



Board of Regents

1860 Van Hise Hall
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DATE: June 1, 2017 REVISED

TO: Members of the Board of Regents

FROM: Jane S. Radue, Executive Director and Corporate Secretary *JSR*

PUBLIC MEETING NOTICE

Meetings of the University of Wisconsin System Board of Regents
to be held at UW-Milwaukee Union, 2200 East Kenwood Blvd., Milwaukee, Wisconsin 53211
on June 8 & 9, 2017

Thursday, June 8, 2017

- 8:15 – 8:55 a.m. Joint Meeting of the Audit Committee and the Business & Finance Committee – 1st Floor, Fireside Lounge
- 9:00 – 10:30 a.m. Business and Finance Committee – 1st Floor, Ballroom West
- 9:00 – 10:30 a.m. Education Committee – 2nd Floor, Wisconsin Room
- 10:30 a.m. Break
- 10:45 a.m. – 12:15 p.m. Capital Planning and Budget Committee – 1st Floor, Ballroom West
- 10:45 a.m. – 12:15 p.m. Research, Economic Development, and Innovation Committee – 2nd Floor, Wisconsin Room
- 10:45 a.m. – 12:15 p.m. Audit Committee – 1st Floor, Fireside Lounge

1:15 p.m. All Regents – 2nd Floor, Wisconsin Room

1. Calling of the roll
2. Introduction of newly-appointed Regents:
 - a. Mr. Robert Atwell
 - b. Mr. Mike Jones
 - c. Mr. Ryan Ring
3. Other updates and introductions

4. Host-campus presentation by UW-Milwaukee Chancellor Mark Mone: “At the Intersection of Innovation and Impact: What’s at Stake”
5. Presentation by Mr. Blake Moret, President and CEO, Rockwell Automation: “The University of Wisconsin System: Building Talent to Fuel Growth and Competitiveness”
6. Update on Flex Option Program by Aaron Brower, UW-Extension Provost and Vice Chancellor, and David Schejbal, UW-Extension Dean of Continuing Education, Outreach & E-Learning
7. *Presentation and Discussion:* UW-Milwaukee’s NCAA Division I Athletics 2017 Annual Report

Followed by:

8. Closed session – 1st Floor, Fireside Lounge

Move into closed session to: (a) confer with legal counsel regarding pending or potential litigation, as permitted by s. 19.85(1)(g), Wis. Stats.; (b) consider personal histories or disciplinary data of specific persons which, if discussed in public, would be likely to have a substantial effect upon the reputation of such persons, as permitted by s. 19.85(1)(f), Wis. Stats.; and (c) consider annual personnel evaluations, as permitted by s. 19.85(1)(c), Wis. Stats.

Friday, June 9, 2017

9:00 a.m. All Regents – 2nd Floor, Wisconsin Room

[See Friday agenda for details.]

The closed session agenda also may be considered on Friday, June 9, 2017, as the Board’s needs may dictate.

Information about agenda items can be found during the week of the meeting at <https://www.wisconsin.edu/regents/meetings/> or may be obtained from Jane Radue, Executive Director, Office of the Board of Regents, 1860 Van Hise Hall, Madison, WI 53706, (608)262-2324. Persons with disabilities requesting an accommodation to attend are asked to contact Jane Radue in advance of the meeting. The meeting will be webcast at <http://www.wisconsin.edu/regents/board-of-regents-videostreaming/> on Thursday, June 8, 2017, from 1:15 p.m. until approximately 3:30 p.m. and on Friday June 9, 2017, from 9:00 a.m. until approximately 12:00 noon.

UPDATE ON THE UNIVERSITY OF WISCONSIN FLEXIBLE OPTION

BACKGROUND

The UW Flexible Option (“UW Flex”) continues to garner considerable statewide and national attention, and the UW System is recognized as a national leader in competency-based education. UW Flex builds on the University of Wisconsin’s reputation for quality and innovation, its foundation in the *Wisconsin Idea*, and its ongoing commitment to provide affordable, accessible, high-quality education. The Board of Regents has been provided periodic updates on UW Flex since the program began.

UW Flex uses an innovative *direct-assessment* competency-based approach that supports nontraditional adult students who want to work at their own pace to complete professionally-oriented degrees and industry-focused certificates. UW Flex began enrolling students in January 2014, and now enrolls almost 1,300 students, with about 100 graduates.

REQUESTED ACTION

For discussion only; no action is required.

DISCUSSION

The presentation to the Board of Regents at its June 8, 2017 meeting will be provided by UW-Extension Provost and Vice Chancellor Aaron Brower, and UW-Extension Dean of Continuing Education, Outreach & E-Learning (CEOEL) David Schejbal.

The presentation will provide updates on per-program enrollments and enrollment projections, an overview of expenses and revenues, and a discussion of major milestones and ongoing developments.

The UW Flexible Option

UW Flex is aimed at nontraditional, returning adult students who seek flexible and personalized education that fits into their existing work and family lives. Recent census data indicate that more than 800,000 adults in Wisconsin (or 21% of the population) fit this nontraditional description, with about 10% of those adults potentially interested in seeking higher education.

The typical UW Flex student is a parent, works, and is active in his or her community. The average age of Flex students is 34; 61% are women, 15% are persons of color, and 11% are veterans. Many have attended college but didn’t complete, and Flex programs offer the most efficient and effective opportunities to finish their degrees.

UW Flex dramatically differs from traditional campus- or course-based instruction that is delivered face-to-face or using online formats. Both the curricular and delivery models are

distinctive, involving new kinds of partnerships between UW-Extension and UW institutions. Instead of progressing towards a degree by amassing credits through course-based activity, students enrolled in UW Flex progress toward their degrees by demonstrating mastery over *competency assessments* – tests, projects, papers, and on-site evaluations developed by UW faculty that measure what students know and can do.

Students in UW Flex are supported individually in their education by faculty and Academic Success Coaches. Faculty oversee the academic quality of the program, provide expert guidance to students, and develop and curate instructional materials. Academic Success Coaches monitor student progress and regularly check in with students to ensure that they are progressing toward their goals. UW Flex is a self-paced program; students can enroll in three-month subscription periods that begin every month. Students pay a flat fee (\$2,250) and may engage in as much learning and take as many assessments as they are able. Within that subscription period, students are given as much support as they want or need. UW Flex programs are designed to meet areas of strong student demand and workforce development, supporting the state's economic growth.

The UW Flexible Option grew 42% in FY17, to a total of 1,300 students. Currently, there are five degrees and three certificates offered in the competency-based format. All of the programs are partnerships between UW-Extension and UW campuses – faculty come from the UW campuses with instructional design and operational support coming from UW-Extension. In all but one program, students enroll and graduate from a partner UW institution. The one exception is the new Bachelor of Science in Business Administration offered through UW-Extension.

Offering UW Flex degrees has required building new educational and student support systems – including admissions, bursar, registration, financial aid, advising, transcription, student records, instructional design, and learning management systems. All of these systems are housed in UW-Extension's Division of Continuing Education, Outreach, and E-Learning (CEOEL).

UW-Milwaukee Flex Programs

- RN to BSN degree completion program for registered nurses
- B.S. in Information Sciences and Technology
- B.S. in Biomedical Sciences: Diagnostic Imaging degree completion program
- Certificate in Professional/Technical Communications

UW-Colleges

- Associate of Arts and Sciences

UW-Parkside

- Certificate in Project Management

UW-Madison

- Professional certificate for social service personnel in Substance Use and Disorders

UW-Extension

- B.S. in Business Administration

The UW-Madison Professional Certificate in Substance Use Disorders is the first program to produce net revenues. In FY17, CEOEL shared \$37,600 with UW-Madison. If current enrollment trends hold, the UWM Flex IT program will generate net revenues in FY 2018, and Nursing in FY 2019.

Students in the new UW-Extension B.S. in Business Administration program are completing projects on schedule, though enrollments remain small. Currently, there are eight students in the program, and faculty feedback on the quality of their work is positive. According to Professor Suresh Chalasani (UW-Parkside) who oversees the information systems part of the curriculum, students are bringing a wealth of work experience and are successfully progressing in the program. For example, four students have already mastered the competencies in the first project in the information systems curriculum. Preliminary student surveys indicate that students are highly satisfied with the curricular content and the support received from faculty and academic success coaches.

The main enrollment challenge of the BSBA program to date is the still incomplete accreditation process. Since the program's initial offering in December 2016, nearly 2,300 students have expressed interest in the program. Once accreditation is achieved, enrollment numbers are expected to increase. UW-Extension is moving through the lengthy accreditation process as quickly as allowed by the Higher Learning Commission. UW-Extension will submit a candidacy application to the HLC by the end of summer, preparing the way for a site visit by accreditation reviewers in spring or summer 2018. Assuming a positive review, UW-Extension should move into candidacy status in 2019. Although candidacy status is not final accreditation, it is a significant step in the process because it opens the door to federal financial aid. Final accreditation is expected in 2021.

Finally, UW Flex is currently completing a three-year, \$1.2-million project funded by the Lumina Foundation to chronicle the development of the first public competency-based program in the country. To learn more about the project, please visit ce.uwex.edu/cbe-case-study/.

Collaborative Programs

UW Flex is one offering among the Collaborative Programs provided through partnerships between the UW-Extension Division of Continuing Education, Outreach and E-Learning (CEOEL) and 12 of the 13 UW campuses. All of the Collaborative Programs, including UW Flex, build access to classes and programs that appeal to adult and nontraditional students, many of whom have work and family obligations. The Collaborative Programs allow students to choose a UW campus as their home institution while benefiting from the collective expertise of UW faculty across UW institutions. In FY2017, 10 online, multi-campus collaborative degree programs were offered along with an array of Independent Learning classes. In total, CEOEL enrolled 2,985 students in 7,945 course enrollments. This was a 10% enrollment increase over FY2016 and resulted in \$1.2 million in net revenues. Those revenues are shared equally among the partner institutions whose programs generated net revenues. The presentation to the Board will briefly describe the Collaborative Program model, an educational model that leverages the strengths of the UW System.

Students Benefit

The average persistence rate in UW Flex is 75%, and some motivated students have finished bachelor's degrees in 9-18 months. The following is a sampling of what students say about Flex:

"In UW Flex, I was able to work full time and fit my studies around my life. At one point, I even took off a month for a wedding and a move. You just can't do that in a traditional program. Because the program is online, I did a lot of studying late at night, after my family had gone to bed, and early in the morning. I probably did 95 percent of my work from nine to midnight. I have since taken a new job, and having my bachelor's meant I did not have to worry about answering a lot of questions about a lack of a degree during the interview or being disqualified immediately in the screening process."

--Craig Kilgo, graduate, UW-Milwaukee Bachelor of Science in Information Science and Technology via UW Flexible Option

"I really enjoyed working in IT but knew I would need a bachelor's degree to have any career mobility. So I looked into online degrees that would give my family the future we wanted. With UW Flexible Option, I earned 49 credits in just nine months. I'm a huge advocate for the UW Flexible Option. It's a great solution for those who need more education to advance in their careers. I credit my bachelor's degree for my ability to qualify for a job at Google. Everyone recognizes the University of Wisconsin name and what it stands for."

--Jamie Dear, 2016 graduate of UW-Milwaukee with a Bachelor of Science in Information Science and Technology via UW Flexible Option

"My goal was to finish a BSN degree in one year, and I did it! Thanks to the self-paced Flexible Option format, I was able to complete 36 credits while working full-time as an ER nurse."

--Lori Kenyon, 2015 graduate of the UW-Milwaukee RN to BSN program via UW Flexible Option

RELATED REGENT AND UW SYSTEM POLICIES

NA

UW-MILWAUKEE NCAA DIVISION I ATHLETICS 2015-16 REPORT

EXECUTIVE SUMMARY

BACKGROUND

College athletics are high-profile activities that exist for the betterment of the student body, student athletes, and the university. As some of the most visible programs at institutions of higher education, athletics provide valuable experiences for student athletes, opportunities to engage the broader community, and a public face for the institution. This visibility, as well as the number of student athlete participants, the members of the public attending athletic events, and the substantial compliance requirements, necessitates a high level of oversight and scrutiny by both administrators and governing bodies.

A recent study by the Association of Governing Boards of Colleges and Universities (AGB), “Trust, Accountability, and Integrity: Board Responsibilities for Intercollegiate Athletics” (2012), included three recommendations:

- The governing board is ultimately accountable for athletic policy and oversight and should fulfill this fiduciary responsibility.
- The board shall act decisively to uphold the integrity of the athletics program and its alignment with the academic mission of the institution.
- The board must educate itself about its policy role and oversight of intercollegiate athletics.

The UW System has three institutions with Division I NCAA athletics programs (UW-Green Bay, UW-Madison, and UW-Milwaukee); one institution with a Division II athletics program (UW-Parkside); and nine institutions with Division III athletics programs (UW-Eau Claire, UW-La Crosse, UW-Oshkosh, UW-Platteville, UW-River Falls, UW-Stevens Point, UW-Stout, UW-Superior, and UW-Whitewater).

In November 2012, the Board of Regents established a reporting framework for UW institutions that participate in NCAA Division I athletics. Under this framework, institutions annually provide information to the Board regarding academic, fiscal and compliance matters related to NCAA Division I intercollegiate athletics.

The framework requires each of the UW institutions with an NCAA Division I athletics program to submit a written annual report to the Board of Regents, which is the basis for annual presentations to the Board by the Chancellor and Athletic Director. The report and presentation are designed to assist the Board in ensuring that the institutions are: 1) adhering to any performance standards implemented by an institution or its athletic board; 2) safeguarding the welfare of all students; 3) maintaining NCAA compliance; and 4) assuring fiscal integrity.

REQUESTED ACTION

This item is for discussion purposes only.

DISCUSSION

UW-Milwaukee has submitted its 2015-16 athletics report to the Board of Regents for discussion at the Board's June 2017 meeting. An overview of significant items in the report follows.

I. Athletics

According to the report, the department provided educational support and athletic opportunities to more than 300 student athletes in 15 sports. UW-Milwaukee competes in the Horizon League.

During the 2015-16 season, UW-Milwaukee's Women's Soccer Program won the regular season championship and their Women's Indoor Track and Field and Women's Swimming and Diving Programs claimed Horizon League runner-up awards. Eight teams finished in third place or better in either the regular season or league tournament.

II. Financial Status

The UW-Milwaukee Athletics Department's report to the Board includes the department's budget, actual revenues, actual expenses, balances, debt balances and payments, and endowments for Fiscal Year (FY) 2015-16. The report describes a fiscal plan of: (1) eliminating the annual structural operating deficit by the end of FY 2017, (2) operating annually with a balanced budget over a multiple-year period, and (3) working to reduce the cumulative deficit over time.

With respect to the annual structural operating deficit, the Athletics Department eliminated its annual structural operating deficit for the first time in FY 2015, two years ahead of its goal, and has now reported a surplus in its operating budget in FY 2016 and 2017. The report notes an operating surplus of \$121,859 for FY 2015-16, representing 1.4 percent of expenditures. In comparison, the Athletics Department reported an operating surplus of \$604,400 for FY 2014-15, and an operating deficit of \$799,550 in FY 2013-14.

The UW-Milwaukee Athletics Department reported a cumulative deficit of \$10,956,870 for several years up until the end of FY 2016. The Athletics Department expects to reduce its cumulative deficit to \$10,560,870 by June 30, 2017.

The Athletics Department plans to make annual contributions from its operating budget, in an amount to be determined in consultation with the Chancellor, to reduce the cumulative deficit. UW-Milwaukee will also continue to identify other sources for reducing the deficit, subject to the campus's overall funding and fiscal needs.

III. Academics

The UW-Milwaukee Athletics Department uses two benchmarks to measure the academic progress of its student athletes: 1) that UW-Milwaukee's student athletes maintain a multi-year Academic Progress Rate (APR) score that is at or higher than all Division I scores for each sport, and 2) that UW-Milwaukee's student athletes achieve multi-year APR scores that are greater than the average scores at other Horizon League institutions in each sport.

Based on APR scores for 2014-15, which is the most recent year for which data is available, neither of the two benchmarks were met. On the first benchmark, six of the 13 sports¹ had a multi-year APR score that was at or higher than all Division I scores. On the second benchmark, UW-Milwaukee's multi-year APR scores exceeded the average score for other Horizon League institutions in six of 13 sports. The APR for UW-Milwaukee's Men's Track and Women's Soccer were the highest in the Horizon league and Women's Volleyball was tied for the highest ranking in the league.

The UW-Milwaukee Athletics Department established seven benchmarks to monitor the Department's graduation success rate (GSR) and other measures of academic progress. The Department met three of the seven benchmarks. These benchmarks are as follows:

- The first benchmark was to maintain a student athlete graduation rate that is greater than that of UW-Milwaukee's general student body. The GSR for student athletes for the 2009 freshmen cohort, reported in the 2015-16 report to the Board, was 56 percent, compared to 45 percent for the general UW-Milwaukee student body.
- The second benchmark was to maintain a student athlete graduation rate of greater than 75 percent. The overall graduation rate for UW-Milwaukee student athletes in 2015-16 was 71 percent.
- The third benchmark was to maintain an overall student athlete GSR that is greater than the average for the Horizon League. The Horizon League's average GSR was 83 percent, and UW-Milwaukee's overall student athlete GSR was 71 percent.
- The fourth benchmark was to maintain an overall federal graduation rate that is greater than the average for the Horizon League. The average federal graduation rate for the Horizon League was 65 percent, and UW-Milwaukee's overall federal graduation rate was 56 percent.
- The fifth benchmark was to maintain an overall student athlete graduation rate that is greater than Horizon League institutions' average for each sport. UW-Milwaukee's GSR exceeded the average GSR in the league in Women's Tennis, with that program tied for the highest ranking GSR of all women's tennis programs in the league. The Women's Soccer Program had the second highest GSR among the women's soccer programs in the league. UW-Milwaukee's Baseball, Men's Basketball, Men's Soccer, and Women's Swimming Programs had the lowest GSRs in the league compared to the average GSR for each program.
- The sixth benchmark was to maintain a student athlete cumulative grade point average (GPA) of greater than 3.0. The cumulative GPA for UW-Milwaukee student athletes in 2015-16 was 3.277.
- The final benchmark was to maintain an average standardized test score (i.e. ACT) and grade point average for incoming student athletes that is greater than the average test score and grade

¹ For the purposes of this benchmark, UW-Milwaukee combined Men's Indoor and Outdoor Track Programs into the Men's Track Program and Women's Indoor and Outdoor Track Programs into the Women's Track Program, reducing the number of programs used for this measurement from the 15 programs reported in past years to 13 in this report.

point average for the general student body's incoming class. The average GPA for incoming freshman student athletes was 3.493, and the average GPA for the general body incoming students was 3.10. The average ACT score for incoming student athletes was 23.32 compared to 22 for the general student body.

IV. NCAA Rules Compliance

The report identifies ten self-reported NCAA violations in 2015-2016 and six violations through April 3, 2017 during 2016-2017. Of these reports, the Women's Basketball Program and the Men's Basketball Program reported two violations each in 2015-2016. Four of the six reports from 2016-2017 were from the Women's Basketball Program. UW-Milwaukee certifies that there are no investigations or reviews of the Athletics Department or its administrators and staff by the institution, NCAA, or law enforcement underway at this time.

The report includes a copy of the Agreed-Upon Procedures Report, which is required by the NCAA and issued by UW System Administration Office of Internal Audit, for the fiscal year ended on June 30, 2016. The Office of Internal Audit did not find any material exceptions to most of the procedures. However, the report notes findings and recommendations related to these three topics: 1) policies regarding temporary employees in the Athletics Department, 2) an oversight of a \$5,000 game guarantee payment, and 3) accounting procedures used for Wisconsin Center District (WCD) transactions. The audit noted management's agreement with each of the findings and corrective actions related to temporary appointments had been completed at the time of the audit. The audit also indicated that the Department planned to take immediate action to address the other two findings.

The report notes that the Horizon League completed a compliance review in December 2015 but that the league has not yet completed a written report from the review.

RELATED REGENT POLICIES

Regent Policy Document 10-1: "Endorsement of the Statement of Principles from the Knight Foundation Commission on Intercollegiate Athletics"

ATHLETICS ANNUAL REPORT

PRESENTED TO UW BOARD OF REGENTS

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INTRODUCTORY SECTION

ATHLETIC DEPARTMENT MISSION AND VISION STATEMENTS

IT IS OUR MISSION TO:

PROMOTE a spirit and pride in the University through positive athletic experiences for student-athletes, coaches and spectators.

EMBRACE the academic purpose of UWM while achieving athletic excellence.

MAINTAIN an athletics program of high quality through attracting, retaining and developing the best student-athletes, coaches and staff.

ENGAGE students, faculty, staff, alumni and the surrounding community.

IT IS OUR VISION TO:

BUILD A PASSIONATE AND PROUD UNIVERSITY OF WISCONSIN-MILWAUKEE THROUGH CHAMPIONSHIP EXPERIENCES IN ACADEMICS, ATHLETICS AND LEADERSHIP.

CHAMPIONSHIP EXPERIENCES IN ACADEMICS represent the achievement of the highest standards in an academic environment conducive to the success and personal development of our student-athletes.

CHAMPIONSHIP EXPERIENCES IN ATHLETICS represent the highest standards of the Horizon League and the NCAA. Our achievements encourage a culture of excellence consistent with that of the University.

CHAMPIONSHIP EXPERIENCES IN LEADERSHIP represent a commitment to personal and team achievements and to service in our community by student-athletes, coaches and staff.

With **PASSION** and **PRIDE** we portray our spirit to the University and beyond.

UWM MISSION STATEMENT

UWM Select Mission Statement:

To fulfill its mission as a major urban doctoral university and to meet the diverse needs of Wisconsin's largest metropolitan area, the University of Wisconsin–Milwaukee must provide a wide array of degree programs, a balanced program of applied and basic research, and a faculty who are active in public service. Fulfilling this mission requires the pursuit of these mutually reinforcing academic goals:

(a) To develop and maintain high quality undergraduate, graduate and continuing education programs appropriate to a major urban doctoral university.

(b) To engage in a sustained research effort which will enhance and fulfill the University's role as a doctoral institution of academic and professional excellence.

- (c) To continue development of a balanced array of high quality doctoral programs in basic disciplines and professional areas.
- (d) To attract highly qualified students who demonstrate the potential for intellectual development, innovation, and leadership for their communities.
- (e) To further academic and professional opportunities at all levels for women, minority, part-time, and financially or educationally disadvantaged students.
- (f) To establish and maintain productive relationships with appropriate public and private organizations at the local, regional, state, national, and international levels.
- (g) To promote public service and research efforts directed toward meeting the social, economic and cultural needs of the state of Wisconsin and its metropolitan areas.
- (h) To encourage others from institutions in the University of Wisconsin System and from other educational institutions and agencies to seek benefit from the University's research and educational resources such as libraries, special collections, archives, museums, research facilities, and academic programs.
- (i) To provide educational leadership in meeting future social, cultural, and technological challenges.

NCAA CLASSIFICATION

The University of Wisconsin-Milwaukee and its predecessor institutions have offered some form of intercollegiate athletics for over 100 years.

(Reflects sport sponsorship figures for 2015-16)

Currently, UWM offers 15 sports at the NCAA Division I level, with more than 300 student-athletes in the sports of men's and women's basketball, men's and women's soccer, men's and women's cross country, men's and women's indoor and outdoor track and field, men's and women's swimming and diving, women's volleyball, women's tennis and baseball.

UWM moved all of its sports back into Division I in 1990 and previously had placed its sports at the NCAA Division I, II and III levels, as well as in the NAIA, the AIAW and the NCAA's "Small College" division.

Over the years, UWM has had three main conference affiliations – the Wisconsin State University Conference/Wisconsin Women's Intercollegiate Athletic Conference, the Mid-Continent Conference and the Midwestern Collegiate Conference/Horizon League. Men's soccer has always had Division I status with the NCAA.

| SPORT | # OF MALE STUDENT-ATHLETES | # OF FEMALE STUDENT-ATHLETES |
|----------------------|----------------------------|------------------------------|
| BASEBALL | 38 | - |
| BASKETBALL | 17 | 15 |
| CROSS COUNTRY | 15 | 18 |
| SOCCER | 28 | 36 |
| SWIMMING | 33 | 37 |
| TENNIS | - | 9 |
| TRACK INDOOR/OUTDOOR | 45/45 | 37/38 |
| VOLLEYBALL | - | 18 |
| TOTALS | 221 (52%) | 208 (48%) |

There are a number of sports UWM has offered over the years that it does not offer anymore. Most notably, UWM had a football team through 1974 and won a number of WSUC championships. The school has also offered wrestling, men's tennis and men's volleyball, among other sports.

For more than a decade, UWM has been a dominant and successful presence in the Horizon League. The school has captured the league's all-sports award – the McCafferty Trophy – seven times. On the national stage, the men's basketball team advanced to the Sweet 16 of the NCAA Tournament in 2005 and to the second round of the tournament in both 2006 and 2014. In addition, the men's and women's soccer teams have been ranked in the top 10 nationally. In the classroom, Panther student-athletes have posted a combined 3.0 grade point average for 32 straight semesters following the 2015-16 academic year.

HORIZON LEAGUE

In its 38th season of operation in the 2016-17 academic year, the Horizon League continues to aspire toward its goal of being one of the nation's leading athletics conferences while being recognized as a leader in the development of student-athletes as leaders and role models.



The Horizon League membership features nine public and private institutions that have impressive academic reputations and a storied tradition of broad-based athletic programs. Membership includes Cleveland State University, the University of Detroit Mercy, the University of Illinois at Chicago, Northern Kentucky University, Oakland University, the University of Wisconsin-Green Bay, the University of Wisconsin-Milwaukee, Wright State University and Youngstown State University.

The Horizon League's primary focus is to add value to the educational experience through its four platforms: athletic performance, academic achievement, community outreach, and personal responsibility and accountability. It is the League's belief that athletics is a powerful and visible resource tool that can be used to enhance student-athletes' collegiate experience. The Horizon League's goals are to enhance the holistic university experience for the student-athlete, to create an affiliation of institutions with similar athletic goals, and to adhere to the principles of integrity, diversity, excellence and growth. The Horizon League sponsors competition in 19 sports - nine for men (baseball, basketball, cross country, golf, soccer, swimming and diving, indoor track and field, outdoor track and field and tennis) and 10 for women (basketball, cross country, golf, soccer, softball, swimming and diving, indoor track and field, outdoor track and field, tennis and volleyball).

The League receives automatic bids to NCAA championships in baseball, men's and women's basketball, men's golf, men's and women's soccer, softball, men's and women's tennis, women's volleyball and women's golf. The Horizon League is headquartered in Indianapolis, the "Amateur Sports Capital of the World," with offices in the Pan American Plaza (201 S. Capitol Avenue), located a block from Lucas Oil Stadium and just minutes from Bankers Life Fieldhouse, the State Capitol Building, Victory Field (home of the Indianapolis Indians) and the NCAA national office.

Jonathan B. (Jon) LeCrone is in his 25th year as Commissioner of the Horizon League, having been named to the position on May 11, 1992, and is the fifth-longest tenured commissioner among the 31 Division I conferences.

DESCRIPTION OF ATHLETICS' ROLE AT UWM

The Athletics Department at UWM provides NCAA Division I competition for over 300 students in 15 varsity sports. Gifted student-athletes are attracted to the university because of these programs, and their talents are added to the diverse gifts of the student body. Besides adding to the diversity of the community, the intercollegiate athletics programs add distinction and identity to the University.

The value of an NCAA Division I Athletics program is reflected in the financial support the students and University provides. Tuition fee remissions, and room and board waivers are examples of campus resources used for daily operation of the Athletics Department.

UWM student-athletes compete in over 250 athletic contests each year, representing the University in Horizon League and NCAA championship events. This adds value to the University brand and provides entertainment and gathering opportunities for students, faculty, staff, alumni and friends of the University. Over the past four years, an annual average of 11,000 students attended athletic contests and promotional events. As UWM continues to evolve as a residential campus, the intercollegiate athletics programs will become an even more important force for driving enrollment and attracting positive interaction for the community.

UWM student-athletes are excellent role models for all students through their performance in the classroom. With 32 consecutive semesters of collectively achieving a better than 3.0 grade point average and a graduation rate of over 74%, Panther athletes demonstrate excellence in the classroom, while achieving championship results in the athletic arena.

The Intercollegiate Athletics programs at the University of Wisconsin-Milwaukee provide a shining example of academic and athletic excellence in proud and appropriate perspective. Add to this the lessons learned in preparation for and participation in competition by the student-athletes, the role of athletics at UWM is entirely consonant with the Mission and Core Values of the University and the Department of Athletics.

2015-2016 ATHLETICS YEAR IN REVIEW

The University of Wisconsin-Milwaukee continued to set the bar high for the entire league in the 2015-16 academic year.

Milwaukee claimed a pair of Horizon League runner-up awards in women's indoor track and field and women's swimming and diving, while the women's soccer program won the regular season championship. In addition, eight teams finished in third place or better in either the regular season or league tournament. Nate Pozolinski wrapped up the indoor track season as a second-team All-American at the 2017 NCAA Indoor Championship. That marks the fourth time in the last three national championships that a Milwaukee student-athlete has earned All-American honors.

Success wasn't limited to the court or field, however, as Milwaukee student-athletes topped the 3,000-hour mark in community service with 3,678 hours. In the classroom, the Panthers posted a cumulative grade-point average of 3.00 or higher for a remarkable 32nd consecutive semester.

This year's accomplishments add to a long storyline of success in Panther athletics. UWM first broke through in winning the McCafferty Trophy following the 2000-01 school year and then claimed the award in three straight years from 2004-06. Milwaukee also won the all-sports honor in 2009, 2012 and 2014. On the women's side, UWM has won the league award 11 times in the last 16 years.

SUCCESS IN THE CLASSROOM

- UWM averaged over 100 student-athletes on both the fall and spring league honor rolls during 2015-16.
- The cumulative GPA for all Panther student-athletes is 3.277 - the 32nd straight semester where the departmental GPA is above 3.0.
- Graduation success rate was at 71%, well above the percentage for the general student population.
- Student-Athletes log many hours of community service in Milwaukee and the surrounding area. Teams volunteer for community activities like United Way, Junior Achievement, Operation Dream and the United Lutheran Soup Kitchen, among dozens of other agencies. The Athletics Department also partners with the Center for Community-Based Learning, Leadership and Research.

MCCAFFERTY TROPHY WINNERS

2001, 2004, 2005, 2006, 2009, 2012, 2014

HORIZON LEAGUE WOMEN'S ALL-SPORTS AWARD

2001, 2002, 2003, 2004, 2006, 2007, 2008, 2009, 2010, 2012, 2014

HORIZON LEAGUE MEN'S ALL-SPORTS AWARD

2000, 2005, 2006

REPORTING STRUCTURE FOR DIRECTOR OF ATHLETICS

The Director of Intercollegiate Athletics at the University of Wisconsin–Milwaukee reports directly to Vice Chancellor for Student Affairs regarding the day-to-day operations of the department. For long-term strategic development and planning, the Director of Athletics reports directly to the Chancellor. The Director of Intercollegiate Athletics represents the Department of Athletics by sitting on both the Chancellor’s Cabinet and the Vice Chancellor for Student Affairs Directors’ Cabinet.

In addition, the UWM Director of Intercollegiate Athletics is an ex-officio member of the UWM Athletic Board. The UWM Athletic Board is made up of 13 elected or appointed faculty, academic staff, and student members. The Athletic Board meets monthly and takes an active role in providing oversight to the operations of the entire UWM athletics program.

FINANCIAL INFORMATION

The Athletics Budget information on the next two pages includes only the revenues and expenses that are listed in the UW System financial reporting statements. It does not include UWM Foundation deposits and payments, in-kind donations, waivers for tuition, books, and room and board, and University indirect facilities and maintenance expenses. See Attachment B for the year-end June 30, 2016 NCAA Statement of Revenues and Expenses, which captures all UWM Athletics' financial transactions (including the below items) associated with operations.

2016-17 UNIVERSITY OF WISCONSIN - MILWAUKEE BUDGET REPORT

| REVENUE | FY 17 BUDGET (Per VCO) |
|----------------------------------|------------------------|
| Student Fees | \$ 6,707,511 |
| 101 Funding | \$ 228,120 |
| NCAA & Conference Distributions | \$ 570,000 |
| Development | \$ 172,000 |
| Corporate Sponsorships | \$ 425,000 |
| Ticket Sales | \$ 250,000 |
| Game Guarantees | \$ 195,000 |
| 228 Capital Transfer | \$ 850,000 |
| Camps & Clinics | \$ 0 |
| Other Revenue | \$ 83,000 |
| TOTAL REVENUE | \$ 9,480,631 |
| | |
| EXPENSES | FY 17 BUDGET (Per VCO) |
| 128 Salaries and Fringe Benefits | \$ 4,578,805 |
| 101 Salaries | \$ 228,120 |
| 128 Operating Expenses | \$ 2,837,672 |
| 101 Operating Expenses | \$ 0 |
| Capital | \$ 850,000 |
| Athletic Student Aid | \$ 530,904 |
| Indirect Expenses | \$ 421,605 |
| TOTAL EXPENSES | \$ 9,447,106 |
| | |
| Fiscal Year Operating Net | \$ 33,525 |

PREVIOUS FISCAL YEAR BUDGET vs. ACTUAL RESULTS

2015-16 UNIVERSITY OF WISCONSIN - MILWAUKEE BUDGET REPORT

| REVENUE | FY 16 BUDGET | ACTUAL (Per VCO) |
|----------------------------------|----------------------|---------------------|
| Student Fees | \$ 6,820,021 | \$ 6,689,821 |
| 101 Funding | \$ 759,723 | \$ 329,723 |
| NCAA & Conference Distributions | \$ 630,238 | \$ 515,303 |
| Corporate Sponsorships | \$ 425,000 | \$ 360,165 |
| Ticket Sales | \$ 280,000 | \$ 193,373 |
| 228 Capital Transfer | \$ 946,000 | \$ (8,213) |
| Development | \$ 120,000 | \$ 134,030 |
| Game Guarantees | \$ 130,000 | \$ 243,500 |
| Transfers | \$ 0 | \$ (317,518) |
| Camps & Clinics | \$ 174,311 | \$ (19,301) |
| Other Revenue | \$ 36,282 | \$ 82,173 |
| FY15 Surplus Funds | \$ 0 | \$ 604,736 |
| TOTAL REVENUE | \$ 10,321,575 | \$ 8,807,792 |
| | | |
| EXPENSES | FY 16 BUDGET | ACTUAL (Per VCO) |
| 128 Salaries and Fringe Benefits | \$ 4,938,569 | \$ 4,867,431 |
| 101 Salaries and Fringe Benefits | \$ 328,120 | \$ 328,120 |
| 128 Operating Expenses | \$ 2,861,318 | \$ 2,758,580 |
| 101 Operating Expenses | \$ 1,603 | \$ 1,603 |
| Capital | \$ 946,000 | \$ 124,715 |
| 101 Capital | \$ 0 | \$ 0 |
| Athletic Student Aid | \$ 398,504 | \$ 491,054 |
| Indirect Expenses | \$ 740,177 | \$ 114,430 |
| TOTAL EXPENSES | \$ 10,214,291 | \$ 8,685,933 |
| | | |
| Fiscal Year Operating Net | \$ 107,284 | \$ 121,859 |

***Tuition fee waivers provided by the University and used as athletic student aid were \$3,349,480 but are not included in the amount above.

EXTERNAL AUDIT REPORTS

An external audit of the NCAA's Statement of Revenue and Expenses, for the year ending June 30, 2016, was performed by Paul Rediske, an Internal Auditor with the UW System Office. Please see Attachment A for the Independent Accountant's Report on the Agreed-Upon Procedures.

CAPITAL RESERVE

The Department of Athletics has reserves held in a Fund 228 Capital Account. The reserve balance is a result of a Student Senate Finance Committee Resolution (SFC0910-002), signed on December 14, 2009. This resolution instituted a \$25 per semester segregated fee for the purpose of approving a capital bonding project for Athletics. The resolution was further clarified by a Student Association Resolution Reaffirmation (SB1011-006), on June 7, 2010.

On May 8, 2014, the UWM Student Association voted on an amendment to the capital projects resolution to affirm the segregated fee collected can be used for athletic facility improvements. The Athletics Department Administration will present proposals for future facility developments and enhancements to Student Association executive staff.

UWM sought enumeration and \$11.8 million in Program Revenue Supported Bonding for a new Basketball Practice Facility in the 2015-17 Capital Budget. This request would have been funded by the capital projects segregated fee noted above. The request was approved by the Board of Regents but was not approved by the Legislature and Governor because of the limitation on any new bonding. UWM again sought enumeration and Program Revenue Supported Bonding for this project in the 2017-19 Capital Budget, however it was not ultimately put forward to the Board of Regents. In the meantime, the funding has continued to accumulate. It is anticipated that because of the delay, the total project cost has increased to \$14.1 million. The amount to be bonded is expected to be less than \$9 million because of the accumulation of Program Revenue cash towards this project.

Balance as of FY Year-End 2016: \$3,977,731

FY16 Capital Projects:

\$124,715 Engelmann New Scoreboard

FY17 Projected Expenditures:

\$165,000 Klotsche Track Resurfacing

\$ 30,000 Engelmann Field Repairs

FY18 Projected Expenditures:

\$150,000 Engelmann Shed Project

\$ 75,000 Klotsche Pool Scoreboard

INSTITUTIONAL PLAN FOR DEFICIT

As of the end of FY 2016, UWM's Department of Athletics had a cumulative deficit of \$10,956,870 in Fund 128. The debt began accumulating around FY 2000, and grew over the years as a result of significant changes taking place in Division 1 athletics, which includes a climate of increasing costs.

The Department of Athletics is a unit of the Division of Student Affairs, where the cumulative deficit is currently held. The Chancellor, Vice Chancellor for Student Affairs, and the Athletics Director are committed to resolving the deficit and developed a fiscal plan to do so.

The fiscal plan was outlined in prior years' Annual Athletics Report as follows:

1. First eliminate the annual structural operating deficit. The annual structural operating deficit will be eliminated by the end of FY 2017.
2. Over a multiple-year period, operate annually with a balanced budget.
3. After successfully providing to be solvent on an annual basis, the campus will work with Athletics to reduce the cumulative deficit over time.

The Department of Athletics eliminated its operating deficit for the first time in FY 2015, ending the year with an operating surplus, two years ahead of the date anticipated in the plan. The Department of Athletics also finished FY 2016 with an operating surplus and projects that it will end FY 2017 with an operating surplus.

Following the conclusion of fiscal year 2016, the Department of Athletics contributed \$150,000, and the campus contributed \$300,000, to paying down the cumulative deficit. Thus, the cumulative deficit as of June 30, 2017, will be \$10,560,870. The Department of Athletics is including in its operating budget additional annual contributions each year going forward, at an amount determined in consultation with the Chancellor. In addition, the campus will also continue to identify funds that can be used to pay down the cumulative balance on an annual basis, subject to the campus's overall funding and fiscal needs.

YEAR-END UNRESTRICTED FUND BALANCES

Athletics Department year-end unrestricted fund balances = \$169,795.75

This amount is held in the UWM Foundation in four different accounts:

Intercollegiate Athletics
Development Revenue
Athletic Director's Excellence
Panther Club

TOTAL OUTSTANDING DEBT & ANNUAL DEBT SERVICE

Total annual debt service = \$1,556,751

Total debt outstanding = \$10,441,504

The outstanding debt on facilities is a result of the construction of the Pavilion, which is a shared facility between Recreation Sports, Athletics, Academics, and Parking. Designated segregated fees and user fees (non-athletic fee) provides the resources for the annual payment of the debt service.

VALUE OF ENDOWMENTS FOR ATHLETICS

Value of Endowments dedicated to the support of athletics = \$798,643.42

All endowed amounts are held in five scholarship funds and one non-scholarship fund:

Nicholas Family Scholarship
Kuehneisen Swim and Dive Scholarship
D & E Bogenschild "Green Gulls" Scholarship
Coach John Tierney Memorial Scholarship
Dexter Riesch Memorial Scholarship
Chuck Hildebrand Baseball Fund

ACADEMIC PROGRESS RATE (APR) DATA

The NCAA holds Division I institutions accountable for the academic progress of their student-athletes through the Academic Progress Rate, a team-based metric that accounts for the eligibility and retention of each student-athlete, each term.

Starting with the 2012-13 academic year data, in order to avoid penalty each team is required to earn a 4-year APR score of 930 or higher. The data is released to the public each spring by the NCAA. Due to the uncertainty of when the NCAA will release the data each year, we will continue to report the APR data from the previous year.

HOW TO CALCULATE APR SCORES: Each term, a scholarship student-athlete may earn one retention point for staying in school and one eligibility point for being academically eligible. A team's total points are divided by points possible and then multiplied by one thousand to equal the team's Academic Progress Rate.

Please see Attachment C for the official 2014-15 Academic Progress Rate Report from the NCAA.

| SPORT | 2014-15 APR SCORE | 4-YEAR APR SCORE | NCAA 4-YR AVERAGE |
|-----------------------|-------------------|------------------|-------------------|
| BASEBALL | 981 | 977 | 970 |
| MEN'S BASKETBALL | 1000 | 962 | 964 |
| WOMEN'S BASKETBALL | 939 | 968 | 978 |
| MEN'S CROSS COUNTRY | 1000 | 995 | 977 |
| WOMEN'S CROSS COUNTRY | 972 | 987 | 986 |
| MEN'S SOCCER | 975 | 954 | 974 |
| WOMEN'S SOCCER | 1000 | 996 | 984 |
| MEN'S SWIMMING | 966 | 960 | 980 |
| WOMEN'S SWIMMING | 1000 | 976 | 990 |
| WOMEN'S TENNIS | 970 | 978 | 985 |
| MEN'S TRACK | 1000 | 991 | 969 |
| WOMEN'S TRACK | 987 | 978 | 981 |
| WOMEN'S VOLLEYBALL | 1000 | 995 | 984 |

THREE-YEAR APR TREND FOR HIGHEST REVENUE SPORTS

The following lists the single year APR scores for the three highest revenue sports during the 2012-13, 2013-14, and 2014-15 academic years. Please see Attachment C for the official Academic Progress Rate Reports from the NCAA.

| HIGHEST REVENUE SPORTS | 2012-13 | 2013-2014 | 2014-2015 |
|------------------------|---------|-----------|-----------|
| MEN'S BASKETBALL | 852 | 1000 | 1000 |
| WOMEN'S BASKETBALL | 968 | 980 | 939 |
| BASEBALL | 991 | 958 | 981 |

BENCHMARKS USED BY ATHLETICS TO ASSESS ACADEMIC PROGRESS DATA

Benchmark #1: Maintain multi-year APR score that is at or higher than the all Division I score for each sport.

Benchmark #2: Achieve multi-year APR scores that are greater than average scores at other Horizon League institutions in each sport.

Please see Attachment E for the list of Horizon League institutions and APR data.

GRADUATION SUCCESS RATE (GSR), ACADEMIC & ADMISSIONS DATA

What is the Graduation Success Rate?

The NCAA Graduation Success Rate (GSR) is designed to show the proportion of student-athletes on any given team who earn a college degree. The NCAA has imposed a new set of academic standards that seeks to hold teams and institutions accountable for how well student-athlete progresses toward a degree.

The GSR was developed in response to colleges and universities who asked for an alternative rate that more accurately reflects the movement among college student-athletes. The GSR takes into account incoming transfers who graduate from a different institution than the one they started at and transfers who leave an institution in good standing.

How does it differ from the Federal Graduation Rate?

The Federal Graduation Rate (FGR) is compiled by the U.S. Department of Education and is used as an indicator of academic success for college student-athletes. FGR measures the percentage of first-time, full-time freshmen who graduate within six years of entering their original four-year institution.

The NCAA developed its GSR in response to criticism that the FGR understates the academic success of athletes because the FGR method does not take into account two important factors in college athletics:

1. When student-athletes transfer from an institution before graduating and are in good academic standing (perhaps to transfer to another institution for more playing time, different major, or to go pro); and
2. Those student-athletes who transfer to an institution (e.g., from a community college or another 4-year college) and earn a degree.

The FGR treats transfers as non-graduates for the original institution the student-athlete attended, even if that student-athlete later graduates from another institution. Also, the FGR does not include that student-athlete in the graduation rates at the new institution where he/she does graduate. Therefore, once a student-athlete transfers to another school he/she is no longer recognized in the calculated graduation rate. The GSR takes into account both factors and gives credit to institutions for successful transfers, whether they are leaving or entering an institution.

The following is a summary of the Graduate Success Rate report that was submitted during the 2015-16 academic year. **Please see Attachment D for official Graduation Success Rate Report (2009 freshmen cohort) from the NCAA that was released in October, 2016.**

2006-09 COHORT GSR SCORES

Below is a summary of the 2006-09 cohort Graduate Success Rate report. **Please see Attachment D for official Graduation Success Rate Report from the NCAA that was released in October, 2016.**

| SPORT | GRADUATION SUCCESS RATE | FEDERAL GRADUATION RATE |
|---------------------------|-------------------------|-------------------------|
| BASEBALL | 53% | 50% |
| MEN'S BASKETBALL | 36% | 17% |
| WOMEN'S BASKETBALL | 83% | 75% |
| MEN'S SOCCER | 56% | 25% |
| WOMEN'S SOCCER | 82% | 56% |
| MEN'S SWIMMING | 72% | 62% |
| WOMEN'S SWIMMING | 81% | 59% |
| WOMEN'S TENNIS | 100% | 75% |
| MEN'S TRACK/CROSS COUNTRY | 64% | 50% |
| WOMEN'S TRACK/XC | 81% | 71% |
| WOMEN'S VOLLEYBALL | 80% | 78% |

THREE-YEAR FEDERAL GRADUATION RATE TRENDS

The following is a summary of the Federal Graduation Rates for the University of Wisconsin-Milwaukee, reported during the 2013-14, 2014-15 and 2015-16 years. **Please see Attachment D for official Graduation Success Rate Report from the NCAA.**

FEDERAL GRADUATION RATE FOR UWM STUDENT-ATHLETES & UWM'S GENERAL STUDENT BODY

| ACADEMIC YEAR | GENERAL UWM STUDENT BODY FEDERAL GRADUATION RATE | UWM STUDENT-ATHLETE FEDERAL GRADUATION RATE |
|---|---|--|
| 2007 FRESHMEN COHORT (reported in 2013-14) | 46% | 56% |
| 2008 FRESHMEN COHORT (reported in 2014-15) | 48% | 62% |
| 2009 FRESHMEN COHORT (reported in 2015-16) | 45% | 56% |

FEDERAL GRADUATION RATE FOR THREE HIGHEST REVENUE WOMEN'S SPORTS

| HIGHEST REVENUE WOMEN'S SPORTS | 2013-14 (2007 freshmen cohort) | 2014-15 (2008 freshmen cohort) | 2015-16 (2009 freshmen cohort) |
|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|
| WOMEN'S BASKETBALL | 58% | 64% | 75% |
| WOMEN'S VOLLEYBALL | 89% | 78% | 78% |
| WOMEN'S SOCCER | 63% | 63% | 56% |

FEDERAL GRADUATION RATE FOR THREE HIGHEST REVENUE MEN'S SPORTS

| HIGHEST REVENUE MEN'S SPORTS | 2012-13 (2006 freshmen cohort) | 2013-2014 (2007 freshmen cohort) | 2014-15 (2008 freshmen cohort) |
|---------------------------------|-----------------------------------|-------------------------------------|-----------------------------------|
| MEN'S BASKETBALL | 40% | 22% | 17% |
| BASEBALL | 78% | 88% | 50% |
| MEN'S SOCCER | 67% | 47% | 25% |

ADMISSION OF STUDENT-ATHLETES

Student-athletes admitted to UWM are expected to meet the same academic standards as other admitted students. Student-athletes are also, in general, expected to follow the same application process and adhere to the same deadlines as other applicants. However it is understood that in some cases, prospective student-athletes whom UWM wishes to recruit may not be identified, or may not make the decision to apply to UWM, until very late in the admissions cycle. In such cases, the office of admissions should be contacted about the student-athlete (name and contact information) so that the application process can be initiated.

- Policy updated in April 2015

Admissions Data

| | <i>Average Incoming Freshman High School GPA</i> | <i>Average Incoming Freshman ACT Score</i> |
|-----------------------------|--|--|
| <i>Student-Athletes</i> | 3.493 | 23.32 |
| <i>General Student Body</i> | 3.10 | 22 |

GRADE POINT AVERAGE INFORMATION

GRADE POINT AVERAGE FOR ALL STUDENT-ATHLETES DURING THE 2015-2016 ACADEMIC YEAR:

Fall 2015: 3.303

Spring 2016: 3.226

FALL 2015 STUDENT-ATHLETE GRADE POINT AVERAGE PER SPORT

| ALL SPORTS | CUMULATIVE GPA | FALL 2015 GPA |
|-----------------------------|----------------|---------------|
| Men's Baseball | 3.148 | 3.202 |
| Men's Basketball | 3.068 | 3.146 |
| Men's Cross Country | 3.436 | 3.288 |
| Men's Soccer | 3.240 | 3.080 |
| Men's Swimming and Diving | 2.988 | 2.774 |
| Men's Track and Field | 3.328 | 3.220 |
| Women's Basketball | 3.251 | 3.385 |
| Women's Cross Country | 3.468 | 3.563 |
| Women's Soccer | 3.572 | 3.533 |
| Women's Swimming and Diving | 3.478 | 3.393 |
| Women's Tennis | 3.823 | 3.683 |
| Women's Track and Field | 3.093 | 3.033 |
| Women's Volleyball | 3.402 | 3.441 |
| | 3.31 | 3.303 |

| SPORTS (HIGHEST TO LOWEST GPA) | CUMULATIVE GPA | FALL 2015 GPA |
|--------------------------------|----------------|---------------|
| Women's Tennis | 3.823 | 3.683 |
| Women's Cross Country | 3.468 | 3.563 |
| Women's Soccer | 3.572 | 3.533 |
| Women's Volleyball | 3.402 | 3.441 |

| | | |
|---------------------------|-------|-------|
| Women's Swimming & Diving | 3.478 | 3.393 |
| Women's Basketball | 3.251 | 3.385 |
| Men's Cross Country | 3.436 | 3.288 |
| Men's Track & Field | 3.328 | 3.220 |
| Men's Baseball | 3.148 | 3.202 |
| Men's Basketball | 3.068 | 3.146 |
| Men's Soccer | 3.240 | 3.080 |
| Women's Track & Field | 3.093 | 3.033 |
| Men's Swimming & Diving | 2.988 | 2.774 |

SPRING 2016 STUDENT-ATHLETE GRADE POINT AVERAGE PER SPORT

| ALL SPORTS | SPRING 2016 GPA | CUMULATIVE GPA |
|-----------------------------|-----------------|----------------|
| Men's Baseball | 3.118 | 3.190 |
| Men's Basketball | 2.682 | 2.985 |
| Men's Cross Country | 3.567 | 3.474 |
| Men's Soccer | 2.975 | 3.142 |
| Men's Swimming and Diving | 2.792 | 2.959 |
| Men's Track and Field | 3.199 | 3.282 |
| Women's Basketball | 3.273 | 3.256 |
| Women's Cross Country | 3.381 | 3.465 |
| Women's Soccer | 3.453 | 3.510 |
| Women's Swimming and Diving | 3.433 | 3.363 |
| Women's Tennis | 3.910 | 3.842 |
| Women's Track and Field | 3.119 | 3.121 |
| Women's Volleyball | 3.465 | 3.392 |
| | 3.226 | 3.277 |

| SPORT (HIGHEST TO LOWEST GPA) | SPRING 2016 GPA | CUMULATIVE GPA |
|-------------------------------|-----------------|----------------|
| Women's Tennis | 3.910 | 3.842 |
| Men's Cross Country | 3.567 | 3.474 |
| Women's Volleyball | 3.465 | 3.392 |
| Women's Soccer | 3.453 | 3.510 |

| | | |
|---------------------------|-------|-------|
| Women's Swimming & Diving | 3.433 | 3.363 |
| Women's Cross Country | 3.381 | 3.465 |
| Women's Basketball | 3.273 | 3.256 |
| Men's Track & Field | 3.199 | 3.282 |
| Women's Track & Field | 3.119 | 3.121 |
| Men's Baseball | 3.118 | 3.190 |
| Men's Soccer | 2.975 | 3.142 |
| Men's Swimming & Diving | 2.792 | 2.959 |
| Men's Basketball | 2.682 | 2.985 |

DECLARED MAJORS OF STUDENT-ATHLETES

Below is a summary of the declared degree programs for student-athletes and the UWM general student body.

| MAJOR | # OF STUDENT-ATHLETES | % OF STUDENT-ATHLETES | % OF UWM STUDENT BODY |
|---------------------------|-----------------------|-----------------------|-----------------------|
| ACCOUNTING | 8 | 2.61% | 2.19% |
| ACTUARIAL SCIENCE | 2 | .65% | .46% |
| ARCHITECTURAL STUDIES | 6 | 1.96% | 1.97% |
| ART | 2 | .65% | 2.61% |
| ATHLETIC TRAINING | 1 | .33% | .11% |
| BIOCHEMISTRY | 3 | .98% | .59% |
| BIOLOGICAL SCIENCES | 8 | 2.61% | 2.41% |
| BIOMEDICAL ENGINEERING | 1 | .33% | .24% |
| BIOMEDICAL SCIENCES | 6 | 1.96% | 2.53% |
| BUSINESS | 17 | 5.56% | 3.21% |
| CHEMISTRY | 1 | .33% | .51% |
| CIVIL ENGINEERING | 9 | 2.94% | 1.23% |
| COMM SCIENCES & DISORDERS | 1 | .33% | .37% |

| | | | |
|--|----|-------|-------|
| COMMUNICATION | 3 | .98% | 1.79% |
| COMPUTER ENGINEERING | 3 | .98% | .48% |
| COMPUTER SCIENCE | 2 | .65% | 1.64% |
| CONSERVATION SCIENCE | 5 | 1.63% | 1.13% |
| CRIMINAL JUSTICE | 10 | 3.27% | 2.66% |
| ECONOMICS | 5 | 1.63% | .78% |
| EDUCATION | 19 | 6.21% | 4.45% |
| EDUCATIONAL STUDIES | 3 | .98% | .18% |
| ELECTRICAL ENGINEERING | 2 | .65% | 1.24% |
| EXCEPTIONAL EDUCATION | 1 | .33% | .40% |
| FILM | 2 | .65% | 1.63% |
| FINANCE | 14 | 4.58% | 3.07% |
| GENERAL BUSINESS | 4 | 1.31% | .73% |
| GEOSCIENCES | 1 | .33% | .44% |
| HEALTH CARE ADMIN | 2 | .65% | .88% |
| HEALTH SCIENCES | 2 | .65% | .44% |
| HISTORY | 1 | .33% | .66% |
| HUMAN RESOURCES | 1 | .33% | 1.36% |
| INDUSTRIAL ENGINEERING | 1 | .33% | .46% |
| INFORMATION SCIENCE & TECH | 3 | .98% | 2.67% |
| INTERNATIONAL BUSINESS | 1 | .33% | .46% |
| JOURNALISM, ADVERTISING, & MEDIA STUDIES | 7 | 2.29% | 2.08% |
| KINESIOLOGY | 20 | 6.54% | 1.64% |
| MARKETING | 21 | 6.86% | 4.24% |
| MATHEMATICS | 1 | .33% | .49% |
| MECHANICAL ENGINEERING | 13 | 4.25% | 2.84% |
| MUSIC EDUCATION | 1 | .33% | .23% |

| | | | |
|---|----|-------|-------|
| NURSING | 16 | 5.23% | 5.09% |
| NUTRITION | 3 | .98% | .49% |
| OCCUPATIONAL STUDIES | 1 | .33% | .68% |
| PHYSICS | 1 | .33% | .40% |
| POLITICAL SCIENCE | 3 | .98% | 1.04% |
| PSYCHOLOGY | 14 | 4.58% | 4.47% |
| REAL ESTATE & URBAN DEVEL. | 1 | .33% | .14% |
| RECREATION | 1 | .33% | .31% |
| SOCIOLOGY | 1 | .33% | .83% |
| SPANISH | 3 | .98% | .50% |
| SUPPLY CHAIN & OPERATIONS MANAGEMENT | 9 | 2.94% | 1.78% |
| THEATRE | 2 | .65% | .77% |
| UNDECIDED | 13 | 4.25% | 6.26% |
| WOMEN'S STUDIES | 1 | .33% | .22% |

BENCHMARKS USED TO ASSESS GSR, ADMISSIONS & ACADEMIC DATA

Benchmark #1: Maintain student-athlete NCAA graduation rate that is greater than UWM's general student body.

Benchmark #2: Maintain student-athlete NCAA graduation rate that is greater than 75%.

Benchmark #3: Maintain an overall student-athlete NCAA graduation rate that is greater than the average for Horizon League.

Benchmark #4: Maintain an overall student-athlete Federal graduation rate that is greater than the average for Horizon League.

Benchmark #5: Maintain student-athlete NCAA graduation rate that is greater than Horizon League institution's average for each sport.

Benchmark #6: Maintain a student-athlete cumulative GPA greater than 3.0.

Benchmark #7: Maintain average standardized test scores (i.e., ACT and SAT) and grade point average for incoming freshmen student-athletes that are greater than the average test scores and grade point average for UWM's general student body's incoming freshman class.

AGREED-UPON PROCEDURES REPORT

2015-16 INSTITUTIONAL AGREED-UPON PROCEDURES REPORT

The NCAA requires all Division I athletic programs to complete an annual *Statement of Revenues and Expenses*. The deadline to submit the *Statement of Revenues and Expenses* is January 15th for the preceding fiscal year. Prior to submission, agreed-upon procedures are performed by an independent accountant validating that the *Statement of Revenues and Expenses* are in compliance with the NCAA.

Please see Attachments A for the Independent Accountant's Report on Agreed-Upon Procedures for UWM's NCAA Statement of Revenues and Expenses for the year ended June 30, 2016.

INTERCOLLEGIATE ATHLETICS BUDGET REPORT

UWM'S AUDITED NCAA FINANCIAL REPORT FOR 2015-16

Please see Attachment B for the NCAA Statement of Revenue and Expenses, which assigns all transactions to a predetermined revenue or expense category.

It is important to note the *NCAA Statement of Revenues and Expenses* report looks very different from the UWM Athletics Budget previously provided in this report. The *NCAA Statement of Revenues and Expenses* includes all financial transactions associated with the operations of UWM Athletics for the year ended June 30, 2016. Many of the transactions included in *NCAA Statement of Revenues and Expenses* extend outside the Athletics Department's UW System financial statement reporting parameters.

Examples of transactions included in *NCAA Statement of Revenues and Expenses* which are not found in the Athletic Department's UW System financial statements are:

- a. University of Wisconsin – Milwaukee Foundation deposits and payments
- b. In-kind donations
- c. Tuition waivers provided by the University as part of student-athletes' aid awards
- d. On-campus room and board provided by Auxiliary Services as part of the student-athletes' grant in aid
- e. Book fees waived by the UWM Bookstore for full scholarship student-athletes
- f. University indirect facilities and maintenance costs allocated to Athletics

The *NCAA Statement of Revenues and Expenses* provides a more complete analysis of all the costs associated with operating the athletics program. The *NCAA Statement of Revenues and Expenses* data is used by the NCAA and multiple media outlets to compare and benchmark athletic financial information of one athletic program to another.

OUTSIDE COMPLIANCE REVIEW

HORIZON LEAGUE OUTSIDE COMPLIANCE REVIEW

The Horizon League staff offers, as a service but not a requirement, outside compliance reviews to all institutions in the Horizon League. Staff members from the Horizon League administered their review of athletic compliance in February of 2011. While on campus, Horizon League staff members met with coaching staff members, administrative staff, UWM's Financial Aid Office, Admissions, Registrar's Office and Chancellor Lovell. In addition, the following materials were requested and reviewed as part of the audit:

- Policies and Procedures Manual
- Sample coaching contract
- Sample letter of employment
- Coaches' performance evaluation
- Sample rules education materials
- Letter of renewal of financial aid
- Letter of nonrenewal of financial aid
- Appeals procedure for financial aid non-renewals or reductions
- Summer outside competition forms
- Promotional activities permission form
- SAAC agenda
- Sample booster education material
- Info provided to tutors
- NCAA certification report
- Complimentary ticket request form
- Student-Athlete handbook
- New Employee packet

The Horizon League staff completed a review of the Athletic Financial Aid policies and practices in January of 2014. This review was focused on only financial aid at the request of the institution as changes in practices were beginning discuss. The Horizon League staff members met with compliance office staff as well as UWM Financial Aid Office staff members.

The Horizon League staff was on-campus in December, 2015, and conducted a compliance review at that time. As of the date of this report, the written report from the review has not yet been completed by the Horizon League staff.

Please see Attachment F for the Horizon League Compliance Review Report and the Horizon League Financial Aid Review Report.

NCAA VIOLATIONS REPORT

2015-16 SELF-REPORTED NCAA VIOLATIONS

| SPORT | DESCRIPTION OF RULE | DATE | DESCRIPTION OF VIOLATION | ACTION TAKEN |
|---------------------------|--|---------|--|---|
| Men's Basketball | 17.31.4 requires a men's basketball student-athlete who is participating in an approved summer league to get approval from the Director of Athletics prior to beginning any participation. | 8/25/15 | A men's basketball student-athlete competed in two dates of competition on an approved summer league team prior to receiving written approval from the Director of Athletics. The student-athlete had completed the form prior to participation but it had not yet been approved by the Director of Athletics. | <ul style="list-style-type: none"> A letter of rules education was provided to the men's basketball staff. Information related to requirements for summer league participation will continue to be discussed with the entire team at the end of the year. |
| Other | 3.2.4.13 requires that the Faculty Athletics Representative approve the missed class time for men's basketball prior to the beginning of each semester. | 9/10/15 | The missed class time approval form for men's basketball was not reviewed and approved by the Faculty Athletics Representative prior to the first day of the Fall semester. | <ul style="list-style-type: none"> The missed class time approval form was provided to the FAR for her review and approval. The Assistant AD for Compliance will add a calendar reminder for each subsequent academic term for the form to be completed. |
| Women's Soccer | 13.4.1 permits coaches to send electronic correspondence, including text messages, to a prospective student-athlete starting September 1 of their junior year in high school. | 9/10/15 | An assistant women's soccer coach sent an impermissible text message to a high school sophomore. | <ul style="list-style-type: none"> A letter of education was provided to the women's soccer staff. The women's soccer staff will be precluded from having electronic correspondence with the PSA for two weeks once it is permissible to do so. |
| Women's Track | 13.1.3.1.2 permits track coaches to call prospective student-athletes beginning July 1 after their junior year of high school. | 9/18/15 | An assistant track coach called a high school junior on one occasion. | <ul style="list-style-type: none"> Letter of education was provided to the track coaching staff. The entire track coaching staff will be precluded from calling the PSA for two weeks starting July 1, 2016. |
| Women's Basketball | 13.5.4 permits an institutional staff to pick an incoming student-athlete up from the nearest major airport upon their initial arrival to campus to enroll in classes. | 11/4/15 | The head women's basketball coach and one assistant coach picked up an incoming student-athlete at an airport other than the nearest major airport upon her initial arrival to campus. | <ul style="list-style-type: none"> The student-athlete repaid the value of the benefit to a charity. The women's basketball staff received a letter of education. Bylaw 13.5 was featured in the Compliance Newsletter for all department staff. |
| Men's Track | Bylaws 14.2.1 and 14.2.2 require a student-athlete to be enrolled full-time in order to be eligible for practice and competition. | 2/24/16 | A men's track student-athlete practiced and competed in two competitions while enrolled in less than full-time due to an administrative drop from a class. | <ul style="list-style-type: none"> The student-athlete was declared ineligible until he enrolled in another course. The student-athlete was withheld from two regular season competitions. |

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|--|---|---------|--|---|
| | | | | <ul style="list-style-type: none"> The institution worked with the Registrar's Office to create a daily report on student-athlete enrolled in less than full-time. |
| Men's Basketball | Bylaw 17.1.7.2.2 prohibits skill instruction with more than four student-athletes to occur after April 15. | 5/20/16 | On two dates after April 15, the men's basketball staff conducted one hour of team skill work with more than 4 players. | <ul style="list-style-type: none"> Rules education regarding playing seasons was given to all coaches prior to 16-17 academic year. Men's basketball reduced their out-of-season practice hours by 4 during the 16-17 year. |
| Strength & Conditioning (Men's Swimming & Volleyball) | Bylaw 17.02.18 prohibits voluntary workouts from being recorded or reported in any manner. | 7/08/16 | The Strength & Conditioning staff posted a picture of student-athletes engaging in voluntary workouts to the Strength & Conditioning Twitter and Instagram accounts. | <ul style="list-style-type: none"> The posts were immediately removed from both accounts. A letter of rules education was provided to the strength coaches. |
| Women's Basketball | Bylaw 13.1.6.2.1 prohibits women's basketball staff from having any communication with prospects or their family during the July evaluation periods. | 7/25/16 | The assistant women's basketball coach answered a phone call from a prospective student-athlete and had a conversation with her during a July evaluation period. | <ul style="list-style-type: none"> A letter of education was provided to the women's basketball staff. The women's basketball staff is precluded from contacting the prospect for 2 weeks. |
| Baseball | Bylaw 13.10.2.1 prohibits an institution from commenting publicly, including on social media, about a prospect other than to confirm the institution's recruitment. | 7/28/16 | The head baseball coach retweeted and commented on tweets from three prospective student-athletes who had verbally committed to the institution. | <ul style="list-style-type: none"> A letter of education was issued to the entire baseball coaching staff. The entire coaching staff was precluded from having any written communication with the involved prospects for 2 weeks. All coaches were provided rules education regarding the use of social media. |

2016-17 SELF-REPORTED NCAA VIOLATIONS

| SPORT | DESCRIPTION OF RULE | DATE | DESCRIPTION OF VIOLATION | ACTION TAKEN |
|---------------------------|--|---------|--|--|
| Women's Basketball | Bylaw 12.5.1.6 prohibits the name or picture of a student-athlete from being used to advertise a camp or clinic. | 9/26/16 | The name and picture of a current student-athlete was used by a private, non-institutional camp in an advertisement. | <ul style="list-style-type: none"> The advertisement was immediately removed. Rules education was provided to the individual that had created the advertisement as well as to the women's basketball coaching staff. Camp advertisements will be included in future rules education with all coaches. |

| | | | | |
|---------------------------|---|---------|--|--|
| Women's Basketball | Bylaw 17.1.7.2.2 prohibits out of season skill instruction from being publicized or being conducted in the view of the general public. | 10/7/16 | The head women's basketball coach live streamed out of season skill work on Facebook. | <ul style="list-style-type: none"> • A letter of education was provided to the entire women's basketball coaching staff. • Rules education was also provided to all coaches and staff via the monthly compliance newsletter and mandatory coaches meeting. |
| Women's Basketball | Bylaw 13.4.1 prohibits coaches from having email contact with a prospect or their parents/legal guardians prior to September 1 of their junior year. | 1/05/17 | The assistant women's basketball coach engaged in an email conversation with the parent of a high school freshmen on more than one occasion. | <ul style="list-style-type: none"> • A letter of education was issued to the entire women's basketball staff. • The entire staff will be precluded from sending any recruiting material (including electronic correspondence) to the prospect for 4 weeks once it is permissible to contact her. |
| Men's Soccer | Bylaw 13.1.1.3 states that an institution shall not make contact with the student-athlete of another institution without first obtaining the written permission of the first institution. | 1/26/17 | The assistant men's soccer coach had contact three times with a four-year college prospect prior to receiving permission to contact. | <ul style="list-style-type: none"> • Letter of education was provided to the men's soccer staff. |
| Women's Track | Bylaw 13.10.2.1 prohibits an institution from commenting publicly, including on social media, about a prospect other than to confirm the institution's recruitment. | 2/20/17 | Assistant track coach publicly tweeted at a high school senior who has not committed to the institution. | <ul style="list-style-type: none"> • Letter of education was provided to the track & field coaching staff. |
| Women's Basketball | Bylaw 13.4.1 prohibits coaches from having email contact with a prospect or their parents/legal guardians prior to September 1 of their junior year. | 4/3/17 | The women's basketball staff sent two impermissible emails to a high school sophomore. | <ul style="list-style-type: none"> • Letter of education was issued to the entire women's basketball staff. • The entire women's basketball staff will be precluded from having electronic correspondence with the prospect for 4 weeks once such communication is permissible. |

ATTACHMENTS

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|---|--------------|
| Independent Accountant’s Report on Agreed-Upon Procedures..... | Attachment A |
| NCAA Statement of Revenues and Expenses..... | Attachment B |
| NCAA Academic Progress Rate (APR) Score Reports..... | Attachment C |
| NCAA Graduation Success Rate (GSR) Score Reports..... | Attachment D |
| Peer Institution’s APR and GSR Data..... | Attachment E |
| Outside Compliance Review, performed by the Horizon League..... | Attachment F |
| NCAA Oversight Certification Letter..... | Attachment G |

ATTACHMENT A



NCAA Agreed-Upon Procedures for Division I at University of Wisconsin-Milwaukee

Office of Internal Audit

Report Control #2017-39
January 13, 2017

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Office of Internal Audit

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REPORT

January 13, 2017

Chancellor Mark A. Mone
University of Wisconsin-Milwaukee
Post Office Box 413
Milwaukee, Wisconsin 53201

Dear Chancellor Mone:

We have performed the agreed-upon procedures enumerated in the attached report for the sole purpose of assisting you in evaluating whether the accompanying *Statement of Revenues and Expenses* (SRE) for the University of Wisconsin-Milwaukee (UWM or University) Athletics Department is in compliance with National Collegiate Athletics Association (NCAA) Bylaw 3.2.4.15 for Division I for the year ended June 30, 2016. UWM administration and staff are responsible for the SRE and the University's compliance with those requirements.

This agreed-upon-procedures engagement was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants as well as requirements enumerated in the NCAA Constitution and common auditing practices. The sufficiency of these procedures is solely the responsibility of those parties specified in this report. Consequently, we make no representation regarding the sufficiency of the procedures described in the *Independent Auditor's Report on the Application of Agreed-Upon Procedures* either for the purpose for which this report has been requested or for any other purpose.

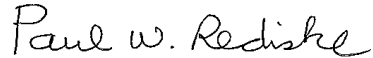
The NCAA requires this agreed-upon procedures engagement to be conducted by a qualified independent accountant. For purposes of this engagement, the NCAA considers the UW System Office of Internal Audit to be independent.

Our procedures and findings are explained in this report and its appendices. Reportable findings are defined as errors or misclassifications equal to or greater than \$700.

We were not engaged to, and did not conduct, an examination, the objective of which would be the expression of an opinion on the accompanying SRE. Accordingly, we do not express such an opinion. Had we performed additional procedures, other matters might have come to our attention that would have been reported to you.

This report is intended solely for the information and use of the Chancellor, management of UWM, the Athletics Department, and authorized representatives of the National Collegiate Athletic Association. The report is not intended to be, and should not be, used by anyone other than these specified parties. However, this guidance does not supersede distributions governed by the *Wisconsin Open Records Law*.

Very truly yours,

A handwritten signature in cursive script that reads "Paul W. Rediske".

Paul W. Rediske
Director
UW System Office of Internal Audit

Attachments

cc: Vice Chancellor, Robin Van Harpen
Director of Athletics, Amanda Braun
Deputy Athletic Director, Cathy Rossi
UW System Chief Audit Executive, Lori Stortz

SUMMARY OF AUDIT RESULTS

Each audit comment described below is assigned a priority rating corresponding to the degree of internal control weakness and/or compliance matter identified. This rating is based upon professional judgment after considering impact, severity, significance, and other relevant risk factors. See **Attachment A** for additional information concerning these ratings.

The UW System Administration Office of Internal Audit does not assign an “overall” rating to NCAA agreed-upon procedures audits since the scope of these engagements is narrowly defined in NCAA Bylaws. As such, the procedures performed in these audits do not provide an adequate basis to accurately draw any conclusions regarding the overall intercollegiate athletics program.

COMMENT 1 – UNIVERSITY STAFF TEMPORARY APPOINTMENTS

Audit Priority Rating: High

Criteria: UW System Policy Document HR 7, *University Staff Temporary and Project Appointments*, establishes guidelines about how and when these appointment types should be used.

Wisconsin State Statute § 230.26, *Limited Term Appointments*, establishes rules and requirements for limited term or temporary employment.

UWM Policy Document S-44.5, *Public/Private Relationships and University/Non-University Funds*, states:

- As a general principle and to the extent feasible, all support provided to the university through non-university organizations such as foundations shall be presented to and accepted by the Board of Regents for incorporation into the university's regular budgeting and accounting procedures. Whenever reasonable projections of the needs of the individual programs can be made, the university administrators should request a lump-sum transfer of funds to the university. All expenditures from the accounts created by such lump-sum transfers shall be made using the regular university channels applicable to such funding.
- Salary payments made for services on behalf of the university to individuals, such as faculty, staff or students, must come through regular university channels to preclude overload payments and provide proper fringe benefits and tax reporting (i.e., the university must write the check to these individuals).

UWM Policy Document *Employer/Employee Relationships* (accompanying flowchart prepared by the Office of Legal Affairs) states:

- If the individual is: (a) already a UW-System employee or a full-time non-UWM state employee, (b) a UWM student being paid more than \$100 for services, OR (c) if payments to the individual exceed \$500 in a calendar year, then the individual should be pay-rolled and HR should be worked with to determine the appropriate appointment .

Board of Regents Policy Document 10-1, *Endorsement of Statement of Principles from the Knight Foundation Commission on Intercollegiate Athletics*, states:

- The Board (of Regents) is committed to the philosophy of firm institutional control of athletics.
- All funds raised and spent in connection with intercollegiate athletics programs will be channeled through the institution's general treasury, not through independent groups, whether internal or external. The athletics department budget will be developed and monitored in accordance with general budgeting procedures on campus.

Observation: In October 2015, the UWM Athletics Department hired a temporary employee to perform 115.4 hours of accounting work. This temporary employee previously worked in the Athletics Department as an accountant and retired from UWM on June 30, 2015.

The temporary employee was paid \$3,000 for work performed. Payment was made through the UWM Foundation. As a result, this individual's employment by the Athletics Department violated terms of UW System Policy Document HR 7, Wisconsin State Statute § 230.26, UWM policy documents and Regent Policy Document 10-1

Questioned Costs: None

Recommendation: UWM Athletics should take steps to ensure that all future employee appointments, including those for temporary assignments, are properly processed via University payroll.

Management's Response:

Agree or Disagree: Agree

Planned course of action: This observation was also made in last year's audit of FY 2015. Unfortunately at the time of last year's audit report (January 2016), a similar instance had already occurred in FY 2016 (specifically, in October of 2015). Athletics has acknowledged the need to properly payroll individuals through the UW System (UWM). There should not be other instances of this occurring in the future.

Planned completion date: Completed

Contact person: Director of Athletics, Amanda Braun

Contact's email/phone: braun25@uwm.edu / 414-229-6599

COMMENT 2 – PAYMENT OF GAME GUARANTEE

Audit Priority Rating: High

Criteria: The State of Wisconsin Procurement Manual Policy # PRO-E-4, *Direct Charges, Invoices and Vouchers* states:

- The goal of the State of Wisconsin is to pay properly submitted vendor invoices within 30 days of receipt, providing goods and/or services have been delivered, installed (if required),

and accepted as specified. Payment will be considered timely if the payment is mailed, delivered, or transferred by the later of the following:

- a) The date specified on a properly completed invoice for the amount specified in the order or contract.
- b) Within 30 days after receipt of a properly completed invoice or receipt and acceptance of the property or service under the order or contract or within 30 days after receipt of an improperly completed invoice or receipt and acceptance of the property or service under the order or contract, whichever is later if the agency does not notify the sender of receipt of an improperly completed invoice within 10 working days after it receives the invoice of the reason it is improperly completed.

Observation: UWM Athletics entered into a contract with Trinity International University of Deerfield, Illinois for a Men's basketball game on November 20, 2015 at the UWM Panther Arena. One of the terms of the contract was to pay Trinity International a game guarantee of \$5,000.

Internal Audit could find no evidence of payment for the game guarantee. The Deputy Director of Athletics explained this oversight accordingly:

- The answer is simply that someone on staff did not remember to send the money to Trinity and they never called us to ask for it. These agreements are typically initiated by the coaching staffs at each institution. Meaning that when it came time to pay the visiting school someone on the basketball staff usually initiates the payment through our office. That clearly did not happen. We now have a new men's basketball staff, I'm new, and a new Assistant Business Manager. This year our new Director of Basketball Operations reached out to the New Assistant Business Manager and they initiated the payments to the teams this year. Going forward this should not be an issue. I wish I had a better answer for you, but this is what happened.

Questioned Costs: None

Recommendations: UWM Athletics should:

- Pay Trinity International University the \$5,000 game guarantee associated with the November 20, 2015 Men's basketball game.
- Implement a process to record, administer and monitor game guarantees to ensure to ensure compliance with all terms and conditions.

Management's Response:

Agree or Disagree: Agree

Planned course of action: Athletics will pay Trinity International University \$5,000 in FY 2017 for the game played on November 20, 2015.

Planned completion date: Immediately

Contact person: Director of Athletics, Amanda Braun

COMMENT 3 – ACCOUNTING FOR WISCONSIN CENTER DISTRICT TRANSACTIONS

Audit Priority Rating: High

Criteria: When preparing an income statement according to generally accepted accounting principles, revenue and expense items should be presented separately (*The Accountant's Handbook*, Seidler and Carmichael, page 4-17).

According to the NCAA's 2016 *Agreed-Upon Procedures Guide*, categories for reporting revenues and expenses are separate and distinct.

Observation: UWM Athletics plays most of its home Men's basketball games at the UWM Panther Arena. The Arena is owned and operated by the Wisconsin Center District (WCD) – a semi-autonomous municipality/government body.

According to terms of a contract, WCD operates a box office and charges UWM Athletics for certain costs associated with each game. When WCD invoices UWM Athletics for those costs, WCD reduces the amount owed by the amount of ticket revenue it collects (costs normally exceed ticket revenue collected by WCD). The "net" amount invoiced by WCD, and paid by UWM Athletics, is accounted for entirely as a "lease of space" expense. As a result, both ticket sales revenue and lease of space expenses are understated by an equal amount on the *Statement of Revenues and Expenses*.

For example, on January 29, 2016, the UWM Men's basketball team played UW-Green Bay at the UWM Panther Arena. For that game, WCD collected \$7,236 in box office receipts and charged \$17,947 in expenses. WCD invoiced UWM Athletics the net amount of \$10,711 (\$17,947-\$7,236). In accounting for this transaction, UWM Athletics charged the entire net amount to lease of space expense. As a result, both ticket sales revenue and lease of space expense were understated by \$7,236.

The Deputy Director of Athletics explained the accounting for WCD invoices accordingly:

- First, the WCD sells all of our walk up sales on game day and they also pay all the taxes on all tickets sold. At some point throughout the season, there are event reconciliations where we pay the WCD our rental fee and other expenses necessary to operate the game. In those game settlements, there are some reductions in our expenses to compensate us for those walk up sales (that essentially reduce our rental fee to WCD). So some of the ticket revenue on those ticket event reports is not actually deposited as ticket revenue (but is an expense reduction). I have not gone through one of these event settlements so I have yet to learn exactly how this process works.
- I will say, going forward when I do these event settlements with WCD, I will actually deposit the ticket revenue on the ticket side and have the expenses (rental & operating expenses) for WCD reflected as whole expenses. I am working with the Wisconsin Center District to get our first event settlement on the books so I'll have a better idea of how this works after that.

Questioned Costs: None.

Recommendations: UWM Athletics should properly administer and monitor their contract with WCD to ensure (1) compliance with all terms and conditions and (2) accurate accounting for revenue and expense components.

Management's Response:

Agree or Disagree: Agree

Planned course of action: Athletics will work with the Wisconsin Center District during the FY 2017 settlements to properly deposit ticket revenue as ticket revenue and recognize all rental & operating expenses of Panther Arena home games as expenses. The first FY 2017 settlement should happen in January of 2017 at which point this will be addressed.

Planned completion date: Immediately. (Will be reflected in FY17 NCAA Financial Report)

Contact person: Director of Athletics, Amanda Braun

Contact's email/phone: braun25@uwm.edu / 414-229-6599

ATTACHMENT A: AUDIT COMMENT PRIORITY RATINGS

| Audit Comment Priority Rating | Description |
|--|---|
| High | Management should initiate immediate action to address this comment because it represents one or more of the following: <ul style="list-style-type: none">• Significant internal control weakness• Significant policy or procedure exceptions• Significant risk exposure• Significant financial exceptions – loss, misstatement, errors, fraud• Significant law or regulatory violations• Significant potential opportunity – revenue, savings, efficiencies, improvements |
| Moderate | Management should initiate timely action to address this comment because it represents one or more of the following: <ul style="list-style-type: none">• Substantial internal control weakness• Substantial policy or procedure exceptions• Substantial risk exposure• Substantial financial exceptions – loss, misstatement, errors• Substantial law or regulatory violations• Substantial potential opportunity – revenue, savings, efficiencies, improvements |

| | |
|------------|--|
| Low | <p>Management should initiate reasonable action to incorporate a plan to address the comment in the normal course of business. Comments falling into this category represent one or more of the following:</p> <ul style="list-style-type: none"> • Minor internal control weakness • Minor policy or procedure exceptions • Limited risk exposure • Minor financial exceptions – loss, misstatement, errors • Minor law or regulatory violations • Limited potential opportunity – revenue, savings, efficiencies, improvements |
|------------|--|

**ATTACHMENT B: INDEPENDENT AUDITOR'S REPORT ON THE APPLICATION OF
AGREED-UPON PROCEDURES**

**UNIVERSITY OF WISCONSIN-MILWAUKEE
FISCAL YEAR ENDED JUNE 30, 2016**

Source: *NCAA 2016 Agreed-Upon Procedures*, revised April 20, 2016

Procedures Related to all Revenues and Expenses

1. Agreed the amounts reported on the Statement of Revenues and Expenses (SRE) to UWM's general ledger.

In performing this procedure and the procedure described in #2 below, we identified the need for certain material adjustments that management agreed to record in the SRE. As a result, revenues and expenses reported on the SRE (as contained in Attachment C) reflect those adjustments and materially agree with the amounts reported in UWM's general ledger. In addition, there are certain items recorded on the SRE that are not required to be reported in the athletics accounts, including tuition waivers, indirect facilities and administrative support and gifts-in-kind. The NCAA requires that these items be reported on the SRE to fully reflect the operations of the Athletics Department.

2. Performed the following procedures for all revenue and expense categories applicable to UWM:
 - a. Compared and agreed each operating revenue and expense category reported on the SRE during the reporting period to supporting schedules provided by the institution.
 - b. Compared and agreed a sample of revenue receipts and operating expenses obtained from the above supporting schedules to adequate supporting documentation.
 - c. Compared each revenue and expense account to prior period amounts and budget estimates. Obtained and documented an understanding of any significant variations (i.e., over the lesser of \$1,000,000 or 10%).

As part of the procedure described in #1 above and from comparing and agreeing each operating revenue and expense item reported on the SRE during the reporting period to supporting schedules provided by the institution, we identified the need for certain material adjustments that management agreed to record in the SRE. These are the same adjustments described in #1 above.

Attachment D describes the results of comparing revenue and expense amounts to budget and prior year values.

3. Identified and documented aspects of the institution's internal control structure unique to the Athletics Department. Tested specific elements of the control environment and accounting systems that (1) are unique to intercollegiate athletics and (2) have not been addressed in connection with the audit of the institution's financial statements.

The following exceptions were noted:

- Payment of game guarantee. See recommendation in Comment #2.

- Accounting for Wisconsin Center District Transactions. See recommendation in Comment #3.
4. Identified intercollegiate athletics related affiliated and outside organizations and obtained those organizations' financial information for the reporting period. Agreed the amounts reported on the SRE to the athletics-related and outside organization's records.

There is only one related affiliated or outside organization: the University of Wisconsin-Milwaukee Foundation. The following exception was noted:

- University staff temporary appointments. See recommendation in Comment #1.

Procedures Related to Revenues

5. **Ticket Sales.** For one (1) Men's Basketball game, compared tickets sold, complimentary tickets provided, and unsold tickets to amounts included within the related sales reported by UWM on the SRE and the associated attendance figures. Recalculated totals.

Exception noted – See recommendation in Comment #3.

6. **Direct State or Other Government Support.** The NCAA agreed-upon procedures guidelines require that we compare direct state or other governmental support recorded by UWM during the reporting period with institutional authorizations and/or other corroborative supporting documentation.

The Athletics Department did not record any amounts for direct or other government support on the SRE.

7. **Student Fees.** Obtained and documented an understanding of the institution's methodology for allocating student fees to intercollegiate athletics programs.

No material exceptions were noted as a result of these procedures.

8. **Direct Institutional Support.** Compared the direct institutional support recorded by UWM during the reporting period with state appropriations. In addition, compared total fee waivers for student athletes as recorded in the SRE to corroborative supporting documentation. For a sample of ten student athletes, compared the amount of the waiver to the applicable squad list, *Athletic Grant-In-Aid Award Letter* and amount recorded in the student accounting system.

No material exceptions were noted as a result of these procedures.

9. **Transfers to Institution.** Compared transfers back to the institution with permanent transfers back to the institution from the Athletics Department and recalculated totals.

No material exceptions were noted as a result of these procedures.

10. **Indirect Institutional Support.** Compared the indirect institutional support recorded by the institution during the reporting period with expense payments, cost allocation detail and other corroborative supporting documentation and recalculate totals.

No material exceptions were noted as a result of these procedures.

11. **Guarantees.** Selected a sample of seven (7) contractual agreements pertaining to revenues derived from contests during the reporting period and agreed each selection to UWM's general ledger and the SRE and recalculated totals.

No material exceptions were noted as a result of these procedures.

12. **Contributions.** Obtained and reviewed supporting documentation for any contributions of money, goods or services received directly by the Athletics Department from any affiliated or outside organization that constituted 10% or more of all contributions received for inter-collegiate athletics.

No material exceptions were noted as a result of these procedures.

13. **In-Kind.** The NCAA agreed-upon procedure guidelines require that we compare gifts-in-kind recorded by the institution during the reporting period with a schedule of gifts-in-kind donations and recalculate totals.

The Athletics Department did not record any in-kind donations on the SRE.

14. **Compensation and Benefits Provided by a Third Party.** The NCAA agreed-upon procedure guidelines require that we obtain the summary of revenues from affiliated and outside organizations (the "Summary") as of the end of the reporting period from the institution and select a sample of funds from the Summary and compare and agree each selection to supporting documentation, the UWM's general ledger and/or the Summary and recalculate totals.

The Athletics Department did not record any compensation and benefits provided by a third party on the SRE.

15. **Media Rights.** The NCAA agreed-upon procedure guidelines require that we obtain and inspect agreements to understand UWM's total media (broadcast, television, radio) rights received by the institution or through their conference offices as reported in the statement. Also, agreed-upon procedures require that we compare and agree the media right revenues to a summary statement of all media rights identified, if applicable, and UWM's general ledger and recalculate totals.

The Athletics Department did not record any media rights on the SRE.

16. **NCAA Distributions.** Compared the amounts recorded in the SRE to general ledger detail for NCAA distributions and other corroborative supporting documents and recalculate totals. Inquired about UW-Milwaukee's process of verifying the correctness of the amounts in this category.

No material exceptions were noted as a result of these procedures.

17. **Conference Distributions (Non-Media or Bowl).** The NCAA agreed-upon procedure guidelines require that we obtain and inspect agreements related to UWM's Horizon League Conference distributions and participation in revenues from tournaments during the reporting period to gain an

understanding of the relevant terms and conditions. Also, the agreed-upon procedures require that we compare and agree the related revenues to UWM's general ledger, and the SRE and recalculate totals.

No material exceptions were noted as a result of these procedures.

18. **Program, Novelty, Parking and Concession Sales.** The NCAA agreed-upon procedure guidelines require that we compare the amount recorded in the revenue reporting category to general ledger detail of program sales, concessions, novelty sales and parking as well as any other corroborative supporting documents and recalculate totals.

The Athletics Department did not record any Program, Novelty, Parking and Concession Sales on the SRE.

19. **Royalties, Licensing, Advertisement and Sponsorships.** The amount recorded in the SRE for this category was primarily generated from a single contract. For this contract, we obtained and inspected the agreement related to UWM's participation in revenues from royalties, licensing, advertisements and sponsorships during the reporting period to gain an understanding of the relevant terms and conditions. We also compared and agreed the related revenues to the SRE and recalculated totals.

No material exceptions were noted as a result of these procedures.

20. **Sports Camp Revenues.** Obtained an understanding of UWM's methodology for conducting camps and recording revenues. For camps related to one sport, obtained the roster of participants and fee schedule and agreed corresponding cash receipts to the UWM's general ledger and into the total recorded on the SRE.

No material exceptions were noted as a result of these procedures.

21. **Athletics Restricted Endowment and Investments Income.** The NCAA agreed-upon procedure guidelines require that we obtain and inspect endowment agreements (if any) to gain an understanding of the relevant terms and conditions. Also, the agreed-upon procedures require that we compare and agree the classification and use of endowment and investment income reported in the SRE during the reporting period to the uses of income deferred within the related endowment agreement and recalculate totals.

The Athletics Department did not record any Restricted Endowment and Investments Income on the SRE.

22. **Other Operating Revenue.** The NCAA agreed-upon procedure guidelines require application of work as noted for all revenue in Step 2.

No procedures were required to be performed for this category since the amount recorded on the SRE for Other Operating Revenue was less than 0.5% of total revenue recorded on the SRE.

23. **Bowl Revenues.** The NCAA agreed-upon procedure guidelines require we obtain and inspect agreements related to UWM's participation in revenues from post-season bowl games during the reporting period to gain an understanding of the relevant terms and conditions. Also, the agreed-upon

procedures require that we compare and agree the related revenues to UWM's general ledger, and the SRE and recalculate totals.

The Athletics Department did not record any post-season bowl game revenue on the SRE.

Procedures Related to Expenses

24. **Athletic Student Aid.** Selected a sample of 10% of student athletes from the listing of student aid recipients during the reporting period. Obtained individual student account detail for each athlete selected. Performed a check of each student selected to ensure their information was reported accurately in the NCAA's Compliance Assistant (CA) software. For those student athletes selected, traced to corresponding amounts recorded on Athletics supporting schedules and agreed totals for certain sports to the SRE.

No material exceptions were noted as a result of these procedures.

25. **Guarantees.** The NCAA agreed-upon procedure guidelines require that we obtain and inspect visiting institution's away-game settlement reports received by UWM for a sample of games during the reporting period and agreed related expenses to UWM's general ledger and/or the statement and recalculate totals. Also, the agreed-upon procedures require that we obtain and inspect contractual agreements pertaining to expenses recorded by the institution from guaranteed contests during the reporting period; and, compare and agree related amounts expensed by UWM in the institution's general ledger and/or the statement and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for Guarantees was less than 0.5% of total expenses recorded on the SRE.

26. **Coaching Salaries, Benefits and Bonuses paid by the University and Related Entities.** Obtained and inspected a listing of coaches employed by UWM and related entities during the reporting period. Selected a sample of three coaches' contracts that included men's and women's basketball from the listing. Compared and agreed the financial terms and conditions of each selection to the related coaching salaries, benefits, and bonuses recorded by UWM and related entities in the statement during the reporting period. Obtained and inspected payroll summaries for the reporting year for each selection. Compared and agreed payroll summaries from the reporting period to the related coaching salaries, benefits and bonuses paid by UWM and related entities expense recorded by the institution in the SRE. Compared and agreed the totals recorded to any employment contracts executed for the sample selected and recalculated totals.

No material exceptions were noted as a result of these procedures.

27. **Coaching Salaries, Benefits and Bonuses paid by a Third Party.** The NCAA agreed-upon procedure guidelines require that we (1) obtain and inspect a listing of coaches employed by third parties during the reporting period. Select a sample of coaches' contracts that must include men's and women's basketball from the listing; (2) compare and agree the financial terms and conditions of each selection to the related coaching other compensation and benefits paid by a third party and recorded by UWM in the statement during the reporting period; (3) obtain and inspect reporting period payroll summary

registers for each selection; and (4) compare and agree related payroll summary register to other compensation and benefits paid by a third party to expenses recorded by UWM in the SRE during the reporting period and recalculate totals.

The Athletics Department did not record any coaching salaries, benefits and bonuses paid by a third party on the SRE.

28. **Support Staff/Administrative Compensation, Benefits and Bonuses paid by the University and Related Entities.** Selected a sample of two support staff/administrative personnel employed by UWM and related entities during the reporting period. Obtained and inspected reporting period payroll summaries for each selection. Compared and agreed related summaries to the related support staff administrative salaries, benefits and bonuses paid by UWM and related entities expense recorded by UWM on the SRE and recalculated totals.

No material exceptions were noted as a result of these procedures.

29. **Support Staff/Administrative Compensation, Benefits and Bonuses paid by Third Party.** The NCAA agreed upon procedure guidelines require that we select a sample of support staff/administrative personnel employed by the third parties during the reporting period. The agreed-upon procedures also require that we obtain and inspect reporting period payroll summaries. For each selection: compare and agree related payroll summaries to the related other compensation and benefit expenses recorded by UWM on the SRE and recalculate totals.

The Athletics Department did not record any support staff/administrative compensation, benefits and bonuses paid by a third party on the SRE.

30. **Severance Payments.** Selected a sample of employees receiving severance payments by the institution during the reporting period and agreed each severance payment to the related termination letter or employment contract and recalculated totals.

No material exceptions were noted as a result of these procedures.

31. **Recruiting.** Examined two expenditures/transactions to obtain and document an understanding of UWM's recruiting expense policies. Compared and agreed to existing institutional and NCAA-related policies. Obtained general ledger detail and compared to the total expenses reported and recalculate totals.

No material exceptions were noted as a result of these procedures.

32. **Team Travel.** Examined six expenditures/transactions to obtain and document an understanding of UWM's team travel policies. Compared and agreed to existing institutional- and NCAA-related policies. Obtained general ledger detail and compared to the total expenses reported and recalculate totals.

No material exceptions were noted as a result of these procedures.

33. **Sports Equipment, Uniforms and Supplies.** Obtained general ledger detail and compared to the total expenses reported. Selected four expenditures/transactions to validate existence of transaction and accuracy of recording and recalculate totals.

No material exceptions were noted as a result of these procedures.

34. **Game Expenses.** Obtained general ledger detail and compared to the total expenses reported. Selected three transactions to validate existence of transaction and accuracy of recording and recalculated totals.

No material exceptions were noted as a result of these procedures.

35. **Fund Raising, Marketing and Promotion.** Obtained general ledger detail and compare to the total expenses reported. Selected two transactions to validate existence of transaction and accuracy of recording and recalculate totals.

No material exceptions were noted as a result of these procedures.

36. **Sports Camp Expenses.** Obtained general ledger detail and compared to the total expenses reported. Also, selected three transactions to validate existence of transaction and accuracy of recording and recalculated totals.

No material exceptions were noted as a result of these procedures.

37. **Spirit Groups.** The NCAA Agreed-upon procedure guidelines require that we obtain general ledger detail and compare to the total expenses reported. Also, selected three transactions to validate existence of transaction and accuracy of recording and recalculated totals.

No material exceptions were noted as a result of these procedures.

38. **Athletics Facilities, Debt Service, Leases and Rental Fees.** Compared a sample of two facility payments to additional supporting documentation (e.g. debt financing agreements, leases, rental agreements). Also, compared amounts recorded to amounts listed in the general ledger detail and recalculate totals.

No material exceptions were noted as a result of these procedures.

39. **Direct Overhead and Administrative Expenses.** Obtained general ledger detail and compared to the total expenses reported. Selected two transactions to validate existence of transaction and accuracy of recording and recalculate totals.

No material exceptions were noted as a result of these procedures.

40. **Indirect Administrative Support.** Compared the indirect institutional support recorded by the institution during the reporting period with expense payments, cost allocation detail and other corroborative supporting documentation and recalculate totals.

No material exceptions were noted as a result of these procedures.

41. **Medical Expenses and Insurance.** Obtained general ledger detail and compared to the total expenses reported. Selected three transactions to validate existence of transaction and accuracy of recording and recalculated totals.

No material exceptions were noted as a result of these procedures.

42. **Memberships and Dues.** The NCAA agreed-upon procedure guidelines require that we obtain general ledger detail and compare to the total expenses reported. Also, select transactions to validate existence of transaction and accuracy of recording and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for Memberships and Dues was less than 0.5% of total expenses recorded on the SRE.

43. **Student-Athlete Meals (non-travel).** The NCAA agreed-upon procedure guidelines require that we obtain general ledger detail and compare to the total expenses reported. Also agreed-upon procedures require that we select a sample of transactions to validate existence of transaction and accuracy of recording and recalculate totals.

No procedures were required to be performed for this category since the amount recorded on the SRE for Student-Athlete Meals (non-travel) was less than .5% of total expenses recorded on the SRE.

44. **Other Operating Expenses.** Obtained general ledger detail and compare to the total expenses reported. Selected a sample of four transactions to validate existence of transaction and accuracy of recording and recalculate totals.

No material exceptions were noted as a result of these procedures.

45. **Bowl Expenses.** The NCAA agreed-upon procedure guidelines require that we obtain general ledger detail and compare to the total expenses reported. Also, agreed-upon procedures require that we select a sample of transactions to validate existence of transaction and accuracy of recording and recalculate totals.

The Athletics Department did not record any Bowl Expenses on the SRE.

Additional Minimum Agreed Upon Procedures

46. **NCAA Membership Financial Reporting System.** Compared and agreed the sports sponsored reported in the NCAA Membership Reporting System to the squad lists of UWM.

Obtained UWM's "Sports Sponsorship and Demographics Forms Report" for the reporting year. Validated that the countable sports reported by the institution met the minimum requirements set in By-law 20.9.6.3 for the number of contests and the number of participants in each contest that is counted toward meeting the minimum-contest requirement.

Ensured that UWM has properly reported the sports as countable for revenue distribution purposes within the NCAA Membership Financial Reporting System. No discrepancies were noted.

ATTACHMENT C: STATEMENT OF REVENUE AND EXPENSES

UNIVERSITY OF WISCONSIN-MILWAUKEE ATHLETICS DEPARTMENT STATEMENT OF REVENUES AND EXPENSES FOR THE YEAR ENDED JUNE 30, 2016 (Unaudited)

| REVENUES: | MEN'S BASKETBALL | WOMEN'S BASKETBALL | OTHER SPORTS | NON- PROGRAM SPECIFIC | TOTAL | PRIOR YEAR | VARIANCE |
|---|-----------------------|-----------------------|-----------------------|-----------------------------|----------------------|----------------------|-----------------------|
| 1 Ticket Sales | \$ 161,883 | \$ 11,697 | \$ 19,794 | \$0 | \$ 193,374 | \$ 310,523 | \$ (117,149) |
| 2 Direct State or Other Government Support | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 Student Fees | 0 | 0 | 0 | 6,689,821 | 6,689,821 | 6,801,868 | (112,047) |
| 4 Direct Institutional Support | 210,705 | 240,968 | 2,897,810 | 589,304 | 3,938,787 | 4,360,075 | (421,288) |
| 5 Less: Transfers to Institution | (36,000) | 0 | 0 | (281,518) | (317,518) | (582,143) | 264,625 |
| 6 Indirect Institutional Support | 0 | 0 | 0 | 494,000 | 494,000 | 435,400 | 58,600 |
| 6A Indirect Institutional Support-Athletic Facilities Debt Service, Lease and Rental Fees | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 7 Guarantees | 233,870 | 26,899 | 42,867 | 0 | 303,636 | 345,521 | (41,885) |
| 8 Contributions | 109,432 | 45,321 | 557,437 | 143,580 | 855,770 | 670,884 | 184,886 |
| 9 In-Kind | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 10 Compensation and Benefits Provided by a Third-Party | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 11 Media Rights | 0 | 0 | 0 | 0 | 0 | 2,224 | (2,224) |
| 12 NCAA Distributions | 0 | 0 | 1,480 | 373,919 | 375,399 | 523,565 | (148,166) |
| 13 Conference Distributions (Non Media or Bowl) | 7,480 | 6,983 | 30,874 | 109,568 | 154,905 | 15,600 | 139,305 |
| 14 Program, Novelty, Parking and Concession Sales | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 15 Royalties, Licensing, Advertisement, and Sponsorships | 17,000 | 1,000 | 15,640 | 495,478 | 529,118 | 695,607 | (166,489) |
| 16 Sports Camp Revenues | 2,336 | 9,515 | 61,717 | 2,555 | 76,123 | 121,007 | (44,884) |
| 17 Athletics Restricted Endowment and Investment Income | 0 | 0 | 0 | 0 | 0 | 8,200 | (8,200) |
| 18 Other Operating Revenue | 0 | 0 | 2,880 | 8,090 | 10,970 | 9,231 | 1,739 |
| 19 Bowl Revenues | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Operating Revenue | \$ 706,706 | \$ 342,383 | \$ 3,630,499 | \$ 8,624,797 | \$ 13,304,385 | \$ 13,717,562 | \$ (413,177) |
| EXPENSES: | | | | | | | |
| 20 Athletic Student Aid | \$ 351,089 | \$ 379,448 | \$ 3,104,282 | \$280 | \$ 3,835,099 | \$ 3,778,006 | \$ 57,093 |
| 21 Guarantees | 2,000 | 0 | 13,400 | 0 | 15,400 | 29,000 | (13,600) |
| 22 Coaching Salaries, Benefits, and Bonuses Paid by the University and Related Entities | 885,470 | 407,736 | 1,179,671 | 0 | 2,472,877 | 2,423,606 | 49,271 |
| 23 Coaching Salaries, Benefits and Bonuses paid by a Third Party | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 24 Support Staff/Administrative Compensation, Benefits, and Bonuses Paid by the University and Related Entities | 71,199 | 57,741 | 1,097 | 2,086,661 | 2,216,698 | 2,601,418 | (384,720) |
| 25 Support Staff/Administrative Compensation, Benefits and Bonuses Paid by a Third Party | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 26 Severance Payments | 753,348 | 0 | 0 | 0 | 753,348 | 97,908 | 655,440 |
| 27 Recruiting | 66,895 | 50,851 | 45,212 | 0 | 162,958 | 132,653 | 30,305 |
| 28 Team Travel | 303,507 | 150,278 | 705,684 | 45,271 | 1,204,740 | 1,004,828 | 199,912 |
| 29 Sports Equipment, Uniforms, and Supplies | 33,776 | 30,686 | 202,321 | 2,966 | 269,749 | 510,773 | (241,024) |
| 30 Game Expenses | 107,650 | 56,522 | 78,282 | 12,175 | 254,629 | 227,630 | 26,999 |
| 31 Fund Raising, Marketing, and Promotion | 75,974 | 33,124 | 8,668 | 274,707 | 392,473 | 608,662 | (216,189) |
| 32 Sport Camp Expenses | 6,678 | 8,914 | 39,286 | 14,929 | 69,807 | 66,025 | 3,782 |
| 33 Spirit Groups | 10,299 | 0 | 0 | 61,742 | 72,041 | 54,592 | 17,449 |
| 34 Athletics Facilities, Debt Service, Leases, and Rental Fees | 149,057 | 2,000 | 10,350 | 0 | 161,407 | 318,690 | (157,283) |
| 35 Direct Overhead and Administrative Expenses | 1,880 | 1,535 | 38,522 | 136,299 | 178,236 | 139,275 | 38,961 |
| 36 Indirect Institutional Support | 0 | 0 | 0 | 494,000 | 494,000 | 435,400 | 58,600 |
| 37 Medical Expenses and Insurance | 1,329 | 424 | 3,250 | 181,524 | 186,527 | 107,834 | 78,693 |
| 38 Memberships and Dues | 1,365 | 2,859 | 3,081 | 10,932 | 18,237 | 16,758 | 1,479 |
| 39 Student-Athlete Meals (non-travel) | 9,494 | 1,078 | 5,384 | 8,934 | 24,890 | 0 | 24,890 |
| 40 Other Operating Expenses | 28,707 | 17,649 | 473,411 | 380,737 | 900,504 | 363,841 | 536,663 |
| 41 Bowl Expenses | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Operating Expenses | \$ 2,859,717 | \$ 1,200,845 | \$ 5,911,901 | \$ 3,711,157 | \$ 13,683,620 | \$ 12,916,899 | \$ 766,721 |
| EXCESS (DERICIENCY) OF REVENUES OVER (UNDER) EXPENSES | \$ (2,153,011) | \$ (858,462) | \$ (2,281,402) | \$ 4,913,640 | \$ (379,235) | \$ 800,663 | \$ (1,179,898) |

ATTACHMENT C: STATEMENT OF REVENUE AND EXPENSES – CONTINUED

| | | | |
|---|----------------|----------------|---------------|
| 50 Excess Transfers to Institutions | \$0 | \$0 | \$0 |
| 51 Conference Realignment Expenses | \$0 | \$0 | \$0 |
| 52 Total Athletics Related Debt | \$ 10,441,504 | \$ 11,436,353 | (\$994,849) |
| 53 Total Institutional Debt | \$ 89,027,273 | \$ 94,284,652 | (\$5,257,379) |
| 54 Value of Athletics Dedicated Endowments | \$ 713,075 | \$653,938 | \$59,137 |
| 55 Value of Institutional Endowments | \$ 118,499,543 | \$ 111,773,178 | \$6,726,365 |
| 56 Total Athletics Related Capital Expenditures | \$456,587 | \$0 | \$456,587 |

FOOTNOTES TO STATEMENT OF REVENUES AND EXPENSES - See Attachment E.

**ATTACHMENT D: COMPARISONS OF MAJOR REVENUE AND EXPENSE CATEGORIES
FOR THE YEAR ENDED JUNE 30, 2016**

NCAA Agreed-Upon Procedures require the independent auditor to:

- a) Compare each major revenue account over 10% of the total revenues to prior period amounts and budget estimates. Obtain and document an understanding of any variations over the lesser of \$1M or 10%. Report the analysis as a supplement to the final agreed-upon procedures report.

Based upon the above-stated criteria, no revenue account variances from the prior year or from budget required an explanation.

- b) Compare each major expense account over 10% of the total expenses to prior period amounts and budget estimates. Obtain and document an understanding of any variations over the lesser of \$1M or 10%. Report the analysis as a supplement to the final agreed-upon procedures report.

Based upon the above-stated criteria, the following expense account variance from the prior year required an explanation. No budget variances required an explanation.

| NCAA Category | Current Amount | Prior Year Amount | Variance | Explanation |
|---|----------------|-------------------|-----------|--|
| #24 Support Staff/Administrative Compensation, Benefits and Bonuses paid by the University and Related Entities | \$3,938,787 | \$4,360,075 | \$421,288 | Throughout fiscal year 2016, a number of positions were vacant at any one time creating salary savings in those areas. |

**ATTACHMENT E: NOTES TO STATEMENT OF REVENUES AND EXPENSES
FOR THE YEAR ENDED JUNE 30, 2016**

Note 1: Summary of Significant Accounting Policies

UWM uses a fund accounting system of financial reporting. The main premise of fund accounting is to facilitate the stewardship of financial resources and ensure that these resources are budgeted, received and expended in compliance with legal requirements. UWM has established policies and procedures to ensure that all University assets, including those in the custody of Athletics are appropriately acquired, utilized and disposed.

The *Statement of Revenues and Expenses* has been prepared on the budgetary basis of accounting, which is a comprehensive basis of accounting other than generally accepted accounting principles (GAAP). The budgetary basis for the UWM Athletics Department represents the accounting principles used in managing its operations in accordance with its budgetary procedures. The Government Accounting Standards Board establishes generally accepted accounting principles for states and local governmental units in the UWM Athletics Department. The most significant differences between the budgetary basis and GAAP (full accrual basis) are as follows:

- Capital asset acquisitions funded by revenues are reported as expenditures rather than as capital assets.
- Depreciation on capital assets is not reported.

The format for the *Statement of Revenues and Expenses* is based upon the prescribed format of the NCAA.

Note 2: Reporting Entity

The UWM Athletics Department is responsible for intercollegiate sports programs of the University. The Director of Athletics is responsible for the day-to-day operation of the Athletics Department. The Athletics Department is ultimately governed by the University's Chancellor through authority delegated by the Board of Regents of the University of Wisconsin System, which is a unit of the State of Wisconsin.

The SRE includes all functions related to intercollegiate athletics at UWM as well as the accounts of its sole affiliated/outside organization, the UW-Milwaukee Foundation (an independent 501(c)(3) organization that is the official not-for-profit fundraising corporation for UWM). Contributions are recognized and recorded on the SRE in the period used by UWM Athletics. Disbursements made from the UW-Milwaukee Foundation on behalf of the Athletics Department to third parties, or paid directly to UWM Athletics are recorded on the SRE in the period of disbursement. In 2016, the UW-Milwaukee Foundation made payments of \$609,889.34 directly to third parties and \$245,880.66 directly to UWM Athletics (\$134,030.08 into Fund 128 and \$111,850.58 into Fund 133).

Note 3: Contributions

The UWM Athletics Department receives contributions in support of various intercollegiate sports programs. Donations can be made to the UWM Athletics Department or the UW-Milwaukee Foundation.

Revenue from contributions (line 8 of the SRE) was \$855,770. The UW-Milwaukee Foundation received contributions for the Athletic Department from two donors that each constituted greater than 10% or more of all contributions: \$200,000 from an individual donor and \$102,500 from a charitable trust.

ATTACHMENT B

ATTACHMENT C: STATEMENT OF REVENUE AND EXPENSES

UNIVERSITY OF WISCONSIN-MILWAUKEE ATHLETICS DEPARTMENT
STATEMENT OF REVENUES AND EXPENSES
FOR THE YEAR ENDED JUNE 30, 2016
(Unaudited)

| REVENUES: | MEN'S BASKETBALL | WOMEN'S BASKETBALL | OTHER SPORTS | NON- PROGRAM SPECIFIC | TOTAL | PRIOR YEAR | VARIANCE |
|---|-----------------------|-----------------------|-----------------------|-----------------------------|----------------------|----------------------|-----------------------|
| 1 Ticket Sales | \$ 161,883 | \$ 11,697 | \$ 19,794 | \$0 | \$ 193,374 | \$ 310,523 | \$ (117,149) |
| 2 Direct State or Other Government Support | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 Student Fees | 0 | 0 | 0 | 6,689,821 | 6,689,821 | 6,801,868 | (112,047) |
| 4 Direct Institutional Support | 210,705 | 240,968 | 2,897,810 | 589,304 | 3,938,787 | 4,360,075 | (421,288) |
| 5 Less: Transfers to Institution | (36,000) | 0 | 0 | (281,518) | (317,518) | (582,143) | 264,625 |
| 6 Indirect Institutional Support | 0 | 0 | 0 | 494,000 | 494,000 | 435,400 | 58,600 |
| 6A Indirect Institutional Support-Athletic Facilities Debt Service, Lease and Rental Fees | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 7 Guarantees | 233,870 | 26,899 | 42,867 | 0 | 303,636 | 345,521 | (41,885) |
| 8 Contributions | 109,432 | 45,321 | 557,437 | 143,580 | 855,770 | 670,884 | 184,886 |
| 9 In-Kind | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 10 Compensation and Benefits Provided by a Third-Party | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 11 Media Rights | 0 | 0 | 0 | 0 | 0 | 2,224 | (2,224) |
| 12 NCAA Distributions | 0 | 0 | 1,480 | 373,919 | 375,399 | 523,565 | (148,166) |
| 13 Conference Distributions (Non Media or Bowl) | 7,480 | 6,983 | 30,874 | 109,568 | 154,905 | 15,600 | 139,305 |
| 14 Program, Novelty, Parking and Concession Sales | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 15 Royalties, Licensing, Advertisement, and Sponsorships | 17,000 | 1,000 | 15,640 | 495,478 | 529,118 | 695,607 | (166,489) |
| 16 Sports Camp Revenues | 2,336 | 9,515 | 61,717 | 2,555 | 76,123 | 121,007 | (44,884) |
| 17 Athletics Restricted Endowment and Investment Income | 0 | 0 | 0 | 0 | 0 | 8,200 | (8,200) |
| 18 Other Operating Revenue | 0 | 0 | 2,880 | 8,090 | 10,970 | 9,231 | 1,739 |
| 19 Bowl Revenues | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Operating Revenue | \$ 706,706 | \$ 342,383 | \$ 3,630,499 | \$ 8,624,797 | \$ 13,304,385 | \$ 13,717,562 | \$ (413,177) |
| EXPENSES: | | | | | | | |
| 20 Athletic Student Aid | \$ 351,089 | \$ 379,448 | \$ 3,104,282 | \$280 | \$ 3,835,099 | \$ 3,778,006 | \$ 57,093 |
| 21 Guarantees | 2,000 | 0 | 13,400 | 0 | 15,400 | 29,000 | (13,600) |
| 22 Coaching Salaries, Benefits, and Bonuses Paid by the University and Related Entities | 885,470 | 407,736 | 1,179,671 | 0 | 2,472,877 | 2,423,606 | 49,271 |
| 23 Coaching Salaries, Benefits and Bonuses paid by a Third Party | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 24 Support Staff/Administrative Compensation, Benefits, and Bonuses Paid by the University and Related Entities | 71,199 | 57,741 | 1,097 | 2,086,661 | 2,216,698 | 2,601,418 | (384,720) |
| 25 Support Staff/Administrative Compensation, Benefits and Bonuses Paid by a Third Party | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 26 Severance Payments | 753,348 | 0 | 0 | 0 | 753,348 | 97,908 | 655,440 |
| 27 Recruiting | 66,895 | 50,851 | 45,212 | 0 | 162,958 | 132,653 | 30,305 |
| 28 Team Travel | 303,507 | 150,278 | 705,684 | 45,271 | 1,204,740 | 1,004,828 | 199,912 |
| 29 Sports Equipment, Uniforms, and Supplies | 33,776 | 30,686 | 202,321 | 2,966 | 269,749 | 510,773 | (241,024) |
| 30 Game Expenses | 107,650 | 56,522 | 78,282 | 12,175 | 254,629 | 227,630 | 26,999 |
| 31 Fund Raising, Marketing, and Promotion | 75,974 | 33,124 | 8,668 | 274,707 | 392,473 | 608,662 | (216,189) |
| 32 Sport Camp Expenses | 6,678 | 8,914 | 39,286 | 14,929 | 69,807 | 66,025 | 3,782 |
| 33 Spirit Groups | 10,299 | 0 | 0 | 61,742 | 72,041 | 54,592 | 17,449 |
| 34 Athletics Facilities, Debt Service, Leases, and Rental Fees | 149,057 | 2,000 | 10,350 | 0 | 161,407 | 318,690 | (157,283) |
| 35 Direct Overhead and Administrative Expenses | 1,880 | 1,535 | 38,522 | 136,299 | 178,236 | 139,275 | 38,961 |
| 36 Indirect Institutional Support | 0 | 0 | 0 | 494,000 | 494,000 | 435,400 | 58,600 |
| 37 Medical Expenses and Insurance | 1,329 | 424 | 3,250 | 181,524 | 186,527 | 107,834 | 78,693 |
| 38 Memberships and Dues | 1,365 | 2,859 | 3,081 | 10,932 | 18,237 | 16,758 | 1,479 |
| 39 Student-Athlete Meals (non-travel) | 9,494 | 1,078 | 5,384 | 8,934 | 24,890 | 0 | 24,890 |
| 40 Other Operating Expenses | 28,707 | 17,649 | 473,411 | 380,737 | 900,504 | 363,841 | 536,663 |
| 41 Bowl Expenses | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Operating Expenses | \$ 2,859,717 | \$ 1,200,845 | \$ 5,911,901 | \$ 3,711,167 | \$ 13,683,620 | \$ 12,916,899 | \$ 766,721 |
| EXCESS (DEFICIENCY) OF REVENUES OVER (UNDER) EXPENSES | \$ (2,153,011) | \$ (858,462) | \$ (2,281,402) | \$ 4,913,640 | \$ (379,235) | \$ 800,663 | \$ (1,179,898) |

ATTACHMENT C: STATEMENT OF REVENUE AND EXPENSES – CONTINUED

| | | | |
|---|----------------|----------------|---------------|
| 50 Excess Transfers to Institutions | \$0 | \$0 | \$0 |
| 51 Conference Realignment Expenses | \$0 | \$0 | \$0 |
| 52 Total Athletics Related Debt | \$ 10,441,504 | \$ 11,436,353 | (\$94,849) |
| 53 Total Institutional Debt | \$ 89,027,273 | \$ 94,284,652 | (\$5,257,379) |
| 54 Value of Athletics Dedicated Endowments | \$ 713,075 | \$653,938 | \$59,137 |
| 55 Value of Institutional Endowments | \$ 118,499,543 | \$ 111,773,178 | \$6,726,365 |
| 56 Total Athletics Related Capital Expenditures | \$456,587 | \$0 | \$456,587 |

FOOTNOTES TO STATEMENT OF REVENUES AND EXPENSES - See Attachment E.

**ATTACHMENT D: COMPARISONS OF MAJOR REVENUE AND EXPENSE CATEGORIES
FOR THE YEAR ENDED JUNE 30, 2016**

NCAA Agreed-Upon Procedures require the independent auditor to:

- a) Compare each major revenue account over 10% of the total revenues to prior period amounts and budget estimates. Obtain and document an understanding of any variations over the lessor of \$1M or 10%. Report the analysis as a supplement to the final agreed-upon procedures report.

Based upon the above-stated criteria, no revenue account variances from the prior year or from budget required an explanation.

- b) Compare each major expense account over 10% of the total expenses to prior period amounts and budget estimates. Obtain and document an understanding of any variations over the lessor of \$1M or 10%. Report the analysis as a supplement to the final agreed-upon procedures report.

Based upon the above-stated criteria, the following expense account variance from the prior year required an explanation. No budget variances required an explanation.

| NCAA Category | Current Amount | Prior Year Amount | Variance | Explanation |
|---|----------------|-------------------|-----------|--|
| #24 Support Staff/Administrative Compensation, Benefits and Bonuses paid by the University and Related Entities | \$3,938,787 | \$4,360,075 | \$421,288 | Throughout fiscal year 2016, a number of positions were vacant at any one time creating salary savings in those areas. |

ATTACHMENT E: NOTES TO STATEMENT OF REVENUES AND EXPENSES
FOR THE YEAR ENDED JUNE 30, 2016

Note 1: Summary of Significant Accounting Policies

UWM uses a fund accounting system of financial reporting. The main premise of fund accounting is to facilitate the stewardship of financial resources and ensure that these resources are budgeted, received and expended in compliance with legal requirements. UWM has established policies and procedures to ensure that all University assets, including those in the custody of Athletics are appropriately acquired, utilized and disposed.

The *Statement of Revenues and Expenses* has been prepared on the budgetary basis of accounting, which is a comprehensive basis of accounting other than generally accepted accounting principles (GAAP). The budgetary basis for the UWM Athletics Department represents the accounting principles used in managing its operations in accordance with its budgetary procedures. The Government Accounting Standards Board establishes generally accepted accounting principles for states and local governmental units in the UWM Athletics Department. The most significant differences between the budgetary basis and GAAP (full accrual basis) are as follows:

- Capital asset acquisitions funded by revenues are reported as expenditures rather than as capital assets.
- Depreciation on capital assets is not reported.

The format for the *Statement of Revenues and Expenses* is based upon the prescribed format of the NCAA.

Note 2: Reporting Entity

The UWM Athletics Department is responsible for intercollegiate sports programs of the University. The Director of Athletics is responsible for the day-to-day operation of the Athletics Department. The Athletics Department is ultimately governed by the University's Chancellor through authority delegated by the Board of Regents of the University of Wisconsin System, which is a unit of the State of Wisconsin.

The SRE includes all functions related to intercollegiate athletics at UWM as well as the accounts of its sole affiliated/outside organization, the UW-Milwaukee Foundation (an independent 501(c)(3) organization that is the official not-for-profit fundraising corporation for UWM). Contributions are recognized and recorded on the SRE in the period used by UWM Athletics. Disbursements made from the UW-Milwaukee Foundation on behalf of the Athletics Department to third parties, or paid directly to UWM Athletics are recorded on the SRE in the period of disbursement. In 2016, the UW-Milwaukee Foundation made payments of \$609,889.34 directly to third parties and \$245,880.66 directly to UWM Athletics (\$134,030.08 into Fund 128 and \$111,850.58 into Fund 133).

Note 3: Contributions

The UWM Athletics Department receives contributions in support of various intercollegiate sports programs. Donations can be made to the UWM Athletics Department or the UW-Milwaukee Foundation.

Revenue from contributions (line 8 of the SRE) was \$855,770. The UW-Milwaukee Foundation received contributions for the Athletic Department from two donors that each constituted greater than 10% or more of all contributions: \$200,000 from an individual donor and \$102,500 from a charitable trust.

ATTACHMENT C

NCAA Division I 2014 - 2015 Academic Progress Rate Institutional Report

Institution: University of Wisconsin, Milwaukee

Date of Report: 04/17/2017

This report is based on NCAA Division I Academic Progress Rate (APR) data submitted by the institution for the 2011-12, 2012-13, 2013-14 and 2014-15 academic years. Institutions are encouraged to forward this report to appropriate institutional personnel on campus.

[Note: All information contained in this report is for four academic years. Some squads may still have small sample sizes within certain sport groups. In accordance with the Family Educational Rights and Privacy Act's (FERPA's) interpretation of federal privacy regulations, institutions should not disclose statistical data contained in this report for cells made up of three or fewer students without student consent.]

| Sport | APR | | | Eligibility/Graduation | | Retention | |
|-----------------------|--------------------|--|-----------------|------------------------|-------------|----------------|-------------|
| | Multiyear Rate (N) | Multiyear Rate Upper Confidence Boundary | 2014 - 2015 (N) | Multiyear Rate | 2014 - 2015 | Multiyear Rate | 2014 - 2015 |
| Baseball | 977 (114) | N/A | 981 (28) | 960 | 964 | 981 | 1,000 |
| Men's Basketball | 962 (54) | N/A | 1,000 (13) | 943 | 1,000 | 942 | 1,000 |
| Men's Cross Country | 995 (50) | N/A | 1,000 (13) | 980 | 1,000 | 1,000 | 1,000 |
| Men's Soccer | 954 (99) | N/A | 975 (22) | 949 | 975 | 941 | 974 |
| Men's Swimming | 960 (122) | N/A | 966 (30) | 928 | 948 | 987 | 966 |
| Men's Track | 991 (137) | N/A | 1,000 (37) | 974 | 1,000 | 1,000 | 1,000 |
| Women's Basketball | 968 (60) | N/A | 939 (13) | 982 | 960 | 953 | 917 |
| Women's Cross Country | 987 (60) | N/A | 972 (19) | 974 | 944 | 991 | 1,000 |
| Women's Soccer | 996 (118) | N/A | 1,000 (28) | 996 | 1,000 | 995 | 1,000 |
| Women's Swimming | 976 (140) | N/A | 1,000 (36) | 955 | 1,000 | 977 | 971 |

* Denotes data representing three or fewer student-athletes. In accordance with FERPA's interpretation of federal privacy regulations, institutions should not disclose statistical data contained in this report in cells made up of three or fewer students without student consent.

N/A = No APR or not applicable.

N = Number of student-athletes represented.

¹ Denotes APR that does not subject the team to ineligibility for postseason competition based on institutional, athletics and student resources and the team's Graduation Success Rate.

² Denotes APR that does not subject the team to ineligibility for postseason competition due to the team's demonstrated academic improvement.

³ Denotes APR that does not subject the team to ineligibility for postseason competition due to the squad-size adjustment. The "upper confidence boundary" of a team's APR must be below 930 for that team to be subject to ineligibility for postseason competition. Squad-size adjustment does not apply to teams with four years of APR data and a multiyear cohort of 30 or more student-athletes.

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⁸ Denotes that team is not subject to ineligibility for postseason competition and/or penalties based on institutional resources.

⁹ Denotes APR that requires an APP Improvement Plan be created for this sport.

NCAA Division I 2014 - 2015 Academic Progress Rate Institutional Report

Institution: University of Wisconsin, Milwaukee

Date of Report: 04/17/2017

| Sport | APR | | | Eligibility/Graduation | | Retention | |
|--------------------|--------------------|--|-----------------|------------------------|-------------|----------------|-------------|
| | Multiyear Rate (N) | Multiyear Rate Upper Confidence Boundary | 2014 - 2015 (N) | Multiyear Rate | 2014 - 2015 | Multiyear Rate | 2014 - 2015 |
| Women's Tennis | 978 (36) | N/A | 970 (9) | 986 | 1,000 | 970 | 938 |
| Women's Track | 978 (139) | N/A | 987 (41) | 960 | 950 | 985 | 1,000 |
| Women's Volleyball | 995 (56) | N/A | 1,000 (14) | 982 | 1,000 | 1,000 | 1,000 |

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NCAA Division I 2013 - 2014 Academic Progress Rate Institutional Report

Institution: University of Wisconsin, Milwaukee

Date of Report: 05/27/2015

This report is based on NCAA Division I Academic Progress Rate (APR) data submitted by the institution for the 2010-11, 2011-12, 2012-13 and 2013-14 academic years. Institutions are encouraged to forward this report to appropriate institutional personnel on campus.

[Note: All information contained in this report is for four academic years. Some squads may still have small sample sizes within certain sport groups. In accordance with the Family Educational Rights and Privacy Act's (FERPA's) interpretation of federal privacy regulations, institutions should not disclose statistical data contained in this report for cells made up of three or fewer students without student consent.]

| Sport | APR | | | Eligibility/Graduation | | Retention | |
|-----------------------|--------------------|--|-----------------|------------------------|-------------|----------------|-------------|
| | Multiyear Rate (N) | Multiyear Rate Upper Confidence Boundary | 2013 - 2014 (N) | Multiyear Rate | 2013 - 2014 | Multiyear Rate | 2013 - 2014 |
| Baseball | 966 (112) | N/A | 958 (30) | 946 | 932 | 968 | 983 |
| Men's Basketball | 940 (56) | N/A | 1000 (13) | 927 | 1000 | 935 | 1000 |
| Men's Cross Country | 985 (52) | N/A | 960 (13) | 971 | 920 | 1000 | 1000 |
| Men's Soccer | 951 (104) | N/A | 958 (26) | 946 | 958 | 933 | 938 |
| Men's Swimming | 967 (117) | N/A | 974 (30) | 938 | 948 | 996 | 1000 |
| Men's Track, Indoor | 985 (132) | N/A | 985 (34) | 966 | 970 | 1000 | 1000 |
| Men's Track, Outdoor | 986 (130) | N/A | 992 (33) | 969 | 985 | 1000 | 1000 |
| Women's Basketball | 987 (62) | N/A | 980 (15) | 992 | 1000 | 964 | 957 |
| Women's Cross Country | 990 (50) | N/A | 1000 (17) | 990 | 1000 | 990 | 1000 |
| Women's Soccer | 993 (119) | N/A | 1000 (26) | 996 | 1000 | 991 | 1000 |
| Women's Swimming | 963 (140) | N/A | 960 (33) | 948 | 937 | 974 | 984 |

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NCAA Division I 2013 - 2014 Academic Progress Rate Institutional Report

Institution: University of Wisconsin, Milwaukee

Date of Report: 05/27/2015

| Sport | APR | | | Eligibility/Graduation | | Retention | |
|------------------------|--------------------|--|-----------------|------------------------|-------------|----------------|-------------|
| | Multiyear Rate (N) | Multiyear Rate Upper Confidence Boundary | 2013 - 2014 (N) | Multiyear Rate | 2013 - 2014 | Multiyear Rate | 2013 - 2014 |
| Women's Tennis | 985 (36) | N/A | 1000 (11) | 986 | 1000 | 985 | 1000 |
| Women's Track, Indoor | 980 (130) | N/A | 979 (35) | 972 | 971 | 984 | 986 |
| Women's Track, Outdoor | 980 (130) | N/A | 979 (35) | 972 | 971 | 984 | 986 |
| Women's Volleyball | 995 (55) | N/A | 1000 (14) | 981 | 1000 | 1000 | 1000 |

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NCAA Division I 2012 - 2013 Academic Progress Rate Institutional Report

Institution: University of Wisconsin, Milwaukee

Date of Report: 05/22/2017

This report is based on NCAA Division I Academic Progress Rate (APR) data submitted by the institution for the 2009-10, 2010-11, 2011-12 and 2012-13 academic years. Institutions are encouraged to forward this report to appropriate institutional personnel on campus.

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| Sport | APR | | | Eligibility/Graduation | | Retention | |
|-----------------------|-----------------------|--|-----------------|------------------------|-------------|----------------|-------------|
| | Multiyear Rate (N) | Multiyear Rate Upper Confidence Boundary | 2012 - 2013 (N) | Multiyear Rate | 2012 - 2013 | Multiyear Rate | 2012 - 2013 |
| Baseball | 960 (108) | N/A | 991 (28) | 944 | 982 | 952 | 982 |
| Men's Basketball | 908 (56) ⁹ | N/A | 852 (15) | 881 | 815 | 917 | 889 |
| Men's Cross Country | 995 (51) | N/A | 1,000 (13) | 990 | 1,000 | 1,000 | 1,000 |
| Men's Soccer | 934 (101) | N/A | 976 (26) | 927 | 977 | 918 | 923 |
| Men's Swimming | 957 (114) | N/A | 951 (32) | 927 | 918 | 986 | 984 |
| Men's Track, Indoor | 977 (124) | N/A | 979 (37) | 955 | 959 | 996 | 1,000 |
| Men's Track, Outdoor | 977 (123) | N/A | 979 (37) | 955 | 959 | 996 | 1,000 |
| Women's Basketball | 972 (65) | N/A | 968 (16) | 976 | 968 | 951 | 968 |
| Women's Cross Country | 981 (42) | N/A | 1,000 (12) | 975 | 1,000 | 975 | 957 |
| Women's Soccer | 989 (122) | N/A | 984 (34) | 996 | 985 | 982 | 984 |

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NCAA Division I 2012 - 2013 Academic Progress Rate Institutional Report

Institution: University of Wisconsin, Milwaukee

Date of Report: 05/22/2017

| Sport | APR | | | Eligibility/Graduation | | Retention | |
|------------------------|--------------------|--|-----------------|------------------------|-------------|----------------|-------------|
| | Multiyear Rate (N) | Multiyear Rate Upper Confidence Boundary | 2012 - 2013 (N) | Multiyear Rate | 2012 - 2013 | Multiyear Rate | 2012 - 2013 |
| Women's Swimming | 970 (141) | N/A | 941 (36) | 959 | 928 | 974 | 955 |
| Women's Tennis | 977 (33) | N/A | 933 (8) | 969 | 933 | 984 | 933 |
| Women's Track, Indoor | 973 (124) | N/A | 953 (33) | 958 | 938 | 979 | 953 |
| Women's Track, Outdoor | 972 (123) | N/A | 953 (33) | 958 | 938 | 979 | 953 |
| Women's Volleyball | 990 (54) | N/A | 983 (15) | 981 | 966 | 1,000 | 1,000 |

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ATTACHMENT D

Graduation Success Rate Report

2006 - 2009 Cohorts: University of Wisconsin, Milwaukee

Men's Sports

| Sport | GSR | Fed Rate |
|--------------------------------|-----|----------|
| Baseball | 53 | 50 |
| Basketball | 36 | 17 |
| CC/Track | 64 | 50 |
| Fencing | - | - |
| Football | - | - |
| Golf | - | - |
| Gymnastics | - | - |
| Ice Hockey | - | - |
| Lacrosse | - | - |
| Mixed Rifle | - | - |
| Skiing | - | - |
| Soccer | 56 | 25 |
| Swimming | 72 | 62 |
| Tennis | - | - |
| Volleyball | - | - |
| Water Polo | - | - |
| Wrestling | - | - |
| Men's Non-NCAA Sponsor. Sports | - | - |

Women's Sports

| Sport | GSR | Fed Rate |
|----------------------------------|-----|----------|
| Basketball | 83 | 75 |
| Bowling | - | - |
| CC/Track | 81 | 71 |
| Crew/Rowing | - | - |
| Fencing | - | - |
| Field Hockey | - | - |
| Golf | - | - |
| Gymnastics | - | - |
| W. Ice Hockey | - | - |
| Lacrosse | - | - |
| Skiing | - | - |
| Soccer | 82 | 56 |
| Softball | - | - |
| Swimming | 81 | 59 |
| Tennis | 100 | 75 |
| Volleyball | 80 | 78 |
| Water Polo | - | - |
| Women's Non-NCAA Sponsor. Sports | - | - |

University of Wisconsin, Milwaukee

| FRESHMAN-COHORT GRADUATION RATES | All Students | Student-Athletes # |
|---|--------------|--------------------|
| 2009-10 Graduation Rate | 45% | 48% |
| Four-Class Average | 45% | 56% |
| Student-Athlete Graduation Success Rate | | 71% |

1. Graduation-Rates Data

a. All Students

| | Men | | | | Women | | | | Total | | | |
|--------------|---------|-----|---------|----|---------|-----|---------|----|---------|-----|---------|----|
| | 2009-10 | | 4-Class | | 2009-10 | | 4-Class | | 2009-10 | | 4-Class | |
| | N | % | N | % | N | % | N | % | N | % | N | % |
| Am. Ind./AN | 7 | 29 | 34 | 18 | 9 | 44 | 58 | 33 | 16 | 38 | 92 | 27 |
| Asian | 74 | 53 | 312 | 44 | 87 | 39 | 292 | 48 | 161 | 45 | 604 | 46 |
| Black | 76 | 24 | 286 | 23 | 125 | 25 | 522 | 22 | 201 | 24 | 808 | 22 |
| Hispanic | 45 | 44 | 210 | 29 | 40 | 25 | 215 | 29 | 85 | 35 | 425 | 29 |
| Nat. Haw./PI | *** | *** | 51 | 22 | *** | *** | 72 | 26 | *** | *** | 123 | 24 |
| N-R Alien | 24 | 71 | 75 | 67 | 13 | 54 | 33 | 48 | 37 | 65 | 108 | 61 |
| Two or More | 86 | 35 | 203 | 49 | 85 | 45 | 239 | 49 | 171 | 40 | 442 | 49 |
| Unknown | *** | *** | 63 | 21 | *** | *** | 68 | 19 | *** | *** | 131 | 20 |
| White | 1342 | 48 | 5830 | 47 | 1384 | 47 | 5979 | 49 | 2726 | 47 | 11809 | 48 |
| Total | 1661 | 46 | 7064 | 45 | 1753 | 44 | 7478 | 46 | 3414 | 45 | 14542 | 45 |

b. Student-Athletes

| | Men | | | | | | Women | | | | | | Total | | | | | |
|--------------|---------|-----|---------|-----|-----|-----|---------|-----|---------|-----|-----|-----|---------|-----|---------|-----|-----|-----|
| | 2009-10 | | 4-Class | | GSR | | 2009-10 | | 4-Class | | GSR | | 2009-10 | | 4-Class | | GSR | |
| | N | % | N | % | N | % | N | % | N | % | N | % | N | % | N | % | N | % |
| Am. Ind./AN | 0 | - | *** | *** | *** | *** | 0 | - | *** | *** | *** | *** | 0 | - | *** | *** | *** | *** |
| Asian | *** | *** | *** | *** | 0 | - | *** | *** | *** | *** | 0 | - | *** | *** | *** | *** | 0 | - |
| Black | *** | *** | 12 | 0 | 10 | 20 | *** | *** | 14 | 71 | 14 | 71 | *** | *** | 26 | 38 | 24 | 50 |
| Hispanic | 0 | - | *** | *** | *** | *** | 0 | - | *** | *** | *** | *** | 0 | - | *** | *** | *** | *** |
| Nat. Haw./PI | 0 | - | 0 | - | 0 | - | 0 | - | 0 | - | 0 | - | 0 | - | 0 | - | 0 | - |
| N-R Alien | 0 | - | *** | *** | *** | *** | 0 | - | *** | *** | *** | *** | 0 | - | *** | *** | *** | *** |
| Two or More | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** |
| Unknown | *** | *** | 8 | 0 | *** | *** | *** | *** | 4 | 25 | *** | *** | *** | *** | 12 | 8 | *** | *** |
| White | 18 | 44 | 83 | 55 | 81 | 64 | 29 | 59 | 106 | 66 | 88 | 84 | 47 | 53 | 189 | 61 | 169 | 75 |
| Total | 25 | 36 | 108 | 45 | 101 | 59 | 35 | 57 | 127 | 65 | 106 | 83 | 60 | 48 | 235 | 56 | 207 | 71 |

c. Student-Athletes by Sport Category

| Baseball | | | | Men's Basketball | | | | Men's CC/Track | | | |
|--------------------|---------|------|-------|------------------|---------|-------|-------|----------------|---------|-------|-------|
| % -N | | | | % -N | | | | % -N | | | |
| 2009-10 | 4-Class | GSR | | 2009-10 | 4-Class | GSR | | 2009-10 | 4-Class | GSR | |
| Am. Ind./AN | - | - | - | Am. Ind./AN | - | - | - | Am. Ind./AN | - | 100-a | 100-a |
| Asian | - | - | - | Asian | - | - | - | Asian | - | - | - |
| Black | - | - | - | Black | 0-a | 0-b | 14-b | Black | - | 0-a | 0-a |
| Hispanic | - | - | - | Hispanic | - | - | - | Hispanic | - | - | - |
| Nat. Haw./PI | - | - | - | Nat. Haw./PI | - | - | - | Nat. Haw./PI | - | - | - |
| N-R Alien | - | - | - | N-R Alien | - | - | 100-a | N-R Alien | - | - | 100-a |
| Two or More | - | - | - | Two or More | - | - | - | Two or More | - | - | - |
| Unknown | - | - | - | Unknown | - | - | - | Unknown | - | 0-a | 0-a |
| White | 25-a | 50-c | 53-d | White | - | 50-a | 50-b | White | 60-a | 56-e | 67-e |
| Total | 25-a | 50-c | 53-d | Total | 0-a | 17-c | 36-c | Total | 60-a | 50-e | 64-e |
| Football | | | | Men's Other | | | | | | | |
| % -N | | | | % -N | | | | | | | |
| 2009-10 | 4-Class | GSR | | 2009-10 | 4-Class | GSR | | | | | |
| | | | | Am. Ind./AN | - | - | - | | | | |
| | | | | Asian | 0-a | 0-a | - | | | | |
| | | | | Black | - | 0-a | 50-a | | | | |
| | | | | Hispanic | - | 0-a | - | | | | |
| | | | | Nat. Haw./PI | - | - | - | | | | |
| | | | | N-R Alien | - | 100-a | 100-a | | | | |
| | | | | Two or More | 100-a | 100-a | 100-a | | | | |
| | | | | Unknown | 0-a | 0-b | 0-a | | | | |
| | | | | White | 44-b | 57-e | 70-e | | | | |
| | | | | Total | 36-c | 48-e | 67-e | | | | |
| Women's Basketball | | | | Women's CC/Track | | | | Women's Other | | | |
| % -N | | | | % -N | | | | % -N | | | |
| 2009-10 | 4-Class | GSR | | 2009-10 | 4-Class | GSR | | 2009-10 | 4-Class | GSR | |
| Am. Ind./AN | - | - | - | Am. Ind./AN | - | - | - | Am. Ind./AN | - | 0-a | - |
| Asian | - | - | - | Asian | - | - | - | Asian | - | - | - |
| Black | - | 60-a | 60-a | Black | 75-a | 75-b | 75-b | Black | - | 100-a | 100-a |
| Hispanic | - | - | - | Hispanic | - | - | - | Hispanic | - | 100-a | 100-a |
| Nat. Haw./PI | - | - | - | Nat. Haw./PI | - | - | - | Nat. Haw./PI | - | - | - |
| N-R Alien | - | - | - | N-R Alien | - | - | - | N-R Alien | - | 100-a | 100-a |
| Two or More | - | - | - | Two or More | - | - | - | Two or More | - | - | - |
| Unknown | - | - | - | Unknown | - | - | - | Unknown | 0-a | 25-a | 100-a |
| White | 67-a | 86-b | 100-b | White | 75-a | 70-d | 83-d | White | 55-e | 63-e | 83-e |
| Total | 67-a | 75-c | 83-c | Total | 75-b | 71-e | 81-e | Total | 50-e | 62-e | 84-e |

Values for N (a. 1-5, b. 6-10, c. 11-15, d. 16-20, e. greater than 20)

2. Undergraduate-Enrollment Data (All full-time baccalaureate-degree seeking students enrolled)

| a. All Students | Men N | Women N | Total N | b. Student-athletes # | Men N | Women N | Total N |
|-----------------|----------|------------|------------|-----------------------|----------|------------|------------|
| Am. Ind./AN | 38 | 53 | 91 | Am. Ind./AN | 0 | 0 | 0 |
| Asian | 618 | 573 | 1191 | Asian | 0 | 0 | 0 |
| Black | 696 | 1010 | 1706 | Black | 9 | 10 | 19 |
| Hispanic | 324 | 333 | 657 | Hispanic | 0 | 0 | 0 |
| Nat. Haw./PI | 12 | 10 | 22 | Nat. Haw./PI | 0 | 0 | 0 |
| N-R Alien | 555 | 297 | 852 | N-R Alien | 0 | 0 | 0 |
| Two or More | 1033 | 1259 | 2292 | Two or More | 0 | 0 | 0 |
| Unknown | 22 | 22 | 44 | Unknown | 43 | 33 | 76 |
| White | 7024 | 7319 | 14343 | White | 85 | 97 | 182 |
| Total | 10322 | 10876 | 21198 | Total | 137 | 140 | 277 |

c. Student-Athletes # By Sports Category

| Men | Basketball | Baseball | CC/Track | Football | Other |
|--------------|------------|----------|----------|----------|-------|
| Am. Ind./AN | 0 | 0 | 0 | 0 | 0 |
| Asian | 0 | 0 | 0 | 0 | 0 |
| Black | 4 | 0 | 4 | 0 | 1 |
| Hispanic | 0 | 0 | 0 | 0 | 0 |
| Nat. Haw./PI | 0 | 0 | 0 | 0 | 0 |
| N-R Alien | 0 | 0 | 0 | 0 | 0 |
| Two or More | 0 | 0 | 0 | 0 | 0 |
| Unknown | 2 | 12 | 9 | 0 | 20 |
| White | 7 | 17 | 26 | 0 | 35 |
| Total | 13 | 29 | 39 | 0 | 56 |

| Women | Basketball | CC/Track | Other |
|--------------|------------|----------|-------|
| Am. Ind./AN | 0 | 0 | 0 |
| Asian | 0 | 0 | 0 |
| Black | 2 | 6 | 2 |
| Hispanic | 0 | 0 | 0 |
| Nat. Haw./PI | 0 | 0 | 0 |
| N-R Alien | 0 | 0 | 0 |
| Two or More | 0 | 0 | 0 |
| Unknown | 4 | 9 | 20 |
| White | 9 | 20 | 68 |
| Total | 15 | 35 | 90 |

#Only student-athletes receiving athletics aid are included in this report.

INFORMATION ABOUT THE GRADUATION RATES REPORT

Introduction.

This information sheet and the NCAA Graduation Rates Report have been prepared by the NCAA, based on data provided by the institution in compliance with NCAA Bylaw 18.4.2.2.1 (admissions and graduation-rate disclosure) and the federal Student Right-to-Know and Campus Security Act. The NCAA will make this report public on its website, www.ncaa.org.

The Graduation Rates Report provides information about two groups of students at the college or university identified at the top of the form: (1) all undergraduate students who were enrolled in a full-time program of studies for a baccalaureate degree; and (2) student-athletes who received athletics aid from the college or university for any period of time during their entering year. [Note: Athletics aid is a grant, scholarship, tuition waiver or other assistance from a college or university that is awarded on the basis of a student's athletics ability.]

The report gives graduation information about students and student-athletes entering as freshmen in 2009. This is the most recent graduating class for which the required six years of information is available. The report provides information about student-athletes who received athletics aid in one or more of eight sports categories: football, men's basketball, baseball, men's track/cross country, men's other sports and mixed sports, women's basketball, women's track/cross country and other women's sports. For each of those sports categories, it includes information in nine self-reported racial or ethnic groups: American Indian or Alaska Native, Asian, Black or African-American, Hispanic or Latino, Native Hawaiian or Pacific Islander, nonresident alien, two or more races, White non-Hispanic and unknown (not included in one of the other eight groups or not available) and the total (all nine groups combined).

The graduation rate (percent) is based on a comparison of the number (N) of students who entered a college or university and the number of those who graduated within six years. For example, if 100 students entered and 60 graduated within six years, the graduation rate is 60 percent. It is important to note that graduation rates are affected by a number of factors: some students may work part-time and need more than six years to graduate, some may leave school for a year or two to work or travel, some may transfer to another college or university or some may be dismissed for academic deficiencies.

Two different measures of graduation rates are presented in this report: (1) freshman-cohort rate; and (2) Graduation Success Rate (GSR). The freshman-cohort rate indicates the percentage of freshmen who entered during a given academic year and graduated within six years. The GSR adds to the first-time freshmen, those students who entered midyear, as well as student-athletes who transferred into an institution and received athletics aid. Both the freshman-cohort rate and the GSR subtracts students from the entering cohort who are considered allowable exclusions (i.e., those who either die or become permanently disabled, those who leave the school to join the armed forces, foreign services or attend a church mission). In addition, the GSR subtracts those who left the institution prior to graduation, had athletics eligibility remaining and would have been academically eligible to compete had they returned to the institution.

Graduation Rates Report.

1. Graduation Rates Data. The box at the top of the Graduation Rates Report provides freshman-cohort graduation rates for all students and for student-athletes who received athletics aid at this college or university. Additionally, this box provides GSR data for the population of student-athletes. [**Note: Pursuant to the Student-Right-to-Know Act, anytime a cell containing cohort numbers includes only one or two students, the data in that cell and one other will be suppressed so that no individual can be identified.**]

a. All Students. This section provides the freshman-cohort graduation rates for all full-time, degree-seeking students by race or ethnic group. It shows the rate for men who entered as freshmen in 2009-10 and the four-class average, which includes those who entered as freshmen in 2006-07 2007-08, 2008-09 and 2009-10. The same rates are provided for women. The total for 2009-10 is the rate for men and women combined, and the four-class average is for all students who entered in 2006-07, 2007-08, 2008-09 and 2009-10.

b. Student-Athletes. This section provides the freshman-cohort graduation rates and also the GSR for student-athletes in each race and ethnic group who received athletics aid. Information is provided for men and women separately and for all student-athletes.

c. Student-Athletes by Sports Categories. This section provides the identified graduation rates as in 1-b for each of the eight sports categories. (The small letters indicate the value of N).

2. Undergraduate Enrollment Data.

a. All Students. This section indicates the number of full-time, undergraduate, baccalaureate, degree-seeking students enrolled for the 2015 fall term and the number of men and women in each racial or ethnic group.

b. Student-Athletes. This section identifies how many student-athletes were enrolled and received athletics aid for the 2015-16 academic year and the number of men and women in each racial or ethnic group.

c. Student-Athletes by Sports Categories. This section provides the enrollment data as identified in 3-b for each of the eight sports categories.

Graduation Success Rate Report

2005 - 2008 Cohorts: University of Wisconsin, Milwaukee

| Men's Sports | | | Women's Sports | | |
|--------------------------------|------------|-----------------|----------------------------------|------------|-----------------|
| Sport | GSR | Fed Rate | Sport | GSR | Fed Rate |
| Baseball | 67 | 73 | Basketball | 73 | 64 |
| Basketball | 38 | 27 | Bowling | - | - |
| CC/Track | 57 | 48 | CC/Track | 80 | 65 |
| Fencing | - | - | Crew/Rowing | - | - |
| Football | - | - | Fencing | - | - |
| Golf | - | - | Field Hockey | - | - |
| Gymnastics | - | - | Golf | - | - |
| Ice Hockey | - | - | Gymnastics | - | - |
| Lacrosse | - | - | W. Ice Hockey | - | - |
| Mixed Rifle | - | - | Lacrosse | - | - |
| Skiing | - | - | Skiing | - | - |
| Soccer | 67 | 32 | Soccer | 86 | 63 |
| Swimming | 76 | 67 | Softball | - | - |
| Tennis | - | - | Swimming | 90 | 72 |
| Volleyball | - | - | Tennis | 100 | 70 |
| Water Polo | - | - | Volleyball | 78 | 78 |
| Wrestling | - | - | Water Polo | - | - |
| Men's Non-NCAA Sponsor. Sports | - | - | Women's Non-NCAA Sponsor. Sports | - | - |

University of Wisconsin, Milwaukee

| FRESHMAN-COHORT GRADUATION RATES | All Students | Student-Athletes # |
|---|--------------|--------------------|
| 2008-09 Graduation Rate | 48% | 62% |
| Four-Class Average | 44% | 60% |
| Student-Athlete Graduation Success Rate | | 74% |

1. Graduation-Rates Data

a. All Students

| | Freshman Rate | | | | Freshman Rate | | | | Freshman Rate | | | |
|--------------|---------------|-----|---------|----|---------------|-----|---------|----|---------------|-----|---------|----|
| | Men | | | | Women | | | | Total | | | |
| | 2008-09 | | 4-Class | | 2008-09 | | 4-Class | | 2008-09 | | 4-Class | |
| | N | % | N | % | N | % | N | % | N | % | N | % |
| Am. Ind./AN | 6 | 0 | 47 | 17 | 9 | 33 | 66 | 30 | 15 | 20 | 113 | 25 |
| Asian | 88 | 51 | 276 | 42 | 85 | 64 | 241 | 48 | 173 | 57 | 517 | 45 |
| Black | 55 | 24 | 312 | 24 | 117 | 26 | 569 | 19 | 172 | 25 | 881 | 21 |
| Hispanic | 46 | 28 | 230 | 28 | 45 | 29 | 265 | 26 | 91 | 29 | 495 | 27 |
| Nat. Haw./PI | *** | *** | 114 | 25 | *** | *** | 138 | 25 | *** | *** | 252 | 25 |
| N-R Alien | 13 | 69 | 56 | 64 | 8 | 63 | 24 | 38 | 21 | 67 | 80 | 56 |
| Two or More | 73 | 48 | 117 | 60 | 107 | 46 | 154 | 52 | 180 | 47 | 271 | 55 |
| Unknown | *** | *** | 94 | 30 | *** | *** | 87 | 30 | *** | *** | 181 | 30 |
| White | 1312 | 48 | 6133 | 45 | 1383 | 52 | 6389 | 48 | 2695 | 50 | 12522 | 47 |
| Total | 1612 | 47 | 7379 | 43 | 1767 | 49 | 7933 | 44 | 3379 | 48 | 15312 | 44 |

b. Student-Athletes

| | Freshman Rate | | | | | | Freshman Rate | | | | | | Freshman Rate | | | | | |
|--------------|---------------|-----|---------|-----|-----|-----|---------------|-----|---------|-----|-----|-----|---------------|-----|---------|-----|-----|-----|
| | Men | | | | | | Women | | | | | | Total | | | | | |
| | 2008-09 | | 4-Class | | GSR | | 2008-09 | | 4-Class | | GSR | | 2008-09 | | 4-Class | | GSR | |
| | N | % | N | % | N | % | N | % | N | % | N | % | N | % | N | % | N | % |
| Am. Ind./AN | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** |
| Asian | 0 | - | 0 | - | 0 | - | 0 | - | 0 | - | 0 | - | 0 | - | 0 | - | 0 | - |
| Black | *** | *** | 12 | 17 | 9 | 22 | *** | *** | 13 | 62 | 15 | 67 | *** | *** | 25 | 40 | 24 | 50 |
| Hispanic | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** |
| Nat. Haw./PI | 0 | - | 0 | - | 0 | - | 0 | - | 0 | - | 0 | - | 0 | - | 0 | - | 0 | - |
| N-R Alien | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** |
| Two or More | 0 | - | 0 | - | 0 | - | 0 | - | 0 | - | 0 | - | 0 | - | 0 | - | 0 | - |
| Unknown | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** |
| White | 33 | 61 | 74 | 58 | 75 | 68 | 26 | 65 | 96 | 69 | 79 | 86 | 59 | 63 | 170 | 64 | 154 | 77 |
| Total | 37 | 57 | 95 | 51 | 91 | 63 | 29 | 69 | 114 | 68 | 98 | 84 | 66 | 62 | 209 | 60 | 189 | 74 |

c. Student-Athletes by Sport Category

| Baseball | | | | Men's Basketball | | | | Men's CC/Track | | | |
|---------------------------|---------|------|------|-------------------------|---------|-------|-------|-----------------------|---------|-------|-------|
| Freshman Rate | | | | Freshman Rate | | | | Freshman Rate | | | |
| 2008-09 | 4-Class | GSR | | 2008-09 | 4-Class | GSR | | 2008-09 | 4-Class | GSR | |
| Am. Ind./AN | - | - | - | Am. Ind./AN | - | - | - | Am. Ind./AN | 100-a | 100-a | 100-a |
| Asian | - | - | - | Asian | - | - | - | Asian | - | - | - |
| Black | - | - | - | Black | - | 0-b | 0-a | Black | 0-a | 0-a | 0-a |
| Hispanic | - | - | - | Hispanic | - | - | - | Hispanic | - | - | - |
| Nat. Haw./PI | - | - | - | Nat. Haw./PI | - | - | - | Nat. Haw./PI | - | - | - |
| N-R Alien | - | - | - | N-R Alien | - | 100-a | 100-a | N-R Alien | - | - | - |
| Two or More | - | - | - | Two or More | - | - | - | Two or More | - | - | - |
| Unknown | - | - | - | Unknown | - | - | - | Unknown | 0-a | 0-a | 0-a |
| White | 40-a | 73-c | 67-d | White | 67-a | 50-a | 50-b | White | 75-b | 55-e | 60-d |
| Total | 40-a | 73-c | 67-d | Total | 67-a | 27-c | 38-c | Total | 64-c | 48-e | 57-e |
| Football | | | | Men's Other | | | | | | | |
| Freshman Rate | | | | Freshman Rate | | | | | | | |
| 2008-09 | 4-Class | GSR | | 2008-09 | 4-Class | GSR | | | | | |
| | | | | Am. Ind./AN | - | - | - | | | | |
| | | | | Asian | - | - | - | | | | |
| | | | | Black | 0-a | 50-a | 67-a | | | | |
| | | | | Hispanic | - | 0-a | - | | | | |
| | | | | Nat. Haw./PI | - | - | - | | | | |
| | | | | N-R Alien | - | 100-a | 100-a | | | | |
| | | | | Two or More | - | - | - | | | | |
| | | | | Unknown | - | 0-a | 0-a | | | | |
| | | | | White | 59-d | 57-e | 77-e | | | | |
| | | | | Total | 56-d | 52-e | 73-e | | | | |
| Women's Basketball | | | | Women's CC/Track | | | | Women's Other | | | |
| Freshman Rate | | | | Freshman Rate | | | | Freshman Rate | | | |
| 2008-09 | 4-Class | GSR | | 2008-09 | 4-Class | GSR | | 2008-09 | 4-Class | GSR | |
| Am. Ind./AN | - | - | - | Am. Ind./AN | - | - | - | Am. Ind./AN | - | 0-a | - |
| Asian | - | - | - | Asian | - | - | - | Asian | - | - | - |
| Black | - | 67-b | 71-b | Black | 100-a | 50-b | 57-b | Black | - | 100-a | 100-a |
| Hispanic | - | - | - | Hispanic | - | - | - | Hispanic | 100-a | 100-a | 100-a |
| Nat. Haw./PI | - | - | - | Nat. Haw./PI | - | - | - | Nat. Haw./PI | - | - | - |
| N-R Alien | - | - | - | N-R Alien | - | - | 100-a | N-R Alien | 100-a | 100-a | 100-a |
| Two or More | - | - | - | Two or More | - | - | - | Two or More | - | - | - |
| Unknown | - | - | - | Unknown | - | - | - | Unknown | - | 50-a | 100-a |
| White | 100-a | 63-b | 75-b | White | 57-b | 70-d | 88-d | White | 65-d | 69-e | 87-e |
| Total | 100-a | 64-c | 73-c | Total | 63-b | 65-e | 80-e | Total | 68-d | 69-e | 88-e |

Values for N (a. 1-5, b. 6-10, c. 11-15, d. 16-20, e. greater than 20)

2. Undergraduate-Enrollment Data (All full-time students enrolled Fall)

| a. All Students | Men N | Women N | Total N | b. Student-athletes | Men N | Women N | Total N |
|-----------------|----------|------------|------------|---------------------|----------|------------|------------|
| Am. Ind./AN | 44 | 61 | 105 | Am. Ind./AN | 0 | 0 | 0 |
| Asian | 620 | 563 | 1183 | Asian | 0 | 0 | 0 |
| Black | 735 | 1085 | 1820 | Black | 9 | 16 | 25 |
| Hispanic | 306 | 333 | 639 | Hispanic | 0 | 0 | 0 |
| Nat. Haw./PI | 8 | 8 | 16 | Nat. Haw./PI | 0 | 0 | 0 |
| N-R Alien | 528 | 274 | 802 | N-R Alien | 0 | 0 | 0 |
| Two or More | 929 | 1198 | 2127 | Two or More | 0 | 0 | 0 |
| Unknown | 20 | 19 | 39 | Unknown | 13 | 10 | 23 |
| White | 7510 | 7695 | 15205 | White | 109 | 116 | 225 |
| Total | 10700 | 11236 | 21936 | Total | 131 | 142 | 273 |

c. Student-Athletes # By Sports Category

| Men | Basketball | Baseball | CC/Track | Football | Other |
|--------------|------------|----------|----------|----------|-------|
| Am. Ind./AN | 0 | 0 | 0 | 0 | 0 |
| Asian | 0 | 0 | 0 | 0 | 0 |
| Black | 5 | 0 | 4 | 0 | 0 |
| Hispanic | 0 | 0 | 0 | 0 | 0 |
| Nat. Haw./PI | 0 | 0 | 0 | 0 | 0 |
| N-R Alien | 0 | 0 | 0 | 0 | 0 |
| Two or More | 0 | 0 | 0 | 0 | 0 |
| Unknown | 0 | 2 | 3 | 0 | 8 |
| White | 8 | 26 | 31 | 0 | 44 |
| Total | 13 | 28 | 38 | 0 | 52 |

| Women | Basketball | CC/Track | Other |
|--------------|------------|----------|-------|
| Am. Ind./AN | 0 | 0 | 0 |
| Asian | 0 | 0 | 0 |
| Black | 4 | 9 | 3 |
| Hispanic | 0 | 0 | 0 |
| Nat. Haw./PI | 0 | 0 | 0 |
| N-R Alien | 0 | 0 | 0 |
| Two or More | 0 | 0 | 0 |
| Unknown | 1 | 4 | 5 |
| White | 8 | 29 | 79 |
| Total | 13 | 42 | 87 |

#Only student-athletes receiving athletics aid are included in this report.

Graduation Success Rate Report

2004 - 2007 Cohorts: University of Wisconsin, Milwaukee

Men's Sports

| Sport | GSR | Fed Rate |
|--------------------------------|-----|----------|
| Baseball | 70 | 88 |
| Basketball | 50 | 22 |
| CC/Track | 63 | 50 |
| Fencing | - | - |
| Football | - | - |
| Golf | - | - |
| Gymnastics | - | - |
| Ice Hockey | - | - |
| Lacrosse | - | - |
| Mixed Rifle | - | - |
| Skiing | - | - |
| Soccer | 75 | 47 |
| Swimming | 63 | 53 |
| Tennis | - | - |
| Volleyball | - | - |
| Water Polo | - | - |
| Wrestling | - | - |
| Men's Non-NCAA Sponsor. Sports | - | - |

Women's Sports

| Sport | GSR | Fed Rate |
|----------------------------------|-----|----------|
| Basketball | 69 | 58 |
| Bowling | - | - |
| CC/Track | 86 | 73 |
| Crew/Rowing | - | - |
| Fencing | - | - |
| Field Hockey | - | - |
| Golf | - | - |
| Gymnastics | - | - |
| W. Ice Hockey | - | - |
| Lacrosse | - | - |
| Skiing | - | - |
| Soccer | 83 | 63 |
| Softball | - | - |
| Swimming | 83 | 70 |
| Tennis | 100 | 70 |
| Volleyball | 89 | 89 |
| Water Polo | - | - |
| Women's Non-NCAA Sponsor. Sports | - | - |

University of Wisconsin, Milwaukee

| FRESHMAN-COHORT GRADUATION RATES | All Students | Student-Athletes # |
|---|--------------|--------------------|
| 2007-08 Graduation Rate | 46% | 56% |
| Four-Class Average | 43% | 62% |
| Student-Athlete Graduation Success Rate | | 76% |

1. Graduation-Rates Data

a. All Students

| | Freshman Rate | | | | Freshman Rate | | | | Freshman Rate | | | |
|--------------|---------------|----|---------|----|---------------|----|---------|----|---------------|----|---------|----|
| | Men | | | | Women | | | | Total | | | |
| | 2007-08 | | 4-Class | | 2007-08 | | 4-Class | | 2007-08 | | 4-Class | |
| | N | % | N | % | N | % | N | % | N | % | N | % |
| Am. Ind./AN | 8 | 25 | 51 | 18 | 18 | 11 | 78 | 31 | 26 | 15 | 129 | 26 |
| Asian | 106 | 37 | 280 | 34 | 77 | 43 | 257 | 35 | 183 | 39 | 537 | 34 |
| Black | 71 | 27 | 360 | 21 | 103 | 24 | 610 | 16 | 174 | 25 | 970 | 18 |
| Hispanic | 49 | 29 | 257 | 28 | 56 | 25 | 277 | 26 | 105 | 27 | 534 | 27 |
| Nat. Haw./PI | 0 | - | 114 | 25 | 0 | - | 137 | 25 | 0 | - | 251 | 25 |
| N-R Alien | 24 | 71 | 49 | 65 | 8 | 50 | 18 | 22 | 32 | 66 | 67 | 54 |
| Two or More | 44 | 80 | 44 | 80 | 47 | 66 | 47 | 66 | 91 | 73 | 91 | 73 |
| Unknown | 12 | 0 | 114 | 37 | 15 | 0 | 109 | 40 | 27 | 0 | 223 | 39 |
| White | 1533 | 47 | 6242 | 44 | 1535 | 49 | 6661 | 47 | 3068 | 48 | 12903 | 46 |
| Total | 1847 | 46 | 7511 | 42 | 1859 | 46 | 8194 | 43 | 3706 | 46 | 15705 | 43 |

b. Student-Athletes

| | Freshman Rate | | | | | | Freshman Rate | | | | | | Freshman Rate | | | | | |
|--------------|---------------|-----|---------|-----|-----|-----|---------------|-----|---------|-----|-----|-----|---------------|-----|---------|-----|-----|-----|
| | Men | | | | | | Women | | | | | | Total | | | | | |
| | 2007-08 | | 4-Class | | GSR | | 2007-08 | | 4-Class | | GSR | | 2007-08 | | 4-Class | | GSR | |
| | N | % | N | % | N | % | N | % | N | % | N | % | N | % | N | % | N | % |
| Am. Ind./AN | 0 | - | *** | *** | 0 | - | 0 | - | *** | *** | 0 | - | 0 | - | *** | *** | 0 | - |
| Asian | 0 | - | 0 | - | 0 | - | 0 | - | 0 | - | 0 | - | 0 | - | 0 | - | 0 | - |
| Black | 5 | 0 | 12 | 33 | 8 | 50 | 3 | 33 | 12 | 58 | 14 | 64 | 8 | 13 | 24 | 46 | 22 | 59 |
| Hispanic | *** | *** | *** | *** | 0 | - | *** | *** | *** | *** | 0 | - | *** | *** | *** | *** | 0 | - |
| Nat. Haw./PI | 0 | - | 0 | - | 0 | - | 0 | - | 0 | - | 0 | - | 0 | - | 0 | - | 0 | - |
| N-R Alien | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** |
| Two or More | 0 | - | 0 | - | 0 | - | 0 | - | 0 | - | 0 | - | 0 | - | 0 | - | 0 | - |
| Unknown | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** |
| White | 17 | 59 | 56 | 57 | 65 | 68 | 29 | 72 | 95 | 72 | 82 | 87 | 46 | 67 | 151 | 66 | 147 | 78 |
| Total | 27 | 41 | 75 | 51 | 78 | 65 | 34 | 68 | 110 | 69 | 98 | 84 | 61 | 56 | 185 | 62 | 176 | 76 |

c. Student-Athletes by Sport Category

| Baseball | | | | Men's Basketball | | | | Men's CC/Track | | | |
|---------------------------|---------|------|------|-------------------------|---------|-------|-------|-----------------------|---------|-------|-------|
| Freshman Rate | | | | Freshman Rate | | | | Freshman Rate | | | |
| 2007-08 | 4-Class | GSR | | 2007-08 | 4-Class | GSR | | 2007-08 | 4-Class | GSR | |
| Am. Ind./AN | - | - | - | Am. Ind./AN | - | - | - | Am. Ind./AN | - | - | - |
| Asian | - | - | - | Asian | - | - | - | Asian | - | - | - |
| Black | - | - | - | Black | 0-a | 14-b | 25-a | Black | - | 50-a | 100-a |
| Hispanic | - | - | - | Hispanic | - | - | - | Hispanic | - | - | - |
| Nat. Haw./PI | - | - | - | Nat. Haw./PI | - | - | - | Nat. Haw./PI | - | - | - |
| N-R Alien | - | - | - | N-R Alien | - | 100-a | 100-a | N-R Alien | - | - | - |
| Two or More | - | - | - | Two or More | - | - | - | Two or More | - | - | - |
| Unknown | - | - | - | Unknown | - | - | - | Unknown | 0-a | 0-a | - |
| White | 100-a | 88-b | 70-d | White | - | 0-a | 50-a | White | 40-a | 52-e | 61-d |
| Total | 100-a | 88-b | 70-d | Total | 0-a | 22-b | 50-b | Total | 33-b | 50-e | 63-d |
| Football | | | | Men's Other | | | | | | | |
| Freshman Rate | | | | Freshman Rate | | | | | | | |
| 2007-08 | 4-Class | GSR | | 2007-08 | 4-Class | GSR | | | | | |
| | | | | Am. Ind./AN | - | - | - | | | | |
| | | | | Asian | - | - | - | | | | |
| | | | | Black | 0-a | 67-a | 67-a | | | | |
| | | | | Hispanic | 0-a | 0-a | - | | | | |
| | | | | Nat. Haw./PI | - | - | - | | | | |
| | | | | N-R Alien | 100-a | 100-a | 100-a | | | | |
| | | | | Two or More | - | - | - | | | | |
| | | | | Unknown | 0-a | 0-a | 0-a | | | | |
| | | | | White | 60-b | 54-e | 72-e | | | | |
| | | | | Total | 47-c | 50-e | 68-e | | | | |
| Women's Basketball | | | | Women's CC/Track | | | | Women's Other | | | |
| Freshman Rate | | | | Freshman Rate | | | | Freshman Rate | | | |
| 2007-08 | 4-Class | GSR | | 2007-08 | 4-Class | GSR | | 2007-08 | 4-Class | GSR | |
| Am. Ind./AN | - | - | - | Am. Ind./AN | - | - | - | Am. Ind./AN | - | 0-a | - |
| Asian | - | - | - | Asian | - | - | - | Asian | - | - | - |
| Black | 0-a | 67-b | 71-b | Black | 100-a | 40-a | 50-b | Black | - | 100-a | 100-a |
| Hispanic | - | - | - | Hispanic | - | - | - | Hispanic | - | - | - |
| Nat. Haw./PI | - | - | - | Nat. Haw./PI | - | - | - | Nat. Haw./PI | - | - | - |
| N-R Alien | - | - | - | N-R Alien | - | - | 100-a | N-R Alien | - | - | - |
| Two or More | - | - | - | Two or More | - | - | - | Two or More | - | - | - |
| Unknown | - | - | - | Unknown | - | - | - | Unknown | 50-a | 50-a | 100-a |
| White | 100-a | 50-b | 67-b | White | 60-a | 82-d | 100-c | White | 73-e | 71-e | 85-e |
| Total | 50-a | 58-c | 69-c | Total | 67-b | 73-e | 86-e | Total | 71-e | 70-e | 86-e |

Values for N (a. 1-5, b. 6-10, c. 11-15, d. 16-20, e. greater than 20)

2. Undergraduate-Enrollment Data (All full-time students enrolled Fall)

| a. All Students | Men N | Women N | Total N | b. Student-athletes | Men N | Women N | Total N |
|-----------------|----------|------------|------------|---------------------|----------|------------|------------|
| Am. Ind./AN | 40 | 6 | 46 | Am. Ind./AN | 0 | 1 | 1 |
| Asian | 644 | 564 | 1208 | Asian | 0 | 0 | 0 |
| Black | 692 | 1076 | 1768 | Black | 10 | 17 | 27 |
| Hispanic | 315 | 324 | 639 | Hispanic | 0 | 0 | 0 |
| Nat. Haw./PI | 8 | 6 | 14 | Nat. Haw./PI | 0 | 0 | 0 |
| N-R Alien | 438 | 217 | 655 | N-R Alien | 0 | 0 | 0 |
| Two or More | 782 | 1022 | 1804 | Two or More | 0 | 0 | 0 |
| Unknown | 17 | 22 | 39 | Unknown | 30 | 16 | 46 |
| White | 7940 | 7940 | 15880 | White | 93 | 99 | 192 |
| Total | 10876 | 11177 | 22053 | Total | 133 | 133 | 266 |

c. Student-Athletes # By Sports Category

| Men | Basketball | Baseball | CC/Track | Football | Other |
|--------------|------------|----------|----------|----------|-------|
| Am. Ind./AN | 0 | 0 | 0 | 0 | 0 |
| Asian | 0 | 0 | 0 | 0 | 0 |
| Black | 4 | 0 | 6 | 0 | 0 |
| Hispanic | 0 | 0 | 0 | 0 | 0 |
| Nat. Haw./PI | 0 | 0 | 0 | 0 | 0 |
| N-R Alien | 0 | 0 | 0 | 0 | 0 |
| Two or More | 0 | 0 | 0 | 0 | 0 |
| Unknown | 4 | 5 | 4 | 0 | 17 |
| White | 5 | 26 | 24 | 0 | 38 |
| Total | 13 | 31 | 34 | 0 | 55 |

| Women | Basketball | CC/Track | Other |
|--------------|------------|----------|-------|
| Am. Ind./AN | 1 | 0 | 0 |
| Asian | 0 | 0 | 0 |
| Black | 7 | 8 | 2 |
| Hispanic | 0 | 0 | 0 |
| Nat. Haw./PI | 0 | 0 | 0 |
| N-R Alien | 0 | 0 | 0 |
| Two or More | 0 | 0 | 0 |
| Unknown | 2 | 7 | 7 |
| White | 5 | 20 | 74 |
| Total | 15 | 35 | 83 |

#Only student-athletes receiving athletics aid are included in this report.

ATTACHMENT E

Academic Progress Rate Comparision Horizon League 2014-2015

| | Cleveland | | | | | | Wright | Youngstown | Northern | | | DI | Horizon |
|--|-----------|---------|-----------|---------|-----|-------|--------|------------|----------|-----------|----------|---------|------------|
| | State | Detroit | Green Bay | Oakland | UIC | Valpo | State | State | Kentucky | Milwaukee | UWM Rank | Average | League Ave |
| Baseball | NA | NA | NA | 984 | 972 | 985 | 989 | 965 | 953 | 977 | 4/7 | 970 | 975 |
| Men's Basketball | 990 | 960 | 950 | 953 | 945 | 980 | 960 | 980 | 980 | 962 | 5/10 | 964 | 966 |
| Men's Cross Country | NA | 1000 | 1000 | 986 | 953 | 978 | 977 | 977 | 974 | 995 | 3/9 | 977 | 982 |
| Men's Soccer | 987 | 979 | 930 | 979 | 977 | 973 | 966 | NA | 977 | 954 | 8/9 | 974 | 969 |
| Men's Swimming | 987 | NA | 967 | 984 | 972 | 982 | 946 | NA | NA | 960 | 6/7 | 980 | 968 |
| Men's Track | NA | 985 | NA | 985 | 960 | 938 | NA | 939 | 976 | 991 | 1/7 | 969 | 968 |
| | | | | | | | | | | | | | |
| Women's Basketball | 985 | 977 | 990 | 990 | 960 | 990 | 940 | 972 | 1000 | 968 | 8/10 | 978 | 977 |
| Women's Cross Country | 1000 | 987 | 1000 | 989 | 992 | 1000 | 988 | 978 | 967 | 987 | T-7/10 | 986 | 989 |
| Women's Soccer | 986 | 993 | 989 | 986 | 988 | 989 | 964 | 980 | 984 | 996 | 1/10 | 984 | 986 |
| Women's Swimming | 989 | NA | 993 | 994 | 993 | 990 | 976 | 986 | NA | 976 | T-7/8 | 990 | 987 |
| Women's Tennis | 1000 | 980 | 956 | 989 | 973 | 1000 | 982 | 979 | 987 | 978 | 8/10 | 985 | 982 |
| Women's Track | 1000 | 984 | NA | 989 | 993 | 990 | 964 | 947 | 939 | 978 | 6/9 | 981 | 976 |
| Volleyball | 989 | NA | 990 | 990 | 995 | 995 | 981 | 990 | 985 | 995 | T1/9 | 984 | 990 |
| | | | | | | | | | | | | | |
| All Academic Progress Rate Reports for all NCAA institutions can be found online at: https://web1.ncaa.org/maps/aprRelease.jsp | | | | | | | | | | | | | |

Graduation Success Rate Comparision

Horizon League 2015-2016

| | Cleveland | | | | | | Wright | Youngstown | | | Horizon | DI (Non- | DI |
|--|-----------|---------|-----------|---------|-----|-------|--------|------------|-----------|----------|---------|-----------|-----------|
| | State | Detroit | Green Bay | Oakland | UIC | Valpo | State | State | Milwaukee | UWM Rank | League | Football) | (Overall) |
| | | | | | | | | | | | Average | Average | Average |
| Baseball | NA | NA | NA | 96 | 84 | 97 | 96 | 79 | 53 | 6/6 | 84 | 79 | 79 |
| Men's Basketball | 100 | 67 | 71 | 90 | 56 | 77 | 83 | 80 | 36 | 9/9 | 73 | 77 | 76 |
| Men's Soccer | 81 | 82 | 85 | 77 | 67 | 90 | 93 | NA | 56 | 8/8 | 79 | 80 | 83 |
| Men's Swimming | 94 | NA | 95 | 79 | 89 | 53 | 84 | NA | 72 | 6/7 | 81 | 85 | 87 |
| Men's CC/Track | NA | 95 | 100* | 68 | 60 | 67 | 88* | 74 | 64 | 7/8 | 77 | 81 | 80 |
| | | | | | | | | | | | | | |
| Women's Basketball | 82 | 91 | 100 | 100 | 88 | 94 | 82 | 82 | 83 | 6/9 | 89 | 87 | 87 |
| Women's Soccer | 94 | 82 | 93 | 81 | NA | 79 | 84 | 80 | 82 | T-4/8 | 84 | 91 | 91 |
| Women's Swimming | 84 | NA | 100 | 90 | 89 | 96 | 89 | 86 | 81 | 8/8 | 89 | 91 | 93 |
| Women's Tennis | 100 | 75 | 100 | 100 | 83 | 75 | 100 | 83 | 100 | T-1/9 | 91 | 93 | 93 |
| Women's CC/Track | 100* | 83 | 100* | 72 | 92 | 100 | 93 | 88 | 81 | 8/9 | 90 | 87 | 88 |
| Volleyball | 100 | NA | 100 | 77 | 100 | 100 | 86 | 100 | 80 | 7/8 | 93 | 92 | 92 |
| | | | | | | | | | | | | | |
| Overall GSR | 86 | 82 | 96 | 80 | 82 | 82 | 88 | 79 | 71 | 9/9 | 83 | 86 | 84 |
| Overall Fed Rate | 62 | 64 | 68 | 60 | 72 | 68 | 71 | 61 | 56 | 9/9 | 65 | 66 | 66 |
| Student Body Fed Rate | 36 | 58 | 49 | 44 | 58 | 70 | 40 | 32 | 45 | 5/9 | | | |
| | | | | | | | | | | | | | |
| *Cross Country Only | | | | | | | | | | | | | |
| | | | | | | | | | | | | | |
| | | | | | | | | | | | | | |
| Northern Kentucky does not have a GSR due to transition to DI | | | | | | | | | | | | | |
| | | | | | | | | | | | | | |
| | | | | | | | | | | | | | |
| All Graduation Rate Success Reports for all NCAA institutions can be accessed online at: http://web1.ncaa.org/GSRSearch/exec/homePage | | | | | | | | | | | | | |

ATTACHMENT F



Cleveland State
University

University of
Detroit Mercy

University of
Illinois at Chicago

Oakland
University

Valparaiso
University

University of
Wisconsin-Green Bay

University of
Wisconsin-Milwaukee

Wright State
University

Youngstown State
University

Amanda Braun
Director of Athletics
University of Wisconsin-Milwaukee
3409 Downer Ave.
Milwaukee, WI 53211

Dear Amanda:

Attached please find a copy of the 2014 Horizon League Financial Aid Review for Milwaukee. One of the biggest strengths of Milwaukee in the area of financial aid is the current system's multiple layers of checks and balances between the Financial Aid office and athletics. In addition, there is an immense amount of cooperation and communication between the Financial Aid Coordinator and the Athletic Department.

You will note that I have included several thoughts below about Milwaukee's financial aid process and procedure, especially the current strength of institutional control throughout the financial aid process. Specifically, this control is evidenced by the fact that the Financial Aid office is the department inputting and interpreting awards. One suggestion after being on campus for the review is to consider a switch to a uniform and consistent financial aid awarding system across all sports that will ease interpretation and entry of awards. I want to again remind you that all suggestions are voluntary, and are recommended actions that I believe would help improve or sustain your compliance department in the area of financial aid.

Please feel free to contact me with any questions or concerns you may have. I also want to thank you and your entire staff for your hospitality during the review. Everyone was well prepared, and Jessica and Jill did a great job ensuring that Kyle and I had everything we needed for the review.

Sincerely,

Christine Halstead
Assistant Commissioner—Governance/Compliance

Cc:

Jessica Kumke
Jill Jurena

2014 Horizon League Financial Aid Review
January 4-5, 2014
FINAL Report
University of Wisconsin-Milwaukee

C. Financial Aid Monitoring

Current Strengths:

1. The Assistant Athletic Director for Compliance and the Financial Aid Coordinator communicate regularly regarding the aid received by student-athletes, changes in student-athletes' financial aid, and to inform each other of/monitor outside aid a student-athlete receives.
2. The Assistant Athletic Director for Compliance is the athletics liaison with the Financial Aid office. She communicates and works directly with Financial Aid Coordinator.
3. Athletics responsibilities are listed in the Financial Aid Coordinator's job description. The Financial Aid Coordinator is the sole designated person coordinating the awarding of financial aid to student-athletes.
4. The Financial Aid Coordinator enters student-athlete aid packages into the institutions financial aid module and PAWS. The Assistant Athletic Director for Compliance inputs the student-athlete financial aid information directly onto the CAi software. The Financial Aid office signs off on the NCAA squad lists after comparing the numbers in CAi to the institutional system. This system ensures that someone outside of athletics is entering financial aid data and double checking the data stored in CAi.
5. The Financial Aid office prints a spreadsheet including all financial aid information that has been entered into the university system or CAi software and compliance distributes the sheet to each coach. This task serves as another way to check the amount of athletics aid available for student-athletes.
6. All outside aid is held in the system and the Financial Aid Coordinator approves the outside aid before it is applied.
7. Student-athletes are flagged in the system, which ensures that no money will accidentally be given to a student-athlete without prior knowledge of the office of Financial Aid. Checks will not be distributed without the approval from the financial aid office and eligibility verification by compliance.
8. The institution has appropriate hearing procedures in place for dealing with issues arising out of financial aid disputes (i.e. nonrenewals, cancellations or gradations of aid), which are laid out in a recently updated student-athlete handbook.

-
9. The Assistant Athletic Director for Compliance and the Financial Aid office have a good procedure in place for issuing nonrenewal and renewal letters. Nonrenewal letters are sent directly from the Financial Aid Department by the Executive Director for Financial Aid to the student-athletes.
 10. The Financial Aid office has set annual procedures to determine the institution's cost of attendance and value of a full grant-in-aid.
 11. Overall, the current system that is in place is very sound and keeps the institution within NCAA rules and regulations. Since the last Horizon League audit in 2011, the current process has not seen much change, as it has been solidly in place, the Financial Aid Coordinator is comfortable with athletics after working with the department for about twenty years, and multiple layers of checks/balances are evident.

Suggestion for Improvement:

1. It would be beneficial for the Financial Aid Coordinator to attend the NCAA Compliance Rules Seminars. It is important for the Financial Aid Coordinator to stay educated and knowledgeable about NCAA rules. The NCAA Compliance Rules Seminars offers a "financial aid track" that is a helpful learning opportunity.
2. We discussed a question regarding possibly changing the current financial aid process. The potential new process would require athletics to initially enter financial aid information for each student-athlete into the institution's financial aid module. The reason behind this question was regarding automation of entering data in a spreadsheet. In our opinion, the institution still needs to ensure that someone outside of athletics is inputting and interpreting final financial aid amounts. This suggestion is based on the NCAA principle that there has to be institutional controls set for financial aid awarding. Athletics should not be the sole department entering and interpreting financial aid awards for student-athletes, as they are not experts or well-versed in the nuances of awarding.
3. The athletics department should move all sports to a percentage based system of financial aid, which would allow for uniformity, easier NCAA limitations tracking and simpler conversions to dollar amounts when data is initially entered into any system.

Rick Costello
Director of Athletics
University of Wisconsin-Milwaukee
3409 Downer Ave.
Milwaukee, WI 53211

Dear Rick:

Attached please find a copy of the 2011 Horizon League Compliance Review for Milwaukee. One of the biggest strengths of Milwaukee in the area of compliance is the commitment to rules compliance by all institutional personnel. The Chancellor puts a strong emphasis on adherence to NCAA rules that is reflected in many areas, including the cooperation between non-athletic departments, such as Admissions and Financial Aid, and the Athletic Department. Athletic Administrators and coaches have a good understanding of the importance of rules compliance and appear committed to staying within the NCAA rules.

You will note that I have indicated several suggestions for improvement. I want to again remind you that all suggestions are voluntary, and are recommended actions that I believe would help improve your compliance department. The two areas that are the most important to address right now are the following: The reorganization of the equipment issuance and retrieval process and supplying both the compliance and academic departments with some help, whether hired or in the form of interns.

Please feel free to contact me with any questions or concerns you may have. I also want to thank you and your entire staff for your hospitality during the review. Everyone was well prepared, and Kevin did a great job ensuring that Stephanie and I had everything we needed for the review.

Sincerely,

Christine Halstead
Director of Student-Athlete Affairs

Cc:
Kevin Fitzgerald

2011 Horizon League Compliance Review
February 15-17, 2011
Final Report
University of Wisconsin-Milwaukee

A. Governance and Institutional Control

Current Strengths:

1. The Director of Athletics reports directly to the Chancellor and is part of his cabinet. The Chancellor meets twice a month with the Director of Athletics and is kept apprised of all important matters regarding NCAA rules compliance.
2. The Chancellor is notified of all issues that arise in the area of NCAA rules compliance in a timely manner and is involved in all major personnel decisions.
3. The Faculty Athletic Representative communicates regularly with the Director of Athletics, the Senior Woman Administrator, and the Assistant Athletic Director for Compliance regarding NCAA rules compliance, including, but not limited to: secondary violations, academic issues and the review of policies and procedures.
4. There is an established Athletics Board which meets regularly to advise on issues related to athletics and student welfare. There is a good mix of faculty, students, and administrators on the board. The minutes are open to the public.
5. The Chancellor is involved with the hiring/firing of high profile coaches.
6. There are established procedures for the reporting and investigation of alleged rules violations, which have been followed in the most recent violations. The institution has also reported an appropriate number of secondary violations in past years. The Chancellor is kept apprised of all violations.
7. Letters of appointment and coaches' contracts reference compliance with NCAA rules, and all performance evaluations contain an evaluation of their adherence to NCAA rules. Further, every new athletic staff member meets with the Assistant Athletics Director for Compliance to review NCAA compliance expectations and signs an agreement to comply with NCAA rules.

-
8. The Chancellor is kept up to date on APR and academic success.
 9. The Chancellor approves and oversees the athletic budget.
 10. The athletic department receives outstanding support from the financial aid office and the enrollment services office, and the liaisons have established an excellent working relationship with the Assistant Athletic Director for Compliance.
 11. An outside audit firm reviews the athletic department on a periodic basis.
 12. The Athletic Department has an appropriate procedure in place to ensure any APR penalties are imposed if necessary.
 13. The institution has a missed classed policy that was approved by the faculty senate.
 14. The Faculty Athletic Representative has a written job description. The FAR is appointed by the chancellor and has bi-annual meeting. An annual performance review is conducted by the Athletic Board Chair and the Athletic Director who will communicate the results to the Chancellor.

Suggestions for Improvement:

1. The NCAA is requiring more monitoring in compliance than ever before. UWM has a relatively small compliance staff and may not be able to adequately monitor everything that the NCAA requires. It would be highly beneficial to the athletic department to hire an additional staff member in the area of compliance to help further monitor all sports.
2. The Faculty Athletic Representative should attend SAAC meetings and receive minutes from all meetings. The FAR should also help conduct some student-athlete exit interviews at the end of the year.

B. Eligibility Certification

Current Strengths:

1. The institution has an excellent system for verifying the eligibility status of student-athletes. The Assistant Athletic Director for Compliance and the Enrollment Services Liaison certify the eligibility of each student-athlete.
2. The Enrollment Services liaison receives a copy of the NCAA Eligibility Center Report for each incoming student-athlete and verifies their initial and continuing eligibility.

3. The academic advisor meets with student-athletes prior to registering for classes for each semester.
4. Student-Athletes are flagged in the system and there is a block in place to ensure student-athletes cannot drop below full-time. Student-athletes can't drop below 12 credits. Student-athletes are also given priority registration to enable them to schedule classes that will not conflict with competition or practice.
5. The Admissions office does not receive undue pressure from any athletics department staff members regarding the admissions status of prospective student-athletes.
6. The Admissions Office evaluates transcripts of prospective transfers to determine how many hours they have earned in conjunction with the academic advisors through student services and if necessary, the International Office, and then they are able to advise transfers of how many credits they need to take prior to transfer.
7. For eligibility purposes, the Enrollment Services Liaison inputs the student-athlete information into CAI.
8. The Enrollment Services Liaison attends the NCAA Compliance Rules Seminars. It is important for them to stay educated about NCAA rules and it is a good learning opportunity.
9. The Enrollment Services Liaison reviews and oversees the APR. This is a new procedure implemented from the last audit.

Suggestions for Improvement:

1. It would be valuable for the institution to hire an additional staff member in the area of academic advising. This individual can help insure that each athlete is receiving the necessary academic support.
2. Once a prospective student-athlete has signs a National Letter of Intent it would be beneficial they could be flagged as a student-athlete. This will aid compliance admissions liaison and monitor admission status.

C. Financial Aid Monitoring

Current Strengths:

1. The Assistant Athletic Director for Compliance and the Assistant Director of Financial Aid communicate regularly regarding the aid received by student-athletes, and inform each other of any outside aid a student-athlete receives.

-
2. The institution has appropriate hearing procedures in place for dealing with issues arising out of financial aid disputes.
 3. Athletics responsibilities are listed in the Assistant Director of Financial Aid's job description.
 4. Student-athletes are flagged in the system, which ensures that no money will accidentally be given to a student-athlete without prior knowledge of the office of Financial Aid. Checks will not be distributed without the approval from the financial aid office.
 5. The Assistant Athletic Director for Compliance inputs the student-athlete financial aid information directly onto the CAI software and the Financial Aid office signs off on it.
 6. The Financial Aid office creates a spreadsheet with financial aid information from the Assistant Athletic Director for Compliance for each coach.
 7. The Assistant Athletic Director for Compliance and the Financial Aid office have a good procedure in place for issuing nonrenewal and renewal letters. Both letters are sent directly from the Financial Aid Department to the student-athletes.
 8. The Financial Aid department includes an appeals process policy in the student-athlete handbook.

Suggestion for Improvement:

1. It would be beneficial for the Assistant Director of Financial Aid to attend the NCAA Compliance Rules Seminars. It is important for the assistant director of financial aid to stay educated about NCAA rules and it is a good learning opportunity.
2. The Assistant Director for Financial Aid should be reviewing the CAI financial aid information at the beginning of the year.

D. Recruitment Monitoring

Current Strengths:

1. Official visit monitoring is very good. The student hosts are given written education about the do's and don'ts of hosting and are required to fill out a report detailing their activated after the visit.
2. Coaches are required to submit recruiting logs to the compliance office regularly. All coaches interviewed appeared to have a good system for ensuring the calls permitted per week rule was followed.

3. Unofficial visits are monitored and records are kept regarding complimentary admissions provided to prospects and reviewed by the compliance office.
4. The compliance office reviews all recruiting expense paperwork submitted by coaches to ensure compliance with recruiting rules.

Suggestion for Improvement:

1. Text messaging violations have become more prevalent in NCAA as of late and have led to significant penalties. The institution should monitor cell phone text message records.

E. Playing and Practice Season and Outside Competition

Current Strengths:

1. Coaches complete playing and practice season declarations in a timely manner and submit them to the compliance office for approval. Team captains sign the forms as well.
2. All student-athletes interviewed indicated that their coaches stayed within the permissible number of practice hours. Coaches are required to turn in regular logs detailing their CARA hours. In addition, all student-athletes interviewed indicated that summer workouts were not required or recorded by coaches.
3. Basketball student-athletes were informed about summer league through their coaches. They are required to fill out a summer league request form.

Suggestion for Improvement:

1. Per bylaw 3.2.4.14, the FAR should approve the schedule prior to the beginning of each regular academic term for men's basketball in order to monitor missed class time.

F. Rules Education

Current Strengths:

1. There are regular rules education meetings that all coaches and selected staff members are required to attend. The Director of Athletics is very supportive of the Rules Education provided by the compliance office.
2. Rules education is also done via email on topics of interest to the entire athletic department staff.

-
3. The institution provides NCAA rules education to all new employees. Additional information is given regarding their specific area of employment.
 4. New coaches are given additional rules compliance and are educated on NCAA rules and institutional compliance policies. This is a new procedure implemented from the last audit.

G. Amateurism, Extra Benefits, Boosters and Agents

Current Strengths:

1. Boosters are well educated about extra benefits. Educational material is provided on their website along with a booklet provided to boosters, as well as information that is distributed to all donors and to season ticket holders.
2. Student-athletes are well educated about permissible and non-permissible activities related to agents. Student-athletes who were interviewed said that their coaches had talked to them about agents and that they informed their coaches when they received correspondence.
3. Student-athletes are required to sign for all per diem money received during travel.
4. The Assistant Athletic Director for Compliance monitors the amateurism status of all incoming and current student-athletes.
5. The Assistant Athletic Director for Compliance receives travel rosters and reviews travel expense reports.

Suggestions for Improvement:

1. All sports should provide detailed lists of all apparel and equipment to the Assistant Athletic Director for Compliance who should monitor what is reusable or is nonreusable. Also, all sports need to submit a list regarding all equipment they have received to ensure proper monitoring. The institution should place a hold on student-athletes' accounts if required things are not returned.
2. The institution should have a written policy regarding what equipment is reusable.
3. The Assistant Athletic Director for Compliance should give a presentation at the booster luncheon to provide in-person rules education.
4. All memorabilia and donation requests need to go through the Assistant Athletic Director for Compliance to ensure that each entity receiving a donation is acceptable per NCAA rules.

ATTACHMENT G

NCAA Oversight Certification Letter

May 23, 2017

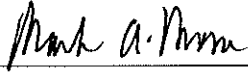
Regina Millner, Board of Regents President
Ray Cross, UW System President
Van Hise Hall
1220 Linden Drive
Madison, WI 53706

Dear Presidents Millner and Cross:

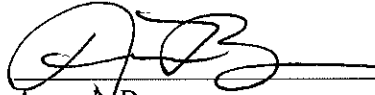
In connection with your oversight of UW-Milwaukee's intercollegiate athletics program, we confirm, to the best of our knowledge and belief, the following:

1. We have self-reported any known or suspected violations of NCAA rules and regulations. A list of reported NCAA violations are listed in the Annual Report submitted on 5/23/17.
2. There are no known or suspected illegal acts or non-compliance with federal, state, or local law by individuals employed by the institution, or individuals who serve as an agent of the institution.
3. There are no investigations/monitoring reviews currently underway by the NCAA, law enforcement officials, or others.
4. We have no knowledge of any allegations of fraud or suspected fraud affecting intercollegiate athletics received in communications from employees, former employees, or others.
5. There have been no modifications to the institution's gender-equity plan from our previous self-study conducted May 1, 2008, and all aspects of the plan have been fully implemented.
6. We have processes in place to ensure background checks are performed on all coaches, assistant coaches, contractors, volunteers, student employees, and other individuals serving as an agent of the institution related to intercollegiate athletics. These processes apply to those involved with offering camps and clinics, and others who participate in activities involving children. Adverse outcomes of background checks are evaluated pursuant to UWM's Criminal Background Check Policy, last updated on February 13, 2013, which is consistent with the Board of Regents Policy 20-19 University of Wisconsin System Criminal Background Check Policy.
7. We understand our fiduciary responsibilities to act in the best interest of the institution even if it conflicts with the immediate interests of the athletic department.
8. The athletic department has not intervened when a student-athlete is being disciplined under regular student conduct rules.

Yours truly,



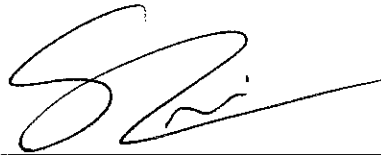
Mark Mone
Chancellor



Amanda Braun
Athletic Director



Jessica Kumke
Assistant AD-Compliance



Cathy Rossi
Deputy Athletic Director