

Board of Regents 1860 Van Hise Hall 1220 Linden Drive Madison, Wisconsin 53706 (608)262-2324

- DATE: June 1, 2015
- TO: Members of the Board of Regents
- FROM: Jane S. Radue, Executive Director and Corporate Secretary \mathcal{TSR}

PUBLIC MEETING NOTICE - corrected Meetings of the University of Wisconsin System Board of Regents UW-Milwaukee Union, 2200 East Kenwood Boulevard, Milwaukee, Wisconsin 53211 on June 4 & 5, 2015

Thursday, June 4, 2015

| 8:15-8:55 a.m. | Audit Committee – Ballroom West, 1 st floor |
|--------------------|---|
| 9:00-10:30 a.m. | Research, Economic Development, and Innovation Committee – Wisconsin Room, 2 nd floor |
| 9:00-10:30 a.m. | Capital Planning and Budget Committee – Ballroom West, 1 st floor |
| 10:45 a.m12:15 p.m | . Education Committee – Wisconsin Room, 2 nd floor |
| 10:45 a.m12:15 p.m | . Business and Finance Committee – Ballroom West, 1 st floor |
| 12:15 p.m1:15 p.m. | Lunch – Ballroom, 1 st floor |
| 1:15 p.m. All | Regents – Wisconsin Room, 2 nd floor |
| 1. | Introduction of newly-appointed Regents |
| 2. | Resolution of Appreciation for UW-Whitewater Chancellor Richard Telfer's service to the UW System |
| 3. | Resolution of Appreciation for Regent Michael Falbo's service on the UW System Board of Regents |
| 4. | Updates and introductions |
| 5. | Host-campus presentation by UW-Milwaukee Chancellor Mark Mone: "UWM: Vital to the Future" |

- 6. Update and Discussion: UW System 2015-17 Biennial Budget Update
- 7. *Presentation and Discussion*: UW-Milwaukee's NCAA Division I Athletics 2015 Annual Report
- 8. Closed session

Move into closed session to: (1) consider personal histories related to the naming of a facility at UW-Milwaukee, as permitted by s. 19.85(1)(f), *Wis. Stats.*, (2) consider a faculty member request for review of a UW-Stout personnel-related decision, as permitted by s. 19.85(1)(f), *Wis. Stats.*; (3) consider student request for review of a UW-Madison decision, as permitted by s. 19.85(1)(a) and (g); (4) confer with legal counsel regarding pending or potential litigation, as permitted by s. 19.85(1)(g), *Wis. Stats.*; and (5) consider annual personnel evaluations, as permitted by s. 19.85(1)(c), Wis. Stats.

Friday, June 5, 2015

9:00 a.m. All Regents – Wisconsin Room, 2nd floor

[See Friday agenda for details.]

The closed session agenda may be considered during any recess in the regular meeting on either Thursday or Friday.

Information about agenda items can be found during the week of the meeting at <u>http://www.uwsa.edu/bor/meetings/</u> or may be obtained during the week of the meeting from Jane Radue, Executive Director, Office of the Board of Regents, 1220 Linden Drive, 1860 Van Hise Hall, Madison, WI 53706, (608)262-2324. The meeting will be webcast at <u>https://www.wisconsin.edu/regents/board-of-regents-video-streaming/</u>on Thursday, June 4, 2015, from 1:15 p.m. until approximately 4:00 p.m. and on Friday, June 5, 2015, from 9:00 a.m. until approximately noon.

UW-MILWAUKEE NCAA DIVISION I ATHLETICS 2015 REPORT

EXECUTIVE SUMMARY

BACKGROUND

Collegiate athletics are high-profile activities that exist for the betterment of the student body, student athletes, and the university. As some of the most visible programs at institutions of higher education, athletics provide valuable experiences for student athletes, opportunities to engage the broader community and a public face for the institution. This visibility, as well as the number of student-athlete participants, the members of the public attending athletic events and the substantial compliance requirements, necessitates a high level of oversight and scrutiny by both administrators and governing bodies.

A recent study by the Association of Governing Boards of Colleges and Universities (AGB), "Trust, Accountability, and Integrity: Board Responsibilities for Intercollegiate Athletics" (2012) included three recommendations:

- The governing board is ultimately accountable for athletic policy and oversight and should fulfill this fiduciary responsibility.
- The board shall act decisively to uphold the integrity of the athletics program and its alignment with the academic mission of the institution.
- The board must educate itself about its policy role and oversight of intercollegiate athletics.

The UW System has three institutions with Division I NCAA athletics programs (UW-Green Bay, Madison, and Milwaukee); one institution with a Division II athletics program (UW-Parkside); and nine institutions with Division III athletics programs (UW-Eau Claire, La Crosse, Oshkosh, Platteville, River Falls, Stevens Point, Stout, Superior, and Whitewater).

In November 2012, the Board of Regents established a reporting framework for UW institutions that participate in NCAA Division I athletics. Under this framework, institutions annually provide information to the Board regarding academic, fiscal and compliance matters related to NCAA Division I intercollegiate athletics.

The framework requires each of the UW institutions with an NCAA Division I athletics program to submit a written annual report to the Board of Regents, which is the basis for annual presentations to the Board by the Chancellor and Athletic Director. The report and presentation are designed to assist the Board in ensuring that the institutions are: 1) adhering to any performance standards implemented by an institution or its athletic board; 2) safeguarding the welfare of all students; 3) maintaining NCAA compliance; and 4) assuring fiscal integrity.

REQUESTED ACTION

This item is for discussion purposes only.

DISCUSSION

UW-Milwaukee has submitted its 2015 athletics report to the Board of Regents for discussion at the Board's June 2015 meeting. An overview of significant items in the report follows.

I. Athletics

The UW-Milwaukee Athletics Department's 2015 report to the Board of Regents states that the department provided educational support and athletic opportunities to more than 300 studentathletes in 15 sports. UW-Milwaukee competed in the Horizon League. The report highlights that UW-Milwaukee captured eight regular season or league tournament championships during the 2013-14 season. Notable team accomplishments during the 2013-14 season include:

- The women's soccer team won the league's regular season title for the 14th straight season and qualified for the NCAA Tournament for the sixth straight-year.
- Men's track and field won the indoor track and field title for the tenth time in the last 11 years.
- The men's basketball team captured the league Tournament Championship and earned a berth to the NCAA Tournament for the first time since 2006.
- The women's tennis team collected its first-ever league regular season title.
- The institution has won the McCafferty Trophy for the seventh time in the last 14 years. The McCafferty Trophy is awarded annually to the league's all-sports champion.

In addition to the team accomplishments, three student athletes earned All-American honors.

II. Academics

The UW-Milwaukee Athletics Department's 2015 report to the Board of Regents identifies two broad measures of academic progress of student athletes and lists benchmarks that the Athletics Department established for assessing each measure.

For Academic Progress Rate (APR), the data point the NCAA uses to hold institutions accountable for the academic progress of their student athletes, the Athletics Department established three benchmarks: 1) maintain a multi-year APR score that is at or higher than all Division I scores for each sport; 2) maintain a single-year APR score that is at or higher than all Division I scores for each sport; and 3) achieve multi-year APR scores that are greater than average scores at other Horizon League institutions in each sport.

UW-Milwaukee indicates that APR data for the 2013-14 academic year had not yet been released at the time this report was prepared. Based on APR scores for 2012-13 UW-Milwaukee submitted in the 2015 report to the Regents, none of the three benchmarks were met. On the first benchmark, five of the 15 sports had a multi-year APR score that was at or higher than all Division I scores. On the second benchmark, six of the 15 sports had a single-year APR score that was at or higher than all Division I scores. On the third benchmark, UW-Milwaukee's multi-year APR score for women's soccer was the league's highest. UW-Milwaukee had the second highest APR scores in the league for men's track indoor and men's track outdoor, and the third highest APR scores for men's cross country and women's basketball, men's soccer, and men's swimming. However,

only men's basketball and men's soccer had a multi-year APR score that was below or near the NCAA threshold. NCAA requires a multi-year APR score of 930 to be eligible for postseason competition.

The 2015 report to the Regents shows men's basketball and men's soccer had a multi-year APR score of 908 and 931, respectively. In the institution's 2014 annual report to the Regents in June 2014, UW-Milwaukee reported that as a consequence of having a multi-year APR score below the NCAA threshold of 930 for postseason competition, the men's basketball team lost its eligibility for postseason competition for the 2014-15 season. The 2015 report states the 2013-14 multi-year APR score for men's basketball will be 1,000, a perfect score, which will raise the team's multi-year APR score to 940.

With respect to graduation success rate (GSR) and other academic/admissions measure of academic progress, the UW-Milwaukee Athletics Department established seven benchmarks. Of the seven benchmarks, the report provides data on five. One benchmark was to maintain a studentathletes graduation rate that is greater than that of UW-Milwaukee's general student body. The federal graduation rate (FGR) for student athletes for the 2007 freshmen cohort, reported in the 2015 report to the Regents, was 56 percent, compared to 46 percent for the general UW-Milwaukee student body. Another benchmark was to maintain a student-athletes graduation rate of greater than 75 percent. The overall graduation rate for UW-Milwaukee student athletes in 2012-13 was 76 percent. The third benchmark was to maintain a student-athletes cumulative grade point average (GPA) of greater than 3.0. The cumulative GPA for UW-Milwaukee student athletes in 2012-13 was 3.161. The fourth benchmark was to maintain an overall student NCAA graduation rate that is greater than the Horizon League institutions' average for each sport. The 2013-14 GSR for women's tennis was the highest in the league. The men's soccer and women's soccer GSR were the fourth highest in the league. UW-Milwaukee had the lowest GSR in the league in baseball, men's basketball, and women's basketball. The fifth and final benchmark was to maintain an overall student-athlete NCAA graduation rate that is greater than the average for the Horizon League. UW-Milwaukee was tied for eighth.

III. Financial Situation

The UW-Milwaukee Athletics Department's report to the Regents includes the department's budget, actual revenues, actual expenses, balances, debt balances and payments, and endowments for Fiscal Year (FY) 2013-14. The report notes an operating deficit of \$799,550 for FY 2013-14, or 9.5 percent of 2013-14 expenditures. The report attributes the operating deficit to three factors: 1) an unplanned one-time employment contractual obligation; 2) a budgeted year-end transfer that did not materialize because resources raised did not meet budgeted projections; and 3) a change of playing venue for men's basketball after the budgeting process was already completed.

The UW-Milwaukee Athletics Department reported a cumulative deficit of \$10,956,870 at the end of FY 2013-14, compared to \$10,156,293 at the end of FY 2012-13. The report notes that the deficit began to accumulate around FY 2000. The Department had put a fiscal plan in place to address the deficit. This plan was first outlined in the Department's 2013 report to the Regents in June 2013. The plan involves three strategies: 1) eliminating the structural operating deficit by the end of FY 2017; 2) operating with a balanced budget by the end of FY 2015; and 3) reducing the cumulative deficit over time.

The 2015 report notes three measures that former UW-Milwaukee Chancellor Lovell had agreed to employ in order to achieve a balanced budget by the end of FY 2015—providing an annual contribution to the Athletics Department's operations until alternative revenues are identified; increasing the contribution from student segregated fees (pending Board of Regents approval); and managing expenses carefully.

The Athletics Department projects that it will end FY 2015 with a balanced budget and, last year, had anticipated that the cumulative deficit will be reduced to zero within the next eight years. However, UW-Milwaukee notes in the report that the Athletics Department is likely to receive a share of the proposed budget cut to the UW System starting in FY 2016. In addition, the campus's net operating income will be negatively impacted by the pending budget cut and tuition freeze. Thus, the speed of the payoff of the cumulative deficit will depend on the campus' overall fiscal situation and availability of funding.

IV. NCAA Rules Compliance

The report to the Regents identifies nine self-reported NCAA violations in 2013-14. Three violations derived from women's basketball. Two violations derived from women's track. A total of four violations derived from men's basketball, men's swimming, women's swimming, and women's volleyball. The report includes a certification that there are no investigations or reviews of the Athletic Department or personnel by the institution, NCAA, or law enforcement underway at this time, and a validation of this certification by UW-Milwaukee Internal Audit.

Also, included in the 2015 report are: 1) an NCAA-required report on Agreed-Upon Procedures, issued by independent auditor (UW-Green Bay Office of Internal Audit) for the fiscal year ended on June 30, 2014; 2) a report of the 2014 Horizon League Financial Aid Review; and 3) the most recent report of Horizon League Compliance Review, dated 2011. The report on Agreed-Upon Procedures does not have any findings and recommendations. The Horizon League reports highlight strengths with UW-Milwaukee's procedures and operations and note UW-Milwaukee's commitments to rules compliance by all personnel. The reports make a number of suggestions, including providing more compliance training for staff, hiring additional staff in compliance and in academic advising, developing a written policy regarding what equipment is reusable, and modifying the process for entering financial aid award information.

RELATED REGENT POLICIES

Regent Policy Document 10-1: Endorsement of the Statement of Principles from the Knight Foundation Commission on Intercollegiate Athletics

UNIVERSITY OF WISCONSIN-MILWAUKEE INTERCOLLEGIATE ATHLETICS ANNUAL REPORT



May 18, 2015

Presented to UW Board of Regents

In order to ensure the Board of Regents is informed and knowledgeable about intercollegiate athletics at the University of Wisconsin-Milwaukee, the information included in this report addresses academic, fiscal, and compliance issues related to intercollegiate athletics

ATHLETICS ANNUAL REPORT

PRESENTED TO UW BOARD OF REGENTS

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INTRODUCTORY SECTION

ATHLETIC DEPARTMENT MISSION AND VISION STATEMENTS

IT IS OUR MISSION TO:

PROMOTE a spirit and pride in the University through positive athletic experiences for studentathletes, coaches and spectators.

EMBRACE the academic purpose of UWM while achieving athletic excellence.

MAINTAIN an athletics program of high quality through attracting, retaining and developing the best student-athletes, coaches and staff.

ENGAGE students, faculty, staff, alumni and the surrounding community.

IT IS OUR VISION TO:

BUILD A PASSIONATE AND PROUD UNIVERSITY OF WISCONSIN-MILWAUKEE THROUGH CHAMPIONSHIP EXPERIENCES IN ACADEMICS, ATHLETICS AND LEADERSHIP.

CHAMPIONSHIP EXPERIENCES IN ACADEMICS represent the achievement of the highest standards in an academic environment conducive to the success and personal development of our studentathletes.

CHAMPIONSHIP EXPERIENCES IN ATHLETICS represent the highest standards of the Horizon League and the NCAA. Our achievements encourage a culture of excellence consistent with that of the University.

CHAMPIONSHIP EXPERIENCES IN LEADERSHIP represent a commitment to personal and team achievements and to service in our community by student-athletes, coaches and staff.

With **PASSION** and **PRIDE** we portray our spirit to the University and beyond.

UWM MISSION STATEMENT

UWM Select Mission Statement:

To fulfill its mission as a major urban doctoral university and to meet the diverse needs of Wisconsin's largest metropolitan area, the University of Wisconsin–Milwaukee must provide a wide array of degree programs, a balanced program of applied and basic research, and a faculty who are active in public service. Fulfilling this mission requires the pursuit of these mutually reinforcing academic goals:

(a) To develop and maintain high quality undergraduate, graduate and continuing education programs appropriate to a major urban doctoral university.

(b) To engage in a sustained research effort which will enhance and fulfill the University's role as a doctoral institution of academic and professional excellence.



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(c) To continue development of a balanced array of high quality doctoral programs in basic disciplines and professional areas.

(d) To attract highly qualified students who demonstrate the potential for intellectual development, innovation, and leadership for their communities.

(e) To further academic and professional opportunities at all levels for women, minority, part-time, and financially or educationally disadvantaged students.

(f) To establish and maintain productive relationships with appropriate public and private organizations at the local, regional, state, national, and international levels.

(g) To promote public service and research efforts directed toward meeting the social, economic and cultural needs of the state of Wisconsin and its metropolitan areas.

(h) To encourage others from institutions in the University of Wisconsin System and from other educational institutions and agencies to seek benefit from the University's research and educational resources such as libraries, special collections, archives, museums, research facilities, and academic programs.

(i) To provide educational leadership in meeting future social, cultural, and technological challenges.

NCAA CLASSIFICATION

The University of Wisconsin-Milwaukee and its predecessor institutions have offered some form of intercollegiate athletics for over 100 years.

Currently, UWM offers 15 sports at the NCAA Division I level, with more than 300 studentathletes in the sports of men's and women's basketball, men's and women's soccer, men's and women's cross country, men's and women's indoor and outdoor track and field, men's and women's swimming and diving, women's volleyball, women's tennis and baseball.

UWM moved all of its sports back into Division I in 1990 and previously had placed its sports at the NCAA Division I, II and III levels, as well as in the NAIA, the AIAW and the NCAA's "Small College" division.

Over the years, UWM has had three main conference affiliations – the Wisconsin State University Conference/Wisconsin Women's

| SPORT | # OF MALE STUDENT-ATHLETES | # OF FEMALE STUDENT-ATHLETES |
|-------------------------|-------------------------------|------------------------------|
| BASEBALL | 39 | - |
| BASKETBALL | 19 | 15 |
| CROSS COUNTRY | 16 | 17 |
| SOCCER | 29 | 27 |
| SWIMMING | 32 | 33 |
| TENNIS | 1 | 11 |
| TRACK INDOOR/OUTDOOR | 44/41 | 40/39 |
| VOLLEYBALL | - | 17 |

220 (52%)

(Reflects sport sponsorship figures for 2013-14)

Intercollegiate Athletic Conference, the Mid-Continent Conference and the Midwestern Collegiate Conference/Horizon League. Men's soccer has always had Division I status with the NCAA.

TOTALS



2

201 (48%)

There are a number of sports UWM has offered over the years that it does not offer anymore. Most notably, UWM had a football team through 1974 and won a number of WSUC championships. The school has also offered wrestling, men's tennis and men's volleyball, among other sports.

For more than a decade, UWM has been a dominant and successful presence in the Horizon League. The school has captured the league's all-sports award – the McCafferty Trophy – seven times. On the national stage, the men's basketball team advanced to the Sweet 16 of the NCAA Tournament in 2005 and to the second round of the tournament in both 2006 and 2014. In addition, the men's and women's soccer teams have been ranked in the top 10 nationally. In the classroom, Panther student-athletes have posted a combined 3.0 grade point average for 27 straight semesters following the 2013-14 academic year.

HORIZON LEAGUE

In its 36th season of operation in the 2014-15 academic year, the Horizon League continues to aspire toward its goal of being one of the nation's leading athletics conferences while being recognized as a leader in the development of student-athletes as leaders and role models.

The Horizon League membership features nine public and private institutions that have impressive academic reputations and a storied tradition of broad-based athletic programs. Membership includes Cleveland State University, the University of Detroit Mercy, the University of Illinois at Chicago, Oakland University, Valparaiso University, the University of Wisconsin-Green Bay, the University of Wisconsin-Milwaukee, Wright State University and Youngstown State University.

The Horizon League's primary focus is to add value to the educational experience through its four platforms: athletic performance, academic achievement, community outreach, and personal responsibility and accountability. It is the League's belief that athletics is a powerful and visible resource tool that can be used to enhance student-athletes' collegiate experience. The Horizon League's goals are to enhance the holistic university experience for the student-athlete, to create an affiliation of institutions with similar athletic goals, and to adhere to the principles of integrity, diversity, excellence and growth. The Horizon League sponsors competition in 19 sports - nine for men (baseball, basketball, cross country, golf, soccer, swimming and diving, indoor track and field, outdoor track and field and tennis) and 10 for women (basketball, cross country, golf, soccer, softball, swimming and diving, indoor track and field, outdoor track and field, tennis and volleyball).

The League receives automatic bids to NCAA championships in baseball, men's and women's basketball, men's golf, men's and women's soccer, softball, men's and women's tennis, women's volleyball, and for the first time in League history, women's golf. The Horizon League is headquartered in Indianapolis, the "Amateur Sports Capital of the World," with offices in the Pan American Plaza (201 S. Capitol Avenue), located a block from Lucas Oil Stadium and just minutes from Bankers Life Fieldhouse, the State Capitol Building, Victory Field (home of the Indianapolis Indians) and the NCAA national office.

Jonathan B. (Jon) LeCrone is in his 23rd year as Commissioner of the Horizon League, having been named to the position on May 11, 1992, and is the fifth-longest tenured commissioner among the 31 Division I conferences.



HORIZON LEAGUE

DESCRIPTION OF ATHLETICS' ROLE AT UWM

The Athletics Department at UWM provides NCAA Division I competition for over 300 students in 15 varsity sports. Gifted student-athletes are attracted to the university because of these programs, and their talents are added to the diverse gifts of the student body. Besides adding to the diversity of the community, the intercollegiate athletics programs add distinction and identity to the University.

The value of the having an NCAA Division I Athletics program is reflected in the financial support the University provides. 101 Funding, tuition fee remissions, and room and board waivers are examples of campus resources used for daily operation of the Athletics Department.

Our student-athletes compete in over 250 athletic contests each year, representing the University in Horizon League and NCAA championship events. This adds value to the University brand and provides entertainment and gathering opportunities for students, faculty, staff, alumni and friends of the University. Over the past four years, an annual average of 11,000 students attended our athletic contests and promotional events. As UWM continues to evolve as a residential campus, the intercollegiate athletics programs will become an even more important force for driving enrollment and attracting positive interaction for the community.

UWM student-athletes are excellent role models for all students through their performance in the classroom. With 27 consecutive semesters of collectively achieving a better than 3.0 grade point average and a graduation rate of over 76%, Panther athletes demonstrate excellence in the classroom, while achieving championship results in the athletic arena.

The Intercollegiate Athletics programs at the University of Wisconsin-Milwaukee provide a shining example of academic and athletic excellence in proud and appropriate perspective. Add to this the lessons learned in preparation for and participation in competition by the student-athletes, the role of athletics at UWM is entirely consonant with the Mission and Core Values of the University and the Department of Athletics.



DESCRIPTION OF "SUCCESS" IN ATHLETICS AT UWM

OUR CORE VALUES FORM THE FOUNDATION FOR WHICH EVERYTHING WE DO MUST REFLECT. THESE SAME CORE VALUES DEFINE "SUCCESS" WITHIN OUR DEPARTMENT.

EDUCATION through academic, athletic, service, and personal experiences. Education is what cultivates a sense of life-long learning for the student athlete.

EXCELLENCE in education, athletics, and service. Excellence is the highest standard by which we hold ourselves accountable.

INNOVATION at the forefront of everything we do. Innovation gives us courage to do the things that were never done before to create a standard of excellence.

STEWARDSHIP of the resources with which we have to leave the department a better place than when we arrived. Stewardship from all individuals within the department will lead us to a culture of progress and innovation.

RESPECT for ourselves, those we engage, and the individual's contribution to our teams. Respect is what allows us to create an environment of stewardship.

INTEGRITY with every action and decision we make. Integrity is how we gain respect.

PASSION & PRIDE for our athletic pursuits, for our academic pursuits, and for the University. Passion and pride are what creates a culture of integrity.

2013-14 ATHLETICS YEAR IN REVIEW

The University of Wisconsin-Milwaukee continued to set the bar high for the entire league in the 2013-14 academic year.

Milwaukee continued to stake its claim as the top school in the Horizon League, winning the McCafferty Trophy for the seventh time in the last 14 years. The trophy is awarded annually to the League's all-sports champion.

Milwaukee claimed a remarkable eight regular-season or league tournament titles during the 2013-14 academic year. The women's soccer team won both the league's regular season crown for the 14th-consecutive season women's soccer and made its sixth-straight trip to the NCAA Tournament.

The winning continued during the winter sports season, with the men's basketball program leading the way by capturing the Horizon League Tournament championship to earn a berth in the NCAA Tournament for the first time since 2006. Milwaukee claimed the men's indoor track and field title for the 10th time in the last 11 years and in the spring, women's tennis collected its first-ever Horizon League regular-season title.

In addition to plentiful team success, three Panthers earned All-America honors – Laurie Bell (men's soccer), Emily McClellan (swimming) and Durell Busby (track & field). Bell also was a First Team Academic All-American.

This year's accomplishments add to a long storyline of success in Panther athletics. UWM first broke through in winning the McCafferty Trophy following the 2000-01 school year and then claimed the award in three straight years from 2004-06. Milwaukee also won the all-sports honor in 2009. On the women's side, UWM has won the league award 11 times in the last 14 years.



SUCCESS IN THE CLASSROOM

- UWM averaged over 100 student-athletes on both the fall and spring league honor rolls during 2013-14.
- 66% of the student-athletes earned at least a 3.0 or above grade point average as of the end of the spring semester.
- The cumulative GPA for all Panther student-athletes is 3.199 the 66th straight semester where the departmental GPA is above 3.0.
- Graduation success rate was at 76%, well above the percentage for the general student population.
- Student-Athletes log many hours of community service in Milwaukee and the surrounding area. Teams volunteer for community activities like Special Olympics, Ronald McDonald House, and the United Lutheran Soup Kitchen, among dozens of other agencies. The Athletics Department also partners with the Center for Community-Based Learning, Leadership and Research.

MILWAUKEE'S 2013-14 HORIZON LEAGUE CHAMPIONSHIPS

- Women's Soccer Regular Season
- Women's Soccer League Tournament
- Women's Volleyball Regular Season
- Women's Volleyball League Tournament
- Women's Tennis Regular Season
- Men's Soccer League Tournament
- Men's Basketball League Tournament
- Men's Indoor Track & Field

MCCAFFERTY TROPHY WINNERS

2001, 2004, 2005, 2006, 2009, 2012, 2014

HORIZON LEAGUE WOMEN'S ALL-SPORTS AWARD

2001, 2002, 2003, 2004, 2006, 2007, 2008, 2009, 2010, 2012, 2014

HORIZON LEAGUE MEN'S ALL-SPORTS AWARD

2000, 2005, 2006



REPORTING STRUCTURE FOR DIRECTOR OF ATHLETICS

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The Director of Intercollegiate Athletics at the University of Wisconsin–Milwaukee reports directly to Vice Chancellor for Student Affairs regarding the day-to-day operations of the department. For long-term strategic development and planning, the Director of Athletics reports directly to the Chancellor. The Director of Intercollegiate Athletics represents the Department of Athletics by sitting on both the Chancellor's Cabinet and the Vice Chancellor for Student Affairs Directors' Cabinet.

In addition, the UWM Director of Intercollegiate Athletics is an ex-officio member of the UWM Athletic Board. The UWM Athletic Board is made up of 13 elected or appointed faculty, academic staff, and student members. The Athletic Board meets monthly and takes an active role in providing oversight to the operations of the entire UWM athletics program.

UNIVERSITY of WISCONSIN

FINANCIAL INFORMATION

The Athletics Budget information on the next two pages includes only the revenues and expenses that are listed in the UW System financial reporting statements. It does not include UWM Foundation deposits and payments, inkind donations, waivers for tuition, books, and room and board, and University indirect facilities and maintenance expenses. See page 26 and Attachment B for the year-end June 30, 2014 NCAA Statement of Revenues and Expenses, including all UWM Athletics' financial transactions (including the above items) associated with operations.

| REVENUE | BUDGET | | |
|---------------------------------|-----------------|---|--|
| Student Fees | \$ 6,380,207 | 8 | |
| 101 Funding | \$ 759,201 | | |
| NCAA & Conference Distributions | \$ 593,689 | | |
| Development | \$ 170,000 | | |
| Corporate Sponsorships | \$ 475,000 | | |
| Ticket Sales | \$ 258,000 | | |
| Transfers | \$ (226,724) | | |
| Game Guarantees | \$ 155,000 | | |
| 228 Capital Transfer | \$ 332,465 | | |
| Camps & Clinics | \$ 174,000 | | |
| Other Revenue | \$ 119,072 | | |
| TOTAL REVENUE | \$ 9,189,910 | | |

2014-15 UNIVERSITY OF WISCONSIN - MILWAUKEE BUDGET REPORT

| EXPENSES 128 Salaries and Fringe Benefits | | | SUDGET 4,867,083 | | | |
|--|----------------|----|----------------------------|-----------|---|---|
| 101 Salaries | | \$ | 327,598 | | ÷ | |
| 128 Operating Expenses | <u>g</u> | \$ | 2,353,544 | | | |
| 101 Operating Expenses | | \$ | 430,000 | | | |
| Capital | 2 10 | \$ | 332,465 | | | |
| Athletic Student Aid | | \$ | 368,985 | | | |
| Indirect Expenses | | \$ | 466,335 | | | |
| TOTAL EXPENSES | | \$ | 9,146,010 | W - CAUSE | | 2 |

Fiscal Year Operating Net

43,960

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PREVIOUS FISCAL YEAR BUDGET vs. ACTUAL RESULTS

2013-14 UNIVERSITY OF WISCONSIN - MILWAUKEE BUDGET REPORT

| | | | the Carolination | | |
|----------------------------------|-----------------|---|------------------|-----------|---|
| REVENUE | BUDGET | | | ACTUAL | |
| Student Fees | \$ 5,381,406 | | \$ | 5,366,140 | |
| 101 Funding | \$ 743,800 | | \$ | 737,154 | |
| NCAA & Conference Distributions | \$ 593,680 | | \$ | 579,656 | |
| Corporate Sponsorships | \$ 500,000 | | \$ | 484,032 | |
| Ticket Sales | \$ 284,240 | | \$ | 217,283 | |
| 228 Capital Transfer | \$ 150,000 | | \$ | 152,828 | |
| Development | \$ 525,000 | | \$ | | |
| Game Guarantees | \$ 150,000 | | \$ | 70,500 | |
| Camps & Clinics | \$ 162,908 | × | \$ | 182,165 | |
| Other Revenue | \$ (148,365) | | \$ | (155,385) | |
| TOTAL REVENUE | \$ 8,342,669 | | \$ | 7,634,373 | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | 2 | ALC: N | | |
| EXPENSES | BUDGET | | | ACTUAL | |
| 128 Salaries and Fringe Benefits | \$ 4,647,570 | | \$ | 4,729,215 | |
| 101 Salaries and Fringe Benefits | \$ 309,385 | | \$ | 302,739 | |
| 128 Operating Expenses | \$ 2,267,267 | | \$ | 2,277,759 | 2 |
| 101 Operating Expenses | \$ 434,415 | | \$ | 434,415 | |
| Capital | \$ 150,000 | | \$ | 152,828 | |
| 101 Capital | \$ | | \$ | 0 | |
| Athletic Student Aid | \$ 358,700 | | \$ | 327,031 | |
| Indirect Expenses | \$ 175,332 | | \$ | 209,936 | |
| TOTAL EXPENSES | \$ 8,357,077 | | \$ | 8,433,923 | |
| | | | | | |
| | | | | | |
| Fiscal Year Operating Net | \$ (0) | | \$ | (799,550) | |
| | | | | | |

***Tuition fee waivers provided by the University and used as athletic student aid were \$3,076,699, but are not included in the amount above.



9

Major factors which contributed to the FY14 operating deficit are:

a. An unplanned one-time employment contractual obligation.

b. A year-end Development transfer was not able to be made because FY14 Development resources did not meet budgeted projections and were only sufficient to cover those expenses paid directly by the Foundation, which included:

- 1. Payments for employment contractual obligations
- 2. Cellular phone charges
- 3. Development operational expenses
- 4. Student-Athlete well-being initiatives
- 5. Fundraising events

c. After reviewing Men's Basketball ticket revenue, receiving feedback from Men's Basketball season ticket holders, and evaluating the Men's Basketball student-athlete experiences, a decision was made in June 2013 to move Men's Basketball games from UWM's Klotsche Center to US Cellular Arena. This decision was made after the budgeting process for FY14 was already completed and budget allocations were made within the Athletics Department. Expenses not budgeted for but incurred in FY14 as a result of the change in playing venue were: facility rental, student spectator transportation, security and event hospitality.



EXTERNAL AUDIT REPORTS

An external audit of the NCAA's Statement of Revenue and Expenses, for the year ending June 30, 2014, was performed by Kelly Sellner, the Internal Auditor at the University of Wisconsin-Green Bay. Due to the minimal recommendations made in the Independent Accountant's Report on the Agreed-Upon Procedures it was not necessary to compose a response.

Please see Attachment A for the Independent Accountant's Report on the Agreed-Upon Procedures.

CAPITAL RESERVE

The Department of Athletics has reserves held in a Fund 228 Capital Account. The reserve balance is a result of a Student Senate Finance Committee Resolution (SFC0910-002), signed on December 14, 2009. This resolution instituted a \$25 per semester segregated fee for the purpose of approving a capital bonding project for Athletics. The resolution was further clarified by a Student Association Resolution Reaffirmation (SB1011-006), on June 7, 2010.

On May 8, 2014, the UWM Student Association voted on an amendment to the capital projects resolution to affirm the segregated fee collected can be used for athletic facility improvements. The Athletics Department Administration will present proposals for future facility developments and enhancements to Student Association executive staff.

Balance as of FY Year-End 2014: \$2,234,619

Future Use of Funds: On May 8th, 2014, the UWM Student Association voted on an amendment to the capital projects resolution to affirm the segregated fee collected can be used for athletic facility improvements. The Athletics Department Administration will present proposals for future facility developments and enhancements to Student Association executive staff.

FY15 Capital Projects:

\$123,822 Engelmann Weight Room, Hallway and Field Improvements \$32,000 Klotsche Batting Cages

FY16 Projected Expenditures:

\$145,000 Engelmann New Scoreboard
\$85,000 Engelmann Storage Shed
\$85,000 Engelmann Press Box
\$136,000 Klotsche Track Resurfacing
\$534,000 Architectural and Engineering Design for Basketball Practice Facility

FY17 Projected Expenditures:

\$135,000 Klotsche Pool Scoreboard \$100,000 Swimming and Diving Locker Rooms \$4,000,000 Basketball Performance Facility



INSTITUTIONAL PLAN FOR DEFICIT

As of the end of FY 2014, UWM's Department of Athletics had a cumulative deficit of \$10,956,870 in Fund 128, a growth of \$798,947 over the end of FY 2013. The debt began accumulating around FY 2000, and grew over the years as a result of significant changes taking place in Division 1 athletics, which includes a climate of increasing costs.

The Department of Athletics is a unit of the Division of Student Affairs, where the cumulative deficit is currently held. The Chancellor, Vice Chancellor for Student Affairs, and the Athletics Director are committed to resolving the deficit and developed a fiscal plan to do so.

The fiscal plan was outlined in prior years' Annual Athletics Report (May 2014) as follows:

- 1. First eliminate the annual structural operating deficit. The annual structural operating deficit will be eliminated by the end of FY 2017.
- 2. Over a multiple-year period, operate annually with a balanced budget.
- 3. After successfully providing to be solvent on an annual basis, the camps will work with Athletics to reduce the cumulative deficit over time.

On May 15, 2014, then-Chancellor Michael Lovell agreed with the Department of Athletics that is would work to achieve a balanced budget as of the end of FY 2015, through the following measures:

- Continuation of the annual contribution to Athletics' operations by the campus until other alternative revenues are identified;
- Increasing the contribution from student segregated fees for FY 2015; and
- Careful management of expenses by the Department.

In addition, Chancellor Lovell stated that for each year the Department of Athletics achieves a balanced or positive budget as of the end of the year, starting at the end of FY 2015, UWM would consider contributing central campus funds to cover a portion of the negative cash balance. With the achievement of a consistently balanced budget by the Department, it was anticipated the deficit could potentially be reduced to zero over eight years. However, the speed of payoff of the balance would depend on the campus's overall fiscal situation and availability of funding in light of all campus needs and strategies.

For each year the Department of Athletics achieves a balanced or positive budget as of the end of the year, UWM will consider contributing central campus funds to cover a portion of the negative cash balance. With the achievement of a consistently balanced budget by the Department, it is anticipated the deficit will be reduced to zero within the next eight years. The speed of the payoff of the balance will depend on the campus' overall fiscal situation and availability of funding in light of all campus needs and strategies.

At this time, the Department of Athletics is projecting that it will end fiscal year FY 15 with a balanced budget, thus not increasing the cumulative deficit for the first time in fifteen years. It will also receive an increase in its contribution from segregated fees from \$138.45 per semester in FY 2015 to \$142.95 per semester in FY 2016, subject to Board of Regents approval. Unfortunately, given the current budget outlook and preparations for an unprecedented budget cut as of July 1, 2015, as well as the 3rd and 4th years of a tuition freeze, UWM will not be able to contribute central funds to pay down the negative balance this year.

In addition, the Department of Athletics is likely to receive a share of the university's budget cut starting in FY 2016. The Chancellor will be working with the Athletics Director and Vice Chancellor for Student Affairs to review the UWM Athletics programs to determine potential structural changes to reduce expenditures going forward, in light of the new budget realities faced by the university.



YEAR-END UNRESTRICTED FUND BALANCES

Athletics Department year-end unrestricted fund balances = \$124,411.56

This amount is held in the UWM Foundation in four different accounts:

Intercollegiate Athletics

Development Revenue

Athletic Director's Excellence

Panther Club

TOTAL OUTSTANDING DEBT & ANNUAL DEBT SERVICE

Total annual debt service on athletic facilities = \$1,577,058

Total debt outstanding on athletic facilities = \$12,598,459

The outstanding debt on facilities is a result of the construction of the Pavilion, which is a shared facility between Recreation Sports, Athletics, Academics, and Parking. A designated segregated fee provides the resources for the annual payment of the debt service.

VALUE OF ENDOWMENTS FOR ATHLETICS

Value of Endowments dedicated to the support of athletics = \$636,406.25

All endowed amounts are held in three scholarship funds:

Nicholas Family Scholarship

Kuehneisen Swim and Dive Scholarship

D & E Bogenschild "Green Gulls" Scholarship



ACADEMIC PROGRESS RATE (APR) DATA

The NCAA holds Division I institutions accountable for the academic progress of their student-athletes through the Academic Progress Rate, a team-based metric that accounts for the eligibility and retention of each student-athlete, each term.

Starting with the 2012-13 academic year data, each team needed to earn a 4-year APR score of 930 or higher. The data is released to the public in late May/early June by the NCAA each year. The data for the 2013-14 year was not available at the time this report was created.

The men's basketball team was ineligible for postseason competition, including the Horizon League Tournament, for the 2014-15 year. The team was required to replace four hours of practice each week with four hours of academic activities during their season. The team was given two days off per week instead of one day off. This penalty is the result of a multi-year APR score for 2012-2013 that fell below the new NCAA benchmark of 930. We would like to note at this time that the men's basketball single year score for 2013-14 with be 1000, a perfect score, which will raise their multi-year score to 940.

| SPORT | 2012-13 APR SCORE | 4-YEAR APR SCORE | NCAA 4-YR AVERAGE |
|-----------------------|-------------------|------------------|-------------------|
| BASEBALL | 991 | 960 | 967 |
| MEN'S BASKETBALL | 852 | 908 | 957 |
| WOMEN'S BASKETBALL | 968 | 972 | 973 |
| MEN'S CROSS COUNTRY | 1000 | 995 | 977 |
| WOMEN'S CROSS COUNTRY | 978 | 975 | 985 |
| MEN'S SOCCER | 964 | 931 | 970 |
| WOMEN'S SOCCER | 984 | 989 | 982 |
| MEN'S SWIMMING | 951 | 957 | 977 |
| WOMEN'S SWIMMING | 941 | 970 | 987 |
| WOMEN'S TENNIS | 933 | 977 | 983 |
| MEN'S INDOOR TRACK | 979 | 977 | 967 |
| MEN'S OUTDOOR TRACK | 979 | 977 | 969 |
| WOMEN'S INDOOR TRACK | 945 | 971 | 978 |
| WOMEN'S OUTDOOR TRACK | 945 | 971 | 980 |
| WOMEN'S VOLLEYBALL | 983 | 990 | 982 |



HOW TO CALCULATE APR SCORES: Each term, a scholarship student-athlete may earn one retention point for staying in school and one eligibility point for being academically eligible. A team's total points are divided by points possible and then multiplied by one thousand to equal the team's Academic Progress Rate.

Please see Attachment C for the official 2012-13 Academic Progress Rate Report from the NCAA.

THREE-YEAR APR TREND FOR HIGHEST REVENUE SPORTS

The following lists the single year APR scores for the three highest revenue sports during the 2010-11, 2011-12, and 2012-13 academic years. Please see Attachment C for the official Academic Progress Rate Reports from the NCAA.

| HIGHEST REVENUE SPORTS | 2010-11 | 2011-12 | 2012-13 |
|------------------------|---------|---------|---------|
| MEN'S BASKETBALL | 966 | 942 | 852 |
| WOMEN'S BASKETBALL | 1000 | 983 | 968 |
| BASEBALL | 932 | 981 | 991 |

BENCHMARKS USED BY ATHLETICS TO ASSESS ACADEMIC PROGRESS DATA

Benchmark #1: Maintain multi-year APR score that is at or higher than the all Division I score for each sport.

Benchmark #2: Maintain single-year APR score that is at or higher than the all Division I score for each sport.

Benchmark #3: Achieve multi-year APR scores that are greater than average scores at other Horizon League institutions in each sport.

Please see Attachment E for the list of Horizon League institutions and APR data.



GRADUATION SUCCESS RATE (GSR), ACADEMIC & ADMISSIONS DATA

What is the Graduation Success Rate?

The NCAA Graduation Success Rate (GSR) is designed to show the proportion of student-athletes on any given team who earn a college degree. The NCAA has imposed a new set of academic standards that seeks to hold teams and institutions accountable for how well student-athlete progresses toward a degree.

The GSR was developed in response to colleges and universities who asked for an alternative rate that more accurately reflects the movement among college student-athletes. The GSR takes into account incoming transfers who graduate from a different institution than the one they started at and transfers who leave an institution in good standing.

How does it differ from the Federal Graduation Rate?

The Federal Graduation Rate (FGR) is compiled by the U.S. Department of Education and is used as an indicator of academic success for college student-athletes. FGR measures the percentage of first-time, full-time freshmen who graduate within six years of entering their original four-year institution.

The NCAA developed its GSR in response to criticism that the FGR understates the academic success of athletes because the FGR method does not take into account two important factors in college athletics:

- When student-athletes transfer from an institution before graduating and are in good academic standing (perhaps to transfer to another institution for more playing time, different major, or to go pro); and
- Those student-athletes who transfer to an institution (e.g., from a community college or another 4-year college) and earn a degree.

The FGR treats transfers as non-graduates for the original institution the student-athlete attended, even if that student-athlete later graduates from another institution. Also, the FGR does not include that student-athlete in the graduation rates at the new institution where he/she does graduate. Therefore, once a student-athlete transfers to another school he/she is no longer recognized in the calculated graduation rate. The GSR takes into account both factors and gives credit to institutions for successful transfers, whether they are leaving or entering an institution.

The following is a summary of the Graduate Success Rate report that was submitted during the 2013-14 academic year. Please see Attachment D for official Graduation Success Rate Report (2006 freshmen cohort) from the NCAA that was released in October, 2014.



2004-07 COHORT GSR SCORES

Below is a summary of the 2004-07 cohort Graduate Success Rate report. Please see Attachment D for official Graduation Success Rate Report from the NCAA that was released in October, 2014.

| SPORT | GRADUATION SUCCESS RATE | FEDERAL GRADUATION RATE |
|---------------------------|-------------------------|-------------------------|
| BASEBALL | 70% | 88% |
| MEN'S BASKETBALL | 50% | 22% |
| WOMEN'S BASKETBALL | 69% | 58% |
| MEN'S SOCCER | 75% | 47% |
| WOMEN'S SOCCER | 83% | 63% |
| MEN'S SWIMMING | 63% | 53% |
| WOMEN'S SWIMMING | 83% | 70% |
| WOMEN'S TENNIS | 100% | 70% |
| MEN'S TRACK/CROSS COUNTRY | 63% | 50% |
| WOMEN'S TRACK/XC | 86% | 73% |
| WOMEN'S VOLLEYBALL | 89% | 89% |

THREE-YEAR FEDERAL GRADUATION RATE TRENDS

The following is a summary of the Federal Graduation Rates for the University of Wisconsin-Milwaukee, reported during the 2011-12, 2012-13 and 2013-14 years. Please see Attachment D for official Graduation Success Rate Report from the NCAA.

FEDERAL GRADUATION RATE FOR UWM STUDENT-ATHLETES

| ACADEMIC YEAR | GENERAL UWM STUDENT BODY FEDERAL GRADUATION RATE | UWM STUDENT-ATHLETE FEDERAL GRADUATION RATE |
|---|---|--|
| 2005 FRESHMEN COHORT (reported in 2010-11) | 41% | 65% |
| 2006 FRESHMEN COHORT (reported in 2011-12) | 42% | 58% |
| 2007 FRESHMEN COHORT (reported in 2012-13) | 46% | 56% |

& UWM'S GENERAL STUDENT BODY

FEDERAL GRADUATION RATE FOR THREE HIGHEST REVENUE WOMEN'S SPORTS

| HIGHEST REVENUE WOMEN'S SPORTS | 2011-12 (2005 freshmen cohort) | 2012-13 (2006 freshmen cohort) | 2013-14 2007 freshmen cohort |
|-----------------------------------|-----------------------------------|-----------------------------------|---------------------------------|
| WOMEN'S BASKETBALL | 60% | 64% | 58% |
| WOMEN'S VOLLEYBALL | 92% | 100% | 89% |
| WOMEN'S SOCCER | 68% | 67% | 63% |



FEDERAL GRADUATION RATE FOR THREE HIGHEST REVENUE MEN'S SPORTS

| HIGHEST REVENUE MEN'S SPORTS | 2011-12 (2005 freshmen cohort) | 2012-13 (2006 freshmen cohort) | 2013-2013 2007 freshmen cohort |
|---------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|
| MEN'S BASKETBALL | 100% | 40% | 22% |
| BASEBALL | 78% | 78% | 88% |
| MEN'S SOCCER | 75% | 67% | 47% |

ADMISSION OF STUDENT-ATHLETES

Student-athletes admitted to UWM are expected to meet the same academic standards as other admitted students. Student-athletes are also, in general, expected to follow the same application process and adhere to the same deadlines as other applicants. However it is understood that in some cases, prospective student-athletes whom UWM wishes to recruit may not be identified, or may not make the decision to apply to UWM, until very late in the admissions cycle. In such cases, the office of admissions should be contacted about the student-athlete (name and contact information) so that the application process can be initiated.

- Policy updated in April 2015



GRADE POINT AVERAGE INFORMATION

GRADE POINT AVERAGE FOR ALL STUDENT-ATHLETES DURING THE 2012-2013 ACADEMIC YEAR:

Fall 2013: 3.164 Spring 2013: 3.181

FALL 2013 STUDENT-ATHLETE GRADE POINT AVERAGE PER SPORT

| ALL SPORTS | CUMULATIVE GPA | FALL 2013 GPA |
|-----------------------------|----------------|---------------|
| Men's Baseball | 3.1 | 3.025 |
| Men's Basketball | 2.919 | 2.806 |
| Men's Cross Country | 3.206 | 3.234 |
| Men's Soccer | 3.252 | 3.252 |
| Men's Swimming and Diving | 2.982 | 2.935 |
| Men's Track and Field | 2.810 | 2.979 |
| Women's Basketball | 2.977 | 2.934 |
| Women's Cross Country | 3.418 | 3.427 |
| Women's Soccer | 3.476 | 3.485 |
| Women's Swimming and Diving | 3.323 | 3.377 |
| Women's Tennis | 3.485 | 3.676 |
| Women's Track and Field | 3.029 | 2.762 |
| Women's Volleyball | 3.293 | 3.440 |
| | 3.161 | 3.164 |

| SPORTS (HIGHEST TO LOWEST GPA) | CUMULATIVE GPA | FALL 2013 GPA |
|--------------------------------|----------------|---------------|
| Women's Tennis | 3.485 | 3.676 |
| Women's Soccer | 3.476 | 3.485 |
| Women's Volleyball | 3.293 | 3.440 |
| Women's Cross Country | 3.418 | 3.427 |
| Women's Swimming and Diving | 3.323 | 3.377 |
| Men's Soccer | 3.252 | 3.252 |

UNIVERSITY & WISCONSIN

| Men's Cross Country | 3.206 | 3.234 |
|---------------------------|-------|-------|
| Men's Baseball | 3.1 | 3.025 |
| Men's Track and Field | 2.810 | 2.979 |
| Men's Swimming and Diving | 2.982 | 2.935 |
| Women's Basketball | 2.977 | 2.934 |
| Men's Basketball | 2.919 | 2.806 |
| Women's Track and Field | 3.029 | 2.762 |

SPRING 2014 STUDENT-ATHLETE GRADE POINT AVERAGE PER SPORT

| ALL SPORTS | SPRING 2014 GPA | CUMULATIVE GPA |
|-----------------------------|-----------------|----------------|
| Men's Baseball | 2.844 | 3.094 |
| Men's Basketball | 2.850 | 2.898 |
| Men's Cross Country | 3.311 | 3.297 |
| Men's Soccer | 3.297 | 3.297 |
| Men's Swimming and Diving | 2.913 | 3.011 |
| Men's Track and Field | 2.930 | 2.848 |
| Women's Basketball | 3.042 | 3.072 |
| Women's Cross Country | 3.587 | 3.471 |
| Women's Soccer | 3.513 | 3.547 |
| Women's Swimming and Diving | 3.383 | 3.333 |
| Women's Tennis | 3.747 | 3.614 |
| Women's Track and Field | 2.915 | 3.039 |
| Women's Volleyball | 3.548 | 3.473 |
| 1 | 3.181 | 3.199 |

| SPORT (HIGHEST TO LOWEST GPA) | SPRING 2014 GPA | CUMULATIVE GPA |
|-------------------------------|-----------------|-----------------------|
| Women's Tennis | 3.747 | 3.614 |
| Women's Cross Country | 3.587 | 3.471 |
| Women's Volleyball | 3.548 | 3.473 |
| Women's Soccer | 3.513 | 3.547 |
| Women's Swimming and Diving | 3.383 | 3.333 |
| Men's Cross Country | 3.311 | 3.297 |



| Men's Soccer | 3.297 | 3.297 |
|-----------------------------|-------|-------|
| Women's Basketball | 3.042 | 3.072 |
| Men's Track and Field | 2.930 | 2.848 |
| Women's Track and Field | 2.915 | 3.039 |
| Women's Swimming and Diving | 2.913 | 3.011 |
| Men's Basketball | 2.850 | 2.898 |
| Men's Baseball | 2.844 | 3.094 |

DECLARED MAJORS OF STUDENT-ATHLETES

Below is a summary of the declared degree programs for student-athletes and the UWM general student body.

| MAJOR | # OF STUDENT-ATHLETES | % OF STUDENT-ATHLETES | % OF UWM STUDENT BODY |
|-----------------------|-----------------------|-----------------------|--------------------------|
| ACCOUNTING | 7 | 2.3% | 2.6% |
| ACTUARIAL SCIENCE | 1 | .33% | .515% |
| ANTHROPOLOGY | 2 | .68% | .623% |
| ARCHITECTURAL STUDIES | 3 | .99% | 2.16% |
| ART | 3 | .99% | 2.75% |
| ATHLETIC TRAINING | 1 | .33% | .368% |
| ATMOSPHERIC SCIENCE | 2 | .66% | .182% |
| BIOCHEMISTRY | 6 | 1.97% | .69% |
| BIOLOGICAL SCIENCES | 11 | 3.62% | 3.01% |
| BIOMEDICAL SCIENCES | 3 | .99% | N/A |
| BUSINESS | 13 | 4.28% | 3.69% |
| CHEMISTRY | 2 | .66% | .45% |
| CIVIL ENGINEERING | 12 | 3.95% | 1.1% |
| COMM. SCI & DISORDERS | 1 | .33% | .381% |
| COMMUNICATION | 9 | 2.96% | 1.6% |
| COMPUTER ENGINEERING | 1 | .33% | .45% |

UNIVERSITY (WISCONSIN

| COMPUTER SCIENCE | 1 | .33% | .96% |
|-------------------------|----|--------|-------|
| CONSERVATION SCI | 1 | .33% | 1.13% |
| CRIMINAL JUSTICE | 16 | 5.26% | 2.9% |
| ECONOMICS | 2 | .66% | .68% |
| EDUCATION | 17 | 5.59% | 5.41% |
| EDUCATIONAL STUDIES | 4 | 1.32% | .17% |
| ELECTRICAL ENGINEERING | 1 | .33% | 1.2% |
| ENGLISH | 1 | .33% | 1.32% |
| EXCEPTIONAL EDUCATION | 7 | 2.3% | .27% |
| FILM | 1 | .33% | 1.67% |
| FINANCE | 13 | 4.28% | 3.14% |
| GEOGRAPHY | 1 | .33% | .234% |
| GEOSCIENCE | 1 | .33% | .36% |
| HEALTH CARE ADMIN | 2 | .66% | .91% |
| HEALTH SCIENCES | 1 | .33% | .47% |
| HISTORY | 3 | .99% | .92% |
| HUMAN RESOURCES | 3 | .99% | 1.21% |
| INFO SCI TECH | 9 | 2.96% | 2.32% |
| INTER ARTS | 2 | .66% | .52% |
| INTERNATIONAL STUDIES | 4 | 1.32% | .381% |
| MANAGEMENT INFO SYSTEMS | 1 | .33% | .98% |
| JOURN. ADV MEDIA STUD | 9 | 2.96% | 2.76% |
| KINESIOLOGY | 34 | 11.18% | 2% |
| MANAGEMENT MS | 2 | .66% | .79% |
| MARKETING | 17 | 5.59% | 4.35% |
| MECHANICAL ENGINEERING | 13 | 4.28% | 2.48% |
| NURSING | 10 | 3.33% | 4.56% |
| OCCUPATIONAL STUDIES | 2 | .66% | .8% |



| POLITICAL SCIENCE | 1 | .33% | 1.05% |
|---|----|-------|-------|
| PSYCHOLOGY | 12 | 3.95% | 4.54% |
| SOCIAL WORK | 2 | .66% | 2.5% |
| SOCIOLOGY | 6 | 1.97% | .68% |
| SUPPLY CHAIN & OPERATIONS MANAGEMENT | 1 | .33% | 1.42% |
| THEATRE | 1 | .33% | .51% |
| UNDECIDED | 25 | 8.23% | 9.03% |

BENCHMARKS USED TO ASSESS GSR, ADMISSIONS & ACADEMIC DATA

Benchmark #1: Maintain student-athlete NCAA graduation rate that is greater than UWM's general student body.

Benchmark #2: Maintain student-athlete NCAA graduation rate that is greater than 75%.

Benchmark #3: Maintain an overall student-athlete NCAA graduation rate that is greater than the average for Horizon League.

Benchmark #4: Maintain an overall student-athlete Federal graduation rate that is greater than the average for Horizon League.

Benchmark #5: Maintain student-athlete NCAA graduation rate that is greater than Horizon League institution's average for each sport.

Benchmark #6: Maintain a student-athlete cumulative GPA greater than 3.0.

Benchmark #7: Maintain average standardized test scores (i.e., ACT and SAT) and grade point average for incoming student-athletes that are greater than the average test scores for UWM's general student body's incoming class.



AGREED-UPON PROCEDURES REPORT

2013-14 INSTITUTIONAL AGREED-UPON PROCEDURES REPORT

The NCAA requires all Division I athletic programs to complete an annual Statement of Revenues and Expenses. The deadline to submit the Statement of Revenues and Expenses is January 15th for the preceding fiscal year. Prior to submission, agreed-upon procedures are performed by an independent accountant validating that the Statement of Revenues and Expenses are in compliance with the NCAA.

Please see Attachment A for the Independent Accountant's Report on Agreed-Upon Procedures for UWM's NCAA Statement of Revenues and Expenses for the year ended June 30, 2014.



INTERCOLLEGIATE ATHLETICS BUDGET REPORT

UWM'S AUDITED NCAA FINANCIAL REPORT FOR 2013-14

Please see Attachment B for the NCAA Statement of Revenue and Expenses, which assigns all transactions to a predetermined revenue or expense category.

It is important to note the NCAA Statement of Revenues and Expenses report looks very different from the UWM Athletics Budget previously provided in this report. The NCAA Statement of Revenues and Expenses includes all financial transactions associated with the operations of UWM Athletics for the year ended June 30, 2014. Many of the transactions included in NCAA Statement of Revenues and Expenses extend outside the Athletics Department's UW System financial statement reporting parameters.

Examples of transactions included in NCAA Statement of Revenues and Expenses which are not found in the Athletic Department's UW System financial statements are:

- a. University of Wisconsin Milwaukee Foundation deposits and payments
- b. In-kind donations
- c. Tuition waivers provided by the University as part of student-athletes' aid awards
- d. On-campus room and board provided by Auxiliary Services as part of the student-athletes' grant in aid
- e. Book fees waived by the UWM Bookstore for full scholarship student-athletes
- f. University indirect facilities and maintenance costs allocated to Athletics

The NCAA Statement of Revenues and Expenses provides a more complete analysis of all the costs associated with operating the athletics program. The NCAA Statement of Revenues and Expenses data is used by the NCAA and multiple media outlets to compare and benchmark athletic financial information of one athletic program to another.



OUTSIDE COMPLIANCE REVIEW

HORIZON LEAGUE OUTSIDE COMPLIANCE REVIEW

The Horizon League staff offers, as a service but not a requirement, outside compliance reviews to all institutions in the Horizon League. Staff members from the Horizon League administered their review of athletic compliance in February of 2011. While on campus, Horizon League staff members met with coaching staff members, administrative staff, UWM's Financial Aid Office, Admissions, Registrar's Office and Chancellor Lovell. In addition, the following materials were requested and reviewed as part of the audit:

- Policies and Procedures Manual
- Sample coaching contract
- Sample letter of employment
- Coaches' performance evaluation
- Sample rules education materials
- Letter of renewal of financial aid
- · Letter of nonrenewal of financial aid
- Appeals procedure for financial aid non-renewals or reductions
- Summer outside competition forms
- Promotional activities permission form
- SAAC agenda
- Sample booster education material
- Info provided to tutors
- NCAA certification report
- Complimentary ticket request form
- Student-Athlete handbook
- New Employee packet

The Horizon League staff completed a review of the Athletic Financial Aid policies and practices in January of 2014. This review was focused on only financial aid at the request of the institution as changes in practices were beginning discuss. The Horizon League staff members met with compliance office staff as well as UWM Financial Aid Office staff members.

UWM is scheduled for a full compliance review by the Horizon League during the 2015-16 academic year.

Please see Attachment G for the Horizon League Compliance Review Report and the Horizon League Financial Aid Review Report.



NCAA INSTITUTIONAL PERFORMANCE REPORT

UWM ATHLETICS REPORT

The NCAA Certification Program was temporarily suspended in April 2011 by the NCAA Board of Directors. The program was renamed the Institutional Performance Program (IPP) and was revised in 2013. The new system will provided yearly data and be collected mostly through required NCAA reports.

At this point in time the NCAA has not implemented the Institutional Performance Program thus no reports are available.



NCAA VIOLATIONS REPORT

2013-14 SELF-REPORTED NCAA VIOLATIONS

| SPORT | DESCRIPTION OF RULE | DATE | DESCRIPTION OF VIOLATION | ACTION TAKEN |
|-----------------------|---|---------|---|---|
| MEN'S BASKETBALL | 11.7.1.1.1.1 states that a noncoaching staff member is prohibited from participating with or observing student-athletes in the staff members sport who are engaged in voluntary athletic activity. | 9/24/13 | The men's basketball video coordinator played in a pick-up game with current men's basketball student-athletes | Video coordinator was suspended for 1 week from all team activity A copy of the violation report was filed in the video coordinator's personnel file A letter of education was issued to the entire men's basketball staff |
| WOMEN'S BASKETBALL | 13.4.1.4.1 states that basketball coaches may send electronic correspondence to a recruit after September 1 of their junior year. | 4/5/14 | A women's basketball assistant coach sent a text message to a current high school sophomore in response to a text from the recruit. The assistant coach did not realize the recruit was a sophomore when she responded to the text message | Letter of education was issued to the entire women's basketball staff The entire women's basketball staff was prohibited from having recruiting contact with the prospect for 2 weeks |
| WOMEN'S SWIMMING | 13.9.2.2 states that an institution shall not permit a prospect to sign a form indicating their acceptance of an athletic scholarship prior to the initial NLI signing date for that sport. | 4/15/14 | A women's swimming prospect signed her National Letter of Intent (NLI) and athletic scholarship the day before the initial signing date for women's swimming | The NLI and scholarship were resent to the prospect to sign after the initial signing date Bylaw 13.9.2.2 was included in a section of the Compliance Newsletter which is issued to all athletics department staff |
| WOMEN'S TRACK | 14.1.7.1 states that a student-athlete must be enrolled as a full-time student in order to be eligible for practice. | 3/11/14 | A women's track student-athletes was administratively dropped from a course on March 11, 2014, which put her below full-time enrollment. The student- athlete added another course on March 24, which returned her to full-time status. The student-athlete continued to practice during this time | The student-athlete will be withheld from practice activities for twice the number of days in which she practiced while below full-time. The full-time enrollment requirement will be included in the beginning of the year rules education to all student-athletes The compliance and academic offices will work with the Registrar to see if a notification system can be implemented |



2014-15 SELF-REPORTED NCAA VIOLATIONS

| SPORT | DESCRIPTION OF RULE | DATE | DESCRIPTION OF VIOLATION | | ACTION TAKEN |
|-----------------------|--|----------|--|--|--|
| MEN'S SWIMMING | 13.4.1.4.1 states that swimming coaches may not send electronic correspondence to a recruit until after they have signed an NLI-scholarship/offer of admission. | 4/24/14 | The assistant swim coach responded to a text message sent to him by a prospect that was on campus for an admissions event and unofficial visit. The prospect was a high school junior | p di • C fr | etter of Education was rovided to the swimming & iving coaching staff oach staff was precluded om sending any recruiting prrespondence with the rospect for 2 weeks |
| WOMEN'S VOLLEYBALL | 13.1.6.2 states that recruiting contact may not be made with a prospect on the day of their competition until they have been officially released and departs the facility. | 7/3/14 | Assistant volleyball coach contacted a prospect after her team lost during a tournament. Assistant coach thought that since the prospect's team was no longer competing that she had been released. However, the prospect had not been released as she was required to stay and referee additional games | Pi vc • Ru by m | etter of education was rovided to the entire olleyball coaching staff ules education on the ylaw was included in the onthly Compliance ewsletter |
| WOMEN'S BASKETBALL | 11.7.1.1 states that only countable coaches may engage in providing technical or tactical instruction to student- athletes at any time. | 12/10/14 | Women's basketball video coordinator engaged in coaching activity during a competition. The video coordinator was yelling out instruction to student-athletes during the contest as well as providing instruction during timeouts | m cc ru cc Le to cc Le iss ss bγ th | ssistant AD for Compliance et in person with the video oordinator to discuss NCAA les regarding non- oaching staff etter of Education provided women's basketball oaching staff etter of Admonishment sued to video coordinator ylaw 11 was the topic for e January mandatory |
| WOMEN'S TRACK/XC | 13.6.3 states that prior to making an official visit a prospect must be placed on an institution's Eligibility Center request list. | 1/9/15 | The compliance coordinator forgot to place two prospects on the institution's Eligibility Center request list prior to their official visit | Le pri ccc Cri fo re | empliance meeting outer of Education was rovided to the compliance bordinator coaches were reminded to oullow up on official visit equests if they do not accive email approval |
| WOMEN'S BASKETBALL | 11.7.1.1 states that only a countable coach can engage in off-campus recruiting activities. | 3/25/15 | The director of women's basketball operations picked up a prospect's transcript from their high school, which is considered an evaluation by the NCAA. The director of operations was trying to help out an assistant coach who was busy that day and asked him to pick up the transcript for her. The director of operations did not have any contact with the prospect or her coach | iss bo Ru by Al of v re | atter of Education was sued to the women's asketball staff ules education on the ylaw was included in the pril Compliance Newsletter ne number of permissible if-campus recruiters for omen's basketball was aduced by 1 for 2 contact eriod days |



ATTACHMENTS

| Independent Accountant's Report on Agreed-Upon Procedures | Attachment A |
|--|---------------|
| NCAA Statement of Revenues and Expenses | Attachment B |
| NCAA Academic Progress Rate (APR) Score Reports | Attachment C |
| NCAA Graduation Success Rate (GSR) Score Reports | Attachment D |
| Peer Institution's APR and GSR Data | Attachment E |
| Outside Compliance Review, performed by the Horizon League | .Attachment F |



ATTACHMENT A



January 8, 2015

Chancellor Mark Mone University of Wisconsin-Milwaukee Chapman Hall 202 2310 E. Hartford Ave. P.O. Box 413 Milwaukee, WI 53201

Dear Chancellor Mone:

We have performed the agreed-upon procedures enumerated in the attached report for the sole purpose of assisting you in evaluating whether the accompanying Statement of Revenues and Expenses for the University of Wisconsin-Milwaukee Athletics Department is in compliance with National Collegiate Athletic Association Constitution 3.2.4.16 for the year ended June 30, 2014. The University of Wisconsin-Milwaukee administration and staff are responsible for the Statement of Revenues and Expenses and the University's compliance with those requirements.

This agreed-upon-procedures engagement was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants as well as requirements enumerated in the NCAA Constitution and common auditing practices. The sufficiency of these procedures is solely the responsibility of those parties specified in this report. Consequently, we make no representation regarding the sufficiency of the procedures described in the Report on Agreed-Upon Procedures either for the purpose for which this report has been requested or for any other purpose.

We were not engaged to and did not conduct an examination, the objective of which would be the expression of an opinion on the accompanying Statement of Revenues and Expenses. Accordingly, we do not express such an opinion. Had we performed additional procedures, other matters might have come to our attention that would have been reported to you.

This report is intended solely for the information and use of the Chancellor, management of the University of Wisconsin-Milwaukee, the Athletics Department, and authorized representatives of the National Collegiate Athletic Association and is not intended to be, and should not be, used by anyone other than these specified parties.

KellySelner

Kelly Selner Internal Audit University of Wisconsin-Green Bay

Attachments

ćc:

Vice Chancellor Robin Van Harpen Athletic Director Amanda Braun Associate Athletic Director Charlie Gross Director of Internal Audit Paul Rediske University of Wisconsin System Chief Audit Executive Lori Stortz

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INDEPENDENT ACCOUNTANT'S REPORT ON THE APPLICATION OF AGREED-UPON PROCEDURES

<u>Scope</u>

We performed the minimum agreed-upon procedures for revenues and expenses associated with the UW-Milwaukee Athletics Department for activities occurring in fiscal year 2014, which are reflected in the attached unaudited *Statement of Revenues and Expenses*. Our report includes procedures and findings for each area of review.

Revenues

For all revenues reviewed, we performed agreed-upon procedures. We compared and agreed each operating revenue category reported in the statement during the reporting period to supporting schedules provided by the institution, compared and agreed a sample of operating revenue receipts obtained from the operating revenue supporting schedules to adequate supporting documentation, and compared each major revenue account to prior period amounts and budget estimates. We also obtained and documented an understanding of any significant variations.

Expenses

For all expenses reviewed, we performed agreed-upon procedures. We compared and agreed each operating expense category reported in the statement during the reporting period to supporting schedules provided by the institution, and compared and agreed a sample of operating expenses obtained from supporting schedules to adequate supporting documentation. We also compared and agreed each major expense account to prior period amounts and budget estimates, in addition to obtaining and documenting an understanding of any significant variations.

Conclusion

The performed agreed-upon procedures revealed no exceptions that would materially affect the fair presentation of the University of Wisconsin-Milwaukee's *Statement of Revenues and Expenses* as required by National Collegiate Athletic Association (NCAA) Constitution, Article 3.2.4.16, for the year ended June 30, 2014.

UNIVERSITY OF WISCONSIN-MILWAUKEE ATHLETICS DEPARTMENT UNAUDITED STATEMENT OF REVENUES AND EXPENSES FOR THE YEAR ENDED JUNE 30, 2014

| REVENUES: | B | MEN'S ASKETBALI | | WOMEN'S ASKETBALL | | other <u>Sports</u> | | NON- PROGRAM <u>SPECIFIC</u> | I, | <u>TOTAL</u> |
|--|----------|--|-----------------|----------------------|---------|------------------------|---------|------------------------------------|-------|-----------------------|
| 1 Ticket Sales | \$ | 175,863 | \$ | 12,300 | | \$ 29,121 | | ₿ - | | 217,28 |
| 2 Student Fees | \$ | - | \$ | - | 0 | ₿ - | 5 | 5,518,966 | 4 | 5,518,96 |
| 3 Guarantees | \$ | 35,000 | \$ | 21,500 | | 6 14,000 | | B | 9 | 70,50 |
| 4 Contributions | \$ | 80,542 | \$ | 27,577 | - | 145,743 | 4 | 288,673 | 5 | 542,53 |
| 5 Compensation and Benefits Provided by Third-Party | \$ | - | \$ | | 4 | ; - | ş | ; - | \$ | j - |
| 6 Direct State or Other Government Support | \$ | - | \$ | - | 4 | а | ş | 6 - | \$ | - |
| 7 Direct Institutional Support | \$ | 463,987 | \$ | 426,164 | \$ | 3,039,982 | ş | 271,808 | \$ | 4,201,94 |
| 8 Indirect Facilities and Administrative Support | \$ | | .\$ | | 4 | - | \$ | 440,000 | \$ | 440,00 |
| 9 NCAA/Conference Distributions | \$ | - | \$ | | \$ | | \$ | 579,657 | \$ | |
| 10 Broadcast, Television, Radio, and Internet Rights | \$ | _ | \$ | - | | | \$ | | \$ | |
| 11 Program Sales, Concessions, Novelty Sales, and Parking | \$ | | \$ | tion concerns | \$ | State and | \$ | harding | \$ | 88 |
| 12 Royalties, Licensing, Advertisements, and Sponsorships | \$ | 72,350 | \$ | 15,250 | \$ | 192 8 27 | \$ | | - 10 | and the second second |
| 13 Sports Camp Revenues | \$ | 30,561 | \$ | 24,080 | \$ | 126,161 | 9 \$ | | \$ 6 | 780,133 |
| 14 Endowment and Investment Income | \$ | 00,001 | \$ | 24,000 | Ψ \$ | 120,101 | \$ | 1,363 | \$ 6 | 182,16 |
| 15 Other | \$ | 16,886 | \$ | <u>ENERAL AND</u> | φ \$ | 950 | ф \$ | 89,021 | \$ \$ | 89,02 |
| 1 1 Wat 1 | | 10,000 | Ψ | | Ψ. | | φ | 4,051 | φ | 21,887 |
| 16 Total Operating Revenue | \$ | 875,189 | \$ | 526,871 | \$ | 3,393,457 | \$ | 7,849,457 | \$ | 12,644,973 |
| EXPENSES: | | | s | | | | | , | | 18 |
| 17 Athletic Student Aid | \$ | 385,637 | \$ | 398,671 | \$ | 2,891,937 | \$ | - | \$ | 3,676,246 |
| 8 Guarantees | \$ | 9,000 | \$ | 500 | \$ | 4,000 | \$ | 1.1.1.1 | \$ | 13,500 |
| 19 Coaching Salaries, Benefits, and Bonuses Paid by the University and Related Entities | \$ | 942,894 | \$ | 375,892 | \$ | 1,151,205 | \$. | - | \$ | 2,469,992 |
| 0 Coaching Compensation and Benefits Paid By a Third Party | \$ | | \$ [.] | - | \$ | - | \$ | - * | \$ | |
| 21 Support Staff/Administrative Salaries, Benefits, and Bonusës Paid by the University and Related Entities | \$ | 171,259 | \$ | 122,381 | \$ | 4,587 * | \$2 | ,293,553 | \$ | 2,591,780 |
| 2 Support Staff/Administrative Other Compensation and Benefits Paid by a Third Party | \$ | _ | \$ | | \$ | · | \$ | | \$ | |
| 3 Severance Payments | \$ | 1.22.00 (0.0.1.5 M | \$ | | \$ | (395) | | | \$ | 156,033 |
| 4 Recruiting | \$ | 1 | \$ | | \$ | Constanting of the | | | | |
| 5 Team Travel | \$ | a state of all | - | No. Contraction | | 43,338 | | 2. <u>14 9. 2. 2.</u> 2. | \$ | 161,298 |
| 6 Equipment, Uniforms, and Supplies | \$ | | \$ | 84,915 | | | \$ | 1 | \$ | 933,917 |
| 7 Game Expenses | \$ | 107,642 | \$ | 55,726 | - | TRACK THE AL | \$ | | \$ | 565,343 |
| B Fundralsing, Marketing, and Promotion | \$ | A TOLE AND A | 10121 | 57,708 | | | \$. | | \$ | 235,715 |
| 9 Sport Camp Expenses | 1 Martin | | \$. c | 41,169 | | Marries Lives | | 1 Carlos Carlo | \$ | 543,991 |
| | \$ | 9,007 | | 7,539 | | | \$ | 4,854 | | 63,909 |
| D Direct Facilities, Maintenance, and Rental | \$ | The second s | \$ | | | 21,910 | \$ | 165,012 \$ | - | -389,053 |
| L Spirit Groups | \$ | a construction of the | \$ | | ; | Navier Contra | \$ | 27,031 \$ | 5 | 27,031 |
| Indirect Facilities and Administrative Support | \$ | - (| 5 | - \$ | 1 | - | \$ | 440,000 \$ | 3 | 440,000 |
| Medical Expenses and Medical Insurance | \$ | - 4 | 6 | - \$ | | 1,944 | 6 | 86,531 \$ | 5 | 88,475 |
| Membership and Dues | \$ | 1,885 \$ | 5 | 2,360 \$ | | 4,177 | ß | 7,033 \$ | | 15,455 |
| Other Operating Expenses | \$ | 85,294 \$ | 3 | 15,057 \$ | | 34,748 | 5 | 430,119 \$ | | 565,218 |
| Total Operating Expenses | \$ 2 | ,427,653 \$ | | 375,467 \$ | | 086,371 | - | 47,464 \$ | - | ,936,956 |

EXCESS (DEFICIENCY) OF REVENUES OVER (UNDER) EXPENSES \$ (1,552,464) \$ (848,597) \$ (1,692,914) \$ 3,801,993 \$ (613,589)

ATTACHMENT B

6,

NOTES TO STATEMENT OF REVENUES AND EXPENSES FOR THE YEAR ENDED JUNE 30, 2014

Note 1: Summary of Significant Accounting Policies

The University of Wisconsin-Milwaukee uses a fund accounting system of financial reporting. The main premise of fund accounting is to facilitate the stewardship of financial resources and ensure that these resources are budgeted, received and expended in compliance with legal requirements. The University of Wisconsin-Milwaukee has established policies and procedures to ensure that all university assets, including those in the custody of Athletics are appropriated acquired, utilized and disposed.

The Statement of Revenues and Expenses has been prepared on the budgetary basis of accounting, which is a comprehensive basis of accounting other than generally accepted accounting principles (GAAP). The budgetary basis for the UW-Milwaukee Athletics Department represents the accounting principles used in managing its operations in accordance with its budgetary procedures. The Government Accounting Standards Board establishes generally accepted accounting principles for states and local governmental units in the UW-Milwaukee Athletics Department. The most significant differences between the budgetary basis and GAAP (full accrual basis) are as follows:

- Capital asset acquisitions funded by revenues are reported as expenditures rather than as capital assets.
- Depreciation on capital assets is not reported.
- In-kind contributions are reported as revenues and expenditures in the year the goods were
 received or services provided to the Athletic Department.

The format for the Statement of Revenues and Expenses is based upon the prescribed format of the NCAA.

Note 2: Reporting Entity

The UW-Milwaukee Athletics Department is responsible for intercollegiate sports programs of the University. The Director of Athletics is responsible for the day-to-day operation of the Athletics Department. The Athletics Department is ultimately governed by the University's Chancellor through authority delegated by the Board of Regents of the University of Wisconsin System, which is a unit of the State of Wisconsin.

The Statement of Revenues and Expenses includes all functions related to intercollegiate athletics at UW-Milwaukee as well as the accounts of all affiliated organizations under the direct accounting control of the UW-Milwaukee Athletics Department. All of the accounts of these affiliated organizations are at the University of Wisconsin–Milwaukee Foundation.

There are no organizations affiliated with UW-Milwaukee Athletics that are not under the direct accounting control of the UW-Milwaukee Athletics Department.

Note 3: Contributions

The UW-Milwaukee Athletics Department receives contributions in support of various intercollegiate sports programs. With the exception of certain donations that are made directly to the UW-Milwaukee Athletics Department (primarily in-kind donations), all contributions are initially remitted to the UW-Milwaukee. Milwaukee Foundation which is the official not-for-profit fund raising corporation for UW-Milwaukee.

The Athletic Department uses contributions to support the annual operations. These contributions are reported as fundraising revenues and are recorded as revenue when they are received.

Note 4: Significant Contributions

In the fiscal year 2013-14, the Foundation received contributions for the Athletic Department from one donor individually constituting greater than ten percent of all contributions received by the Foundation for Athletic Department purposes. The total contributions received from Harris Turer was \$137,600.

Note 5: Contributions and Endowments Held by the University of Wisconsin-Milwaukee Foundation

As of June 30, 2014, the Athletic Department had \$1,264,097 of fundraising, endowment and other investments held at the Foundation. The Athletic Department will draw funds from the Foundation as needed to finance expenditures of the department.

Note 6: Commitments and Contingencies

During the ordinary course of business, the UW-Milwaukee Athletics Department enters into various longterm commitments with third parties including employment contracts for coaches and administrators.

A major commitment in effect at June 30, 2014 was a license agreement with Wisconsin Center District for the US Cellular Arena for the purpose of promoting, managing, occupying and using the facility for the UW-Milwaukee Men's Basketball Program. This agreement started during the 2013-14 season and runs through 2017-18. During fiscal year 2013-14, the UW-Milwaukee Athletics Department paid Wisconsin Center District \$192,058 under the terms of this agreement.

Note 7: Related Party Transactions

The University provides various administrative and accounting services to the Athletic Department. The cost of these services is charged to the Athletic Department. In addition, the Foundation provides various administrative and accounting services to the Athletic Department.

| •3 | 2 | | 201 | 2012 - 2013 | NCAA D | ivision] | [Acade1 | nic Prog | NCAA Division I Academic Progress Rate | ATT | ATTACHMENT C |
|-----------|--|--|--|--|--|---|--|---|--|--|--|
| ÷ | Institution: University of Wisconsin, Milwaukee | niversity of W | Visconsin, Mi | lwaukee | | Public Report | eport | Date of R | Date of Renort: 05/06/2014 | | |
| | This report is based on 1 2012-13 academic years. | based on NC mic years. | AA Division | I Academic | Progress Re | tte (APR) o | lata submit | ted by the i | nstitution for th | This report is based on NCAA Division I Academic Progress Rate (APR) data submitted by the institution for the 2009-10, 2010-11, 2011-12 and 2012-13 academic years. | 1, 2011-12 an |
| 0. 301 | [Note: All information contained in this report is fo In accordance with the Family Educational Rights three or fewer student-athletes have been suppresse data made after this date.] | rmation cont with the Fan student-athle r this date.] | zined in this r tily Educatio tes have been | | four academ nd Privacy , and are indi | ic years. Sc 4ct's (FER) icated by an | me squads PA's) interp 1 * symbol. | may still ha retation of J The inform | ve small sampl federal privacy ation in this rep | [Note: All information contained in this report is for four academic years. Some squads may still have small sample sizes within certain sport groups. In accordance with the Family Educational Rights and Privacy Act's (FERPA's) interpretation of federal privacy regulations, data cells containing three or fewer student-athletes have been suppressed and are indicated by an * symbol. The information in this report does not reflect any changes to data made after this date.] | n sport group ells containin any changes i |
| - 15 | 8 1 | | | μ. | | | | | | | .5 |
| | The following chart r academic data as final | chart repres as final. | ents by-sport | APR avera | ges for note | d subgroup | s. National | l aggregates | are based on | The following chart represents by-sport APR averages for noted subgroups. National aggregates are based on all squads that have certified their academic data as final. | certified the |
| | Sport (N) | Multiyear APR | 2012-2013 APR | Percentile Rank within Sport | Percentile Rank within All Sports | All Divison I | P u b l i c Institutions | Private Institutions | Football Bowl Subdivision | Football Championship Subdivision | Division I (Non- Football) |
| | | | | | | By Sport - Men's | Men's | | | | |
| | Baseball (297) | .096 | 166 | 30th-40th | 20th-30th | 967 | -962 | 978 | 1/6 | | 964 |
| e. | Basketball (346) | 806 | 852 | 1st-10th | 1st-10th | 957 | 952 | 968 | 961 | 955 | 954 |
| | Cross Country (312) | 266 | 0001 | 70th-80th | 80th-90th | 776 | 972 | 986 | 186 | 975 | 974 |
| | Football (244) | NÅ | NA | . NA | NA | 951 | 947 | 964 | 047 | | 000 |
| e e | * Denotes data represe | infing three or fewe | r student-athletes. Ir | 1 accordance with 1 | FFR PA's internreta | tion of federal | | | 100 | * Denotes data representing three or fewer student athletes. In accordance with FFRPA's intermetation of federal minute constructions and a second a | 882 |
| K. | report in cells made up of three or fewer students without student consent. N/A = No APR or not applicable. N = N immer of feams represented | up of three or fewer applicable. | r students without st | udent consent. | | | uvacy regmanon | s, insumons snot | ud not disclose statisti | cal data contained in this | |
| , | ¹ Denotes APR that does not subject the team to ineligibility for postseason competition based on institutional, athletics and student resources and the team's Graduation Success Rate. ² Denotes APR that does not subject the team to ineligibility for postseason competition due to the team's Graduation Success Rate. | tepresented. Ses not subject the te tes not subject the te | sam to incligibility f sam to incligibility f | or postseason com or postseason com | petition based on i petition due to the | nstitutional, athle team's demonstr | etics and student ated academic in | resources and the. | team's Graduation Suc | cess Rate. | |
| | ² Denotes APR first does not subject the team to ineligibility for postseason competition due to the squad-size adjustment. The "upper confidence boundary" of a team's ineligibility for postseason competition. Squad-size adjustment does not apply to teams with four years of APR data and a multiyear control of 30 or more student-athletes. ⁴ Denotes APR that does not subject the team to penalties due to the team's demonstrated academic immoview. | bes not subject the 1 ason competition. S ies not subject the te | ceam to ineligibility quad-size adjustmer sam to penalties due | for postseason con it does not apply to to the team's dem- | npetition due to th o teams with four y onstrated academic | e squad-size adji ears of APR datt | ustment. The "up a and a multiyear | per confidence bo | nundary" of a team's A tore student-athletes. | competition due to the squad-size adjustment. The "upper confidence boundary" of a team's APR must be below 930 for that team to be subject to y to teams with four years of APR data and a multiyear cohort of 30 or more student-athletes. | tt team to be subject |
| 82 | ²⁵ Denotes APR that does not subject the team to penalities due to the squad-size adjustment. The "upper confidence adjustment does not apply to teams with four years of APR data and a multiyear cohort of 30 or more student-athletes. | oes not subject the pply to teams with f | team to penalties d our years of APR da | ue to the squad-si ita and a multiyear | ze adjustment. The cohort of 30 or me | a "upper confide ore student-athle | nce boundary" o tes. | if a team's APR m | ust be below 930 for | ⁻⁵ Denotes APR that does not subject the team to penalties due to the squad-size adjustment. The "upper confidence boundary" of a team's APR must be below 930 for that team to be subject to penalties. The squad-size adjustment does not apply to teams with foury sears of APR data and a multiyear cohort of 30 or more student-athletes. | ialties. The squad-s |
| | Denotes APR based on a one year cofnort, not subject to ineligibility for postseason competition and/or any penalties. ⁷ Denotes APR based on a two year cohort, not subject to inelisibility for notsesson commetition and/or any commetities. | on a one year cohor on a two year cohor | t, not subject to inel t. not subject to inel | igibility for postse igibility for nostse | ason competition a | nd/or any penalt | ies. | | | | 84 |
| a | ⁸ Denotes that team is not subject to ineligibility for postseason competition and/or penaltics based on institutional resources. | not subject to inelig | gibility for postsease | in competition and | /or penalties based | on institutional | resources. | | 2 | | 0 |
| | Denotes that team's APR data is under review. | APR data is under r | eview. | | ŝ | U. | | | c a | | а |

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2012 - 2013 NCAA Division I Academic Progress Rate

Public Report

Institution: University of Wisconsin, Milwaukee

Date of Report: 05/06/2014

| Statistics and a statistical statistics of the | A STATES | Second of Frank | and the restored of the | | | | | | | |
|--|-----------------|-----------------------------|---------------------------------|---|--------------------|-----------------------------|-------------------------|------------------------------|---|-------------------------------|
| Sport (N) | Multiyear APR | Multiyear APR 2012-2013 APR | Percentile Rank within Sport | Percentile Rank within All Sports | All Divison I | P u b I i c Institutions | Private Institutions | Football Bowl Subdivision | Bowl Football Championship Subdivision | Division I (Non- Football) |
| Fencing (18) | NA | NA. | NA | NA | - 980 - | 970 | 985 | 6/6 | 980 | 084 |
| Golf (299) | NA | NA | NA . | NA | 975 | 116 | 983 | 983 | 679 | 100 |
| Gymnastics (16) | . NA | NA | · NA | NA | 981 | 186 | 686 | 983 | 986 | 051 |
| Ice Hockey (59) | NA | NA | NA | NA | - 984 | 679 | 986 | - 985 | 605 | 100 |
| Lacrosse (63) | NA | NA | NA | NA | 975 | 969 | 978 | . 980 | 974 | 100 |
| Sking (11) | NA | NA | NA | NA | 978 | 972 | -786 | 987 | 985 | 212 |
| Soccer (203) | 931 | 964 | 1st-10th | Ist-10th | . 016 | 964 | . 975 | 696 | 073 | 020 |
| Swimming (134) | <i>551</i> | 156 | 10th-20th | 10th-20th | 977 | 1/6 | - 986 | 978 | . 0.75 | |
| Tennis (261) | NA : | NÅ | NA | NA | LL6 | 974 | -983 | 980 | 074 | 2/0 |
| Track, Indoor (256) | <i>LL</i> 6 | 679 | 60th-70th | 40th-50th | 967 | 961 | - 186 | . 696 . | 996 | 978 |
| Track, Outdoor (279) | - <i>L'L6</i> - | 619 | 50th-60th | 40th-50th | 969 | 964 | 982 | 126 | 696 | 696 |
| Volleyball (22) | NA | NA | NA | NA | 981 | 116 | 985 | ; 979 | 086 | 000 |
| Water Polo (22) | NA | NA | . NA | NA | 983 | 978 | 986 | 686 | 082 | 700 |
| Wrestling (77) | NA | NA | NA | NA . | 962 | 958 | . 673 | 967 | 960 | 0/6 |
| | | | | | By Sport - Women's | Vomen's | | | | 2011 |
| | | | | | | | | | | |

* Denotes data representing three or fewer student-athletes. In accordance with FERPA's interpretation of federal privacy regulations, institutions should not disclose statistical data contained in this report in cells made up of three or fewer students without student consent.

N/A = No APR or not applicable.

N = Number of teams represented.

Denotes APR that does not subject the team to ineligibility for postseason competition based on institutional, athletics and student resources and the team's Graduation Success Rate.

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⁷ Denotes APR based on a two year cohort, not subject to ineligibility for postseason competition and/or any penalties.

⁸ Dénotes that team is not subject to ineligibility for postseason competition and/or penaltics based on institutional resources ⁹ Denotes that team's APR data is under review.

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ATTACHMENT C

2012 - 2013 NCAA Division I Academic Progress Rate

Public Report

Date of Report: 05/06/2014

Institution: University of Wisconsin, Milwaukee

| | | | | | | | | | | and the second se |
|------------------------|---------------|---|---------------------------------|---|---------------|-----------------------------|-------------------------|------------------------------|---|---|
| Sport (N) | Multiyear APR | Multiyear APR 2012-2013 APR Percentile Rank | Percentile Rank within Sport | Percentile Rank within All Sports | All Divison I | P u b l i c Institutions | Private Institutions | Football Bowl Subdivision | Football Championship Division I (Non- Subdivision | Division I (Non- Football) |
| Basketball (344) | 972 | 968. | 40th-50th | 30th-40th | 973 | 968 | 983 | 973 | 974 | 072 |
| Bowling (34) | ŅĄ | NA | NA | NA | 126 | 969 | . 976 | 166 | U YYD | 000 |
| Cross Country (342) | 51.6 | 978 | 20th-30th | 40th-50th | 985 | 982 | 166 | 985 | 984 | 984 |
| Fencing (22) | ŅA | NA : | NA | NA | - 282 | 980 | 066 | 983 | 992 | 986 |
| Field Hockey (79) | NA. | NA | NA | NA | - 886 | 985. | 066 | 986 | 166 | 984 |
| Golf (261) | NA. | NA | NA - | NA | 985 | 983 | 166 | 980 | . 002 | tov |
| Gymnastics (61) | NA | NA | NA | NA | - 066 | 1.99 | 066 | 001 | 600 | 106 |
| Ice Hockey (35) | NA | NA | NA | NA | 066 | -987 | 100 | UDD | 766 | C84 |
| Lacrosse (100) | NA | NA: | NÀ | NA | 986 | 186 | 989 | | 766 | 989 |
| Rowing (88) | NA | NA | - NA - | NA | 988 | 986 | 989 | 986 | 700 | 782 |
| Skiing (12) | NA | NA - | NA | NA | 990 | 988 | 993 | 983 | 100 | 200 |
| Soccer (322) | 989 | 984 | 60th-70th | 60th-7.0th | 982 | 980 | 987 | 984 | 186 | C66 |
| Softball (290) | NA | . NA | NA | NA | 980 | 976 | 988 | 983 | . 446 | 010 |
| Swimming (196). | . 970 | . 941 | 1st-10th | 30th-40th | 987 | 985 | 066 | 988 | 986 | 712 |
| Tennis (321) | - 116 | . 933 | 30th-40th | 40th-50th | . 886 | 980 | 988 | 985 | 086 | 084 |
| | | | | | | | | | | |

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2012 - 2013 NCAA Division I Academic Progress Rate

Public Report

Date of Report: 05/06/2014

Institution: University of Wisconsin, Milwaukee

Division I (Non-Football) 983 979 186 983 NA Football Championship Subdivision *LL*6 978 979 983 963 Football Bowl 980 982 979 984 Subdivision 987 **Private** Institutions 989 964 988 166 166 P u b l i c Institutions 975 978 976 974 779 By Sport - Co-Ed All Divison I 980 982 983 976 978 within All Percentile Rank 30th-40th 30th-40th 70th-80th NA NA Sports Percentile Rank within Sport 20th-30th 20th-30th 60th-70th NA NA Multiyear APR 2012-2013 APR 945 945 983 NA NA .066 179 126 NA NA Indoor Outdoor Volleyball (329) Water Polo (33) Sport (N) Rifle (22) Track, Track, (316) (324)

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Denotes APR based on a one year cohort, not subject to ineligibility for postseason competition and/or any penalties.

Denotes APR based on a two year cohort, not subject to ineligibility for postseason competition and/or any penalities.

⁸ Denotes that team is not subject to ineligibility for postseason competition and/or penaltics based on institutional resources.

Denotes that team's APR data is under review.

| 2012 - 2013 NCAA Division I Academic Progress Rate | | ATTACHMENT |
|---|---------------|------------|
| Penalty Summary | | |
| Institution: University of Wisconsin, Milwaukee Date of Report: 05/06/2014 | 05/06/2014 | Ĩ |
| | | |
| | 27 | C |
| This report is based on data submitted by the institution for the 2009-10, 2010-11, 2011-12 and 2012-13 academic years. | ademic years. | Ē |
| Access to Postseason Competition for the 2014 - 2015 Acadamic Vocu | | |
| Teal and the teal and teal | Ical | |
| The following teams at your institution are ineligible for postseason competition. | | |
| | | |
| Men's Basketball | | |
| | | |

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Summary of Penalties for the 2014 - 2015 Academic Year

This report provides a summary of the teams identified at your institution that are subject to Level One, Level Two or Level Three penalties. The penalty structure has a cumulative effect that continues to apply each year as a team progresses through the structure. Each year a team fails to meet the APP requirements, another level of penalties will be added.

| Inda | APR | | | renauy - Level 1W0 | Penalty - Level Three |
|------------------|-------|------------|---|--------------------|-----------------------|
| Men's Basketball | , 806 | T evel One | The concount The sheet to be dear at the second | しいことにあるという | いたのあるというないでのないので |
| | | | ALTO ADDITION TO JUST AND TO DOURS OF COUNTABLE | 22 24 | |
| | | | activity per week. | • | |

¹ Specific information on the playing and practice season penalty may be located in the APP General Summary document located on the Reports tab within the APP data collection portal ³ Denotes that team is not subject to ineligibility for postseason competition based on institutional, athletics and student resources and the team's Graduation Success Rate. ⁴ The team is also subject to a penalty that was previously conditionally waived; however, the team failed to meet the condition and the penalty must now be imposed. Denotes that team is not subject to ineligibility for postseason competition due to the team's demonstrated academic improvement.

The team's Level One penalty has been waived.

The team's Level Two penalty has been waived.

The team is subject to a penalty that was previously conditionally waived; however, the team failed to meet the condition and the penalty must now be imposed. [†]The team's Postseason ineligibility has been waived.

Page 5 of 5

¹⁰ The team's penalty waiver request is pending.

¹¹ Denotes that team's APR data is under review.

Public Report

Institution: University of Wisconsin, Milwaukee

Date of Report: 06/09/2013

This report is based on NCAA Division I Academic Progress Rate (APR) data submitted by the institution for the 2008-09, 2009-10, 2010-11 and 2011-12 academic years.

In accordance with the Family Educational Rights and Privacy Act's (FERPA's) interpretation of federal privacy regulations, data cells containing three or fewer student-athletes have been suppressed and are indicated by an * symbol. The information in this report does not reflect any changes to [Note: All information contained in this report is for four academic years. Some squads may still have small sample sizes within certain sport groups. data made after this dare.)

The following chart represents by-sport APR averages for noted subgroups.

| | R 2011-2012 APR | Maltývar.APR 2011-2012 APR Freeste Sank | within Sports | ALI Divison I | All All Divison I P. u D I i c Institutions | Private Institutions | Football Bowl Subdivision | Bowl Football Championship Division I (Non- Subdivision | Division I (Non- Football) |
|--|--|---|-----------------------|--------------------|--|-------------------------|------------------------------|--|-------------------------------|
| | | • | | By Sport - Men's | Men's | | | | |
| Baseball (298) 961 | 185 | 30th-40th | 20th-30th | | 656 | 779 | 970 | 961 | 965 |
| Basketball (346) 941 | 942 · | Soth-40th | 1st-10th | 952 | . 945 | - <u>967</u> | 957 | . 947 | 953 |
| Cross Country 979 (315) | 1000 | 40th-Soth | 40th-50th | 575 | 179 | 983- | 619 | 670 | 976 |
| Football (245) NA | NA. | . NA | NA | 949 | . 944 | 964 | 954 | 943 | NA |
| Fencing (18) NA | NA | . NA | NA | 515 | 696 | 61.6 | 577 | 926 | 171 |
| * Denotes data therees of from evidence in a scored and a visit with Date to inverse of a scored and a final and a scored at the score | يريم جدائا سار قاراني مريد جدايا سار قارانيا مريد | Tringenting and the states of | 100 Do te terrenterin | ion of field and a | | | | | |

NA = No.AFK of not applicable. N = Number of teams represented

Denotes APR that does not subject the team to incligibility for postsenson competition based on institutional, achietics and student resources and the team's Garduation Success Rate

Denotes APR that does not subject the team to ineligibility for postsenson competition due to the team's demoastrated academic improvement.

Denotes APR that does not subject the team to ineligibility for postsenson compatition due to the squad-size adjustment. The "upper confidence boundary" of a team's APR must be below 900 for that team to be subject to neligibility. for posterson competition. Squad-size adjustment does not apply to teams with four years of APR data and a multivear cohort of 30 or more student-athletes

Denotes: APR that does not subject the team to penalities due to the team's demonstrated academic improvement.

Denotes APR that does not subject the term to peralties due to the squad-size adjustment. The "upper confidence boundary" of a term's APR must be below 900 for that term to be subject to peralties. The squad-size Denotes APR bused on a one year collor. not subject to incligibility for possesson competition and/or any penalties djustment does not apply to teams with four years of APR date and a multiyear cohort of 30 or more sudent-athlees

בינויניבי בי משביר מיום ריווי ביות שייר ביני שיישישי ול משופאיותין זיו ועיציאישיע לעוויטיו אווי מי שיי אי שיין משמינה אלת מבכל מה ב דאס איבר כסאמר המל צווטיבו למ מבווקומוווע למי ממצבומה כמותפווומה בחלומי באי איבווויה.

Denotes that team is not subject to incligibility for possesson compatition and/or penaltics based on institutional resources.

Denotes that term's APR dam is mder review.

Public Report

Institution: University of Wisconsin, Milwaukee

Date of Report: 06/09/2013

| | | | ··· | | · · · · | л | 1 | <u> </u> | 1 | <u> </u> | | 1 | 1 | 1 | T |
|---|------------|-----------------|-----------------|---------------|-------------|--------------|----------------|--------------|------------------------|-------------------------|-----------------|-----------------|----------------|--------------------|------------------|
| Championship Division I (Non- | 696 | 596 | - 876 | 967 | 960 | . 296 | 977 | 974 | 967 | . 970 | 577 | 968 | 955 | | .726 |
| Bowl Football Championship Subdivision | 026 | 986 | 566 | 974 | -988 | 726 | 576 | 9.70 | 196. | 964. | 582 | 166 | 960 | | 1/26 |
| Football Bowl Sabdīvision | £86 . | 980 | 586 | | 186 | 696 | . 977 | 978 | 967 | 696 | 978 | 589 | 965 | | 572 |
| Private Institutions | 981 | 975 | 586 | - 575 | 992 | 976 | - 586 | 086 | 980 | 982 | 983 | 186 | 973 | | 086 |
| P u b l č c Institutions | 1.16 | 979 | 116 | 226 | 696 | - 961 | 972 | . 970 . | 958 | . 961 | 974 | 816 | 956 | Vomen's | 2967 |
| All Divison I | 974 | 979 | 983 | 974 | 978 | 696 | 9/6 | 574 | 596, | 968 | 978 | 980 | 961 | By Sport - Women's | 972 |
| Percentile Rank within All Sports | NA | NA | NA | NA | NA | 1st-10th | 20th-30th | NA | 10th-20th | 10th-20th | NA. | NA | NA | | 40th-Soth |
| Percentile Rank within Sport | NÀ | NA | NA | NA | NA | Ist-loth | 10拍-20拍 | NA . | 20th-S0th | 20th-30th | NA | .NA | ŅA | | · 60th-70th |
| Multiyar APR 2011-2012 APR | NA | NA | NA | NA | ŇA | 910 | 649 | NA | .126 | 951 | NA | NA | NA NA | | 983 |
| Multiyear APR | NA | NA | NA | NA | . NA | 939 | 961 | NĄ | 950 - | - 954 | NA ' | NA | NA : | | 980 |
| Sport (N) | Golf (297) | Gymnastics (16) | Ice Hockey (58) | Lacrosse (61) | Skiing (11) | Soccer (203) | Swimming (137) | Tennîs (261) | Track, Indoor (250) | Track. Outdoor (230) | Völleyball (22) | Water Polo (22) | Wrestling (77) | | Baskerball (344) |

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Denotes A PR based on a one year cohort, not subject to ineligibility for postsusion competition and/or any peralities.

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ATTACHMENT C

Public Report

Institution: University of Wisconsin, Millwaukee

Date of Report: 06/09/2013

| . Sport (N) | Multiveer APR | Multiyear APR 2011-2012 APR Percentic Rank | Percentile Rank within Spore | Percentle Rank within All Sports | All Divison I | P & b I 5 c Institutions | Private Institutions | Footdail Bowi Subdivision | Football Subdivisio | Championship Division I (Non- n |
|------------------------|---------------|--|---------------------------------|--|-----------------|-----------------------------|-------------------------|------------------------------|------------------------|------------------------------------|
| Bowling (36) | NA | NA | NA | NA | 7 96 | 957 | 577 | . 186 | 955 | . 984 . |
| Cross Country (343) | 696 | 626 | 10th-20th | -30th-40th | 983 | 186 | 686 | 985 | . 186 | 985 |
| Fencing (22) | NA | · NA | NA | NA | 586 | -974 | 886 | 977 | 686 | 986 |
| Field Hockey (79) | NA | ŇА | NA | NA. | 686 | 586 | 566 | - 986 - | 662 | 988 |
| Golf (258) | NA | NA | . NA | NA | 986 | - +86 | 166 | 988 | 984 | 986 |
| Gymnastics (61) | NA | NA | NA | NA | 686 | 686 | 588 | 686 | - 992 | 186 |
| Ice Hockey (34) | NA | NA | NA | NA | - 989 | 585 | 166 | 988 | 565 | . 186 |
| Lacrosse (93) | NA | AN' | NA | NA | 886 | 984 | 686 | 886. | 587 | 286 |
| Rowing (86) | ŇA | NA | NA | NA | 986 | 984 | 886 | 984 | 686 | 985 |
| Skiing (12) | NA | NA | NA | NA | 886 | 586 | . 994 | 974 | 994 | 865 |
| Soccer (322) | 988 | 1000 | - 60th-70th | 60th-70th | 185 | 978 | 282 | - 583 - | 826 | |
| Softball (289) | NA | NA | NA | NA | 978 | 974 | 886 | 286 | 975. | 578 |
| Swimming (197) | 982 . | 985 | 20th-30th | SOth-60th | - 986 | 984 | 686 | 587 | - 586 - | - 986 |
| Ternís (322) | 1000 | 1000 | 90th-100th | 90th-1.00th | - 585 | 086 | 186 | 985 | 086 | 585 |

Demotes data regressenting three or fewer student-athletes. In accordance with FERPA's interpretation of federal privacy regulations, institutions should not disclose statistical dera contrined in this report in cells made up of three or fewer students without student consent.

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Denotes that team's APR data is under review

Public Report

Date of Report: 06/09/2013

Institution: University of Wisconsin, Milwaukee

| Sport (N) | Maltiyear APR | XAV 2102-1102 | Maltiver APR 2011-2012 APR Prittin Sport | Percentile R within Sports | ank All Divison I P. u b 1 i c All Divison I Institutions | P. u b l i c Institutions | Private Institutions | Football Bowl Sabdīvision | Bowl Football Championship Division | Division I (Non- Football) |
|-------------------------|---------------|---------------|--|----------------------------------|--|------------------------------|-------------------------|------------------------------|-------------------------------------|-------------------------------|
| Track, Indoor (314) | 970 | 565 | 30th-40th | 30th-40th | 116 | 972 | 587 | 978 | 973 | 979 |
| Track, Outdoor (322) | 970 | 692 | 20th-30th | 30±h-40th | 845 | 974 | 886 | 979 | 975 | 186 |
| Volleyball (329) | 965 | 186 | 1 Oth-20th | 20th-30th | 086 | 976 | 066 . | 982 | 772 | 982 |
| Water Polo (34) | NA | NA | NĄ | NA | 085 | 970 | 686 | - 186 | . 978 | 982 |
| | | | | | By Sport-Co-Ed | Co-Ed | 2 5 | | | |
| Rifle (22) | NA | NA | NA | NA | 976 | 677 | 964 | 186 | 965 | . NA |

Derous data representing three or fewer student-athlettes. In accordance with FERPA's interpretation of federal privacy regulations, institutions should not disclose statistical data contained in this report in cells made up of three or fewer students without student consent.

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5 Denotes AFR that does not subject the team to penalties due to the squad-size adjustment. The "upper confidence boundary" of a team's APR must be below 900 for that team to be subject to penalties. The squad-size Denotes APR based on a one year cohort, not subject to incligibility for possenson competition and/or any paraities. adjustment does not apply to teams with four years of APR date and a multivear cohort of 50 or more student-athleres

7 Denotes APR based on a two year cohort, not subject to incligability for postseason competition and/or any parathes.

* Demotes that term is not subject to meligibility for possenson competition and/or penalties bused on institutional resources. 9 Demotes that term 's APR due is under review.

Public Report

Institution: University of Wisconsin, Milwaukee

Date of Report: 06/16/2012

This report is based on NCAA Division I Academic Progress Rate (APR) data submitted by the institution for the 2007-08, 2008-2009, 2009-10 and 2010-11 academic years. Note: All information contained in this report is for four academic years. Some squads may still have small sample sizes within certain sport groups. In accordance with the Family Educational Rights and Privacy Act's (FERPA's) interpretation of federal privacy regulations, data cells containing three or fewer student-athletes have been suppressed and are indicated by an * symbol. The information in this report does not reflect any changes to data made after this date.]

The following chart represents by-sport APR averages for noted subgroups.

| Sport (N) Multivear AP | R 2010-2011 APR | Multiver APR 2010-2011 APR within Sport | WITHIN Sports | All Division I Instintions | Institutions | Institutions | Subdivision | Subdivision Subdivision | Football) |
|----------------------------|-----------------|---|------------------|----------------------------|--------------|--------------|-------------|-------------------------|-----------|
| | | | | By Sport - Men's | Men's | | | | |
| Baseball (297) 951 | 932 | 20th-30th | 10th-20th | 965 | 959 | 976 | 970 | 961. | 196 |
| Basketball (344) 941 | 966 | 30th-40th | -710th-20th | 950 | 943 | 965 | 954 | 946 | 156 |
| Cross Country 978 (314) | 586 | 40th-50th | Soth-60th | 973 | 970 | 086 | 619 | - 016 | - 575 |
| Football (243) NA | - NA | NA. | NA | 948 | -943 | 963 | 952 | 944 | NA |
| Fencing (18) NA | NA | NA | NA | 970 | 958 . | 572 | 186 | 179 | 948 |

report in cents made up of intree of fewer students withour student con MA = No. APR of not applicable.

N = Number of terms represented.

Denotes APR duat does not subject the term to incligibility for postserison competition based on institutional, athletics and student resources and the term's Graduation Success Rate,

Denotes APR that does not subject the term to ineligibility for postsenson competition due to the term's demotstrated andemic improvement.

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Denotes APR based on a one year coaort, not subject to incligibility for postsenson competition and/or any penalties.

Denoits APR tased on a nwo year cohort, not subject to ineligibility for posseausan competition and/or any penalties. Denoites that team is not subject to ineligibility for posseason competition and/or penalties based on institutional resources.

Denotes that there is not surjust to intrust outry to possesson competition and/or permittee orger on menuator Denotes that term 's APR' data 's under review.

Public Report

Institution: University of Wisconsin, Milwaukee

Date of Report: 06/16/2012

| Sport (X) | Multiyeer APR | Multiver APR 2019-2011 APR | Fercendle Rank withic Sport | Percentle Rank within All Sports | All Divison I | P u b l i c Institutions | Private Institutions | Football Bowl Sübdöväidu | Bowl Football Championship Division I (Non- Subdivision | Dřrisřon I (Non- Football) |
|---|---------------------|----------------------------|--------------------------------|--|--------------------|-----------------------------|-------------------------|-----------------------------|--|-------------------------------|
| Golf (298) | NA . | NA. | · NA | NA . | 973 | 969 | 186 | 980 | 696 | . 970 |
| Gymnastics (16) | NA. | NA | NA | NA | 583 | 583 | 085 | 585 | 286 | 025 |
| Ice Hockey (58) | NA | NA | NA | NA | 982 | 51.6 | 989 | 086 | 565 | 085 |
| Lacrosse (60) | NA | NA . | NA | AN N | 972 | 970 | 973 | 186 | . 975 | 962 |
| Sking (11) | NA. | NA . | NA | NA | 575 | 964 | 566 | 975 | . 586 | . 963 |
| Soccer (203) | 856 | 696 | Ist-10th | Ist-10th | 968 | 096 | 976 | · 896 | 226 | 966 |
| Swimming (137) | 957 | 1000 | 10년~20년 | 20th-30th | <i>₹16</i> | 696 | 285 | 976 | 572 | 975 |
| Tennis (260) | NA | NA | NA | NA | 573 | 969 | 619 | × 577 | 016 | 972 |
| Track, Indoor (258) | - 943 | 976 | 10th-20th | 10th-20th | - 596 | 956 | 679 | · > 595 | 096 | 964 |
| Track, Outdoor (277) | r 947 | \$76 • | 20th-30th | 10th-20th | 965 | 856 | 086 | - 366 | 596 | 965 |
| Volleyball (23) | NA I | NA | NA | NA | 976 | 1/6 | 286 | 677 | . 285 | 978 |
| Water Polo (22) | NA . | NA I | NA | NA | 61.6 | 826 | 036 | 886 | 565 | 965 |
| Wrestling (80) | AN I | NA | NA | NĂ | 096. | 955 | . 52.6 | 964 | 926 | 957 |
| | | | | | By Sport - Women's | Vomen's | | | _ | |
| Basketball (342) | 696 (| 1000 | 40th-Soth | Soth-40th | 970 | 965 | 086 | 1126 - 1 | 896 | 176 |
| Denotes data repres | sering three or few | wer student-athletes. | . În accordance with | · · | tion of federal p | rivacy regulation | ks, institutions sho | uld not disclose statist | Denotes data representing three or fewer student-athletes. In accordance with FERPA's interpretation of federal privacy regulations, institutions should not discloss statistical data contained in this | |

report in cells made up of three or fewer students without student consent. N/A TNO APR OF BOL ZUPITCIDIC.

N = Number of terms represented

Denous APR that does not subject the team to incligibility for possesson competition based on institutional, achietics and student resources and the team's Graduation Stecles Rate.

Denotes APR. that does not subject the term to heligibility for possesson competition due to the term's demonstrated academic improvement.

* Denotes APR that does not subject the term to incligibility for possesson competition due to the sound-size adjustment. The "upper confidence boundary" of a term's APR must be below 900 for that term to be subject to incligibility for possesson competition. Squad-size adjustment does not apply to teams with four years of APR dam and a multiyear cohort of 30 or more student-athletes.

Denotes APR, that does not subject the team to penaltics due to the team's demonstrated academic improvement.

⁵ Denotes APR that does not subject the team to penalties due to the squad-size adjustment. The "upper confidence boundary" of a team's APR must be below 900 for that team to be subject to penalties. The squad-size adjustment does not apply to teams with four years of APR data and a multiver cobort of 30 or more student-athletes.

4

Denotes A2R bused on 2 two year cohort not subject to ineligibility for possesson compection and/or any penalites. Denotes APR tased on a one year cohort, not subject to ineligibility for postsenson competition and/or any penalties.

Denotes that term is not subject to incligibility for possesson competition and/or penalties based on institutional resources.

Denotes that team's APR data is under teview.

ATTACHMENT C

Public Report

Date of Report: 06/16/2012

Institution: University of Wisconsin, Milwaukee

| 947 G | | 100 | | | | | | | | | | | | | - |
|--|--------------|------------------------|--------------|----------------------|------------|-----------------|-----------------|---------------|-------------|-------------|--------------|----------------|----------------|--------------|------------|
| Division I (Non- Football) | 573 | 982 | 696 | 686 | - 586 | 985 | 186 | 982 | 983 | 585 | 185 | 578 | 986 | 236 . | |
| Bowl Football Championship Division I (Von- Subdivision | 949 | . 872 | 166 | 692 | 584 | 565 | 994 | \$85 | . 066 | 994 | 977 | 975 | 984 | 086 | |
| Football Bowl Subdivision | 985 | 786 | 973 | 286 | | 885 | 686. | 066 | 984 | 896 | 186 | 136 | 985 | 985 | |
| Private Institutious | 976 | 988 | 186 | 992 | 066 | 566 . | 686 | 786 | - 886 | 994 | 786 | - 886 | 988 | . 986 | |
| F d b l i c Institutions | 948 | 978 | 974 - | <i>L</i> 86 | 585 | 988 | - 584 | 986 | 983 | 975 | 976 | 974 | 983 | 980 | |
| All Divison I | 957 | 186 | 978 | 066 : | -985 | 886 | 186 | 186 | 986 | 585 | .086 | 81.6 | 985 | 582 | 3 |
| Percentile Rank within All Sports | NA | 30th-40th | NA. | NA | NA. | NA | NA | NA | NA | NA | 60th-70th | NA | 30th-40th | 90ch-100th | |
| Percentile Rank Withia Sport | NA | प्10ट-प10I | · NA | NA | NA . | NA | NA | N.A. | NA | NA | 604治-704泊 | NA | 10th-20th | -90th-100th | |
| Multiyear APR 2010-2011 APR | . NA | 1000 | NA | NA) | NA | NA | NA | NA | NA | NA | 166 | NA. | 964 | - 1000 | |
| Multiyear APR | NA | 967 | NA | NA | NA | NA | NA | NA | NA | NA | - 988 | NA | 972 | 1000 | <i>2</i> 1 |
| Sport (N) | Bowling (34) | Ćross Country (341) | Fencing (22) | Field Hockey (78) | Golf (254) | Gymnastics (62) | Ice Hockey (34) | Lacrosse (91) | Rowing (84) | Skiing (12) | Soccer (321) | Softball (288) | Swimming (196) | Tennis (321) | |

Demotes dan representing three or fewer student, adhletes. In accordance with FERPA's interpretation of fuderal privacy regulations, institutions should not disclose statistical dara commined in this report in cells made up of three or fewer students without student consent.

N/A - No APR or not applicable. N = Number of terms represented.

Denotes APR that does not subject the term to ineligibility for postenson competition based on institutional, athleties and student resources and the term's Greduation Success Rate.

Denotes APR that does not subject the team to inclicibility for postsenson competition due to the team's demonstrated academic improvement.

Denotes APR that does not subject the team to ineligibility for postsenson competition due to the squad-size adjustment. The "upper confidence boundary" of a team's APR must be below 900 for that team to be subject to

incligibility for postserson competition. Squad-size adjustment does not apply to terms with four years of APR dam and a multiverr cohort of 30 or more student-athletes Demotes APR that does not subjett the team to penalties due to the team's demonstrated deadenic improvement.

Denotes APR that does not subject the team to penalties due to the squad-size adjustment. The "upper confidence boundary" of a team's APR must be below 900 for that team to be subject to penalties. The squad-size dijustment does not apply to reems with four years of APR data and a multiyear coffort of 30 or more student-athletes

⁶ Denotes AFR based on a one year cohor, not subject to incligibility for postsensen competition and/or any penatities.

⁷ Denotes APR based on a two year cohort not subject to incligibility for postserson competition and/or any penalties.

⁸ Denotes that team is not subject to incligibility for postserson competition and/or penaltics based on institutional resources. ⁹ Denotes that team's APR data is under review.

Public Report

Institution: University of Wisconsin, Milwaukee

Date of Report: 06/16/2012

| | Sector and the sector of the s | | | And and a statement of the little has been as a statement of the statement | | | | | | | |
|-------------------|--|---------------|---|--|---------------------------------|------------------|-------------------------------|-------------------------|-------------------------------|---|-------------------------------|
| Sport (N) | 3 | Multiyear APR | Muitiyar APR 2016-2011 APR Percentis Rank | Percentile Rank within Sport | Percentic R within Sports | Alf Divison I | All All Divison I P z b.I.i.c | Private Institutions | Footballi Bawl Subdivision | Football Bowl Football Championship Division I (Non- Subdivision Subdivision | Dëvision i (Non- Football) |
| Track, (312) | Indcor | 996 | 983 | 20th-30th | 30th-40th | 574 | 969 | 986 | 975 | 972 | 974 |
| Track. ((318) | Track. Outdoor (318) | 966 | - 683 | 20th-30th | 30th-40th | · 975 | 026 | 186 | 976 | 974 | 975 |
| Volleyball (327) | U (327) | 996 | 1000 | 10th-20th | 30th-40th | 980 | 976 | 988 | 982 | 978 | 980 |
| Water Polo (33) | ilo (33) | NA | NA I | NA | NA | 980 | 973 | 986 | 619 | 886 | 879 |
| | | | 10 | • | | By Sport - Co-Ed | Co-Ed | | 8 | | |
| Rifle (23) | ગ | NA. | NA. | NA | NA | 973 | 577 | 949 | . £86 | 956 | 948 |
| | | | | | | | | | | A CONTRACTOR OF | |

Denotes dam representing three or fewer student-athletes. In accordance with FEREA's interprention of federal privacy regulations, insulations should not disclose statistical dam contrined in this report in cells made up of three or fewer sudence without student consent.

VA = No APR or not applicable.

N = Number of teams represented.

Denotes APR that does not subject the team to indigibility for postseason competition based on institutional, uthleties and student resources and the team's Graduation Success Rate.

Demotes APR that does not subject the team to incligibility for postsesson competition due to the team's demonstrated academic improvement.

Denotes APR that does not subject the team to incleability for possesson competition due to the squad-size adjustment. The "upper confidence boundary" of a team's APR must be below 900 for that team to be subject to neligibility for potseason compatition. Squad-size adjustmant does not apply to trants with four ytars of APR data and a multiyear conort of 30 or more student-athletes.

Demotes APR that does not subject the term to penalties due to the term's demonstrated academic improvement.

Denotes APR that does not subject the term to peralities due to the sepad-size adjustment. The "upper confidence boundary" of a term's APR must be below 900 for that num to be subject to puralities. The squad-size Denotes APR based on a one year cohort, not subject to incligibility for postsenson compenition and/or any penaltics. adjustment does not apply to teams with four years of APR dam and a multiyear cohort of 30 or more sudentrathietes.

Denotes for a succe of a more than anyon the success to instanting the possessed companion and or any permanes. Denotes APR based on a two year cohort, not subject to incligibility for postesason competition and/or any perables,

o device for some of a first proving the support of menganniny, for postenson compension and/or any penalines. Denoiss that team is not subject to incligibility for postenson competition and/or penalities based on institutional resources.

Denotes that neam's APR data is under review.

Graduation Success Rate Report

ATTACHMENT D

2004 - 2007 Cohorts: University of Wisconsin, Milwaukee

| 18 | (t) | | | | | | | |
|------|-------------|-------------------|-----|----------|-----------------------|--------------|-----|-------------------|
| Me | n's Sports | 2. K. | | × | Women's Sports | н. ж. | | ¥ |
| Spo | ort · | | GSR | Fed Rate | Sport | | GSR | Fed Rate |
| Bas | seball | | 70 | 88 | Basketball | 25 | 69 | 58 |
| Bas | ketball | | 50 | 22 | Bowling | · | - | E. |
| CC | /Track | 22 | 63 | 50 | CC/Track | • | 86 | 73 |
| Fen | cing | | - | - | Crew/Rowing | | - | - |
| Foo | tball | | - ' | - | Fencing | | - | - |
| Gol | f | | ۰ | | Field Hockey | | - | a i |
| Gyr | nnastics | | - | - | Golf | | - | |
| Ice | Hockey | e 51 | - | - | Gymnastics | | - | - |
| Lac | rosse | | 4 | | W. Ice Hockey | · • | - | - |
| Mix | ed Rifle | | - | - | Lacrosse | · •• • | | н: ^с а |
| Skii | ng | | - | | Skiing | | - | 7 |
| Soc | cer. | 200 100 100 | 75 | 47 | Soccer | | 83 | 63 |
| Swi | mming | | 63 | 53 | Softball | | • | |
| Ten | nis | | • | * | Swimming | a '). | 83 | , 70 |
| Voll | eyball | | - | H | Tennis | 3 | 100 | 70 |
| Wat | er Polo | | - | Η. | Volleyball | | 89 | 89 |
| Wre | stling | 1 1 | - | - | Water Polo | | - | · · |
| Men | 's Non-NCAA | Sponsor. Sports | - | - | Women's Non-NCAA Spor | nsor. Sports | Ě. | - |
| | | •••• | | | | | | |

University of Wisconsin, Milwaukee

ATTACHMENT D

| | and the second | | the second s |
|---|--|---|--|
| FRESHMAN-COHORT GRADUATION RATES | All Students | S | tudent-Athletes # |
| 2007-08 Graduation Rate | 46% | | 56% |
| Four-Class Average | 43% | | 62% |
| Student-Athlete Graduation Success Rate | | | 76% |
| | | | 20 |

1. Graduation-Rates Data

a. All Students

| | | | | | | | | | | | | | | | | | • | · · · · | | | |
|---|--------------|---------|------|--------|----|---|---|----|---------|------|--------|----|---|----|-----|--------|-------|---------|-----|---|----|
| | | Freshma | an R | ate | | 1 | | | Freshm | an R | ate | 2 | | | ×. | Freshm | ian R | late | | | 8 |
| | • 1 | Men | | | | | | | Women | | | • | | | | Total | | | | | |
| 3 | 8 19 | 2007-08 | 3 | 4-Clas | S | 2 | | ŝ. | 2007-08 | 3 | 4-Clas | S | | | | 2007-0 | 8 | 4-Clas | S · | | |
| | 8 | N · | % | N | % | | | | N. | % | N | % | | | | N | % | N | % | 0 | |
| | Am. Ind./AN | . 8 | 25 | 51 | 18 | | | • | 18 | 11 | 78 | 31 | | | | 26 | 15 | 129 | 26 | | |
| | Asian | 106 | 37 | 280 | 34 | | | | 77 | 43 | 257 | 35 | | | | 183 | 39 | 537 | 34 | | |
| | Black | 71 | 27 | 360 | 21 | | • | | 103 | 24 | 610 | 16 | × | | 13 | 174 | 25 | 970 | 18 | | |
| | Hispanic | 49 | 29 | 257 | 28 | | | | 56 | 25 | 277 | 26 | | | | 105 | 27 | 534 | 27 | 2 | |
| | Nat. Haw./PI | 0 | - | 114 | 25 | | | | 0 | • | 137 | 25 | | | | 0 | | 251 | 25 | | |
| | N-R Alien | 24 | 71 | 49 | 65 | | | | 8 | 50 | 18 | 22 | | | | 32 | 66 | · 67 | 54 | 2 | ij |
| | Two or More | 44 | 80 | 44 | 80 | | | | 47 | 66 | 47 | 66 | | | | 91 | 73 | 91 | 73 | | |
| | Unknown | 12 | 0 | 114 | 37 | | | | 15 | 0 | 109 | 40 | | | ¥2 | 27 | 0 | 223 | 39 | | |
| | White | 1533 | 47 | 6242 | 44 | | | | 1535 | 49 | 6661 | 47 | | | | 3068 | 48 | 12903 | 46 | | |
| | Total | 1847 | 46 | 7511 | 42 | | | | 1859 | 46 | 8194 | 43 | • | 18 | | 3706 | 46 | 15705 | 43 | | |
| | | 8 | | | | | | | | | | | | | 100 | | | | | | |

b. Student-Athletes

| - | | Freshm | an Ra | te | | *7 | |] | Freshma | ın Ra | te , | | | | Freshma | in Rat | e | | | |
|---|--------------|--------|-------|---------|-----|-----|----------|----|---------|-------|---------|------------------|-----|--------|---------|--------|---------|-----------------|-----|--------------|
| | | Men | | | 104 | | | 1 | Women | 22 | | | | 2 2 | Total | | 5 | Б | | 50 50 |
| | | 2007-0 | 8 | 4-Class | 5 | GSR | | 2 | 2007-08 | ÷ | 4-Class | | GSR | •. | 2007-08 | | 4-Class | (| GSR | 2 |
| | | N | % | N | % | N | % | | N | % | N | .% | N | % | Ń | % | N | % | N | % |
| | Am. Ind./AN | Q | | *** | *** | 0 | - | | 0 | M | · *** | *** | 0 | - | 0 | - | *** | *** | 0 | - |
| | Asian | 0 | - | 0 | - | 0 | ч , | | 0 | - | · · 0 | a n 7 | 0 | - | 0 | Ä | 0 | a . | 0 | . . . |
| | Black | 5 | 0 | 12 | 33 | 8 | 50 | 80 | 3 | 33 | ĺ2 | 58 | 14 | 64 | 8 | 13 | 24 | 46 | 22 | 59 |
| | Hispanic | *** | *** | *** | *** | 0 | - | | *** | *** | *** | *** | 0 | ш. | *** | *** | - *** , | *** | 0 | 1 0 |
| | Nat. Haw./PI | 0 | • • | 0 | - | Ņ | • | | 0 | - | 0 | | 0 | • | 0 | | Ò | - | 0 | - |
| | N-R Alien | *** | *** | *** | *** | *** | *** | | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** | *** |
| | Two or More | 0 | - | 0 | Η. | 0 | . | 23 | 0 | | , Ó | • | 0 | - | · 0 · | Ξ. | 0 | - | Q | Э. |
| | Unknown . | *** | *** | *** | *** | *** | *** | | *** | *** | *** | *** | *** | *** · | *** | *** | *** | *** | *** | *** |
| | White | 17 | 59 | 56 | 57 | 65 | 68 | | 29 | ·72 | 95 | 72 | 82 | 87 | 46 | 67 | 151 | 66 | 147 | 78 |
| | Total | 27 | 41 | 75 | 51 | 78 | 65 | | 34 | 68 | 110 | 69 | 98 | 84 | 61 | 56 | 185 | 62 | 176 | 76 |
| | | | 252 | | | | | | | | | | | | | | | | | |

c. Student-Athletes by Sport Category

Men's Basketball Men's CC/Track Baseball Freshman Rate Freshman Rate Freshman Rate 2007-08 4-Class GSR 2007-08 4-Class GSR 2007-08 4-Class GSR Am. Ind./AN Am. Ind./AN Am. Ind./AN Asian Asian Asian 25-а Black Black Black 50-a 100-a 0-a 14-b. Hispanic Hispanic Hispanic Nat, Haw./PI Nat. Haw./PI Nat. Haw./PI N-R.Alien N-R Alien 100-a 100-a N-R Alien Two or More Two or More Two or More -Unknown Unknown Unknown 0-a 0-a 70-d White 100-a 88-b White 0-a 50-a White 40-a 52-е 61-d Total 100-a 70-d Total 22-b 50-b Total 33-Ь 50-е 63-d 88-b 0-a Football Men's Other Freshman Rate Freshman Rate 2007-08 4-Class GSR 2007-08 4-Class GSR Am. Ind./AN Asian Black 0-a 67-a 67-a Hispanic 0-a 0-a Nat, Haw./PI -N-R Alien 100-a 100-a 100-a Two or More -Unknown 0-a 0-a 0-a White 60-b 54-e 72-е Total 50-e 68-é 47-c Women's Basketball Women's CC/Track Women's Other Freshman Rate Freshman Rate Freshman Rate 2007-08 4-Class 2007-08 2007-08 4-Class GSR GSR GSR 4-Class Am. Ind./AN Am. Ind./AN 0-a Am. Ind./AN Asian Asian -Asian 50-Ь Black 67-ь Black 100-a Black 100-a 100-a 0-a 71-b 40-a Hispanic Hispanic Hispanic Nat. Haw./PI Nat. Haw./PI Nat. Haw./PI -

100-a

-

-

100-с

86-е

-

-

82-d

73-е

60-a

67-b

N-R Alien

Unknown

White

Total

Two or More

-50-а

71-е

70-е

100-a

85-е

86-e

50-a

73-е

71-е

Values for N (a. 1-5, b. 6-10, c. 11-15, d. 16-20, e. greater than 20)

67-b

69-c

50-b

58-c

N-R Alien

Unknown

White

Total

Two or More

N-R Alien

Unknown -

White

Total

Two or More

100-a

50-a

ATTACHMENT D

2. Undergraduate-Enrollment Data (All full-time students enrolled Fall)

| | | • | | | 85 m | ATTACH | MENT D |
|-----------------|----------|------------|------------|---------------------|----------|------------|------------|
| a. All Students | Men N | Women N | Total N | b. Student-athletes | Men N | Women N | Total N |
| Am. Ind./AN | 40 | 6 · | 46 | Am. Ind./AN | 0 | 1 | 1 |
| Asian | 644 | 564 | 1208 | Asian | 0 | 0 | · 0 |
| Black | 692 | 1076 | 1768 | Black | . 10 | 17 | 27 |
| Hispanic | 315 | 324 | 639 | Hispanic | 0 | 0 | 0 |
| Nat. Haw./PI | · 8 | 6 | • 14 | Nat, Haw/PI | 0 | 0 | 0 |
| N-R Alien | 438 | 217 | 655 | N-R Alien | 0 | o | Ö |
| Two or More | 782 | 1022 | 1804 | Two or More | 0 | 0 | 0 |
| Unknown | 17 | 22 | 39 | Unknown | 30 | 16 | . 46 |
| White | 7940 | 7940 | 15880 | White | 93 | 99 | 192 |
| Total | 10876 | 11177 | 22053 | , Total | 133 | 133 | 266 |
| 2 C | | | | | | | |

c. Student-Athletes # By Sports Category

| Men | | | ×7 | | | | | ė. |
|--------------|---------------------------|------------|-------------|----------|-----------|----------|----------|-------|
| |)(* /) (* | Basketball | | Baseball | | CC/Track | Football | Other |
| Ain. Ind./AN | ~ . ~ | 0 | | 0 | | 0 | 0 | 0 |
| Asian | | 0 | | 0 | | 0 | 0 | 0 |
| Black | | - 4 | · · · · · · | 0 - | 22 34 | 6 | 0 | 0 |
| Hispanic | | 0 | | 0 | <u>20</u> | 0 | 0 | 0 |
| Nat, Haw./PI | | 0 | | . 0 | 13:02 | 0 | 0 | 0 |
| N-R Alien | | . 0 | | 0 | | 0 | 0 | 0 |
| Two or More | | . 0 | | Ö | | 0. | 0 | 0 |
| Unknown | | 4 | | 5 | | 4 | . Q | 17 |
| White | | . 5 | | - 26 | | 24 | 0 | 38 |
| Total | | 13 | | 31 | | 34 | 0 | 55 |
| | | | | | 105 | | ** | · |

| Women | | 846 e | . 3 | | | |
|-------------|------|------------|-----|----------|-----------------|-------|
| 0 | 5 Q | Basketball | | CC/Track | | Other |
| Am, Ind./AN | | 1 | 8 | 0 | | 0 |
| Asian | • • | Ó | | 0 | | ·0 |
| Black | | . 7 | | 8 | - 19 4 0 | 2 |
| Hispanic | 3 | 0. | | 0 . | | 0 - |
| Nat. Haw/PI | 2 | 0 | | 0 | | · 0 |
| N-R Alien | | 0 | | 0 | | 0 |
| Two or More | °• к | 0 | | 0 | , | Ò |
| Unknown | | 2 | | 7 | ••• | 7 |
| White | | 5 | • | 20 | | 74 |
| Total | | 15 · | | 35 | | 83 |

#Only student-athletes receiving athletics aid are included in this report. -

INFORMATION ABOUT THE GRADUATION RATES REPORT

ATTACHMENT D

Introduction.

This information sheet and the NCAA Graduation Rates Report have been prepared by the NCAA, based on data provided by the institution in compliance with NCAA Bylaw 18.4.2.2.1 (admissions and graduation-rate disclosure) and the federal Student Right-to-Know and Campus Security Act. The NCAA will distribute this sheet and the report to prospective student-athletes and parents.

The Graduation Rates Report provides information about two groups of students at the college or university identified at the top of the form: (1) all undergraduate students who were enrolled in a full-time program of studies for a degree and (2) student-athletes who received athletics aid from the college or university for any period of time during their entering year. [Note: Athletics aid is a grant, scholarship, tuition waiver or other assistance from a college or university that is awarded on the basis of a student's athletics ability.]

The report gives graduation information about students and student-athletes entering in 2007. This is the most recent graduating class for which the required six years of information is available. The report provides information about student-athletes who received athletics aid in one or more of eight sports categories: football, men's basketball, baseball, men's track/cross country, men's other sports and mixed sports, women's basketball, women's track/cross country and other women's sports. For each of those sports categories, it includes information in six self-reported racial or ethnic groups: American Indian or Alaska Native, Asian, Black or African-American, Hispanic or Latino, Native Hawaiian or Pacific Islander, nonresident alien, two or more races, White or non-Hispanic and unknown (not included in one of the other eight groups or not available) and the total (all nine groups combined).

A graduation rate (percent) is based on a comparison of the number (N) of students who entered a college or university and the number of those who graduated within six years. For example, if 100 students entered and 60 graduated within six years, the graduation rate is 60 percent. It is important to note that graduation rates are affected by a number of factors: some students may work part-time and need more than six years to graduate, some may leave school for a year or two to work or travel, some may transfer to another college or university or some may be dismissed for academic deficiencies.

Two different measures of graduation rates are presented in this report: (1) freshman-cohort rate and (2) Graduation Success Rate (GSR). The freshman-cohort rate indicates the percentage of freshmen who entered during a given academic year and graduated within six years. The GSR adds to the first-time freshmen, those students who entered midyear, as well as student-athletes who transferred into an institution and received athletics aid. In addition, the GSR will subtract students from the entering cohort who are considered allowable exclusions (i.e., those who either die or become permanently disabled, those who leave the school to join the armed forces, foreign services or attend a church mission), as well as those who left the institution prior to graduation, had athletics eligibility remaining and would have been academically eligible to compete had they returned to the institution.

Graduation Rates Report.

1. Graduation Rates Data. The box at the top of the Graduation Rates Report provides freshman-cohort graduation rates for all students and for student-athletes who received athletics aid at this college or university. Additionally, this box provides GSR data for the population of student-athletes. [Note: Pursuant to the Student-Right-to-Know Act, anytime a cell containing cohort numbers includes only one or two students, the data in that cell and one other will be suppressed so that no individual can be identified.]

ATTACHMENT D

a. All Students. This section provides the freshman-cohort graduation rates for all full-time, degree-seeking students by race or ethnic group. It shows the rate for men who entered as freshmen in 2007-08, and the fourclass average, which includes those who entered as freshmen 2004-05, 2005-06, 2006-07 and 2007-08. The same rates are provided for women. The total for 2007-08 is the rate for men and women combined and the four-class average is for all students who entered in 2004-05, 2005-06, 2006-07 and 2007-08.

b. Student-Athletes. This section provides the freshman-cohort graduation rates and also the GSR for studentathletes in each race and ethnic group who received athletics aid. Information is provided for men and women separately and for all student-athletes.

c. Student-Athletes by Sports Categories. This section provides the identified graduation rates as in 1-b for each of the eight sports categories. (The small letters indicate the value of N.)

2. Undergraduate Enrollment Data.

a. All Students. This section indicates the number of full-time, undergraduate, degree-seeking students enrolled for the 2013 fall term and the number of men and women in each racial or ethnic group.

b. Student-Athletes. This section identifies how many student-athletes were enrolled and received athletics aid for the 2013 fall term and the number of men and women in each racial or ethnic group.

c. Student-Athletes by Sports Categories. This section provides the enrollment data as identified in 3-b for each of the eight sports categories.

Graduation Success Rate Report

2003 - 2006 Cohorts: University of Wisconsin, Milwaukee

| <u>.</u> | | | | • | | | |
|---------------|------------------------------------|--------|------------|----------------------------------|---------------|------------|-------------|
| Men's Sports | | | × | Women's Sports | | | |
| Sport | 27 - 22 - 24 27 - 24 27 - 24 | GSR | Fed Rate | Sport | OSR | Fed Rate | |
| Baseball | * | 75 | 78 | Basketball | 80 · | 64 | |
| Basketball | | 67 | 40 | Bowling | - | | ¥ |
| CC/Track | | 72 | 48 | CC/Track | 84 | 77 | |
| Fencing | £. | | ` n | Crew/Rowing | . | • | 3 |
| Football | | - | - | Fencing | • | - | た 第 1 |
| Golf | 16 | κ. | | Field Hockey | el A | ÷ | 4 |
| Gymnastics | | · | - | Golf | A | - | |
| Ice Hockey | | . + | " (S) - * | Gymnastics | | | |
| Lacrosse | 92 1885 | 'n | н - | W. Ice Hockey | н | 344 | |
| Mixed Rifle | | • | ٠ | Lacrosse | 4 | ۳. | |
| Skling | <i>2</i> 3 | · · · | ÷., | Skiing | н | | |
| Soccer | | 78 | 67 | Soccer | 80 | 67 | |
| Swimming | | 56 | 47 | Softball | • | | |
| Tennis | | | 2 | Swimming | 76 | 70 | |
| Volleyball | | | ~ | Tennis | 100 | 75 | |
| Water Polo | а ж | | Ē | Volleyball | 100 | 100 | |
| Wrestling | ્ય | | | Water Polo | - | - | |
| Men's Non-NCA | A Sponsor, Spo | orts - | e | Women's Non-NCAA Sponsor. Sports | | | |
| | 1922 | e\$ 10 | 6 | .e | | - | |

ATTACHMENT D

Graduation Success Rate Report

2002 - 2005 Cohorts: University of Wisconsin, Milwaukee

| Men's Sports | | * | Women's Sports | 0 12 04 | |
|--------------------------------|------------|----------|----------------------------------|------------|-----------------|
| Sport | GSR | Fed Rate | Sport | GSR | Fed Rate |
| Baseball | 80. | 78 | Basketball | 75 | 60 |
| Basketball | 50 | 100 | Bowling | | |
| CC/Track | 85 | 63 | CC/Track | 85 | 73 [.] |
| Fencing | - | | Crew/Rowing | • | - |
| Football | • | e | Fending | - | • |
| Golf | - | | Field Hockey | | ч |
| Gymnastics | н | - | Golf | ۳. | 4 |
| Ice Hockey | ' - | • . | Gynmastics | - | |
| Lacrosse | 5. ••• | | W. Ice Hockey | н | • |
| Mixed Riffe | - | ~ | Lacrosse | v | •# |
| Skilug | | | Skling | - | а. - |
| Soccor | 83 | 75 | Soccer | 90 | 68 |
| Swimming | 60 | 44 | Sofiball | - | ्र |
| Tennis | - | | Swimming | 76 | 73 |
| Volleyball | | • • | Tennis | 100 | 80 |
| Water Polo | ч | n | Volleyball | 100 | · 92 |
| Wrestling | * | ~ | Water Polo | ù | - |
| Men's Non-NCAA Sponsor, Sports | | | Women's Non-NCAA Sponsor. Sports | • | |

Graduation Success Rate Comparision

ATTÄCHMENT E

| | | | | | HORIZO | Horizon League 2013-2014 | 2013-20 | 14 | | | ATTAC |
|---|-----------|----------------------|-----------|---------|--------|--------------------------|---------|------------|--------------|---------------|-------------------------|
| | Cleveland | | | | 1 | 5 | Wrieht | Younestown | | | |
| | State | Detroit ⁴ | Green Bay | Oakland | , UIC | Valno | State | State | Adition line | | i |
| Racahall | NLA | NIX . | | | | - | סומור | חומוב | INIIWaukee | | U VVINI KANK UI AVERAGE |
| | AN | NA | NA | 88 | 78 | 96 | 89 | 80 | 20 | 6/6 | 77 |
| Men's Basketball | 100 | 64 | 92 | 92 | 64 | 06 | 61 | 64 | 50 | 6/6 | C/ |
| Men's Soccer | 73 | . 67 | 82- | · 69 | 71 | 90 | 7.6 | NA | 75 | . 8/7 | 42 |
| Men's Swimming | .46 | NA | . 86 | 82 | 80 | 57 | 86 | NA | 63 | 5/7 | . 70 |
| Men's Track | NA | 79 | 100 | 62 | 59 | 67 | 86 | 74 | 8 8 | 6/8 | 78 |
| | | 28 | | | | | | | | 0.0 | 2 |
| Women's Basketball | -91 | 91 | 100 | 92 | 88 | 94 | 79 | 82 | 69 | 0/0 | οĽ. |
| Women's Soccer | 75 | 72 | 67 | 85 | NA | - 89 | 83 | 06 | 83 | 0/12 TA 10 | 200 |
| Women's Swimming | 78 . | NA | 100 | 60 | 94 | 92 | 95 | 86 86 | 5 6 | - 0/1- | 05 |
| Women's Tennis | .83 | 90 | 100 | 89 | .68 | 100 | 100 | 001 | 001 | 1410 | 32 |
| Women's Track | 100 | 91 | 100 | 83 | 100 | 100 | 80 | 85 RG | DULT N | C/T-1 | N N N |
| Volleyball | 100 | . AN | 100 | 64 | 100 | 100 | 88 | 91 | 8 8 | c/o1 | 00 |
| | | • | • | | | | | 1 | 6 | 0/0 | Te |
| Overall | 82 | 81 | 60 | 80 | 83 | . 68 | 79 | 76 | 76 | 10 | |
| 100 Jan 100 - 100 | | | | | | | | | 0/ | ומ/ת | 78 |

ATTACHMENT E

Academic Progress Rate Comparision Horizon League 2012-2013

| | Conclosed S | | | | Contraction of the local division of the loc | | 14 | | | | |
|-----------------------|-------------|---------|-----------|---------|--|-------|--------|------------|-----------|--------------|---------|
| | CIEVEIAIIQ | | | | | | Wright | Youngstown | 11 | UNAN | DI |
| | State | Detroit | Green Bay | Oakland | UIC | Valpo | State | State | Milwankee | Juca | Average |
| Baseball | NA | NA. | AN' | 979 | . 186 | 066 | 988 | . afo | טפט | Tr'Ir | AVCIAGE |
| Men's Basketball | 2066 | 958 | 066 | OFA . | 200 | 000 | | | | 10/0 | 207 |
| Man's Cross Countral | NTA | 100 | | | 070 | 200 | 202 | 948 | 908 | . 6/6 | 952 |
| MEILS CLUSS CUUILIY | NA | 985 | . 1000 | 955 | 963 | 1000 | 978 | 937 | 995 | 3/8 | 977 |
| Men's Soccer | 956 | 975 | 954 | 956 | 960 | 975 | 972 | NA | 021 | 0/0 | 010 |
| Men's Swimming | 985 | NA | 976 | 970 | 969 | 689 | 967 | VIV | 1 1 1 | 0/0 | 2/0 |
| Men's Track Indoor | NA | 979 | NA | 965 | 937 | 953 | NA | 200 | 105 | 1/1 | 116 |
| Men's Track Outdoor | NA . | 086 | VIV | 010 | 0.00 | | | 070 | 311 | 7/۵ | Pb1 |
| | | 202 | EN. | 2/2 | 738 | 953 | NA | 925 | 977 | 2/6 | 964 |
| | () | | | • | | 2 | | | | | |
| Women's Basketball | 960 | 186 | 1000 | 1000 | 951 | 995 | סנס | 055 | CEO | C L | |
| Women's Cross Country | 1000 | 1000 | 1000 | 06.0 | 100 | 0000 | | | 312 | 2/C | 9/3 |
| | 100 | DOD T | DODT. | 407 | TAA | 1000- | 975 | 974 | 975 . | T6/9 | 985 |
| | 985 | 989 | 975 | 978 | NA | 985 | 970 | 981 | 989 | T1/8 | 987 |
| Women's Swimming | 989 | AN. | 993 | 977 | 966 | 986 | 987 | 967 | 970 | 7/8 | 086 |
| Women's Tennis | 1000 | 980 | 982 | 967 | 951 | 967 | 982 | 977 | 077 | 0/1 | 000 |
| Women's Track Indoor | 1000 | 966 | NA | 973 | 994 | 1000 | 996 | 826 | 071 | 0/0 | 202 |
| Women's Track Outdoor | 1000 | 966 | NA | 973 | 994 | 1000 | 970 | 936 | . 172 | 0/0 | 010 |
| Volleyball | 995 | NA | 1000 | 979 | 989 | .066 | 972 | 970 | 7/2 | 0/0 T0 /0 | 200 |
| | | | | | | | | 222 | 000 | 0/c1 | 282 |

ATTACHMENT



Amanda Braun Director of Athletics University of Wisconsin-Milwaukee 3409 Downer Ave.

University of .: Detroit Herry

Cleveland State

University

University of Illinois at Chicago

Oakland University

Yalparaiso University

University of Ylisconsin-Green Bay

University of Wisconsin-Hilwaukee

Wight State University

Youngstown State University

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lancong sachte a libra.

Milwaukee, WI 53211

Dear Amanda:

Attached please find a copy of the 2014 Horizon League Financial Ald Review for Milwaukee. One of the biggest strengths of Milwaukee in the area of financial aid is the current system's multiple layers of checks and balances between the Financial Aid office and athletics. In addition, there is an immense amount of cooperation and communication between the Financial Ald Coordinator and the Athletic Department.

You will note that I have included several thoughts below about Milwaukee's financial aid process and procedure, especially the current strength of institutional control throughout the financial aid process. Specifically, this control is evidenced by the fact that the Financial Ald office is the department inputting and interpreting awards. One suggestion after being on campus for the review is to consider a switch to a uniform and consistent financial aid awarding system across all sports that will ease interpretation and entry of awards. I want to again remind you that all suggestions are voluntary, and are recommended actions that I believe would help improve or sustain your compliance department in the area of financial aid,

Please feel free to contact me with any questions or concerns you may have. I also want to thank you and your entire staff for your hospitality during the review. Everyone was well prepared, and Jessica and Jill did a great job ensuring that Kyle and I had everything we needed for the review.

Sincerely,

Christine Hälstead Assistant Commissioner-Governance/Compliance

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Pan American Plaza, Suite 500 / 201 S. Copitol Ave. / Indianapolls, Indiana 46225. / 317-237-5622 / (fax) 317-237-5620 / www.horitonfeague.org

2014 Horizon League Financial Ald Review January 4-5, 2014 FINAL Report University of Wisconsin-Milwaukee

C. Financial Aid Monitoring

Current Strengthst

- The Assistant Athletic Director for Compliance and the Financial Aid Coordinator communicate regularly regarding the aid received by student-athletes, changes in studentathletes' financial aid, and to inform each other of/monitor outside aid a student-athlete receives.
- 2. The Assistant Athletic Director for Compliance is the athletics liaison with the Financial Aid office. She communicates and works directly with Financial Aid Coordinator.
- Athletics responsibilities are listed in the Financial Aid Coordinator's job description. The Financial Aid Coordinator is the sole designated person coordinating the awarding of financial aid to student-athletes.
- 4. The Financial Aid Coordinator enters student-athlete aid packages into the institutions financial aid module and PAWS. The Assistant Athletic Director for Compliance inputs the student-athlete financial aid information directly onto the CAi software. The Financial Aid office signs off on the NCAA squad lists after comparing the numbers in CAi to the institutional system. This system ensures that someone outside of athletics is entering financial aid data and double checking the data stored in CAI.
- 5. The Financial Aid office prints a spreadsheet including all financial aid information that has been entered into the university system or CAI software and compliance distributes the sheet to each coach. This task serves as another way to check the amount of athletics aid available for student-athletes.
- All outside aid is held in the system and the Financial Aid Coordinator approves the outside aid before it is applied.
- 7. Student-athletes are flagged in the system, which ensures that no money will accidentally be given to a student-athlete without prior knowledge of the office of Financial Aid. Checks will not be distributed without the approval from the financial aid office and eligibility verification by compliance.
- 8. The institution has appropriate hearing procedures in place for dealing with issues arising out of financial aid disputes (i.e. nonrenewals, cancellations or gradations of aid), which are laid out in a recently updated student-athlete handbook.

- 9. The Assistant Athletic Director for Compliance and the Financial Aid office have a good procedure in place for issuing nonrenewal and renewal letters. Nonrenewal letters are sent directly from the Financial Aid Department by the Executive Director for Financial Aid to the student-athletes.
- 10. The Financial Aid office has set annual procedures to determine the institution's cost of attendance and value of a full grant-in-aid.
- 11. Overall, the current system that is in place is very sound and keeps the institution within NCAA rules and regulations. Since the last Horizon League audit in 2011, the current process has not seen much change, as it has been solidly in place, the Financial Aid Coordinator is comfortable with athletics after working with the department for about twenty years, and multiple layers of checks/balances are evident.

Suggestion for Improvement!

- It would be beneficial for the Financial Aid Coordinator to attend the NCAA Compliance Rules Seminars. It is important for the Financial Aid Coordinator to stay educated and knowledgeable about NCAA rules. The NCAA Compliance Rules Seminars offers a "financial aid track" that is a helpful learning opportunity.
- 2. We discussed a question regarding possibly changing the current financial aid process. The potential new process would require athletics to initially enter financial aid information for each student-athlete into the institution's financial aid module. The reason behind this question was regarding automation of entering data in a spreadsheet. In our opinion, the institution still needs to ensure that someone outside of athletics is inputting and interpreting final financial aid amounts. This suggestion is based on the NCAA principle that there has to be institutional controls set for financial aid awarding. Athletics should not be the sole department entering and interpreting financial aid awards for student-athletes, as they are not experts or well-versed in the nuances of awarding.
- The athletics department should move all sports to a percentage based system of financial aid, which would allow for uniformity, easier NCAA limitations tracking and simpler conversions to dollar amounts when data is initially entered into any system.

Rick Costello Director of Athletics University of Wisconsin-Milwaukee 3409 Downer Ave, Milwaukee, WI 53211

Dear Rick:

Attached please find a copy of the 2011 Horizon League Compliance Review for Milwaukce. One of the biggest strengths of Milwaukee in the area of compliance is the commitment to rules compliance by all institutional personnel. The Chancellor puts a strong emphasis on adherence to NCAA rules that is reflected in many areas, including the cooperation between non-athletic departments, such as Admissions and Financial Aid, and the Athletic Department. Athletic Administrators and coaches have a good understanding of the Importance of rules compliance and appear committed to staying within the NCAA rules.

You will note that I have indicated several suggestions for improvement. I want to again remind you that all suggestions are voluntary, and are recommended actions that I believe would help improve your compliance department. The two areas that are the most important to address right now are the following: The reorganization of the equipment issuance and retrieval process and supplying both the compliance and gendemic departments with some help, whether hired or in the form of interns.

Please feel free to contact me with any questions or concerns you may have. I also want to hank you and your entire slaff for your hospitality during the roview. Everyone was well prepared, and Kevin did a great job ensuring that Stephanie and I had everything we needed for the review.

Sincerely,

Cel

Christine Halstead Director of Student-Athlete Affairs

Keyin Fitzgerald

2011 Horizon League Compliance Review February 15-17, 2011 Final Report University of Wisconsin-Milwaukee

A. Governance and Institutional Control

Current Strongths;

- The Director of Athletics reports directly to the Chancellor and is part of his cabinet. The Chancellor meets twice a month with the Director of Athletics and is kept apprised of all important matters regarding NCAA rules compliance.
- The Chancellor is notified of all issues that arise in the area of NCAA rules compliance in a timely manner and is involved in all major personnel decisions.
- 3. The Faculty Athletic Representative communicates regularly with the Director of Athletics, the Senior Woman Administrator, and the Assistant Athletic Director for Compliance regarding NCAA rules compliance, including, but not limited to: secondary violations, academic issues and the review of policies and procedures.
- 4. There is an established Athletics Board which meets regularly to advise on issues related to athletics and student welfare. There is a good mix of faculty, students, and administrators on the board. The minutes are open to the public.
- 5. The Chancellor is involved with the hiring/liring of high profile conches.
- 6. There are established procedures for the reporting and investigation of alleged rules violations, which have been followed in the most recent violations. The institution has also reported an appropriate number of secondary violations in past years. The Chancellor is kept apprised of all violations.
- 7. Letters of appointment and coaches' contracts reference compliance with NCAA rules, and all performance evaluations contain an evaluation of their adherence to NCAA rules. Further, every new athletic staff member meets with the Assistant Athletics Director for Compliance to review NCAA compliance expectations and signs an agreement to comply with NCAA rules.

- 8. The Chancellor is kept up to date on APR and academic success.
- 9. The Chancellor approves and oversees the athletic budget.
- 10. The athletic department receives outstanding support from the financial aid office and the enrollment services office, and the liaisons have established an excellent working relationship with the Assistant Athletic Director for Compliance.
- 11. An outside audit firms reviews the athletic department on a periodic basis,
- 12, The Athletic Department has an appropriate procedure in place to ensure any APR penalties are imposed if necessary.
- 13. The institution has a missed classed policy that was approved by the faculty senate.
- 14. The Faculty Athletic Representative has a written job description. The FAR is appointed by the chancellor and has bi-annual meeting. An annual performance review is conducted by the Athletic Board Chair and the Athletic Director who will communicate the results to the Chancellor.

Suggestions for Improvement:

- 1. The NCAA is requiring more monitoring in compliance than over before. UWM has a relatively small compliance staff and may not be able to adequately monitor everything that the NCAA requires. It would be highly beneficial to the athletic department to hire an additional staff member in the area of compliance to help further monitor all sports.
- The Faculty Athletic Representative should attend SAAC meetings and receive minutes from all meetings. The FAR should also help conduct some studentathleto exit interviews at the end of the year.
- B, Eligibility Certification

Current Strengths:

- 1. The institution has an excellent system for verifying the eligibility status of student-athletes. The Assistant Athletic Director for Compliance and the Enrollment Services Liaison certify the eligibility of each student-athlete.
- The Enrollment Services flatson receives a copy of the NCAA Eligibility Center Report for each incoming student-athlete and verifies their initial and continuing eligibility.

- The academic advisor meets with student-athletes prior to registering for classes for each semester.
- 4. Student-Athletes are flagged in the system and there is a block in place to ensure student-athletes cannot drop below full-time. Student-athletes can't drop below 12 credits. Student-athletes are also given priority registration to enable them to schedule classes that will not conflict with competition or practice.
- The Admissions office does not receive undue pressure from any athletics department staff members regarding the admissions status of prospective studentathletes.
- 6. The Admissions Office evaluates transcripts of prospective transfers to determine how many hours they have earned in conjunction with the academic advisors through student services and if necessary, the International Office, and then they are able to advise transfers of how many credits they need to take prior to transfer.
- Por eligibility purposes, the Enrollment Services Liaison inputs the student-athlete information into CAI.
- The Birollment Services Linison attends the NCAA Compliance Rules Seminars. It is important for them to stay educated about NCAA rules and it is a good learning opportunity.
- The Enrollment Services Linison reviews and oversees the APR. This is a new procedure implemented from the fast audit.

Suggestions for Improvements

- 1. It would be valuable for the institution to hire an additional staff member in the area of academic advising. This individual can help insure that each althete is receiving the necessary academic support.
- Once a prospective student-athlete has signs a National Letter of Intent it would be beneficial they could be flagged as a student-athlete. This will ald compliance admissions liaison and monitor admission status.
- C. Financial Aid Monitoring

Current Strengllist

 The Assistant Athletic Director for Compliance and the Assistant Director of Financial Aid communicate regularly regarding the aid received by studentathletes, and inform each other of any outside aid a student-athlete receives. 2. The Institution has appropriate hearing procedures in place for dealing with issues arising out of financial aid disputes.

 Athletics responsibilities are listed in the Assistant Director of Financial Ald's job description.

 Student-athletes are flagged in the system, which ensures that no money will accidentally be given to a student-athlete without prior knowledge of the office of Financial Aid. Checks will not be distributed without the approval from the financial aid office.

5. The Assistant Athletic Director for Compliance inputs the student-athlete financial aid information directly onto the CAI software and the Financial Aid office signs off on it.

- 6. The Pinancial Aid office creates a spreadsheet with financial aid information from the Assistant Athletic Director for Compliance for each coach.
- The Assistant Athletic Director for Compliance and the Financial Aid office have a good procedure in place for issuing nonrenewal and renewal letters. Both letters are sent directly from the Financial Aid Department to the student-athletes.
- 8. The Financial Aid department includes an appeals process policy in the studentathlete handbook.

Suggestion for Improvements

 It would be beneficial for the Assistant Director of Financial Aid to attend the NCAA Compliance Rules Seminars. It is important for the assistant director of financial aid to stay educated about NCAA rules and it is a good learning opportunity.

2. The Assistant Director for Financial Aid should be reviewing the CAI financial aid information at the beginning of the year.

D. Recrnitment Monitoring

Current Strengths:

- Official visit monitoring is very good. The student hosts are given written education about the do's and don'ts of hosting and are required to fill out a report detailing their activated after the visit.
- Conches are required to submit recruiting logs to the compliance office regularly. All conches interviewed appeared to have a good system for ensuring the calls permitted per week rule was followed.

 Unofficial visits are monitored and records are kept regarding complimentary admissions provided to prospects and reviewed by the compliance office.

, The compliance office reviews all recruiting expense paperwork submitted by conches to ensure compliance with recruiting rules.

Suggestion for Improvement:

1. Text messaging violations have become more prevalent in NCAA as of late and have led to significant penalties. The institution should monitor cell phone text message records.

E. Playing and Practice Senson and Outside Competition

Current Strengths:

- Coaches complete pluying and practice season declarations in a timely manner and submit them to the compliance office for approval. Team captains sign the forms as well.
- 2. All student-athletes interviewed indicated that their conches stayed within the permissible number of practice hours. Coaches are required to turn in regular logs detailing their CARA hours. In addition, all student-athletes interviewed indicated that summer workouts were not required or recorded by coaches.
- 3. Basketball student-athletes were informed about summer league through their conches. They are required to fill out a summer league request form.

Suggestion for Improvement:

- Per bylaw 3.2.4.14, the FAR should approve the schedule prior to the beginning of each regular neadennic term for men's basketball in order to monitor missed class time.
- F. Rules Education

Current Strengths:

 There are regular rules education meetings that all coaches and selected staff members are required to attend. The Director of Athletics is very supportive of the Rules Education provided by the compliance office.

2. Rules education is also done via email on lopics of interest to the entire athletic department staff.

- The institution provides NCAA rules education to all new employees. Additional information is given regarding their specific area of employment.
- 4. New coaches are given additional rules compliance and are educated on NCAA rules and institutional compliance policies. This is a new procedure implemented from the last audit.

G. Amateurism, Extra Benefits, Boosters and Agents

Current Strengths:

- Boosters are well educated about extra benefits. Educational material is provided on their website along with a booklet provided to boosters, as well as information that is distributed to all donors and to season ticket holders.
- Student-athletes are well educated about permissible and non-permissible activities related to agents. Student-athletes who were interviewed said that their coaches had talked to them about agents and that they informed their coaches when they received correspondence.

 Student-athletes are required to sign for all per diem money received during travel.

- The Assistant Athletic Director for Compliance monitors the anateurism status of all incoming and current student-athletes.
- 5. The Assistant Athletic Director for Compliance receives travel rosters and reviews travel expense reports.

Suggestions for Improvement:

- 1. All sports should provide detailed lists of all apparel and equipment to the Assistant Athletic Director for Compliance who should monitor what is reusable or is nonreusable. Also, all sports need to submit a list regarding all equipment they have received to ensure proper monitoring. The institution should place a hold on student-athletes' accounts if required things are not returned.
- 2. The institution should have a written policy regarding what equipment is reusable.
- The Assistant Athletic Director for Compliance should give a presentation at the booster huncheon to provide in-person rules education.
- All memorabilin and donation requests need to go through the Assistant Athletic Director for Compliance to ensure that each entity receiving a donation is acceptable per NCAA rules.

NCAA Oversight Certification Letter

May 18, 2015

Michael J. Falbo, Board of Regents President Ray Cross, UW System President Van Hise Hall 1220 Linden Dr. Madison, Wisconsin 53706

Dear Presidents Falbo and Cross:

In connection with your oversight of the UW-Milwaukee's intercollegiate athletics program, we confirm, to the best of our knowledge and belief, the following:

- 1. We have self-reported any known or suspected violations of NCAA rules and regulations. A list of reported NCAA violations are listed in the Annual Report submitted on 5/18/15.
- 2. There are no known or suspected illegal acts or non-compliance with federal, state, or local law by individuals employed by the institution, or individuals who serve as an agent of the institution.
- 3. There are no investigations/monitoring reviews currently underway by the NCAA, law enforcement officials, or others.
- 4. We have no knowledge of any allegations of fraud or suspected fraud affecting intercollegiate athletics received in communications from employees, former employees, or others.
- 5. There have been no modifications to the institution's gender-equity plan from our previous self-study conducted May 1, 2008, and all aspects of the plan have been fully implemented.

- 6. We have processes in place to ensure background checks are performed on all coaches, assistance coaches, contractors, volunteers, student employees, and other individuals serving as an agent of the institution related to intercollegiate athletics. These processes apply to those involved with offering camps and clinics, and others who participate in activities involving children. Adverse outcomes of background checks are evaluated pursuant to UWM's Criminal Background Check Policy, last updated February 13, 2013, which is consistent with the Board of Regents Policy 20-19 University of Wisconsin System Criminal Background Check Policy.
- 7. We understand our fiduciary responsibilities to act in the best interest of the institution even if it conflicts with the immediate interests of the athletic department.
- 8. The athletic department has not intervened when a student-athlete is being disciplined under regular student conduct rules.

Yours truly,

1. Mone

Mark Mone Chancellor

Athletics Director

Jessica Kumke Athletics Compliance Officer

Charlie Gross

Athletics Hinancial Officer



May 18, 2015

Michael J. Falbo, President, UW System Board of Regents Raymond W. Cross, President, UW System Van Hise Hall 1220 Linden Drive Madison, Wisconsin 53706

Dear Presidents Falbo and Cross,

In 2012 the Board of Regents adopted the *Accountability Reporting Framework for NCAA Intercollegiate Athletics Programs*. The intent of the *Accountability Reporting Framework* is to allow for the proper discharge of the Board of Regents' fiduciary responsibility to ensure (1) the well-being and success of UW System student-athletes; (2) the financial viability of UW athletics programs; (3) the success of the academic mission of its institutions; and (4) good lines of communication between UW institutions, the UW System President, and the UW Board of Regents.

To ensure the Board of Regents is informed and knowledgeable about intercollegiate athletics, the above-referenced *Accountability Reporting Framework* requires each Chancellor of an institution that participates in Division I athletics to furnish to the Board of Regents, on an annual basis, information that addresses academic, fiscal, and compliance issues of intercollegiate athletics. In addition, the Board of Regents has asked the institutional auditor to perform certain procedures to validate the information reported.

Attached is a report that describes the validation procedures performed by the UW-Milwaukee Internal Audit Department on the information submitted on behalf of the UW-Milwaukee Athletics Department.

Very truly yours,

Paul W. Rediske

Paul W. Rediske Director of Internal Audit

Attachment

THE UNIVERSITY OF WISCONSIN-MILWAUKEE INTERNAL AUDIT DEPARTMENT

ACCOUNTABILITY REPORTING FRAMEWORK FOR NCAA INTERCOLLEGIATE ATHLETICS PROGRAM VALIDATION PROCEDURES MAY 18, 2015

Internal Audit has reviewed the information filed on behalf of the Athletics Department with respect to the *Accountability Reporting Framework for NCAA Intercollegiate Athletics Programs.*

Internal Audit performed certain validation procedures on the information submitted. This work was conducted on a test basis as deemed appropriate and sufficient by Internal Audit. The procedures that were performed are described below:

- 1. Reviewed the entire submission (i.e., *Annual Report* and *NCAA Oversight Certification Letter*) to ensure all required materials were included.
- 2. Independently corroborated, with UWM officials outside of the Athletics Department, responses to statements #2, # 3, #4 and #8 on the NCAA Oversight Certification Letter.
- 3. Independently corroborated with the UWM Registrar's Office progress on the academic status notification system referenced in the NCAA Violations Report and the 2013-14 Self-Reported NCAA Violations under Women's Track.
- 4. Analyzed details included in the Institutional Plan for Deficit for accuracy and continuity with prior year.
- 5. Reviewed the factors that contributed to the fiscal year 2014 deficit as cited in the *Previous Fiscal Year Budget vs. Actual Results* for accuracy and completeness.
- 6. Recalculated the "fiscal year net operating loss" for 2013-14 per reference to official University accounting records.
- 7. With respect to the NCAA Violations Report and the 2013-14 Self-Reported NCAA Violations, the following documents were requested and examined:
 - a) The letter of education that was issued to the entire Men's Basketball staff.
 - b) The compliance newsletter that included a section on Bylaw 13.9.2.2 and its application to Women's Swimming.
 - c) The letter of admonishment issued to the video coordinator for Women's Track and Cross Country.
- 8. With respect to *Academic Progress Rate APR Data*, documentation was examined that provided evidence of compliance with restrictions placed upon the practice schedule for Men's Basketball.
- 9. Compared number of student athletes recorded on the schedule of Declared Majors of Student Athletes to the number of student athletes submitted in the 2013-14 *Equity in Athletics Disclosure Act* report.

Conclusion: All questions and matters identified and/or discussed during the validation process were resolved to the satisfaction of Internal Audit.