going through June 30, 2023. Pepsi will pay Athletics an “annual rights fee” of \$140,000 each year (\$700,000 over the term of the contract), will provide 9,625 cases of water per year at no cost (48,125 over the term of the contract), will provide \$50,000 per year in sponsorship support (\$250,000 over the term of the contract) and will provide all equipment, including fountain dispensers, reach-in coolers, water tankers,

hawker trays and other items Athletics needs to dispense and distribute Pepsi products. In return, Pepsi is granted the exclusive rights (i) to offer carbonated soft drink beverages and bottled water for sale and sampling at university events in athletic facilities and (ii) to market and associate carbonated soft drink beverages and bottled water with the athletic department and the teams and to promote their consumption and sale generally at athletic facilities.

RELATED REGENT POLICIES

Regent Policy Document 13-1: General Contract Authority, Approval, and Reporting.

Approval of
UW System Program Revenue
Balances Report, FY 2016-17

BUSINESS AND FINANCE COMMITTEE

Resolution:

That, upon the recommendation of the President of the University of Wisconsin System, the Board of Regents approves the UW System report on the 2016-17 year-end program revenue balances as categorized by each institution and the System as a whole. In so doing, the Board approves: (1) the spending plans for institutional balances above 12 percent of total year-end expenditures in Tuition, Auxiliary Operations, General Operations, or Other Unrestricted Program Revenue and (2) the justification and multi-year spending plan submitted by UW System Administration for the entire UW systemwide balances maintained for the System as a whole.

**REPORT ON THE LEVEL OF COMMITMENT OF
PROGRAM REVENUE BALANCES
FISCAL YEAR 2016-17**

BACKGROUND

2013 Wisconsin Act 20 required the Board of Regents to develop a methodology for the calculation of program revenue balances and reserves, expressed in both dollars and as percentages of total annual expenses, for the University of Wisconsin System as a whole, for individual University of Wisconsin institutions, and for UW Extension. The Board of Regents was also directed to develop proposed limits and report on program revenue account balances for the University of Wisconsin System as a whole and for each individual institution.

Regent Policy Document 21-6, *Program Revenue Calculation Methodology and Fund Balances Policy*, was reviewed and adopted, with modifications, by the Joint Legislative Audit Committee on April 24, 2014, and the Joint Committee on Finance on May 6, 2014. At its June 2014 meeting the Board of Regents approved final modifications to its policy to conform with legislative directives.

The policy requires the following:

“UW System Administration will provide the Board of Regents with estimates of year-end program revenue balances for use in determining UW System’s annual budget and setting tuition rates for the subsequent academic year. Actual year-end balances categorized by each institution and the System as a whole shall be reported annually after the fiscal year-end reconciliation and for formal approval by the Board of Regents at the October meeting.

For those institutions with negative balances, the Board of Regents will determine by vote whether the institution has an adequate plan in place to eliminate the negative balance within a reasonable period of time. For those institutions with balances above 12% of total year-end expenditures, the Board of Regents will determine by vote whether balances are adequately justified. The Board of Regents will determine by vote whether the justification and multi-year spending plan submitted by UW System Administration for the entire UW system-wide balance is adequate. Justifications for the balances should include:

1. the specific projects or initiatives for which the funds are being held;
2. the amount of funds held for each project or initiative;
3. the total amount of program revenue funds the institution plans to accumulate for each initiative or project;
4. the total amount of funds expected to be expended on each project or initiative; and,
5. the timeframe in which those funds will be expended.”

This is the fourth annual report on program revenue balances that has been submitted to the Board of Regents for approval.

REQUESTED ACTION

Approval of Resolution I.2.h., approving the FY2016-17 UW System PR Balances Report.

DISCUSSION

The attached report on year-end balances for fiscal year 2017 is provided to the Board in compliance with Regent Policy Document 21-6. The report builds on earlier Program Revenue (PR) Balance Reports and the categories developed in Legislative Audit Bureau Report 13-17, *Level of Commitment for University of Wisconsin System Program Revenue Balances*, on November 15, 2013. This report includes all year-end balances and utilizes more refined definitions of categories that were developed in consultation with Legislative Audit Bureau staff.

The FY 2017 report is attached and includes the following components:

- I. Background and Summary
- II. Individual Institution Summaries and Detailed Reports
- III. Appendices
 - 1) Regent Policy Document RPD 21-6 *Program Revenue Calculation Methodology and Fund Balances Summary*
 - 2) Commitment Level Definitions
 - 3) Application of Defined Commitment Levels (examples)
 - 4) Report Methodology
 - 5) FY2017 balance summary by institution and fund group
 - 6) FY2016 balance summary by institution and fund group
 - 7) Summary of FY2017 balances by commitment level

RELATED REGENT POLICIES

Regent Policy Document 21-6, *Program Revenue Calculation Methodology and Fund Balances Policy*

University of Wisconsin System

**Report on Program Revenue Balances by
Institution and Level of Commitment**

FISCAL YEAR 2017



UW SYSTEM PROGRAM REVENUE FUND BALANCE REPORT

Executive Summary

Fund balances are needed at all UW institutions as a necessary component of a well-functioning university system. These resources are used strategically to maintain quality and continue operations due to declines in available state funding, during a federal government shut-down when funding may be frozen, or to capitalize on new educational programs or initiatives that arise. The reliance on program revenue has increased in recent years and **represents 82% of total funding for the UW System.**

Each year, using definitions developed by the Legislative Audit Bureau (LAB), UW System institutions provide specific details on program revenue fund balances by level of commitment. This report provides a level of transparency unmatched in higher education.

Balances reflect the various intentions and plans of the UW institutions and are held for literally thousands of purposes including, but not limited to, saving for large future purchases, new initiatives or programs, smoothing the effects of unexpected budgetary changes, and providing emergency reserves.

Fund balances are spread among many accounts managed independently within each individual UW System institution – they are not held in one account. Balances vary among institutions and individual funds due to the differences between institutional programs.

Highlights from the 2016-17 Program Revenue Fund Balance Report	
<p>Tuition Program Revenue Balance <i>Funds used to achieve the university's broad academic mission and address general operations</i></p>	<ul style="list-style-type: none"> • Declined by \$18.4 million in FY 2017 • Balance represents a reduction of 49.7% since FY 2013 • UW institutions categorized 74.9% of the tuition balance as obligated or planned • Provides approximately 42 days of operating expenses in an emergency or unforeseen circumstance
<p>Auxiliary Operations Program Revenue Balance <i>Contains dedicated funds: capital building projects, program revenue-funded debt service</i></p>	<ul style="list-style-type: none"> • Increased by 2.6% (\$6.5 million) in FY 2017 • Delayed capital projects contributed to this increase and mask a larger reduction within the total unrestricted balance • UW institutions reported 29.9% as obligated, 46.8% as planned, and an additional 19.2% is designated by Board policy or state statute for specific purposes

Highlights from the 2016-17 Program Revenue Fund Balance Report

<p>Total Unrestricted Program Revenue Balance <i>Includes only unrestricted funds as determined by the Legislative Audit Bureau</i></p>	<ul style="list-style-type: none"> • Declined steadily since FY 2013 and decreased by \$31.7 million in FY 2017 • The \$851.6 million total unrestricted balance represents a reduction of 22.3% since FY 2013 • 85.3% of the balance meets the LAB definition of being obligated for a specific purpose, in the final stages of planning, or designated for a specific project or program
<p>Total Program Revenue Balances <i>Includes both restricted and unrestricted funds</i></p>	<ul style="list-style-type: none"> • Overall increase of \$34.9 million • Increases primarily due to restricted gift funds, as well as restricted funding related to grants and contracts; funding sources cannot be repurposed for other needs • Delays in capital projects increases the auxiliary operations balance, which impacts this total as well

Table of Contents

- I. Background and Summary
- II. Individual Institution Summaries and Detailed Reports
- III. Appendices
 - 1) Regent Policy Document 21-6 *Program Revenue Calculation Methodology and Fund Balances Policy*
 - 2) Commitment Level Definitions
 - 3) Application of Defined Commitment Levels (examples)
 - 4) Report Methodology
 - 5) FY2016 Balances Summary by Institution and Fund Group
 - 6) FY2017 Balances Summary by Institution and Fund Group
 - 7) Summary of FY2017 Balances by Commitment Level

UW SYSTEM PROGRAM REVENUE BALANCE REPORT

Fiscal Year 2016-17

In accordance with 2013 Wisconsin Act 20, the 2013-15 Biennial Budget Act, the University of Wisconsin (UW) System has prepared this program revenue balances report. For unrestricted program revenue funds, UW institutions with balances exceeding 12% of expenditures are required to submit detailed spending plans, categorizing the future uses of those funds by level of commitment. The report uses the fund and commitment definitions developed by the Legislative Audit Bureau. It also complies with the methodology and reporting requirements established by the UW System Board of Regents in Regent Policy Document 21-6 (Appendix 1), as approved by the Legislative Joint Audit Committee and the Legislative Joint Finance Committee.

Introduction

All UW institutions need fund balances as a necessary component of continuity of operations and a well-functioning university system. The reliance on program revenue has increased in recent years due to a decline in state funding and represents 82% of total funding for the UW System. Nearly all of the UW System's program revenue funds are designated by state rules as "continuing" appropriations, which means that revenues the university receives in one year may need to be carried forward and spent in an ensuing year.

Program revenue balances within the UW System are not analogous to a "rainy day fund." The balances are held in accounts throughout the UW System's 13 four-year institutions, 13 two-year colleges, UW-Extension, and UW System Administration. These funds reflect the long-range planning by UW program managers and fiscal officers for specific, locally necessary reasons. This includes funds for large future purchases, ensuring positive cash flow of each self-supporting operation, new initiatives or programs, and some emergency reserves.

Levels of Commitment

Five levels of commitment were established in 2013 by the Legislative Audit Bureau: (1) obligated, (2) planned, (3) designated, (4) reserves, and (5) undocumented. These levels of commitment establish the degree of flexibility UW officials may have in directing balances to be used for particular purposes.

The Legislative Audit Bureau distinguished between obligations where, for example, balances had been set aside for a legally binding contract, and planned uses. As an example of a plan, funds may be planned for an event or purchase, but there is sufficient flexibility that the purchase could be cancelled in lieu of a higher priority that develops.

Detailed descriptions and definitions of the five commitment categories are included in Appendix 2 of the report, and functional examples of items that fit into each category are provided as Appendix 3. Appendix 4 provides the consistent methodology used by all UW institutions to calculate program revenue balances.

Program Revenue Balances by Fund Type

Institutions with balances exceeding 12% of expenditures in tuition, auxiliary operations, general operations, or other unrestricted program revenue funds were required to submit detailed

spending plans for those funds. Institution with a negative tuition or auxiliary balance must submit a plan detailing how the negative balance would be eliminated. The expenditures contemplated in the spending plans are consistent with the types of expenditures UW and other institutions routinely incur, such as expenditures for academic programs, student services, maintenance costs, and salaries.

As shown in Table 1, all UW institutions were required to submit detailed spending plans for two or more funds. No institutions were required to submit detailed savings plans to address negative tuition or auxiliary balances.

	Tuition	Auxiliary Operations	General Operations	Other Unrestricted Program Revenue
Madison		✓		✓
Milwaukee *		✓	✓	
Eau Claire		✓	✓	
Green Bay	✓	✓	✓	✓
La Crosse		✓	✓	
Oshkosh		✓	✓	
Parkside	✓		✓	
Platteville	✓	✓		✓
River Falls	✓	✓		✓
Stevens Point		✓	✓	✓
Stout		✓	✓	
Superior	✓	✓	✓	
Whitewater	✓	✓	✓	✓
Colleges	✓	✓	✓	
Extension		✓		✓
System Administration *		✓	✓	
Systemwide *	✓	✓	✓	
<i>*Combined reserves and undocumented funds in at least one fund type exceed 12% of expenditures for the fiscal year.</i>				

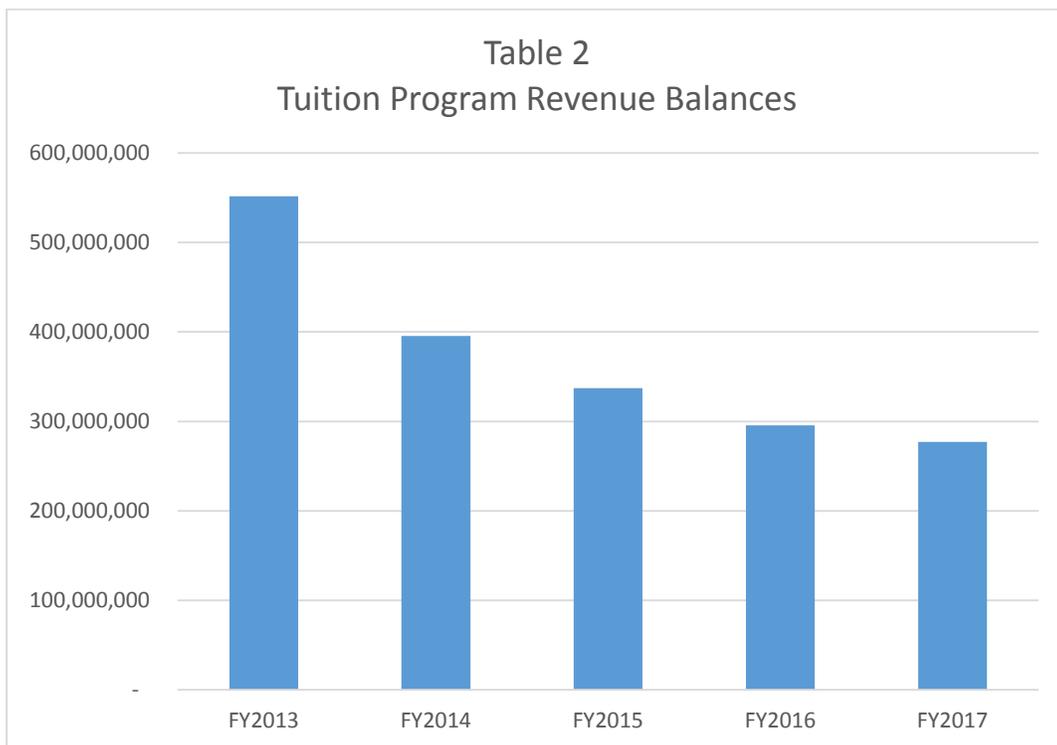
Total program revenue balances by fund type and by institutions for FY 2017 are provided in appendix 5. For comparison purposes, FY 2016 balances are also provided in appendix 6.

Tuition Funds

The UW System uses tuition funds to achieve the university’s broad academic mission and address general university operations. These funds are often flexible in how they can be used. For example, tuition funds can be used to hire a faculty member or instructor, purchase academic software or supplies, or hire an advisor. However, through agreements with their students, UW institutions designate differential tuition funds for specific purposes.

At the end of FY 2017, the UW System’s total tuition fund balance represented approximately 42 days of operating expenses. This is the amount of working capital the UW System would have on hand to ensure the operation of tuition-funded university programs and services in the event of unforeseen circumstance or an emergency.

As shown in Table 2, tuition balances have declined 49.7% from \$551.5 million in FY 2013 to \$277.2 million in FY 2017. UW institutions categorized \$207.7 million of this balance – or 74.9% – as obligated or planned.



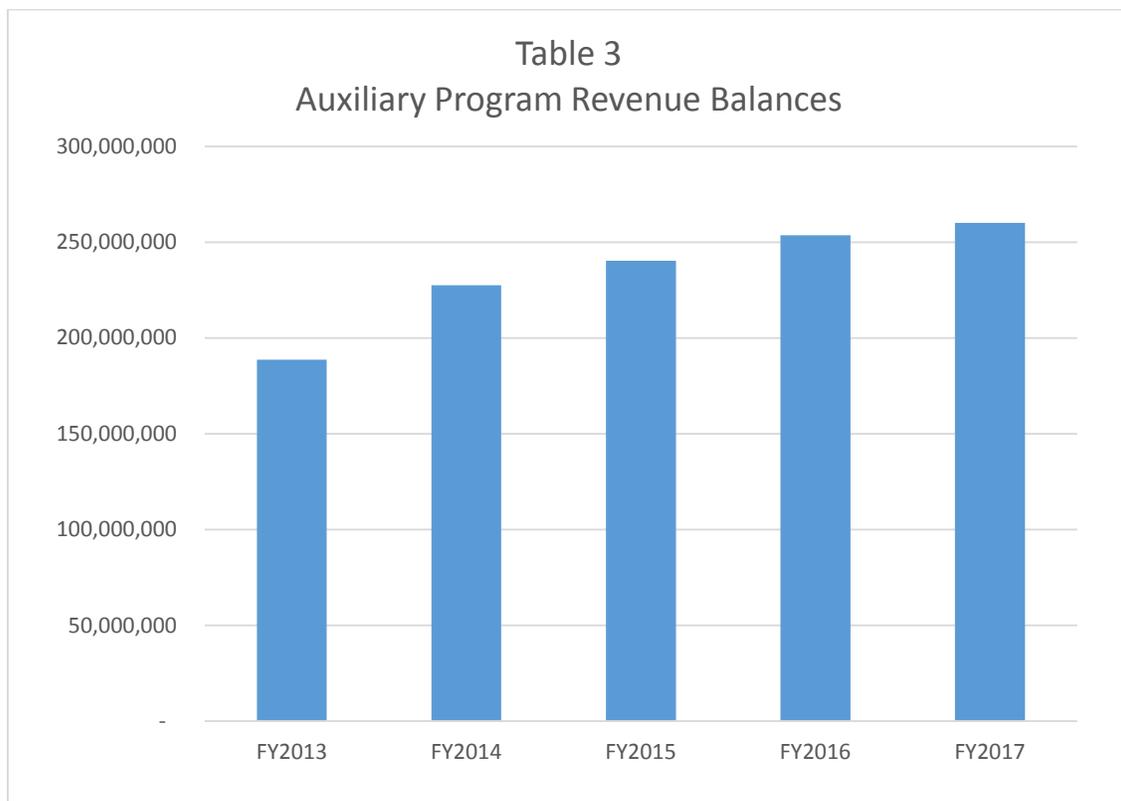
Eight UW institutions reported balances that exceeded 12% of their annual expenses and, by Board policy, submitted a spending plan for FY 2017. In FY 2016, 10 UW institutions submitted spending plans. UW institutions commonly categorized tuition balances as obligated and planned to cover the salary and fringe benefits for contracted employees, software or technology purchases, financial aid scholarships, and professional development for faculty and staff.

Auxiliary Operations

Auxiliary Operations support institutional entities that furnish goods or services to students, faculty, or staff for a fee, which is directly related to the cost of those goods or services. Examples include university housing, bookstores, food service, and parking. UW institutions essentially manage these activities as self-supporting programs.

Current Board of Regents and UW System policies allow the transfer of auxiliary funds from one auxiliary to another, but limit a transfer to a one-time basis, subject to the approval of the Board at the time of the passage of the annual operating budget. In addition, some auxiliary operations are funded with student segregated fees, which in accordance with state law, can only be spent for the purposes for which they were collected.

As shown in Table 3, program revenue balances related to auxiliary operations have increased to \$260.1 million in FY 2017. Delayed capital projects have contributed to this increase. Of this year-end balance, UW institutions reported \$77.7 million (29.9%) as obligated and \$121.6 million (46.8%) as planned. An additional \$50.0 million (19.2%) is designated by Board policy or state statute for specific purposes.



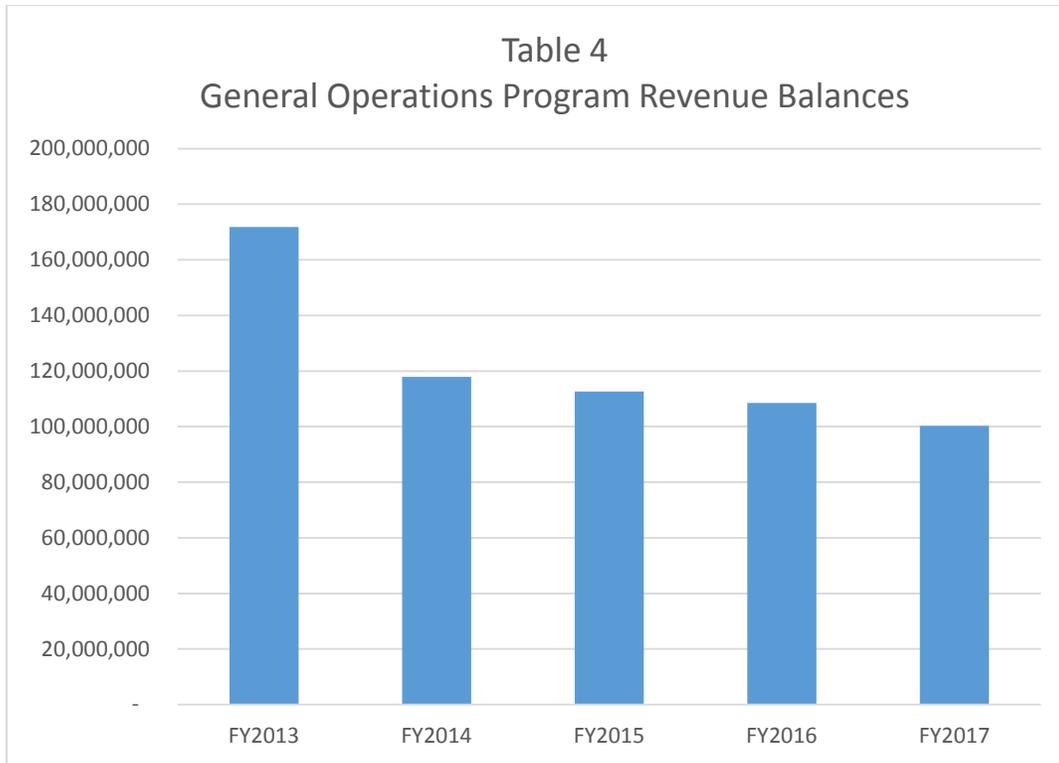
All UW institutions, with the exception of UW-Parkside, were required to submit spending plans for FY 2017 auxiliary operations. Uses for auxiliary balances include cash payments for capital projects, future debt service payments, and large purchases related to auxiliary operations. For example:

- UW-Eau Claire reported \$2.9 million as planned for debt service related to a new residence hall that was enumerated in the 2013-15 biennial budget. This anticipated expense was not previously reported until the project recently began to move forward.
- UW-La Crosse identified more than \$1.1 million as planned for equipment and furniture for its new student union that opened in summer 2017. This is a FY 2018 planned expenditure of approximately \$922,000.
- UW-Milwaukee identified \$2.5 million as obligated in FY 2017 for the Sandburg Residence Hall remodel project, which was enumerated in the 2017-19 biennial budget and is funded with program revenue, instead of borrowing.
- UW-River Falls was below the reporting threshold for auxiliaries in FY 2016, but for FY 2017, reported \$1.1 million for the May Residence Hall remodel project, which was enumerated in the 2017-19 biennial budget.

General Operations

UW institutions generate general operations revenue from a broad range of activities that have a close tie to and/or support the academic mission of the University. These funds commonly support programs in the area in which the funds were generated. General operations examples include the World Class Instructional Design and Assessment Consortium, Babcock Dairy, and the Pre-School Lab operations at UW-Madison.

As shown in Table 4, general operations balances have decreased from \$171.8 million in FY 2013 to \$100.3 million in FY 2017, a decline of 41.6%. UW institutions categorized \$78.9 million, or 78.7%, of this balance as either obligated or planned.

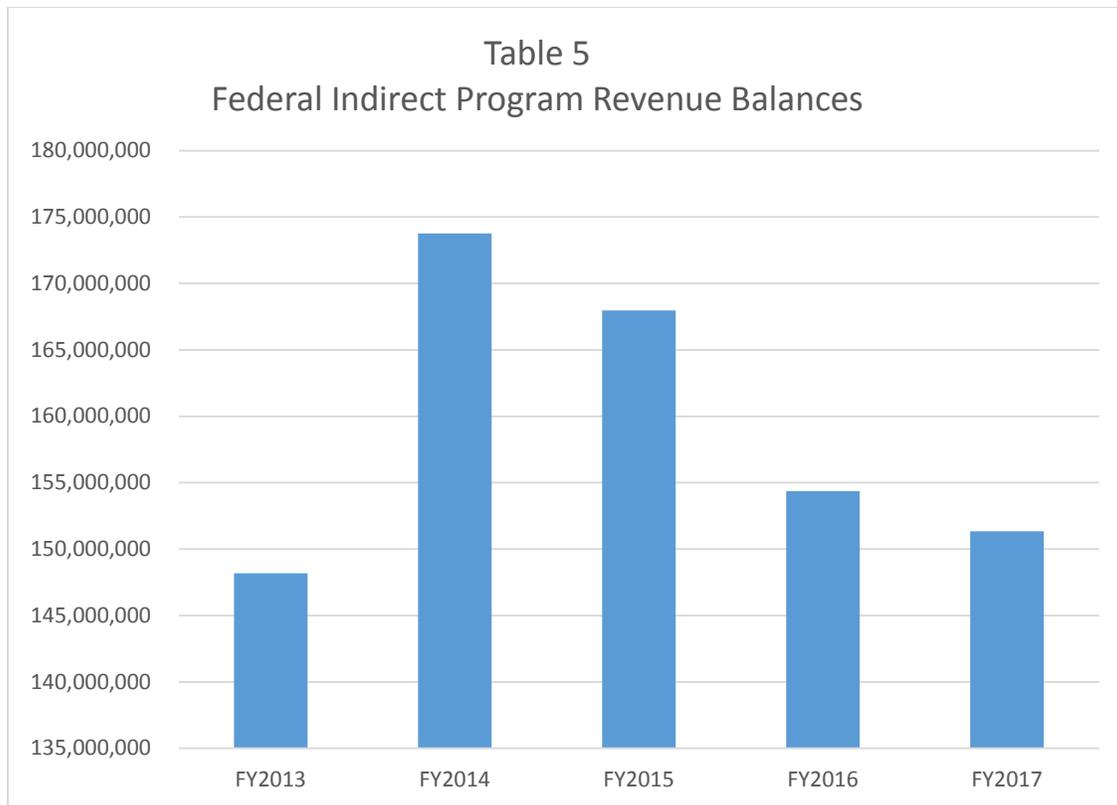


Many UW institutions reported significant decreases in general operations balances. General operations within UW Systemwide accounts for common information technology systems and services used for the benefit of the UW System as a whole. The Systemwide balance increased in FY 2017 as the implementation or upgrade of shared systems incurred expenses later than originally anticipated.

Federal Indirect Cost Reimbursement

UW institutions receive federal indirect funds as a form of overhead on federal grants, based on a negotiated rate that is applied to each direct grant expenditure. While the use of these funds is not restricted, UW institutions often use them to support their research infrastructure and as a backstop if the renewal of federal grant funding is delayed.

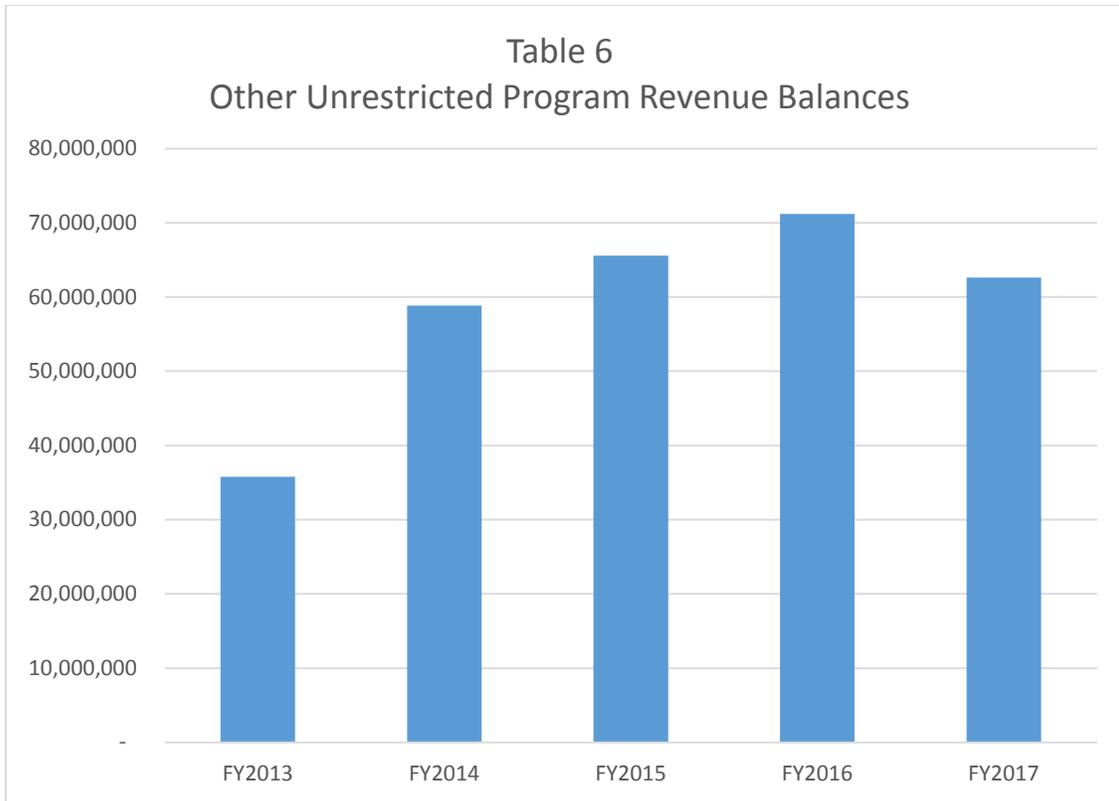
Federal indirect funds have steadily declined since FY 2014, as reflected in Table 5. Between FY 2016 and FY 2017, the UW System’s federal indirect balance decreased \$3.0 million, or 2.0%. **Consistent with its intended usage, UW institutions have identified 25.0% of the FY 2017 balance as a reserve.**



Other Unrestricted Funds

The other unrestricted funds category consists of a variety of different funds and sources. For example, this category includes non-credit Extension programs and a fund that accounts for UW debt service payments that will be transferred to the State in the next fiscal year. It also includes the State Laboratory of Hygiene and the Veterinary Diagnostics Laboratory, which are separate entities with their own governing board, but attached to the UW System for administrative purposes.

While other unrestricted funds increased from FY 2013 through FY 2016, these balances declined nearly \$8.6 million, or 12.0%, to \$62.6 million in FY 2017, as shown in Table 6. Of these balances, UW institutions reported 88.3% as either obligated in FY 2018 or planned.



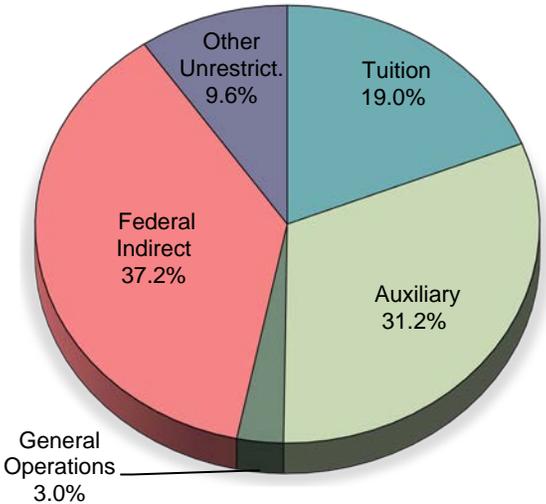
Total Funds

The UW System’s total unrestricted fund balance has steadily decreased since FY 2013. With the changes explained above in tuition, auxiliary operations, general operations, federal indirect reimbursement and other unrestricted funds, the unrestricted balance further declined in FY 2017 by \$31.7 million, to \$851.6 million. This represents a 22.3% reduction over five years.

Total fund balances, including unrestricted and restricted, increased by \$34.9 million in FY 2017. This overall increase is primarily attributed to increases in gift funds and funding related to grants and contracts – funding sources that are restricted and often cannot be repurposed for general institutional or instructional needs.

UW-Madison FY 2017 PR Balances

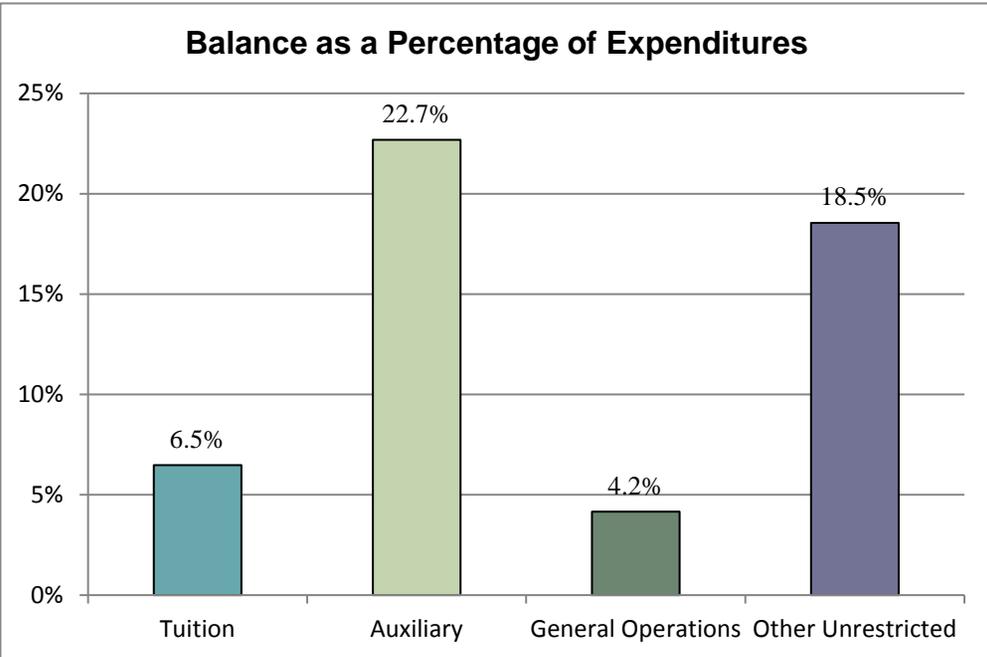
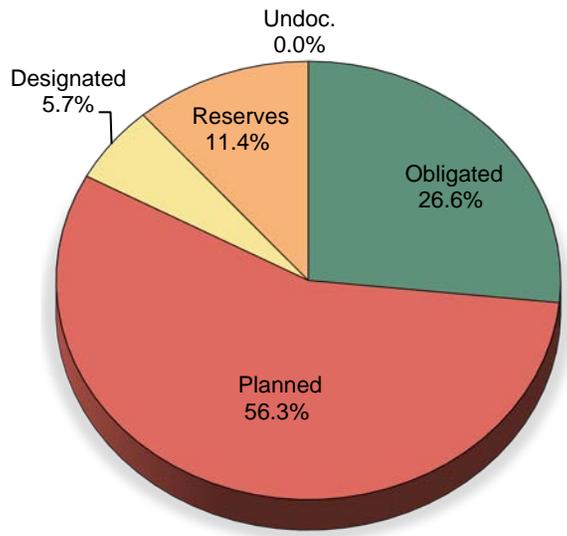
FY17 PR Balances by Category



PR Balances Highlights

- No spending plans were required for Tuition or General Operations balances as those balances were below the reporting threshold
- 82.9% of total unrestricted balances were classified as either obligated (26.6%) or planned (56.6%)
- Total unrestricted balances decreased by \$36.7 million (8.8%)

FY17 PR Balances by Commitment Level



Summary of UW System Unrestricted PR Balances by Level of Commitment
 FY 2017 ending balances as categorized by institution reports

UW-Madison

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Tuition	2,786,809	54,321,671	3,024,696	3,851,439	0	63,984,615
Auxiliary	40,144,643	50,173,421	14,850,201	0	0	105,168,265
General Operations	10,054,332	0	0	0	0	10,054,332
Federal Indirect	5,709,582	84,959,432	0	34,468,646	0	125,137,660
Other Unrestricted	30,958,146	0	1,427,753	0	0	32,385,899
Total	89,653,512 26.6%	189,454,524 56.3%	19,302,650 5.7%	38,320,085 11.4%	0 0.0%	336,730,771 100.0%

	Tuition (131 and 189)	Auxiliary (128 and 228)	General Operations (136)	Other Unrestricted (xxx)	Subtotal	Federal Indirect (150)	Total Unrestricted
Total PR Appropriation Balances							
FY2015-16	65,355,371	115,819,093	19,471,818	42,503,665	243,149,947	126,243,723	369,393,670
FY2016-17	63,984,615	105,168,265	10,054,332	32,385,899	211,593,111	125,137,660	336,730,771
Change	-1,370,756 -2.1%	-10,650,828 -9.2%	-9,417,486 -48.4%	-10,117,766 -23.8%	-31,556,836 -13.0%	-1,106,063 -0.9%	-32,662,899 -8.8%
Total Expenditures							
FY2015-16	974,571,186	408,994,185	229,870,099	170,188,478	1,783,623,948	143,779,576	1,927,403,524
FY2016-17	987,864,345	463,570,985	241,724,626	174,609,960	1,867,769,916	137,206,697	2,004,976,613
Change	13,293,159	54,576,800	11,854,527	4,421,482	84,145,968	-6,572,879	77,573,089
Balances as a Percentage of Expenditures							
FY2015-16	6.7%	28.3%	8.5%	25.0%			
FY2016-17	6.5%	22.7%	4.2%	18.5%			

Report on Auxiliary Operations Balance (Funds 128 and 228) in Excess of 12% of Fiscal Year Expenditures

**UW-Madison
Fiscal Year: 2017**

Total Balance	\$105,168,265	22.69%
Obligated		\$40,144,643
Planned		\$50,173,421
<i>subtotal: Obligated and Planned</i>		\$90,318,064
Designated	\$14,850,201	
Reserves	\$0	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	Encumbrances	Obligated	\$8,490,999	\$8,490,999	\$8,490,999	FY 18
2	Outstanding invoices and contracts for employee bus pass, shuttles, campus bus and property leases	Obligated	\$5,584,211	\$5,584,211	\$5,584,211	FY 18 and FY 19
3	Outstanding invoices and contracts for student bus pass program	Obligated	\$1,524,756	\$1,524,756	\$1,524,756	FY 18
4	FY 18 debt service minus amounts in fund 123	Obligated	\$22,869,451	\$22,869,451	\$22,869,451	FY 18
5	FY 19 and FY 20 debt service for UHS/SAC (special seg fee)	Planned	\$2,538,525	\$2,538,525	\$2,538,525	FY 19 and FY 20
6	MDS/SWAP SciQuest/Jaggaer License Fees	Obligated	\$525,000	\$525,000	\$525,000	FY 18
7	Athletic Scholarships with cost of attendance included	Obligated	\$1,150,227	\$9,550,000	\$9,550,000	FY 18
8	Rec Sports SERF move, fitness equipment for new SERF and Nielsen, various building repairs	Planned	\$1,721,000	\$1,721,000	\$1,721,000	FY 18, FY 19 and FY 20
9	Wisconsin Union deck replacement, furniture for bldg remodel, AV and audio equipment, other equipment purchases	Planned	\$4,040,810	\$4,040,810	\$4,040,810	FY 18
10	Trans Svcs lot resurface, ramp repair, bus and bike shelters, lot redevelopment, and equipment; Fleet vehicles	Planned	\$2,339,049	\$2,339,049	\$2,339,049	FY 18
11	Vet Med Parking Ramp (Lot 62)	Planned	\$6,000,000	\$6,000,000	\$6,000,000	FY 18

12	DoIT VOIP implementation, FiberRiser network equipment, data center relocation, central video, computer lab equipment and other planned IT projects	Planned	\$9,200,000	\$9,200,000	\$9,200,000	FY 18
13	Financial Aid: Trademark/Licensing and other need-based grants	Planned	\$5,659,121	\$5,659,121	\$5,659,121	FY 18
14	UHS reduction of balances to cover operating deficit	Planned	\$660,897	\$660,897	\$660,897	FY 18
15	Housing Schlichter Hall improvements	Planned	\$1,037,000	\$1,037,000	\$15,210,000	FY 18 and FY 19
16	Residence Halls, Apts capital equip, major repairs, and bldg/land improvements, clay roofs, kitchen remodels	Planned	\$10,539,100	\$10,539,100	\$10,539,100	FY 18 and FY 19
17	Kronshage Hall remodel	Planned	\$6,437,919	\$8,000,000	\$8,000,000	FY 19 and FY 20

Total	\$90,318,064
Obligated	\$40,144,643
Planned	\$50,173,421

Designated Funds

Major Balance Grouping

**FY17 Designated
Balance**

1	Student segregated fees designated per Board policy	\$9,360,058
2	Student Health Insurance Program balances maintained for estimated claims in process	\$5,490,143
3		
4		
5		
Totals		\$14,850,201

UHS, bus program, ASM, GSSF, UHS/SAC, CCTAP

Reserves

Major Balance Grouping

**FY17 Reserves
Balance**

1		
2		
3		
4		
5		
Totals		\$0

Undocumented Funds

Major Balance Grouping

**FY17
Undocumented
Balance**

1		
2		
3		
4		
5		
Totals		\$0

Report on Other Unrestricted Program Revenue Balance in Excess of 12% of Fiscal Year Expenditures

**UW-Madison
Fiscal Year: 2017**

Total Balance	\$32,385,899	18.55%
Obligated		\$30,958,146
Planned		\$0
<i>subtotal: Obligated and Planned</i>		<i>\$30,958,146</i>
Designated	\$1,427,753	
Reserves	\$0	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	FY18 Debt Service, Fund 123	Obligated	\$18,522,877	\$18,522,877	\$18,522,877	FY18
2	Wisconsin State Laboratory of Hygiene	Obligated	\$10,610,582	\$10,610,582	\$10,610,582	FY18
3	Wisconsin Veterinary Diagnostic Laboratory	Obligated	\$1,824,687	\$1,824,687	\$1,824,687	FY18
4						
5						
6						
7						
8						
9						
10						
11						

Total	\$30,958,146
Obligated	\$30,958,146
Planned	\$0

Designated Funds

Major Balance Grouping

**FY17 Designated
Balance**

1	Non-Credit Extension unplanned balance catergorized as designated per UW System definitions.	\$1,427,753
2		
3		
4		
5		

Totals \$1,427,753

Reserves

Major Balance Grouping

**FY17 Reserves
Balance**

1		
2		
3		
4		
5		

Totals \$0

Undocumented Funds

Major Balance Grouping

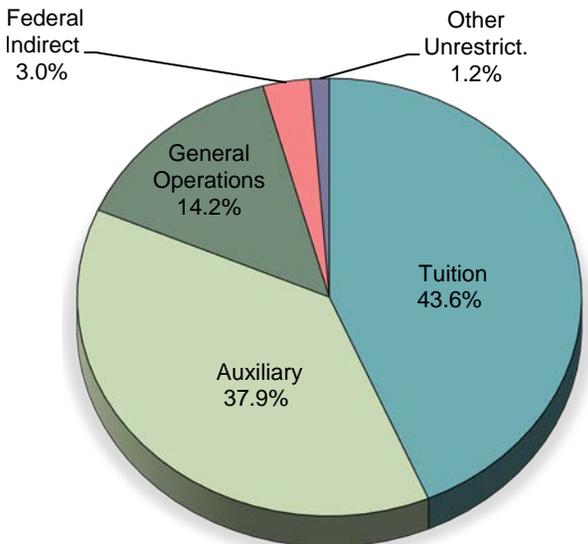
**FY17
Undocumented
Balance**

1		
2		
3		
4		
5		

Totals \$0

UW-Milwaukee FY 2017 PR Balances

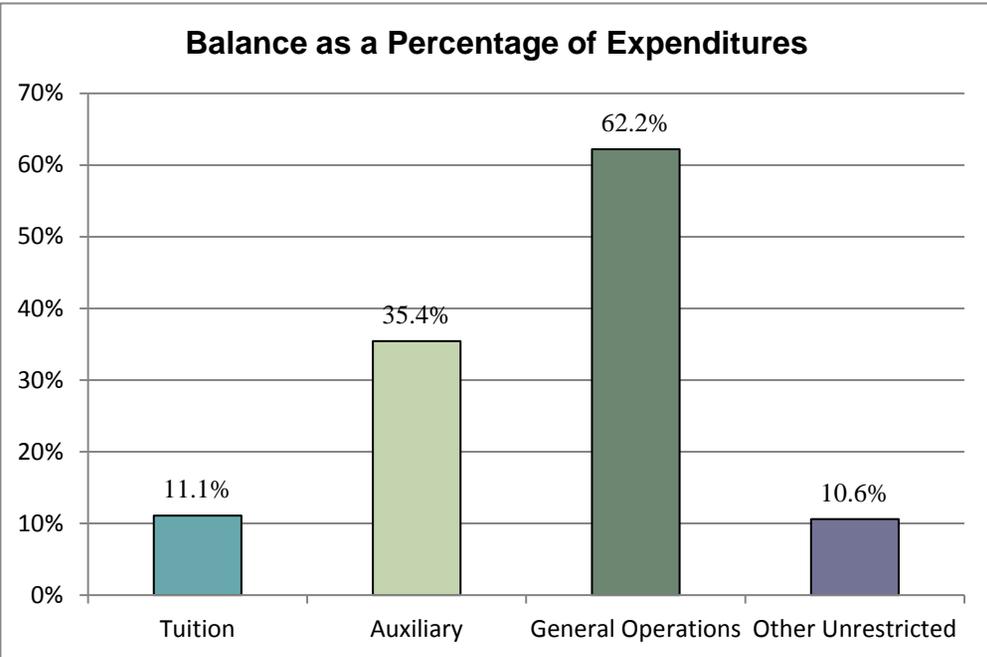
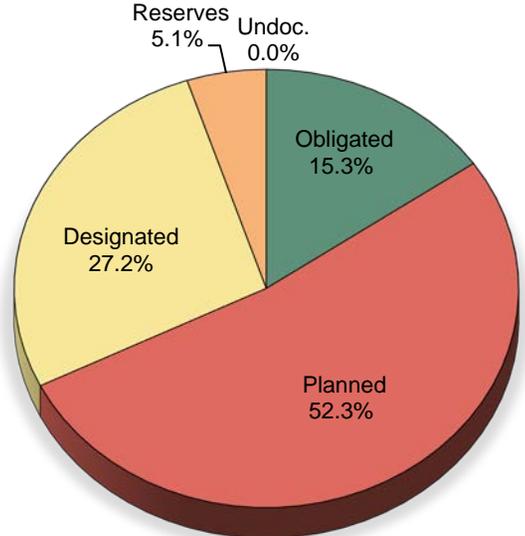
FY17 PR Balances by Category



PR Balances Highlights

- Detailed spending plans were not required for Tuition or Other Unrestricted balances because those balances were less than 12% of expenditures
- 67.6% of total unrestricted balances are categorized as either Obligated (15.3%) or Planned (52.3%)
- Tuition balance decreased \$1.4 million (3.6%) compared to prior year

FY17 PR Balances by Commitment Level



Summary of UW System Unrestricted PR Balances by Level of Commitment
 FY 2017 ending balances as categorized by institution reports

UW-Milwaukee

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Tuition	5,045,292	29,797,477	3,657,836	0	0	38,500,605
Auxiliary	7,314,141	9,437,204	16,776,275	0	0	33,527,620
General Operations	973,691	4,318,125	2,786,481	4,500,000	0	12,578,297
Federal Indirect	0	2,684,699	0	0	0	2,684,699
Other Unrestricted	224,252	0	845,205	0	0	1,069,457
Total	13,557,376 15.3%	46,237,505 52.3%	24,065,796 27.2%	4,500,000 5.1%	0 0.0%	88,360,678 100.0%

	Tuition (131 and 189)	Auxiliary (128 and 228)	General Operations (136)	Other Unrestricted (xxx)	Subtotal	Federal Indirect (150)	Total Unrestricted
Total PR Appropriation Balances							
FY2015-16	39,919,323	26,480,468	12,204,074	266,177	78,870,042	3,056,151	81,926,193
FY2016-17	38,500,605	33,527,620	12,578,297	1,069,457	85,675,979	2,684,699	88,360,678
Change	-1,418,718 -3.6%	7,047,152 26.6%	374,223 3.1%	803,280 301.8%	6,805,937 8.6%	-371,452 -12.2%	6,434,485 7.9%
Total Expenditures							
FY2015-16	363,799,255	86,423,448	20,031,179	11,773,854	482,027,736	9,425,377	491,453,113
FY2016-17	347,043,913	94,611,694	20,220,667	10,084,002	471,960,276	8,752,828	480,713,104
Change	-16,755,342	8,188,246	189,488	-1,689,852	-10,067,460	-672,549	-10,740,009
Balances as a Percentage of Expenditures							
FY2015-16	11.0%	30.6%	60.9%	2.3%			
FY2016-17	11.1%	35.4%	62.2%	10.6%			

Report on Auxiliary Operations Balance (Funds 128 and 228) in Excess of 12% of Fiscal Year Expenditures

**UW-Milwaukee
Fiscal Year: 2017**

Total Balance	\$33,527,620	35.44%
Obligated		\$7,314,141
Planned		\$9,437,204
<i>subtotal: Obligated and Planned</i>		\$16,751,345
Designated	\$16,776,275	
Reserves	\$0	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	FY 16/17 Year-end Encumbrances	Obligated	\$1,818,000	\$1,818,000	\$1,818,000	FY 17/18
2	Debt Service - Transportation Svcs	Obligated	\$280,718	\$280,718	\$280,718	FY 17/18
3	Debt Service (Additional Years) - Transportation Svcs	Planned	\$852,000	\$852,000	\$852,000	FY 18/19 -FY20/21
4	Parking equipment not budgeted	Planned	\$210,000	\$210,000	\$210,000	FY 17/18
5	Sandburg Remodel Project	Obligated	\$2,500,000	\$2,500,000	\$2,500,000	FY18/19
6	Debt Service - Student Affairs	Obligated	\$2,715,423	\$2,715,423	\$2,715,423	FY17-18
7	Debt Service (Additional Years) - Student Affairs	Planned	\$8,375,204	\$8,375,204	\$8,375,204	FY 18/19 -FY20/21
8						
9						

Total	\$16,751,345
Obligated	\$7,314,141
Planned	\$9,437,204

Designated Funds

Major Balance Grouping

**FY17 Designated
Balance**

1	Student Affairs - Auxiliary Operations Student Fees (Union, Health Center, Retail Services, Housing)	\$8,814,108
2	Union Capital Projects - Student Fees	\$3,555,584
3	Athletics Capital Projects - Student Fees	\$4,353,274
4	Special Course Fees	\$53,309
5		
6		
7		
8		

Totals \$16,776,275

Reserves

Major Balance Grouping

**FY17 Reserves
Balance**

2		
3		
4		
5		

Totals \$0

Undocumented Funds

Major Balance Grouping

**FY17
Undocumented
Balance**

1		
2		
3		
4		
5		

Totals \$0

Report on General Operations Balance (Fund 136) in Excess of 12% of Fiscal Year Expenditures

**UW-Milwaukee
Fiscal Year: 2017**

Total Balance	\$12,578,297	62.2%
Obligated		\$973,691
Planned		\$4,318,125
<i>subtotal: Obligated and Planned</i>		\$5,291,816
Designated	\$2,786,481	
Reserves	\$4,500,000	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	Research Cyberinfrastructure	Planned	\$23,951	\$23,951	\$23,951	FY18
2	Center for Excellence Teaching & Learning	Planned	\$26,400	\$26,400	\$26,400	FY18
3	Student Accessibility Center	Planned	\$23,899	\$23,899	\$23,899	FY18
4	TRIO/Precollege programs	Planned	\$100,007	\$100,007	\$100,007	FY18
5	ARC- Accessibility Resource Center	Planned	\$47,722	\$47,722	\$47,722	FY18
6	(College of Health Science DPT Program)	Obligated	\$41,203	\$41,203	\$41,203	FY18
7	Replace crane in Structures Lab	Obligated	\$24,305	\$24,305	\$30,000	FY18
8	service fees, and facilities maintenance costs	Planned	\$121,082	\$121,082	\$121,082	FY18
9	SR Administration Program Specialist Contract	Obligated	\$86,250	\$86,250	\$86,250	FY18
10	WTMJ Project User Fees	Obligated	\$19,000	\$19,000	\$5,000	FY18
11	Operation Service Agreement (OSA) contracts	Obligated	\$23,933	\$23,933	\$23,933	FY18
12	Kenilworth Housing Financing and Debt Service	Planned	\$2,245,000	\$3,445,000	\$3,445,000	FY18-FY21
13	Scholarships/Fellowships	Planned	\$903,000	\$903,000	\$903,000	FY18 - FY22
14	Information Technology Infrastructure	Planned	\$810,836	\$810,836	\$810,836	FY18 - FY20
15	University Safety Initiative	Planned	\$16,228	\$16,228	\$16,228	FY18
16	FY 16-17 Year-End Encumbrances	Obligated	\$779,000	\$779,000	\$779,000	FY18

Total	\$5,291,816
Obligated	\$973,691
Planned	\$4,318,125

Designated Funds

	Major Balance Grouping	FY17 Designated Balance
1	Student/User Fees	\$861,000
2	College of Heath Sciences User Fees	\$33,933
3	Tax Program Support	\$494,000
4	Executive Programs Reserve for program fluctuations	\$471,005
5	Office of Charter Schools - operating receipts to be returned to Charter Schools	\$19,903
6	Engineering equipment maintenance not budgeted	\$268,808
7	Music Department Performances, Camps, Trip	\$93,460
8	Dance Scholarships	\$25,000
9	Arts ECO Programming	\$94,300
10	Art & Design Dept. 1st Yr. Program, Ceramics, Print, Hubei University of Technology (HBUT)	\$140,894
11	Biological Sciences Microscope Maintenance	\$60,000
12	Planetarium Projector Replacement	\$75,000
13	Economic Development Research Fellows	\$82,855
14	School of Public Health Facility maintenance not budgeted	\$66,323
	Totals	\$2,786,481

Reserves

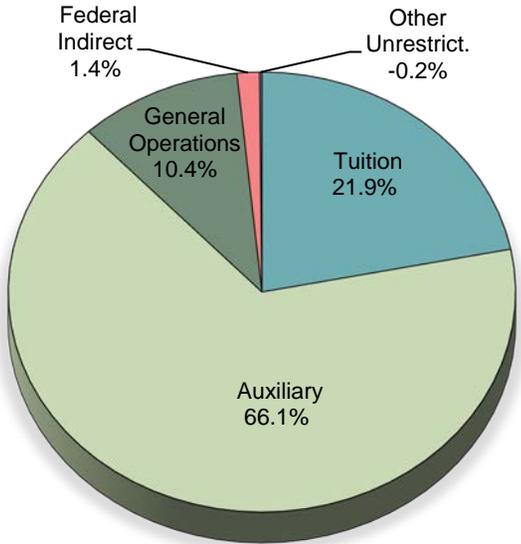
	Major Balance Grouping	FY17 Reserves Balance
1	UWM Reserve for Pending Enrollment Declines	\$4,500,000
2		
3		
	Totals	\$4,500,000

Undocumented Funds

	Major Balance Grouping	FY17 Undocumented Balance
1		
2		
3		
	Totals	\$0

UW-Eau Claire FY 2017 PR Balances

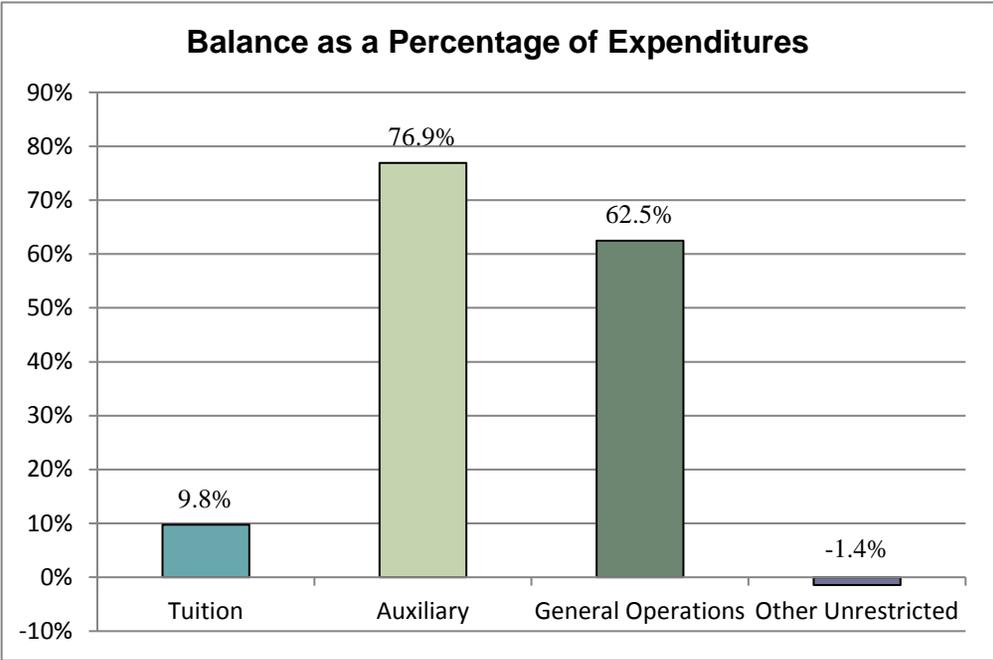
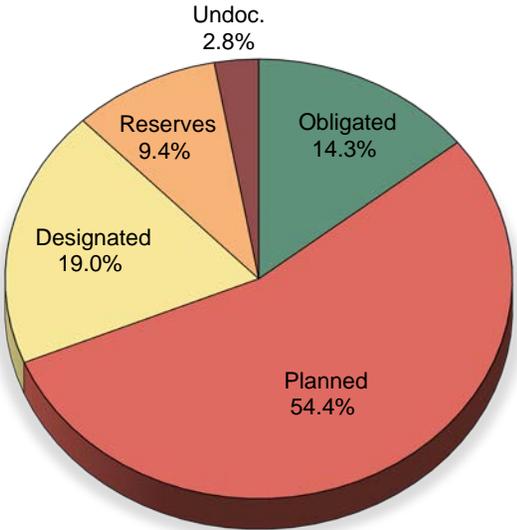
FY17 PR Balances by Category



PR Balances Highlights

- No reports were required for Tuition and Other Unrestricted Funds, where balances were less than 12% of expenditures
- 87.8% of unrestricted balances are Obligated, Planned or Designated

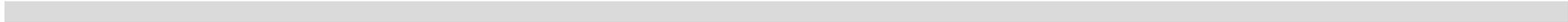
FY17 PR Balances by Commitment Level



Summary of UW System Unrestricted PR Balances by Level of Commitment
 FY 2017 ending balances as categorized by institution reports

UW-Eau Claire

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Tuition	426,864	7,082,028	493,421	2,563,080	0	10,565,393
Auxiliary	6,384,519	16,696,221	6,663,173	1,893,386	300,121	31,937,420
General Operations	95,466	2,127,837	1,999,980	135,000	662,556	5,020,839
Federal Indirect	0	296,693	0	0	394,251	690,944
Other Unrestricted	0	0	633	(73,578)	0	(72,945)
Total	6,906,849 14.3%	26,202,779 54.4%	9,157,206 19.0%	4,517,888 9.4%	1,356,928 2.8%	48,141,650 100.0%



	Tuition (131 and 189)	Auxiliary (128 and 228)	General Operations (136)	Other Unrestricted (xxx)	Subtotal	Federal Indirect (150)	Total Unrestricted
Total PR Appropriation Balances							
FY2015-16	10,742,222	26,615,618	5,042,071	-71,111	42,328,800	680,141	43,008,941
FY2016-17	10,565,393	31,937,420	5,020,839	-72,945	47,450,707	690,944	48,141,651
Change	-176,829 -1.6%	5,321,802 20.0%	-21,232 -0.4%	-1,834 2.6%	5,121,907 12.1%	10,803 1.6%	5,132,710 11.9%
Total Expenditures							
FY2015-16	110,632,392	42,876,402	8,738,747	5,193,541	167,441,082	741,982	168,183,064
FY2016-17	108,309,962	41,526,971	8,036,845	5,049,704	162,923,482	287,115	163,210,597
Change	-2,322,430	-1,349,431	-701,902	-143,837	-4,517,600	-454,867	-4,972,467
Balances as a Percentage of Expenditures							
FY2015-16	9.7%	62.1%	57.7%	-1.4%			
FY2016-17	9.8%	76.9%	62.5%	-1.4%			

Report on Auxiliary Operations Balance (Funds 128 and 228) in Excess of 12% of Fiscal Year Expenditures

**UW-Eau Claire
Fiscal Year: 2017**

Total Balance	\$31,937,420	76.91%
Obligated		\$6,384,519
Planned		\$16,696,221
<i>subtotal: Obligated and Planned</i>		\$23,080,740
Designated	\$6,663,173	
Reserves	\$1,893,386	
Undocumented Funds	\$300,121	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	Centers - Encumbrances for furniture, capital equipment for Zorn Arena upgrade and vehicle	Obligated	\$240,927	\$240,927	\$240,927	FY18
2	Food Service - Encumbrances for printer, encoder, laminator and consulting services with Blackboard, Inc.	Obligated	\$14,135	\$14,135	\$14,135	FY18
3	Recreation - Encumbrances for fitness equipment	Obligated	\$39,022	\$39,022	\$39,022	FY18
4	Parking - Encumbrance for transportation services	Obligated	\$6,048	\$6,048	\$6,048	FY18
5	Residence Halls - Encumbrance for vehicle & supplies	Obligated	\$60,827	\$60,827	\$60,827	FY18
6	University Centers - Debt Service FY18	Obligated	\$2,937,126	\$2,937,126	\$2,937,126	FY18
7	Parking - Debt Service FY18	Obligated	\$69,009	\$69,009	\$69,009	FY18
8	Residence Halls - Debt Service FY18	Obligated	\$1,409,175	\$1,409,175	\$1,409,175	FY18
9	Food Service - Debt Service FY18	Obligated	\$166,377	\$166,378	\$166,378	FY18
10	Recreation - Debt Service FY18	Obligated	\$34,056	\$34,056	\$34,056	FY18
11	Central Utility Fund - Debt Service FY18	Obligated	\$148,881	\$148,881	\$148,881	FY18

12	Residence Halls - New Dorm Enumerated Project 12D1D	Obligated	\$218,936	\$218,936	\$218,936	FY18
13	Residence Halls - Towers Renovation Enumerated Project 14H1Z	Obligated	\$1,040,000	\$1,040,000	\$1,040,000	FY18
14	Student Affairs - National Student Exchange and Alcohol Counseling	Planned	\$14,300	\$14,300	\$14,300	FY18-FY20
15	Blugold Central planned operational decrease in balances - salaries and expenditures consistently exceed annual revenue.	Planned	\$538,669	\$538,669	\$538,669	FY18-FY20
16	Experiential Internship	Planned	\$1,360	\$1,368	\$1,368	FY18-FY19
17	International Education Study Abroad	Planned	\$200,225	\$200,225	\$200,225	FY18-FY20
18	Interest earnings on auxiliary operations used to fund portion of strategic planner.	Planned	\$121,805	\$121,805	\$121,805	FY18-FY20
19	Facilities Management Temporary University Staff, Student Salaries and services and supplies expenses exceeding sales credits.	Planned	\$57,390	\$57,390	\$57,390	FY18-FY20
20	Parking - planned operational decrease in balance for the Hibbard lot rebuild in FY19 and Towers lot rebuild in FY20.	Planned	\$616,698	\$611,728	\$611,728	FY19-FY20
21	Residence Halls - Anticipated new Debt Service FY18	Planned	\$2,869,920	\$2,869,920	\$2,869,920	FY18
22	Residence Halls Planned operational decrease in balance for new dorm construction, Towers renovations, chiller project and Governors Hall renovation along with planned FY17 expenses to be expended in FY18 for replacements of furniture, washers and dryers, carpeting, and mattresses and relocation of Residence Halls Offices.	Planned	\$6,901,283	\$8,160,219	\$8,160,219	FY18-FY20
23	University Centers - Planned operational decrease in balance due to Phase 2 & 3 upgrades to Zorn video, curtain, rigging replacement, replace video wall in Schofield Auditorium, and Garfield Avenue project.	Planned	\$1,060,399	\$1,060,399	\$1,060,399	FY18-FY20
24	University Printing Services - Planned operational decrease in balance from purchase of equipment and cost of moving to new location on campus.	Planned	\$57,264	\$57,264	\$57,264	FY18-FY20
25	Food Service - Planned operational decrease in balance due to reduction in students on meal plans in FY18 & FY19 due to dorm renovations and expenditures moved from FY17 to FY18 including new dish machine and Garfield Avenue project.	Planned	\$836,520	\$836,520	\$836,520	FY18-FY20
26	Recreation - Planned operational decrease in balance due to equipment replacement and maintenance.	Planned	\$769,762	\$769,762	\$769,762	FY18-FY20

27	Textbook Rental - Planned operational decrease in balance due to an additional \$15 reduction annually in FY18 after a reduction of fees by \$20 annually in FY17.	Planned	\$972,592	\$972,592	\$972,592	FY18-FY20
28	Organized Activities planned operational decrease in balance	Planned	\$215,251	\$215,251	\$215,251	FY18-FY20
29	Commencement	Planned	\$7,691	\$7,691	\$7,691	FY18
30	Telephone services	Planned	\$11,300	\$11,300	\$11,300	FY18-FY19
31	Athletics/Recreation facilities maintenance for dividing curtains in Olson gym.	Planned	\$2,000	\$2,000	\$2,000	FY18
32	Central Utility Fund	Planned	\$12,000	\$12,000	\$12,000	FY20
33	Computer replacement/rotation for Finance and Administration division	Planned	\$53,190	\$53,190	\$53,190	FY18-FY20
34	Professional development for Administration and Finance division.	Planned	\$66,950	\$66,950	\$66,950	FY18-FY20
35	Municipal Services planned operational decrease through reduction of segregated fee	Planned	\$108,862	\$108,862	\$108,862	FY18-FY20
36	Centrally funded portion of the lease for the Priory building.	Planned	\$35,860	\$35,860	\$35,860	FY18
37	Counseling Services	Planned	\$15,129	\$15,129	\$15,129	FY18
38	Children's Nature Academy	Planned	\$185,046	\$185,046	\$185,046	FY18-FY19
39	Intramurals	Planned	\$36,255	\$36,255	\$36,255	FY18-FY19
40	College of Business - HCAD Exam Fees	Planned	\$3,500	\$3,500	\$3,500	FY18-FY20
41	Recreation Facility Project changed due to new land donation along Memonomie Street (formerly Crest Wellness Project)	Planned	\$925,000	\$925,000	\$925,000	FY19

Total	\$23,080,740	\$0
Obligated	\$6,384,519	\$0
Planned	\$16,696,221	\$0

Designated Funds

Major Balance Grouping FY17 Designated Balance

1	Davies Center Construction Segregated Fee Balance	\$3,002,000
2	International Education - Study Abroad	\$199,825
3	College of Business - HCAD Exam Fees	\$382
4	Segregated Fee Balance	\$2,531,679
5	Textbook Rental	\$929,287

Totals	\$6,663,173
--------	-------------

Reserves

	Major Balance Grouping	FY17 Reserves Balance
1	Residence Halls Reserve	\$506,600
2	Recreation Reserve	\$400,000
3	Food Service Reserve	\$300,000
4	University Centers Reserve	\$215,900
5	Textbook Rental Reserve	\$100,810
6	Parking Reserve	\$76,200
7	Intramurals Reserve	\$14,479
8	Children's Nature Academy Reserve	\$18,511
9	Student Health Services Reserve	\$230,522
10	Counseling Reserve	\$30,364
	Totals	\$1,893,386

Undocumented Funds

	Major Balance Grouping	FY17 Undocumented Balance
1	Central Utility Fund	\$210,977
2	Parking	\$89,144
3		
4		
5		
	Totals	\$300,121

Report on General Operations Balance (Fund 136) in Excess of 12% of Fiscal Year Expenditures

**UW-Eau Claire
Fiscal Year: 2017**

Total Balance	\$5,020,839	62.47%
Obligated		\$95,466
Planned		\$2,127,837
<i>subtotal: Obligated and Planned</i>		\$2,223,303
Designated	\$1,999,980	
Reserves	\$135,000	
Undocumented Funds	\$662,556	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	Unclaimed student refunds from stale dated checks	Obligated	\$95,466	\$95,466	\$95,466	
2	University Police - salaries without a committed, on-going funding source.	Planned	\$88,999	\$88,999	\$88,999	FY18-FY19
3	Minority programs including Multicultural Leadership Retreat, Powwow, Diversity Heritage Month education activities, Hmong Immersion, College Student Inventory assessment tool and activities to retain and engage students.	Planned	\$70,130	\$70,130	\$70,130	FY18-FY20
4	Registrar fees are used for registrar office expenses including computer rotation, supplies, professional development (travel), annual maintenance fees for the catalog & curriculum software, room scheduling and schedule builder along with annual fee to third party vendor for catalog access.	Planned	\$62,784	\$62,784	\$62,784	FY18-FY19
5	Summer Session Distance Education	Planned	\$59,263	\$59,263	\$59,263	FY18-FY20
6	Office of Research and Sponsored Programs Research Boards	Planned	\$6,500	\$6,500	\$6,500	FY18-FY20
7	Human Development Center Referral Service	Planned	\$11,436	\$11,436	\$11,436	FY18-FY20
8	New Student Orientation	Planned	\$11,758	\$11,758	\$11,578	FY18
9	Career Conference	Planned	\$18,692	\$18,692	\$18,692	FY18-FY19
10	Regional Placement Tests	Planned	\$3,951	\$3,951	\$3,951	FY18-FY20
11	Computer Based Testing Services	Planned	\$1,907	\$1,907	\$1,907	FY18-FY20
12	Admissions furniture replacement for offices and recruitment areas.	Planned	\$5,000	\$5,000	\$5,000	FY19-FY20
13	Geology Field Vehicles	Planned	\$111,250	\$111,250	\$111,250	FY18

14	Material Science	Planned	\$14,119	\$14,119	\$14,119	FY18-FY19
15	Remedial Education	Planned	\$14,260	\$14,260	\$14,260	FY18-FY19
	College of Arts & Science Programs including collaborative language program, art travel experience, communication/journalism multimedia, english portfolio fees, languages credit by exam fee, National History Day conference, UWEC math meet, choral, opera workshops, wind clinic, applied music					
16	practice rooms, and planetarium	Planned	\$46,351	\$46,351	\$46,351	FY19-FY20
17	Business Intern Supervision	Planned	\$3,834	\$3,834	\$3,834	FY18
18	College of Business - Student Professional Development	Planned	\$109,028	\$109,028	\$109,028	FY18-FY20
19	College of Business - Remodeling Projects	Planned	\$206,700	\$206,700	\$206,700	FY18-FY19
20	College of Business - MBA Consortium Instruction	Planned	\$172,385	\$172,385	\$172,385	FY18-FY20
21	College of Business - MBA Constorium Management/Marketing	Planned	\$106,353	\$106,353	\$106,353	FY19-FY20
22	College of Business - Business Alliance Admin/Marketing	Planned	\$50,710	\$50,710	\$50,710	FY18-FY19
23	College of Business - Instruction Intiatives	Planned	\$45,287	\$45,287	\$45,287	FY18
	College of Business programs including Business Administration Certification Online, Business Communication seminar, Information Systems seminar, distance ed fees, credit by exam fees, background checks, Northern Wisconsin International Trade Association (NWITA), and maintenance on risograph (high-speed digital printing system).					
24		Planned	\$23,976	\$23,976	\$23,976	FY18-FY20
25	College of Business Entrepreneur Program and Summer Research Program	Planned	\$449,825	\$449,825	\$449,825	FY18-FY20
	College of Education and Human Sciences programs including Masters of Social Work, WIP Intern fee, Japanese University visit, kinesiology community fitness, physical education home school program, physical activity and recreation for individuals with disabilities (PRIDE), speech and hearing clinic, aspergers program, special education LEAP clinic (community-based summer programs addressing academic and behavioral needs).					
26		Planned	\$72,717	\$72,717	\$72,717	FY18-FY20
27	College of Nursing & Health Sciences programs including nursing application fee and collaborative nursing	Planned	\$20,947	\$20,947	\$20,947	FY18-FY19
28	Library Services including replacement materials and printing costs	Planned	\$6,734	\$6,734	\$6,734	FY18
	Learning & Technology Services including plotter support, software distribution and computer replacement rotations.					
29		Planned	\$309,648	\$309,648	\$309,648	FY18-FY20
30	Special Course Fees	Planned	\$21,683	\$21,683	\$21,683	FY18-FY20
31	Funds a portion of strategic planner salary.	Planned	\$1,610	\$1,610	\$1,610	FY18-FY19

Total	\$2,223,303
Obligated	\$95,466
Planned	\$2,127,837

Designated Funds

	Major Balance Grouping	FY17 Designated Balance
1	MBA Consortium	\$1,090,908
2	Business Alliance	\$833,109
3	Master of Social Work	\$71,178
4	Remedial Education	\$2,252
5	Special Course Fees	\$2,534
	Totals	\$1,999,980

Reserves

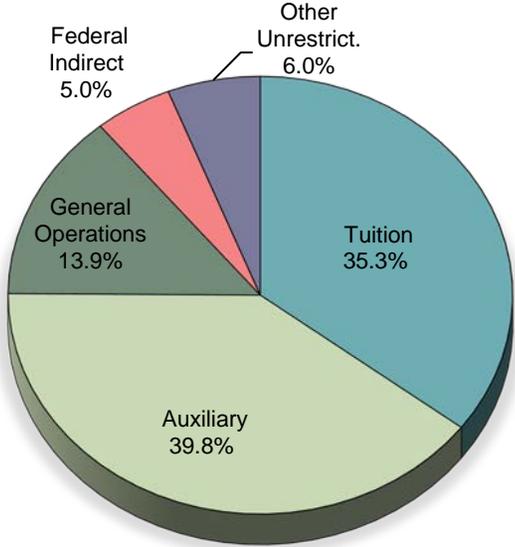
	Major Balance Grouping	FY17 Reserves Balance
1	MBA Constortium Reserve	\$135,000
2		
3		
4		
5		
	Totals	\$135,000

Undocumented Funds

	Major Balance Grouping	FY17 Undocumented Balance
1	LTS Software Distribution	\$288,451
2	Camps/Conferences - Intentional growth in balance with goal of awarding student scholarships from the profit/balances.	\$256,912
3	Internet Access Fee	\$57,289
4	MBA Consortium Instruction	\$50,818
5	Terminal Leave Fund	\$9,086
	Totals	\$662,556

UW-Green Bay FY 2017 PR Balances

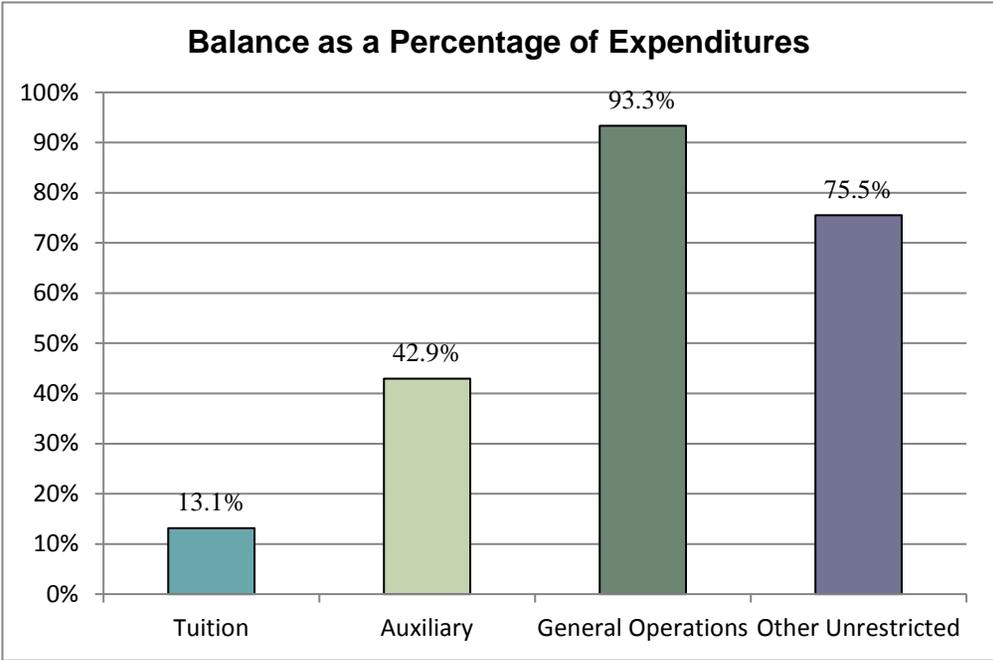
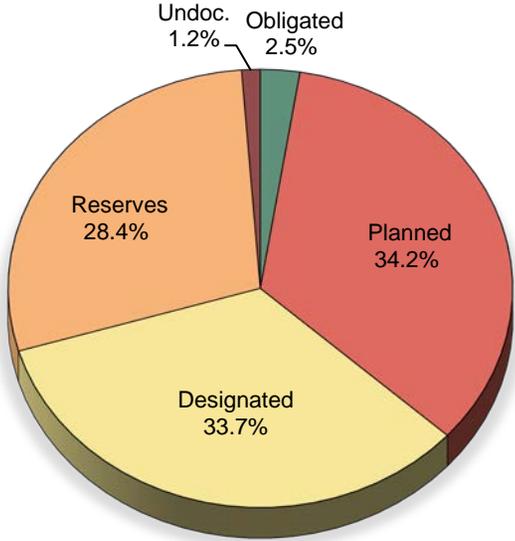
FY17 PR Balances by Category



PR Balances Highlights

- Tuition balance is down \$2.9 million (28.2%) from prior year
- 70.4% of total unrestricted balances are either Obligated, Planned or Designated

FY17 PR Balances by Commitment Level



Summary of UW System Unrestricted PR Balances by Level of Commitment
 FY 2017 ending balances as categorized by institution reports

UW-Green Bay

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Tuition	382,000	3,749,903	0	3,149,000	0	7,280,903
Auxiliary	0	1,437,334	4,851,977	1,909,133	0	8,198,444
General Operations	138,130	605,626	1,820,567	307,681	0	2,872,004
Federal Indirect	0	350,952	0	433,802	244,800	1,029,554
Other Unrestricted	0	900,000	267,450	60,000	0	1,227,450
Total	520,130 2.5%	7,043,815 34.2%	6,939,994 33.7%	5,859,616 28.4%	244,800 1.2%	20,608,355 100.0%

	Tuition (131 and 189)	Auxiliary (128 and 228)	General Operations (136)	Other Unrestricted (xxx)	Subtotal	Federal Indirect (150)	Total Unrestricted
Total PR Appropriation Balances							
FY2015-16	10,139,906	6,208,356	2,454,460	591,959	19,394,681	1,327,722	20,722,403
FY2016-17	7,280,903	8,198,444	2,872,004	1,227,450	19,578,801	1,029,554	20,608,355
Change	-2,859,003 -28.2%	1,990,088 32.1%	417,544 17.0%	635,491 107.4%	184,120 0.9%	-298,168 -22.5%	-114,048 -0.6%
Total Expenditures							
FY2015-16	54,958,902	20,921,665	3,488,537	1,373,908	80,743,012	264,167	81,007,179
FY2016-17	55,388,964	19,091,325	3,076,815	1,625,436	79,182,540	507,440	79,689,980
Change	430,062	-1,830,340	-411,722	251,528	-1,560,472	243,273	-1,317,199
Balances as a Percentage of Expenditures							
FY2015-16	18.4%	29.7%	70.4%	43.1%			
FY2016-17	13.1%	42.9%	93.3%	75.5%			

Report on Tuition Balance (Funds 131 and 189) in Excess of 12% of Fiscal Year Expenditures

**UW-Green Bay
Fiscal Year: 2017**

Total Balance	\$7,280,903	13.15%
Obligated		\$382,000
Planned		\$3,749,903
<i>subtotal: Obligated and Planned</i>		<i>\$4,131,903</i>
Designated	\$0	
Reserves	\$3,149,000	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	Enrollment Shortfall	Planned	\$2,167,874	\$2,167,874	\$2,167,874	FY18
2	Block Grants to Deans for Student Success Initiatives	Planned	\$462,094	\$462,094	\$462,094	FY18
3	Student Retention Initiatives	Planned	\$173,285	\$173,285	\$173,285	FY18
4	Diversity Initiatives	Planned	\$88,665	\$88,665	\$88,665	FY18
5	Professional Development - Student Success Initiatives	Planned	\$154,885	\$154,885	\$154,885	FY18
6	Need Based Financial Aid - UW-System One-Time Funding	Obligated	\$382,000	\$216,750	\$216,750	FY18
7	Need Based Financial Aid - UW-System One-Time Funding	Planned	\$51,500	\$216,750	\$216,750	FY18
8	Chancellor Initiatives - Student Success Initiatives	Planned	\$120,958	\$120,958	\$120,958	FY18
9	Student Success Center - Cofrin Library 2nd floor furnishings	Planned	\$387,364	\$387,364	\$387,364	FY18
10	Great Lakes Internship Match	Planned	\$93,278	\$93,278	\$93,278	FY18
11	Student Affairs/Campus Climate Initiatives	Planned	\$50,000	\$50,000	\$50,000	FY18
12						

Total	\$4,131,903
Obligated	\$382,000
Planned	\$3,749,903

Designated Funds

Major Balance Grouping

**FY17 Designated
Balance**

1		
2		
3		
4		
5		

Totals \$0

Reserves

Major Balance Grouping

**FY17 Reserves
Balance**

1	Reserves for enrollment/revenue declines	\$3,149,000
2		
3		
4		
5		

Totals \$3,149,000

Undocumented Funds

Major Balance Grouping

**FY17
Undocumented
Balance**

1		
2		
3		
4		
5		

Totals \$0

Report on Auxiliary Operations Balance (Funds 128 and 228) in Excess of 12% of Fiscal Year Expenditures

**UW-Green Bay
Fiscal Year: 2017**

Total Balance	\$8,198,444	13.15%
Obligated		\$0
Planned		\$1,437,334
<i>subtotal: Obligated and Planned</i>		<i>\$1,437,334</i>
Designated	\$4,851,977	
Reserves	\$1,909,133	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	Weidner Center/Studio Arts Building Parking Lot Reconstruction	Planned	\$241,771	\$1,800,000	\$1,800,000	FY22
2	Residence Life-Bathroom Remodel (1 apartment building)	Planned	\$255,000	\$255,000	\$255,000	FY18
3	Residence Life-Thermostatic Controls (9 apartment buildings)	Planned	\$70,000	\$70,000	\$70,000	FY18
4	Residence Life-Concrete Repair (9 apartment buildings)	Planned	\$40,000	\$40,000	\$40,000	FY18
5	Residence Life-Lock Replacement/Upgrade	Planned	\$30,000	\$30,000	\$30,000	FY18
6	Residence Life-Mason/Brick Repair (9 apartment buildings)	Planned	\$18,000	\$18,000	\$18,000	FY18
7	Residence Life-Sump Pump Replace (9 apartment buildings)	Planned	\$10,000	\$10,000	\$10,000	FY18
8	Kress Events Center Steam Coil (Air Handling Unit 11)	Planned	\$66,000	\$66,000	\$66,000	FY19
9	NCAA Student Athlete Opportunity Fund	Planned	\$411,563	\$411,563	\$411,563	FY18 to FY22
10	Kress Events Center Turf Gym Field Replacement	Planned	\$120,000	\$120,000	\$120,000	FY19
11	University Union Furniture, Equipment, Signage	Planned	\$175,000	\$175,000	\$175,000	FY18
12						

Total	\$1,437,334
Obligated	\$0
Planned	\$1,437,334

Designated Funds

	Major Balance Grouping	FY17 Designated Balance
1	Segregated Fee Funded Operations	\$2,452,892
2	Residence Life	\$2,117,097
3	International Education/Study Abroad	\$247,294
4	Special Course Fees	\$34,694
5		
	Totals	\$4,851,977

Reserves

	Major Balance Grouping	FY17 Reserves Balance
1	Reserves for revenue declines	\$1,909,133
2		
3		
4		
5		
	Totals	\$1,909,133

Undocumented Funds

	Major Balance Grouping	FY17 Undocumented Balance
1		
2		
3		
4		
5		
	Totals	\$0

Report on General Operations Balance (Fund 136) in Excess of 12% of Fiscal Year Expenditures

**UW-Green Bay
Fiscal Year: 2017**

Total Balance	\$2,872,004	13.15%
Obligated		\$138,130
Planned		\$605,626
<i>subtotal: Obligated and Planned</i>		\$743,756
Designated	\$1,820,567	
Reserves	\$307,681	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	Soccer/Softball Complex	Planned	\$556,061	\$598,914	\$598,914	FY18
2	Campus Exterior Safety Railings	Obligated	\$138,130	\$138,130	\$138,130	FY18
3	Instructional Services Building Plaza Drain Replacement	Planned	\$49,565	\$67,470	\$67,470	FY18
4						
5						
6						
7						

Total	\$743,756
Obligated	\$138,130
Planned	\$605,626

Designated Funds

Major Balance Grouping

**FY17 Designated
Balance**

1	Distance Education Fees	\$914,366
2	User Fees	\$279,579
3	Conferences/Workshops	\$85,090
4	Service Centers	\$109,755
5	Remedial Education	\$118,932
6	Scholarships	\$21,275
7	National Nursing Marketing	\$185,677
8	Dietetic Internship Program	\$105,893

Totals \$1,820,567

Reserves

Major Balance Grouping

**FY17 Reserves
Balance**

1	Reserves for revenue declines	\$307,681
2		
3		

Totals \$307,681

Undocumented Funds

Major Balance Grouping

**FY17
Undocumented
Balance**

1		
2		
3		

Totals \$0

Report on Other Unrestricted Program Revenue Balance in Excess of 12% of Fiscal Year Expenditures

**UW-Green Bay
Fiscal Year: 2017**

Total Balance	\$1,227,450	13.15%
Obligated		\$0
Planned		\$900,000
<i>subtotal: Obligated and Planned</i>		<i>\$900,000</i>
Designated	\$267,450	
Reserves	\$60,000	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	Summer Camps	Planned	\$100,000	\$100,000	\$100,000	FY18
2	Bridge Funding for Enrollment Shortfall	Planned	\$800,000	\$800,000	\$800,000	FY18
3						
4						
5						
6						
7						
8						
9						

Total	\$900,000
Obligated	\$0
Planned	\$900,000

Designated Funds

Major Balance Grouping

**FY17 Designated
Balance**

1	Non-Credit Outreach Programs	\$264,942
2	Scholarships	\$2,508
3		
4		
5		
Totals		\$267,450

Reserves

Major Balance Grouping

**FY17 Reserves
Balance**

1	Reserves for revenue declines	\$60,000
2		
3		
4		
5		
Totals		\$60,000

Undocumented Funds

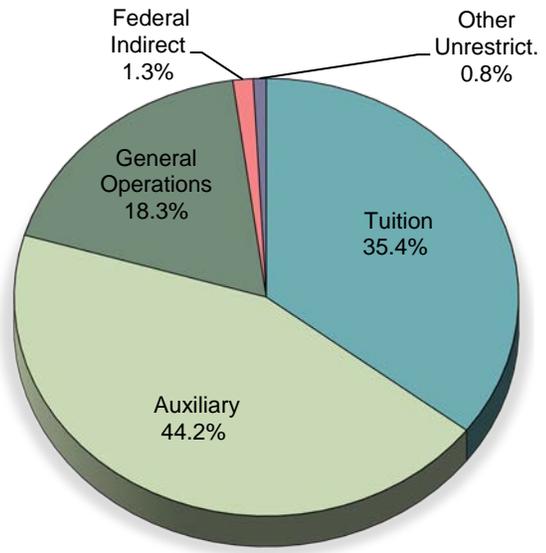
Major Balance Grouping

**FY17
Undocumented
Balance**

1		
2		
3		
4		
5		
Totals		\$0

UW-La Crosse FY 2017 PR Balances

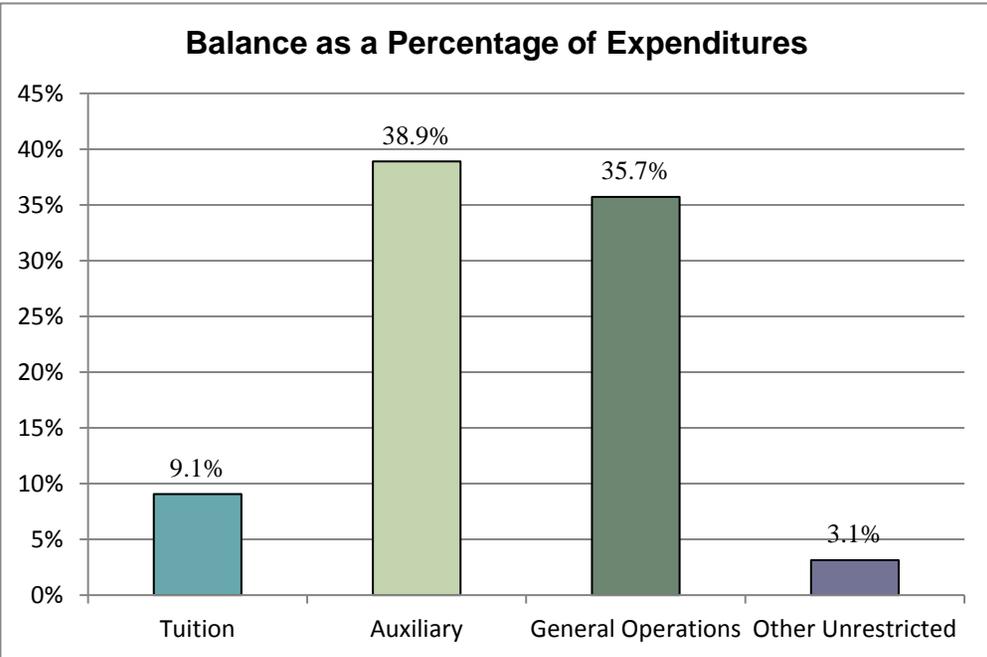
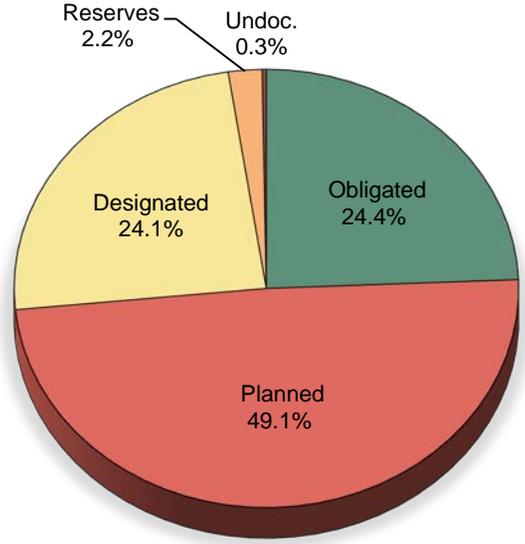
FY17 PR Balances by Category



PR Balances Highlights

- UW-La Crosse balances decreased by over \$1.3M in FY17 due to campus wide focus to spend down balances
- 73.5% of Total Unrestricted Balances are categorized as obligated (24.4%) or planned (49.1%)
- Auxiliary balances have grown from FY16 due to several delays with capital projects

FY17 PR Balances by Commitment Level



Summary of UW System Unrestricted PR Balances by Level of Commitment
 FY 2017 ending balances as categorized by institution reports

UW-La Crosse

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Tuition	2,172,304	4,515,231	3,382,699	341,287	0	10,411,521
Auxiliary	1,625,781	8,048,095	3,235,670	0	78,010	12,987,556
General Operations	3,325,724	1,459,823	452,546	142,495	0	5,380,588
Federal Indirect	0	230,298	0	151,891	0	382,189
Other Unrestricted	38,285	182,329	23,077	0	0	243,691
Total	7,162,094 24.4%	14,435,776 49.1%	7,093,992 24.1%	635,673 2.2%	78,010 0.3%	29,405,545 100.0%

	Tuition (131 and 189)	Auxiliary (128 and 228)	General Operations (136)	Other Unrestricted (xxx)	Subtotal	Federal Indirect (150)	Total Unrestricted
Total PR Appropriation Balances							
FY2015-16	11,982,572	8,409,522	9,439,592	193,253	30,024,939	699,524	30,724,463
FY2016-17	10,411,521	12,987,556	5,380,588	243,691	29,023,356	382,189	29,405,545
Change	-1,571,051 -13.1%	4,578,034 54.4%	-4,059,004 -43.0%	50,438 26.1%	-1,001,583 -3.3%	-317,335 -45.4%	-1,318,918 -4.3%
Total Expenditures							
FY2015-16	122,800,198	44,830,972	23,869,114	8,163,994	199,664,278	400,553	200,064,831
FY2016-17	114,871,959	33,388,417	15,065,121	7,779,379	171,104,876	410,813	171,515,689
Change	-7,928,239	-11,442,555	-8,803,993	-384,615	-28,559,402	10,260	-28,549,142
Balances as a Percentage of Expenditures							
FY2015-16	9.8%	18.8%	39.5%	2.4%			
FY2016-17	9.1%	38.9%	35.7%	3.1%			

Report on Auxiliary Operations Balance (Funds 128 and 228) in Excess of 12% of Fiscal Year Expenditures

**UW-La Crosse
Fiscal Year: 2017**

Total Balance	\$12,987,556	38.90%
Obligated		\$1,625,781
Planned		\$8,048,095
<i>subtotal: Obligated and Planned</i>		<i>\$9,673,876</i>
Designated	\$3,235,670	
Reserves	\$0	
Undocumented Funds	\$78,010	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	International Education Exchange Agreements with International Partners.	Obligated	\$86,047	\$177,596	\$177,596	FY18-FY22
2	#14I2B REC Addition - Solar Panels	Obligated	\$100,000	\$100,000	\$100,000	FY18
3	#14I2B REC Addition - furniture, fitness equipment, repurpose strength center	Obligated	\$625,001	\$625,001	\$625,001	FY18 & FY19
4	#14I2B REC Addition - debt service	Obligated	\$51,827	\$51,827	\$51,827	FY18
5	Fieldhouse Project - Design costs	Obligated	\$229,018	\$229,018	\$229,018	FY18
6	Fund 128 Encumbrances	Obligated	\$356,161	\$356,161	\$356,161	FY18
7	Financial Services Clearing accounts (Procard Upload)	Obligated	\$118,580	\$118,580	\$118,580	FY18
8	Logo License Scholarships	Obligated	\$15,000	\$15,000	\$15,000	FY18
9	FY18 La Crosse Medical Health Science Consortium (LMHSC) Debt Service	Obligated	\$44,147	\$44,147	\$44,147	FY18
10						
11	International Ed Study Abroad and Recruitment Initiatives	Planned	\$135,244	\$135,244	\$135,244	FY18-FY20
12	Student Organized Activities - programming, equipment and rate management	Planned	\$56,220	\$56,221	\$56,221	FY18 & FY19
13	REC Center - lobby furniture and signage	Planned	\$47,480	\$47,480	\$47,480	FY18

14	Child Care - Storage Shed, fencing and furniture	Planned	\$14,793	\$14,793	\$14,793	FY18 & FY19
15	Health Center - software renewal, copier	Planned	\$56,000	\$56,000	\$56,000	FY18
16	Residence Life - renovation projects and irrigation project	Planned	\$1,823,926	\$4,500,000	\$45,000,000	FY18-FY21
17	Stadium - study costs for turf and track replacement and resurface, suite finishing	Planned	\$37,000	\$37,000	\$37,000	FY18
18	Athletics uniforms purchase	Planned	\$19,000	\$19,000	\$19,000	FY18
19	Student Union - equipment, furniture and lighting	Planned	\$1,127,269	\$1,127,269	\$1,127,269	FY18 & FY19
20	Dining Services - repair projects, cash registers, equipment	Planned	\$925,519	\$1,925,519	\$1,925,519	FY18-FY21
21	Common Systems, UWS Chargebacks, Financial Services clearing inc annual maintenance, acquisition of new softwares, increase of chargebacks.	Planned	\$123,369	\$123,369	\$123,369	FY18
22	CBORD - additional licenses, manage cost to users, equipment	Planned	\$67,500	\$67,500	\$67,500	FY18 & FY19
23	Vending and Bookstore - suite purchase and strategic initiatives	Planned	\$146,000	\$146,000	\$146,000	FY18 & FY19
24	Bookstore - upgrades and material purchases	Planned	\$70,024	\$70,024	\$70,024	FY18
25	Textbook - book purchases	Planned	\$500,000	\$500,000	\$500,000	FY18 & FY19
26	LMHSC - FY18-FY19 increased operating costs and renewal of consortium agreement in FY20	Planned	\$1,275,000	\$1,275,000	\$1,275,000	FY18-FY20
27	FY18 La Crosse Medical Health Science Consortium (LMHSC) Debt Service	Planned	\$176,469	\$176,468	\$176,468	FY19-20
28	University initiatives - security cameras, Peoplesoft upgrade, Emergency notification	Planned	\$250,000	\$250,000	\$250,000	FY18-FY19
29	Parking - pay stations and lot repairs building	Planned	\$102,119	\$174,000	\$174,000	FY18
30	Surplus Management - Maint and Stores furnishings	Planned	\$18,000	\$18,000	\$18,000	FY18
31	Course Fees - academic equipment and supplies, lower fees to manage cost, equipment rental, climbing wall	Planned	\$77,163	\$108,092	\$108,092	FY18 & FY19
32	Tennis Court Project - design, project costs	Planned	\$1,000,000	\$1,000,000	\$1,000,000	FY18 & FY19
33						

Total	\$9,673,876
Obligated	\$1,625,781
Planned	\$8,048,095

Designated Funds

Major Balance Grouping

**FY17 Designated
Balance**

1	International Education programming and study abroad planning	\$37,285
2	School of Education and Educational Studies programming	\$2,543
3	Student Life programming	\$1,269
4	Segregated Fee Units	\$1,207,287
5	Residence life	\$898,663
6	Dining Services	\$511,766
7	Textbook Rental	\$326,966
8	LMHSC Facility and Operations	\$60,551
9	Administration and Finance Services and Operations	\$59,870
10	Special Course Fees	\$129,470
11		

Totals \$3,235,670

Reserves

Major Balance Grouping

**FY17 Reserves
Balance**

1		
2		

Totals \$0

Undocumented Funds

Major Balance Grouping

**FY17
Undocumented
Balance**

1	Administration and Financial Services and Operations	\$78,010
2		

Totals \$78,010

Report on General Operations Balance (Fund 136) in Excess of 12% of Fiscal Year Expenditures

**UW-La Crosse
Fiscal Year: 2017**

Total Balance	\$5,380,588	35.72%
Obligated		\$3,325,724
Planned		\$1,459,823
<i>subtotal: Obligated and Planned</i>		<i>\$4,785,547</i>
Designated	\$452,546	
Reserves	\$142,495	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	Academic Affairs staff positions and salary payments covered through PR balances (Institutional Research, Grad Studies, Testing, Camps, MBA Grad Asst, Math Instructor)	Obligated	\$330,862	\$330,862	\$330,862	FY18 & FY 19
2	Encumbrances	Obligated	\$63,678	\$63,678	\$63,678	FY18
3	All Agency and campus small project encumbrances	Obligated	\$611,088	\$611,088	\$611,088	FY18
4	All Agency and campus small projects in progress	Obligated	\$534,097	\$545,999	\$545,999	FY18
5	Faculty start up accounts - in year 2 of their start up for existing faculty for research, equipment, technology and professional development	Obligated	\$24,133	\$24,133	\$24,133	FY18
6	Scholarships	Obligated	\$2,000	\$2,000	\$2,000	FY18
7	#14I20 - Wittich Hall Project - moveable equipment	Obligated	\$1,741,594	\$1,741,594	\$1,741,594	FY18
8	Terminal Leave Payouts (ALRA)	Obligated	\$18,272	\$18,272	\$18,272	FY18
9						
10	Strategic Planning initiatives	Planned	\$64,300	\$64,300	\$64,300	FY18-FY20
11	Graduation events, career events, graduate workshops and graduate program planning	Planned	\$94,265	\$94,265	\$94,265	FY18 & FY 19
12	Enrollment areas operating deficits/planned spend down of balances	Planned	\$196,912	\$196,912	\$196,912	FY18 & FY 19
13	Testing Center - training, program materials and computers	Planned	\$26,310	\$26,310	\$26,310	FY18 & FY 19

14	Athletics event expenses and camp administration expenses	Planned	\$106,494	\$106,494	\$106,494	FY18 & FY 19
15	Police Diversion Program - AED, Training, First Aid Supplies, Firearm Maintenance	Planned	\$38,903	\$43,800	\$43,800	FY18-FY21
16	Academic Affairs equipment needs, production supplies, stats consultant, program stipends, travel, software, student help, professional development, accreditation support, search and screen costs.	Planned	\$292,137	\$292,137	\$292,137	FY18-FY20
17	Project and Equipment needs - Major and campus small projects, IT network upgrades, facilities equipment, property acquisition	Planned	\$640,502	\$34,087	\$34,087	FY18

Total	\$4,785,547
Obligated	\$3,325,724
Planned	\$1,459,823

Designated Funds

Major Balance Grouping

FY17 Designated Balance

1	Academic Affairs programs - graduate, Orientation, career services	\$29,859
2	Library Initiatives/programming	\$6,886
3	School of Education programming	\$9,235
4	Student Affairs programs/initiatives	\$49,317
5	Counseling and Testing Center	\$25,479
6	Athletics Equipment, Camps and Team Travel	\$65,454
7	University Communications	\$12,336
8	Financial Services	\$11,669
9	CBA Initiatives/Programming	\$92,515
10	CLS Initiatives/Programming	\$23,411
11	Health Professions	\$13,354
12	College of Science and Health programs and events	\$85,668
13	Rec Management and Exercise Sport Science programming and workshops	\$27,363
14		
15		

Totals	\$452,546
--------	-----------

Reserves

Major Balance Grouping

**FY17 Reserves
Balance**

1	Continuing Education Program development - reserve to protect against revenue declines and program enrollment fluctuations.	\$142,495
2		
3		
4		
5		

Totals \$142,495

Undocumented Funds

Major Balance Grouping

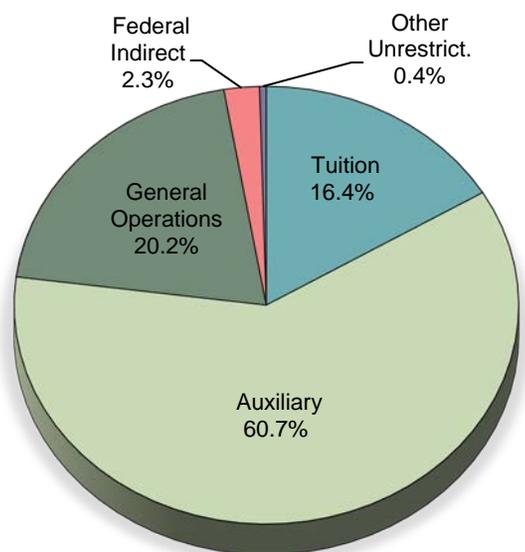
**FY17
Undocumented
Balance**

1		
2		
3		
4		
5		

Totals \$0

UW-Oshkosh FY 2017 PR Balances

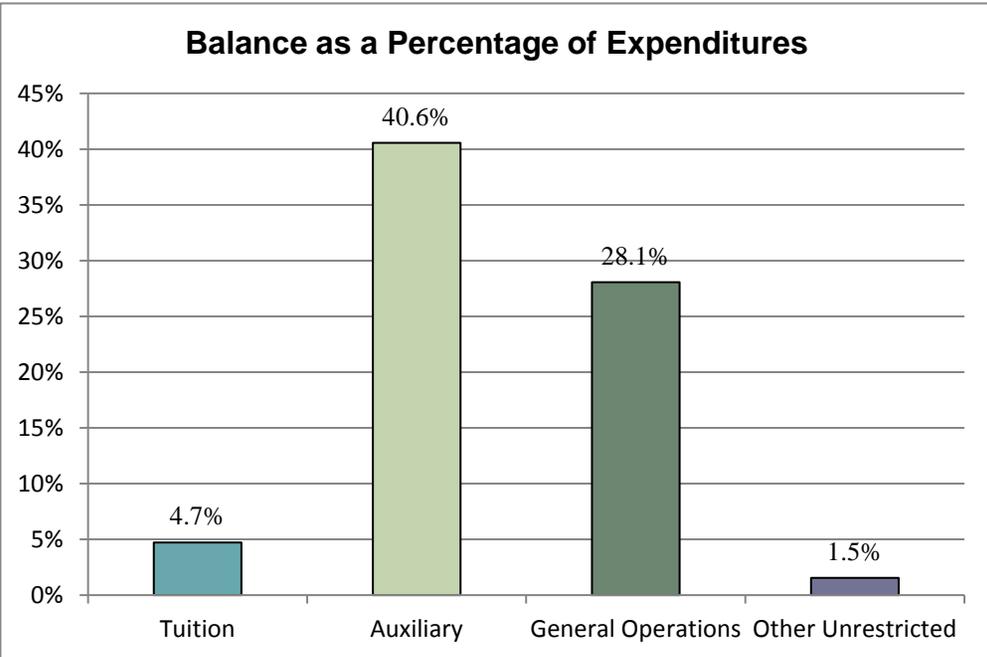
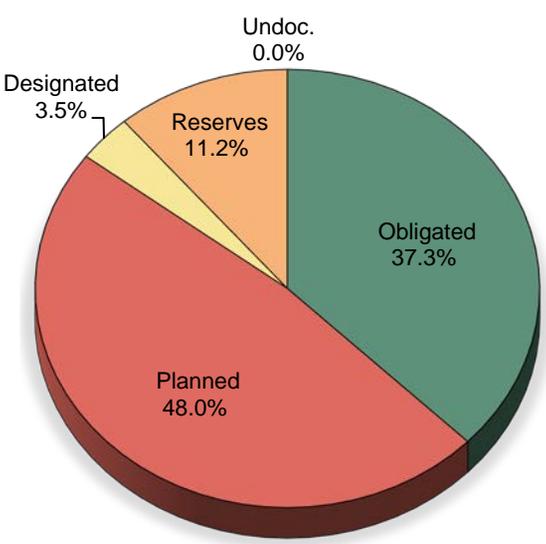
FY17 PR Balances by Category



PR Balances Highlights

- Detailed spending plans were not required for Tuition or Other Unrestricted funds as those balances were below 12% of expenditures
- 85.3% of total unrestricted balances are categorized as Obligated (37.5%) or Planned (48.0%)
- Total unrestricted balances have decreased \$10.6 million (27.3%) compared to prior year

FY17 PR Balances by Commitment Level



Summary of UW System Unrestricted PR Balances by Level of Commitment
 FY 2017 ending balances as categorized by institution reports

UW-Oshkosh

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Tuition	40,500	1,504,810	580,992	2,497,551	0	4,623,853
Auxiliary	8,211,411	8,704,612	176,079	0	0	17,092,102
General Operations	2,242,984	3,062,195	218,709	178,951	0	5,702,839
Federal Indirect	20,526	247,825	0	373,495	0	641,846
Other Unrestricted	0	0	12,851	107,738	0	120,589
Total	10,515,421 37.3%	13,519,442 48.0%	988,632 3.5%	3,157,735 11.2%	0 0.0%	28,181,230 100.0%

	Tuition (131 and 189)	Auxiliary (128 and 228)	General Operations (136)	Other Unrestricted (xxx)	Subtotal	Federal Indirect (150)	Total Unrestricted
Total PR Appropriation Balances							
FY2015-16	8,648,196	19,076,427	9,956,494	182,609	37,863,726	876,100	38,739,826
FY2016-17	4,623,853	17,092,102	5,702,839	120,589	27,539,383	641,846	28,181,229
Change	-4,024,343 -46.5%	-1,984,325 -10.4%	-4,253,655 -42.7%	-62,020 -34.0%	-10,324,343 -27.3%	-234,254 -26.7%	-10,558,597 -27.3%
Total Expenditures							
FY2015-16	97,440,984	41,923,750	18,084,748	7,449,063	164,898,545	1,310,747	166,209,292
FY2016-17	97,944,001	42,135,701	20,320,707	7,794,917	168,195,326	905,220	169,100,546
Change	503,017	211,951	2,235,959	345,854	3,296,781	-405,527	2,891,254
Balances as a Percentage of Expenditures							
FY2015-16	8.9%	45.5%	55.1%	2.5%			
FY2016-17	4.7%	40.6%	28.1%	1.5%			

Report on Auxiliary Operations Balance (Funds 128 and 228) in Excess of 12% of Fiscal Year Expenditures

UW-Oshkosh

Fiscal Year: 2017

Total Balance	\$17,092,102	40.56%
Obligated		\$8,211,411
Planned		\$8,704,612
<i>subtotal: Obligated and Planned</i>		<i>\$16,916,023</i>
Designated	\$176,079	
Reserves	\$0	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	Residence Life Debt Service, 1 Year	Obligated	\$3,876,861	\$3,876,861	\$3,876,861	FY18
2	Reeve Union Debt Service, 1 year	Obligated	\$1,070,628	\$1,070,628	\$1,070,628	FY18
3	University Dining Debt Service, 1 year	Obligated	\$334,480	\$334,480	\$334,480	FY18
4	Bookstore Debt Service, 1 year	Obligated	\$170,605	\$170,605	\$170,605	FY18
5	Parking Debt Service, 1 year	Obligated	\$595,124	\$595,124	\$595,124	FY18
6	Student Recreation & Wellness Center Debt Service, 1 year	Obligated	\$1,230,211	\$1,230,211	\$1,230,211	FY18
7	Childcare Center Debt Service, 1 year	Obligated	\$157,901	\$157,901	\$157,901	FY18
8	Sage Hall Debt Service, 1 year	Obligated	\$25,582	\$25,582	\$25,582	FY18
9	Outstanding Purchase Orders (Furniture, Fixtures & Equipment for Reeve, Blackhawk Commons Remodels, Furniture, Flooring and Equipment for Residence Halls)	Obligated	\$750,021	\$750,021	\$750,021	FY18
10	UWO Intramural Recreation Field Complex (Project #13A1M) Cash Commitment	Planned	\$1,293,000	\$1,293,000	\$1,293,000	FY18
11	Parking System Upgrade to License Plate Recognition hardware/software	Planned	\$141,150	\$141,150	\$141,150	FY18
12	Fletcher Hall Renovation Debt Service - 1 year	Planned	\$1,962,480	\$1,962,480	\$1,962,480	FY18
13	Residence Life Debt Service, 2nd Year	Planned	\$5,307,982	\$5,307,982	\$5,307,982	FY19

Total	\$16,916,023
Obligated	\$8,211,411
Planned	\$8,704,612

Designated Funds

	Major Balance Grouping	FY17 Designated Balance
1	COEHS Special Course Fees	\$66,559
2	COLS Special Course Fees	\$5,470
3	Green Fee (Segregated Fee Funded)	\$104,051
4		
5		
	Totals	\$176,079

Reserves

	Major Balance Grouping	FY17 Reserves Balance
1		
2		
3		
4		
5		
	Totals	\$0

Undocumented Funds

	Major Balance Grouping	FY17 Undocumented Balance
1		
2		
3		
4		
5		
	Totals	\$0

Report on General Operations Balance (Fund 136) in Excess of 12% of Fiscal Year Expenditures

**UW-Oshkosh
Fiscal Year: 2017**

Total Balance	\$5,702,839	28.06%
Obligated		\$2,242,984
Planned		\$3,062,195
<i>subtotal: Obligated and Planned</i>		\$5,305,179
Designated	\$218,709	
Reserves	\$178,951	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	EAB Student Success Collaborative, IT Forum, COE Forum, Business Affairs Forum, Student Affairs Forum, Academic Affairs Forum, Advancement Forum, Academic Performance Solutions	Obligated	\$494,530	\$494,530	\$494,530	FY18
2	Royall & Company - Student Marketing Programs	Obligated	\$497,336	\$497,336	\$497,336	FY18
3	College of Business Lease for Green Bay MBA Center	Obligated	\$96,518	\$96,518	\$96,518	FY18
4	College of Business Lease for Appleton MBA Building	Obligated	\$186,165	\$186,165	\$186,165	FY18
5	Oracle Database Cloud Service	Obligated	\$751,068	\$751,068	\$751,068	FY18
6	Outstanding Purchase Orders (IT equipment, Digital media Buys, Service Contracts)	Obligated	\$217,367	\$217,367	\$217,367	FY18
7	Student Titan Employment Program (STEP). These student employment positions not only maximize the education of our students through high-impact on-campus internships, they are providing much needed support to campus departments.	Planned	\$500,000	\$500,000	\$500,000	FY18

8	Titan National Scholarship	Planned	\$1,200,000	\$1,200,000	\$1,200,000	FY18
9	College of Nursing Lab Equipment Needs	Planned	\$463,043	\$463,043	\$463,043	FY18
10	College of Nursing Replacement: laptops & monitors (20), lab desktops & monitors (41), lab multifunction printers (2), and color copier.	Planned	\$234,001	\$234,001	\$234,001	FY18
11	College of Nursing Surveillance System for Labs	Planned	\$10,989	\$10,989	\$10,989	FY18
12	Study Abroad Trips	Planned	\$122,210	\$122,210	\$122,210	FY18
13	Sustainability Institute	Planned	\$120,000	\$120,000	\$120,000	FY18
14	ALRA/Terminal Leave Payout Commitments	Planned	\$109,402	\$109,402	\$109,402	FY18
15	Digital Advertising Campaign	Planned	\$250,000	\$250,000	\$250,000	FY18
16	Marketing Module - Recruitment	Planned	\$52,550	\$52,550	\$52,550	FY18
17						

Total	\$5,305,179
Obligated	\$2,242,984
Planned	\$3,062,195

Designated Funds

Major Balance Grouping

**FY17 Designated
Balance**

1	Science Olympiad	\$9,809
2	CON Special Course Fees	\$194,982
3	COLS Special Course/Lab Fees	\$13,918
4		
5		
Totals		\$218,709

Reserves

Major Balance Grouping

**FY17 Reserves
Balance**

1	Campus Reserves	\$178,951
2		
3		
4		
5		
Totals		\$178,951

Undocumented Funds

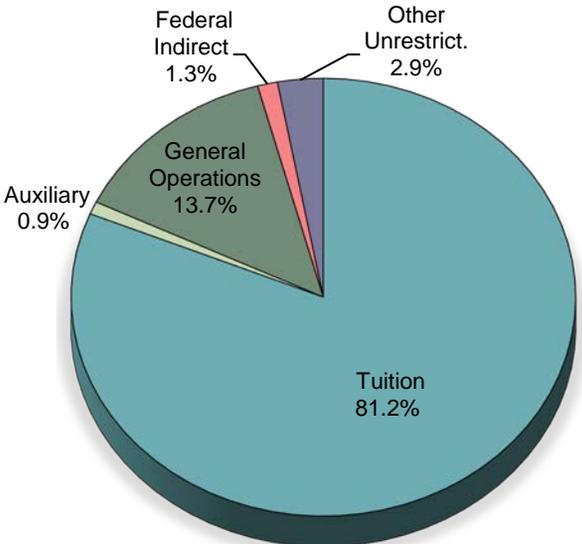
Major Balance Grouping

**FY17
Undocumented
Balance**

1		
2		
3		
4		
5		
Totals		\$0

UW-Parkside FY 2017 PR Balances

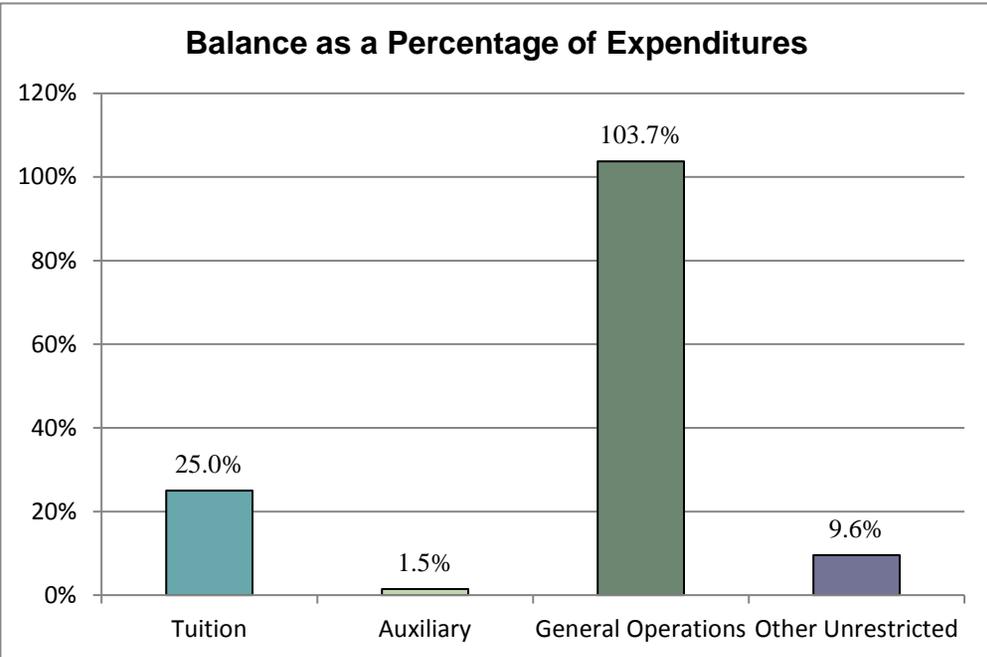
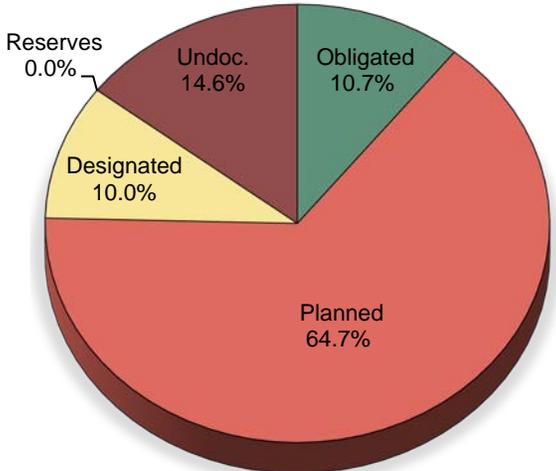
FY17 PR Balances by Category



PR Balances Highlights

- Detailed spending plans were not required for Auxiliary Operations or Other Unrestricted funds as those balances were less than 12% of expenditures
- 75.4% of total unrestricted balances were categorized as either Obligated (10.7%) or Planned (64.7%)
- Total unrestricted balances decreased \$688 thousand (4.6%) compared to the prior year

FY17 PR Balances by Commitment Level



Summary of UW System Unrestricted PR Balances by Level of Commitment
 FY 2017 ending balances as categorized by institution reports

UW-Parkside

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Tuition	1,275,025	8,270,635	80,613	0	1,888,993	11,515,266
Auxiliary	0	0	132,540	0	0	132,540
General Operations	227,400	733,315	975,101	0	0	1,935,816
Federal Indirect	0	0	0	0	181,582	181,582
Other Unrestricted	17,000	166,120	231,041	0	0	414,161
Total	1,519,425 10.7%	9,170,070 64.7%	1,419,295 10.0%	0 0.0%	2,070,575 14.6%	14,179,365 100.0%

	Tuition (131 and 189)	Auxiliary (128 and 228)	General Operations (136)	Other Unrestricted (xxx)	Subtotal	Federal Indirect (150)	Total Unrestricted
Total PR Appropriation Balances							
FY2015-16	12,871,536	-305,455	1,735,889	415,046	14,717,016	150,542	14,867,558
FY2016-17	11,515,266	132,540	1,935,816	414,161	13,997,783	181,582	14,179,365
Change	-1,356,270 -10.5%	437,995 -143.4%	199,927 11.5%	-885 -0.2%	-719,233 -4.9%	31,040 20.6%	-688,193 -4.6%
Total Expenditures							
FY2015-16	45,354,594	8,893,379	1,468,754	3,997,966	59,714,693	48,054	59,762,747
FY2016-17	46,062,295	9,104,826	1,865,981	4,326,762	61,359,864	43,566	61,403,430
Change	707,701	211,447	397,227	328,796	1,645,171	-4,488	1,640,683
Balances as a Percentage of Expenditures							
FY2015-16	28.4%	-3.4%	118.2%	10.4%			
FY2016-17	25.0%	1.5%	103.7%	9.6%			

Report on Tuition Balance (Funds 131 and 189) in Excess of 12% of Fiscal Year Expenditures

**UW-Parkside
Fiscal Year: 2017**

Total Balance	\$11,515,266	25.00%
Obligated		\$1,275,025
Planned		\$8,270,635
<i>subtotal: Obligated and Planned</i>		<i>\$9,545,660</i>
Designated	\$80,613	
Reserves	\$0	
Undocumented Funds	\$1,888,993	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	AACSB Membership and Accreditation Fee	Obligated	\$9,250	\$9,250	\$9,250	
2	Leadership Programs	Planned	\$425	\$425	\$425	FY18
3	Rotary Membership	Planned	\$2,400	\$2,400	\$2,400	FY18
4	Power Up College Fair	Planned	\$1,500	\$1,500	\$1,500	FY18
5	Athletic Director Search	Planned	\$18,032	\$18,032	\$18,032	FY18
	Athletic Compliance Initiatives/Workshop					
6	Consultant/Supplies/Compliance Automation	Obligated	\$7,500	\$7,500	\$7,500	FY18
7	Molinaro Room Upgrades	Obligated	\$12,633	\$12,633	\$12,633	FY18
8	SCALA Installation	Obligated	\$1,208	\$1,208	\$1,208	FY18
9	Upgrade - screen, projector, podium	Obligated	\$10,067	\$10,067	\$10,067	FY18
10	WebEx Spark Solution Purchase and Implementation	Obligated	\$45,000	\$45,000	\$45,000	FY18
11	Migrate UWP Databases to FASTAR	Planned	\$31,613	\$31,613	\$31,613	FY18
12	Wireless Expansion/Replacement on Campus	Planned	\$288,406	\$288,406	\$288,406	FY19
13	Equipment, Integration & Installation for Communication Arts	Obligated	\$19,937	\$19,937	\$19,937	FY18
14	1142 Replacement Access Points for Molinaro Hall per the attached quote	Obligated	\$13,403	\$13,403	\$13,403	FY18
15	REN-ISAC FY18 Institutional Membership; Tier: All Other	Obligated	\$1,250	\$1,250	\$1,250	FY18
16	Door Lock equipment and installation for Wyllie	Obligated	\$5,668	\$5,668	\$5,668	FY18

17	Integration & Programming for Wyllie Hall Room	Obligated	\$6,296	\$6,296	\$6,296	FY18
18	ImageNow Development Server/Open DNS Network Security	Planned	\$75,168	\$75,168	\$75,168	FY18
20	Library Group Study Presentation Room	Planned	\$10,995	\$10,995	\$10,995	FY19
21	Wireless Access Points for Molinaro Hall Group B per the attached quote	Obligated	\$29,996	\$29,996	\$29,996	FY18
22	SAS Education Analytical Suite	Obligated	\$800	\$800	\$800	FY18
23	Equipment, Integration & Installation for Molinaro Hall	Obligated	\$11,049	\$11,049	\$11,049	FY18
24	Kaizen Efficiency Process Workshop	Planned	\$12,000	\$12,000	\$12,000	FY18
25	Fit Gap Analysis Consultation for PeopleSoft Advising Module implementation	Planned	\$7,000	\$7,000	\$7,000	FY18
26	Salaries - LGBTQ Resource Center, Military & Veteran Support Center	Obligated	\$5,160	\$5,160	\$5,160	FY18
27	Office Renovations (Paint/Furniture)/Bridge Window Replacement	Planned	\$20,500	\$20,500	\$20,500	FY18
28	Replacement of Terrace Furniture	Planned	\$48,100	\$48,100	\$48,100	FY18
29	College of Arts & Humanities update The Rita	Obligated	\$11,282	\$11,282	\$11,282	FY18
30	College of Arts & Humanities S & E Professional Development	Obligated	\$2,538	\$2,538	\$2,538	FY18
31	Faculty Start Up Fund	Obligated	\$33,213	\$33,213	\$33,213	FY18-19
32	Integrated Science and Applied Learning Lab	Obligated	\$571,801	\$929,911	\$929,911	FY18
33	Renovated Greenquist Hall	Obligated	\$90,000	\$161,000	\$161,000	FY19
34	Faculty Development	Planned	\$33,958	\$45,000	\$45,000	FY18
35	Student Research Support	Planned	\$2,000	\$10,000	\$10,000	FY18
36	College for Kids	Planned	\$3,467	\$7,000	\$7,000	FY18
37	Master's degree programs - Biology, Health Exercise, Psychology	Planned	\$5,000	\$20,000	\$20,000	FY19
38	IPads for Instructional and Research Use	Planned	\$3,346	\$3,346	\$3,346	FY18
39	Replacement Desktops for Molinaro Lab	Planned	\$2,945	\$2,945	\$2,945	FY18
40	Faculty/Staff Conference Travel	Planned	\$1,300	\$1,300	\$1,300	FY 17-18
41	Renovation/equipment replacement for Dance Studio and activity classes	Planned	\$1,000	\$1,000	\$1,000	FY 17-18
42	Award/Scholarship Plaques	Planned	\$400	\$400	\$400	FY 17
43	Supplies and Expenses	Planned	\$1,600	\$17,600	\$17,600	FY18
44	Faculty development	Planned	\$850	\$850	\$850	FY18
45	Projector in Greenquist	Obligated	\$1,851	\$1,851	\$1,851	FY18
46	Asphalt Crack Filling/Repair	Obligated	\$84,495	\$84,495	\$84,495	FY18
47	Concrete Repair	Obligated	\$28,217	\$28,217	\$28,217	FY18
48	Facilities Building Flooring Replacement	Obligated	\$27,273	\$27,273	\$27,273	FY18
49	Sanitary Sewer Repairs	Obligated	\$24,000	\$24,000	\$24,000	FY18
50	Recruiting and Marketing Plan	Planned	\$103,000	\$103,000	\$103,000	FY18

51	Salary swap authorizations to be used for Greenquist lab/classroom upgrades	Planned	\$86,443	\$86,443	\$86,443	FY18
52	Faculty/staff positions - Outreach Mgr	Obligated	\$42,500	\$42,500	\$42,500	FY18
53	Program Director	Obligated	\$106,068	\$106,068	\$106,068	FY18
54	Squad Laptop Purchase	Planned	\$7,167	\$7,167	\$7,167	FY18
55	Taser Service Contract	Obligated	\$2,786	\$2,786	\$2,786	FY18
56	Radio Purchase	Planned	\$33,510	\$33,510	\$33,510	FY18
57	Bulletproof Vests (9 Officers)	Planned	\$8,110	\$8,110	\$8,110	FY 18-21
58	Ammunition Rifle and Handgun	Planned	\$1,786	\$1,786	\$1,786	FY18
59	Security Cameras and licenses	Obligated	\$3,192	\$3,192	\$3,192	FY18
60	Evidence Camera	Planned	\$1,794	\$1,794	\$1,794	FY18
61	Professional Development and Equipment	Planned	\$54,776	\$54,776	\$54,776	FY18
62	Campus planning	Planned	\$2,000	\$2,000	\$2,000	FY18
63	Project Improvement Contingency	Planned	\$81,832	\$81,832	\$81,832	FY18
64	Classroom technology and furnishings	Planned	\$70,270	\$160,566	\$160,566	FY18-20
65	Professional development, training and travel for faculty and staff.	Obligated	\$10,813	\$10,813	\$10,813	FY18
66	Professional development and start-up funds for faculty.	Obligated	\$35,780	\$35,780	\$35,780	FY18-19
67	Professional development for Academic Staff.	Planned	\$1,644	\$1,644	\$1,644	FY18
68	Texting Service for Admissions	Obligated	\$20,000	\$20,000	\$20,000	FY18
69	Subscription to Economic Modeling LLC of Moscow, Idaho (Emsi) market research tool.	Planned	\$15,000	\$15,000	\$15,000	FY18
70	Faculty Stipends for Kaizen planning.	Planned	\$10,000	\$10,000	\$10,000	FY18
71	Centrally Funded Initiatives					
72	3 Yrs - Operating Expenses not Base Budgeted	Planned	\$633,000	\$633,000	\$633,000	FY18-20
73	3 Years - Funding for Structural Deficit	Planned	\$3,162,000	\$3,162,000	\$3,162,000	FY18-20
74	Campus Initiatives funded Centrally	Planned	\$2,276,299	\$2,276,299	\$2,276,299	FY18
75	Deferred Maintenance	Planned	\$300,000	\$300,000	\$300,000	FY18
76	Funding for Academic Plan	Planned	\$400,000	\$400,000	\$400,000	FY18
77	Funding for Pay Plan	Planned	\$400,000	\$400,000	\$400,000	FY18
78	UW Parkside Anniversay	Planned	\$50,000	\$400,000	\$400,000	FY18
79						

Total	\$9,545,660
Obligated	\$1,275,025
Planned	\$8,270,635

Designated Funds

Major Balance Grouping

**FY17 Designated
Balance**

1	Marketing/Recruitment	\$71,913
2	Salary Swap Lab/Classroom	\$8,700
3		
4		
5		
Totals		\$80,613

Reserves

Major Balance Grouping

**FY17 Reserves
Balance**

1		
2		
3		
4		
5		
Totals		\$0

Undocumented Funds

Major Balance Grouping

**FY17
Undocumented
Balance**

1	Miscellaneous	\$1,888,993
2		
3		
4		
5		
Totals		\$1,888,993

Report on General Operations Balance (Fund 136) in Excess of 12% of Fiscal Year Expenditures

**UW-Parkside
Fiscal Year: 2017**

Total Balance	\$1,935,816	103.74%
Obligated		\$227,400
Planned		\$733,315
<i>subtotal: Obligated and Planned</i>		\$960,715
Designated	\$975,101	
Reserves	\$0	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	App Factory Various Projects	Obligated	\$22,750	\$34,750	\$34,750	FY17-18
2	June Orientation Sessions	Obligated	\$14,500	\$14,500	\$14,500	FY18
3	Staff Salary - Orientation	Obligated	\$77,000	\$77,000	\$77,000	FY18
4	Staff Salary - Registrar	Obligated	\$108,150	\$108,150	\$108,150	FY18
5	Orientation Leader Salaries - July/August	Obligated	\$5,000	\$5,000	\$5,000	FY18
6	July/August Orientation Sessions	Planned	\$20,000	\$20,000	\$20,000	FY18
7	Orientation Intern	Planned	\$5,000	\$5,000	\$5,000	FY18
8	Project Improvement Contingency	Planned	\$162,215	\$162,215	\$716,490	FY 2020
9	Required Department Contribution to Start-up Funds for New Faculty	Planned	\$2,500	\$2,500	\$2,500	F17-Y19
10	Faculty/staff Conference Travel and Development	Planned	\$3,000	\$3,000	\$3,000	FY 17-19
11	Exercise Science Lab Repairs and Upgrades	Planned	\$8,600	\$8,600	\$8,600	FY 17-19
12	Development and launch of new Sport Management Master's Program	Planned	\$4,500	\$4,500	\$4,500	FY 17-19
13	Computer/Laptop Upgrade	Planned	\$4,500	\$4,500	\$4,500	FY 20
14	Distance Education Strategic Plan	Planned	\$523,000	\$523,000	\$523,000	FY18-20

Total	\$960,715
Obligated	\$227,400
Planned	\$733,315

Designated Funds

	Major Balance Grouping	FY17 Designated Balance
1	Beijing Information Science & Technology University (BISTU)	\$8,848
2	Root River Environmental	\$6,631
3	MBA Consortium	\$138,797
4	Orientation Fees	\$110,810
5	Charter School Office	\$13,312
6	Distance Education	\$532,218
7	Enrollment Fees	\$164,485
8		
	Totals	\$975,101

Reserves

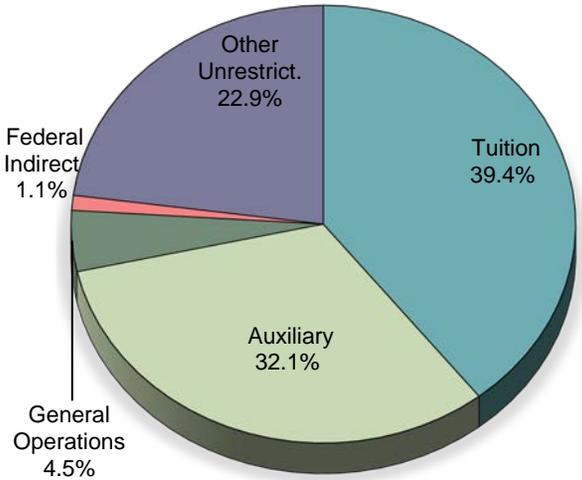
	Major Balance Grouping	FY17 Reserves Balance
1		
2		
3		
4		
5		
	Totals	\$0

Undocumented Funds

	Major Balance Grouping	FY17 Undocumented Balance
1		
2		
3		
4		
5		
	Totals	\$0

UW-Platteville FY 2017 PR Balances

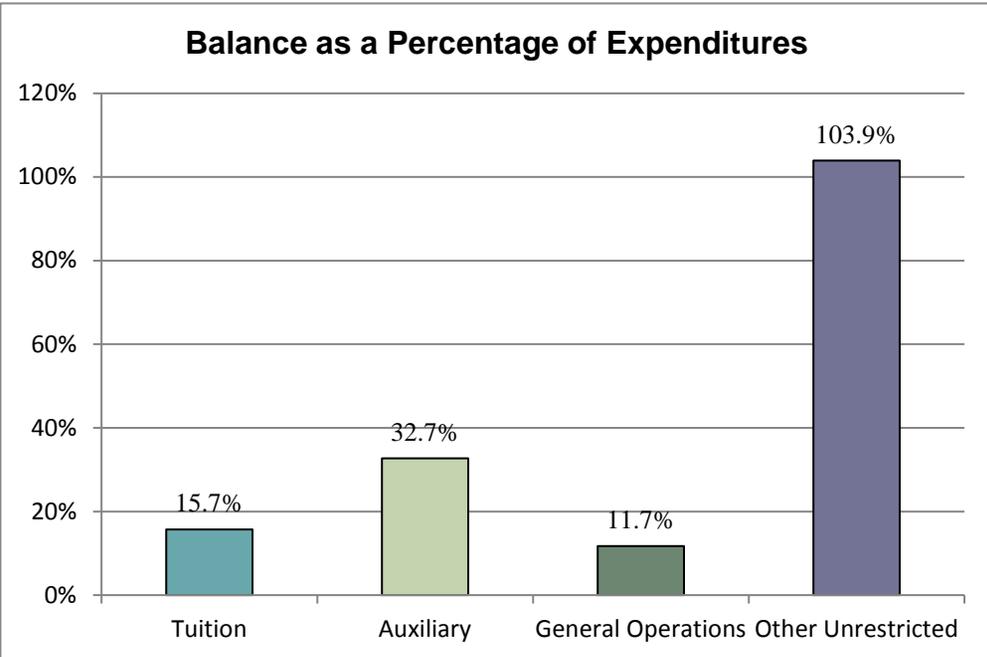
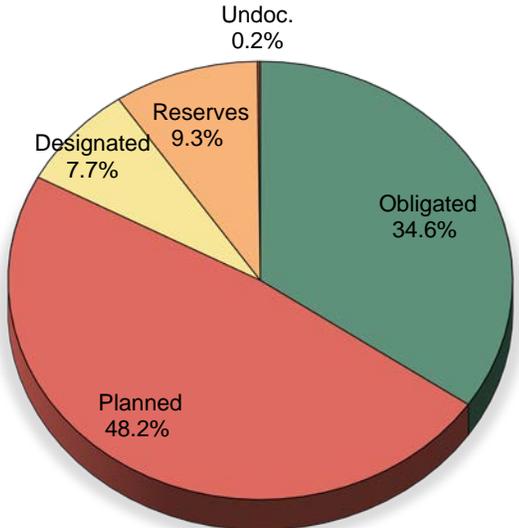
FY17 PR Balances by Category



PR Balances Highlights

- Tuition balances decreased \$2.1 million (14.1%)
- Total unrestricted balances decreased \$101,000 (0.3%)
- 82.8% of total unrestricted balances were categorized as either obligated (34.6%) or planned (48.2%)

FY17 PR Balances by Commitment Level



Summary of UW System Unrestricted PR Balances by Level of Commitment
 FY 2017 ending balances as categorized by institution reports

UW-Platteville

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Tuition	392,487	9,043,601	256,301	3,000,000	0	12,692,389
Auxiliary	3,526,935	5,979,045	835,090	0	0	10,341,070
General Operations	63,292	0	1,385,204	0	0	1,448,496
Federal Indirect	9,300	285,453	0	0	59,899	354,652
Other Unrestricted	7,146,215	226,988	1,059	0	0	7,374,262
Total	11,138,229 34.6%	15,535,087 48.2%	2,477,654 7.7%	3,000,000 9.3%	59,899 0.2%	32,210,869 100.0%

	Tuition (131 and 189)	Auxiliary (128 and 228)	General Operations (136)	Other Unrestricted (xxx)	Subtotal	Federal Indirect (150)	Total Unrestricted
Total PR Appropriation Balances							
FY2015-16	14,783,940	9,282,735	772,603	7,115,405	31,954,683	358,004	32,312,687
FY2016-17	12,692,389	10,341,070	1,448,496	7,374,262	31,856,217	354,652	32,210,869
Change	-2,091,551 -14.1%	1,058,335 11.4%	675,893 87.5%	258,857 3.6%	-98,466 -0.3%	-3,352 -0.9%	-101,818 -0.3%
Total Expenditures							
FY2015-16	80,189,421	31,375,137	12,228,749	6,312,595	130,105,902	128,977	130,234,879
FY2016-17	80,834,564	31,608,178	12,348,238	7,095,339	131,886,319	123,200	132,009,519
Change	645,143	233,041	119,489	782,744	1,780,417	-5,777	1,774,640
Balances as a Percentage of Expenditures							
FY2015-16	18.4%	29.6%	6.3%	112.7%			
FY2016-17	15.7%	32.7%	11.7%	103.9%			

Report on Tuition Balance (Funds 131 and 189) in Excess of 12% of Fiscal Year Expenditures

**UW-Platteville
Fiscal Year: 2017**

Total Balance	\$12,692,389	15.70%
Obligated		\$392,487
Planned		\$9,043,601
<i>subtotal: Obligated and Planned</i>		\$9,436,088
Designated	\$256,301	
Reserves	\$3,000,000	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	Encumbrances for supply and capital purchases across campus. Three years of planned spending from Differential Tuition funds (planned spending exceeds revenue projections). Areas funded by differential tuition include Retention, Introduction to College Life, Mental Health, Career Center and Pioneer Academic Center for	Obligated	\$392,487	\$392,487	\$392,487	FY18
2	Community Engagement. Planned spending from the Distance Learning Center (planned spending exceeds revenue projections). Covers faculty and staff	Planned	\$498,900	\$498,900	\$498,900	FY18-FY20
3	compensation and operational expenses.	Planned	\$568,326	\$568,326	\$568,326	FY18-FY20
4	STEM Discovery program (July 23-29, 2017) & wrap around services.	Planned	\$117,000	\$117,000	\$117,000	FY18
5	Carryforward of UW-System Grants (AR-WITAG grants, CERANR grant & Growth Agenda Grant)	Planned	\$79,217	\$79,217	\$79,217	FY18

6	Planned remaining spending for card access & door security projects, outdoor wireless project, radio repeater upgrades, new stadium sound system and improve campus lighting project.	Planned	\$199,823	\$199,823	\$199,823	FY18
7	Planned remaining spending for leadership development project & crucial conversations onsite training.	Planned	\$50,039	\$50,039	\$50,039	FY18-FY20
8	Planned spending for identity management upgrade, enrollment and financial aid modeling project, maintenance of Page Up software, digitizing URSTPC process, digital signage, tech upgrades to PIC, teaching with technology, and UGR online training module.	Planned	\$158,472	\$158,472	\$158,472	FY18
4	Planned remaining spending for swine center repairs, upgrades to financial aid office, update library bathrooms, theatre stage improvements, Velzy curtain replacement, equipment shed, grad studies office remodel, diversity project, library refresh project, chemistry inventory project, roof assessments and Giese building/office remodel.	Planned	\$384,614	\$384,614	\$384,614	FY18
10	Planned spending for various classroom and lab remodels; including technology upgrades.	Planned	\$357,731	\$357,731	\$357,731	FY18
11	Planned spending for upgrading storage area network.	Planned	\$616,011	\$616,011	\$616,011	FY18
12	Planned spending for WASI (Wisconsin Agricultural Stewardship Initiative).	Planned	\$180,221	\$180,221	\$180,221	FY18
13	Planned one-time spending from the College of Business, Industry, Life Science and Agriculture (BILSA) for lab equipment, supplies, computers, software, professional development, curriculum development, travel for internship site visit and project positions.	Planned	\$386,176	\$386,176	\$386,176	FY18
14	Planned one-time spending from the College of Engineering, Mathematics and Science (EMS) for student labor, grant startups & MSCS program support.	Planned	\$196,647	\$196,647	\$196,647	FY18
15	Planned one-time spending from the College of Liberal Arts and Education (LAE) for carpet removal, police conference, professional development, student success initiatives, overload payments, and advising.	Planned	\$127,494	\$127,494	\$127,494	FY18

16	Planned spending from the School of Education cost recovery program (planned spending exceeds revenue projections). Covers faculty and staff compensation and operational expenses.	Planned	\$74,300	\$74,300	\$74,300	FY18
17	Planned spending for temporary hire of instructional positions, pilot professional advising program, ABET coordinator, CDO admin support and corporate relations coordinator. (salary + fringe)	Planned	\$816,076	\$816,076	\$816,076	FY18-FY19
18	Planned spending to develop a strategic climate and culture plan, provide training, and implement an assessment plan. Includes the salary and fringe for trainers & a coordinator.	Planned	\$419,773	\$419,773	\$419,773	FY18-FY19
19	Planned spending for services for data analytics to support student success and academic planning for 10/01/17-09/29/19	Planned	\$476,700	\$476,700	\$476,700	FY18-FY20
20	Fund 189 - planned spending to be invested to create new programming and to expand current programming over the next three fiscal years.	Planned	\$54,808	\$54,808	\$54,808	FY18-FY20
21	Planned spending for TSI incentive grants for Fall 2017 and needs based financial aid.	Planned	\$564,575	\$564,575	\$564,575	FY18
22	Planned spending for student success coaches and retention specialists (8 positions) for 2 years. (salary + fringe)	Planned	\$1,008,000	\$1,008,000	\$1,008,000	FY18-FY19
23	Planned spending for a monitoring system for the university work plan, Hanover research, washers & dryers for Stadium, and marketing statement of work.	Planned	\$210,000	\$210,000	\$210,000	FY18-FY19
24	Funding to offset a portion of our FY18 estimated tuition target shortfall.	Planned	\$1,498,698	\$1,498,698	\$1,498,698	FY18
25						
26						
27						
28						

Total	\$9,436,088
Obligated	\$392,487
Planned	\$9,043,601

Designated Funds

Major Balance Grouping

**FY17 Designated
Balance**

1	Fund 131 - Differential Tuition	\$256,301
2		
3		
4		
5		
Totals		\$256,301

Reserves

Major Balance Grouping

**FY17 Reserves
Balance**

1	Institutional Core Model Reserves	\$3,000,000
2		
3		
4		
5		
Totals		\$3,000,000

Undocumented Funds

Major Balance Grouping

**FY17
Undocumented
Balance**

1		
2		
3		
4		
5		
Totals		\$0

Report on Auxiliary Operations Balance (Funds 128 and 228) in Excess of 12% of Fiscal Year Expenditures

**UW-Platteville
Fiscal Year: 2017**

Total Balance	\$10,341,070	32.72%
Obligated		\$3,526,935
Planned		\$5,979,045
<i>subtotal: Obligated and Planned</i>		<i>\$9,505,980</i>
Designated	\$835,090	
Reserves	\$0	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	Fund 128/228 encumbrances for supply and capital purchases	Obligated	\$804,986	\$804,986	\$804,986	FY18
2	One year of lease payments for rental of premises for Kristine's Café inside Rountree Commons. This includes the payoff of the remaining scheduled amortized costs for equipment with the August 1, 2017 payment.	Obligated	\$249,165	\$249,165	\$249,165	FY18
3	One year of lease payments for rental of premises for housing and dining facilities in Bridgeway Commons.	Obligated	\$2,472,784	\$2,472,784	\$2,472,784	FY18
4	Planned spending for PCI compliance software maintenance fees in the University Box Office	Planned	\$3,420	\$3,420	\$10,300	FY18
5	Planned spending for new fitness equipment in the Williams Fieldhouse expansion	Planned	\$285,000	\$285,000	\$285,000	FY19
6	Planned spending for security upgrades to the Cashier's office	Planned	\$200,081	\$200,081	\$200,081	FY19
7	Debt Service on Stadium/Athletic fields beyond the one year of obligated funds in Other Unrestricted Funds	Planned	\$86,039	\$86,039	\$86,039	FY19

8	Debt Service on the Markee Pioneer Student Center beyond the one year of obligated funds in Other Unrestricted Funds	Planned	\$938,822	\$938,822	\$938,822	FY19
9	Planned spending in the Markee Pioneer Student Center for things like technology and furniture for meeting rooms, remodeling the Pioneer Involvement Center, and other miscellaneous projects.	Planned	\$459,700	\$459,700	\$459,700	FY18-FY19
10	Planned spending in excess of revenues for the Markee Pioneer Student Center	Planned	\$180,824	\$180,824	\$180,824	FY18-FY20
11	Planned spending in excess of revenues for Event Services	Planned	\$26,800	\$26,800	\$26,800	FY19-FY20
12	Planned spending in excess of revenues for the Parking fund	Planned	\$517,925	\$517,925	\$517,925	FY18-FY20
13	Planned spending in the Pioneer Activity Center to replace all 24 of the spinning class bikes	Planned	\$75,900	\$120,000	\$120,000	FY19
14	Planned spending in excess of revenues to spend down balances in the allocable student segregated fees.	Planned	\$149,870	\$149,870	\$149,870	FY18-FY19
15	Planned spending in excess of revenues for Fee Services Charges	Planned	\$97,920	\$97,920	\$97,920	FY18-FY20
16	Planned spending in excess of revenues for Stadium/Fields operations	Planned	\$21,700	\$21,700	\$21,700	FY18-FY20
17	Planned spending in excess of revenues for the Children's Center	Planned	\$37,200	\$37,200	\$37,200	FY18-FY20
18	Planned spending in excess of revenues for Intercollegiate Athletics	Planned	\$66,548	\$66,548	\$66,548	FY19-FY20
19	Planned spending in excess of revenues for Sustainability/Transportation	Planned	\$28,000	\$28,000	\$28,000	FY18-FY20
20	Planned spending in excess of revenues for Student Health & Counseling Services	Planned	\$87,500	\$87,500	\$87,500	FY18-FY20
21	Planned spending in excess of revenues for the Textbook Rental Center	Planned	\$164,215	\$164,215	\$164,215	FY18-FY19
22	Lease payments for three years beyond the one year categorized as obligated for rental of premises for Kristine's Café inside Rountree Commons.	Planned	\$58,592	\$58,592	\$58,592	FY19-FY21
23	Lease payments for three years beyond the one year categorized as obligated for rental of premises for housing and dining facilities in Bridgeway Commons.	Planned	\$2,435,989	\$2,435,989	\$2,435,989	FY19-FY21
24	Planned spending in excess of revenues for Car Fleet	Planned	\$57,000	\$57,000	\$57,000	FY18 & FY20
25						

Total
Obligated
Planned

\$9,505,980
\$3,526,935
\$5,979,045

Designated Funds

	Major Balance Grouping	FY17 Designated Balance
1	Dining Services	\$443,189
2	Pioneer Activity Center	\$226,024
3	Event Services	\$37,151
4	Misc. Other - Auxiliary Operations are designated due to current Board policy that prohibits using these funds for other purposes unless approved by the Board.	\$128,726
5		
Totals		\$835,090

Reserves

	Major Balance Grouping	FY17 Reserves Balance
1		
2		
3		
4		
5		
Totals		\$0

Undocumented Funds

	Major Balance Grouping	FY17 Undocumented Balance
1		
2		
3		
4		
5		
Totals		\$0

Report on Other Unrestricted Program Revenue Balance in Excess of 12% of Fiscal Year Expenditures

**UW-Platteville
Fiscal Year: 2017**

Total Balance	\$7,374,262	103.93%
Obligated		\$7,146,215
Planned		\$226,988
<i>subtotal: Obligated and Planned</i>		<i>\$7,373,203</i>
Designated	\$1,059	
Reserves	\$0	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	Fund 123 - One year of debt service as shown on amortization schedule	Obligated	\$7,146,215	\$7,146,215	\$7,146,215	FY18
2	Fund 123 - Planned debt service beyond 1 year of obligated funds.	Planned	\$147,576	\$147,576	\$147,576	FY19
3	Fund 132 - planned spending to be invested to create new programming and to expand current programming over the next three fiscal years.	Planned	\$79,412	\$79,412	\$79,412	FY18-FY20
4						
5						
6						
7						

Total	\$7,373,203
Obligated	\$7,146,215
Planned	\$226,988

Designated Funds

Major Balance Grouping

**FY17 Designated
Balance**

1	Fund 184 - License Plate Scholarship Programs	\$1,059
2		
3		
4		
5		
Totals		\$1,059

Reserves

Major Balance Grouping

**FY17 Reserves
Balance**

1		
2		
3		
4		
5		
Totals		\$0

Undocumented Funds

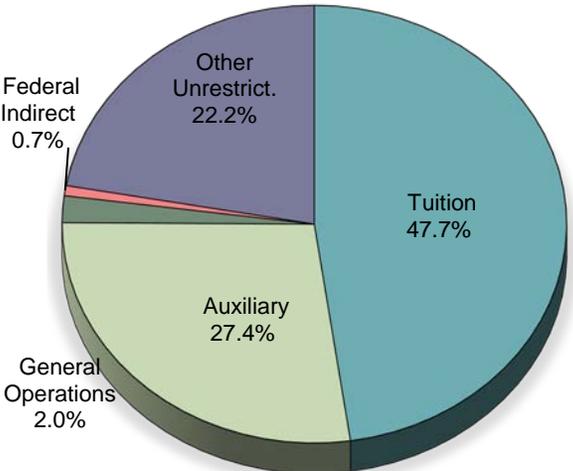
Major Balance Grouping

**FY17
Undocumented
Balance**

1		
2		
3		
4		
5		
Totals		\$0

UW-River Falls FY 2017 PR Balances

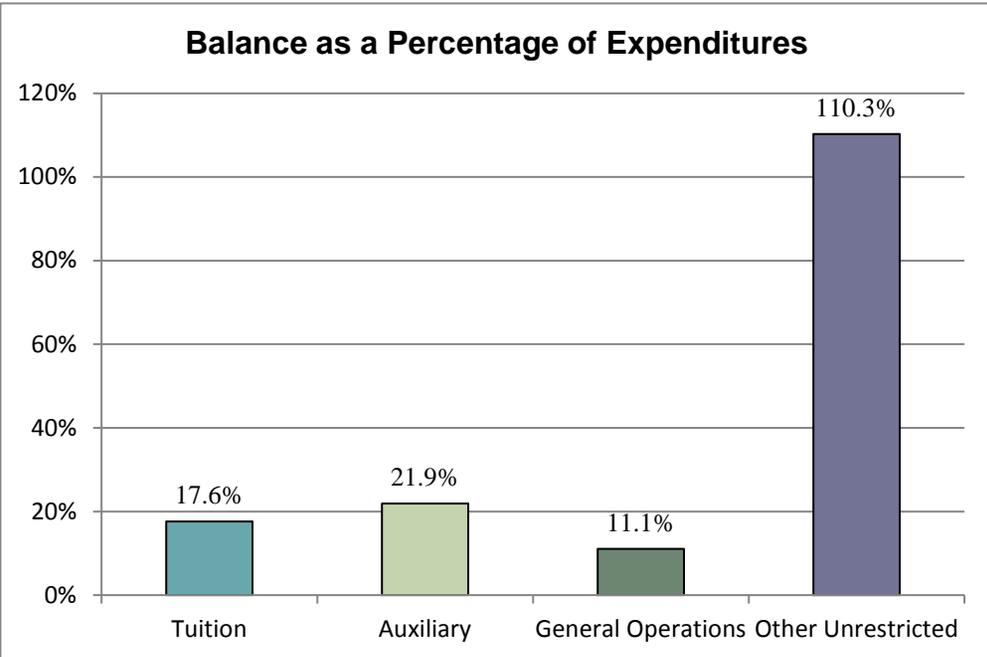
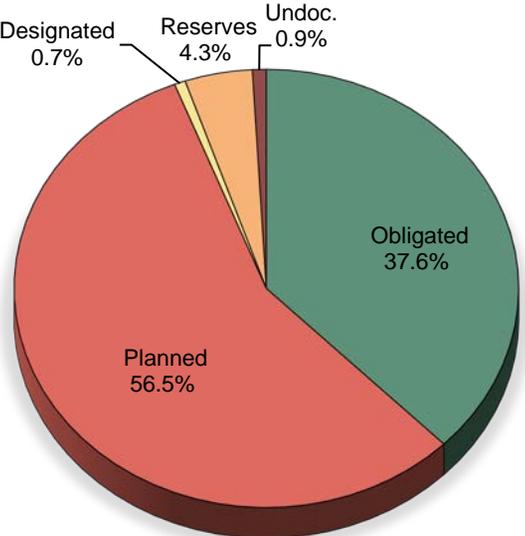
FY17 PR Balances by Category



PR Balances Highlights

- \$4.7 million (45.5%) of tuition fund balance represents funding for student affordability and financial aid.
- \$5.3 million (89.5%) of Auxiliary fund balance represents funding for capital improvement projects.
- 98.8% of Other Unrestricted fund balance is obligated for fiscal year 2017-18 debt service.

FY17 PR Balances by Commitment Level



Summary of UW System Unrestricted PR Balances by Level of Commitment
 FY 2017 ending balances as categorized by institution reports

UW-River Falls

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Tuition	1,994,735	7,442,509	153,554	650,151	126,556	10,367,505
Auxiliary	1,385,677	4,276,372	0	292,600	0	5,954,649
General Operations	6,753	421,235	0	0	0	427,988
Federal Indirect	13,225	117,354	0	0	31,019	161,598
Other Unrestricted	4,763,498	22,981	0	0	34,513	4,820,992
Total	8,163,888 37.6%	12,280,451 56.5%	153,554 0.7%	942,751 4.3%	192,088 0.9%	21,732,732 100.0%

	Tuition (131 and 189)	Auxiliary (128 and 228)	General Operations (136)	Other Unrestricted (xxx)	Subtotal	Federal Indirect (150)	Total Unrestricted
Total PR Appropriation Balances							
FY2015-16	10,656,001	3,651,286	318,785	4,658,209	19,284,281	231,274	19,515,555
FY2016-17	10,367,505	5,954,649	427,988	4,820,992	21,571,134	161,598	21,732,732
Change	-288,496 -2.7%	2,303,363 63.1%	109,203 34.3%	162,783 3.5%	2,286,853 11.9%	-69,676 -30.1%	2,217,177 11.4%
Total Expenditures							
FY2015-16	57,569,283	34,571,180	4,429,306	4,231,513	100,801,282	393,535	101,194,817
FY2016-17	58,867,610	27,181,480	3,867,487	4,371,587	94,288,164	328,590	94,616,754
Change	1,298,327	-7,389,700	-561,819	140,074	-6,513,118	-64,945	-6,578,063
Balances as a Percentage of Expenditures							
FY2015-16	18.5%	10.6%	7.2%	110.1%			
FY2016-17	17.6%	21.9%	11.1%	110.3%			

Report on Tuition Balance (Funds 131 and 189) in Excess of 12% of Fiscal Year Expenditures

**UW-River Falls
Fiscal Year: 2017**

Total Balance	\$10,367,505	17.61%
Obligated		\$1,994,735
Planned		\$7,442,509
<i>subtotal: Obligated and Planned</i>		<i>\$9,437,244</i>
Designated	\$153,554	
Reserves	\$650,151	
Undocumented Funds	\$126,556	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	Student affordability/scholarship initiative for students beginning Fall 2017	Obligated	\$1,215,000	\$1,247,746	\$1,247,746	FY18
2	Student affordability/scholarship initiative for students beginning Fall 2018	Planned	\$1,215,000	\$1,215,000	\$1,215,000	FY19
3	Student affordability/scholarship initiative for students beginning Fall 2019	Planned	\$1,215,000	\$1,215,000	\$1,215,000	FY20
4	Engineering (facilities and personnel)	Planned	\$1,111,012	\$1,111,012	\$1,111,012	FY18-19
5	New program development and marketing to enhance recruitment and initiatives to improve retention	Planned	\$603,860	\$471,994	\$471,994	FY18-20
6	Deferred maintenance including rekey master doors on two classroom buildings, classroom remodeling, technology upgrades, lab updates and farm improvements	Planned	\$545,000	\$145,000	\$145,000	FY18
7	College/Division initiatives for recruitment, retention, faculty development, research	Planned	\$520,000	\$420,000	\$420,000	FY18
8	Extension funding to support STEMteach Master Teacher/Academic Director, marketing, Hanover Reports, Online instruction/technical support, program revisions and development	Planned	\$492,716	\$492,716	\$592,716	FY18-20

9	Student affordability/scholarship initiative for students beginning Fall 2016 (2nd year of the award)	Obligated	\$489,123	\$456,377	\$456,377	FY18
10	Extension funding for base budget reduction	Planned	\$381,900	\$381,900	\$381,900	FY18-20
11	System grant for a pilot program to enhance underrepresented minority student success	Planned	\$296,562	\$296,562	\$296,562	FY18-20
12	Scholarship for study abroad or research funded by differential tuition	Obligated	\$290,612	\$290,612	\$290,612	FY18-20
13	Marketing and Development initiatives	Planned	\$266,720	\$281,720	\$281,720	FY18-19
14	Dairy Pilot Plant renovation	Planned	\$176,000	\$176,000	\$176,000	FY18
15	College of Education and Profession Studies faculty research, travel, marketing, program development, instructor salaries	Planned	\$129,124	\$129,784	\$129,784	FY18-20
16	Teach Education graduate students and instructional academic staff	Planned	\$82,372	\$82,372	\$82,372	FY18-19
17	Start Up for Women's Lacrosse Athletic Team	Planned	\$80,000	\$80,000	\$80,000	FY18
18	School Psychology Program (Education Specialist) assessment materials, upgraded technology, program development, instructor salaries	Planned	\$74,166	\$74,166	\$74,166	FY18-20
19	Master's in Business Administration program development of an Agriculture MBA	Planned	\$68,693	\$68,693	\$69,693	FY18
20	Master's in Computer Science staffing and marketing	Planned	\$61,000	\$61,000	\$61,000	FY18-20
21	Differential Tuition (24 hour computer lab, extended library hours, tutoring, undergraduate research grants to students, classroom remodeling)	Planned	\$59,687	\$59,687	\$59,687	F18
22	Clinical Exercise Physiology Program graduate assistant funding, lab equipment, anatomy lab models, and professional development	Planned	\$34,000	\$34,000	\$34,000	FY18
23	Adult Degree Completion Program furniture replacement, computers, and facility expansion	Planned	\$15,358	\$15,358	\$15,358	FY18
24	Health and Wellness Management Program (collaborative bachelor and masters programs) faculty salaries and professional development	Planned	\$14,339	\$14,339	\$14,339	FY18-19

Total	\$9,437,244
Obligated	\$1,994,735
Planned	\$7,442,509

Designated Funds

Major Balance Grouping

**FY17 Designated
Balance**

1	Extension program development and support	\$153,554
2		
3		
4		
5		

Totals \$153,554

Reserves

Major Balance Grouping

**FY17 Reserves
Balance**

1	Chancellor's reserve for Fund 131 General Tuition	\$650,151
2		
3		
4		
5		

Totals \$650,151

Undocumented Funds

Major Balance Grouping

**FY17
Undocumented
Balance**

1	Discretion of Chancellor	\$126,556
2		
3		
4		
5		

Totals \$126,556

Report on Auxiliary Operations Balance (Funds 128 and 228) in Excess of 12% of Fiscal Year Expenditures

**UW-River Falls
Fiscal Year: 2017**

Total Balance	\$5,954,649	21.91%
Obligated		\$1,385,677
Planned		\$4,276,372
<i>subtotal: Obligated and Planned</i>		\$5,662,049
Designated	\$0	
Reserves	\$292,600	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	Enumerated project 1211R: Construction to remodel Rodli Hall as Enrollment and Student Services building to extend building lifespan, increase campus office efficiencies, enhance student convenience and optimize student services.	Obligated	\$1,365,593	\$1,365,593	\$1,365,593	FY18
2	Residence Life projects include tuckpointing (Projects 17F2K and 17F2L), electrical (Project 17F2B) and technology upgrades, roof replacement (Project 15L1X), bathroom renovations (Project 16E1C), and main entrance/lobby remodeling including ADA accessibility compliance (Project 17F1K)	Planned	\$1,119,092	\$1,667,000	\$1,667,000	FY18
3	Upgrades to flooring, equipment, and technology upgrades to Student Center	Planned	\$886,771	\$952,000	\$952,000	FY18, 19 & 20
4	Sport Facilities projects including grounds equipment, indoor track replacement, fitness equipment and protective screens	Planned	\$817,486	\$817,486	\$817,486	FY18, 19 & 20
5	Resurfacing of parking lots and debt service	Planned	\$638,911	\$638,911	\$638,911	FY18, 19 & 20
6	Salaries, fringes, equipment and travel for additional staff in office of International Education, and planned reduction of balances	Planned	\$310,737	\$310,737	\$310,737	FY18, 19 & 20
7	Flooring, seating, equipment, technology upgrades and utility projects for dining services	Planned	\$297,450	\$390,000	\$390,000	FY18
8	Software and equipment, and workshops and training for faculty in the development of online course content.	Planned	\$205,925	\$294,340	\$294,340	FY18

9	Salary and fringe for group leader and faculty teaching study abroad programs, travel costs and student activities	Obligated	\$20,084	\$20,084	\$20,084	FY18
---	--	-----------	----------	----------	----------	------

Total	\$5,662,049
Obligated	\$1,385,677
Planned	\$4,276,372

Designated Funds

Major Balance Grouping	FY17 Designated Balance
------------------------	-------------------------

1		
2		

Totals	\$0
--------	-----

Reserves

Major Balance Grouping	FY17 Reserves Balance
------------------------	-----------------------

1	Funds reserved for emergencies involving students or faculty involved in Study Abroad programs	\$62,600
2	Study Abroad reserve to cover fluctuating enrollment, exchange rates and unforeseen events with program projected revenue targets	\$155,000
3	Property maintenance and remodeling, infrastructure/IT expenses, capital purchase costs associated with property in Wisconsin in Scotland program	\$75,000

Totals	\$292,600
--------	-----------

Undocumented Funds

Major Balance Grouping	FY17 Undocumented Balance
------------------------	---------------------------

1		
2		

Totals	\$0
--------	-----

Report on Other Unrestricted Program Revenue Balance in Excess of 12% of Fiscal Year Expenditures

**UW-River Falls
Fiscal Year: 2017**

Total Balance	\$4,820,992	110.28%
Obligated		\$4,763,498
Planned		\$22,981
<i>subtotal: Obligated and Planned</i>		<i>\$4,786,479</i>
Designated	\$0	
Reserves	\$0	
Undocumented Funds	\$34,513	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	Auxiliary debt service for FY18: Child Care, Parking, Residence Halls, Student Center, Dining and Rec Center	Obligated	\$4,763,498	\$4,763,498	\$4,763,498	FY18
2	Outreach Youth Programs	Planned	\$22,981	\$22,981	\$22,981	FY18
3						
4						
5						
6						
7						

Total	\$4,786,479
Obligated	\$4,763,498
Planned	\$22,981

Designated Funds

Major Balance Grouping

**FY17 Designated
Balance**

1		
2		
3		
4		
5		

Totals \$0

Reserves

Major Balance Grouping

**FY17 Reserves
Balance**

1		
2		
3		
4		
5		

Totals \$0

Undocumented Funds

Major Balance Grouping

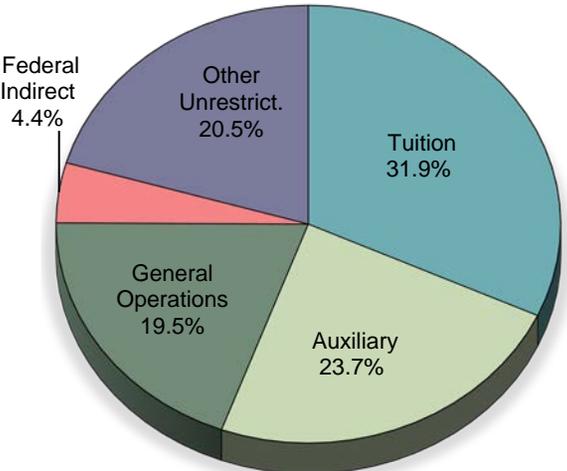
**FY17
Undocumented
Balance**

1	Discretion of Chancellor	\$34,513
2		
3		
4		
5		

Totals \$34,513

UW-Stevens Point FY 2017 PR Balances

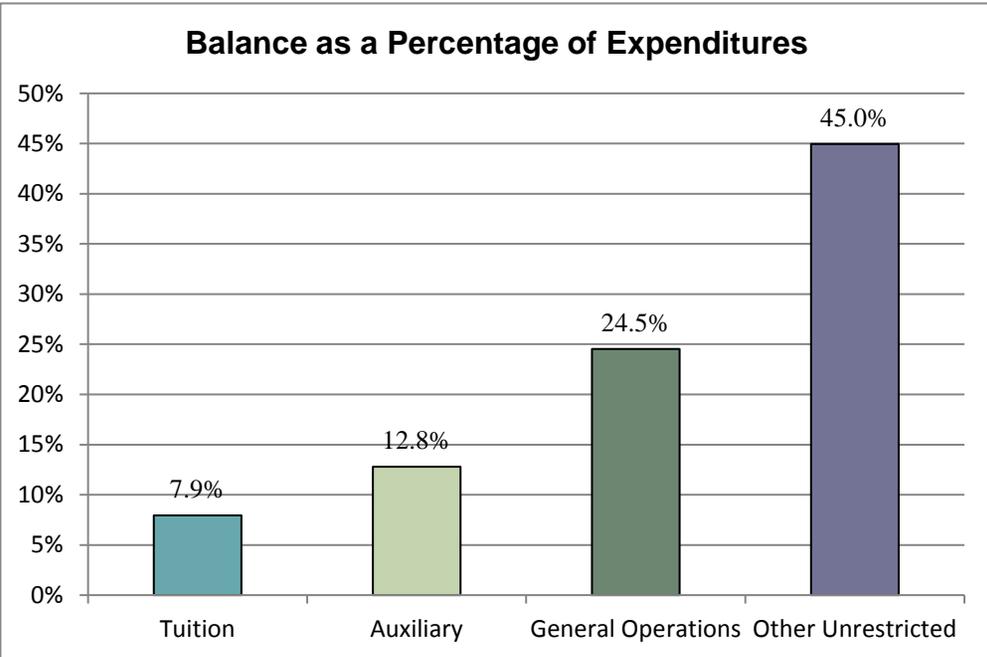
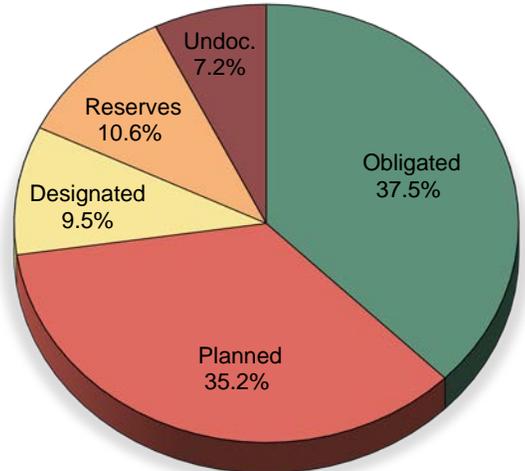
FY17 PR Balances by Category



PR Balances Highlights

- A detailed spending plan was not required for Tuition funds as that balance was below 12% of expenditures
- 72.7% of total unrestricted balances are categorized as Obligated (37.5%) or Planned (35.2%)
- Total unrestricted balances decreased by \$14.7 million (39.0%) compared to the prior year

FY17 PR Balances by Commitment Level



Summary of UW System Unrestricted PR Balances by Level of Commitment
 FY 2017 ending balances as categorized by institution reports

UW-Stevens Point

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Tuition	1,538,251	3,104,193	199,416	1,377,393	1,074,370	7,293,623
Auxiliary	1,540,731	3,129,642	138,200	186,650	438,406	5,433,629
General Operations	813,606	1,561,298	1,560,229	528,213	0	4,463,346
Federal Indirect	277,475	265,778	0	327,237	144,202	1,014,692
Other Unrestricted	4,408,252	4,750	275,736	0	0	4,688,738
Total	8,578,315 37.5%	8,065,661 35.2%	2,173,581 9.5%	2,419,493 10.6%	1,656,978 7.2%	22,894,028 100.0%

	Tuition (131 and 189)	Auxiliary (128 and 228)	General Operations (136)	Other Unrestricted (xxx)	Subtotal	Federal Indirect (150)	Total Unrestricted
Total PR Appropriation Balances							
FY2015-16	11,253,237	14,022,136	6,587,807	4,425,667	36,288,847	1,268,225	37,557,072
FY2016-17	7,293,623	5,433,629	4,463,346	4,688,738	21,879,336	1,014,692	22,894,028
Change	-3,959,614 -35.2%	-8,588,507 -61.2%	-2,124,461 -32.2%	263,071 5.9%	-14,409,511 -39.7%	-253,533 -20.0%	-14,663,044 -39.0%
Total Expenditures							
FY2015-16	89,261,191	40,176,661	10,272,124	9,614,712	149,324,688	416,236	149,740,924
FY2016-17	91,804,823	42,466,111	18,194,586	10,429,660	162,895,180	493,944	163,389,124
Change	2,543,632	2,289,450	7,922,462	814,948	13,570,492	77,708	13,648,200
Balances as a Percentage of Expenditures							
FY2015-16	12.6%	34.9%	64.1%	46.0%			
FY2016-17	7.9%	12.8%	24.5%	45.0%			

Report on Auxiliary Operations Balance (Funds 128 and 228) in Excess of 12% of Fiscal Year Expenditures

UW-Stevens Point

Fiscal Year: 2017

Total Balance	\$5,433,629	12.80%
Obligated		\$1,540,731
Planned		\$3,129,642
<i>subtotal: Obligated and Planned</i>		<i>\$4,670,373</i>
Designated	\$138,200	
Reserves	\$186,650	
Undocumented Funds	\$438,406	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	University-Wide - Human Resource System Service Center support	Planned	\$450,000	\$450,000	\$450,000	FY18
2	University-Wide - Common Systems costs	Planned	\$117,923	\$117,923	\$117,923	FY18
3	Student Affairs - Student Affairs Office - Unbudgeted personnel costs	Planned	\$83,712	\$83,712	\$83,712	FY18-FY19
4	Student Affairs - Student Affairs Office - Unbudgeted personnel costs	Obligated	\$55,945	\$55,945	\$55,945	FY18
5	Student Affairs - Student Affairs Office - Softball field facility upgrades	Planned	\$15,187	\$15,187	\$15,187	FY18
6	Student Affairs - Student Affairs Office - National Conference on Race and Ethnicity outstanding expenses	Planned	\$3,570	\$3,570	\$3,570	FY18
7	Student Affairs - Student Affairs Office - Kids from Wisconsin Camp support	Obligated	\$697	\$697	\$697	FY18

8	Student Affairs - Student Affairs Office - Support for various divisional activities	Planned	\$56,070	\$56,070	\$56,070	FY18
9	Student Affairs - Student Affairs Office - Support for the Center for Inclusive Teaching and Learning	Planned	\$100,000	\$100,000	\$100,000	FY18
10	Student Affairs - Dean of Students - iMac computer purchase	Planned	\$1,818	\$1,818	\$1,818	FY18
11	Student Affairs - Childcare - Support of Student Affairs initiatives	Planned	\$48,346	\$48,346	\$48,346	FY18
12	Student Affairs - Childcare - Reduction in segregated fee subsidy support	Planned	\$20,632	\$20,632	\$20,632	FY18
13	Student Affairs - Childcare - Remodeling projects for fall 2017	Planned	\$27,250	\$27,250	\$27,250	FY18
14	Student Affairs - Childcare - Future equipment and supplies needs for the new Health and Wellness Facility space	Planned	\$150,000	\$5,000,000	\$5,000,000	FY21
15	Student Affairs - Residential Living - Debt service	Obligated	\$167,512	\$2,021,982	\$2,021,982	FY18
16	Student Affairs - Health Services - Additional equipment needs and/or furnishings for the new Health and Wellness Facility	Planned	\$370,000	\$5,000,000	\$5,000,000	FY21
17	Student Affairs - University Centers - Construction costs of the new Health and Wellness Facility	Planned	\$440,311	\$5,000,000	\$5,000,000	FY21
18	Student Affairs - University Store - Items ordered, but not yet paid	Obligated	\$186,684	\$186,684	\$186,684	FY18
19	Student Affairs - University Store - Furniture for the store	Planned	\$4,800	\$4,800	\$4,800	FY18
20	Student Affairs - Dining and Summer Conferences - Debt service	Obligated	\$113,832	\$113,832	\$113,832	FY18
21	Student Affairs - Dining and Summer Conferences - FY19 and FY20 debt service	Planned	\$200,000	\$236,401	\$236,401	FY19-FY20
22	Student Affairs - Dining and Summer Conferences - Modular units and/or facility hook-ups necessary for the temporary dining location from August 2018 through May 2019	Planned	\$158,864	\$1,609,842	\$1,609,842	FY19
23	Student Affairs - Text Rental - Anticipated additional purchases for fall 2017	Planned	\$303,937	\$303,937	\$303,937	FY18
24	Student Affairs - Text Rental - Items ordered, but not yet received or billed	Obligated	\$289,239	\$289,239	\$289,239	FY18
25	Business Affairs - Financial Operations - Student refund clearing account	Obligated	\$250,495	\$250,495	\$250,495	FY18
26	Business Affairs - Financial Operations - CashNet annual maintenance fees	Obligated	\$175,000	\$175,000	\$175,000	FY18-FY22
27	Business Affairs - Financial Operations - Garda Security (transportation services)	Planned	\$7,390	\$7,390	\$7,390	FY18
28	Business Affairs - Financial Operations - New .50 position to be funded from balances for next three years	Planned	\$105,000	\$105,000	\$105,000	FY18-FY20

29	Business Affairs - Financial Operations - 1098T processing charges	Planned	\$6,768	\$6,768	\$6,768	FY18
30	Business Affairs - Parking- Debt service	Obligated	\$16,750	\$254,085	\$254,085	FY18
31	Academic Affairs - Office of International Education - Office equipment and general expenses	Planned	\$30,183	\$30,183	\$30,183	FY18
32	Information Technology - Furniture for Helpdesk	Obligated	\$49,139	\$49,139	\$49,139	FY18
33	Information Technology - Adobe software	Obligated	\$93,576	\$93,576	\$93,576	FY18-FY20
34	Information Technology - Rightstar Consulting Service Desk	Planned	\$20,212	\$20,212	\$20,212	FY18
35	Information Technology - Telecom switch maintenance	Obligated	\$16,490	\$16,490	\$16,490	FY18
36	Information Technology - Voice recording for telephone system	Obligated	\$1,500	\$1,500	\$1,500	FY18
37	Information Technology - Outstanding telephone bills	Planned	\$51,181	\$51,181	\$51,181	FY18
38	Information Technology - Repair and replacement of Telecom system	Planned	\$290,827	\$290,827	\$290,827	FY20
39	College of Professional Studies - Dean's Office - Professional Sports Publications marketing	Obligated	\$8,000	\$8,000	\$8,000	FY18
40	College of Professional Studies - Health Promotion & Human Development - Study abroad program coordinating	Planned	\$3,900	\$3,900	\$3,900	FY18
41	College of Professional Studies - Health Promotion & Human Development -Study abroad instructor payments	Obligated	\$7,315	\$7,315	\$7,315	FY18
42	College of Professional Studies - Health Promotion & Human Development - Student research	Planned	\$4,325	\$4,325	\$4,325	FY18
43	College of Professional Studies - Health Promotion & Human Development - Travel expense reports for study abroad trips yet to be paid	Obligated	\$3,055	\$3,055	\$3,055	FY18
44	College of Professional Studies - Health Promotion & Human Development - Lakota Reservation trip	Planned	\$6,375	\$6,375	\$6,375	FY18
45	College of Professional Studies - Health Promotion & Human Development - Lakota Reservation trip	Obligated	\$11,845	\$11,845	\$11,845	FY18
46	College of Professional Studies - Health Promotion & Human Development - Ireland study abroad	Obligated	\$431	\$431	\$431	FY18
47	College of Professional Studies - Communication Sciences & Disorders - Interim clinic coverage	Obligated	\$2,000	\$2,000	\$2,000	FY18
48	College of Professional Studies - Communication Sciences & Disorders - Program revenue assessment	Planned	\$13,540	\$13,540	\$13,540	FY18
49	College of Fine Arts & Communication - Various Departments - Student research	Planned	\$6,293	\$6,293	\$6,293	FY18

50	College of Natural Resources - Treehaven - Debt service	Obligated	\$91,226	\$91,226	\$91,226	FY18
51	College of Natural Resources - Watershed Center - Capital equipment purchases in the next 4 years	Planned	\$22,203	\$40,000	\$40,000	FY21
52	College of Natural Resources - Natural Resources - Lab monitor purchase	Planned	\$963	\$963	\$963	FY18
53	College of Natural Resources - College of Natural Resources Projects - Office of Student Creativity and Research student research award grants	Planned	\$8,062	\$8,062	\$8,062	FY18

Total	\$4,670,373
Obligated	\$1,540,731
Planned	\$3,129,642

Designated Funds

Major Balance Grouping

FY17 Designated Balance

1	Student Affairs - Text Rental - Digital Course Material pilot program; installation of digital signage; additional fall 2017 purchases	\$67,943
2	College of Natural Resources - Dean's Office - Segregated fees from students to be used for recreational and textbook related expenses at both the Treehaven and CWES field stations	\$70,257
3		
4		
5		

Totals	\$138,200
--------	-----------

Reserves

Major Balance Grouping		FY17 Reserves Balance
1	Student Affairs - Childcare - For unexpected enrollment decline resulting in a reduction in segregated fee revenue support	\$25,000
2	Student Affairs - Health Services - For unexpected enrollment decline resulting in a reduction in segregated fee revenue support	\$112,670
3	Business Affairs - Facilities Services - To support and cover unforeseen expenses in mail services and bulk mail	\$48,980
4		
5		
Totals		\$186,650

Undocumented Funds

Major Balance Grouping		FY17 Undocumented Balance
1	Business Affairs - Various divisional initiatives yet to be determined or not yet fully developed	\$72,299
2	University College - Various divisional initiatives yet to be determined or not yet fully developed	\$54,855
3	Academic Affairs - Various divisional initiatives yet to be determined or not yet fully developed	\$70,010
4	Information Technology - Various divisional initiatives yet to be determined or not yet fully developed	\$75,286
5	College of Professional Studies - Various divisional initiatives yet to be determined or not yet fully developed	\$56,627
6	College of Fine Arts and Communication - Various divisional initiatives yet to be determined or not yet fully developed	\$6,939
7	College of Natural Resources - Various divisional initiatives yet to be determined or not yet fully developed	\$102,390
Totals		\$438,406

Report on General Operations Balance (Fund 136) in Excess of 12% of Fiscal Year Expenditures

**UW-Stevens Point
Fiscal Year: 2017**

Total Balance	\$4,463,346	24.53%
Obligated		\$813,606
Planned		\$1,561,298
<i>subtotal: Obligated and Planned</i>		<i>\$2,374,904</i>
Designated	\$1,560,229	
Reserves	\$528,213	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	University-Wide - Student Information System implementation	Obligated	\$303,100	\$303,100	\$303,100	FY18
2	Executive - University Relations and Communications - Outstanding Charges from spring 2017 commencement	Obligated	\$2,330	\$2,330	\$2,330	FY18
3	Executive - University Relations and Communications - Outstanding Charges from spring 2017 commencement	Planned	\$3,776	\$3,776	\$3,776	FY18
4	Executive - University Relations and Communications - 4th of July Parade purchase	Planned	\$380	\$380	\$380	FY18
5	Student Affairs - Childcare - Support keynote speaker for Early Childhood Education conference in October 2017	Planned	\$1,000	\$1,000	\$1,000	FY18
6	Student Affairs - Counseling - Center for Placement Testing charge	Obligated	\$35,319	\$35,319	\$35,319	FY18
7	Student Affairs - Counseling - Contribution to UW System Counseling Impact Assessment project	Planned	\$1,000	\$1,000	\$1,000	FY18
8	Student Affairs - Counseling - Remodel of Counseling Center waiting room	Planned	\$5,414	\$5,414	\$5,414	FY18
9	Student Affairs - Counseling - Fall 2017 professional development events	Planned	\$3,412	\$3,412	\$3,412	FY18

10	Student Affairs - Counseling - Temporary Employee for office coverage	Planned	\$5,000	\$5,000	\$5,000	FY18
11	Student Affairs - Counseling - Computer and monitor replacement and upgrades for Testing Services and Counseling Center	Planned	\$6,402	\$6,402	\$6,402	FY18
12	Student Affairs - University Centers - Health Enhancement Center rekeying project	Obligated	\$174,186	\$174,186	\$174,186	FY18
13	Business Affairs - Sustainability - Campus Bicycle and Pedestrian Planning Task Force student project manager; compost bins; residential wind turbine	Planned	\$36,039	\$36,039	\$36,039	FY18-FY20
14	Business Affairs - Financial Operations - FY18 academic year scholarships	Planned	\$180,005	\$180,005	\$180,005	FY18
15	Business Affairs - Financial Operations - Old Main room 002 audiovisual modifications	Planned	\$3,318	\$3,318	\$3,318	FY18
16	Business Affairs - Financial Operations - BMO Harris Bank analysis fees	Planned	\$41,931	\$41,931	\$41,931	FY18
17	Business Affairs - Financial Operations - Fox World Travel agent fees	Planned	\$60,593	\$60,593	\$60,593	FY18-FY19
18	University College - Center for Community Engagement and Experiential Learning - Continuation of the Career Readiness Internship program (as outlined by the grant authority, Great	Planned	\$25,547	\$73,547	\$73,547	FY18-FY19
19	Academic Affairs - Continuing Education - Staff computer purchases	Planned	\$23,412	\$23,412	\$23,412	FY18
20	Academic Affairs - Continuing Education - Ungerboeck Upgrade	Planned	\$4,000	\$4,000	\$4,000	FY18
21	Academic Affairs - Enrollment Management - Annual charge for Acalog support	Planned	\$10,543	\$10,543	\$10,543	FY18
22	Academic Affairs - Enrollment Management - Annual charge for College Scheduler Support	Planned	\$10,000	\$10,000	\$10,000	FY18
23	College of Letters and Science - Mathematics - Memorandum of Understanding obligations for Tutoring-Learning Center costs	Obligated	\$11,000	\$11,000	\$11,000	FY18
24	College of Letters and Science - Mathematics - Math 90 instructor salary and fringe (funded with balances)	Planned	\$40,989	\$40,989	\$40,989	FY18
25	College of Letters and Science - Dean's Office - Move Nuclear Magnetic Research equipment and other equipment to new Chemistry/Biology building	Planned	\$51,417	\$51,417	\$51,417	FY18
26	College of Letters and Science - Various Departments - Summer conference costs	Obligated	\$3,587	\$3,587	\$3,587	FY18
27	College of Professional Studies - Dean - Implementation of new academic programs	Planned	\$480,000	\$480,000	\$480,000	FY18-19

28	College of Professional Studies - Business & Economics - Society for Human Resource Management (SHRM) golf outing scholarships	Obligated	\$3,000	\$3,000	\$3,000	FY18
29	College of Professional Studies - Business & Economics - Upcoming banquet and speaker event	Obligated	\$4,982	\$4,982	\$4,982	FY18
30	College of Professional Studies - Education - Unbudgeted personnel costs	Obligated	\$41,978	\$41,978	\$41,978	FY18
31	College of Professional Studies - Education - Unbudgeted personnel costs	Planned	\$112,656	\$112,656	\$112,656	FY18
32	College of Professional Studies - Health Promotion & Human Development - Adventure Tours Communication Specialist (new position)	Obligated	\$37,183	\$37,183	\$37,183	FY18
33	College of Natural Resources - Coop Fish - Equipment upgrades	Planned	\$16,385	\$16,385	\$16,385	FY20
34	College of Natural Resources - Coop Fish - Equipment upgrades	Obligated	\$12,487	\$12,487	\$12,487	FY20
35	College of Natural Resources - Paper Science and Engineering - Purchase of a new laptop	Planned	\$871	\$871	\$871	FY18
36	College of Natural Resources - Schmeeckle - Unbudgeted personnel costs; parking lot resealing	Planned	\$7,788	\$7,788	\$7,788	FY18
37	College of Natural Resources - Schmeeckle - Unbudgeted personnel costs	Obligated	\$12,583	\$12,583	\$12,583	FY18
38	College of Natural Resources - Dean - Replacement of college bus and duplicating equipment	Planned	\$115,000	\$115,000	\$115,000	FY22
39	College of Natural Resources - Wisconsin Center for Environmental Education - Education software licenses	Planned	\$6,750	\$6,750	\$6,750	FY18
40	College of Natural Resources - Wisconsin Center for Environmental Education - K-12 Energy Education Program expenses	Planned	\$8,700	\$8,700	\$8,700	FY18
41	College of Natural Resources - Wisconsin Center for Environmental Education - Program revenue assessment	Planned	\$5,200	\$5,200	\$5,200	FY18
42	College of Natural Resources - Watershed Center and Outreach/Water and Environmental Analysis Lab - Capital equipment replacement & lab/office expansion for Biology building backfill	Planned	\$293,770	\$664,300	\$664,300	FY18-FY21
43	College of Natural Resources - Wisconsin Institute for Sustainable Technology - Unbudgeted personnel costs	Obligated	\$171,871	\$171,871	\$171,871	FY18

Total	\$2,374,904
Obligated	\$813,606
Planned	\$1,561,298

Designated Funds**Major Balance Grouping****FY17 Designated
Balance**

1	University-Wide - Revenue from monthly payroll assessment for: Lump sum terminal leave payouts	\$18,677
2	Executive - University Relations & Communications - Revenue from matriculation fee for: upcoming convocation and commencement ceremonies	\$162,910
3	Student Affairs - Student Involvement and Employment Office - Revenue from Work Study program for: Work Study administration costs	\$4,877
4	Student Affairs - Childcare - Revenue from accreditation agency for: quality improvement	\$12,124
5	Student Affairs - Counseling - Revenue from testing services and placement testing fees for: revenue impact of enrollment decline	\$45,816
6	Student Affairs - Diversity and College Access - Revenue from miscellaneous events for: future events and other programmatic needs	\$7,120
7	Student Affairs - University Centers - Revenue from fees for services for: office expenses and programming	\$55,206
8	Student Affairs - Athletics - Revenue from camps for: summer camp-related and sport-specific expenses	\$60,981
9	Business Affairs - Business Affairs Office - Copier use and cell tower revenue for: Floor copier maintenance/replacement costs and office remodel	\$34,744
10	Business Affairs - Sustainability - Revenue from Chevrolet Foundation for: future yet unnamed greenhouse gas reduction initiatives	\$3,332
11	Business Affairs - Financial Operations - Interest earnings and insufficient funds check charge revenue for: Office remodel for Accounts Receivable/Bursar unit in the Student Services Center and other unforeseen circumstances	\$260,643
12	University College - Tutoring-Learning Center - Tutoring revenue for: Student employee wages for one-on-one math and science tutoring	\$18,913
13	University College - Center for Community Engagement and Experiential Learning - Revenue from charges for services for: Travel support for Office of Student Creative Activity and Research (OSCAR) grants and support experiential learning	\$3,612
14	University College - Academic and Career Advising Center - Revenue from internship placements for: Pointers Connect Internships and future obligations	\$10,309

15	University College - Library - Revenue from book sales and fees for: Replacment of library equipment and materials and purchase of specialized archival materials	\$22,079
16	College of Letters & Science - Mathematics - Revenue from remedial education for: new faculty start-up, equipment, tutor salaries, future obligations to Tutor Learning Center	\$225,771
17	College of Letters & Science - Revenue from sale/production of materials for: faculty development, start-up, course maintenance, equipment	\$43,403
18	College of Professional Studies - School of Education - Revenue from external programs for: Youth in College and College Days for Kids	\$29,886
19	College of Natural Resources - Becoming an Outdoors Woman - Programming revenue for: Outfitter and guide fees, trips	\$28,980
20	College of Natural Resources - College of Natural Resources Extension - Revenue from Center for Land Use Education programming for: Replacement vehicle and programming	\$45,452
21	College of Natural Resources - Schmeeckle - Contracted services, programming and sales of material revenue for: Visitor center updates, contracted services, events/programs	\$115,183
22	College of Natural Resources - Wisconsin Center for Environmental Education - Programming revenue for: Professional development, scholarships, events, technology	\$87,982
23	College of Natural Resources - Wisconsin Institute for Sustainable Technology - Programming revenue for: Operations of unit in case of decline in grant funding	\$199,179
24	College of Natural Resources - Dean - Revenue from vehicle rental, copier fees, and timber sales for: vehicle and equipment replacement, land management	\$63,050
Totals		\$1,560,229

Reserves

Major Balance Grouping

**FY17 Reserves
Balance**

1	University-Wide - Matriculation fee reserve held in case of enrollment decline	\$34,766
2	Student Affairs - Counseling - Contingency for any unplanned emergencies	\$25,000
3	Business Affairs - Business Affairs Office - Facilities repair/replacement, capital equipment repair/replacement, campus emergencies	\$227,492
4	College of Natural Resources - Coop Fish - Contingency for loss of grant funding	\$150,955
5	College of Natural Resources - Schmeeckle - Unanticipated emergency building/trail maintenance; unexpected reduction in funding sources	\$25,000
6	College of Natural Resources - Wisconsin Center for Environmental Education - Fund four months salary in case of loss of K-12 Energy Education Program funding or loss of Learning, Experiences, and Activities in Forestry funding	\$65,000

Totals \$528,213

Undocumented Funds

Major Balance Grouping

**FY17
Undocumented
Balance**

1		
2		
3		
4		
5		

Totals \$0

Report on Other Unrestricted Program Revenue Balance in Excess of 12% of Fiscal Year Expenditures

UW-Stevens Point

Fiscal Year: 2017

Total Balance	\$4,688,738	44.96%
Obligated		\$4,408,252
Planned		\$4,750
<i>subtotal: Obligated and Planned</i>		<i>\$4,413,002</i>
Designated	\$275,736	
Reserves	\$0	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	Student Affairs - Residential Living - Debt service	Obligated	\$4,400,000	\$4,400,000	\$4,400,000	FY18
2	Student Affairs - Student Government Association - Debt service	Obligated	\$3,002	\$6,221	\$6,221	FY18
3	Academic Affairs - Continuing Education - Camp COFAC	Obligated	\$5,250	\$5,250	\$5,250	FY18
4	Academic Affairs - Enrollment Management - License Plate	Planned	\$4,750	\$4,750	\$4,750	FY18
5						

Total	\$4,413,002
Obligated	\$4,408,252
Planned	\$4,750

Designated Funds

Major Balance Grouping

**FY17 Designated
Balance**

1	Academic Affairs - Continuing Education - Small Business Development Center, conferences, and other non-credit programming	\$135,413
2	College of Letters and Science - Dean's Office - Infrastructure repair/cost to continue operations of the Northern Aquaculture Demonstration Facility	\$34,093
3	College of Natural Resources - Cooperative Extension -Support for Extension non-credit programming	\$106,230
4		
Totals		\$275,736

Reserves

Major Balance Grouping

**FY17 Reserves
Balance**

1		
2		
3		
Totals		\$0

Undocumented Funds

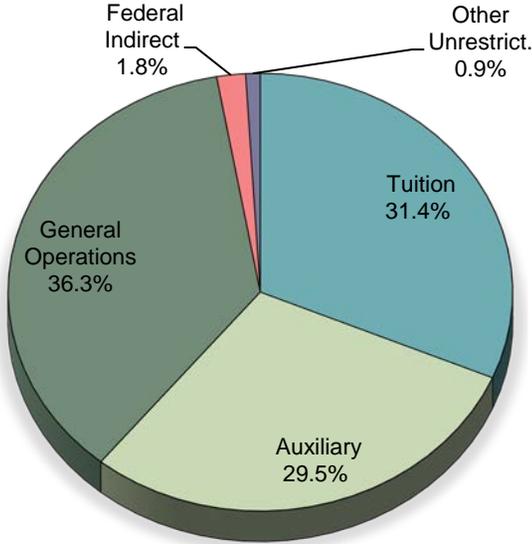
Major Balance Grouping

**FY17
Undocumented
Balance**

1		
2		
3		
Totals		\$0

UW-Stout FY 2017 PR Balances

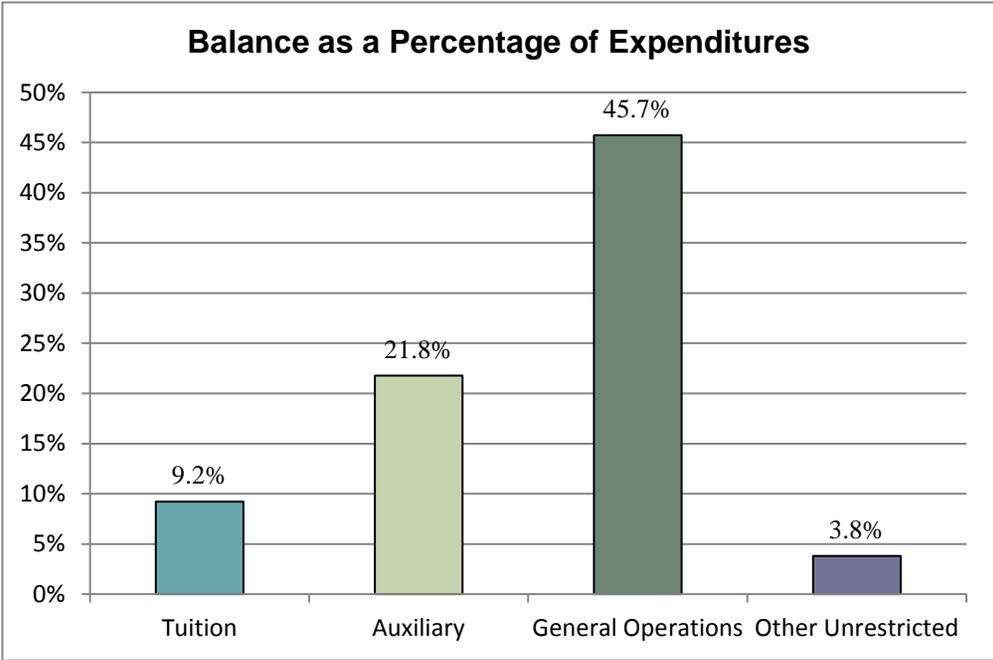
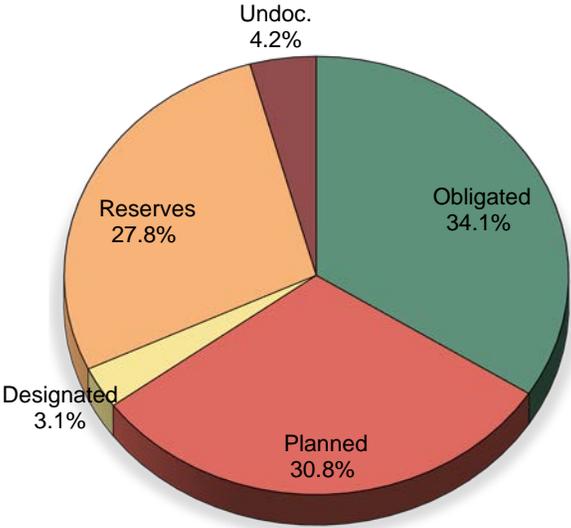
FY17 PR Balances by Category



PR Balances Highlights

- 7% enrollment drop reduced tuition balances
- No Spending plans were required for Tuition or Other Unrestricted balances as those balances were below the reporting threshold
- Auxiliary balances increased \$2M due to construction delays

FY17 PR Balances by Commitment Level



Summary of UW System Unrestricted PR Balances by Level of Commitment
 FY 2017 ending balances as categorized by institution reports

UW-Stout

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Tuition	266,535	2,835,431	547,365	4,328,771	0	7,978,102
Auxiliary	5,461,631	310,948	0	1,720,508	0	7,493,087
General Operations	2,926,127	4,247,700	0	1,009,441	1,047,901	9,231,169
Federal Indirect	0	438,211	0	0	31,533	469,744
Other Unrestricted	0	0	236,514	0	0	236,514
Total	8,654,293 34.1%	7,832,290 30.8%	783,879 3.1%	7,058,720 27.8%	1,079,434 4.2%	25,408,616 100.0%

UW System
 (excludes System Admin and Systemwide)

	Tuition (131 and 189)	Auxiliary (128 and 228)	General Operations (136)	Other Unrestricted (xxx)	Subtotal	Federal Indirect (150)	Total Unrestricted
Total PR Appropriation Balances							
FY2015-16	6,125,302	5,451,484	8,035,644	267,926	19,880,356	525,841	20,406,197
FY2016-17	7,978,102	7,493,087	9,231,169	236,514	24,938,872	469,744	25,408,616
Change	1,852,800 30.2%	2,041,603 37.5%	1,195,525 14.9%	-31,412 -11.7%	5,058,516 25.4%	-56,097 -10.7%	5,002,419 24.5%
Total Expenditures							
FY2015-16	86,748,849	31,183,223	20,705,713	6,122,422	144,760,207	561,189	145,321,396
FY2016-17	86,575,417	34,410,167	20,188,820	6,212,721	147,387,125	526,113	147,913,238
Change	-173,432	3,226,944	-516,893	90,299	2,626,918	-35,076	2,591,842
Balances as a Percentage of Expenditures							
FY2015-16	7.1%	17.5%	38.8%	4.4%			
FY2016-17	9.2%	21.8%	45.7%	3.8%			

Report on Auxiliary Operations Balance (Funds 128 and 228) in Excess of 12% of Fiscal Year Expenditures

**UW-Stout
Fiscal Year: 2017**

Total Balance	\$7,493,087	21.78%
Obligated		\$5,461,631
Planned		\$310,948
<i>subtotal: Obligated and Planned</i>		<i>\$5,772,579</i>
Designated	\$0	
Reserves	\$1,720,508	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	Purchase Orders From FY17 to FY18	Obligated	\$95,692	\$95,692	\$95,692	FY18
2	Debt Service - 1 Year FY18	Obligated	\$5,365,939	\$5,365,939	\$5,365,939	FY18
3	Debt Service - 1 Year (Portion) FY19	Planned	\$310,948	\$310,948	\$310,948	FY19
4						
5						
6						
7						
8						
9						
10						
11						

Total	\$5,772,579
Obligated	\$5,461,631
Planned	\$310,948

Designated Funds

Major Balance Grouping

**FY17 Designated
Balance**

1		
2		
3		
4		
5		

Totals \$0

Reserves

Major Balance Grouping

**FY17 Reserves
Balance**

1	5% Reserve per Stout policy (Enrollment Declines)	\$1,720,508
2		
3		
4		
5		

Totals \$1,720,508

Undocumented Funds

Major Balance Grouping

**FY17
Undocumented
Balance**

1		
2		
3		
4		
5		

Totals \$0

Report on General Operations Balance (Fund 136) in Excess of 12% of Fiscal Year Expenditures

**UW-Stout
Fiscal Year: 2017**

Total Balance	\$9,231,169	45.72%
Obligated		\$2,926,127
Planned		\$4,247,700
<i>subtotal: Obligated and Planned</i>		<i>\$7,173,827</i>
Designated	\$0	
Reserves	\$1,009,441	
Undocumented Funds	\$1,047,901	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	Scholarship - Awarded	Obligated	\$918,500	\$918,500	\$918,500	FY18
2	Scholarships - Potential per allocation	Planned	\$18,500	\$18,500	\$18,500	FY18
3	Purchase Orders from FY17 to FY18	Obligated	\$2,007,627	\$2,007,627	\$2,007,627	FY18
4	Second Year of Laptop Purchases for Estout Program	Planned	\$1,732,866	\$1,732,866	\$1,732,866	FY19
5	Salary Related Support Items	Planned	\$1,191,237	\$1,191,237	\$1,191,237	FY18
6	Marketing - Retention Related Initiatives	Planned	\$597,850	\$597,850	\$597,850	FY18
7	Chancellor Initiatives	Planned	\$20,000	\$20,000	\$20,000	FY18
8	Debt Service Support - Rec Complex	Planned	\$105,000	\$105,000	\$105,000	FY18
9	Academic Department Support	Planned	\$284,015	\$284,015	\$284,015	FY18
10	Staff Retention Initiatives	Planned	\$5,000	\$5,000	\$5,000	FY18
11	IT Initiatives	Planned	\$144,400	\$144,400	\$144,400	FY18
12	Sustainability Initiatives	Planned	\$148,832	\$148,832	\$148,832	FY18

Total	\$7,173,827
Obligated	\$2,926,127
Planned	\$4,247,700

Designated Funds

	Major Balance Grouping	FY17 Designated Balance
1		
2		
3		
4		
5		
	Totals	\$0

Reserves

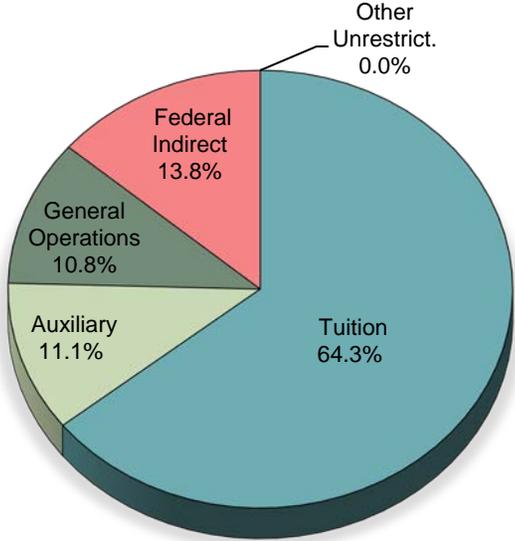
	Major Balance Grouping	FY17 Reserves Balance
1	5% Reserve per Stout policy (Enrollment Declines)	\$1,009,441
2		
3		
4		
5		
	Totals	\$1,009,441

Undocumented Funds

	Major Balance Grouping	FY17 Undocumented Balance
1	Remaining funds with no current allocations planned.	\$1,047,901
2		
3		
4		
5		
	Totals	\$1,047,901

UW-Superior FY 2017 PR Balances

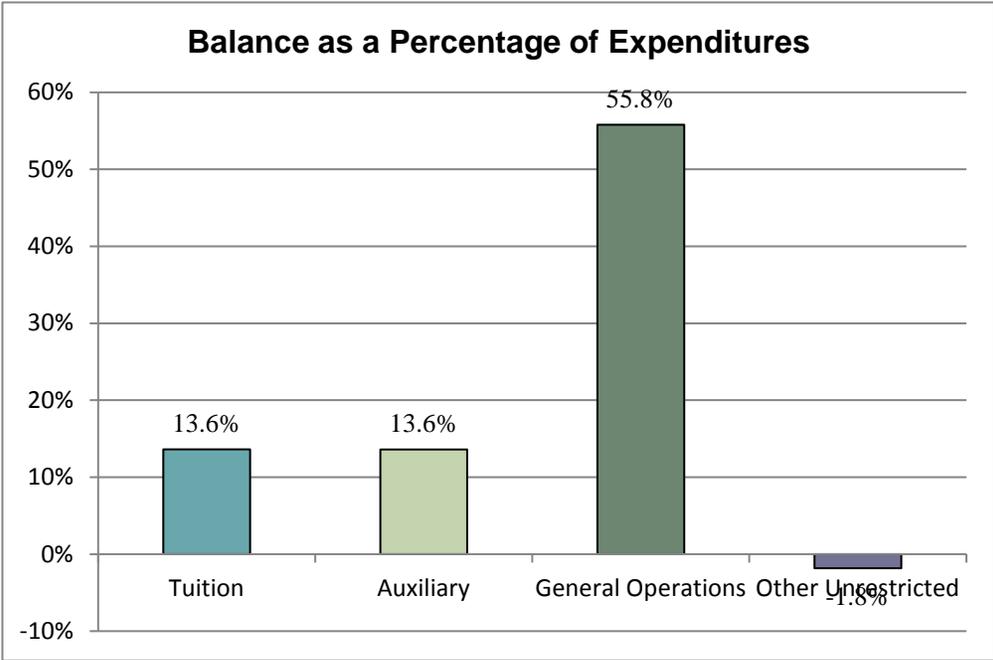
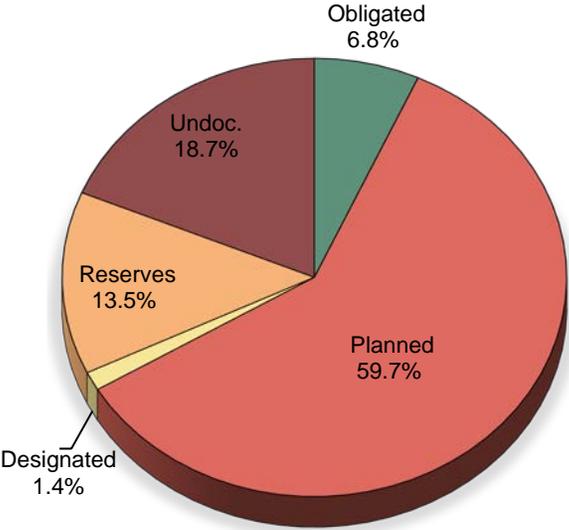
FY17 PR Balances by Category



PR Balances Highlights

- 66.5% of total unrestricted balances are categorized as either Obligated (6.8%) or Planned (59.7%)
- Tuition balance has decreased \$1.1 million (18.4%) compared to prior year
- Total unrestricted balances have decreased \$368 thousand (4.8%) compared to prior year

FY17 PR Balances by Commitment Level



Summary of UW System Unrestricted PR Balances by Level of Commitment
 FY 2017 ending balances as categorized by institution reports

UW-Superior

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Tuition	349,664	2,609,866	36,049	1,000,000	775,751	4,771,330
Auxiliary	7,313	709,579	66,693	0	41,736	825,321
General Operations	102,628	687,650	0	0	11,425	801,703
Federal Indirect	41,558	421,999	0	0	557,359	1,020,916
Other Unrestricted	0	0	0	0	0	0
Total	501,163 6.8%	4,429,094 59.7%	102,742 1.4%	1,000,000 13.5%	1,386,271 18.7%	7,419,270 100.0%

	Tuition (131 and 189)	Auxiliary (128 and 228)	General Operations (136)	Other Unrestricted (xxx)	Subtotal	Federal Indirect (150)	Total Unrestricted
Total PR Appropriation Balances							
FY2015-16	5,849,562	-297,635	697,348	-56,960	6,192,315	1,543,313	7,735,628
FY2016-17	4,771,330	825,321	801,703	-51,731	6,346,623	1,020,916	7,367,539
Change	-1,078,232 -18.4%	1,122,956 -377.3%	104,355 15.0%	5,229 -9.2%	154,308 2.5%	-522,397 -33.8%	-368,089 -4.8%
Total Expenditures							
FY2015-16	34,887,699	5,421,836	1,453,592	3,055,569	44,818,696	134,930	44,953,626
FY2016-17	35,034,495	6,067,507	1,436,857	2,830,405	45,369,264	986,138	46,355,402
Change	146,796	645,671	-16,735	-225,164	550,568	851,208	1,401,776
Balances as a Percentage of Expenditures							
FY2015-16	16.8%	-5.5%	48.0%	-1.9%			
FY2016-17	13.6%	13.6%	55.8%	-1.8%			

Report on Tuition Balance (Funds 131 and 189) in Excess of 12% of Fiscal Year Expenditures

**UW-Superior
Fiscal Year: 2017**

Total Balance	\$4,771,330	13.62%
Obligated		\$349,664
Planned		\$2,609,866
<i>subtotal: Obligated and Planned</i>		<i>\$2,959,530</i>
Designated	\$36,049	
Reserves	\$1,000,000	
Undocumented Funds	\$775,751	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	Lamar Company Advertising	Obligated	\$1,200	\$1,200	\$1,200	FY18
2	KBJR TV Advertising	Obligated	\$3,885	\$3,885	\$3,885	FY18
3	Berkshire Associates Inc. Training	Obligated	\$5,700	\$5,700	\$5,700	FY18
4	CollegeNet Inc. Event Management Software	Obligated	\$27,298	\$27,298	\$27,298	FY18
5	Duluth Typewriter & Business Furniture	Obligated	\$726	\$726	\$726	FY18
6	AT&T Data Comm Inc. Phone System Upgrade	Obligated	\$84,511	\$84,511	\$84,511	FY18
7	TRANE U. S. Inc. Building Automation System	Obligated	\$13,793	\$13,793	\$13,793	FY18
8	DELL Marketing Optiplex 5050MT	Obligated	\$14,864	\$14,864	\$14,864	FY18
9	New Faculty Hires - Moving Expenses	Obligated	\$11,000	\$11,000	\$11,000	FY18
10	New Faculty Hires - Laptops/Technology	Obligated	\$7,360	\$7,360	\$7,360	FY18
11	Voluntary Separation Incentive Program Payouts	Obligated	\$179,327	\$179,327	\$179,327	FY18
12	Heating Plant Conversion - Campus Share	Planned	\$464,370	\$464,370	\$464,370	FY21
13	Athletics Roster Expansion	Planned	\$507,868	\$507,868	\$507,868	FY18

14	Energy Conservation Projects	Planned	\$368,331	\$368,331	\$368,331	FY18
15	Course Scheduling Software	Planned	\$410,488	\$410,488	\$410,488	FY20
16	Common Systems Charges In Excess of Annual Budget	Planned	\$133,000	\$133,000	\$133,000	FY18
17	Marcovich Wellness Center Student Help	Planned	\$50,000	\$50,000	\$50,000	FY18
18	Unmet Budget Reduction	Planned	\$183,807	\$183,807	\$183,807	FY18
19	Wessman Arena HVAC Project Consultant Fees	Planned	\$120,000	\$120,000	\$120,000	FY18
20	Advising/Career Services Positions	Planned	\$68,000	\$68,000	\$68,000	FY20
21	Regent Academic Staff Award	Planned	\$5,000	\$5,000	\$5,000	FY20
22	Innovation Grants	Planned	\$69,289	\$69,289	\$69,289	FY18
23	Growth Agenda Grant	Planned	\$20,488	\$20,488	\$20,488	FY18
24	Financial Aid Carryover	Planned	\$39,127	\$39,127	\$39,127	FY18
25	CETL Advocacy Project	Planned	\$3,025	\$3,025	\$3,025	FY18
26	Diversity and Inclusion Strategic Plan	Planned	\$1,500	\$1,500	\$1,500	FY18
27	PeopleSoft Training	Planned	\$30,000	\$30,000	\$30,000	FY18
28	Sit/Stand Desk	Planned	\$500	\$500	\$500	FY18
29	First Year Experience Technology/Workspace	Planned	\$15,000	\$15,000	\$15,000	FY18
30	T & L Carryover Request	Planned	\$34,459	\$34,459	\$34,459	FY18
31	Chancellor Student Help Carryover Request	Planned	\$35,390	\$35,390	\$35,390	FY18
32	Unfunded HLC Reaccreditation Costs	Planned	\$15,000	\$15,000	\$15,000	FY18
33	Continuing Education/Extension	Planned	\$35,224	\$35,224	\$35,224	FY18
34						

Total	\$2,959,530
Obligated	\$349,664
Planned	\$2,609,866

Designated Funds

	Major Balance Grouping	FY17 Designated Balance
1	Differential Tuition	\$36,049
2		
3		
4		
5		
	Totals	\$36,049

Reserves

	Major Balance Grouping	FY17 Reserves Balance
1	Tuition Reserve	\$1,000,000
2		
3		
4		
5		
	Totals	\$1,000,000

Undocumented Funds

	Major Balance Grouping	FY17 Undocumented Balance
1		
2	Continuing Education/Extension Programs	\$479,759
3	Campus Tuition Balance	\$295,992
4		
5		
	Totals	\$775,751

Report on Auxiliary Operations Balance (Funds 128 and 228) in Excess of 12% of Fiscal Year Expenditures

**UW-Superior
Fiscal Year: 2017**

Total Balance	\$825,321	13.60%
Obligated		\$7,313
Planned		\$709,579
<i>subtotal: Obligated and Planned</i>		\$716,892
Designated	\$66,693	
Reserves	\$0	
Undocumented Funds	\$41,736	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	Duluth Typewriter and Business Furniture	Obligated	\$489	\$489	\$489	FY18
2	Innosoft Canada, Inc.	Obligated	\$5,200	\$5,200	\$5,200	FY18
3	Sales Tax Owed to the Department of Revenue	Obligated	\$1,624	\$1,624	\$1,624	FY18
4	Common Systems Charges In Excess of Annual Budget	Planned	\$100,000	\$100,000	\$100,000	FY18
5	Wessman Arena HVAC Upgrade	Planned	\$284,275	\$284,275	\$284,275	FY22
6	Athletics - Baseball Field Groomer, UnderArmor Apparel	Planned	\$105,634	\$105,634	\$105,634	FY18
7	Dishwasher - Food Service/Yellowjacket Union	Planned	\$100,000	\$100,000	\$100,000	FY18
8	Marcovich Wellness Center Equipment	Planned	\$63,676	\$63,676	\$63,676	FY20
9	Bookstore Commissions - Equipment and Furniture	Planned	\$48,000	\$48,000	\$48,000	FY20
10	Ropes Course - Equipment	Planned	\$2,100	\$2,100	\$2,100	FY18
11	Student Involvement - Various Items	Planned	\$4,909	\$4,909	\$4,909	FY18
12	Yellowjacket Activities Crew - Various Items	Planned	\$985	\$985	\$985	FY18
13						

Total	\$716,892
Obligated	\$7,313
Planned	\$709,579

Designated Funds

Major Balance Grouping

**FY17 Designated
Balance**

1	Study Abroad Programs	\$38,985
2	Special Course Fees/Lab Fees	\$21,509
3	International Student Services Fee	\$6,199
4		
5		
Totals		\$66,693

Reserves

Major Balance Grouping

**FY17 Reserves
Balance**

1		
2		
3		
4		
5		
Totals		\$0

Undocumented Funds

Major Balance Grouping

**FY17
Undocumented
Balance**

1	Parking Services	\$41,736
2		
3		
4		
5		
Totals		\$41,736

Report on General Operations Balance (Fund 136) in Excess of 12% of Fiscal Year Expenditures

**UW-Superior
Fiscal Year: 2017**

Total Balance	\$801,703	55.80%
Obligated		\$102,628
Planned		\$687,650
<i>subtotal: Obligated and Planned</i>		\$790,278
Designated	\$0	
Reserves	\$0	
Undocumented Funds	\$11,425	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	New Hire Faculty Development/Startup Funds	Obligated	\$1,000	\$1,000	\$1,000	FY20
2	Matching Funds for Grants	Obligated	\$17,582	\$17,582	\$17,582	FY19
3	Remedial Tuition	Obligated	\$59,800	\$59,800	\$59,800	FY20
4	Terminal Leave	Obligated	\$24,246	\$24,246	\$24,246	FY22
5	Athletics - Cameras, Microphones, Lights, Signage	Planned	\$13,200	\$13,200	\$13,200	FY18
6	Continuing Education/Extension - Classroom Upgrades, Conferences	Planned	\$25,895	\$25,895	\$25,895	FY19
7	Distance Learning Programs - Marketing, Staff Development, Strategic Planning	Planned	\$72,762	\$72,762	\$72,762	FY18
8	Event Fees/Career Fair	Planned	\$18,014	\$18,014	\$18,014	FY18
9	Facilities	Planned	\$107,392	\$107,392	\$107,392	FY18
10	Lake Superior Research Institute - Equipment/Instruments	Planned	\$61,560	\$61,560	\$61,560	FY22
11	Provost Initiatives - E-Textbooks, Conference Travel, Grants and Research Office Consolidation Costs, Student Help, Mock Trial Support, etc.	Planned	\$151,807	\$151,807	\$151,807	FY18
12	Remedial Tuition	Planned	\$4,229	\$4,229	\$4,229	FY18

13	Student Life	Planned	\$8,334	\$8,334	\$8,334	FY18
14	Technology Services	Planned	\$10,500	\$10,500	\$10,500	FY19
15	Telephone System	Planned	\$143,183	\$143,183	\$143,183	FY18
16	Admissions - Hobson's Training	Planned	\$3,875	\$3,875	\$3,875	FY18
17	Center for Community and Engaged Learning	Planned	\$4,051	\$4,051	\$4,051	FY18
18	Registrar's Office - Staff Development	Planned	\$3,267	\$3,267	\$3,267	FY18
19	Communicating Arts - Staff Development	Planned	\$1,000	\$1,000	\$1,000	FY18
20	Social Inquiry - Senior Thesis Projects	Planned	\$1,600	\$1,600	\$1,600	FY19
21	Human Behavior, Justice, and Diversity - Staff Development/Classroom Upgrades	Planned	\$8,941	\$8,941	\$8,941	FY18
22	First Year Experience/Weekend of Welcome	Planned	\$29,800	\$29,800	\$29,800	FY18
23	Commencement Costs	Planned	\$18,240	\$18,240	\$18,240	FY18

Total	\$790,278
Obligated	\$102,628
Planned	\$687,650

Designated Funds

Major Balance Grouping

**FY17 Designated
Balance**

1		
2		
3		

Totals \$0

Reserves

Major Balance Grouping

**FY17 Reserves
Balance**

1		
2		
3		

Totals \$0

Undocumented Funds

Major Balance Grouping

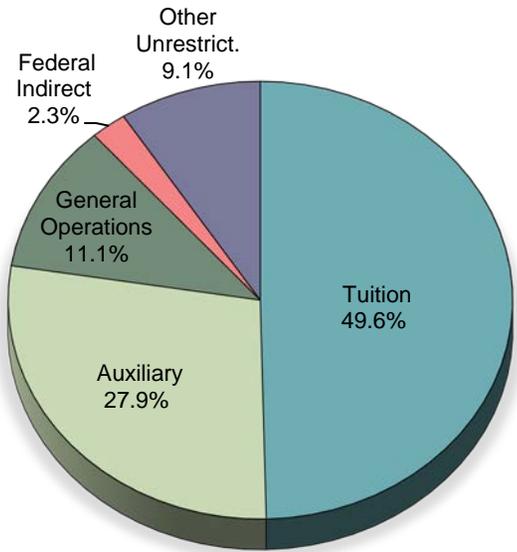
**FY17
Undocumented
Balance**

1	Fees for Service - Teacher Education/Math and Computer Science	\$5,218
2	Continuing Education/Extension Programs	\$6,207
3		

Totals \$11,425

UW-Whitewater FY 2017 PR Balances

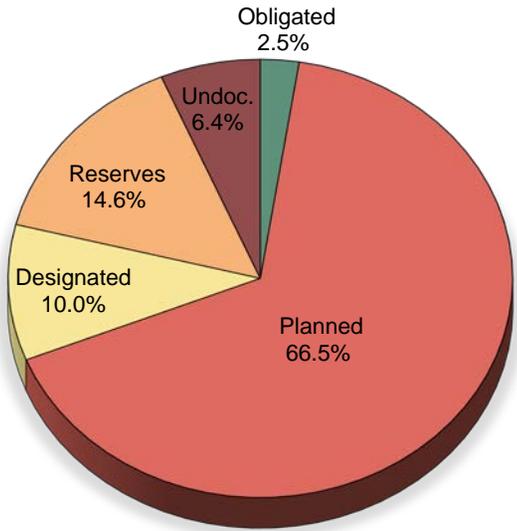
FY17 PR Balances by Category



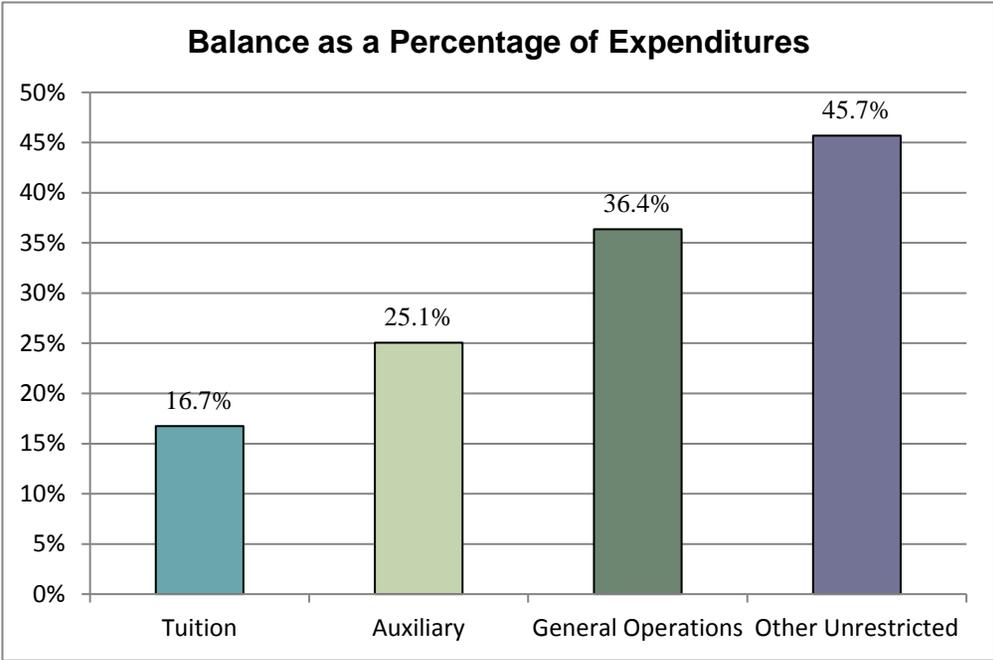
PR Balances Highlights

- 70% of total unrestricted balances are categorized either as Obligated (2.5%) or Planned (66.5%)
- Total unrestricted balances decreased by \$5.0 million (10.9%) compared to the prior year
- Tuition Balances decreased by \$5.1 million (20%) compared to the prior year

FY17 PR Balances by Commitment Level



Balance as a Percentage of Expenditures



Summary of UW System Unrestricted PR Balances by Level of Commitment
 FY 2017 ending balances as categorized by institution reports

UW-Whitewater

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Tuition	1,015,065	13,167,217	1,488,433	3,000,000	1,689,800	20,360,515
Auxiliary	0	6,880,665	1,564,130	3,000,000	0	11,444,795
General Operations	0	3,624,622	910,127	0	0	4,534,749
Federal Indirect	0	0	0	0	945,761	945,761
Other Unrestricted	0	3,598,626	148,873	0	0	3,747,499
Total	1,015,065 2.5%	27,271,130 66.5%	4,111,563 10.0%	6,000,000 14.6%	2,635,561 6.4%	41,033,319 100.0%

	Tuition (131 and 189)	Auxiliary (128 and 228)	General Operations (136)	Other Unrestricted (xxx)	Subtotal	Federal Indirect (150)	Total Unrestricted
Total PR Appropriation Balances							
FY2015-16	25,435,299	11,777,247	4,335,962	3,670,770	45,219,278	855,539	46,074,817
FY2016-17	20,360,515	11,444,795	4,534,749	3,747,499	40,087,558	945,761	41,033,319
Change	-5,074,784 -20.0%	-332,452 -2.8%	198,787 4.6%	76,729 2.1%	-5,131,720 -11.3%	90,222 10.5%	-5,041,498 -10.9%
Total Expenditures							
FY2015-16	113,792,882	42,776,922	11,935,903	7,487,346	175,993,053	148,588	176,141,641
FY2016-17	121,602,905	45,683,117	12,471,050	8,202,195	187,959,267	153,879	188,113,146
Change	7,810,023	2,906,195	535,147	714,849	11,966,214	5,291	11,971,505
Balances as a Percentage of Expenditures							
FY2015-16	22.4%	27.5%	36.3%	49.0%			
FY2016-17	16.7%	25.1%	36.4%	45.7%			

Report on Tuition Balance (Funds 131 and 189) in Excess of 12% of Fiscal Year Expenditures

**UW-Whitewater
Fiscal Year: 2017**

Total Balance	\$20,360,515	16.74%
Obligated		\$1,015,065
Planned		\$13,167,217
<i>subtotal: Obligated and Planned</i>		<i>\$14,182,282</i>
Designated	\$1,488,433	
Reserves	\$3,000,000	
Undocumented Funds	\$1,689,800	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	Encumbered Expenditures	Obligated	\$813,770	\$813,770	\$813,770	FY18
2	Inclusive Excellence Fellows	Obligated	\$201,295	\$201,295	\$201,295	FY18
3	Chancellor's Fellowship Faculty Sabbaticals	Planned	\$10,000	\$10,000	\$10,000	FY18
4	Common System Charges	Planned	\$1,300,000	\$1,300,000	\$1,300,000	FY18
5	Supplemental Student Help	Planned	\$1,000,000	\$1,000,000	\$1,000,000	FY18
6	Professional Development Funding	Planned	\$600,000	\$600,000	\$600,000	FY18
7	Police Dispatch Center	Planned	\$250,000	\$250,000	\$250,000	FY18
8	Sentry Lease	Planned	\$1,000,000	\$1,000,000	\$1,000,000	FY18
9	Centrally Funded Facilities Projects (FP&M)	Planned	\$1,189,000	\$1,189,000	\$1,189,000	FY18
10	Strategic Priorities in the College of Business & Economics	Planned	\$7,200,000	\$7,200,000	\$7,200,000	FY18-FY20
11	Academic Affairs Projects/Purchases in 2017-18	Planned	\$540,330	\$540,330	\$540,330	FY18
12	University Advancement Projects/Purchases in 2017-18	Planned	\$17,087	\$17,087	\$17,087	FY18
13	Grad Studies/Cont. Ed Projects/Purchases in 2017-18	Planned	\$60,800	\$60,800	\$60,800	FY18
14						

Total	\$14,182,282
Obligated	\$1,015,065
Planned	\$13,167,217

Designated Funds

Major Balance Grouping

**FY17 Designated
Balance**

1	Differential Tuition	\$1,284,878
2	Student Technology Fee	\$203,555
3		
4		
5		

Totals \$1,488,433

Reserves

Major Balance Grouping

**FY17 Reserves
Balance**

1	GPR Reserve (per campus policy)	\$3,000,000
2		
3		
4		
5		

Totals \$3,000,000

Undocumented Funds

Major Balance Grouping

**FY17
Undocumented
Balance**

1	Chancellor's Discretionary Fund	\$1,689,800
2		
3		
4		
5		

Totals \$1,689,800

Report on Auxiliary Operations Balance (Funds 128 and 228) in Excess of 12% of Fiscal Year Expenditures

**UW-Whitewater
Fiscal Year: 2017**

Total Balance	\$11,444,795	16.74%
Obligated		\$0
Planned		\$6,880,665
<i>subtotal: Obligated and Planned</i>		\$6,880,665
Designated	\$1,564,130	
Reserves	\$3,000,000	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	University Housing - Costs Related to Residence Hall Construction	Planned	\$3,500,000	\$6,000,000	\$6,000,000	FY18-FY20
2	University Center and Dining Planned Costs - University Center windows, roof ladders and painting, dining hall parapet repairs and roof, etc.	Planned	\$3,380,665	\$3,380,665	\$3,380,665	FY18-FY20
3						
4						
5						
6						
7						

Total	\$6,880,665
Obligated	\$0
Planned	\$6,880,665

Designated Funds

Major Balance Grouping

**FY17 Designated
Balance**

1	Travel Study/International Ed Fee	\$450,587
2	Library Technology Fees to Support Distance Education/Lib Svcs	\$122,259
3	Special Course Fees	\$140,557
4	Segregated Fee Funded Student Organizations	\$161,897
5	Young Auditorium Equip Facility	\$31,497
6	First Year Experience Fees - Plan it Purple, Transformation, the fees support programming and provide funding for orientation events. Funds are spent down at the beginning of the academic year.	\$402,772
7	Commencement Fund - Graduation fee collected and used for expenses related to commencement.	\$254,561
Totals		\$1,564,130

Reserves

Major Balance Grouping

**FY17 Reserves
Balance**

1	University Housing Reserve	\$2,000,000
2	University Center and Dining Reserve	\$1,000,000
3		
Totals		\$3,000,000

Undocumented Funds

Major Balance Grouping

**FY17
Undocumented
Balance**

1		
2		
3		
Totals		\$0

Report on General Operations Balance (Fund 136) in Excess of 12% of Fiscal Year Expenditures

**UW-Whitewater
Fiscal Year: 2017**

Total Balance	\$4,534,749	16.74%
Obligated		\$0
Planned		\$3,624,622
<i>subtotal: Obligated and Planned</i>		<i>\$3,624,622</i>
Designated	\$910,127	
Reserves	\$0	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	Plans for Camp Funds	Planned	\$772,809	\$772,809	\$772,809	FY18-FY19
2	Scholarships	Planned	\$543,000	\$543,000	\$543,000	FY18
3	VoIP Phone Purchase/Software Funding	Planned	\$363,480	\$363,480	\$363,480	FY18
4	Tech Fee - Supports technology in instructional delivery	Planned	\$1,695,124	\$1,695,124	\$1,695,124	FY18-FY19
5	Technology Service and Support Contracts and Projects	Planned	\$250,209	\$250,209	\$250,209	FY18
6						
7						

Total	\$3,624,622
Obligated	\$0
Planned	\$3,624,622

Designated Funds

Major Balance Grouping

**FY17 Designated
Balance**

1	Projects in Progress - Facilities, Planning & Management Departments have provided funds to purchase items	\$607,778
2	IT Infrastructure	\$302,349
3		
4		
5		
Totals		\$910,127

Reserves

Major Balance Grouping

**FY17 Reserves
Balance**

1		
2		
3		
4		
5		
Totals		\$0

Undocumented Funds

Major Balance Grouping

**FY17
Undocumented
Balance**

1		
2		
3		
4		
5		
Totals		\$0

Report on Other Unrestricted Program Revenue Balance in Excess of 12% of Fiscal Year Expenditures

**UW-Whitewater
Fiscal Year: 2017**

Total Balance	\$3,747,499	16.74%
Obligated		\$0
Planned		\$3,598,626
<i>subtotal: Obligated and Planned</i>		\$3,598,626
Designated	\$148,873	
Reserves	\$0	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	Debt Service	Planned	\$3,598,626	\$3,598,626	\$3,598,626	FY18
2						
3						
4						
5						
6						
7						

Total	\$3,598,626
Obligated	\$0
Planned	\$3,598,626

Designated Funds

Major Balance Grouping

**FY17 Designated
Balance**

1	Non-Credit Continuing Education Programs	\$143,856
2	License Plate Scholarship	\$5,017
3		
4		
5		
Totals		\$148,873

Reserves

Major Balance Grouping

**FY17 Reserves
Balance**

1		
2		
3		
4		
5		
Totals		\$0

Undocumented Funds

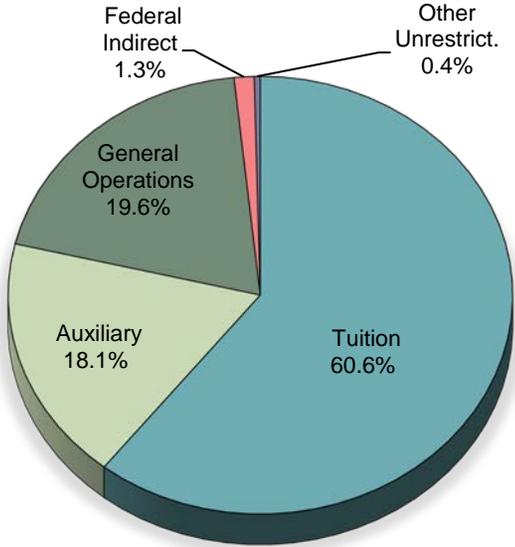
Major Balance Grouping

**FY17
Undocumented
Balance**

1		
2		
3		
4		
5		
Totals		\$0

UW Colleges FY 2017 PR Balances

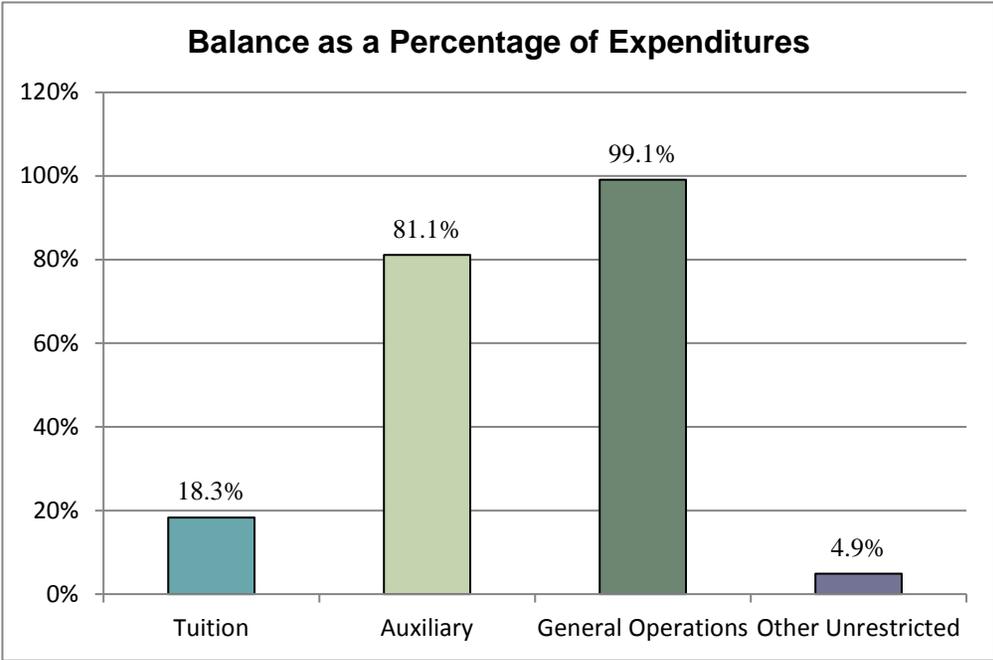
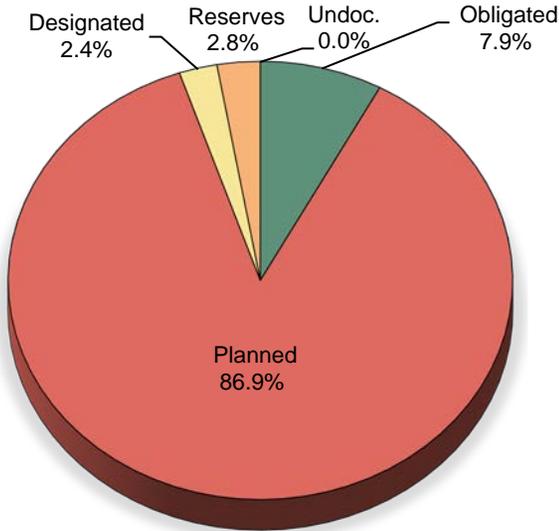
FY17 PR Balances by Category



PR Balances Highlights

- Tuition Balance down \$3.6 million (20.4%) from prior year
- Total unrestricted balances down \$3.2 million (12.2%) from prior year
- 94.8% of total unrestricted balances are categorized as either obligated (7.9%) or planned (86.9%)

FY17 PR Balances by Commitment Level



Summary of UW System Unrestricted PR Balances by Level of Commitment
 FY 2017 ending balances as categorized by institution reports

UW Colleges

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Tuition	848,595	13,027,923	0	0	0	13,876,518
Auxiliary	601,208	2,378,095	558,472	614,164	0	4,151,939
General Operations	333,335	4,144,418	0	0	0	4,477,753
Federal Indirect	0	293,941	0	566	0	294,507
Other Unrestricted	17,304	53,503	0	17,490	0	88,297
Total	1,800,442 7.9%	19,897,880 86.9%	558,472 2.4%	632,220 2.8%	0 0.0%	22,889,014 100.0%

	Tuition (131 and 189)	Auxiliary (128 and 228)	General Operations (136)	Other Unrestricted (xxx)	Subtotal	Federal Indirect (150)	Total Unrestricted
Total PR Appropriation Balances							
FY2015-16	17,428,984	3,436,826	4,400,851	563,277	25,829,938	253,469	26,083,407
FY2016-17	13,876,518	4,151,939	4,477,753	88,297	22,594,507	294,507	22,889,014
Change	-3,552,466 -20.4%	715,113 20.8%	76,902 1.7%	-474,980 -84.3%	-3,235,431 -12.5%	41,038 16.2%	-3,194,393 -12.2%
Total Expenditures							
FY2015-16	80,084,665	6,021,085	3,951,425	1,645,678	91,702,853	42,032	91,744,885
FY2016-17	75,783,377	5,118,030	4,517,904	1,806,458	87,225,769	28,165	87,253,934
Change	-4,301,288	-903,055	566,479	160,780	-4,477,084	-13,867	-4,490,951
Balances as a Percentage of Expenditures							
FY2015-16	21.8%	57.1%	111.4%	34.2%			
FY2016-17	18.3%	81.1%	99.1%	4.9%			

Report on Tuition Balance (Funds 131 and 189) in Excess of 12% of Fiscal Year Expenditures

**UW Colleges
Fiscal Year: 2017**

Total Balance	\$13,876,518	18.31%
Obligated		\$848,595
Planned		\$13,027,923
<i>subtotal: Obligated and Planned</i>		\$13,876,518
Designated	\$0	
Reserves	\$0	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	Support for Bachelor of Applied Arts and Sciences (BAAS) program salary and fringe beyond available budget	Obligated	\$272,899	\$272,899	\$272,899	FY18
2	Support for Bachelor of Applied Arts and Sciences (BAAS) program S&E and subsequent years' salary and fringe beyond available budget	Planned	\$1,936,455	\$1,936,455	\$1,936,455	FY18-FY23
3	New Era membership support	Planned	\$120,000	\$120,000	\$120,000	FY18-FY23
4	Engineering collaboration with UW Platteville	Planned	\$141,564	\$141,564	\$141,564	FY18-FY23
5	Support for Risk & Safety program salary and fringe beyond available budget	Obligated	\$33,834	\$33,834	\$33,834	FY18
6	Support for Risk & Safety program S&E and subsequent years' salary and fringe beyond available budget	Planned	\$206,868	\$206,868	\$206,868	FY18-FY23
7	SysNet Cost Increase	Planned	\$298,019	\$298,019	\$298,019	FY18-FY23

8	Human Resources salary and fringe beyond available budget	Obligated	\$99,270	\$99,270	\$99,270	FY18
9	Human Resources S&E and subsequent years' salary and fringe beyond available budget	Planned	\$655,104	\$655,104	\$655,104	FY18-FY23
10	Budget Office salary and fringe beyond available budget	Obligated	\$90,109	\$90,109	\$90,109	FY18
11	Budget Office salary and fringe in subsequent years beyond available budget	Planned	\$450,545	\$450,545	\$450,545	FY19-FY23
12	Administration & Finance salary and fringe beyond available budget	Obligated	\$114,870	\$114,870	\$114,870	FY18
13	Administration & Finance and governance S&E and subsequent years' salary and fringe beyond available budget	Planned	\$760,518	\$760,518	\$760,518	FY18-FY23
14	Institutional Research Office salary beyond available budget	Planned	\$148,059	\$148,059	\$148,059	FY18-FY23
15	Support for Provost's institutional planning initiatives beyond available budget	Planned	\$379,054	\$379,054	\$379,054	FY18-FY23
16	Support for Facilities Project Manager expenses beyond available budget	Planned	\$299,178	\$299,178	\$299,178	FY18-FY23
17	Salary, fringe and supplies for institutional Gender Equity Coordinator beyond available budget	Planned	\$156,990	\$156,990	\$156,990	FY18-FY23
18	Voice Over Internet (VOIP) support	Planned	\$341,718	\$341,718	\$341,718	FY18-FY23
19	25 Live Classroom Scheduling Software	Planned	\$996,000	\$996,000	\$996,000	FY18-FY23
20	UW Colleges Recruitment Software (PageUp)	Obligated	\$48,300	\$48,300	\$48,300	FY18
21	UW Colleges Recruitment Software (PageUp)	Planned	\$241,500	\$241,500	\$241,500	FY19-FY23
22	Support for expansion of marketing program beyond available budget	Planned	\$2,130,000	\$2,130,000	\$2,130,000	FY18-FY23
23	BP Logix workflow system	Planned	\$402,000	\$402,000	\$402,000	FY18-FY23
24	Supplies for Office of Conduct & Compliance beyond available budget	Planned	\$134,880	\$134,880	\$134,880	FY18-FY23
25	Impacts Initiative and joint UW Colleges/Extension website	Obligated	\$173,500	\$173,500	\$173,500	FY18-FY23
26	Outreach to underserved populations	Planned	\$600,000	\$600,000	\$600,000	FY18-FY23
27	Salary and fringe to coordinate multicultural pedagogy resources beyond available budget	Planned	\$72,000	\$72,000	\$72,000	FY18-FY23
28	Salary and fringe for summer faculty work on curricular revisions	Planned	\$44,227	\$44,227	\$44,227	FY18

29	Campus safety investments	Planned	\$167,551	\$300,000	\$300,000	FY18-FY23
30	Support beyond available budget for campus enhancements at UW-Fox Valley	Planned	\$125,000	\$625,000	\$625,000	FY18-FY22
31	Salary and fringe support for 25% Gallery Director position at UW-Fox Valley	Obligated	\$15,813	\$15,813	\$15,813	FY18
32	Salary and fringe support for 25% Gallery Director position at UW-Fox Valley in subsequent years	Planned	\$63,252	\$63,252	\$63,252	FY19-FY22
33	Offset anticipated revenue shortfall at multiple campuses	Planned	\$2,157,441	\$2,157,441	\$2,157,441	FY18-FY20
34						
35						

Total	\$13,876,518
Obligated	\$848,595
Planned	\$13,027,923

Designated Funds

Major Balance Grouping	FY17 Designated Balance
-------------------------------	--------------------------------

1		
2		
3		
4		
5		

Totals	\$0
--------	-----

Reserves

Major Balance Grouping	FY17 Reserves Balance
-------------------------------	------------------------------

1		
2		
3		
4		
5		

Totals

\$0

Undocumented Funds

Major Balance Grouping

**FY17
Undocumented
Balance**

1	
2	
3	
4	
5	

Totals

\$0

Report on Auxiliary Operations Balance (Funds 128 and 228) in Excess of 12% of Fiscal Year Expenditures

UW Colleges

Fiscal Year: 2017

Total Balance	\$4,151,939	18.31%
Obligated		\$601,208
Planned		\$2,378,095
<i>subtotal: Obligated and Planned</i>		\$2,979,303
Designated	\$558,472	
Reserves	\$614,164	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	Student approved expenditures beyond segregated fee revenue at all campuses	Obligated	\$323,169	\$323,169	\$323,169	FY18
2	Board of Regents approved expenditures for non-segregated fee auxiliary operations at multiple campuses	Planned	\$77,181	\$77,181	\$77,181	FY18
3	Replacement of maintenance truck at UW-Barron County	Planned	\$25,000	\$25,000	\$25,000	FY22
4	Residence hall furniture, theatre upgrades, lecture & fine arts supplies, and musical supplies beyond available revenue at UW-Barron County	Planned	\$16,984	\$7,100	\$7,100	FY18
5	Custodial services for residence hall at UW-Marathon County	Obligated	\$43,258	\$43,258	\$43,258	FY18
6	Salary and fringe support for non-instructional hiring beyond available budget	Obligated	\$35,149	\$35,149	\$35,149	FY18
7	Vehicle replacement van at UW-Marinette	Planned	\$25,000	\$25,000	\$25,000	FY19
8	Vehicle replacement truck at UW-Marinette	Planned	\$25,000	\$25,000	\$25,000	FY20
9	Leased housing at UW-Marinette	Obligated	\$130,704	\$130,704	\$130,704	FY18
10	Theatre lighting and interior upgrades at UW-Marinette	Obligated	\$68,928	\$68,928	\$68,928	FY18

11	Offset anticipated revenue shortfall at multiple campuses	Planned	\$1,389,678	\$1,389,678	\$1,389,678	FY18
12	Use of fund balances to support Board of Regents-approved allocable fee freeze at multiple campuses	Planned	\$85,640	\$85,640	\$85,640	FY18
13	Projection system for theater at UW-Baraboo/Sauk County	Planned	\$11,600	\$11,600	\$11,600	FY18
14	Vehicle replacement at UW-Richland	Planned	\$25,000	\$25,000	\$25,000	FY18
15	Utility tractor purchase at UW-Richland	Planned	\$19,697	\$19,697	\$19,697	FY18
18	Miscellaneous campus improvement purchases in support of mission beyond available budget at UW-Rock County (technology, gator replacement, musical production expenses, health education, chemistry, addtl supplies for student affairs & libraries, groundskeeping, safety equipment, renew writing workshop, commencement, common reading, madrigal dinner and festival)	Planned	\$175,240	\$175,240	\$175,240	FY18-FY22
19	Salary and fringe support beyond available budget at UW-Rock County (peer mentoring program, work study, theater tech manager, and chemistry lab tech)	Planned	\$297,675	\$297,675	\$297,675	FY18-FY22
20	Support for faculty and staff professional development beyond available budget at UW-Rock County	Planned	\$135,000	\$135,000	\$135,000	FY18-FY22
21	Continuing education office remodel at UW-Washington County	Planned	\$6,200	\$6,200	\$6,200	FY18
22	Science equipment purchases at UW-Baraboo/Sauk County	Planned	\$63,200	\$63,200	\$63,200	FY18

Total	\$2,979,303
Obligated	\$601,208
Planned	\$2,378,095

Designated Funds

	Major Balance Grouping	FY17 Designated Balance
1	Student segregated fees	\$558,472
2		
3		
4		
5		
	Totals	\$558,472

Reserves

Major Balance Grouping

**FY17 Reserves
Balance**

1	Reserves are held in auxiliary operations, including student segregated fee operations based on UW System policy, emergencies, and unexpected fluctuations in enrollments which may cause a loss of segregated fee or auxiliary revenue.	\$614,164
2		
3		
4		
5		
Totals		\$614,164

Undocumented Funds

Major Balance Grouping

**FY17
Undocumented
Balance**

1		
2		
3		
4		
5		
Totals		\$0

Report on General Operations Balance (Fund 136) in Excess of 12% of Fiscal Year Expenditures

**UW Colleges
Fiscal Year: 2017**

Total Balance	\$4,477,753	18.31%
Obligated		\$333,335
Planned		\$4,144,418
<i>subtotal: Obligated and Planned</i>		<i>\$4,477,753</i>
Designated	\$0	
Reserves	\$0	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	Registrar's Office salary and fringe support beyond available budget	Obligated	\$161,557	\$161,557	\$161,557	FY18
2	Registrar's Office S&E and subsequent years' salary and fringe support beyond available budget	Planned	\$807,783	\$807,783	\$807,783	FY19-FY23
3	Developmental Education Coordinators' salary and fringe beyond available budget	Obligated	\$67,052	\$67,052	\$67,052	FY18
4	Developmental Education Coordinators' subsequent years' salary and fringe beyond available budget	Planned	\$319,499	\$335,259	\$335,259	FY19-FY23
5	Non-instructional student services coordinators' salaries and fringe to support mission beyond available budget	Obligated	\$104,726	\$104,726	\$104,726	FY18
6	Campus investments at UW-Barron County beyond available budget (art lab supplies, theatre box office improvements and software, IT)	Planned	\$16,795	\$16,795	\$16,795	FY18
7	Art photo lab upgrades beyond available budget at UW-Marquette	Planned	\$3,700	\$3,700	\$3,700	FY18

8	Offset anticipated revenue shortfall at multiple campuses	Planned	\$2,867,926	\$2,867,926	\$2,867,926	FY18-FY19
9	Support for UW-Marshfield's STEM unfunded movable equipment beyond available budget	Planned	\$79,545	\$79,545	\$79,545	FY18
10	Support beyond available budget for campus library improvements at UW-Fox Valley	Planned	\$5,000	\$5,000	\$5,000	FY18
11	Engineering program partnership initiative at UW-Fox Valley	Planned	\$11,680	\$11,680	\$11,680	FY18
12	Support beyond available budget for campus enhancements at UW-Fond du Lac and UW-Manitowoc	Planned	\$10,000	\$10,000	\$10,000	FY18
13	Security camera installation and purchase at UW-Washington County	Planned	\$17,461	\$17,461	\$17,461	FY18
14	Administrative space remodel at UW-Waukesha	Planned	\$5,029	\$5,029	\$5,029	FY18
15						
16						

Total	\$4,477,753
Obligated	\$333,335
Planned	\$4,144,418

Designated Funds

Major Balance Grouping

FY17 Designated Balance

1		
2		
3		
4		
5		

Totals	\$0
--------	-----

Reserves

Major Balance Grouping

**FY17 Reserves
Balance**

1		
2		
3		
4		
5		

Totals \$0

Undocumented Funds

Major Balance Grouping

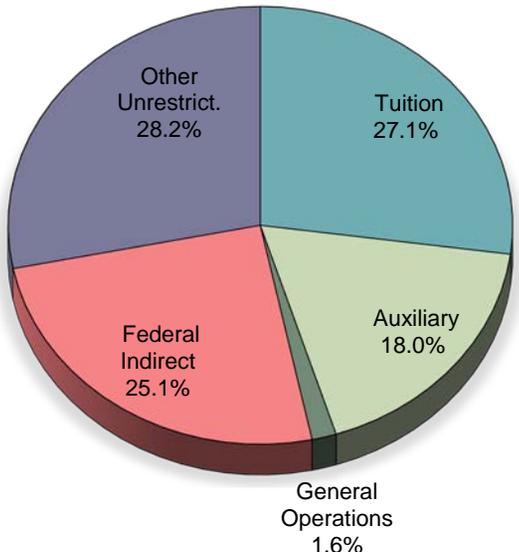
**FY17
Undocumented
Balance**

1		
2		
3		
4		
5		

Totals \$0

UW Extension FY 2017 PR Balances

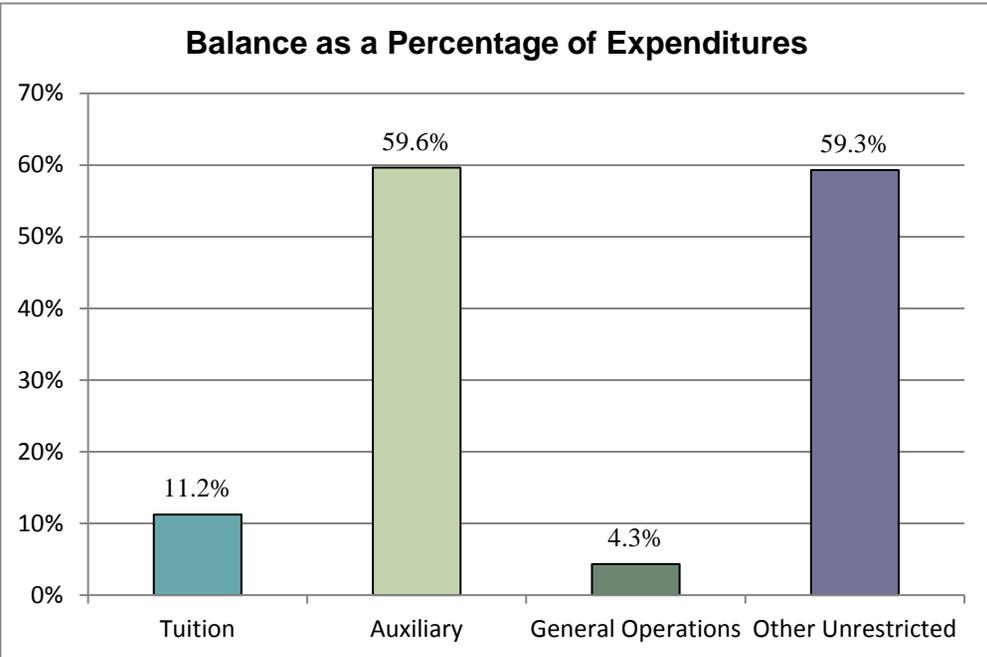
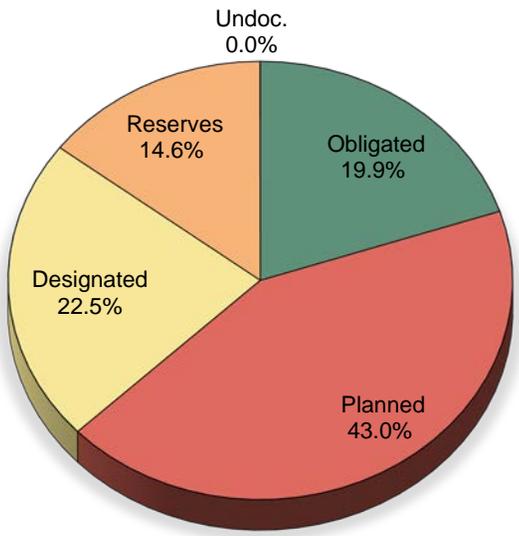
FY17 PR Balances by Category



PR Balances Highlights

- Tuition, General Operations, and Other Unrestricted fund balances have all declined from prior year
- No spending plans were required for Tuition and General Operations as balances are below the 12% reporting threshold
- Total Unrestricted balances decreased by \$2.0 million (8.3%) compared to prior year

FY17 PR Balances by Commitment Level



Summary of UW System Unrestricted PR Balances by Level of Commitment
 FY 2017 ending balances as categorized by institution reports

UW Extension

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Tuition	3,008,430	606,183	2,478,158	0	0	6,092,771
Auxiliary	526,958	3,461,213	0	59,280	4,408	4,051,859
General Operations	41,722	292,158	18,668	0	0	352,548
Federal Indirect	242,840	3,404,497	0	2,000,000	0	5,647,337
Other Unrestricted	651,930	1,898,420	2,553,990	1,227,312	0	6,331,652
Total	4,471,880 19.9%	9,662,471 43.0%	5,050,816 22.5%	3,286,592 14.6%	4,408 0.0%	22,476,167 100.0%

	Tuition (131 and 189)	Auxiliary (128 and 228)	General Operations (136)	Other Unrestricted (xxx)	Subtotal	Federal Indirect (150)	Total Unrestricted
Total PR Appropriation Balances							
FY2015-16	6,396,304	3,141,961	1,635,955	6,452,234	17,626,454	6,870,853	24,497,307
FY2016-17	6,092,771	4,051,859	352,548	6,331,652	16,828,830	5,647,337	22,476,167
Change	-303,533 -4.7%	909,898 29.0%	-1,283,407 -78.5%	-120,582 -1.9%	-797,624 -4.5%	-1,223,516 -17.8%	-2,021,140 -8.3%
Total Expenses							
FY2015-16	52,019,801	7,056,068	6,973,223	9,675,458	75,724,550	2,022,537	77,747,087
FY2016-17	54,220,161	6,793,687	8,129,333	10,677,546	79,820,727	2,965,431	82,786,158
Change	2,200,360	-262,381	1,156,110	1,002,088	4,096,177	942,894	5,039,071
Balances as a Percentage of Expenditures							
FY2015-16	12.3%	44.5%	23.5%	66.7%			
FY2016-17	11.2%	59.6%	4.3%	59.3%			

Report on Auxiliary Operations Balance (Funds 128 and 228) in Excess of 12% of Fiscal Year Expenditures

**UW Extension
Fiscal Year: 2017**

Total Balance	\$4,051,859	59.6%
Obligated		\$526,958
Planned		\$3,461,213
<i>subtotal: Obligated and Planned</i>		\$3,988,171
Designated	\$0	
Reserves	\$59,280	
Undocumented Funds	\$4,408	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	Conference Centers Purchase Door Replacement	Obligated	\$7,293	\$7,293	\$7,293	FY18
2	Conference Centers - external facilities agreement	Obligated	\$465,127	\$465,127	\$465,127	FY18
3	Conference Centers Shuttle Service	Obligated	\$14,395	\$14,395	\$14,395	FY18
4	Conference Centers FY19-21 Scheduled Debt Service	Planned	\$1,294,258	\$1,294,258	\$1,294,258	FY19-21
5	Conference Centers Lowell Hall 4th Floor Guestroom Renovations	Planned	\$1,000,000	\$1,000,000	\$1,000,000	FY19
6	Conference Centers Lowell Hall Air Handlers	Planned	\$117,962	\$117,962	\$200,000	FY19
7	Mail Services Mailing and Shipping Software	Obligated	\$12,139	\$12,139	\$12,139	FY18
8	Mail Services Van Replacement	Planned	\$23,500	\$23,500	\$23,500	FY18
9	Mail Services equipment replacement	Planned	\$930,000	\$930,000	\$930,000	FY19-22
10	Upham Woods Food Service and other invoices	Obligated	\$28,004	\$28,004	\$28,004	FY18
11	Upham Woods LTE Support	Planned	\$20,737	\$20,737	\$20,737	FY18
12	Upham Woods Upgrade and Maintenance support	Planned	\$74,756	\$74,756	\$74,756	FY18

Total	\$3,988,171
Obligated	\$526,958
Planned	\$3,461,213

Designated Funds

Major Balance Grouping

**FY17 Designated
Balance**

1		
2		
3		
4		
5		
Totals		\$0

Reserves

Major Balance Grouping

**FY17 Reserves
Balance**

1	Camps/Clinics reserve for emergencies or other unforeseen circumstances	\$59,280
2		
3		
4		
5		
Totals		\$59,280

Undocumented Funds

Major Balance Grouping

**FY17
Undocumented
Balance**

1	Chancellor's Discretionary funding	\$4,408
2		
3		
4		
5		
Totals		\$4,408

Report on Other Unrestricted Program Revenue Balance in Excess of 12% of Fiscal Year Expenditures

**UW Extension
Fiscal Year: 2017**

Total Balance	\$6,331,652	59.3%
Obligated		\$651,930
Planned		\$1,898,420
<i>subtotal: Obligated and Planned</i>		\$2,550,350
Designated	\$2,553,990	
Reserves	\$1,227,312	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	Extension Website Redesign	Obligated	\$15,174	\$15,174	\$15,174	FY18
2	Multicultural Awareness Program (MAP) Support for Office of Diversity	Planned	\$66,720	\$66,720	\$66,720	FY18-FY19
3	Facility Upgrade/Replacement Projects	Planned	\$1,007,682	\$1,007,682	\$1,007,682	FY18
4	VOIP Services Implementation	Planned	\$24,488	\$24,488	\$24,488	FY18
5	Farm Technology Days Support	Planned	\$25,000	\$25,000	\$25,000	FY18
6	Upham Woods Fiber Maintenance	Planned	\$12,000	\$12,000	\$12,000	FY18-FY21
7	Page Up Implementation & Annual Service Fee	Obligated	\$24,150	\$24,150	\$24,150	FY18
8	Business & Entrepreneurship Special Pilot Projects	Planned	\$153,918	\$153,918	\$153,918	FY18
9	Market Research - Adult Education Study	Obligated	\$23,688	\$23,688	\$23,688	FY17-18
10	WI-DOA - Phone System Replacement	Planned	\$14,602	\$14,602	\$14,602	FY17-18
11	Desktop Computer Replacements	Planned	\$72,979	\$72,979	\$72,979	FY17-18
12	UW HELP Miler EPCS Interface to eApp Program	Obligated	\$97,150	\$97,150	\$97,150	FY17-18
13	UW HELP Mobile App - UW Journey	Planned	\$291,075	\$291,075	\$291,075	FY17-18
14	School for Workers-Employee & Industrial Relations Flex Degree	Planned	\$229,956	\$229,956	\$229,956	FY17-18
15	Conference Centers FY18 Scheduled Debt Service	Obligated	\$491,769	\$491,769	\$491,769	FY18
	Total		\$2,550,350			
	Obligated		\$651,930			
	Planned		\$1,898,420			

Designated Funds

	Major Balance Grouping	FY17 Designated Balance
1	Continuing Education - support of programming activities	\$2,553,990
2		
3		
4		
5		
	Totals	\$2,553,990

Reserves

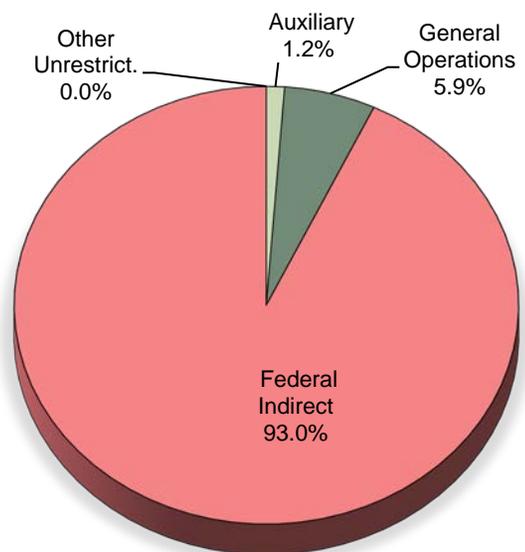
	Major Balance Grouping	FY17 Reserves Balance
1	Continuing Education reserve for emergencies or other unforeseen circumstances	\$1,227,312
2		
3		
4		
5		
	Totals	\$1,227,312

Undocumented Funds

	Major Balance Grouping	FY17 Undocumented Balance
1		
2		
3		
4		
5		
	Totals	\$0

UW System Administration FY 2017 PR Balances

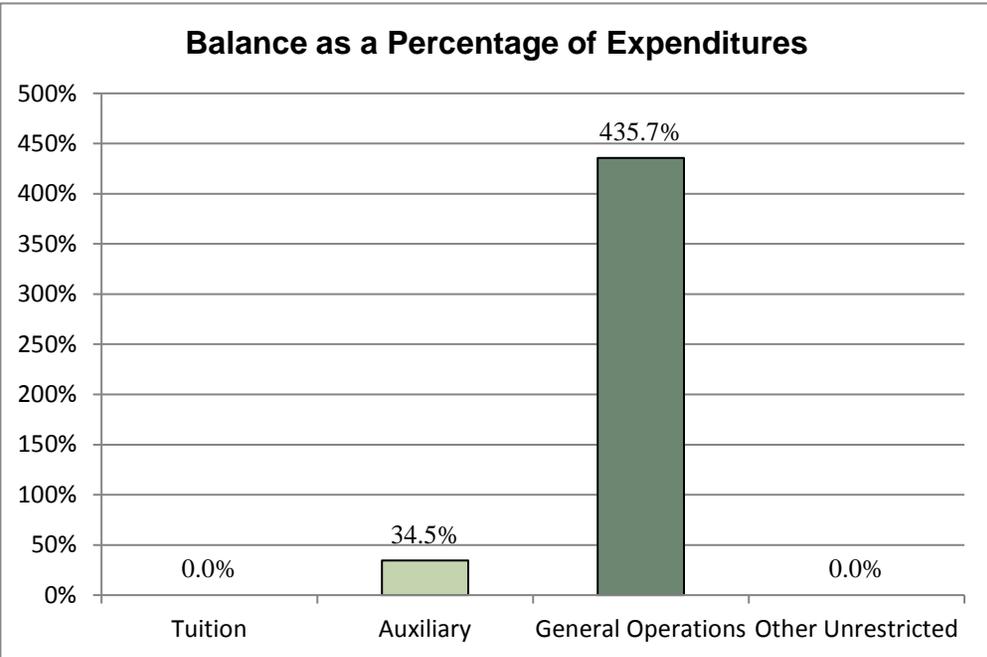
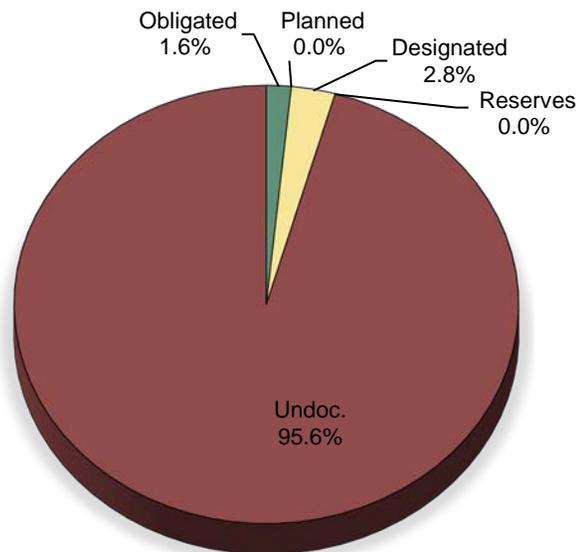
FY17 PR Balances by Category



PR Balances Highlights

- Total unrestricted balances up \$1.4M, approximately 13.8%, due to higher than expected revenues and significant salary and fringe benefit savings resulting from vacant positions
- Undocumented/Discretionary balances for General Operations are greater than 12% of expenditures

FY17 PR Balances by Commitment Level



Summary of UW System Unrestricted PR Balances by Level of Commitment
 FY 2017 ending balances as categorized by institution reports

UW System Administration

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Tuition	0	0	0	0	0	0
Auxiliary	133,787	0	0	0	0	133,787
General Operations	0	0	324,276	0	350,019	674,295
Federal Indirect	49,017	0	0	0	10,641,136	10,690,153
Other Unrestricted	0	0	0	0	0	0
Total	182,804 1.6%	0 0.0%	324,276 2.8%	0 0.0%	10,991,155 95.6%	11,498,235 100.0%

	Tuition (131 and 189)	Auxiliary (128 and 228)	General Operations (136)	Other Unrestricted (xxx)	Subtotal	Federal Indirect (150)	Total Unrestricted
Total PR Appropriation Balances							
FY2015-16	0	167,680	570,197	0	737,877	9,365,013	10,102,890
FY2016-17	0	133,787	674,295	0	808,082	10,690,153	11,498,235
Change	0	-33,893 -20.2%	104,098 18.3%	0	70,205 9.5%	1,325,140 14.1%	1,395,345 13.8%
Total Expenditures							
FY2015-16	0	26,760	163,460	0	190,220	2,074,011	2,264,231
FY2016-17	0	387,430	154,774	0	542,204	2,310,228	2,852,432
Change	0	360,670	-8,686	0	351,984	236,217	588,201
Balances as a Percentage of Expenditures							
FY2015-16		626.6%	348.8%				
FY2016-17		34.5%	435.7%				

Report on Auxiliary Operations Balance (Funds 128 and 228) in Excess of 12% of Fiscal Year Expenditures

**UW System Administration
Fiscal Year: 2017**

Total Balance	\$133,787	34.53%
Obligated		\$133,787
Planned		\$0
<i>subtotal: Obligated and Planned</i>		<i>\$133,787</i>
Designated	\$0	
Reserves	\$0	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	Tax Sheltered Annuity program participant fees and vendor/partner support which must be applied to program per law and contracts	Obligated	\$133,787	\$133,787	\$133,787	FY18
2						
3						
4						
5						
6						
7						
8						
9						

Total	\$133,787
Obligated	\$133,787
Planned	\$0

Designated Funds

Major Balance Grouping

**FY17 Designated
Balance**

1		
2		
3		
4		
5		

Totals \$0

Reserves

Major Balance Grouping

**FY17 Reserves
Balance**

1		
2		
3		
4		
5		

Totals \$0

Undocumented Funds

Major Balance Grouping

**FY17
Undocumented
Balance**

1		
2		
3		
4		
5		

Totals \$0

Report on General Operations Balance (Fund 136) in Excess of 12% of Fiscal Year Expenditures

**UW System Administration
Fiscal Year: 2017**

Total Balance	\$674,295	435.66%
Obligated		\$0
Planned		\$0
<i>subtotal: Obligated and Planned</i>		\$0
Designated	\$324,276	
Reserves	\$0	
Undocumented Funds	\$350,019	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1						
2						
3						
4						
5						
6						
7						

Total	\$0
Obligated	\$0
Planned	\$0

Designated Funds

Major Balance Grouping

**FY17 Designated
Balance**

1	Systemwide Insurance Program Administration	\$324,276
2		
3		
4		
5		

Totals \$324,276

Reserves

Major Balance Grouping

**FY17 Reserves
Balance**

1		
2		
3		
4		
5		

Totals \$0

Undocumented Funds

Major Balance Grouping

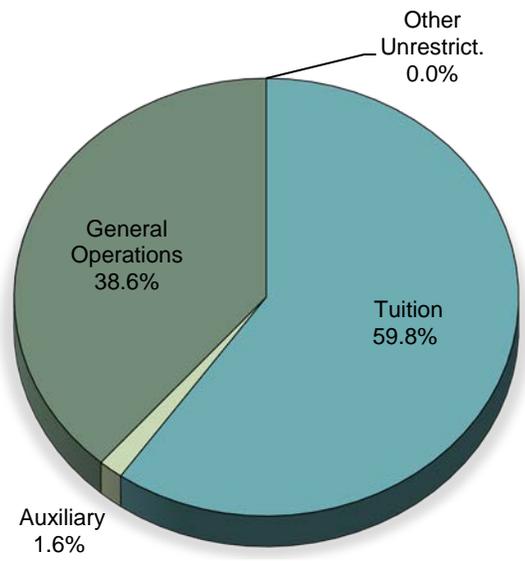
**FY17
Undocumented
Balance**

1	Balance of funding for which commitments have not yet been documented	\$350,019
2		
3		
4		
5		

Totals \$350,019

UW Systemwide FY 2017 PR Balances

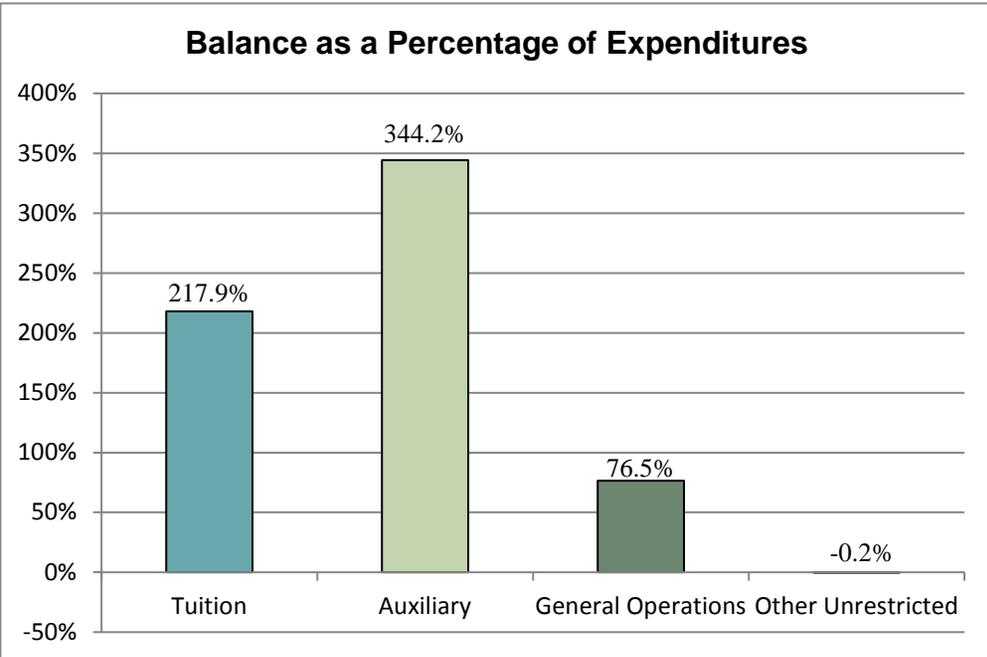
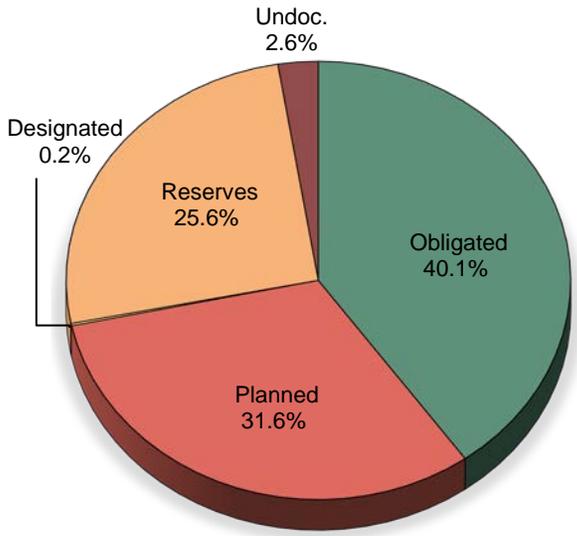
FY17 PR Balances by Category



PR Balances Highlights

- 72% of Total Unrestricted balances were either obligated (40%) or planned (32%)
- Only 2.6% of Total Unrestricted balances were categorized as undocumented (discretionary)

FY17 PR Balances by Commitment Level



Summary of UW System Unrestricted PR Balances by Level of Commitment
 FY 2017 ending balances as categorized by institution reports

UW Systemwide

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Tuition	280,636	24,766,838	0	20,000,000	1,868,086	46,915,560
Auxiliary	879,945	0	131,766	81,047	148,370	1,241,128
General Operations	30,309,358	0	0	0	0	30,309,358
Federal Indirect	0	0	0	0	0	0
Other Unrestricted	0	0	0	(2,648)	0	(2,648)
Total	31,469,939 40.1%	24,766,838 31.6%	131,766 0.2%	20,078,399 25.6%	2,016,456 2.6%	78,463,398 100.0%

	Tuition (131 and 189)	Auxiliary (128 and 228)	General Operations (136)	Other Unrestricted (xxx)	Subtotal	Federal Indirect (150)	Total Unrestricted
Total PR Appropriation Balances							
FY2015-16	38,016,345	634,121	20,889,094	-5,252	59,534,308	66,512	59,600,820
FY2016-17	46,915,560	1,241,128	30,309,358	-2,648	78,463,398	0	78,463,398
Change	8,899,215 23.4%	607,007 95.7%	9,420,264 45.1%	2,604 -49.6%	18,929,090 31.8%	-66,512 -100.0%	18,862,578 31.6%
Total Expenditures							
FY2015-16	20,907,391	679,580	38,867,196	1,514,771	61,968,938	83,150	62,052,088
FY2016-17	21,527,893	360,605	39,630,316	1,375,720	62,894,534	83,973	62,978,507
Change	620,502	-318,975	763,120	-139,051	925,596	823	926,419
Balances as a Percentage of Expenditures							
FY2015-16	181.8%	93.3%	53.7%	-0.3%			
FY2016-17	217.9%	344.2%	76.5%	-0.2%			

Report on Tuition Balance (Funds 131 and 189) in Excess of 12% of Fiscal Year Expenditures

**UW Systemwide
Fiscal Year: 2017**

Total Balance	\$46,915,560	217.93%
Obligated		\$280,636
Planned		\$24,766,838
<i>subtotal: Obligated and Planned</i>		<i>\$25,047,474</i>
Designated	\$0	
Reserves	\$20,000,000	
Undocumented Funds	\$1,868,086	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	Outstanding encumbrances in Systemwide accounts	Obligated	\$280,636	\$280,636	\$280,636	FY18
2	WiSys Economic Development Initiative	Planned	\$2,000,000	\$2,000,000	\$2,000,000	FY18-FY19
3	WiscAMP (Wisconsin Alliance for Minority Participation) support	Planned	\$220,000	\$220,000	\$220,000	FY18-FY19
4	WiSys Annual Grant Assessment	Planned	\$400,000	\$400,000	\$400,000	FY18-FY21
5	UW-Milwaukee Lubar Welcome Center Matching Funds	Planned	\$2,300,000	\$2,300,000	\$2,300,000	FY18-FY24
6	Flex Option funding for Student Engagement System	Planned	\$4,929,676	\$4,929,676	\$4,929,676	FY18-FY26
7	Support for 50% of Fox World Travel campus booking fees	Planned	\$203,500	\$203,500	\$203,500	FY18
8	Support for 50% of Elsevier Freedom Scientific Journal Collection	Planned	\$469,282	\$469,282	\$269,282	FY18-FY20
9	UW-Milwaukee Investing in Advising Initiative	Planned	\$700,000	\$700,000	\$700,000	FY18
10	UW Leadership Development Program	Planned	\$48,500	\$48,500	\$48,500	FY18
11	Systemwide Continuity of Operations Training support	Planned	\$528,000	\$528,000	\$528,000	FY18-FY19
12	New UW System Budget, Planning, and Forecasting System	Planned	\$6,928,560	\$6,928,560	\$6,928,120	FY18-FY23
13	UW-Oshkosh Retention, Progression, and Graduation Initiative	Planned	\$339,320	\$339,320	\$339,320	FY18-FY19
14	Title and Total Compensation Project support	Planned	\$900,000	\$900,000	\$900,000	FY18-FY21
15	Systemwide funding support to HRS/Service Center	Planned	\$1,000,000	\$1,000,000	\$1,000,000	FY18
16	Systemwide Initiatives - Upgrade/Enhance Transfer Processes	Planned	\$1,000,000	\$1,000,000	\$1,000,000	FY18
17	Systemwide Initiatives - Remedial Math Placement Transition	Planned	\$200,000	\$200,000	\$200,000	FY18
18	Systemwide Initiatives - 360 Degree Advising	Planned	\$250,000	\$250,000	\$250,000	FY18
19	Systemwide Initiatives - CORE Project(s) support	Planned	\$700,000	\$700,000	\$700,000	FY18
20	Systemwide Initiatives - Business Intelligence Planning	Planned	\$250,000	\$250,000	\$250,000	FY18
21	Systemwide Initiatives - Information Security-External Assessments	Planned	\$1,400,000	\$1,400,000	\$1,400,000	FY18
22						

Total	\$25,047,474
Obligated	\$280,636
Planned	\$24,766,838

Designated Funds

	Major Balance Grouping	FY17 Designated Balance
1		
2		
3		
4		
5		
	Totals	\$0

Reserves

	Major Balance Grouping	FY17 Reserves Balance
1	Systemwide Reserve Balance	\$20,000,000
2		
3		
4		
5		
	Totals	\$20,000,000

Undocumented Funds

	Major Balance Grouping	FY17 Undocumented Balance
1	Remaining balance for which commitments are not yet documented	\$1,868,086
2		
3		
4		
5		
	Totals	\$1,868,086

Report on Auxiliary Operations Balance (Funds 128 and 228) in Excess of 12% of Fiscal Year Expenditures

UW Systemwide

Fiscal Year: 2017

Total Balance	\$1,241,128	344.18%
Obligated		\$879,945
Planned		\$0
<i>subtotal: Obligated and Planned</i>		\$879,945
Designated	\$131,766	
Reserves	\$81,047	
Undocumented Funds	\$148,370	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	Outstanding encumbrances in Systemwide accounts	Obligated	\$3,630	\$3,630	\$3,630	FY18
2	Interest Earnings Clearing Account - distributed to UW institutions	Obligated	\$876,315	\$876,315	\$876,315	FY18
3						
4						
5						
6						
7						
8						
9						
10						
11						

Total	\$879,945
Obligated	\$879,945
Planned	\$0

Designated Funds

	Major Balance Grouping	FY17 Designated Balance
1	WIAC Conference revenues which were collected either through Institution membership fees or from corporate partner sponsors	\$131,766
2		
3		
4		
5		
	Totals	\$131,766

Reserves

	Major Balance Grouping	FY17 Reserves Balance
1	WIAC Reserve account balance	\$81,047
2		
3		
4		
5		
	Totals	\$81,047

Undocumented Funds

	Major Balance Grouping	FY17 Undocumented Balance
1	Remaining balance for which commitments are not yet documented	\$148,370
2		
3		
4		
5		
	Totals	\$148,370

Report on General Operations Balance (Fund 136) in Excess of 12% of Fiscal Year Expenditures

UW Systemwide

Fiscal Year: 2017

Total Balance	\$30,309,358	76.48%
Obligated		\$30,309,358
Planned		\$0
<i>subtotal: Obligated and Planned</i>		<i>\$30,309,358</i>
Designated	\$0	
Reserves	\$0	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated and Planned Funds

	Project/Initiative	Obligated or Planned?	FY17 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
1	Outstanding encumbrances in Systemwide accounts	Obligated	\$19,238,205	\$19,238,205	\$19,238,205	FY18-FY21
2	UW Network - Balance of campus assessments at yearend	Obligated	\$5,252,424	\$5,252,424	\$5,252,424	FY18-FY19
3	Common Systems accounts - balance of campus assessments at yearend. These are the large, shared IT systems used and paid for by all UW System institutions through annual assessments	Obligated	\$5,818,729	\$5,818,729	\$5,818,729	FY18
4						
5						
6						
7						
8						
9						

Total	\$30,309,358
Obligated	\$30,309,358
Planned	\$0

Designated Funds

Major Balance Grouping

**FY17 Designated
Balance**

1		
2		
3		
4		
5		

Totals \$0

Reserves

Major Balance Grouping

**FY17 Reserves
Balance**

1		
2		
3		
4		
5		

Totals \$0

Undocumented Funds

Major Balance Grouping

**FY17
Undocumented
Balance**

1		
2		
3		
4		
5		

Totals \$0

Appendices



Regent Policy Document 21-6

Program Revenue Calculation Methodology and Fund Balances Policy

Scope

This policy addresses program revenue account balances and appropriate reserve levels, and the methodology for calculating these levels, at UW System and UW System institutions.

Purpose

The purpose of this policy is to ensure the financial health and stability of each UW System institution and the UW System as a whole and to communicate an accurate fiscal condition more broadly and clearly. The policy balances prudent fiscal management with adequate levels of resources to carry out the universities' missions, programs, strategic goals, and objectives in an effective and efficient manner.

The policy ensures that:

1. Individual institutions have the necessary flexibility to manage program revenue accounts to meet cash flow needs throughout the year, financial commitments, plans, and goals.
2. Reporting of program revenue cash balances is at a level that provides the Board of Regents, Legislature, and public with a complete, consistent and transparent understanding of end-of-year balances.
3. Institutions have the flexibility to continue to invest in and cultivate creative academic programs to reach all students seeking higher education.
4. Institutions have the flexibility to invest in facilities that provide a world-class education.
5. The University of Wisconsin is not incentivized to undertake unnecessary end-of-year spending in order to meet restrictive carry-forward caps.

Definitions and Methodology for Calculating

Program revenue balances shall be calculated subsequent to year-end reconciliation. Balances will be calculated starting with the prior year's ending cash balance, adding revenues received, and deducting expenditures made during the fiscal year. This produces the budgetary fund balance at the end of a given fiscal year. Balances will not reflect accruals for advance deposits received for future academic terms, accounts payable, or accounts receivable.

Year-end program revenue balances shall be calculated for:

Unrestricted Funds

1. Tuition (Academic Student Fees and Extension Student Fees)
2. Auxiliary Operations
3. General Operations
4. Other Unrestricted Program Revenue
5. Federal Indirect Cost Reimbursement

Restricted Funds

6. Gifts
7. Nonfederal Grants and Contracts
8. Federal Grants and Contracts
9. Other Restricted Program Revenue

A description of UW appropriations included in each category of program revenue balances will be provided to aid in understanding the information presented (See attachment for RPD 21-6 (https://www.wisconsin.edu/regents/download/policy_attachment/rpd21-6_Attachment.pdf)).

Year-end balances in (1) Tuition (Academic Student Fees and Extension Student Fees), (2) Auxiliary Operations, (3) General Operations, and (4) Other Unrestricted Program Revenue shall be expressed in dollars for the UW System as a whole and for each UW institution. Year-end balances will be calculated as a percentage of the total expenditures by that fund for the fiscal year. Tuition balances shall be expressed as a percentage of the combined expenditures for GPR (less GPR-funded debt service) and Student Fees (tuition).

UW System Administration year-end balances will be reported as an institution. UW system-wide balances, which are those maintained for the support of all UW institutions, will be reported in dollars and as a percentage change over the prior year-end balance. Allocations from systemwide accounts to institutions will occur before an institution calculates its program revenue balance.

Federal Indirect Cost Reimbursement year-end balances shall be expressed in dollars for the UW System as a whole and for each UW institution and as a percentage change from the prior year-end balance.

Reported year-end balances in (1) Tuition (Academic Student Fees and Extension Student Fees), (2) Auxiliary Operations, (3) General Operations, (4) Other Unrestricted Program Revenue, and (5) Federal Indirect Cost Reimbursement shall be categorized by the methodology used in the Legislative Audit Bureau's Report 13-17. The categories are obligated, planned, designated, reserves, and undocumented.

Year-end balances for restricted funds (Gifts, Nonfederal Grants and Contracts, Federal Grants and Contracts, and Other Restricted Program Revenue) will be reported in total dollars for the UW System as a whole and for each UW institution.

Policy Statement

It is the policy of the Board of Regents that the UW System and UW System institutions maintain appropriate balances to protect the institutions in cases of sudden shortfalls in revenue, to provide for extraordinary events, and to protect against unbudgeted future expenses or losses. Institutions with negative balances for (1) Tuition and (2) Auxiliary Operations shall submit a savings plan on how they will eliminate the negative balance and within what time frame.

The Board of Regents recognizes there are many instances where accumulation of balances is a prudent practice in order to achieve strategic priorities over a multi-year period (establishing new academic programs, purchasing major equipment, funding start-up packages for new faculty, etc.). Institutions with balances above 12% of total fiscal year expenditures shall submit justifications for the entire balance along with a defined multi-year spending plan for each of the following four categories: (1) Tuition (Academic Student Fees and Extension Student Fees), (2) Auxiliary Operations, (3) General Operations, and (4) Other Unrestricted Program Revenue. Total balances above the 12% threshold should be obligated, planned, or designated by the Chancellor for specific purposes.

The 12% threshold is established to determine an amount which will require a report and Board approval. It is not established as cap on end-of-year balances.

Oversight, Roles, and Responsibilities

UW System Administration will provide the Board of Regents with estimates of year-end program revenue balances for use in determining UW System's annual budget and setting tuition rates for the subsequent academic year. Actual year-end balances categorized by each institution and the System as a whole shall be reported annually after the fiscal year-end reconciliation and for formal approval by the Board of Regents at the October meeting.

For those institutions with negative balances, the Board of Regents will determine by vote whether the institution has an adequate plan in place to eliminate the negative balance within a reasonable period of time. For those institutions with balances above 12% of total year-end expenditures, the Board of Regents will determine by vote whether balances are adequately justified. The Board of Regents will determine by vote whether the justification and multi-year spending plan submitted by UW System Administration for the entire UW system-wide balance is adequate. Justification for the balances should include:

1. the specific projects or initiatives for which the funds are being held;
2. the amount of funds held for each project or initiative;
3. the total amount of program revenue funds the institution plans to accumulate for each initiative or project;
4. the total amount of funds expected to be expended on each project or initiative; and,
5. the timeframe in which those funds will be expended.

A negative fund balance shall not be used by any institution as a justification for the request and approval of additional funds.

Related Regent Policies and Applicable Laws

N/A

History: Res. 10280, adopted 10/11/2013, created Regent Policy Document 21-6; Res. 10347, adopted 04/11/2014, amended 21-6; Res. 10371, adopted 06/06/2014, amended RPD 21-6 to address legislative modifications.

SEE ALSO:

Financial & Administrative Policies, F43: Financial Management of Auxiliary Operations (<https://www.wisconsin.edu/financial-administration/financial-administrative-policies-procedures/fppp/f43-financial-management-of-auxiliary-operations/>)

[UW System Administration policies are included for reference and are separate from Regent Policy Documents adopted by the Board.]

OFFICE OF THE BOARD OF REGENTS

Jane S. Radue, Executive Director

1860 Van Hise Hall

1220 Linden Dr.

Madison, WI 53706

☎ 608.262.2324

✉ board@uwsa.edu

© 2016 Board of Regents - University of Wisconsin System. All Rights Reserved

Definition

Examples

	Definition	Examples
<p>1. Obligated</p>	<p>Balances held for a purpose as indicated by documentation such as a contract or an encumbrance that would make it difficult for institutions to use the balance for other purposes. Firm commitments; cannot be easily redistributed; encumbrances; a purchase order or contract; likely for projects that already started spending money.</p> <p>Rescinding obligated commitments creates the risk of significant cost, legal action, or reputational damage.</p>	<ul style="list-style-type: none"> ● Signed contract for services with an external agency or business. ● Purchase order or invoice. ● A signed, organizationally-binding commitment from a chancellor or authorized authority that could not be changed without significant cost or reputational damage. ● Signed contract for an employee for whom base resources (or a committed, ongoing funding stream) are not currently available. ● Scholarships that have already been awarded. ● One year of debt service justified by an amortization schedule or one year of funds for a building lease as shown on a lease contract. ● Required lapse. ● One year of estimated sabbatical or ALRA commitments. ● Approved projects for which fixed cost expenditures are already being made. ● Required cash deposit for an enumerated capital project or for a project that has been included for enumeration in the capital budget request approved by the Board of Regents.
<p>2. Planned</p>	<p>Balances less committed than obligated balances and held for a purpose as indicated by documentation such as a budget document or a memorandum signed by a an appropriate approving authority. Those authorized to approve balance commitments include chancellors, vice chancellors, deans, directors, and designees in accordance with institutional policies and/or practices. Institutions may use planned balances for other purposes if the use complies with any funding source requirements.</p> <ul style="list-style-type: none"> ● Chancellor's or approving authority's directive for spending but no purchase order or contract (nothing obligating the funds) ● Plan to hire new staff ● Plan to set aside money for a scholarship ● Plan to pay for debt service 	<ul style="list-style-type: none"> ● Plans for new faculty and staff, who are not replacing existing staff, and for whom there is not a signed contract. ● A chancellor's or authorized authority's spending directive that can be modified without significant cost or reputational damage. ● Documented institutional priorities or strategic plans if the documentation includes a clear description of the amount of funds to be used, the purpose of the funds, and an indication of when the funds should be used. ● Planned financial aid funding that has not yet been awarded. ● Debt service for up to three additional years beyond the first obligated year as shown on the amortization schedule or building lease funds for up to three additional years beyond the first obligated year as shown on a lease contract. ● Annual, ongoing operations, including the salaries of existing staff, for up to three years for which base resources (or a committed, ongoing funding stream) are not currently available. ● Planned renovations. ● Planned expenditures to launch or to support academic programs or student programs for which base resources (or a committed funding stream) are not currently available. ● Required cash deposit for a non-enumerated capital project that is in the approved six-year plan.

Definition

Examples

	Definition	Examples
<p>3. Designated</p>	<p>Balances held for purposes related to the original funding source, but for which there is no documentation of an obligation or specific plan. Institutions may use designated balances for other purposes only if the use complies with funding source requirements.</p>	<ul style="list-style-type: none"> • Balances in Fund 136 (General Operations Receipts) are generally categorized as designated. However, this categorization does not apply to accounts from which funds have been reallocated one or more times to another purpose in the past six years. If this is the case, balances in these accounts would be undocumented unless sufficient documentation exists to categorize them as obligated or planned. • Fund 136 account balances often accumulate in advance of known expenses for the following years. Examples include study abroad, athletic camps, music camps, and remedial education. • Fund 132 (Extension Non-Credit Program Receipts) and Fund 189 (Extension Student Fees) balances must currently be used to support extension-related activities. Balances in these funds are also categorized as designated if they are not obligated or planned. • Fund 131 (Academic Student Fees) balances are classified as designated if the balances are for differential tuition and student technology fees as the funds can only be used for these purposes. • Balances from student-funded auxiliaries are also categorized as designated because current Board policy prohibits using these funds for other purposes unless approved by the Board for a one-time purpose.
<p>4. Reserves</p>	<p>Balances for which documentation indicates amounts are purposefully held for contingencies, including institutional reserve policies. Contingencies may result from unexpected funding shortfalls that must be addressed in order to ensure the continuation of operations and from an unanticipated need.</p> <p>Balances can be documented as reserves in a memo from the chancellor or authorized authority identifying a specific dollar amount for a reserve, a percentage of revenue that should be held as a reserve, or target reserve goal. The memo should identify who can approve the use of reserve funds.</p> <p>Institutions should consider reserve designation procedures that prevent duplicate reserve designations for the same purpose.</p> <p>Reserves are not set aside for a specific purposes (e.g., academic initiative, faculty salaries) or for a routine use (e.g., annual debt service payments).</p>	<p>Reserves are maintained to mitigate the following risks:</p> <ul style="list-style-type: none"> • Unexpected declines or fluctuations in enrollment resulting in a reduction in tuition, fee, or auxiliary revenue. • Significant declines or termination of federal or other external funding. • Emergencies or other unforeseen circumstances.
<p>5. Undocumented</p>	<p>Balances which are not yet obligated or planned and for which there are no funding source requirements.</p>	<ul style="list-style-type: none"> • Chancellor's or institutional discretionary funds, which are available to take advantage of strategic opportunities that emerge during the year, that have not yet been documented as planned or obligated.

**FUNCTIONAL EXAMPLES ON THE APPLICATION OF
THE FUND DESIGNATION CATEGORIES**

CATEGORY	EXAMPLE
Obligated	One UW institution has committed a portion of its Tuition fund balance to cover instructional-related expenses that have already been encumbered.
	One UW institution has committed a portion of its Auxiliary Operations fund balance for one year of debt service. The amount is set and documented in the Debt Service Amortization Schedule.
Planned	One UW institution plans to commit a portion of its Tuition fund balance to implement a Student Success Center. The Chancellor has signed off on the plan.
	One UW institution has established plans to use a portion of its Auxiliary fund balance to remodel the floors of the student health center.
Designated	One UW institution has designated a portion of its Tuition fund balance, which was generated from its extension programs, to enhance extension programming.
	A number of UW institutions have designated balances generated by their differential tuition fees to fund programming decisions reached in consultation with the appropriate student governance groups.
Reserves	A number of UW institutions have set aside a portion of their Tuition fund balance as reserves for enrollment declines and fluctuations.
	One UW institution has set aside a portion of its General Operations fund balance as reserves against revenue declines and program enrollment fluctuations.
Undocumented	One UW institution has set aside a portion its Tuition fund balance for program startup costs, faculty development, and equipment purchases. There are no documented plans, but the institution will be developing priorities.
	One UW institution has set aside a portion of its General Operations fund balance for distance learning programs. There are no documented plans, but the institution will be developing priorities.

Report Methodology

Definitions and the methodology for calculating and displaying program revenue balances are detailed in Regent Policy Document (RPD) 21-6. Balances are to be calculated for nine funds which comprise the funds in the UW System. These include five unrestricted funds:

1. Tuition
2. Auxiliary operations
3. General operations
4. Other unrestricted program revenue, and
5. Federal indirect cost reimbursement.

Four restricted funds are also included:

1. Gifts
2. Non-federal grants and contracts
3. Federal grants and contracts, and
4. Other restricted program revenue.

A budgetary fund balance is calculated for each fund by beginning with the prior year's cash balance, adding revenues received, and deducting expenditures made in the fiscal year. The balances do not reflect advance deposits for future years, accounts payable or accounts receivable. Balances for each of the five unrestricted funds are allocated among the five "commitment" categories established by the legislative audit bureau, which are obligated, planned, designated, reserves and undocumented or discretionary.

Balances for auxiliary operations, general operations and other unrestricted program revenue are expressed in dollars and also are calculated as a percentage of each fund's fiscal year expenditures. Balances for tuition are expressed in dollars and as a percentage of the combined expenditures of GPR (less GPR-funded debt service) and student fees.

Detailed Spending Plans

Institutions with balances above 12% of expenditures in their funds for tuition, auxiliaries, general operations or other unrestricted program revenue are required to provide additional information concerning those balances, including:

- The specific project(s) for which the funds are held;
- The amount of funds currently held for each project;
- The total amount of program revenue the institution plans to accumulate for that project;
- The total amount of funds which will be spent on that project; and,
- The timeframe in which the funds will be spent.

Detailed plans are also required of institutions which have a negative balance in their tuition or auxiliary operations funds. To address negative fund balances in tuition or auxiliary operations funds, institutions are required to submit a savings plan describing how, and within what timeframe, they will eliminate the negative fund balance.

System Administration Implementation

To ensure compliance with RPD 21-6, System Administration staff designed a three-part report-development methodology to:

- establish clarity and agreement for expanded functional definitions of key reporting requirements, specifically the levels-of-commitment reporting categories established by the legislative audit bureau;
- provide practical and detailed guidance to institutions to enable them to understand the reporting requirements and how to report their balance information in a consistent and comparable manner; and
- provide prompt and actionable feedback to institutions on their reports to enable them to fully comply with reporting definitions and formatting requirements.

The goal of this three-part methodology was to obtain consistently interpreted data, reported by the institutions in a format which allows for a detailed analysis of each institution individually and in comparison with all other System institutions.

Two underlying principles guiding the reporting methodology were transparency and collaboration with stakeholders. The work included extensive discussions with Legislative Audit Bureau staff to establish agreement on, and mutual understanding of improved functional definitions and examples in each of the five commitment categories that form a central portion of the program revenue balance reporting effort. Further, the methodology included multiple, ongoing channels for frequent communication and collaboration between System and institution leaders and staff to ensure that all reporting requirements were adequately and fully explained, that standardized reporting templates were developed in recognition of both reporting requirements and the reporting capabilities of the institutions, and that adequate feedback loops were established to provide additional guidance to institutions as needed. Feedback included frequent statewide and institution-specific teleconferences during the development of reporting definitions and the reporting templates, and a centralized electronic clearinghouse accessible by all institutions for obtaining information and submitting reports.

Appendix 5

FY 2016-17 Balances as of June 30, 2017

Total Program Revenue Appropriation Balances

	Tuition (Academic and Extension Student Fees) (131 and 189)*	Auxiliary Operations (128 and 228)	General Operations (136 and 236)	Other Unrestricted Program Revenue (xxx)**	Federal Indirect Cost Reimbursement (150)	TOTAL Unrestricted	Gifts (134,135,233,533)	Nonfederal Grants and Contracts (133)	Federal Grants and Contracts (144)	Other Restricted Program Revenue (xxx)	TOTAL Restricted	GRAND TOTAL Program Revenue
UW-Colleges	13,876,518	4,151,939	4,477,753	88,297	294,507	22,889,014	607,474	274,522	219,601	(7,767)	1,093,830	23,982,844
UW-Eau Claire	10,565,393	31,937,420	5,020,839	(72,945)	690,944	48,141,651	(636,114)	549,046	(388,691)	1,294,827	819,068	48,960,719
UW-Extension	6,092,771	4,051,859	352,548	6,331,652	5,647,337	22,476,167	1,233,411	3,110,461	(2,801,611)	(553,387)	988,874	23,465,041
UW-Green Bay	7,280,903	8,198,444	2,872,004	1,227,450	1,029,554	20,608,355	479,791	460,505	25,933	209,412	1,175,641	21,783,996
UW-La Crosse	10,411,521	12,987,556	5,380,588	243,691	382,189	29,405,545	2,386,027	409,094	(276,883)	136,425	2,654,663	32,060,208
UW-Madison	63,984,615	105,168,265	10,054,332	32,385,899	125,137,660	336,730,771	257,664,737	65,639,541	(6,079,815)	13,420,084	330,644,547	667,375,318
UW-Milwaukee	38,500,605	33,527,620	12,578,297	1,069,457	2,684,699	88,360,678	(219,466)	(3,295,739)	(433,551)	1,095,529	(2,853,227)	85,507,451
UW-Oshkosh	4,623,853	17,092,102	5,702,839	120,589	641,846	28,181,229	71,503	4,026,352	(38,689)	(648,930)	3,410,236	31,591,465
UW-Parkside	11,515,266	132,540	1,935,816	414,161	181,582	14,179,365	169,632	328,102	(23,576)	452,366	926,524	15,105,889
UW-Platteville	12,692,389	10,341,070	1,448,496	7,374,262	354,652	32,210,869	(94,256)	91,617	(14,602)	787,152	769,911	32,980,780
UW-River Falls	10,367,505	5,954,649	427,988	4,820,992	161,598	21,732,732	(37,307)	37,271	(94,466)	770,216	675,714	22,408,446
UW-Stevens Point	7,293,623	5,433,629	4,463,346	4,688,738	1,014,692	22,894,028	356,704	(970,869)	761,448	(1,123,048)	(975,765)	21,918,263
UW-Stout	7,978,102	7,493,087	9,231,169	236,514	469,744	25,408,616	(354,534)	417,724	(461,673)	1,644,009	1,245,526	26,654,142
UW-Superior	4,771,330	825,321	801,703	(51,731)	1,020,916	7,367,539	(331,060)	485,276	(845,497)	53,284	(637,997)	6,729,542
UW-System Admin	-	133,787	674,295	-	10,690,153	11,498,235	-	270,459	-	-	-	11,768,694
UW-Systemwide	46,915,560	1,241,128	30,309,358	(2,648)	-	78,463,398	14,056,805	(22,782,942)	37,993,961	-	29,267,824	107,731,222
UW-Whitewater	20,360,515	11,444,795	4,534,749	3,747,499	945,761	41,033,319	(175,264)	420,027	222,179	1,878,180	2,345,122	43,378,441
	277,230,469	260,115,211	100,266,120	62,621,877	151,347,834	851,581,511	275,178,083	49,470,447	27,764,068	19,408,352	371,820,950	1,223,402,461

* \$120,461,093 to cover carryover amounts and 189 Pooled amounts are allocated to respective institutions.

** 132 Pooled amounts are allocated to respective institutions.

Total Expenses

	Tuition (Academic and Extension Student Fees) (131 and 189)	Auxiliary Operations (128 and 228)	General Operations (136 and 236)	Other Unrestricted Program Revenue (xxx)	Federal Indirect Cost Reimbursement (150)	TOTAL Unrestricted	Gifts (134,135,233,533)	Nonfederal Grants and Contracts (133)	Federal Grants and Contracts (144)	Other Restricted Program Revenue (xxx)	TOTAL Restricted	GRAND TOTAL Program Revenue
UW-Colleges	75,783,377	5,118,030	4,517,904	1,806,458	28,165	87,253,934	2,547,587	147,542	973,665	29,060,628	32,729,422	119,983,356
UW-Eau Claire	108,309,962	41,526,971	8,036,845	5,049,704	287,115	163,210,597	1,513,834	3,035,108	2,682,519	51,887,003	59,118,464	222,329,061
UW-Extension	54,220,161	6,793,687	8,129,333	10,677,546	2,965,431	82,786,158	4,593,119	16,590,957	16,290,061	9,867,382	47,341,519	130,127,677
UW-Green Bay	55,388,964	19,091,325	3,076,815	1,625,436	507,440	79,689,980	3,606,658	1,233,690	2,009,328	32,929,949	39,779,625	119,469,605
UW-La Crosse	114,871,959	33,388,417	15,065,121	7,779,379	410,813	171,515,689	2,134,459	1,963,197	2,874,716	54,345,472	61,317,844	232,833,533
UW-Madison	987,864,345	463,570,985	241,724,626	174,609,960	137,206,697	2,004,976,613	461,703,118	101,894,954	530,912,007	201,054,299	1,295,564,378	3,300,540,991
UW-Milwaukee	347,043,913	94,611,694	20,220,667	10,084,002	8,752,828	480,713,104	1,707,859	14,754,513	40,127,658	168,014,263	224,604,293	705,317,397
UW-Oshkosh	97,944,001	42,135,701	20,320,707	7,794,917	905,220	169,100,546	867,115	4,847,712	8,309,138	62,656,049	76,680,014	245,780,560
UW-Parkside	46,062,295	9,104,826	1,865,981	4,326,762	43,566	61,403,430	1,641,236	450,502	781,438	26,656,489	29,529,665	90,933,095
UW-Platteville	80,834,564	31,608,178	12,348,238	7,095,339	123,200	132,009,519	1,758,821	269,982	1,917,620	42,205,681	46,152,104	178,161,623
UW-River Falls	58,867,610	27,181,480	3,867,487	4,371,587	328,590	94,616,754	2,273,225	223,038	2,631,382	33,062,018	38,189,663	132,806,417
UW-Stevens Point	91,804,823	42,466,111	18,194,586	10,429,660	493,944	163,389,124	2,191,994	3,307,585	2,710,450	53,851,212	62,061,241	225,450,365
UW-Stout	86,575,417	34,410,167	20,188,820	6,212,721	526,113	147,913,238	3,032,386	789,195	7,415,569	52,972,783	64,209,933	212,123,171
UW-Superior	35,034,495	6,067,507	1,436,857	2,830,405	986,138	46,355,402	1,345,236	423,717	2,567,911	16,522,618	20,859,482	67,214,884
UW-System Admin	-	387,430	154,774	-	2,310,228	2,852,432	-	335,726	-	-	335,726	3,188,158
UW-Systemwide	21,527,893	360,605	39,630,316	1,375,720	83,973	62,978,507	(8,780,487)	(4,116,757)	(19,360,007)	-	(32,257,251)	30,721,256
UW-Whitewater	121,602,905	45,683,117	12,471,050	8,202,195	153,879	188,113,146	1,139,077	2,656,282	1,254,940	73,816,015	78,866,314	266,979,460
	2,383,736,684	903,506,231	431,250,127	264,271,791	156,113,340	4,138,878,173	483,275,237	148,806,943	604,098,395	908,901,861	2,145,082,436	6,283,960,609

Appendix 6

FY 2015-16 Balances as of June 30, 2016

Total Program Revenue Appropriation Balances

	Tuition (Academic and Extension Student Fees) (131 and 189)*	Auxiliary Operations (128 and 228)	General Operations (136)	Other Unrestricted Program Revenue (xxx)**	Federal Indirect Cost Reimbursement (150)	TOTAL Unrestricted	Gifts (134,135,233,533)	Nonfederal Grants and Contracts (133)	Federal Grants and Contracts (144)	Other Restricted Program Revenue (xxx)	TOTAL Restricted	GRAND TOTAL Program Revenue
UW-Colleges	17,428,984	3,436,826	4,400,851	563,277	253,469	26,083,407	684,439	345,671	128,490	48,316	1,206,916	27,290,323
UW-Eau Claire	10,742,222	26,615,618	5,042,071	(71,111)	680,141	43,008,941	(622,778)	499,440	(277,658)	1,112,671	711,675	43,720,616
UW-Extension	6,396,304	3,141,961	1,635,955	6,452,234	6,870,853	24,497,307	1,103,066	2,825,518	657	(1,802,490)	2,126,751	26,624,058
UW-Green Bay	10,139,906	6,208,356	2,454,460	591,959	1,327,722	20,722,403	432,915	635,521	80,072	117,652	1,266,160	21,988,563
UW-La Crosse	11,982,572	8,409,522	9,439,592	193,253	699,524	30,724,463	2,842,995	312,656	(203,305)	290,665	3,243,011	33,967,474
UW-Madison	65,355,371	115,819,093	19,471,818	42,503,665	126,243,723	369,393,670	220,974,334	42,042,514	12,645,687	13,343,785	289,006,320	658,399,990
UW-Milwaukee	39,919,323	26,480,468	12,204,074	266,177	3,056,151	81,926,193	(10,864)	(1,610,373)	(576,189)	1,550,409	(647,017)	81,279,176
UW-Oshkosh	8,648,196	19,076,427	9,956,494	182,609	876,100	38,739,826	113,662	3,551,584	(865,287)	448,672	3,248,631	41,988,457
UW-Parkside	12,871,536	(305,455)	1,735,889	415,046	150,542	14,867,558	186,955	336,382	6,994	363,947	894,278	15,761,836
UW-Platteville	14,783,940	9,282,735	772,603	7,115,405	358,004	32,312,687	(82,227)	78,793	(124,451)	614,490	486,605	32,799,292
UW-River Falls	10,656,001	3,651,286	318,785	4,658,209	231,274	19,515,555	75,219	5,929	(130,788)	1,117,237	1,067,597	20,583,152
UW-Stevens Point	11,253,237	14,022,136	6,587,807	4,425,667	1,268,225	37,557,072	266,735	(947,910)	619,336	1,787,695	1,725,856	39,282,928
UW-Stout	6,125,302	5,451,484	8,035,644	267,926	525,841	20,406,197	(414,887)	326,938	(423,441)	2,756,849	2,245,459	22,651,656
UW-Superior	5,849,562	(297,635)	697,348	(56,960)	1,543,313	7,735,628	(113,931)	407,910	(580,155)	74,328	(211,848)	7,523,780
UW-System Admin	-	167,680	570,197	-	9,365,013	10,102,890	-	594,137	-	-	594,137	10,697,027
UW-Systemwide	38,016,345	634,121	20,889,094	(5,252)	66,512	59,600,820	5,276,318	(26,798,124)	17,893,315	-	(3,628,491)	55,972,329
UW-Whitewater	25,435,299	11,777,247	4,335,962	3,670,770	855,539	46,074,817	(483,757)	540,321	115,003	1,707,113	1,878,680	47,953,497
	295,604,100	253,571,870	108,548,644	71,172,874	154,371,946	883,269,434	230,228,194	23,146,907	28,308,280	23,531,339	305,214,720	1,188,484,154

* \$144,651,736 to cover carryover amounts and 189 Pooled amounts are allocated to respective institutions.

** 132 Pooled amounts are allocated to respective institutions.

Total Expenses

	Tuition (Academic (131 and 189)	Auxiliary (128 and 228)	General (136)	Other Unrestricted (xxx)	Federal Indirect (150)	TOTAL	Gifts (134,135,233,533)	Nonfederal Grants (133)	Federal Grants (144)	Other Restricted (xxx)	TOTAL Restricted	GRAND TOTAL
UW-Colleges	80,084,665	6,021,085	3,951,425	1,645,678	42,032	91,744,885	3,011,981	253,424	1,170,502	34,857,383	39,293,290	131,038,175
UW-Eau Claire	110,632,392	42,876,402	8,738,747	5,193,541	741,982	168,183,064	2,464,521	3,146,369	2,631,144	53,231,353	61,473,387	229,656,451
UW-Extension	52,019,801	7,056,068	6,973,223	9,675,458	2,022,537	77,747,087	3,680,335	16,864,823	14,326,767	11,359,356	46,231,281	123,978,368
UW-Green Bay	54,958,902	20,921,665	3,488,537	1,373,908	264,167	81,007,179	3,804,742	1,137,912	2,474,626	33,778,154	41,195,434	122,202,613
UW-La Crosse	122,800,198	44,830,972	23,869,114	8,163,994	400,553	200,064,831	1,918,844	1,932,194	2,816,188	54,481,513	61,148,739	261,213,570
UW-Madison	974,571,186	408,994,185	229,870,099	170,188,478	143,779,576	1,927,403,524	439,934,663	97,610,194	516,954,316	198,941,240	1,253,440,413	3,180,843,937
UW-Milwaukee	363,799,255	86,423,448	20,031,179	11,773,854	9,425,377	491,453,113	1,726,203	14,858,212	41,880,262	177,690,705	236,155,382	727,608,495
UW-Oshkosh	97,440,984	41,923,750	18,084,748	7,449,063	1,310,747	166,209,292	1,133,073	4,708,641	8,479,427	65,015,994	79,337,135	245,546,427
UW-Parkside	45,354,594	8,893,379	1,468,754	3,997,966	48,054	59,762,747	1,395,093	496,708	708,488	28,449,692	31,049,981	90,812,728
UW-Platteville	80,189,421	31,375,137	12,228,749	6,312,595	128,977	130,234,879	1,715,493	215,618	2,480,283	44,731,925	49,143,319	179,378,198
UW-River Falls	57,569,283	34,571,180	4,429,306	4,231,513	393,535	101,194,817	2,321,455	840,733	2,479,544	33,953,899	39,595,631	140,790,448
UW-Stevens Point	89,261,191	40,176,661	10,272,124	9,614,712	416,236	149,740,924	2,333,462	2,978,816	2,301,902	58,429,142	66,043,322	215,784,246
UW-Stout	86,748,849	31,183,223	20,705,713	6,122,422	561,189	145,321,396	2,867,063	846,236	6,931,079	51,897,336	62,541,714	207,863,110
UW-Superior	34,887,699	5,421,836	1,453,592	3,055,569	134,930	44,953,626	1,212,946	593,501	2,586,391	16,655,336	21,048,174	66,001,800
UW-System Admin	-	26,760	163,460	-	2,074,011	2,264,231	-	341,303	-	-	341,303	2,605,534
UW-Systemwide	20,907,391	679,580	38,867,196	1,514,771	83,150	62,052,088	(859,848)	(1,290,989)	(4,632,756)	-	(6,783,593)	55,268,495
UW-Whitewater	113,792,882	42,776,922	11,935,903	7,487,346	148,588	176,141,641	1,176,030	2,452,662	1,089,004	75,602,411	80,320,107	256,461,748
	2,385,018,693	854,152,253	416,531,869	257,800,868	161,975,641	4,075,479,324	469,836,056	147,986,357	604,677,167	939,075,439	2,161,575,019	6,237,054,343

Summary of UW System Unrestricted PR Balances by Level of Commitment

Grand Totals - All Unrestricted Funds

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Fiscal Year 2017 Ending Program Revenue Balance						
Madison	89,653,512	189,454,524	19,302,650	38,320,085	-	336,730,771
Milwaukee	13,557,376	46,237,505	24,065,797	4,500,000	-	88,360,678
Eau Claire	6,906,849	26,202,779	9,157,207	4,517,888	1,356,928	48,141,651
Green Bay	520,130	7,043,815	6,939,994	5,859,616	244,800	20,608,355
La Crosse	7,162,094	14,435,776	7,093,992	635,673	78,010	29,405,545
Oshkosh	10,515,421	13,519,442	988,632	3,157,735	-	28,181,230
Parkside	1,519,425	9,170,070	1,419,295	-	2,070,575	14,179,365
Platteville	11,138,229	15,535,087	2,477,654	3,000,000	59,899	32,210,869
River Falls	8,163,888	12,280,451	153,554	942,751	192,088	21,732,732
Stevens Point	8,578,315	8,065,661	2,173,581	2,419,493	1,656,978	22,894,028
Stout	8,654,293	7,832,290	783,879	7,058,720	1,079,434	25,408,616
Superior	501,163	4,429,094	102,742	948,269	1,386,271	7,367,539
Whitewater	1,015,065	27,271,130	4,111,563	6,000,000	2,635,561	41,033,319
Colleges	1,800,442	19,897,880	558,472	632,220	-	22,889,014
Extension	4,471,880	9,662,471	5,050,816	3,286,592	4,408	22,476,167
System Admin	182,804	-	324,276	-	10,991,155	11,498,235
Systemwide	31,469,939	24,766,838	131,766	20,078,399	2,016,456	78,463,398
	205,810,825	435,804,813	84,835,869	101,357,441	23,772,563	851,581,512
	24%	51%	10%	12%	3%	100%
Prior Year Total	253,491,368	420,986,341	84,426,142	98,350,137	26,015,448	883,269,436
	29%	48%	10%	11%	3%	100%

Summary of UW System Unrestricted PR Balances by Level of Commitment

Tuition Funds

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Fiscal Year 2017 Ending Program Revenue Balance						
Madison	2,786,809	54,321,671	3,024,696	3,851,439	-	63,984,615
Milwaukee	5,045,292	29,797,477	3,657,836	-	-	38,500,605
Eau Claire	426,864	7,082,028	493,421	2,563,080	-	10,565,393
Green Bay	382,000	3,749,903	-	3,149,000	-	7,280,903
La Crosse	2,172,304	4,515,231	3,382,699	341,287	-	10,411,521
Oshkosh	40,500	1,504,810	580,992	2,497,551	-	4,623,853
Parkside	1,275,025	8,270,635	80,613	-	1,888,993	11,515,266
Platteville	392,487	9,043,601	256,301	3,000,000	-	12,692,389
River Falls	1,994,735	7,442,509	153,554	650,151	126,556	10,367,505
Stevens Point	1,538,251	3,104,193	199,416	1,377,393	1,074,370	7,293,623
Stout	266,535	2,835,431	547,365	4,328,771	-	7,978,102
Superior	349,664	2,609,866	36,049	1,000,000	775,751	4,771,330
Whitewater	1,015,065	13,167,217	1,488,433	3,000,000	1,689,800	20,360,515
Colleges	848,595	13,027,923	-	-	-	13,876,518
Extension	3,008,430	606,183	2,478,158	-	-	6,092,771
System Admin	-	-	-	-	-	-
Systemwide	280,636	24,766,838	-	20,000,000	1,868,086	46,915,560
	21,823,192	185,845,516	16,379,533	45,758,672	7,423,556	277,230,469
	8%	67%	6%	17%	3%	100%
Prior Year Total	30,463,312	193,291,839	17,656,176	45,355,544	8,837,229	295,604,100
	10%	65%	6%	15%	3%	100%

Summary of UW System Unrestricted PR Balances by Level of Commitment

Auxiliary Funds

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Fiscal Year 2017 Ending Program Revenue Balance						
Madison	40,144,643	50,173,421	14,850,201	-	-	105,168,265
Milwaukee	7,314,141	9,437,204	16,776,275	-	-	33,527,620
Eau Claire	6,384,519	16,696,221	6,663,173	1,893,386	300,121	31,937,420
Green Bay	-	1,437,334	4,851,977	1,909,133	-	8,198,444
La Crosse	1,625,781	8,048,095	3,235,670	-	78,010	12,987,556
Oshkosh	8,211,411	8,704,612	176,079	-	-	17,092,102
Parkside	-	-	132,540	-	-	132,540
Platteville	3,526,935	5,979,045	835,090	-	-	10,341,070
River Falls	1,385,677	4,276,372	-	292,600	-	5,954,649
Stevens Point	1,540,731	3,129,642	138,200	186,650	438,406	5,433,629
Stout	5,461,631	310,948	-	1,720,508	-	7,493,087
Superior	7,313	709,579	66,693	-	41,736	825,321
Whitewater	-	6,880,665	1,564,130	3,000,000	-	11,444,795
Colleges	601,208	2,378,095	558,472	614,164	-	4,151,939
Extension	526,958	3,461,213	-	59,280	4,408	4,051,859
System Admin	133,787	-	-	-	-	133,787
Systemwide	879,945	-	131,766	81,047	148,370	1,241,128
	77,744,680	121,622,446	49,980,266	9,756,768	1,011,051	260,115,211
	30%	47%	19%	4%	0%	100%
Prior Year total	100,989,843	97,791,063	43,284,608	9,749,815	1,756,541	253,571,870
	40%	39%	17%	4%	1%	100%

Summary of UW System Unrestricted PR Balances by Level of Commitment

General Operations Funds

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Fiscal Year 2017 Ending Program Revenue Balance						
Madison	10,054,332	-	-	-	-	10,054,332
Milwaukee	973,691	4,318,125	2,786,481	4,500,000	-	12,578,297
Eau Claire	95,466	2,127,837	1,999,980	135,000	662,556	5,020,839
Green Bay	138,130	605,626	1,820,567	307,681	-	2,872,004
La Crosse	3,325,724	1,459,823	452,546	142,495	-	5,380,588
Oshkosh	2,242,984	3,062,195	218,709	178,951	-	5,702,839
Parkside	227,400	733,315	975,101	-	-	1,935,816
Platteville	63,292	-	1,385,204	-	-	1,448,496
River Falls	6,753	421,235	-	-	-	427,988
Stevens Point	813,606	1,561,298	1,560,229	528,213	-	4,463,346
Stout	2,926,127	4,247,700	-	1,009,441	1,047,901	9,231,169
Superior	102,628	687,650	-	-	11,425	801,703
Whitewater	-	3,624,622	910,127	-	-	4,534,749
Colleges	333,335	4,144,418	-	-	-	4,477,753
Extension	41,722	292,158	18,668	-	-	352,548
System Admin	-	-	324,276	-	350,019	674,295
Systemwide	30,309,358	-	-	-	-	30,309,358
	51,654,548	27,286,002	12,451,888	6,801,781	2,071,901	100,266,120
	52%	27%	12%	7%	2%	100%
Prior Year Total	48,828,918	33,418,703	17,977,910	6,215,098	2,108,016	108,548,645
	45%	31%	17%	6%	2%	100%

Summary of UW System Unrestricted PR Balances by Level of Commitment

Federal Indirect Funds

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Fiscal Year 2017 Ending Program Revenue Balance						
Madison	5,709,582	84,959,432	-	34,468,646	-	125,137,660
Milwaukee	-	2,684,699	-	-	-	2,684,699
Eau Claire	-	296,693	-	-	394,251	690,944
Green Bay	-	350,952	-	433,802	244,800	1,029,554
La Crosse	-	230,298	-	151,891	-	382,189
Oshkosh	20,526	247,825	-	373,495	-	641,846
Parkside	-	-	-	-	181,582	181,582
Platteville	9,300	285,453	-	-	59,899	354,652
River Falls	13,225	117,354	-	-	31,019	161,598
Stevens Point	277,475	265,778	-	327,237	144,202	1,014,692
Stout	-	438,211	-	-	31,533	469,744
Superior	41,558	421,999	-	-	557,359	1,020,916
Whitewater	-	-	-	-	945,761	945,761
Colleges	-	293,941	-	566	-	294,507
Extension	242,840	3,404,497	-	2,000,000	-	5,647,337
System Admin	49,017	-	-	-	10,641,136	10,690,153
Systemwide	-	-	-	-	-	-
	6,363,523	93,997,132	-	37,755,637	13,231,542	151,347,834
	4%	62%	0%	25%	9%	100%
 Prior Year Total	 17,218,613	 87,123,543	 -	 36,716,129	 13,313,662	 154,371,947
	11%	56%	0%	24%	9%	100%

Summary of UW System Unrestricted PR Balances by Level of Commitment

Other Unrestricted Funds

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Fiscal Year 2017 Ending Program Revenue Balance						
Madison	30,958,146	-	1,427,753	-	-	32,385,899
Milwaukee	224,252	-	845,205	-	-	1,069,457
Eau Claire	-	-	633	(73,578)	-	(72,945)
Green Bay	-	900,000	267,450	60,000	-	1,227,450
La Crosse	38,285	182,329	23,077	-	-	243,691
Oshkosh	-	-	12,851	107,738	-	120,589
Parkside	17,000	166,120	231,041	-	-	414,161
Platteville	7,146,215	226,988	1,059	-	-	7,374,262
River Falls	4,763,498	22,981	-	-	34,513	4,820,992
Stevens Point	4,408,252	4,750	275,736	-	-	4,688,738
Stout	-	-	236,514	-	-	236,514
Superior	-	-	-	(51,731)	-	(51,731)
Whitewater	-	3,598,626	148,873	-	-	3,747,499
Colleges	17,304	53,503	-	17,490	-	88,297
Extension	651,930	1,898,420	2,553,990	1,227,312	-	6,331,652
System Admin	-	-	-	-	-	-
Systemwide	-	-	-	(2,648)	-	(2,648)
	48,224,882	7,053,717	6,024,182	1,284,583	34,513	62,621,877
	77%	11%	10%	2%	0%	100%
Prior Year Total	55,990,682	9,361,193	5,507,448	313,551	-	71,172,874
	79%	13%	8%	0%	0%	100%

QUARTERLY REPORT OF GIFTS, GRANTS, AND CONTRACTS JULY 1, 2016 THROUGH JUNE 30, 2017

BACKGROUND

Regent Policy Document 13-1: “General Contract Approval, Signature Authority, and Reporting”, requires that a summary of extramural gifts, grants, and contracts be reported quarterly to the Vice President for Finance for presentation to the Business and Finance Committee of the Board of Regents. The attached report is intended to meet that requirement.

The policy further directs that grants from and contracts with private, profit-making organizations with a value of more than \$1,000,000, as well as athletics employment contracts where the total annual compensation is greater than \$500,000, require formal approval by the Board of Regents prior to execution. In addition, any contract with a value of less than \$1,000,000 that, in the judgment of the President of the UW System, warrants direct Board approval shall also be approved by the Board prior to execution. Grants and contracts covered by these requirements are included in the quarterly reports and are also presented individually to the Business and Finance Committee of the Board of Regents.

The policy also requires that grants from and contracts with private, profit-making organizations with a value between \$500,000 and \$1,000,000 be reviewed by an institution’s legal affairs office or the UW System Office of General Counsel prior to execution.

REQUESTED ACTION

No action is required; this item is for information only.

DISCUSSION

Attached is a summary report of gifts, grants, and contracts awarded to University of Wisconsin System institutions in the twelve-month period July 1, 2016, through June 30, 2017. Total gifts, grants, and contracts for the period were approximately \$1.439 billion; this is a decrease of \$78.9 million from the same period in the prior year. Federal awards decreased \$67.8 million, while non-federal awards decreased by \$11.1 million.

RELATED REGENT POLICIES

Regent Policy Document 13-1: General Contract Approval, Signature Authority, and Reporting

**UNIVERSITY OF WISCONSIN SYSTEM
GIFTS, GRANTS AND CONTRACTS AWARDED
FISCAL YEAR 2016-2017 (Fourth Quarter)**

Institution	TOTAL AWARDS - ALL CATEGORIES								
	Fiscal Year 2016-17	Total Fiscal Year 2015-16	Increase (Decrease)	Fiscal Year 2016-17	Federal Fiscal Year 2015-16	Increase (Decrease)	Fiscal Year 2016-17	Non Federal Fiscal Year 2015-16	Increase (Decrease)
Total	1,439,001,227	1,517,867,762	(78,866,535)	863,853,985	931,625,974	(67,771,989)	575,147,242	586,241,788	(11,094,546)
Madison	1,130,395,375	1,195,487,382	(65,092,008)	632,269,841	685,298,819	(53,028,978)	498,125,534	510,188,564	(12,063,030)
Milwaukee	84,558,167	86,614,748	(2,056,582)	69,475,575	69,687,785	(212,209)	15,082,591	16,926,964	(1,844,373)
Eau Claire	18,623,909	18,613,943	9,966	13,427,330	15,013,442	(1,586,112)	5,196,579	3,600,501	1,596,078
Green Bay	15,428,434	14,951,385	477,049	10,725,582	10,980,145	(254,564)	4,702,853	3,971,239	731,613
La Crosse	13,088,928	14,932,565	(1,843,637)	9,710,277	11,670,123	(1,959,846)	3,378,651	3,262,442	116,209
Oshkosh	21,051,587	24,816,872	(3,765,285)	18,027,035	21,707,562	(3,680,527)	3,024,552	3,109,311	(84,758)
Parkside	9,203,382	10,285,724	(1,082,342)	8,123,925	9,069,529	(945,604)	1,079,457	1,216,195	(136,738)
Platteville	11,408,998	10,510,158	898,839	9,630,457	9,371,647	258,810	1,778,541	1,138,511	640,029
River Falls	11,411,307	11,556,152	(144,845)	9,379,633	9,408,907	(29,274)	2,031,674	2,147,245	(115,571)
Stevens Point	20,887,995	23,637,520	(2,749,525)	15,273,204	18,045,850	(2,772,646)	5,614,791	5,591,670	23,122
Stout	21,892,921	19,569,407	2,323,514	18,358,481	16,413,226	1,945,255	3,534,440	3,156,181	378,259
Superior	8,179,657	8,659,436	(479,779)	7,156,601	6,829,384	327,217	1,023,055	1,830,052	(806,997)
Whitewater	18,831,265	18,552,792	278,473	14,569,403	15,229,977	(660,574)	4,261,862	3,322,814	939,047
Colleges	13,331,906	16,880,180	(3,548,274)	12,578,721	15,721,239	(3,142,518)	753,185	1,158,940	(405,755)
Extension	40,707,397	41,807,043	(1,099,646)	15,147,920	16,185,885	(1,037,965)	25,559,477	25,621,158	(61,681)
System Administration	0	992,455	(992,455)	0	992,455	(992,455)	0	0	0

RESEARCH & PUBLIC SERVICE									
Institution	Fiscal Year	Total	Increase	Fiscal Year	Federal	Increase	Fiscal Year	Non Federal	Increase
	2016-17	Fiscal Year	(Decrease)	2016-17	Fiscal Year	(Decrease)	2016-17	Fiscal Year	(Decrease)
		2015-16			2015-16			2015-16	
Total	1,077,581,386	1,091,734,785	(14,153,399)	651,903,007	682,123,218	(30,220,211)	425,678,379	409,611,567	16,066,812
Madison	968,312,424	985,950,506	(17,638,082)	585,147,674	620,505,544	(35,357,870)	383,164,749	365,444,962	17,719,788
Milwaukee	39,995,691	39,903,394	92,297	29,356,978	28,339,702	1,017,276	10,638,713	11,563,693	(924,980)
Eau Claire	2,547,188	2,841,766	(294,578)	1,413,987	1,661,168	(247,181)	1,133,201	1,180,598	(47,397)
Green Bay	3,320,804	1,679,460	1,641,345	2,255,920	1,236,248	1,019,672	1,064,885	443,212	621,673
La Crosse	1,948,377	2,676,804	(728,427)	730,149	1,431,332	(701,183)	1,218,228	1,245,472	(27,244)
Oshkosh	4,678,537	4,282,376	396,160	3,462,540	2,445,853	1,016,688	1,215,997	1,836,524	(620,527)
Parkside	120,937	872,407	(751,470)	30,000	381,039	(351,039)	90,937	491,368	(400,431)
Platteville	1,057,366	826,915	230,451	1,012,708	509,242	503,466	44,658	317,673	(273,015)
River Falls	790,713	1,402,630	(611,917)	653,148	1,119,501	(466,353)	137,565	283,129	(145,564)
Stevens Point	6,305,942	5,012,045	1,293,896	2,411,977	1,361,860	1,050,117	3,893,964	3,650,185	243,779
Stout	7,885,379	5,783,564	2,101,815	7,020,074	5,003,980	2,016,094	865,305	779,584	85,721
Superior	2,881,899	2,224,704	657,195	2,736,132	1,729,258	1,006,874	145,767	495,446	(349,679)
Whitewater	1,930,769	641,939	1,288,831	510,117	184,303	325,814	1,420,652	457,636	963,017
Colleges	46,560	64,443	(17,884)	13,683	28,305	(14,622)	32,877	36,139	(3,262)
Extension	35,758,801	37,571,832	(1,813,031)	15,147,920	16,185,885	(1,037,965)	20,610,882	21,385,947	(775,066)
System Administration	0	0	0	0	0	0	0	0	0

INSTRUCTION									
Institution	Fiscal Year	Total	Increase	Fiscal Year	Federal	Increase	Fiscal Year	Non Federal	Increase
	2016-17	Fiscal Year	(Decrease)	2016-17	Fiscal Year	(Decrease)	2016-17	Fiscal Year	(Decrease)
		2015-16			2015-16			2015-16	
Total	57,703,346	63,596,733	(5,893,387)	33,381,533	42,067,740	(8,686,207)	24,321,814	21,528,993	2,792,820
Madison	37,269,758	43,693,747	(6,423,989)	20,411,067	27,835,116	(7,424,049)	16,858,691	15,858,631	1,000,060
Milwaukee	5,025,939	3,428,032	1,597,907	3,916,287	2,898,096	1,018,190	1,109,652	529,936	579,716
Eau Claire	3,326,115	3,219,653	106,462	954,558	1,604,732	(650,174)	2,371,557	1,614,921	756,636
Green Bay	1,456,374	2,545,948	(1,089,574)	393,809	1,444,354	(1,050,545)	1,062,565	1,101,594	(39,029)
La Crosse	52,271	288,527	(236,256)	11,038	268,493	(257,455)	41,233	20,034	21,199
Oshkosh	8,923,092	6,585,779	2,337,313	7,114,536	5,795,044	1,319,492	1,808,556	790,735	1,017,821
Parkside	364,512	728,784	(364,272)	0	290,737	(290,737)	364,512	438,047	(73,535)
Platteville	125,057	26,324	98,733	120,061	16,000	104,061	4,996	10,324	(5,328)
River Falls	1,945	151,956	(150,011)	0	149,997	(149,997)	1,945	1,959	(14)
Stevens Point	725,838	1,184,327	(458,490)	357,969	399,100	(41,131)	367,868	785,227	(417,359)
Stout	206,218	188,986	17,232	74,209	28,000	46,209	132,009	160,986	(28,977)
Superior	38,824	1,796	37,028	0	0	0	38,824	1,796	37,028
Whitewater	187,404	557,119	(369,716)	27,999	345,616	(317,617)	159,405	211,503	(52,099)
Colleges	0	3,301	(3,301)	0	0	0	0	3,301	(3,301)
Extension	0	0	0	0	0	0	0	0	0
System Administration	0	992,455	(992,455)	0	992,455	(992,455)	0	0	0

Institution	STUDENT AID								
	Fiscal Year	Total	Increase	Fiscal Year	Federal	Increase	Fiscal Year	Non Federal	Increase
	2016-17	Fiscal Year	(Decrease)	2016-17	Fiscal Year	(Decrease)	2016-17	Fiscal Year	(Decrease)
Total	198,910,455	223,709,336	(24,798,881)	168,508,548	196,087,859	(27,579,311)	30,401,908	27,621,477	2,780,430
Madison	44,568,642	51,521,175	(6,952,533)	24,141,386	32,457,207	(8,315,821)	20,427,256	19,063,969	1,363,287
Milwaukee	34,149,536	36,241,906	(2,092,370)	33,518,086	36,240,556	(2,722,470)	631,450	1,350	630,100
Eau Claire	12,750,606	12,552,524	198,082	11,058,785	11,747,542	(688,757)	1,691,821	804,982	886,839
Green Bay	8,757,367	9,055,958	(298,591)	8,075,643	8,289,309	(213,666)	681,724	766,649	(84,925)
La Crosse	8,830,989	9,807,105	(976,116)	7,904,312	8,966,135	(1,061,822)	926,677	840,971	85,706
Oshkosh	7,449,958	13,385,375	(5,935,417)	7,449,958	13,385,375	(5,935,417)	0	0	0
Parkside	8,561,619	8,623,551	(61,932)	8,093,925	8,397,753	(303,828)	467,694	225,798	241,896
Platteville	7,894,000	8,260,300	(366,300)	7,894,000	8,260,300	(366,300)	0	0	0
River Falls	8,130,675	8,558,101	(427,426)	7,009,401	7,316,134	(306,733)	1,121,274	1,241,967	(120,693)
Stevens Point	13,454,129	17,144,850	(3,690,721)	12,490,530	16,276,655	(3,786,126)	963,599	868,194	95,405
Stout	11,243,097	11,026,612	216,486	10,438,886	10,273,590	165,296	804,211	753,022	51,190
Superior	5,101,936	6,081,343	(979,407)	4,420,469	4,878,459	(457,990)	681,467	1,202,884	(521,417)
Whitewater	15,031,672	15,314,950	(283,278)	13,448,128	14,152,290	(704,162)	1,583,544	1,162,660	420,884
Colleges	12,986,228	16,135,586	(3,149,358)	12,565,038	15,446,554	(2,881,516)	421,190	689,032	(267,842)
Extension	0	0	0	0	0	0	0	0	0
System Administration	0	0	0	0	0	0	0	0	0

Institution	ALL OTHERS								
	Fiscal Year	Total	Increase	Fiscal Year	Federal	Increase	Fiscal Year	Non Federal	Increase
	2016-17	Fiscal Year	(Decrease)	2016-17	Fiscal Year	(Decrease)	2016-17	Fiscal Year	(Decrease)
Total	104,806,040	138,826,908	(34,020,869)	10,060,898	11,347,157	(1,286,260)	94,745,142	127,479,751	(32,734,609)
Madison	80,244,551	114,321,954	(34,077,404)	2,569,713	4,500,952	(1,931,238)	77,674,838	109,821,003	(32,146,165)
Milwaukee	5,387,001	7,041,416	(1,654,415)	2,684,225	2,209,431	474,794	2,702,776	4,831,985	(2,129,209)
Eau Claire	0	0	0	0	0	0	0	0	0
Green Bay	1,893,888	1,670,019	223,869	210	10,235	(10,025)	1,893,678	1,659,784	233,894
La Crosse	2,257,291	2,160,129	97,162	1,064,778	1,004,163	60,615	1,192,513	1,155,966	36,547
Oshkosh	0	563,342	(563,342)	0	81,290	(81,290)	0	482,052	(482,052)
Parkside	156,314	60,982	95,332	0	0	0	156,314	60,982	95,332
Platteville	2,332,575	1,396,620	935,955	603,688	586,105	17,583	1,728,887	810,515	918,372
River Falls	2,487,974	1,443,465	1,044,509	1,717,084	823,275	893,809	770,890	620,190	150,700
Stevens Point	402,088	296,298	105,790	12,728	8,235	4,493	389,360	288,063	101,297
Stout	2,558,227	2,570,246	(12,019)	825,312	1,107,656	(282,344)	1,732,915	1,462,590	270,325
Superior	156,998	351,593	(194,596)	0	221,667	(221,667)	156,998	129,926	27,071
Whitewater	1,681,420	2,038,784	(357,363)	583,159	547,768	35,391	1,098,261	1,491,016	(392,754)
Colleges	299,118	676,849	(377,731)	0	246,381	(246,381)	299,118	430,469	(131,350)
Extension	4,948,595	4,235,211	713,385	0	0	0	4,948,595	4,235,211	713,385
System Administration	0	0	0	0	0	0	0	0	0

*Includes Libraries, Physical Plant and Miscellaneous categories

**FINANCIAL MANAGEMENT REPORT
PERFORMANCE REPORT
FY2016-17 - Final**

BACKGROUND

The Business and Finance Committee receives periodic Financial Management Reports regarding the status of the UW System budget. These reports are prepared and presented based on financial information at the close of December, March, and June, reflecting budget status at the end of the second, third and fourth fiscal quarters respectively.

The reports provide budget-to-actual revenue and expense information along with variances of that activity from approved budgets. They are intended to provide a high-level summary of activity in significant fund groupings and areas of activity and provide the information necessary for the Committee to meet its fiduciary responsibilities with respect to UW System budget management and oversight. These cash-basis reports are prepared as internal management reports offering management and the Board a dashboard-type tool for use in monitoring the status of the University's budget. Such interim financial reports are not meant to replace the UW System's Annual Financial Report and related accrual-based, audited Financial Statements. The UW System Annual Financial Report presents a comprehensive look at the University's financial activities for a given fiscal year.

The high-level budget-to-actual reports presented here include a comparison of actual revenues and expenses to the Regent-approved budgets, along with variances from budget for the following major revenue and expenditure categories:

- Tuition & Fees, General Purpose Revenue (GPR) and certain other revenues
- Auxiliary Operations
- General Operations
- Gifts, Grants, and Contracts
- Other Funding not included in the above categories

The quarterly financial management reports include both year-to-date actuals and year-end projections, which assume similar rates of revenue collection and expenses as the prior year.

REQUESTED ACTION

This report is for information only.

DISCUSSION

The attached Financial Management Report is based on preliminary financial data and presents the status of the UW System budget by major areas of activity for the period July 1, 2016

through June 30, 2017. While there are significant variances within individual fund groupings, this final report for FY2017 shows expenses at approximately 97.1% of the Regent-approved budget, while revenues were at 100.1% of the budgeted level.

RELATED REGENT POLICIES

None

**University of Wisconsin System
Fiscal Year 2017 Budget to Actual Summary
as of June 30, 2017 (Final)**

Tuition & Fees and GPR

<i>Beginning Fund Balance</i>					
	Budgeted Amount	YTD	YTD%	Total	% of Budget
Revenue	\$2,478,178,758	\$2,492,847,426	100.6%	\$2,492,847,425	100.6%
Use of Balances	\$107,585,782			\$18,373,632	17.1%
Expenses	(\$2,585,764,540)	(\$2,511,221,058)	97.1%	(\$2,511,221,057)	97.1%
Net (Rev. less Exp.)	\$0	-\$18,373,632		\$0	
<i>Projected Ending Fund Balance</i>					

Auxiliary Operations

<i>Beginning Fund Balance</i>					
	Budgeted Amount	YTD	YTD%	Total	% of Budget
Revenue	\$719,563,956	\$733,734,057	102.0%	\$733,734,057	102.0%
Use of Balances	\$27,795,505			(\$6,543,340)	-23.5%
Expenses	(\$747,359,461)	(\$727,190,716)	97.3%	(\$727,190,716)	97.3%
Net (Rev. less Exp.)	\$0	\$6,543,341		\$0	
<i>Projected Ending Fund Balance</i>					

General Operations

<i>Beginning Fund Balance</i>					
	Budgeted Amount	YTD	YTD%	Total	% of Budget
Revenue	\$275,813,560	\$326,840,074	118.5%	\$326,840,074	118.5%
Use of Balances	\$12,456,754			\$8,282,522	66.5%
Expenses	(\$288,270,314)	(\$335,122,597)	116.3%	(\$335,122,596)	116.3%
Net (Rev. less Exp.)	\$0	(\$8,282,523)		\$0	
<i>Projected Ending Fund Balance</i>					

Gifts, Grants, and Contracts

	Budgeted Amount	YTD	YTD%	Total	% of Budget
Revenue	\$1,218,790,433	\$1,274,479,602	104.6%	\$1,274,479,602	104.6%
Expenses	(\$1,218,790,433)	(\$1,198,396,834)	98.3%	(\$1,198,396,834)	98.3%
Net (Rev. less Exp.)	\$0	\$76,082,769		\$76,082,769	

Other Funding

	Budgeted Amount	YTD	YTD%	Total	% of Budget
Revenue	\$1,416,366,437	\$1,288,443,018	91.0%	\$1,288,443,019	91.0%
Expenses	(\$1,416,366,437)	(\$1,306,293,881)	92.2%	(\$1,306,293,882)	92.2%
Net (Rev. less Exp.)	\$0	(\$17,850,862)		(\$17,850,863)	

SUMMARY TOTAL OF ALL FUNDS

	Budgeted Amount	YTD	YTD%	Total	% of Budget
Revenue	\$6,108,713,144	\$6,116,344,177	100.1%	\$6,116,344,177	100.1%
Use of Balances	\$147,838,041			\$20,112,814	13.6%
Expenses	(\$6,256,551,185)	(\$6,078,225,085)	97.1%	(\$6,078,225,085)	97.1%
Net (Rev. less Exp.)	\$0	\$38,119,093		\$58,231,906	

**University of Wisconsin System
Dashboard of Major Revenues and Expenditures
As of June 30, 2017**

GPR/Fees

	Current Year - Budget to Actual				Prior Year Actuals			Current Year - Projected (Based on Prior Year to Date %)			
	Budget	YTD	Variance	YTD%	Total	YTD	YTD %	Budget	Projected Total	Variance	% of Budget
<u>Revenues</u>	2,478,178,758	2,492,847,426	14,668,668	100.6%	2,419,526,557	2,419,526,558	100.0%	2,478,178,758	2,492,847,425	14,668,667	100.6%
Academic Fee Revenue	1,429,473,458	1,462,356,443	32,882,985	102.3%	1,425,973,843	1,425,973,843	100.0%	1,429,473,458	1,462,356,442	32,882,984	102.3%
State Appropriation (GPR)	1,048,705,300	1,030,490,983	(18,214,317)	98.3%	993,552,714	993,552,714	100.0%	1,048,705,300	1,030,490,983	(18,214,317)	98.3%
<u>Expenditures</u>	2,585,764,540	2,511,221,058	74,543,482	97.1%	2,461,277,974	2,461,277,974	100.0%	2,585,764,540	2,511,221,057	(74,543,483)	97.1%
Salaries	1,326,858,948	1,332,579,378	(5,720,430)	100.4%	1,340,656,599	1,340,656,599	100.0%	1,326,858,948	1,332,579,378	5,720,430	100.4%
Fringe benefits	545,248,395	508,241,336	37,007,059	93.2%	510,348,828	510,348,828	100.0%	545,248,395	508,241,335	(37,007,060)	93.2%
Supply and Expense	369,480,503	296,147,766	73,332,737	80.2%	268,378,630	268,378,630	100.0%	369,480,503	296,147,766	(73,332,737)	80.2%
Capital	31,442,864	35,496,894	(4,054,030)	112.9%	36,135,382	36,135,382	100.0%	31,442,864	35,496,894	4,054,030	112.9%
Financial Aid	78,029,188	102,415,607	(24,386,419)	131.3%	83,923,812	83,923,812	100.0%	78,029,188	102,415,607	24,386,419	131.3%
Other	234,704,642	236,340,078	(1,635,436)	100.7%	221,834,723	221,834,723	100.0%	234,704,642	236,340,078	1,635,436	100.7%

Column Descriptions	Budget:	Regent approved and published annual "Redbook" base budget.
	Current YTD Actuals:	Amounts actually collected and expended through the report date in the current fiscal year.
	Variance:	Difference between the budget and the actual revenues and expenditures.
	YTD %:	Year to date actual revenues and expenditures as a percentage of the budget.
	Prior Year Actual Total:	Actual total revenues and expenditures at the close of the prior fiscal year.
	Prior YTD Actuals:	Actual revenues and expenditures through the same period of the prior fiscal year.
	Prior YTD %:	Percentage of the prior year's total actual revenues and expenditures posted through the same period of the prior fiscal year.
	Projected Total:	Amount expected if current year collections and expenditures were made at the same rate as the prior year. Calculated as current year activity divided by the prior year to date %.
	Projected Variance:	Difference between budget and projected total.
	Projected %	Projected total as a percentage of budget.

**University of Wisconsin System
Dashboard of Major Revenues and Expenditures
As of June 30, 2017**

Auxiliary Operations

	Current Year - Budget to Actual				Prior Year Actuals			Current Year - Projected (Based on Prior Year to Date %)			
	Budget	YTD	Variance	YTD%	Total	YTD	YTD %	Budget	Projected Total	Variance	% of Budget
Revenues											
Auxiliary Operations (128) <small>(e.g., Housing, Food Service, Union, etc.)</small>	719,563,956	733,734,057	14,170,101	102.0%	696,562,508	696,562,508	100.0%	719,563,956	733,734,057	14,170,101	102.0%
Expenditures											
Salaries	249,399,622	239,130,198	10,269,424	95.9%	234,152,134	234,152,134	100.0%	249,399,622	239,130,198	10,269,424	95.9%
Fringe benefits	81,925,755	73,980,027	7,945,728	90.3%	72,271,327	72,271,327	100.0%	81,925,755	73,980,027	7,945,728	90.3%
Supply and Expense	352,471,890	315,980,065	36,491,825	89.6%	305,655,648	305,655,648	100.0%	352,471,890	315,980,065	36,491,825	89.6%
Capital	49,979,934	19,247,237	30,732,697	38.5%	17,849,471	17,849,471	100.0%	49,979,934	19,247,237	30,732,697	38.5%
Financial Aid	6,729,842	6,625,002	104,840	98.4%	12,042,443	12,042,443	100.0%	6,729,842	6,625,002	104,840	98.4%
Other	6,852,418	72,228,188	(65,375,770)	1054.1%	41,407,444	41,407,444	100.0%	6,852,418	72,228,188	(65,375,770)	1054.1%

**University of Wisconsin System
Dashboard of Major Revenues and Expenditures
As of May 31, 2017**

General Operations

	Current Year - Budget to Actual				Prior Year Actuals			Current Year - Projected (Based on Prior Year to Date %)				
	Budget	YTD	Variance	YTD%	Total	YTD	YTD %	Budget	Projected Total	Variance	% of Budget	
Revenues												
General Operations (136)	275,813,560	326,840,074	51,026,514	118.5%	328,088,934	328,088,934	100.0%	275,813,560	326,840,074	51,026,514	118.5%	
Expenditures	288,270,314	335,122,597	(46,852,283)	116.3%	332,163,878	332,163,878	100.0%	288,270,314	335,122,596	46,852,282	116.3%	
Salaries	108,868,639	112,368,194	(3,499,555)	103.2%	100,227,956	100,227,956	100.0%	108,868,639	112,368,194	3,499,555	103.2%	
Fringe benefits	38,641,760	36,445,912	2,195,848	94.3%	39,503,488	39,503,488	100.0%	38,641,760	36,445,912	(2,195,848)	94.3%	
Supply and Expense	117,739,989	129,377,351	(11,637,362)	109.9%	128,945,294	128,945,294	100.0%	117,739,989	129,377,351	11,637,362	109.9%	
Capital	7,254,837	15,234,033	(7,979,196)	210.0%	9,544,020	9,544,020	100.0%	7,254,837	15,234,033	7,979,196	210.0%	
Financial Aid	15,402,539	26,999,692	(11,597,153)	175.3%	24,052,611	24,052,611	100.0%	15,402,539	26,999,692	11,597,153	175.3%	
Other	362,550	14,697,415	(14,334,865)	4053.9%	29,890,509	29,890,509	100.0%	362,550	14,697,415	14,334,865	4053.9%	

University of Wisconsin System
Dashboard of Major Revenues and Expenditures
As of June 30, 2017

Gifts, Grants, and Contracts

	Current Year - Budget to Actual				Prior Year Actuals			Current Year - Projected (Based on Prior Year to Date %)			
	Budget	YTD	Variance	YTD%	Total	YTD	YTD %	Budget	Projected Total	Variance	Projected %
<u>Revenues</u>	1,218,790,433	1,274,479,602	55,689,169	104.6%	1,232,020,741	1,232,020,741	100.0%	1,218,790,433	1,274,479,602	55,689,169	104.6%
Federal Grants and Contracts	641,056,538	591,354,449	(49,702,089)	92.2%	599,565,288	599,565,288	100.0%	641,056,538	591,354,449	(49,702,089)	92.2%
Non-federal Gifts, Grants and Contracts	550,717,407	657,973,219	107,255,812	119.5%	604,192,941	604,192,941	100.0%	550,717,407	657,973,219	107,255,812	119.5%
Trust Funds	27,016,488	25,151,935	(1,864,553)	93.1%	28,262,511	28,262,511	100.0%	27,016,488	25,151,935	(1,864,553)	93.1%
<u>Expenditures</u>	1,218,790,433	1,198,396,834	20,393,599	98.3%	1,188,818,320	1,188,818,320	100.0%	1,218,790,433	1,198,396,834	20,393,599	98.3%
Salaries	526,569,802	530,871,726	(4,301,924)	100.8%	518,886,112	518,886,112	100.0%	526,569,802	530,871,726	(4,301,924)	100.8%
Fringe benefits	160,540,425	175,126,105	(14,585,680)	109.1%	173,581,287	173,581,287	100.0%	160,540,425	175,126,105	(14,585,680)	109.1%
Supply and Expense	346,459,042	325,884,702	20,574,340	94.1%	319,490,429	319,490,429	100.0%	346,459,042	325,884,702	20,574,340	94.1%
Capital	96,492,914	36,042,761	60,450,153	37.4%	35,286,721	35,286,721	100.0%	96,492,914	36,042,761	60,450,153	37.4%
Financial Aid	70,916,837	109,565,021	(38,648,184)	154.5%	108,539,790	108,539,790	100.0%	70,916,837	109,565,021	(38,648,184)	154.5%
Other	17,811,413	20,906,518	(3,095,105)	117.4%	33,033,980	33,033,980	100.0%	17,811,413	20,906,518	(3,095,105)	117.4%

