



Board of Regents

1860 Van Hise Hall
1220 Linden Drive
Madison, Wisconsin 53706
(608)262-2324

DATE: October 1, 2014

TO: Members of the Board of Regents

FROM: Jane S. Radue, Executive Director and Corporate Secretary JSR

PUBLIC MEETING NOTICE

Meetings of the UW System Board of Regents and Committees, to be held at
UW-Stevens Point, Dreyfus University Center, 1015 Reserve Street, Stevens Point, WI 54481
on October 9 & 10, 2014

Thursday, October 9, 2014

9:00 a.m. Education Committee – Room 374

9:00 a.m. Business and Finance Committee – Room 350 - Alumni Room

10:45 a.m. Research, Economic Development, and Innovation Committee – Room 350 - Alumni Room

10:45 a.m. Capital Planning and Budget Committee – Room 374

12:15 p.m. Lunch – Laird Room

1:15 p.m. All Regents – Room 350 - Alumni Room

1. Calling of the roll
2. Updates and introductions
3. Host-Campus Presentation by UW-Stevens Point Chancellor Bernie Patterson:
“*A Partnership for Thriving Communities*,” including discussion of UW-Stevens Point’s strategic goals
4. President Cross’s Introduction to the Report on the Level of Commitment of Program Revenue Balances, Fiscal Year 2013-14
5. *Presentation and Discussion*: Report on the Level of Commitment of Program Revenue Balances, Fiscal Year 2013-14
[Resolution 5.]

6. *Presentation and Discussion:* Update on University of Wisconsin Flexible Option

7. Closed session

Move into closed session to consider a UW-Green Bay honorary degree nomination, as permitted by s. 19.85(1)(f), *Wis. Stats.*; and to confer with legal counsel regarding pending or potential litigation, as permitted by s. 19.85(1)(g), *Wis. Stats.*

Friday, October 10, 2014

9:00 a.m. All Regents – Room 350 - Alumni Room

[See Friday agenda]

The closed session agenda item may be considered during any recess in the regular meeting agenda on either Thursday or Friday.

Information about agenda items can be found at <http://www.uwsa.edu/bor/meetings.htm> or may be obtained during the week of the meeting from Jane Radue, Executive Director, Office of the Board of Regents, 1860 Van Hise Hall, Madison, WI 53706, (608)262-2324. The meeting will be webcast at <http://www.uwex.edu/ics/stream/regents/meetings/> on Thursday, October 9, 2014, from 1:15 p.m. until approximately 4:30 p.m. and on Friday, October 10, 2014, from 9:00 a.m. until approximately noon.

BOARD OF REGENTS OF THE UNIVERSITY OF WISCONSIN SYSTEM

Resolution 5.

That, upon the recommendation of the President of the University of Wisconsin System, the Board of Regents approves the UW System report on the 2013-14 year-end program revenue balances as categorized by each institution and the System as a whole. In so doing, the Board approves: (1) the spending plans for institutional balances above 12 percent of total year-end expenditures in Tuition, Auxiliary Operations, General Operations, or Other Unrestricted Program Revenue; (2) the savings plans submitted by institutions with a negative balance in Tuition and Auxiliary Operations; and (3) the justification and multi-year spending plan submitted by UW System Administration for the entire UW systemwide balances maintained for the System as a whole.

REPORT ON THE LEVEL OF COMMITMENT OF PROGRAM REVENUE BALANCES FISCAL YEAR 2013-14

BACKGROUND

2013 Wisconsin Act 20 required the Board of Regents to develop a methodology for the calculation of program revenue balances and reserves, expressed in both dollars and as percentages of total annual expenses, for the University of Wisconsin System as a whole, for individual University of Wisconsin institutions, and UW Extension. The Board of Regents was also directed to develop proposed limits and report on program revenue account balances for the University of Wisconsin System as a whole and for each individual institution.

Regent Policy Document 21-6, *Program Revenue Calculation Methodology and Fund Balance Policy* was reviewed and adopted, with modifications, by the Joint Legislative Audit Committee on April 24, 2014, and the Joint Committee on Finance on May 6, 2014. At its June meeting the Board of Regents approved final modifications to its policy to conform with Legislative directives.

The policy requires the following:

“UW System Administration will provide the Board of Regents with estimates of year-end program revenue balances for use in determining UW System’s annual budget and setting tuition rates for the subsequent academic year. Actual year-end balances categorized by each institution and the System as a whole shall be reported annually after the fiscal year-end reconciliation and for formal approval by the Board of Regents at the October meeting.

For those institutions with negative balances, the Board of Regents will determine by vote whether the institution has an adequate plan in place to eliminate the negative balance within a reasonable period of time. For those institutions with balances above 12% of total year-end expenditures, the Board of Regents will determine by vote whether balances are adequately justified. The Board of Regents will determine by vote whether the justification and multi-year spending plan submitted by UW System Administration for the entire UW system-wide balance is adequate. Justifications for the balances should include:

- the specific projects or initiatives for which the funds are being held;
- the amount of funds held for each project or initiative;
- the total amount of program revenue funds the institution plans to accumulate for each initiative or project;
- the total amount of funds expected to be expended on each project or initiative;
- and
- the timeframe in which those funds will be expended.”

After approval by the Board of Regents, copies of the report are required to be sent to both the Joint Legislative Audit Committee and the Joint Finance Committee by October 15. No further action is required by either committee.

REQUESTED ACTION

Approval of Resolution

DISCUSSION

Three varying reports of program revenue balances have previously been reviewed by the Board.

1. The Legislative Audit Bureau produced Report 13-17, *Level of Commitment for University of Wisconsin Program Revenue Balances* on November 15, 2013. The report covered a large portion of year-end balances for fiscal year 2012 including the following funds; tuition and academic fees, General Operations, and Federal Indirect Cost Reimbursement. The report did not include auxiliary revenues or “other” program revenue, both of which are covered by the new Board policy and included in this report. The report created five “levels of commitment” into which year-end funds were placed according to pre-existing documentation.
2. To provide perspective for fiscal year 2013, each UW System institution and System Administration provided a summary of year-end balances categorized by the general definitions used by the Legislative Audit Bureau in their fiscal year 2012 report. The summary was presented to the Board at it April 2014 meeting.
3. The following report on year-end balances for fiscal year 2014 is provided to the Board in compliance with Regent Policy Document 21-6. The report builds on the previous two. This report includes all year-end balances and utilizes more refined definitions of categories developed in consultation with Legislative Audit Bureau staff.

The report includes the following components:

- I. Introduction
- II. Board of Regents Policy (RPD 21-6)
- III. Methodology used to develop the report
- IV. Definitions used for categorization of funds
- V. Application of definitions
- VI. Summaries and details of each UW System institution
- VII. Appendices

RELATED REGENT POLICIES

Regent Policy Document 21-6 (Program Revenue and Reserves Policy).

University of Wisconsin System

Report on Program Revenue Balances by Institution and Level of Commitment

FY 2014

UW System Program Revenue Fund Balance Report Summary

In response to concerns raised by legislators and the public, UW System worked with the Legislature and its service agencies to create a new system to more clearly report Program Revenue Fund Balances managed by each institution within UW System.

Using the definitions developed by the Legislative Audit Bureau, the UW System institutions are now annually providing specific details on Program Revenue fund balances by level of commitment. This is an unprecedented level of transparency for the UW System or any State of Wisconsin agency.

The highlights from the Program Revenue Fund Balance Report include:

- According to the definitions created by the Legislative Audit Bureau, UW System institutions have a total of \$175 million in real, actual reserves (Reserved and Undocumented funds). That amount is less than 3% of the total \$6 billion operating budget for all UW System institutions.
- The real reserves actually available for all 26 campuses are decreasing. The real reserves have decreased by \$40 million in the last year and future estimates show that trend will continue.
- These real reserves are a necessary component of a smoothly operating system (or any successful business). They include funds that are set aside for emergencies and, just as importantly, for unknown opportunities that emerge at every institution every year.
- The remaining fund balances all meet one of the three Legislative Audit Bureau definitions for committed funds (obligated, planned, or designated).
- They are either already obligated for a specific purpose, in the final stages of planning or have been designated, by source, for a specific project or program area on campus.

- These committed funds are also being invested in the institutions. Approximately \$711 million, of the \$973 million in total fund balances, is expected to be spent on institution specific initiatives within the next few years, including \$42 million for institution specific aspects of the Talent Development Initiative.
 - Of that \$711 million, \$274 million is obligated, indicating that there are firm commitments for these funds such as contracts and encumbrances for purchases already made. \$437 million is set aside to fund near and long-term specific institutional plans.
- The aggregate year-end tuition fund balance dropped by \$156.1 million from \$551.5 million in FY 2013 to \$395.4 million in FY 2014. Of that amount the Systemwide balance dropped by \$74.9 million from \$151.8 in FY 2013 to \$76.9 million in FY 2014.
- These balances consist of funds collected on campuses in exchange for services provided, such as food, general program operations, residence halls, parking, and tuition. Some of these funds are used to satisfy program revenue-funded debt service.
- The total of all Fund Balances is not held in one account, but rather is spread out among many accounts managed independently within each individual institution within the UW System. The balances vary widely among institutions and individual funds due to the many difference between institutional programs.
- For the first time in UW System history, Program Revenue Fund Balances are now incorporated into the Board's discussion of the annual and biennial budgets.

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- I. Introduction and Background
- II. Regent Policy 21-6 *Program Revenue Calculation Methodology and Fund Balances Policy*
- III. Report Methodology
- IV. Commitment Level Definitions
- V. Application of Defined Commitment Levels
- VI. Individual institution Summaries and Detailed Reports
- VII. Appendices

Introduction

The University of Wisconsin System is required by 2013 Wisconsin Act 20, the 2013-15 Biennial Budget Act, to annually report its program revenue balances. This report has been prepared to fulfill the requirements of Act 20. It was developed in compliance with the methodology and reporting requirements established by the Board of Regents of the University of Wisconsin, as approved by the Joint Legislative Audit Committee and the Legislative Joint Finance Committee.

Program revenue has increased in recent years and now represents over 80% of total funding for the UW System. As a result, program revenue balances—the accumulated difference between revenues and expenses at the end of a fiscal year—have also grown. Attention to program revenue balances has heightened in recent years, especially during the development of the State’s 2013-15 biennial budget. The President of the UW System has pledged to increase transparency of balances for the Board and the general public. Earlier this year he introduced a new budget development process for fiscal year 2015 which actively incorporates the use of balances in current and future budget planning.

State Accounting Rules

State accounting rules specifically recognize the appropriateness of balances in certain circumstances. As a result almost all of the university’s program revenue funds are designated by State rules as “continuing” appropriations, which means that revenues the university receives in one year may need to be carried forward and spent in an ensuing year, rather than lapse to the state treasury at the end of the fiscal year in which they were received.

Not a “Rainy Day Fund”

Program revenue balances within the UW System are not analogous to the State’s rainy day fund. The balances, as detailed in this report, are held in thousands of accounts in departments and offices throughout the UW System’s 13 four-year institutions, 13 two-year colleges, and UW-Extension. While it is possible to mathematically sum all program revenue balances, it would not provide an accurate picture as the balances do not represent a single account or purpose, but rather reflect the various intentions and plans of those managers and fiscal officers of UW programs throughout the state. As shown in this report, the individual balances throughout the UW System are held for literally hundreds of specific, locally necessary reasons including emergency reserves, savings for large future purchases, ensuring positive cash flow of each self-supporting operation, new initiatives or programs, and smoothing the effects of unexpected budget changes.

The accumulation of the balances is typically the result of careful, long-range planning by UW program managers, and balances will need to be spent just like all other university funds. When spent, balances are subject to all standard State and university spending rules and guidelines, just like new revenue. They are subject not only to state expenditure guidelines, but also to local campus financial approval procedures and any relevant financial auditing processes. Balances cannot be used for items or activities that could not be approved under

existing financial approval procedures. Balances are necessary to ensure the smooth operation of University programs and services for all its constituents.

Levels of Commitment

A focus of the 2013 Legislative Audit Bureau report on UW System balances was the question of the level of commitment individual campuses had for their balances. In other words, the auditors attempted to categorize the degree of flexibility campus officials, or others, may have in directing balances to be used for one purpose or another. For example, balances in certain funds, such as university housing, are generally restricted to be used for their original purpose. In contrast, balances accumulated from tuition payments may be used for the broad academic mission and general university operations that tuition payments typically fund. For balances not limited by the fund origin, the auditors attempted to distinguish between planned uses where for example, balances had been set aside for a legally binding contract. In another case, funds had been planned for an event or a purchase, but there is sufficient flexibility that it could be cancelled in lieu of a higher priority that develops. The auditors placed high importance, in developing their levels of commitment categories, on the amount and type of documentation the institution could produce to describe the detail of the plan and how it was developed.

The five levels of commitment developed by the auditors are (1) obligated, (2) planned, (3) designated, (4) reserves, and (5) undocumented. Detailed descriptions and definitions of the five categories are included in the report and functional examples of plans that fit each category are also included. After extensive discussions within the UW System, including institutional leaders, the category titled “undocumented” has been expanded to include chancellor’s discretionary funds; resources that are available for opportunities that emerge throughout the year. Such balances traditionally have not been set aside to fund already developed plans or initiatives, but rather have been kept available to fund unanticipated opportunities and challenges which arise during the course of the year. While campuses cannot know exactly what opportunities will arise each year, they do know from experience that some will develop and that it is prudent to have funds available.

Report Design

Following the methodology required by the Legislature and the UW System Board of Regents, the level of commitment categories developed by the Legislative Audit Bureau form a central core of this report. As described in the section on methodology, all UW System institutions were required to calculate their balances in the same way, and to categorize those balances within each fund type by level of commitment category. The bulk of this report consists of the direct reports submitted by each institution. Each institution’s report consists of aggregated information on its balances, by fund type and by level of commitment. Additionally, institutions were required to submit detailed spending plans under two circumstances: (1) institutions with balances exceeding 12% of expenditures in tuition, auxiliary operations, general operations, or other unrestricted program revenue funds were required to submit detailed spending plans for balances in those funds; and (2) institutions with a negative balance in tuition or auxiliary funds were required to submit a plan detailing how they would eliminate the negative balances.

As shown in Table 1, all institutions were required to submit detailed expenditure plans for one or more funds and two institutions submitted detailed savings plans to address negative balances in either their tuition or auxiliary funds.

Table 1
Additional Reporting Requirements

INSTITUTION	FUNDS				SAVINGS PLAN
	Tuition	Auxiliary Operations	General Operations	Other Unrestricted Program Revenue	
Madison		✓		✓	
Milwaukee	✓	✓	✓	✓	
Eau Claire	✓	✓	✓	✓	
Green Bay	✓	✓	✓	✓	
La Crosse	✓	✓	✓		
Oshkosh	✓	✓	✓		
Parkside	✓		✓		✓
Platteville	✓			✓	
River Falls	✓	✓	✓	✓	
Stevens Point	✓	✓	✓	✓	
Stout			✓		
Superior			✓		✓
Whitewater	✓	✓	✓	✓	
Colleges	✓	✓	✓		
Extension	✓	✓	✓	✓	
System Administration		✓	✓		
Systemwide	✓	✓	✓		

Even though institutions were allowed to aggregate some of their spending plans and report them in major groupings of similar types of plans, the institution reports contain a great deal of detail; perhaps the most detailed spending information ever presented to the Board of Regents. These reports reflect the detailed planning and allocation processes employed by the institutions within the UW System. As noted, the expenditures contemplated in the detailed program revenue balance spending plans are consistent with the types of expenditures UW (and other) institutions routinely make from their annual base budgets—expenditures for salaries, academic programs, student services, maintenance costs, costs for security. There is one major difference, spending for salaries and other ongoing costs must include a plan for how to manage the future base amounts needed to cover those costs. One time funding can be a bridge, but will not constitute the full plan.



BOARD OF REGENTS



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Regent Policy Documents

SECTION 21: FISCAL POLICY AND PROCEDURES

21-6 PROGRAM REVENUE CALCULATION METHODOLOGY AND FUND BALANCES POLICY

Scope

This policy addresses program revenue account balances and appropriate reserve levels, and the methodology for calculating these levels, at UW System and UW System institutions.

Purpose

The purpose of this policy is to ensure the financial health and stability of each UW System institution and the UW System as a whole and to communicate an accurate fiscal condition more broadly and clearly. The policy balances prudent fiscal management with adequate levels of resources to carry out the universities' missions, programs, strategic goals, and objectives in an effective and efficient manner.

The policy ensures that:

- › Individual institutions have the necessary flexibility to manage program revenue accounts to meet cash flow needs throughout the year, financial commitments, plans, and goals.
- › Reporting of program revenue cash balances is at a level that provides the Board of Regents, Legislature, and public with a complete, consistent and transparent understanding of end-of-year balances.
- › Institutions have the flexibility to continue to invest in and cultivate creative academic programs to reach all students seeking higher education.
- › Institutions have the flexibility to invest in facilities that provide a world-class education.
- › The University of Wisconsin is not incentivized to undertake unnecessary end-of-year spending in order to meet restrictive carry-forward caps.

Definitions and Methodology for Calculating

Program revenue balances shall be calculated subsequent to year-end reconciliation. Balances will be calculated starting with the prior year's ending cash balance, adding revenues received, and deducting expenditures made during the fiscal year. This produces the budgetary fund balance at the end of a given fiscal year. Balances will not reflect accruals for advance deposits received for future academic terms, accounts payable, or accounts receivable.

Year-end program revenue balances shall be calculated for:

Unrestricted Funds

1. Tuition (Academic Student Fees and Extension Student Fees)
2. Auxiliary Operations
3. General Operations
4. Other Unrestricted Program Revenue
5. Federal Indirect Cost Reimbursement

Restricted Funds

6. Gifts
7. Nonfederal Grants and Contracts
8. Federal Grants and Contracts
9. Other Restricted Program Revenue

A description of UW appropriations included in each category of program revenue balances will be provided to aid in understanding the information presented ([attached](#)).

Year-end balances in (1) Tuition (Academic Student Fees and Extension Student Fees), (2) Auxiliary Operations, (3) General Operations, and (4) Other Unrestricted Program Revenue shall be expressed in dollars for the UW System as a whole and for each UW institution. Year-end balances will be calculated as a percentage of the total expenditures by that fund for the fiscal year. Tuition balances shall be expressed as a percentage of the combined expenditures for GPR (less GPR-funded debt service) and Student Fees (tuition).

UW System Administration year-end balances will be reported as an institution. UW system-wide balances, which are those maintained for the support of all UW institutions, will be reported in dollars and as a percentage change over the prior year-end balance. Allocations from systemwide accounts to institutions will occur before an institution calculates its program revenue balance.

Federal Indirect Cost Reimbursement year-end balances shall be expressed in dollars for the UW System as a whole and for each UW institution and as a percentage change from the prior year-end balance.

Reported year-end balances in (1) Tuition (Academic Student Fees and Extension Student Fees), (2) Auxiliary Operations, (3) General Operations, (4) Other Unrestricted Program Revenue, and (5) Federal Indirect Cost Reimbursement shall be categorized by the methodology used in the Legislative Audit Bureau's Report 13-17. The categories are obligated, planned, designated, reserves, and undocumented.

Year-end balances for restricted funds (Gifts, Nonfederal Grants and Contracts, Federal Grants and Contracts, and Other Restricted Program Revenue) will be reported in total dollars for the UW System as a whole and for each UW institution.

Policy Statement

It is the policy of the Board of Regents that the UW System and UW System institutions maintain appropriate balances to protect the institutions in cases of sudden shortfalls in revenue, to provide for extraordinary events, and to protect against unbudgeted future expenses or losses. Institutions with negative balances for (1) Tuition and (2) Auxiliary Operations shall submit a savings plan on how they will eliminate the negative balance and within what time frame.

The Board of Regents recognizes there are many instances where accumulation of balances is a prudent practice in order to achieve strategic priorities over a multi-year period (establishing new academic programs, purchasing major equipment, funding start-up packages for new faculty, etc.). Institutions with balances above 12% of total fiscal year expenditures shall submit justifications for the entire balance along with a defined multi-year spending plan for each of the following four categories: (1) Tuition (Academic Student Fees and Extension Student Fees), (2) Auxiliary Operations, (3) General Operations, and (4) Other Unrestricted Program Revenue. Total balances above the 12% threshold should be obligated, planned, or designated by the Chancellor for specific purposes.

The 12% threshold is established to determine an amount which will require a report and Board approval. It is not established as cap on end-of-year balances.

Oversight, Roles, and Responsibilities

UW System Administration will provide the Board of Regents with estimates of year-end program revenue balances for use in determining UW System's annual budget and setting tuition rates for the subsequent academic year. Actual year-end balances categorized by each institution and the System as a whole shall be reported annually after the fiscal year-end reconciliation and for formal approval by the Board of Regents at the October meeting.

For those institutions with negative balances, the Board of Regents will determine by vote whether the institution has an adequate plan in place to eliminate the negative balance within a reasonable period of time. For those institutions with balances above 12% of total year-end expenditures, the Board of Regents will determine by vote whether balances are adequately justified. The Board of Regents will determine by vote whether the justification and multi-year spending plan submitted by UW System Administration for the entire UW system-wide balance

is adequate. Justification for the balances should include:

- › the specific projects or initiatives for which the funds are being held;
- › the amount of funds held for each project or initiative;
- › the total amount of program revenue funds the institution plans to accumulate for each initiative or project;
- › the total amount of funds expected to be expended on each project or initiative; and,
- › the timeframe in which those funds will be expended.

A negative fund balance shall not be used by any institution as a justification for the request and approval of additional funds.

Related Regent Policies and Applicable Laws

[Section 36.46, Wis. Stats.](#)

History: Res. 10280, adopted 10/11/2013, created Regent Policy Document 21-6; Res. 10347, adopted 04/11/2014, amended 21-6; Res. 10371, adopted 06/06/2014, amended RPD 21-6 to address legislative modifications.

[Return to the policy index](#)

The Regent Policy Documents were adopted and are maintained pursuant to the policy-making authority vested in the Board of Regents by Wis. Stats. § 36. The Regent Policy Documents manifest significant policies approved by the University of Wisconsin System Board of Regents. This document is a ready reference for those charged with carrying out these policies. Unless noted otherwise, associated documents and reports may be obtained from the Office of the Secretary of the Board of Regents, 1860 Van Hise Hall, 1220 Linden Drive, Madison, WI 53706, ph 608-262-2324. <http://www.uwsa.edu/bor/policies/>

Report Methodology

Definitions and the methodology for calculating and displaying program revenue balances are detailed in Regent Policy Document (RPD) 21-6. Balances are to be calculated for nine funds which comprise the funds in the UW System. These include five unrestricted funds:

1. Tuition
2. Auxiliary operations
3. General operations
4. Other unrestricted program revenue, and
5. Federal indirect cost reimbursement.

Four restricted funds are also included:

1. Gifts
2. Non-federal grants and contracts
3. Federal grants and contracts, and
4. Other restricted program revenue.

A budgetary fund balance is calculated for each fund by beginning with the prior year's cash balance, adding revenues received, and deducting expenditures made in the fiscal year. The balances do not reflect advance deposits for future years, accounts payable or accounts receivable. Balances for each of the five unrestricted funds are allocated among the five "commitment" categories established by the Legislative Audit Bureau, which are obligated, planned, designated, reserves and undocumented.

Balances for auxiliary operations, general operations and other unrestricted program revenue are expressed in dollars and also are calculated as a percentage of each fund's fiscal year expenditures. Balances for tuition are expressed in dollars and as a percentage of the combined expenditures of GPR (less GPR-funded debt service) and student fees.

Detailed Spending Plans

Institutions with balances above 12% of expenditures in their funds for tuition, auxiliaries, general operations or other unrestricted program revenue are required to provide additional information concerning those balances, including:

- The specific project(s) for which the funds are held;
- The amount of funds currently held for each project;
- The total amount of program revenue the institution plans to accumulate for that project;
- The total amount of funds which will be spent on that project; and,
- The timeframe in which the funds will be spent.

Detailed plans are also required of institutions which have a negative balance in their tuition or auxiliary operations funds. To address negative fund balances in tuition or auxiliary operations funds, institutions are required to submit a savings plan describing how, and within what timeframe, they will eliminate the negative fund balance.

System Administration Implementation

To ensure compliance with RPD 21-6, System Administration staff designed a three-part report-development methodology to:

- establish clarity and agreement for expanded functional definitions of key reporting requirements, specifically the levels-of-commitment reporting categories established by the Legislative Audit Bureau;
- provide practical and detailed guidance to institutions to enable them to understand the reporting requirements and how to report their balance information in a consistent and comparable manner; and
- provide prompt and actionable feedback to institutions on their reports to enable them to fully comply with reporting definitions and formatting requirements.

The goal of this three-part methodology was to obtain consistently interpreted data, reported by the institutions in a format which allows for a detailed analysis of each institution individually and in comparison with all other System institutions.

Two underlying principles guiding the reporting methodology were transparency and collaboration with stakeholders. The work included extensive discussions with Legislative Audit Bureau staff to establish agreement on, and mutual understanding of improved functional definitions and examples in each of the five commitment categories that form a central portion of the program revenue balance reporting effort. Further, the methodology included multiple, ongoing channels for frequent communication and collaboration between System and institution leaders and staff to ensure that all reporting requirements were adequately and fully explained, that standardized reporting templates were developed in recognition of both reporting requirements and the reporting capabilities of the institutions, and that adequate feedback loops were established to provide additional guidance to institutions as needed. Feedback included frequent statewide and institution-specific teleconferences during the development of reporting definitions and the reporting templates, and a centralized electronic clearinghouse accessible by all institutions for obtaining information and submitting reports. A feedback process, using a standardized review instrument, was implemented for institutions to receive two rounds of detailed feedback on their reports before they were finalized.

University of Wisconsin System Balance Designation Guidelines

Definition

Examples

1. Obligated	<p>Balances held for a purpose as indicated by documentation such as a contract or an encumbrance that would make it difficult for institutions to use the balance for other purposes. Firm commitments; cannot be easily redistributed; encumbrances; a purchase order or contract; likely for projects that already started spending money.</p> <p>Rescinding obligated commitments creates the risk of significant cost, legal action, or reputational damage.</p>	<ul style="list-style-type: none"> • Signed contract for services with an external agency or business. • Purchase order or invoice. • A signed, organizationally-binding commitment from a chancellor or authorized authority that could not be changed without significant cost or reputational damage. • Signed contract for an employee for whom base resources (or a committed, ongoing funding stream) are not currently available. • Scholarships that have already been awarded. • One year of debt service justified by an amortization schedule or one year of funds for a building lease as shown on a lease contract. • Required lapse. • One year of estimated sabbatical or ALRA commitments. • Approved projects for which fixed cost expenditures are already being made. • Required cash deposit for an enumerated capital project or for a project that has been included for enumeration in the capital budget request approved by the Board of Regents.
2. Planned	<p>Balances less committed than obligated balances and held for a purpose as indicated by documentation such as a budget document or a memorandum signed by a an appropriate approving authority. Those authorized to approve balance commitments include chancellors, vice chancellors, deans, directors, and designees in accordance with institutional policies and/or practices. Institutions may use planned balances for other purposes if the use complies with any funding source requirements.</p> <ul style="list-style-type: none"> • Chancellor's or approving authority's directive for spending but no purchase order or contract (nothing obligating the funds) • Plan to hire new staff • Plan to set aside money for a scholarship • Plan to pay for debt service 	<ul style="list-style-type: none"> • Plans for new faculty and staff, who are not replacing existing staff, and for whom there is not a signed contract. • A chancellor's or authorized authority's spending directive that can be modified without significant cost or reputational damage. • Documented institutional priorities or strategic plans if the documentation includes a clear description of the amount of funds to be used, the purpose of the funds, and an indication of when the funds should be used. • Planned financial aid funding that has not yet been awarded. • Debt service for up to three additional years beyond the first obligated year as shown on the amortization schedule or building lease funds for up to three additional years beyond the first obligated year as shown on a lease contract. • Annual, ongoing operations, including the salaries of existing staff, for up to three years for which base resources (or a committed, ongoing funding stream) are not currently available. • Planned renovations. • Planned expenditures to launch or to support academic programs or student programs for which base resources (or a committed funding stream) are not currently available. • Required cash deposit for a non-enumerated capital project that is in the approved six-year plan.

Definition

Examples

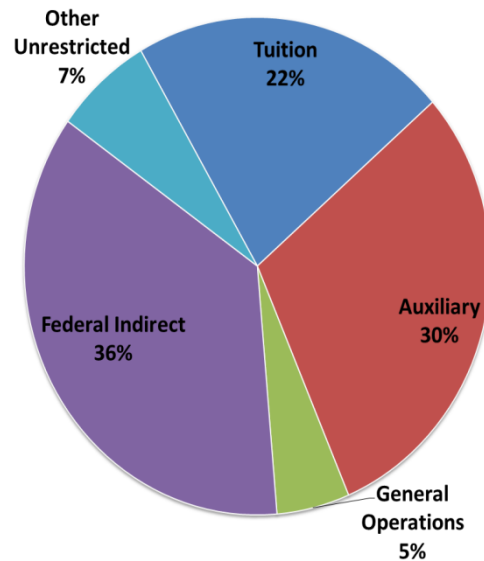
3. Designated	<p>Balances held for purposes related to the original funding source, but for which there is no documentation of an obligation or specific plan. Institutions may use designated balances for other purposes only if the use complies with funding source requirements.</p>	<ul style="list-style-type: none"> • Balances in Fund 136 (General Operations Receipts) are generally categorized as designated. However, this categorization does not apply to accounts from which funds have been reallocated one or more times to another purpose in the past six years. If this is the case, balances in these accounts would be undocumented unless sufficient documentation exists to categorize them as obligated or planned. • Fund 136 account balances often accumulate in advance of known expenses for the following years. Examples include study abroad, athletic camps, music camps, and remedial education. • Fund 132 (Extension Non-Credit Program Receipts) and Fund 189 (Extension Student Fees) balances must currently be used to support extension-related activities. Balances in these funds are also categorized as designated if they are not obligated or planned. • Fund 131 (Academic Student Fees) balances are classified as designated if the balances are for differential tuition and student technology fees as the funds can only be used for these purposes. • Balances from student-funded auxiliaries are also categorized as designated because current Board policy prohibits using these funds for other purposes unless approved by the Board for a one-time purpose.
4. Reserves	<p>Balances for which documentation indicates amounts are purposefully held for contingencies, including institutional reserve policies. Contingencies may result from unexpected funding shortfalls that must be addressed in order to ensure the continuation of operations and from an unanticipated need.</p> <p>Balances can be documented as reserves in a memo from the chancellor or authorized authority identifying a specific dollar amount for a reserve, a percentage of revenue that should be held as a reserve, or target reserve goal. The memo should identify who can approve the use of reserve funds.</p> <p>Institutions should consider reserve designation procedures that prevent duplicate reserve designations for the same purpose.</p> <p>Reserves are not set aside for a specific purposes (e.g., academic initiative, faculty salaries) or for a routine use (e.g., annual debt service payments).</p>	<p>Reserves are maintained to mitigate the following risks:</p> <ul style="list-style-type: none"> • Unexpected declines or fluctuations in enrollment resulting in a reduction in tuition, fee, or auxiliary revenue. • Abrupt termination of federal or other external funding. • Emergencies or other unforeseen circumstances.
5. Undocumented	<p>Balances which are not yet obligated or planned and for which there are no funding source requirements.</p>	<ul style="list-style-type: none"> • Chancellor's or institutional discretionary funds, which are available to take advantage of strategic opportunities that emerge during the year, that have not yet been documented as planned or obligated.

**FUNCTIONAL EXAMPLES ON THE APPLICATION OF
THE FUND DESIGNATION CATEGORIES
(draft 09-25-2014 #2)**

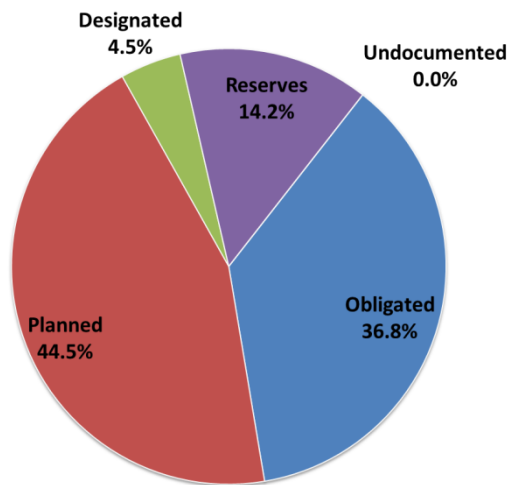
CATEGORY	EXAMPLE
Obligated	One UW institution has committed a portion of its Tuition fund balance to fund student scholarships which have already been awarded.
	One UW institution has committed a portion of its Auxiliary Operations fund balance for one year of debt service. The amount is set and documented in the Debt Service Amortization Schedule.
Planned	One UW institution plans to commit a portion of its Tuition fund balance to fund a new engineering program. The Chancellor has signed off on the plan.
	One UW institution has established plans to use a portion of its Tuition fund balance to fund ongoing operations of its Education “STEM” Center operations.
Designated	One UW institution has designated a portion of its General Operations fund balance, which was generated from its remedial education program, to enhance its remedial education program offerings.
	A number of institutions have designated balances generated by their differential tuition fees to fund programming decisions reached in consultation with the appropriate student governance groups.
Reserves	One UW institution has set aside a portion of its Tuition fund balance as reserves for enrollment declines.
	One UW institution has set aside a portion of its General Operations fund balance as reserves for departmental operational shortfalls.
Undocumented (Discretionary)	One institution has set aside a portion its Tuition fund balance as Chancellor discretionary funds. While no documented plans exist, in past years, these funds have been used for one-time requests, such as economic development, repaving the trike path outside the children’s center, etc.
	For one institution, a portion of its Tuition fund balance has no documented plans because the balance was generated from unexpected staff vacancies. The institution is in the process of prioritizing needs and developing plans for how best to use the funds.

UW-Madison FY 2014 PR Balances

UW-Madison FY 2014 PR Balances by Category



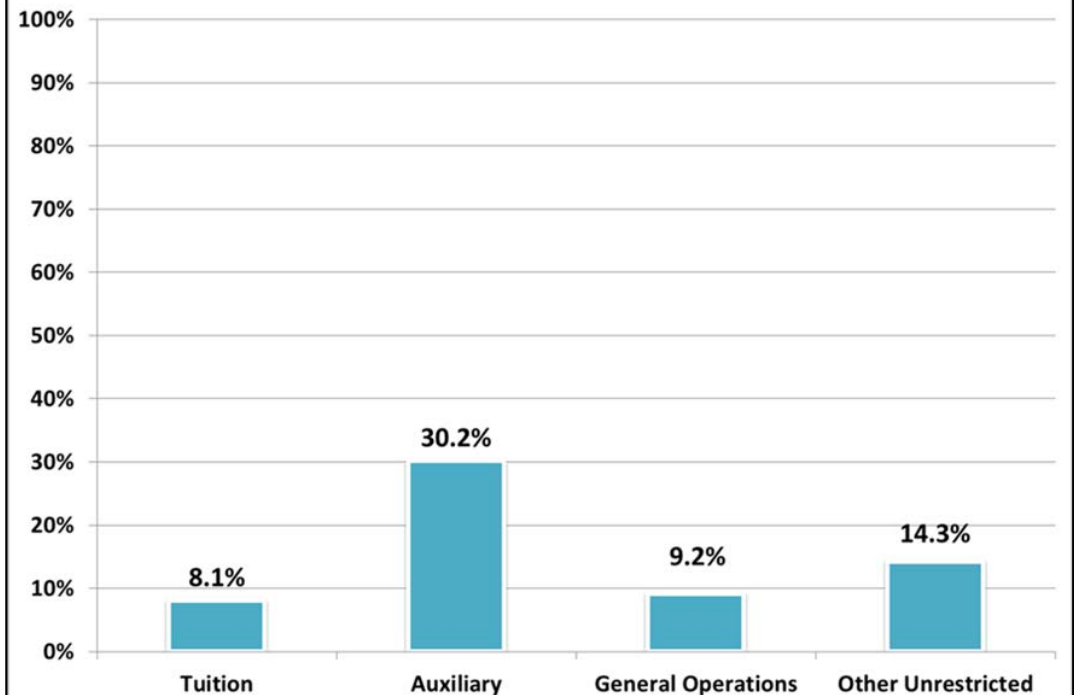
UW-Madison FY 2014 PR Balances by Level of Commitment



PR Balances Highlights

- **Tuition Balances decreased 40.9% from FY 2013 to FY 2014**
- **Total Unrestricted Balances decreased 7.9% from FY 2013 to FY 2014**
- **Auxiliary Balances increased 49.0% from FY 2013 to FY 2014**
- **General Operations Balances decreased 71.2% from FY 2013 to FY 2014**

UW-Madison FY 2014 Balance Calculations



Summary of UW System Unrestricted PR Balances by Level of Commitment
FY 2014 ending balances as categorized by institution reports

UW-Madison

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Tuition	32,095,997	48,343,050	-	4,122,836	-	84,561,883
Auxiliary	72,883,797	30,585,871	12,723,510	-	-	116,193,178
General Operations	6,674,573	9,501,037	3,372,337	-	-	19,547,947
Federal Indirect	10,089,524	79,376,884	-	50,582,844	-	140,049,252
Other Unrestricted	20,547,104	4,190,106	1,467,766	-	-	26,204,976
	142,290,995	171,996,948	17,563,613	54,705,680	-	386,557,236
	36.8%	44.5%	4.5%	14.2%	0.0%	100.0%
UW System*	30.5%	47.4%	10.0%	10.4%	1.6%	100.0%
* (excluding System Admin and Systemwide)						



	Tuition (131 and 189)	Auxiliary (128 and 228)	General Operations 136	Other Unrestricted (xxx)	Subtotal	Federal Indirect	Total Unrestricted
Total PR Appropriation Balances							
FY2012-13	143,006,274	77,970,384	67,849,375	23,056,793	311,882,826	107,845,645	419,728,471
FY2013-14	84,561,883	116,193,178	19,547,947	26,204,976	246,507,984	140,049,252	386,557,236
Change	(58,444,391)	38,222,794	(48,301,428)	3,148,183	(65,374,842)	32,203,607	(33,171,235)
	-40.9%	49.0%	-71.2%	13.7%	-21.0%	29.9%	-7.9%
Total Expenses							
FY2012-13	878,881,823	401,729,871	174,888,228	174,552,321			
FY2013-14	1,037,914,727	384,335,575	212,845,448	183,687,731			
Change	159,032,904	(17,394,296)	37,957,220	9,135,410			
Balance Calculations							
FY2012-13	16.3%	19.4%	38.8%	13.2%			
FY2013-14	8.1%	30.2%	9.2%	14.3%			

Report on Auxiliary Operations Balance (Funds 128 & 228) in Excess of 12% of Fiscal Year Expenditures

UW-MADISON

Fiscal Year: 2014

		Percentage (%)
Total Balance	\$116,193,178	
Obligated		\$72,833,797
Planned		\$30,635,871
<i>subtotal: Obligated & Planned</i>		<i>\$103,469,668</i>
Designated	\$12,723,510	
Reserves	\$0	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

Project/Initiative	Obligated or Planned?	FY14 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended
Encumbrances	Obligated	\$14,285,136	\$14,285,136	\$14,285,136	FY 15
Outstanding invoices and contract for Madison Metro, not encumbered for employee bus pass and campus bus	Obligated	\$1,666,000	\$1,666,000	\$1,666,000	July-Dec, 2015
FY 15 Debt Service, minus balance in fund 123	Obligated	\$32,644,203	\$32,644,203	\$32,644,203	FY 15
FY 16 and FY 17 Debt Service, UHS (Univ. Health Service) /SAC (Student Activity Center) (special seg fee)	Planned	\$2,325,377	\$2,746,438	\$2,746,438	FY 16 and FY 17
University Apartments Renovation	Obligated	\$5,727,047	\$5,727,047	\$15,000,000	FY 15
Liz Waters Renovation	Obligated	\$9,650,612	\$9,650,612	\$10,141,700	FY 15 and FY 16
Showerman/Conover Renovation (Kronshage)	Obligated	\$2,036,780	\$2,036,780	\$2,150,000	FY 15 and FY 16
Sellery/Witte Renovation Planning	Obligated	\$304,000	\$304,000	\$304,000	FY 15
Lot 75 Construction (Hospital Ramp addition)	Obligated	\$2,815,000	\$2,815,000	\$32,670,000	FY 15
Nielsen Roof Repair	Obligated	\$128,800	\$128,800	\$257,600	FY 15
SERF (South East Recreational Facility) Assessment	Planned	\$50,000	\$50,000	\$50,000	FY 15
Near West Fields PR-cash	Planned	\$1,000,000	\$775,000	\$6,740,000	FY 15 and FY 16
Near West Fields FY 16 debt service	Planned	\$140,749	\$140,749	\$140,749	FY 16
Remodeling of alternative locations for SERF in preparation for building closure	Planned	\$238,000	\$238,000	\$238,000	FY 15 and FY 16
Natatorium gym maintenance (resurface, padding)	Planned	\$50,000	\$50,000	\$50,000	FY 15
Roof repairs/caulking--SERF, Natatorium, Shell	Planned	\$295,000	\$295,000	\$426,000	FY 15 and FY 16
Ecological Evaluation--playing fields	Planned	\$30,000	\$30,000	\$30,000	FY 15

Cole Tennis Court Lights	Planned	\$210,000	\$210,000	\$210,000	FY 15
Nielsen Tennis Stadium security system and PA System	Planned	\$150,000	\$150,000	\$150,000	FY 15 and FY 16
HVAC repairs-Nielsen and Shell	Planned	\$209,000	\$209,000	\$209,000	FY 15, 16 and 17
Shell electrical repairs	Planned	\$120,000	\$120,000	\$240,000	FY 16 and FY 17
Shell locker room shower trees and repairs (prepare for increased use when SERF is closed)	Planned	\$305,000	\$305,000	\$305,000	FY 16 and FY 17
Nielsen replace front entrance, relocate reservation desk and remodel squash court	Planned	\$650,000	\$650,000	\$750,000	FY 16 and FY 17
Resurface lot 60, construct bus pull-out lot 6	Planned	\$680,000	\$680,000	\$680,000	FY 15
Transportation Services equipment: gate upgrades, hand-helds, utility vehicle, trailer, powerwashing equipment, vacuum, service vehicles	Planned	\$578,200	\$578,200	\$578,200	FY 15
Transportation Services major repairs and maintenance--ramp repairs and lot resurfacing	Planned	\$450,000	\$450,000	\$450,000	FY 15
Park and Ride Bus Service (bid for one year)	Planned	\$351,526	\$351,526	\$351,526	FY 15
Fleet vehicle replacement FY 15	Planned	\$1,300,000	\$1,300,000	\$1,300,000	FY 15
Memorial Union Theater Wing Renovation PR cash FY 15 and FY 16; includes \$500,000 grand staircase change order FY 15	Obligated	\$3,500,000	\$3,500,000	\$116,540,990	FY 16
University Health Services remodel 7th and 8th floors for mental health services	Planned	\$1,250,000	\$1,250,000	\$1,250,000	summer, 2015
Data Center aggregation-KVM equipment, UPS buildout for Centennial Building, networking equipment	Planned	\$2,688,822	\$2,688,822	\$2,688,822	FY 15
Campus Network--distribution switches, wireless expansion, networking equipment upgrade	Planned	\$5,000,000	\$5,000,000	\$5,000,000	FY 15
Enterprise storage--Division of Info Technology	Planned	\$1,600,000	\$1,600,000	\$1,600,000	FY 15
University Relations-data mgmt apps & upgrades, Big Ten Network program production	Planned	\$152,000	\$152,000	\$152,000	FY 15
Legal Services recruitment costs 2 attorneys	Planned	\$25,000	\$25,000	\$25,000	FY 15
OHR applicant tracking software, employee engagement conference, in transit conference obligations, interviewing software, training to roll out competency structures for HR Design, LTE for HR Design transition, furniture	Planned	\$210,346	\$210,346	\$210,346	FY 15 and FY 16
OHR Admin Program Specialist for Employee Assistance Office (8 month appointment)	Planned	\$34,110	\$34,110	\$47,333	FY 15
Business Services PWC financial consultant, SciQuest license fees	Planned	\$1,929,673	\$1,929,673	\$692,491	FY 15, 16 and 17
Registrar: U Achieve RFP, Clearinghouse Reverse Transfer project, remodel rooms 10101 and 10301, IT projects	Planned	\$439,160	\$439,160	\$439,160	FY 15
FY 15 Madison Metro agreement for employee bus pass and campus bus service	Planned	\$3,332,000	\$3,332,000	\$3,332,000	FY 15 to mid-FY 16
FY 15 debt service for bonds requested June, 2014 2014B	Planned	\$91,827	\$91,827	\$91,827	FY 15
Student Print copy machine lease commitments	Obligated	\$76,220	\$76,220	\$76,220	FY 15 to FY 18
Wisconsin Union equipment: point of sale systems, ovens, audio/video, building services	Planned	\$541,500	\$541,500	\$541,500	FY 15
Wisconsin Union relocations/temporary space build-outs	Planned	\$400,000	\$400,000	\$400,000	FY 15
Memorial Union building repairs and maintenance: Rathskellar, meeting rooms, kitchen, Tripp exterior lighting, Memorial Union renovation out of scope projects	Planned	\$500,000	\$500,000	\$500,000	FY 15

Residence Halls repairs and maintenance/building improvements, Shed replacement FY 15	Planned	\$484,149	\$484,149	\$484,149	FY 15
Financial aid: Big Ten Network and Trademark/Licensing and other need-based grants	Planned	\$2,824,432	\$2,824,432	\$2,824,432	FY15

Total	\$103,469,668
Obligated	\$72,833,797
Planned	\$30,635,871

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Designated Balance
Student segregated fees designated per Board policy	\$9,967,191
Student Health Insurance Program balances maintained for estimated claims in process	\$2,756,319
Totals	\$12,723,510

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Reserves Balance
Totals	\$0

Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Undocumented Balance
Totals	\$0

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Designated Balance
Other Funds received from State Agencies for programs relating to Cancer Research, Physician Loan Assistance and Urban Land Economic Research held for purposes related to their original funding intent. (WI State Statute 20.285 1k)	\$744,357
Non-Credit Extension balance categorized as designated per UW System definitions.	\$723,409
Totals	\$1,467,766

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Reserves Balance
Totals	\$0

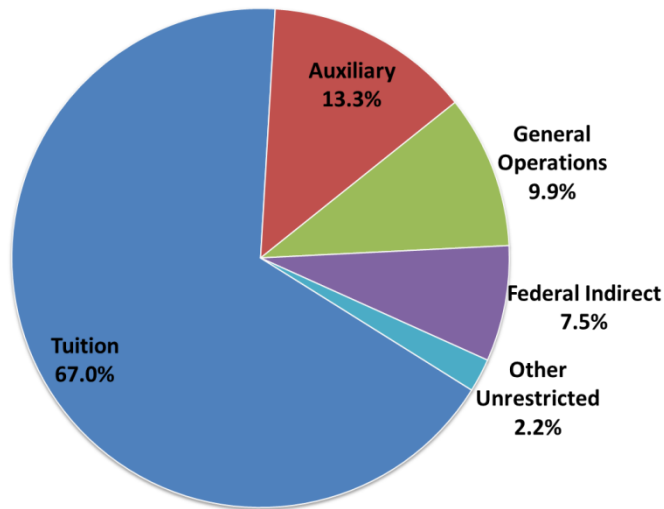
Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

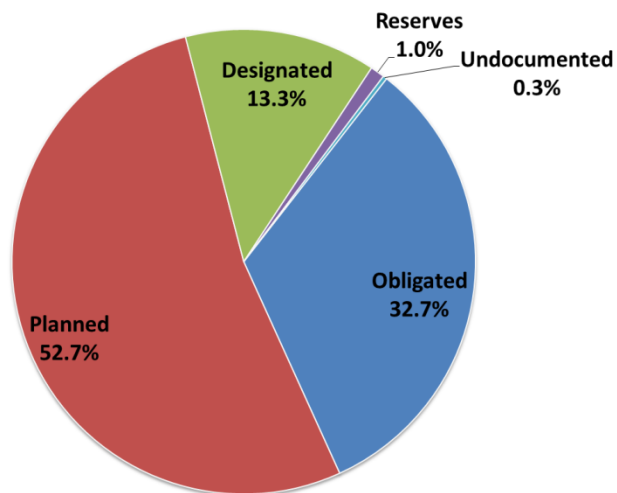
Major Balance Grouping	FY14 Undocumented Balance
Totals	\$0

UW-Milwaukee FY 2014 PR Balances

UW-Milwaukee FY 2014 PR Balances by Category



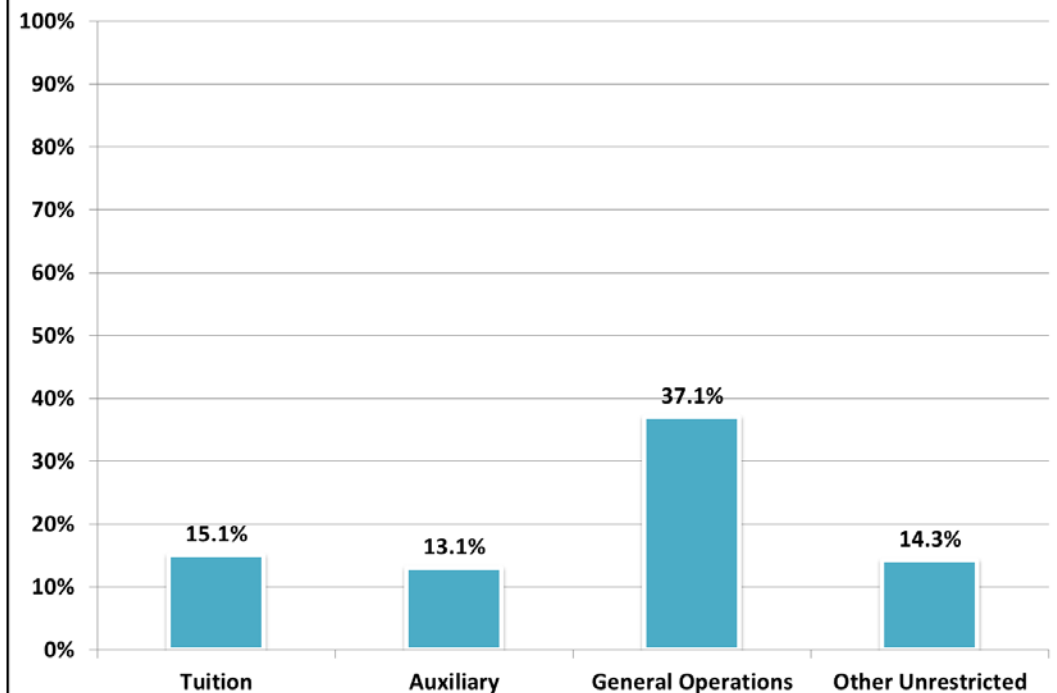
UW-Milwaukee FY 2014 PR Balances by Level of Commitment



PR Balances Highlights

- **Tuition Balances decreased 14.0% from FY 2013 to FY 2014**
- **Total Unrestricted Balances decreased 12.5% from FY 2013 to FY 2014**
- **Auxiliary Balances increased 18.1% from FY 2013 to FY 2014**
- **General Operations Balances decreased 26.2% from FY 2013 to FY 2014**

UW-Milwaukee FY 2014 Balance Calculations



Summary of UW System Unrestricted PR Balances by Level of Commitment
FY 2014 ending balances as categorized by institution reports

UW-Milwaukee

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Tuition	20,999,989	32,571,941	2,787,698	-	92,965	56,452,593
Auxiliary	3,573,685	5,993,565	1,664,472	-	-	11,231,722
General Operations	780,307	2,285,666	4,451,328	836,000	12,150	8,365,451
Federal Indirect	2,128,196	1,747,961	2,326,406	-	123,207	6,325,770
Other Unrestricted	18,518	1,805,399	-	-	-	1,823,917
	27,500,695	44,404,532	11,229,904	836,000	228,322	84,199,453
	32.7%	52.7%	13.3%	1.0%	0.3%	100.0%
UW System*	30.5%	47.4%	10.0%	10.4%	1.6%	100.0%

* (excluding System Admin and Systemwide)



	Tuition (131 and 189)	Auxiliary (128 and 228)	General Operations 136	Other Unrestricted (xxx)	Subtotal	Federal Indirect	Total Unrestricted
Total PR Appropriation Balances							
FY2012-13	65,671,309	9,512,437	11,337,203	(174,666)	86,346,283	9,928,774	96,275,057
FY2013-14	56,452,594	11,231,722	8,365,451	1,823,916	77,873,683	6,325,770	84,199,453
Change	(9,218,715)	1,719,285	(2,971,752)	1,998,582	(8,472,600)	(3,603,004)	(12,075,604)
	-14.0%	18.1%	-26.2%	1144.2%	-9.8%	-36.3%	-12.5%
Total Expenses							
FY2012-13	357,150,938	87,628,202	21,396,869	11,235,281			
FY2013-14	374,375,236	85,644,474	22,522,721	12,739,200			
Change	17,224,298	(1,983,728)	1,125,852	1,503,919			
Balance Calculations							
FY2012-13	18.4%	10.9%	53.0%	-1.6%			
FY2013-14	15.1%	13.1%	37.1%	14.3%			

Report on Tuition (Funds 131 & 189) Balance in Excess of 12% of Fiscal Year Expenditures

UW-MILWAUKEE

Fiscal Year:

		Percentage (%)
Total Balance	\$56,452,594	15.08%
Obligated		\$20,999,989
Planned		\$32,571,941
<i>subtotal: Obligated & Planned</i>		<i>\$53,571,931</i>
Designated	\$2,787,698	
Reserves	\$0	
Undocumented Funds	\$92,965	
Remaining Balance	\$0	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended
Provost Commitment for Faculty Diversity Recruitment -Mosaic Project(No Base Funding)	Planned	\$87,000.00	\$87,000.00	\$87,000.00	6/30/2016
STEM Innovation Pipeline - Growth Agenda Award	Planned	\$134,981.00	\$134,981.00	\$134,981.00	6/30/2015
Joint venture between UM-Milwaukee and Parkside for regional economic program. (No Base Funding)	Planned	\$24,439.00	\$24,439.00	\$24,439.00	6/30/2015
STEM Innovation Pipeline-Growth Agenda Award	Planned	\$184.00	\$184.00	\$184.00	6/30/2015

University Relations and Communications Marketing Plan (No Base)	Planned	\$196,728.00	\$196,728.00	\$196,728.00	6/30/2015
FAA (Finance and Administrative Affairs)					
Encumbrances	Obligated	\$1,726,883.00	\$1,726,883.00	\$1,726,883.00	6/30/2015
Classroom Modernization & Maintenance	Planned	\$496,465.00	\$496,465.00	\$496,465.00	12/31/2014
Student Affairs					
BSI (Badger State Industries) Furniture Purchase Order	Obligated	6,796	6,796	6,796	(July) FY 2015
Athletics - Supplies Purchase Order	Obligated	6,645	6,645	6,645	FY 2015
Financial Aid - Aid to Individuals	Obligated	4,094	4,094	4,094	FY 2015
Military and Veterans Resource Center (MAVRC) - Supplies - Purchase Order. No Base Funding	Obligated	25,210	25,210	25,210	FY 2015
ImageNow Contract for Student Affairs (No Base Funding)	Obligated	150,000	150,000	150,000	FY 2015
Enrollment Management Call Center Operating Expenses (No Base Funding)	Planned	90,000	90,000	90,000	FY 2015
Undergraduate Admissions - 402 Staffing	Planned	26,901	26,901	26,901	FY 2015
Student Affairs Information Technology - Computers (No Base Funding)	Planned	6,107	6,107	6,107	FY 2015
Academic Affairs					
Electa Quinney Institute (American Indian Education) - for personnel and operational expenses; no base budget built for s&e;	Planned	\$130,764.00	\$130,764.00	\$130,764.00	6/30/2017
Accreditation (HLC visit to UWM April 2015)- No Base Funding	Planned	\$82,249.00	\$82,249.00	\$82,249.00	6/30/2015
University Educational Committee allocation for Panther Academic Support Services (No Base Funding)	Planned	\$23,142.00	\$23,142.00	\$23,142.00	6/30/2015
Center for Urban Initiatives - supplement operational expenditures	Planned	\$12,794.00	\$12,794.00	\$12,794.00	6/30/2015
Undergraduate Research - funding for student hourly workers; no base budget built for salaries	Planned	\$9,973.00	\$9,973.00	\$9,973.00	6/30/2015
Faculty Retention Commitments from Provost (No Base Funding)	Planned	\$398,561.00	\$398,561.00	\$398,561.00	6/30/2015
Commitment for recruitment of minority faculty and dual career opportunity hires from Provost. (No Base Funding).	Planned	\$446,937.00	\$446,937.00	\$446,937.00	6/30/2015
Provost commitments for support of various academic programs. No Base Funding.	Planned	\$1,230,783.00	\$1,230,783.00	\$1,230,783.00	6/30/2015

Provost Initiatives for various programs includin scholarships and annual ongoing operations. No Base Funding in place.	Planned	\$402,953.00	\$402,953.00	\$402,953.00	6/30/2015
Provost commitment to Access to Success Program.	Planned	\$221,851.00	\$221,851.00	\$221,851.00	6/30/2016
Distance Ed 10% Fee-189-059850	Planned	\$574,290.00	\$574,290.00	\$574,290.00	6/30/2015
College of Health Sciences (CHS)					
Student Undergraduate Research Awards (SURF)	Obligated	\$9,947.00	\$9,947.00	\$9,947.00	6/30/2015
ASSA (Academic Support Services Agreement) Encumbrances - CHS					
DMI Program Clinical Site Contracts	Obligated	\$205,544.00	\$205,544.00	\$205,544.00	6/30/2015
Business School					
Adjunct Professor's salary and fringes for Fall, 2014 - No Base Funding	Obligated	\$40,000.00	\$40,000.00	\$40,000.00	Aug-14
Teaching Assistants Salary and fringes above base.	Obligated	\$28,000.00	\$28,000.00	\$28,000.00	Aug-14
Purchase order for replacement of studio computers.	Obligated	\$200,000.00	\$325,000.00	\$325,000.00	Apr-15
Research Growth Initiative Projects committed to academic staff.	Planned	\$10,244.00	\$10,244.00	\$10,244.00	Dec-14
Scholarships for the Executive MBA Program	Obligated	\$250,000.00	\$250,000.00	\$250,000.00	2014/15-2015/16
School of Education					
Faculty "Support for Undergraduate Research Fellows" award	Planned	\$30,525	\$30,525	\$30,525	FY2014-15
"Online Marketing Plan Award" from Provost Office for Strategic Initiatives	Planned	\$23,653	\$23,653	\$23,653	FY2014-15
UW System - Institute for Urban Education ongoing operations	Planned	\$39,895	\$39,895	\$39,895	FY2014-15
Faculty "Institute for Excellence in Urban Education" awards	Planned	\$54,805	\$54,805	\$54,805	FY2015-16
Education "STEM" Center ongoing operations above base	Planned	\$19,375	\$19,375	\$19,375	FY2014-15
UWM Research Center for Urban Education Leadership ongoing operations	Planned	\$86,905	\$86,905	\$86,905	FY2014-15
Faculty start up accounts planned	Planned	\$66,780	\$66,780	\$66,780	FY2015-16
Faculty Research Growth Initiative Awards	Obligated	\$12,868	\$12,868	\$12,868	FY2014-15
"Teacher Quality Initiative" ongoing operations with no base funding.	Planned	\$100,835	\$100,835	\$170,000	2014/15-2015/16
College of Engineering and Applied Science (CEAS)					
Faculty start up packages with signed offers before 7/15/14	Obligated	\$1,479,250.00	\$1,479,250.00	\$1,479,250.00	2014/15-2016/17
Faculty start up packages with signed offers after 7/15/14	Planned	\$1,654,300.00	\$1,654,300.00	\$1,654,300.00	2014/15-2016/17

Dean Approved new faculty lab renovation costs planned	Planned	\$2,000,000.00	\$2,000,000.00	\$2,000,000.00	2014/15-2015/16
Research Growth Initiative Awards already given out.	Obligated	\$809,812.00	\$809,812.00	\$809,812.00	2014/15-2016/17
Center for Sustainable Electrical Energy Systems start up funding - Approved by Dean	Planned	\$200,000.00	\$200,000.00	\$200,000.00	FY 2014/15
3 Dean's Fellows awarded after 7/15/14 (ME, ME & MAT) - Dean Approved	Planned	\$75,000.00	\$75,000.00	\$75,000.00	FY 2014/15
Electrical Engineering Post Doctoral Studies 9/14 to 8/15 - Dean Approved	Planned	\$45,000.00	\$45,000.00	\$45,000.00	FY 2014/15
Electrical Engineering faculty temporary increase FY 2015/FY2018	Planned	\$225,750.00	\$225,750.00	\$225,750.00	FY2015
Health Informatics salary split (through FY 2016) over base	Planned	\$36,261.00	\$36,261.00	\$36,261.00	FY2014-16
CEAS High Performance Computing (HPC) Facilitator cost share (through FY 2015) over base.	Planned	\$30,000.00	\$30,000.00	\$30,000.00	FY2015
School of Fine Arts					
Purchase Orders for Film Department Digital Conversion (101)	Obligated	\$69,619.80	\$69,619.80	\$69,619.80	9/30/2014
Purchase Orders for Art & Design Hubei ASSA's for work on Partnership (101)	Obligated	\$8,294.00	\$8,294.00	\$8,294.00	8/1/2014
Theatre Contract for Design of Scenery - Purchase Order Executed	Obligated	\$3,500.00	\$3,500.00	\$3,500.00	12/31/2014
Theatre Basement Phase 2 (131 DT) Project currently going	Obligated	\$80,000.00	\$80,000.00	\$80,000.00	11/30/2014
Art Gallery Center Updating (131 DT) Current to be finished 09/14	Obligated	\$20,000.00	\$20,000.00	\$20,000.00	9/30/2014
Recital Hall Equipment Upgrade (131DT) Screen, Switcher, etc	Planned	\$4,995.00	\$4,995.00	\$4,995.00	12/31/2014
Planned Renovation of Film Phase 1 (131DT) Pending Space Comitee	Planned	\$271,724.80	\$271,724.80	\$271,724.80	12/31/2016
School of Freshwater Sciences					
UW-System Grants - Research and Training for the Commercialization of Intensive Aquaculture and Aquaponics Incentive Grant Program/Economics and Policy	Planned	\$3,634,364.53	\$3,634,364.53	\$3,634,364.53	FY 2015
Global Water Center Equipment	Obligated	\$1,011,855.50	\$1,011,855.50	\$1,011,855.50	FY 2015
Web Design, Fume hood, Microplate Reader	Obligated	\$18,531.25	\$18,531.25	\$18,531.25	FY 2016
Start Up Packages for faculty. No base funding.	Obligated	\$60,720.34	\$60,720.34	\$60,720.34	FY 2017
Office of Research					
Required Salary Savings x 2 years - reorganization induced structural deficit	Planned	\$370,000.00	\$370,000.00	\$370,000.00	6/30/2016

Staff openings, recruitments in process, and reclassifications	Planned	\$71,000.00	\$71,000.00	\$71,000.00	6/30/2015
Renovations to office space	Planned	\$75,000.00	\$75,000.00	\$75,000.00	6/30/2015
Research Growth Initiative (RGI) Awards - unspent	Obligated	\$1,677,056.00	\$1,677,056.00	\$1,677,056.00	6/30/2015
Graduate School					
Application Development Software	Obligated	\$25,000.00	\$25,000.00	\$25,000.00	6/30/2015
Dean's Development Initiatives - Contract signed	Obligated	\$50,000.00	\$50,000.00	\$50,000.00	6/30/2015
Dean's Research Initiatives - Contract Signed.	Obligated	\$8,000.00	\$8,000.00	\$8,000.00	6/30/2015
Chancellor's Graduate School Awards	Planned	\$7,500.00	\$7,500.00	\$7,500.00	6/30/2015
Required Salary Savings x 2 years - reorganization induced structural deficit	Planned	\$616,000.00	\$616,000.00	\$616,000.00	6/30/2016
UITS (University Information Technology Service)					
Oblig-Purchase Orders	Obligated	\$ 1,363,889.00	\$ 1,363,889.00	\$ 1,363,889.00	by 06/30/2015
Oblig-WiFi Exp (spent July FY15)	Obligated	\$ 484,462.79	\$ 484,462.79	\$ 484,462.79	spent 07/14
Oblig-Nexpose Tech Supp (spent July FY15)	Obligated	\$ 13,779.03	\$ 13,779.03	\$ 13,779.03	spent 07/14
Oblig-Xythos renewal (spent July FY15)	Obligated	\$ 51,643.20	\$ 51,643.20	\$ 51,643.20	spent 07/14
Planned-Educational Technology-remodel Bolton Hall labs	Planned	\$ 132,393.87	\$ 132,393.87	\$ 132,393.87	by 06/30/2015
Planned-Net Ops upgrade servers & storage at Data Center	Planned	\$ 550,000.00	\$ 550,000.00	\$ 550,000.00	by 06/30/2015
Planned-FY15 and part of FY16 UWSysnet Assess	Planned	\$ 344,857.48	\$ 344,857.48	\$ 344,857.48	by 06/30/2015
Planned-Ed Tech-Software for student labs	Planned	\$ 75,000.00	\$ 75,000.00	\$ 75,000.00	by 06/30/2015
Planned-Ed Tech-Supplement for students shortcourse	Planned	\$ 17,258.00	\$ 17,258.00	\$ 17,258.00	by 06/30/2015
Planned-Adobe Software FY15	Planned	\$ 87,756.78	\$ 87,756.78	\$ 87,756.78	by 06/30/2015
Planned-Net Ops upgrade servers & storage at Data Center	Planned	\$ 556,878.48	\$ 556,878.48	\$ 556,878.48	by 06/30/2015
Planned-Net Ops upgrade servers & storage at Data Center	Planned	\$ 72,819.81	\$ 72,819.81	\$ 72,819.81	by 06/30/2015
Planned-WiFi upgrades to campus bldgs	Planned	\$ 495,286.50	\$ 495,286.50	\$ 495,286.50	by 06/30/2015
Library					
Additional Libraries Collections Support	Planned	\$304,822.24	\$304,822.24	\$304,822.24	14-15 FY
School of Informaton Sciences					
School of Information Science Obligation plan of providing 60 computers (36 + 24 seats) for Building D classroom.	Planned	\$100,000.00	\$100,000.00	\$100,000.00	This fiscal year (2014-2015)
Start up of new MSIST degree. 3 faculty for 3 years (\$75K + 40% fringes x 3 people x 3 years)	Planned	\$945,000.00	\$945,000.00	\$945,000.00	FY14/15-FY16/17
Start up of new MSIST degree. Scholarships for 1st year	Planned	\$100,000.00	\$100,000.00	\$100,000.00	This fiscal year (2014-2015)
Nursing					

189-65-0300 United Health Partnership. Funds are obligated for on-going programs.	Obligated	\$38,765.00	\$38,765.00	\$38,765.00	This fiscal year (2014-2015)
Center for Healthcare ongoing operating expenses not base funded.	Planned	\$250,000.00	\$250,000.00	\$250,000.00	2014/15-2015/16
Dean's commitments to support academic programs (No base funding)	Planned	\$131,623.00	\$131,623.00	\$131,623.00	This fiscal year (2014-2015)
School of Public Health					
Faculty Start-up Obligated in Offer Letters	Obligated	\$2,244,321.00	\$2,244,321.00	\$2,244,321.00	2017-18
Dean Start-up Obligated in Offer Letter	Obligated	\$89,694.00	\$89,694.00	\$89,694.00	2015-16
School Start-up Funds Obligated in Dean Offer Letter	Obligated	\$252,560.00	\$252,560.00	\$252,560.00	2015-16
School Staffing and Operations	Planned	\$342,540.17	\$342,540.17	\$342,540.17	2015-16
MTR -School Operations	Planned	\$161,000.00	\$161,000.00	\$161,000.00	2014-15
Kenwood IRC (Interdisciplinary Research Complex) Building - PR Portion left to pay	Obligated	\$2,641,000	\$2,641,000	\$2,641,000	2014-15
Northwest Quad Building	Obligated	\$744,000	\$744,000	\$744,000	2014-15
Innovation Park I# Accelerator Building	Obligated	\$387,000	\$387,000	\$387,000	2014-15
Northwest Quadrant Building (Old Columbia St. Mary's Hospital). Operating costs and renovations for three years. No Base funding.	Planned	\$10,302,000	\$10,302,000	\$10,302,000	FY14/15-FY 16/17
Northwest Quad Building - Debt Service. No Base Funding.	Planned	\$2,230,000	\$2,230,000	\$2,230,000	FY14/15-FY 16/17
New Kenwood IRC (Interdisciplinary Research Complex) Building - UW-Milwaukee's program revenue portion left to pay on building to the state for constuction.	Planned	\$1,025,690	\$1,025,690	\$1,025,690	FY14/15-FY 15/16
Budget Lapse 2015	Obligated	\$4,701,249.00	\$4,701,249.00	\$4,701,249.00	6/30/2015

Total
Obligated
Planned

\$53,571,931
\$20,999,989
\$32,571,941

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping**FY14 Designated
Balance****Health Sciences**

Distance Ed Fee Revenue	\$598,000.00
Business School UG Tuition Differential	\$307,000
CEAS	
Fund 189 - Funding to be re-invested in additional extension and non-extension course and program offerings, including a Professional Master's degree (for which planning is progressing)	\$258,437.00
School of Arts	
131 Differential Tuition Projects not yet invoiced, etc	\$111,882.40
Graduate School	
Financial Aid Funds designated for scholarships and fellowships	\$1,512,379.00

Totals

\$2,787,698

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Reserves Balance
Totals	\$0

Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Undocumented Balance
Funds for future strategic plans not yet planned.	\$92,965.00
Totals	\$92,965

Report on Auxiliary Operations Balance (Funds 128 & 228) in Excess of 12% of Fiscal Year Expenditures

UW-MILWAUKEE

Fiscal Year:

		Percentage (%)
Total Balance	\$11,231,722	13.1%
Obligated		\$3,573,685
Planned		\$5,993,565
<i>subtotal: Obligated & Planned</i>		<i>\$9,567,250</i>
Designated	\$1,664,472	
Reserves	\$0	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended
Encumbrances	Obligated	\$156,689.00	\$156,689.00	\$156,689.00	6/30/2015
Establish Analytics unit within Business and Financial Services Division at UW-Milwaukee	Planned	\$500,000.00	\$500,000.00	\$500,000.00	6/30/2016
Financial planning tools, EPM, and consulting	Planned	\$1,000,000.00	\$1,000,000.00	\$1,000,000.00	6/30/2016
Student Union (228) - Future Debt Service	Planned	1,100,000	1,100,000	1,100,000	FY 2016 - 18
Athletics (228) - Capital Projects	Planned	2,234,620	2,234,620	2,234,620	FY 2018
University Recreation (228) - Future Debt Service	Planned	700,000	700,000	700,000	FY 2016 - 18
GPR Budget Lapse Student Affairs	Obligated	2,734,744	2,734,744	2,734,744	FY 2015
Purchase Orders for Toolboxes and padlocks	Obligated	\$1,186.12	\$1,186.12	\$1,117.50	Aug-14
Purchase Order Film Dept -Adorama Inc.	Obligated	\$11,754.00	\$11,754.00	\$11,754.00	9/30/2014
Purchase Order Film Dept - F&E Trading LLC	Obligated	\$312.00	\$312.00	\$312.00	8/1/2014
Audio Visual Staff Appointment (Classified) 50% - Above Base	Obligated	\$23,000.00	\$23,000.00	\$23,000.00	6/30/2014

Remodel/Move Tech Store from Bolton Hall to Union	Planned	\$194,677.93	\$194,677.93	\$194,677.93	by 06/30/15
Unitwide					
Chidrens Learning Center - Debt Service - Current	Obligated	\$646,000	\$646,000	\$646,000	FY 14/15
Chidrens Learning Center - Debt Service - After one year	Planned	\$264,267	\$264,267	\$264,267	FY 15/16

Total	\$9,567,250
Obligated	\$3,573,685
Planned	\$5,993,565

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Designated Balance
Student seg fees for parking	\$1,461,077.00
Student Funded Auxiliaries	64,966
Student special course fees collected and not spent	\$1,935.63
School of Education	
Special Course Fees	\$33,636
Student Fees collected for Theatre Annual Show Trip	\$5,500.00
Student Fees collected for art supplies to be purchased for continuing semester	\$3,759.51
Center for International Education Student Fees for Study Abroad	\$93,598
Totals	\$1,664,472

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Reserves Balance
Totals	\$0

Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Undocumented Balance
Totals	\$0

Report on General Operations (Fund 136) Balance in Excess of 12% of Fiscal Year Expenditures

UW-MILWAUKEE

Fiscal Year:

		Percentage (%)
Total Balance	\$8,365,451	37.1%
Obligated		\$780,307
Planned		\$2,285,666
<i>subtotal: Obligated & Planned</i>		<i>\$3,065,973</i>
Designated	\$4,451,328	
Reserves	\$836,000	
Undocumented Funds	\$12,150	
Remaining Balance	\$0	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended
Lease of copiers; Print and Copy personnel support (No base funding)	Planned	\$493,112.00	\$493,112.00	\$493,112.00	6/30/2017
Address University Relations and Communications FY15 structural deficit	Planned	\$250,000.00	\$250,000.00	\$250,000.00	6/30/2015
Printing equipment - Above Base	Planned	\$30,567.00	\$30,567.00	\$30,567.00	6/30/2016
Research Cyberifrastructure - No Base	Planned	\$147,308.00	\$147,308.00	\$147,308.00	6/30/2015
Academic Opportunity Center- personnel salaries	Planned	\$198,215.00	\$198,215.00	\$198,215.00	6/30/2016
TRIO and PreCollege programs and personnel salaries above base budget.	Planned	\$7,805.00	\$7,805.00	\$7,805.00	6/30/2015
Black Cultural Center - offset Fund 101 operational expenses	Planned	\$14,560.00	\$14,560.00	\$14,560.00	6/30/2015
Student Accessibility Center	Planned	\$24,891.00	\$24,891.00	\$24,891.00	6/30/2015

Learning Technology Center- for Fund 101 CETL operational expenses	Planned	\$6,830.00	\$6,830.00	\$6,830.00	6/30/2015
Academic Resource Center - Panther Math Prep Summer Program	Planned	\$3,451.00	\$3,451.00	\$3,451.00	6/30/2015
Fellows & Scholars - Provost Office scholarship commitment Honors College	Planned	\$10,190.00	\$10,190.00	\$10,190.00	6/30/2015
Center for International Education operational expenses	Planned	\$374.00	\$374.00	\$374.00	6/30/2015
Center for Urban Population Health PeriData project	Obligated	\$63,099.00	\$63,099.00	\$63,099.00	12/31/2014
Sendiks Service Agreement	Obligated	\$8,000.00	\$8,000.00	\$8,000.00	6/30/2015
Remodeling costs in support of DFD Project #13C1H	Planned	\$50,000.00	\$50,000.00	\$45,000.00	Nov-14
Purchase Wood Shop panel saw when remodeling is complete.	Planned	\$3,000.00	\$3,000.00	\$3,000.00	Nov-14
Pay-for-Print purchase of large format paper.	Planned	\$1,422.84	\$1,422.84	\$1,422.84	Sep-14
Dean's Scholarships	Obligated	\$253,000.00	\$253,000.00	\$253,000.00	14/15-17/18
Budget Lapse.	Obligated	\$430,000.00	\$430,000.00	\$430,000.00	FY 14/15
Approval from Provost for School of Business café planning & remodel	Planned	\$250,000.00	\$250,000.00	\$250,000.00	14/15
North Shore Performing Arts Rental - Purchase Order	Obligated	\$1,970.00	\$1,970.00	\$1,970.00	8/1/2014
Affordable Office Interiors Rumba Screen-Facilities - Purchase Order	Obligated	\$1,312.20	\$1,312.20	\$1,312.20	8/1/2014
Humanities Award and recognition event	Planned	\$2,500.00	\$2,500.00	\$2,500.00	14/15
Annual Distinguished Professor Event	Planned	\$1,500.00	\$1,500.00	\$1,500.00	14/15
Research Awards Recognition Boards	Planned	\$10,000.00	\$10,000.00	\$10,000.00	14/15
Graduate Education Application Fee Waivers approved.	Planned	\$11,200.00	\$11,200.00	\$11,200.00	14/15
Graduate Education Open House	Planned	\$11,800.00	\$11,800.00	\$11,800.00	12/31/2014
Graduate School Plan for Increase in Staff/Student Wages	Planned	\$60,000.00	\$60,000.00	\$60,000.00	FY14/15
LDO (Latin Dance Organization) No Print (spent July FY15)	Obligated	\$ 4,000.00	\$ 4,000.00	\$ 4,000.00	spent 07/14
Planned-RFP in process for new telecommunication billing system	Planned	\$ 200,000.00	\$ 200,000.00	\$ 200,000.00	by 06/30/15
Planned for Scholarships in 2014-2015 (No base)	Planned	\$5,623.22	\$5,623.22	\$5,623.22	FY14/15
Planned for Projects (Korean Project and Lipinski Project)	Planned	\$9,485.20	\$9,485.20	\$9,485.20	FY14/16
Nursing: All 20+ projects in 136 fund are contracts. Most of the contracts do not have the same project period as the fiscal year.	Obligated	\$18,926.00	\$18,926.00	\$18,926.00	FY14/15-FY 15/16
Equipment Usage Fees	Planned	\$23,828.58	\$23,828.58	\$23,828.58	2015-16

Event Fees	Planned	\$6,000.00	\$6,000.00	\$6,000.00	2014-15
Funds designated for Campus Expansion Fund to pay for non base funded building operating expense.	Planned	\$452,003	\$452,003	\$452,003	FY14/15

Total	\$3,065,973
Obligated	\$780,307
Planned	\$2,285,666

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Designated Balance
Student User Fees collected in Enrollment Management.	624,919
Lambrech Scholarship Fund	\$6,460.00
CHS Communications Sciences & Disorders Department fees charged to general public for operations of speech & language clinic within the department	\$4,496.00
Wisconsin Distinguished Professor Carryover Funds Prev Fund 182	\$94,548.00
RP Lab chargeback for services	\$53,000.00
Pay for Print charge back for services	\$1,422.84
Business School	
Student User Technology Fees Collected	\$547,204.00
School of Education	
Student User fees collected for the Center for Math and Science Education Research	\$56,343
CEAS	
Fund 136, revenue from operating receipts. These funds come from our labs and other services. Any reserve funding is used to replace equipment as needed. The equipment is generally very expensive.	\$352,365.41
Peck school of the Arts - Student User Fees collected.	\$141,122.47

Library Fees Fund 136	\$314,950.81
Scholarships & Research designated from lease of FCC licensed broadcast spectrum	\$875,000
Kenilworth Housing Financing and Debt Service	\$1,235,475
Payment for Commencement exercises - Comes from Graduate Application Fee.	\$144,021

Totals	\$4,451,328
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Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Reserves Balance
Executive Programs Reserve for enrollment fluctuations.	\$836,000

Totals	\$836,000
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Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Undocumented Balance
Fees collected from general public & outside entities for services/consulting by CHS departments & faculty	\$12,150.00
Totals	\$12,150

Report on Other Unrestricted Program Revenue Balance in Excess of 12% of Fiscal Year Expenditures

UW-MILWAUKEE

Fiscal Year:

		Percentage (%)
Total Balance	\$1,823,916.00	14.32%
Obligated		\$18,516.82
Planned		\$1,805,399.18
<i>subtotal: Obligated & Planned</i>		<i>\$1,823,916.00</i>
Designated	\$0.00	
Reserves	\$0.00	
Undocumented Funds	\$0.00	
Remaining Balance	\$0.00	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended
Housing - Debt Service	Planned	1,270,122	1,270,122	1,270,122	FY 2015
Student Union - Debt Service	Planned	175,315	175,315	175,315	FY 2015
University Recreation - Debt Service	Planned	288,000	288,000	288,000	FY 2015
Financial Aid - Aid to Individuals	Planned	5,235	5,235	5,235	FY 2015
Center for International Education - Study Abroad Scholarships	Planned	\$17,282.40	\$17,282.40	\$17,282.40	6/30/2015
SARUP 2014 Summer Camp salaries	Obligated	\$16,816.82	\$19,801.82	\$19,801.82	Aug-14
LeeSaar Company-Dance Dept	Obligated	\$1,700.00	\$1,700.00	\$1,700.00	8/1/2014
Planned for Continuing Education - New Medidata Catalog Insititute which will generate revenue.	Planned	\$49,444.32	\$49,444.32	\$49,444.32	FY 2015

Total	\$1,823,916
Obligated	\$18,517
Planned	\$1,805,399

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Designated Balance
Totals	\$0

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Reserves Balance
Totals	\$0

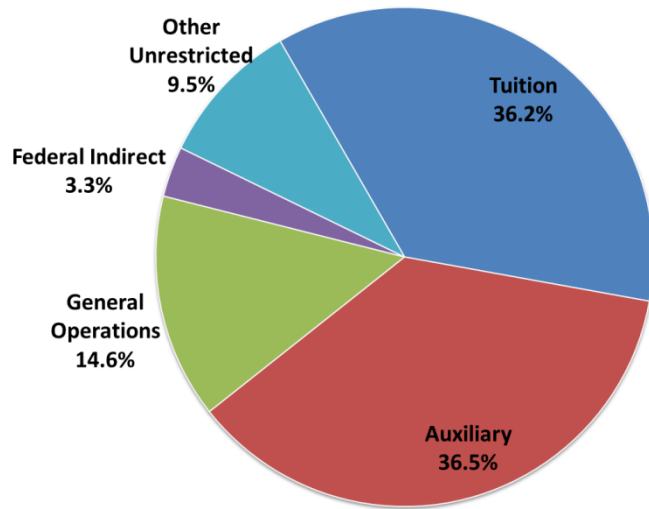
Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Undocumented Balance
Totals	\$0

UW-Eau Claire FY 2014 PR Balances

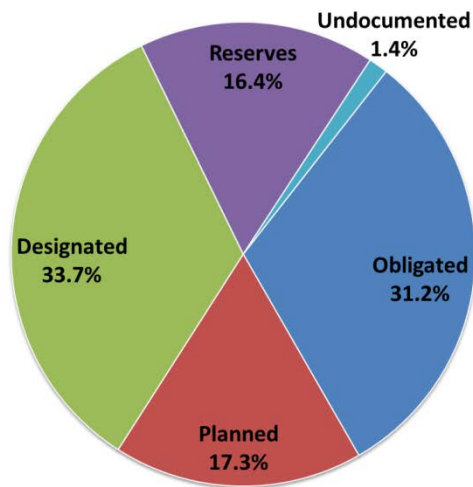
UW-Eau Claire FY 2014 PR Balances by Category



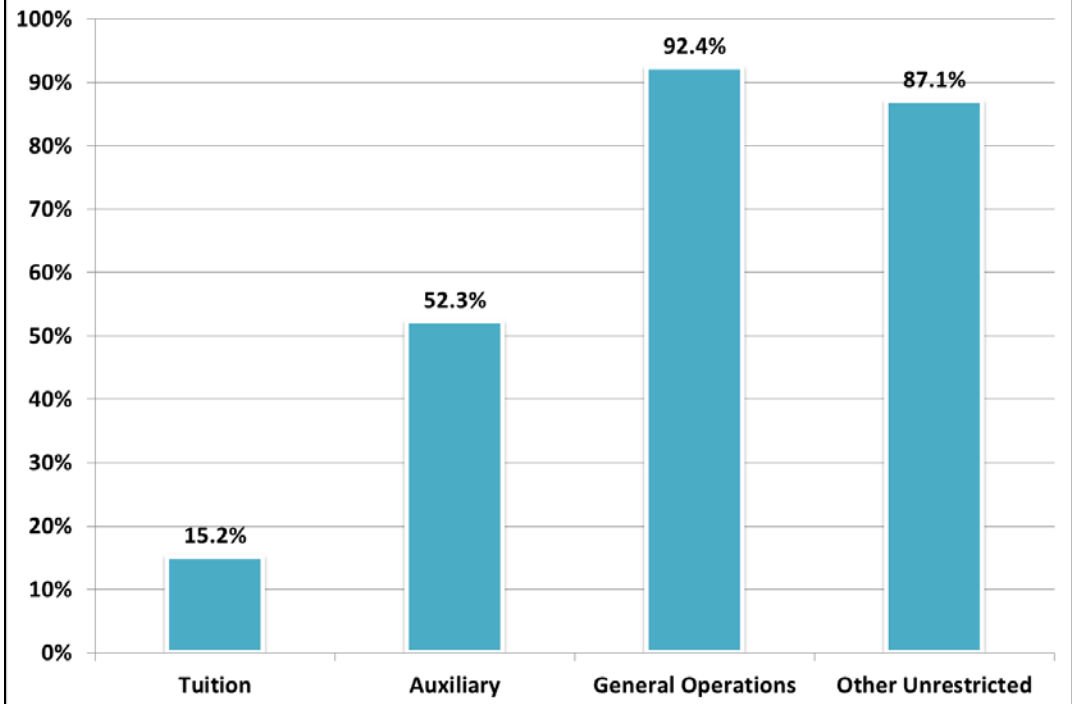
PR Balances Highlights

- **Tuition Balances decreased 12.5% from FY 2013 to FY 2014**
- **Total Unrestricted Balances decreased 1.7% from FY 2013 to FY 2014**
- **Auxiliary Balances increased 4.3% from FY 2013 to FY 2014**
- **General Operations Balances decreased 2.5% from FY 2013 to FY 2014**

UW-Eau Claire FY 2014 PR Balances by Level of Commitment



UW-Eau Claire FY 2014 Balance Calculations



Summary of UW System Unrestricted PR Balances by Level of Commitment

FY 2014 ending balances as categorized by institution reports

UW-Eau Claire

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Tuition	5,758,278	5,676,096	1,315,181	4,760,143	679,689	18,189,387
Auxiliary	4,758,600	2,720,099	7,878,635	3,002,000	-	18,359,334
General Operations	347,207	-	6,982,298	-	-	7,329,505
Federal Indirect	-	326,460	820,093	500,000	-	1,646,553
Other Unrestricted	4,799,156	-	(41,340)	-	-	4,757,816
	15,663,241	8,722,655	16,954,867	8,262,143	679,689	50,282,595
	31.2%	17.3%	33.7%	16.4%	1.4%	100.0%
UW System*	30.5%	47.4%	10.0%	10.4%	1.6%	100.0%

* (excluding System Admin and Systemwide)



	Tuition (131 and 189)	Auxiliary (128 and 228)	General Operations 136	Other Unrestricted (xxx)	Subtotal	Federal Indirect	Total Unrestricted
Total PR Appropriation Balances							
FY2012-13	20,787,897	17,599,709	7,513,614	3,391,362	49,292,582	1,884,205	51,176,787
FY2013-14	18,189,387	18,359,334	7,329,505	4,757,816	48,636,042	1,646,553	50,282,595
Change	(2,598,510)	759,625	(184,109)	1,366,454	(656,540)	(237,652)	(894,192)
	-12.5%	4.3%	-2.5%	40.3%	-1.3%	-12.6%	-1.7%
Total Expenses							
FY2012-13	110,778,123	40,670,315	5,594,187	4,431,876			
FY2013-14	119,307,295	35,135,363	7,933,116	5,459,906			
Change	8,529,172	(5,534,952)	2,338,929	1,028,030			
Balance Calculations							
FY2012-13	18.8%	43.3%	134.3%	76.5%			
FY2013-14	15.2%	52.3%	92.4%	87.1%			

Report on Tuition (Funds 131 & 189) Balance in Excess of 12% of Fiscal Year Expenditures

UW-EAU CLAIRE

Fiscal Year: 2013-2014

		Percentage (%)
Total Balance	\$18,189,387	15.25%
Obligated		\$5,758,278
Planned		\$5,676,096
<i>subtotal: Obligated & Planned</i>		<i>\$11,434,374</i>
Designated	\$1,315,181	
Reserves	\$4,760,143	
Undocumented Funds	\$679,689	
Remaining Balance	\$0	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

Item #	1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended
1	Budget reduction for FY15	Obligated	\$3,073,703	\$3,073,703	\$3,073,703	FY15
2	Marketing / advertising services	Obligated	\$57,849	\$57,849	\$57,849	FY15
3	Office furniture for University Advancement office	Obligated	\$342	\$342	\$342	FY15
4	Office furniture for Student Affairs	Obligated	\$416	\$416	\$416	FY15
5	Office furniture for Arts & Sciences	Obligated	\$28,978	\$28,978	\$28,978	FY15
6	Office furniture for College of Business	Obligated	\$2,014	\$2,014	\$2,014	FY15
7	Office furniture for College of Education and Human Sciences	Obligated	\$4,000	\$4,000	\$4,000	FY15
8	Office furniture replacement for Continuing Education	Obligated	\$6,000	\$6,000	\$6,000	FY15
9	Office furniture for Facilities Management	Obligated	\$75	\$75	\$75	FY15
10	Office equipment maintenance contract for Student Affairs	Obligated	\$1,000	\$1,000	\$1,000	FY15
11	Office equipment maintenance contracts for Arts & Sciences	Obligated	\$4,528	\$4,528	\$4,528	FY15
12	Office equipment maintenance contracts for College of Business	Obligated	\$253	\$253	\$253	FY15

13	Office equipment maintenance contracts for College of Education	Obligated	\$262	\$262	\$262	FY15
14	Office equipment maintenance contracts for Continuing Education	Obligated	\$6,197	\$6,197	\$6,197	FY15
15	Equipment repair / maintenance for Arts & Sciences	Obligated	\$3,860	\$3,860	\$3,860	FY15
16	Computer equipment for Arts & Sciences	Obligated	\$9,950	\$9,950	\$9,950	FY15
17	Computer software maintenance for Arts & Sciences	Obligated	\$4,619	\$4,619	\$4,619	FY15
18	Computer software upgrade for Facilities Management	Obligated	\$6,495	\$6,495	\$6,495	FY15
19	Technical equipment for College of Business	Obligated	\$879	\$879	\$879	FY15
20	Simulation lab equipment for College of Nursing and Health Sciences	Obligated	\$132	\$132	\$132	FY15
21	Employee Assistance Program	Obligated	\$5,059	\$5,059	\$5,059	FY15
22	University Police squad vehicle	Obligated	\$26,807	\$26,807	\$26,807	FY15
23	Hazardous waste disposal	Obligated	\$6,556	\$6,556	\$6,556	FY15
24	ACH (Automated Clearing House) fees	Obligated	\$17,475	\$17,475	\$17,475	FY15
25	Zorn Arena sound system reinforcement	Obligated	\$15,325	\$15,325	\$15,325	FY15
26	Chippewa Valley Technical College lab use fee and lab supplies	Obligated	\$9,935	\$9,935	\$9,935	FY15
27	Field trip charter bus service for College of Business	Obligated	\$424	\$424	\$424	FY15
28	Professional design service for College of Business hallway	Obligated	\$1,125	\$1,125	\$1,125	FY15
29	IT upgrades to campus network infrastructure	Obligated	\$60,436	\$60,436	\$60,436	FY15
30	IT Dept. computer software purchases / maintenance	Obligated	\$6,614	\$6,614	\$6,614	FY15
31	IT modifications to Distance Education classrooms, and modifications, such as teaching stations in active learning classrooms.	Obligated	\$74,648	\$74,648	\$74,648	FY15
32	IT continued implementation FY14 innovative projects under Student Technology Fee-supported plan to maintain and enhance technology on the campus that is generally accessible to all students.	Planned	\$223,547	\$223,547	\$223,547	FY15
33	Fiber optic network installation on lower campus - IT & Facilities split	Obligated	\$99,000	\$99,000	\$99,000	FY15
34	Carpeting / tile flooring service and materials for installation on-campus	Obligated	\$44,141	\$44,141	\$44,141	FY15
35	Concrete work	Obligated	\$4,360	\$4,360	\$4,360	FY15
36	Blocked drain pipe repair service	Obligated	\$400	\$400	\$400	FY15
37	UPS equipment maintenance	Obligated	\$10,525	\$10,525	\$10,525	FY15
38	Electrical supplies	Obligated	\$1,504	\$1,504	\$1,504	FY15
39	Fire alarm system maintenance and testing services	Obligated	\$28,564	\$28,564	\$28,564	FY15
40	Air conditioning chiller maintenance	Obligated	\$4,125	\$4,125	\$4,125	FY15
41	Window washing service	Obligated	\$13,027	\$13,027	\$13,027	FY15
42	Salt / sand mixture for winter sidewalk / street use	Obligated	\$12,203	\$12,203	\$12,203	FY15
43	Football locker room repair	Obligated	\$2,600	\$2,600	\$2,600	FY15

44	Purchase four Suzuki Shuttle vehicles for Facilities Management Dept.	Obligated	\$44,783	\$44,783	\$44,783	FY15
45	Expenses for moving materials related to opening Centennial Hall	Obligated	\$3,994	\$3,994	\$3,994	FY15
46	Vehicle repair	Obligated	\$1,000	\$1,000	\$1,000	FY15
47	Tree removal	Obligated	\$4,925	\$4,925	\$4,925	FY15
48	ALRA (Annual Leave Reserve Account) for retired Vice Chancellor through September 2014	Obligated	\$38,254	\$38,254	\$38,254	FY15
49	Lighting system assessment in Fine Arts building.	Obligated	\$8,000	\$8,000	\$8,000	FY15
50	Architectural / engineering services preparing for Haas Fine Arts Center renovation	Planned	\$250,000	\$250,000	\$250,000	FY15
51	Renovation of campus office/classroom spaces available after opening of new Centennial Hall	Planned	\$500,000	\$500,000	\$500,000	FY15
52	Redesign the UW-Eau Claire public website to render content available on all device types.	Planned	\$546,350	\$546,350	\$546,350	FY15, FY16, FY17
53	Integrated marketing initiative to support UW-Eau Claire enrollment and development goals.	Planned	\$575,000	\$1,160,000	\$1,160,000	FY15, FY16
54	Salary for project staff positions in the News Bureau and Publications office as part of the campus integrated marketing initiative to support UW-Eau Claire enrollment and development goals.	Planned	\$86,760	\$86,760	\$86,760	FY15
55	Develop curriculum and business model for potential international summer program.	Planned	\$60,649	\$60,649	\$60,649	FY15
56	Seed money to launch Online Master of Science degree in Communication Sciences and Disorders.	Planned	\$40,932	\$40,932	\$40,932	FY15
57	Blugold Commitment differential tuition programming.	Obligated	\$496,708	\$496,708	\$496,708	FY15
58	UW System Economic Development Incentive Grant - Responsible Mining initiative	Obligated	\$145,980	\$145,980	\$145,980	FY15
59	UW System Economic Development Incentive Grant - Responsible Mining initiative	Planned	\$260,576	\$260,576	\$260,576	FY15
60	UW System Economic Development Incentive Grant - Nurses for Wisconsin initiative	Obligated	\$2,233,156	\$2,233,156	\$2,233,156	FY15, FY16
61	UW System Economic Development Incentive Grant - Nurses for Wisconsin initiative	Planned	\$782,084	\$782,084	\$782,084	FY15, FY16
62	Two-year pilot project to offer sections in the study of the Hmong language.	Planned	\$9,000	\$9,000	\$9,000	FY15
63	Shape and support a two-year interdisciplinary Hmong Studies initiative.	Planned	\$35,000	\$35,000	\$35,000	FY15
64	Three-year pilot initiative to offer summer language camps.	Planned	\$39,440	\$39,440	\$39,440	FY15, FY16
65	Continuing Education programming	Planned	\$1,061,804	\$1,061,804	\$1,061,804	FY15, FY16, FY17
66	Two-year project to offer additional counseling services.	Obligated	\$86,862	\$86,862	\$86,862	FY15

67	Support for director of Bugold Beginnings initiative to create a college-going culture in the UW-Eau Claire region.	Planned	\$61,500	\$61,500	\$61,500	FY15
68	Seed money for Donor Stewardship and Engagement Coordinator position to serve as critical link between academic departments and their alumni.	Planned	\$23,667	\$23,667	\$23,667	FY15, FY16
69	College of Nursing competitive salary adjustments for academic staff	Planned	\$158,000	\$158,000	\$158,000	FY15
70		Planned	\$0	\$0	\$0	FY15
71		Planned	\$0	\$0	\$0	FY15

Total
Obligated
Planned

\$11,434,374
\$5,758,278
\$5,676,096

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping		FY14 Designated Balance
Item #		
1	Differential tuition - Legacy	\$773,950
2	Revenue-sharing between Continuing Education and the Colleges for credit program offerings	\$541,231
3		
4		
5		
Totals		\$1,315,181

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping		FY14 Reserves Balance
Item #		
1	Reserves for enrollment / revenue declines	\$4,760,143
2		
3		
4		
5		
Totals		\$4,760,143

Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping		FY14 Undocumented Balance
Item #		
1	Strategic investment funds	\$679,689
	Availability of funds has enabled the campus to reinforce the sound system in the Zorn Arena, contract for architectural/engineering services to plan renovation of the Haas Fine Arts Center, pay city assessment for improvements to streets bordering Bollinger Fields recreation complex, renovate Schofield Auditorium, lay fiber connecting to The Priory, implement campus lab virtualization (software that allows students to access the software in general access labs from any computer)	
2		
3		
4		
Totals		\$679,689

Report on Auxiliary Operations Balance (Funds 128 & 228) in Excess of 12% of Fiscal Year Expenditures

UW-EAU CLAIRE

Fiscal Year: 2013-2014

		Percentage (%)
Total Balance	\$18,359,334	52.3%
Obligated		\$4,758,600
Planned		\$2,720,099
<i>subtotal: Obligated & Planned</i>		<i>\$7,478,699</i>
Designated	\$7,878,635	
Reserves	\$3,002,000	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

Item #	1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended
1	Special Assistant position partially funded by Accumulated Interest Earnings account	Obligated	\$73,561.00	\$73,561.00	\$73,561.00	FY15
2	Business Office staff partially funded by Service Charge account	Obligated	\$50,000.00	\$50,000.00	\$50,000.00	FY15
3	Trucks & other vehicles for Parking and Transportation Services	Obligated	\$4,700.00	\$4,700.00	\$4,700.00	FY15
4	Maintenance supplies for Parking and Transportation Services	Obligated	\$1,356.00	\$1,356.00	\$1,356.00	FY15
5	Printing expenses for Parking and Transportation Services, and Residence Halls	Obligated	\$46,019.00	\$46,019.00	\$46,019.00	FY15
6	Maintenance & repair expenses for Residence Halls, University Recreation, Student Health Service, Athletics	Obligated	\$106,369.00	\$106,369.00	\$106,369.00	FY15
7	Equipment & Furniture for the Residence Halls, Student Centers, University Printing, University Recreation, LTS (Library & IT Depts.)	Obligated	\$145,393.00	\$145,393.00	\$145,393.00	FY15

8	Other Services & Supplies for the Residence Halls, University Recreation, Organized Activities	Obligated	\$11,274.00	\$11,274.00	\$11,274.00	FY15
9	Student ID Cards (Student Centers & Food Service)	Obligated	\$58,850.00	\$58,850.00	\$58,850.00	FY15
10	Fiber Network installation on Lower Campus	Obligated	\$91,000.00	\$91,000.00	\$91,000.00	FY15
11	Parking and Transportation reduction of cash balance through operations and projects including the Nursing Parking Lot Rebuild & Hibbard Handicap Parking Lot Rebuild	Planned	\$313,998.00	\$313,998.00	\$313,998.00	FY15
12	Priory parking lot rebuild	Planned	\$193,000.00	\$193,000.00	\$193,000.00	FY16
13	Priory Residence Hall renovation	Obligated	\$3,121,394.00	\$3,500,000.00	\$3,500,000.00	FY15, FY16
14	Enumerated project for new residence hall	Obligated	\$1,000,000.00	\$1,000,000.00	\$1,000,000.00	FY15, FY16, FY17
15	Tuition and expenses for Study Abroad programs	Obligated	\$48,684.00	\$48,684.00	\$48,684.00	FY15
16	Student segregated fee-funded Office of Sustainability projects	Planned	\$55,521.00	\$55,521.00	\$55,521.00	FY15
17	Food Service planned reduction of cash balance through operations and projects including Wireless access improvements, exhaust hood remodel, sequoia quadpoint POS, elevator lift	Planned	\$392,333.00	\$392,333.00	\$392,333.00	FY15
18	Student segregated fee-funded Recreation & Sport Facilities program plan to reduce balance through operations and projects including Crest Wellness Center Nutrition Software	Planned	\$8,310.00	\$8,310.00	\$8,310.00	FY15
18	Segregated Fee Funded: Recreation & Sport Facilities Projects including Ropes Course Expansion, Spinning Bikes, Bollinger Field Lighting and artificial surface, scoring monitors for bowling, computer replacement, Crest fitness center equipment, Simpson Field upgrades, Floor Maintenance Machine, computers, etc.	Planned	\$394,387.00	\$394,387.00	\$394,387.00	FY15, FY16, FY17, FY18, FY19
19	Student segregated fee-funded Instructional Resource (Textbook) Rental office planned reduction of cash balance through operations (includes one-time increase in cost to transfer data to new software)	Planned	\$25,302.00	\$25,302.00	\$25,302.00	FY15
20	Student segregated fee-funded Organized Activities program reduction of cash balance through operations	Planned	\$227,423.00	\$227,423.00	\$227,423.00	FY15
21	Student segregated fee-funded Student Health Service planned reduction of cash balance through operations	Planned	\$26,022.00	\$26,022.00	\$26,022.00	FY15
22	Student segregated fee-funded Intramurals program planned reduction of cash balance through operations and projects including Olson Tennis Courts lighting and Bollinger Baseball Field upgrade	Planned	\$104,003.00	\$104,003.00	\$104,003.00	FY15
23	Student segregated fee-funded Recreation Dept. account for Crest Wellness Building project as part of Campus Master Plan	Planned	\$925,000.00	\$1,425,000.00	\$1,425,000.00	FY17, FY18, FY19

24	University Printing plans to replace four department copiers, three student copiers, and two coin attachments on copiers. Leases for copiers and Micropress.	Planned	\$54,800.00	\$54,800.00	\$54,800.00	FY15
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Total

Obligated

Planned

\$7,478,699

\$4,758,600

\$2,720,099

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Item #	Major Balance Grouping	FY14 Designated Balance
1	Interest earnings on Auxiliary Operations used for projects / purchases such as University Police vehicles and equipment, Athletics Dept. 8-passenger vans, temporary parking lot during Centennial Hall construction, Blugold Marching Band instruments, software annual maintenance fees, salary and fringe benefits for portion of Strategic Planner position.	\$464,449.00
2	Student segregated fee-funded Municipal Services account for city police and fire department chargebacks	\$235,084.00
3	Business Office service charges account which is used to partially fund the salary and fringe benefit expenses for several Business Office staff	\$379,467.00
4	Criminal Background Check service charge account that Human Resources uses to pay wages of students providing clerical support in the Human Resources office	\$28,092.00
5	Center for International Education working capital for international student recruitment expenses, hosting cultural immersion activities, health insurance premiums for international students, foreign student orientation activities, and study abroad contingencies such as emergency return of a student.	\$339,141.00
6	Student Development office working capital for activities such as testing services	\$42,194.00
7	Cabaret annual Music / Theatre Arts event	\$21,033.00
8	Health Care Administration Exam fee account	\$9,669.00

9	IT administrative computer center and hardware maintenance	\$128,786.00
10	Facilities Planning & Management	\$71,365.00
11	Business Services accounts	\$738,132.00
12	Parking and Transportation Services	\$206,574.00
13	Student Centers funded by student segregated fees and user fees. Reimbursement of \$1.265 million for expenses related to the Davies Student Center construction project, received in June 2014, comprises the majority of the balance. A formal plan for use of the funds is being developed in FY15.	\$1,950,063.00
14	University Printing Services	\$403,383.00
15	Student segregated fee-funded Intramural Recreation program	\$33,515.00
16	Student segregated fee-funded University Recreation & Sport Facilities working capital account	\$342,649.00
17	Student segregated fee-funded Athletics and University Recreation facilities projects	\$116,396.00
19	Student segregated fee-funded Instructional Resource / Textbook Rental account which will be used to replace the floor in textbook rental area (estimated cost \$80,000 - \$120,000), "re-balance" timing of department textbook changes	\$1,429,864.00
20	Student segregated fee-funded Organized Activities	\$68,863.00
21	Student segregated fee-funded Children's Nature Academy (child care) program	\$66,285.00
22	Student segregated fee-funded Office of Sustainability account which will be used for student-initiated environmental projects	\$272,946.00
23	Student segregated fee-funded Student Health Service account used for additional student counseling services and health services	\$190,383.00
24	Commencement ceremony	\$57,061.00
25	Central Utilities Fund	\$81,970.00
26	Other 128 accounts	\$201,271.00

Totals

\$7,878,635

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping		FY14 Reserves Balance
Item #	Davies Center Construction Seg Fee Balance in Fund 228 serves as a reserve for debt service if enrollment / revenue declines	\$3,002,000
1		
2		
3		
4		
5		
Totals		\$3,002,000

Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping		FY14 Undocumented Balance
Item #		
1		
2		
3		
4		
5		
Totals		\$0

Report on General Operations (Fund 136) Balance in Excess of 12% of Fiscal Year Expenditures

UW-EAU CLAIRE

Fiscal Year: 2013-2014

		Percentage (%)
Total Balance	\$7,329,505	92.4%
Obligated		\$347,207
Planned		\$0
<i>subtotal: Obligated & Planned</i>		<i>\$347,207</i>
Designated	\$6,982,298	
Reserves	\$0	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

Item #	1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended
1	Office equipment maintenance contracts	Obligated	\$1,807	\$1,807	\$1,807	FY15
2	Planetarium LED supplies	Obligated	\$900	\$900	\$900	FY15
3	Athletics competition gear	Obligated	\$2,750	\$2,750	\$2,750	FY15
4	Executive search expenses	Obligated	\$8,300	\$8,300	\$8,300	FY15
5	Marketing / advertising	Obligated	\$14,064	\$14,064	\$14,064	FY15
6	Active learning classroom audiovisual equipment	Obligated	\$78,409	\$78,409	\$78,409	FY15
7	Articulating tractor for Facilities Management Dept.	Obligated	\$123,205	\$123,205	\$123,205	FY15
8	Dump truck for Facilities Management Dept.	Obligated	\$45,000	\$45,000	\$45,000	FY15
9	Two Suzuki Shuttle vehicles for Facilities Management Dept.	Obligated	\$22,391	\$22,391	\$22,391	FY15
10	Materials Science Center services/supplies	Obligated	\$1,500	\$1,500	\$1,500	FY15
11	Music Dept. services/supplies	Obligated	\$13,966	\$13,966	\$13,966	FY15
12	Conferences / workshop supplies	Obligated	\$10,915	\$10,915	\$10,915	FY15
13	Flooring for Athletics Dept. Sport Camp activities	Obligated	\$24,000	\$24,000	\$24,000	FY15

Total	\$347,207
Obligated	\$347,207
Planned	\$0

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping		FY14 Designated Balance
Item #		
1	Remedial education	\$208,304
2	Service Centers	\$99,332
3	Camps / Clinics	\$200,074
4	Conferences / Workshops	\$366,738
5	Institutional Projects	\$411,462
6	Other 136 accounts	\$698,137
7	Grounds equipment: Facilities Managements uses the revenue received for work completed on-campus using expensive large pieces of equipment such as dump trucks to replace the equipment.	\$239,901
8	Student financials clearing account	\$95,466
9	Minority programs	\$194,579
10	Special course fees	\$344,351
11	Summer Session distance education fees	\$110,929
12	Technology: revenue from departments to pay for common software used on campus, to prepay for 4-year computer rotation; differential tuition to prepay for 3-year replacement of two plotters used for research poster printing;	\$658,409
13	MBA Consortium	\$1,823,040
14	Business Alliance	\$962,780
15	Accelerated Nursing program	\$300,322
16	BSN@HOME Bachelor of Nursing program	\$167,475
17	Collaborative nursing degree	\$100,999
Totals		\$6,982,298

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Item #	Major Balance Grouping	FY14 Reserves Balance
1		
2		
3		
4		
5		
Totals		\$0

Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Item #	Major Balance Grouping	FY14 Undocumented Balance
1		
2		
3		
4		
5		
Totals		\$0

Report on Other Unrestricted Program Revenue Balance in Excess of 12% of Fiscal Year Expenditures

UW-EAU CLAIRE

Fiscal Year: 2013-2014

		Percentage (%)
Total Balance	\$4,757,816	87.14%
Obligated		\$4,799,156
Planned		\$0
<i>subtotal: Obligated & Planned</i>		<i>\$4,799,156.00</i>
Designated	-\$41,340	
Reserves	\$0	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

Item #	1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended
1	Debt service	Obligated	\$4,798,434	\$4,798,434	\$4,798,434	FY15
2	Office furniture for Continuing Education	Obligated	\$722	\$722	\$722	FY15
3						
4						
Total			\$4,799,156			
Obligated			\$4,799,156			
Planned			\$0			

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Item #	Major Balance Grouping	FY14 Designated Balance
1	Other fund 132	-\$49,324
2	License plate revenue for scholarships	\$7,984
3		
4		
Totals		-\$41,340

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Item #	Major Balance Grouping	FY14 Reserves Balance
1		
2		
3		
4		
Totals		\$0

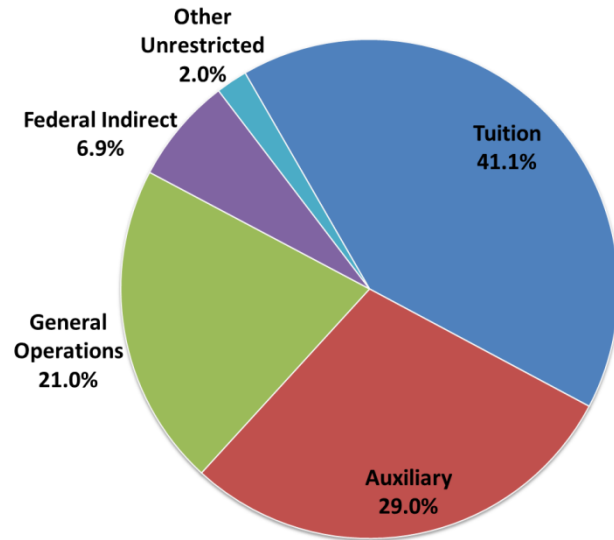
Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Item #	Major Balance Grouping	FY14 Undocumented Balance
1		
2		
3		
4		
5		
Totals		\$0

UW-Green Bay FY 2014 PR Balances

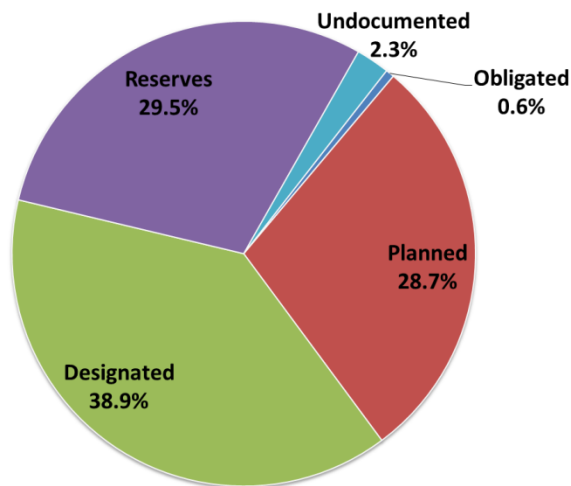
UW-Green Bay FY 2014 PR Balances by Category



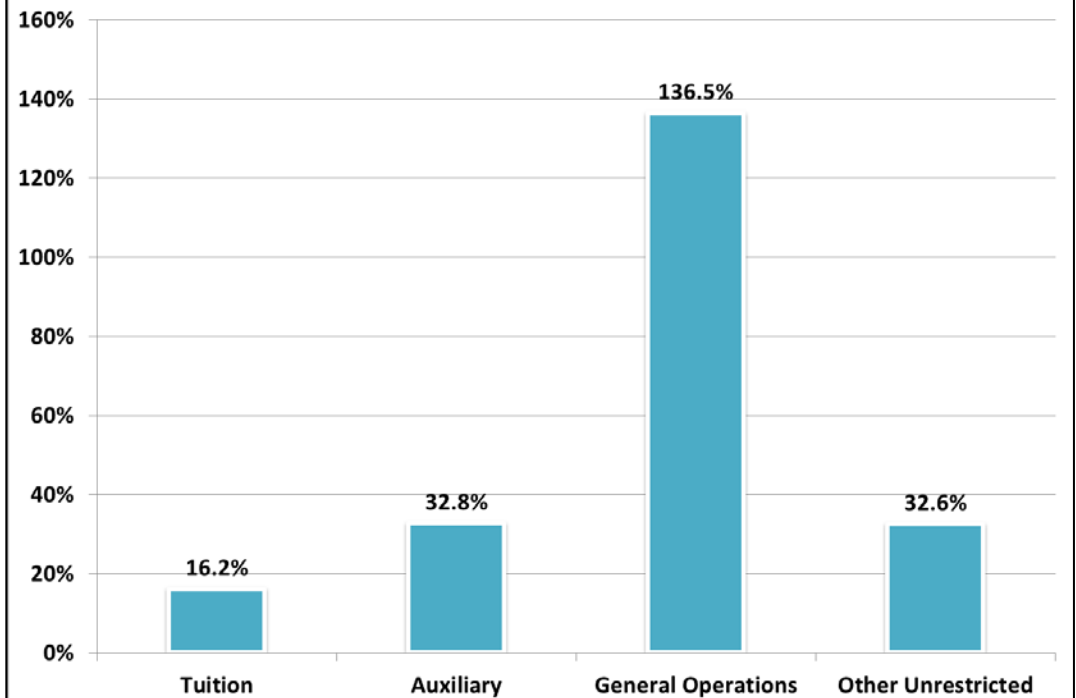
PR Balances Highlights

- Tuition Balances increased 5.5% from FY 2013 to FY 2014
- Total Unrestricted Balances increased 4.8% from FY 2013 to FY 2014
- Auxiliary Balances increased 13.4% from FY 2013 to FY 2014
- General Operations Balances decreased 7.0% from FY 2013 to FY 2014

UW-Green Bay FY 2014 PR Balances by Level of Commitment



UW-Green Bay FY 2014 Balance Calculations



Summary of UW System Unrestricted PR Balances by Level of Commitment

FY 2014 ending balances as categorized by institution reports

UW-Green Bay

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Tuition	-	546,641	2,245,563	5,839,264	500,000	9,131,468
Auxiliary	133,384	3,076,248	2,602,087	625,657	-	6,437,376
General Operations	-	2,642,620	1,946,955	45,000	16,854	4,651,429
Federal Indirect	-	105,600	1,382,193	39,150	-	1,526,943
Other Unrestricted	-	-	453,393	-	-	453,393
	133,384	6,371,109	8,630,191	6,549,071	516,854	22,200,609
	0.6%	28.7%	38.9%	29.5%	2.3%	100.0%
UW System*	30.5%	47.4%	10.0%	10.4%	1.6%	100.0%

* (excluding System Admin and Systemwide)



	Tuition (131 and 189)	Auxiliary (128 and 228)	General Operations 136	Other Unrestricted (xxx)	Subtotal	Federal Indirect	Total Unrestricted
Total PR Appropriation Balances							
FY2012-13	8,656,999	5,677,763	5,002,998	360,894	19,698,654	1,481,044	21,179,698
FY2013-14	9,131,468	6,437,376	4,651,429	453,393	20,673,666	1,526,943	22,200,609
Change	474,469	759,613	(351,569)	92,499	975,012	45,899	1,020,911
	5.5%	13.4%	-7.0%	25.6%	4.9%	3.1%	4.8%
Total Expenses							
FY2012-13	54,079,375	18,849,471	2,738,019	1,651,029			
FY2013-14	56,219,013	19,653,750	3,407,790	1,390,791			
Change	2,139,638	804,279	669,771	(260,238)			
Balance Calculations							
FY2012-13	16.0%	30.1%	182.7%	21.9%			
FY2013-14	16.2%	32.8%	136.5%	32.6%			

Report on Tuition (Funds 131 & 189) Balance in Excess of 12% of Fiscal Year Expenditures**UW-GREEN BAY****Fiscal Year: 2013-2014**

		Percentage (%)
Total Balance	\$9,131,468	16.24%
Obligated		\$0
Planned		\$546,641
<i>subtotal: Obligated & Planned</i>		<i>\$546,641</i>
Designated	\$2,245,563	
Reserves	\$5,839,264	
Undocumented Funds	\$500,000	
Remaining Balance	\$0	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended
1. Engineering Technology Economic Incentive Grant	Planned	\$546,641	\$546,641	\$546,641	FY15

Total	\$546,641
Obligated	\$0
Planned	\$546,641

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Designated Balance
1. Outreach and Adult Access Fund 189 Balance	\$2,245,563
Totals	\$2,245,563

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Reserves Balance
1. Reserves for enrollment declines	\$5,839,264
Totals	\$5,839,264

Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Undocumented Balance
1. New Chancellor's Initiatives	\$500,000
Totals	\$500,000

Report on Auxiliary Operations Balance (Funds 128 & 228) in Excess of 12% of Fiscal Year Expenditures**UW-GREEN BAY****Fiscal Year: 2013-2014**

		Percentage (%)
Total Balance	\$6,437,376	32.8%
Obligated		\$133,384
Planned		\$3,076,248
<i>subtotal: Obligated & Planned</i>		\$3,209,632
Designated	\$2,602,087	
Reserves	\$625,657	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended
1. Residence Life Apartments - Windows, Siding, Trim	Planned	\$1,732,860	\$1,928,000	\$1,928,000	FY15, FY16
2. Residence Life/Weidner Center Parking Lot Replacement	Planned	\$1,148,712	\$1,500,000	\$1,500,000	FY16, FY19
3. International Education Program Expansion	Planned	\$194,676	\$221,638	\$221,638	FY15, FY16, FY17
4. Meal Plan Prepaid Balances	Obligated	\$122,511	\$122,511	\$122,511	FY15
5. Sales Tax Clearing	Obligated	\$10,873	\$10,873	\$10,873	FY15

Total	\$3,209,632
Obligated	\$133,384
Planned	\$3,076,248

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Designated Balance
1. Segregated Fee Operations	\$1,217,356
2. Bookstore	\$743,959
3. Computing and Information Technology	\$226,121
4. Motor Pool	\$189,331
5. Weidner Center	\$140,667
6. Golf Course	\$63,000
7. Special Course Fees	\$21,653
Totals	\$2,602,087

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Reserves Balance
1. Reserves for business interruptions/revenue declines	\$625,657
Totals	\$625,657

Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Undocumented Balance
Totals	\$0

Report on General Operations (Fund 136) Balance in Excess of 12% of Fiscal Year Expenditures

UW-GREEN BAY

Fiscal Year: 2013-2014

		Percentage (%)
Total Balance	\$4,651,429	136.5%
Obligated		\$0
Planned		\$2,642,620
<i>subtotal: Obligated & Planned</i>		<i>\$2,642,620</i>
Designated	\$1,946,955	
Reserves	\$45,000	
Undocumented Funds	\$16,854	
Remaining Balance	\$0	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended
1. Nursing Programs-3 yr spend plan (moving to 131)	Planned	\$984,141	\$984,141	\$984,141	FY15, FY16, FY17
2. Social Work Programs-3 yr spend plan (moving to 131)	Planned	\$173,338	\$173,338	\$173,338	FY15, FY16, FY17
3. University Union Fire Alarm Replacement	Planned	\$374,000	\$374,000	\$374,000	FY15
4. Soccer Field Lighting	Planned	\$359,000	\$359,000	\$359,000	FY15
5. Library-3rd to 8th Floor Pre-Design	Planned	\$204,618	\$204,618	\$204,618	FY16, FY17
6. Marketing/Communications enrollment campaign	Planned	\$200,000	\$200,000	\$200,000	FY15
7. Security Cameras	Planned	\$155,000	\$155,000	\$155,000	FY15
8. Library-1st and 2nd Floor Pre-Design	Planned	\$73,000	\$73,000	\$73,000	FY15
9. Union Court Reconstruction	Planned	\$40,000	\$40,000	\$40,000	FY15
10. IS Loading Dock and Road Paving	Planned	\$32,794	\$32,794	\$32,794	FY15

11. MAC Hall Court Repaving	Planned	\$30,229	\$30,229	\$30,229	FY15
12. Studio Arts-Visual Arts Lab Pre-Design	Planned	\$16,500	\$16,500	\$16,500	FY15

Total	\$2,642,620
Obligated	\$0
Planned	\$2,642,620

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Designated Balance
1. Distance Education Fees	\$767,669
2. Education Professional Development Certificate	\$220,434
3. National Nursing-Marketing	\$200,209
4. Registrar Services	\$143,185
5. CIO Office	\$135,399
6. Information Technology	\$69,379
7. Academic Department Accounts less than \$10,000	\$60,612
8. Center for Bio-Diversity	\$44,494
9. Natural and Applied Sciences-Heirloom Plants	\$37,009
10. Staff Development	\$33,677
11. Business Week Conference	\$33,245
12. Testing and Evaluation	\$28,642
13. Freshman Orientation Programs (FOCUS)	\$28,426
14. Educational Support Services	\$26,042
15. Dean of the College of Professional Studies	\$24,667
16. Financial Aid Clearing Account	\$19,621
17. Library	\$17,202
18. Music Department	\$15,293
19. Other Accounts with Balances less than \$10,000	\$14,515
20. Business Administration Academic Department	\$13,038
21. Enrollment Services	\$10,143
22. Dietetics Program	\$4,053
Totals	\$1,946,955

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Reserves Balance
1. Dietetics Program reserve mandated by the Accreditation Council for Education in Nutrition and Dietetics (ACEND)	\$45,000
Totals	\$45,000

Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Undocumented Balance
1. Cell Tower Lease Revenue	\$16,854
Totals	\$16,854

Report on Other Unrestricted Program Revenue Balance in Excess of 12% of Fiscal Year Expenditures

UW-GREEN BAY

Fiscal Year: 2013-2014

		Percentage (%)
Total Balance	\$453,393	32.60%
Obligated		\$0
Planned		\$0
<i>subtotal: Obligated & Planned</i>		\$0
Designated	\$453,393	
Reserves	\$0	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended
Total		\$0			
Obligated		\$0			
Planned		\$0			

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Designated Balance
1. Outreach-Continuing Professional Education (Fund 132)	\$195,126
2. Outreach-Government Affairs (Fund 132)	\$148,912
3. Outreach-Education Outreach (Fund 132)	\$69,015
4. Adult Degree Program (Fund 132)	\$31,219
5. License Plate Scholarship Program (Fund 184)	\$9,122
Totals	\$453,393

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Reserves Balance
Totals	\$0

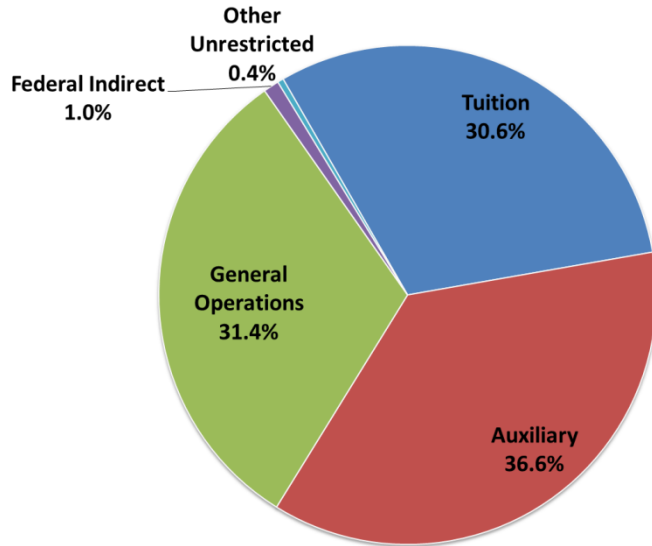
Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Undocumented Balance
Totals	\$0

UW-La Crosse FY 2014 PR Balances

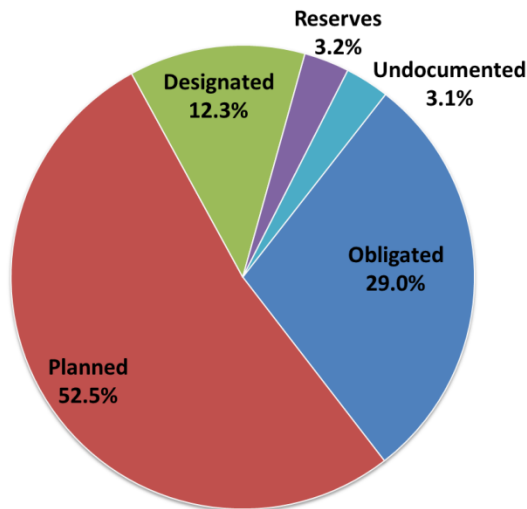
UW-La Crosse FY 2014 PR Balances by Category



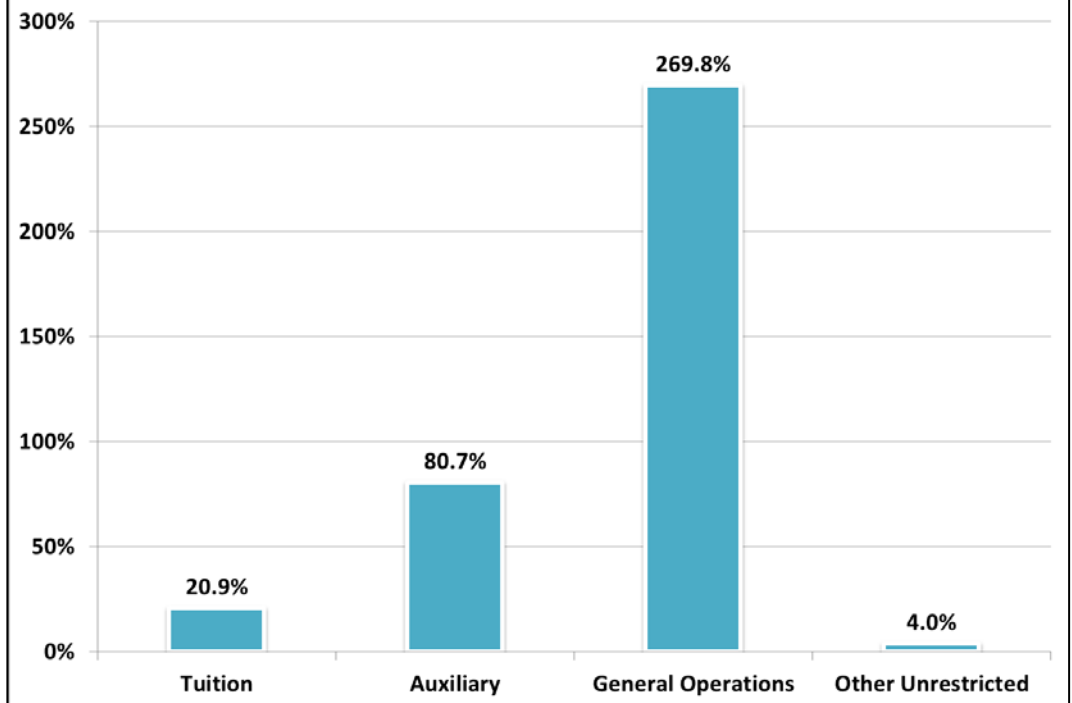
PR Balances Highlights

- **Tuition Balances decreased 9.9% from FY 2013 to FY 2014**
- **Total Unrestricted Balances decreased 2.0% from FY 2013 to FY 2014**
- **Auxiliary Balances increased 10.6% from FY 2013 to FY 2014**
- **General Operations Balances decreased 7.8% from FY 2013 to FY 2014**

UW-La Crosse FY 2014 PR Balances by Level of Commitment



UW-La Crosse FY 2014 Balance Calculations



Summary of UW System Unrestricted PR Balances by Level of Commitment

FY 2014 ending balances as categorized by institution reports

UW-La Crosse

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Tuition	1,573,388	8,648,411	8,495,986	2,245,602	2,341,834	23,305,221
Auxiliary	12,601,485	15,260,744	8,821	-	-	27,871,050
General Operations	7,905,415	15,446,163	446,352	162,644	-	23,960,574
Federal Indirect	-	440,437	332,945	-	-	773,382
Other Unrestricted	11,692	208,596	75,750	-	-	296,038
	22,091,980	40,004,351	9,359,854	2,408,246	2,341,834	76,206,265
	29.0%	52.5%	12.3%	3.2%	3.1%	100.0%
UW System*	30.5%	47.4%	10.0%	10.4%	1.6%	100.0%

* (excluding System Admin and Systemwide)



	Tuition (131 and 189)	Auxiliary (128 and 228)	General Operations 136	Other Unrestricted (xxx)	Subtotal	Federal Indirect	Total Unrestricted
Total PR Appropriation Balances							
FY2012-13	25,874,274	25,189,654	25,993,052	247,730	77,304,710	472,492	77,777,202
FY2013-14	23,305,221	27,871,050	23,960,574	296,038	75,432,883	773,382	76,206,265
Change	(2,569,053)	2,681,396	(2,032,478)	48,308	(1,871,827)	300,890	(1,570,937)
	-9.9%	10.6%	-7.8%	19.5%	-2.4%	63.7%	-2.0%
Total Expenses							
FY2012-13	108,423,395	43,932,999	7,702,388	5,916,965			
FY2013-14	111,604,971	34,542,036	8,881,178	7,423,417			
Change	3,181,576	(9,390,963)	1,178,790	1,506,452			
Balance Calculations							
FY2012-13	23.9%	57.3%	337.5%	4.2%			
FY2013-14	20.9%	80.7%	269.8%	4.0%			

Report on Tuition (Funds 131 & 189) Balance in Excess of 12% of Fiscal Year Expenditures

UW-La Crosse
Fiscal Year: 2014

		Percentage (%)
Total Balance	\$23,305,221.00	20.88%
Obligated		\$1,573,388.00
Planned		\$8,648,411.00
<i>subtotal: Obligated & Planned</i>		<i>\$10,221,799.00</i>
Designated	\$8,495,986.00	
Reserves	\$2,245,602.00	
Undocumented Funds	\$2,341,834.00	
Remaining Balance	\$0.00	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

Line	Project/Initiative	Obligated or Planned?	FY14 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended	Documentation Source
1	Fund 131 Excess Revenue and Self Supporting Units Encumbrances	Obligated	\$14,533.00	\$14,533.00	\$14,533.00	FY15	Acct Spend Plans, Account Detail, Purchase Orders
2	GQA Account Encumbrances	Obligated	\$6,986.00	\$6,986.00	\$6,986.00	FY15	Acct Spend Plans, Account Detail, Purchase Orders
3	GQA Faculty Start Up Accounts - in year 1 or 2 of their start up for existing faculty for research, equipment, technology and professional development	Obligated	\$467,758.00	\$467,758.00	\$467,758.00	FY15-FY16	Acct Spend Plans, Personnel Contracts
4	UWL Soaring Eagle Scholarships - funds awarded to FY15 students	Obligated	\$599,125.00	\$599,125.00	\$599,125.00	FY15	Acct Spend Plans, Financial Aid listing
5	ITS One Time Priorities - encumbrance for cabling/wiring	Obligated	\$29,250.00	\$29,250.00	\$29,250.00	FY15	Acct Spend Plan, Purchase Order
6	Faculty Grants awarded in FY14 for FY15 usage - research, course development, professional development	Obligated	\$205,008.00	\$205,008.00	\$205,008.00	FY15	Acct Spend Plan, Approved Grant Proposals
7	Fund 189 Continuing Education - 3 years annual support for department software	Obligated	\$20,626.00	\$37,500.00	\$37,500.00	FY15-FY17	Acct Spend Plan, Ungerboeck Contract
8	Fund 102 Carryover - Encumbrances	Obligated	\$230,102.00	\$230,102.00	\$230,102.00	FY15	Account Detail, Purchase Orders
9							
10	Student Affairs Administration - new personnel costs for new half time position	Planned	\$104,878.00	\$104,878.00	\$104,878.00	FY15-FY18	Acct Spend Plan
11	Health Professions Dosimetry program initiatives - accreditation, ad-hocs, technology equipment, marketing, online applications	Planned	\$116,758.00	\$116,758.00	\$116,758.00	FY15-FY19	Acct Spend Plan
12	Center for Advanced Teaching and Learning (CATL) Online Training and Online Course Development support	Planned	\$100,422.00	\$100,422.00	\$100,422.00	FY15	Acct Spend Plan
13	CBA Initiatives - Accreditation costs, statistical software, faculty research grants	Planned	\$105,436.00	\$105,436.00	\$105,436.00	FY15-FY19	Acct Spend Plans

14	College of Science and Health Initiatives - Academic technology and equipment, faculty research and fellowships	Planned	\$140,871.00	\$140,871.00	\$140,871.00	FY15-FY19	Acct Spend Plans
15	GQA Search and Screen funds for vacant FY15 GQA positions, searches in progress or to start in 2014-15	Planned	\$19,849.00	\$19,849.00	\$19,849.00	FY15	GQA Vacancy Listing
16	Wittich Hall Renovation Project	Planned	\$8,000,000.00	\$8,000,000.00	\$8,000,000.00	FY16-FY18	Acct Spend Plan, Project Schedule
17	Provost Support for Faculty Research	Planned	\$13,556.00	\$13,556.00	\$13,556.00	FY15	Account Details, Faculty/Dept Research Details
18	189 CEE Credit Administration - dual credit programming loss in revenue, software annual maintenance, office furniture	Planned	\$46,641.00	\$46,641.00	\$46,641.00	FY15 and FY19	Acct Spend Plan

Total	\$10,221,799.00	\$10,238,673.00	\$10,238,673.00
Obligated	\$1,573,388.00		
Planned	\$8,648,411.00		

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping		FY14 Designated Balance
19	CBA/MBA Programming	\$3,761.00
20	Health Professions Differential Tuition	\$110,461.00
21	Academic Initiatives Differential Tuition	\$272,340.00
22	Growth, Quality and Access Differential Tuition	\$7,211,777.00
23	Continuing Education Credit Outreach	\$27,310.00
24	Chinese MSE - Self Supporting program initiatives	\$327,296.00
25	Institute for Professional Studies in Education- Self-Supporting program development/initiatives	\$455,281.00
26	Prior Year Deferred Revenue clean up	\$87,760.00
Totals		\$8,495,986.00

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping		FY14 Reserves Balance
27	Tuition Reserve for Enrollment Fluctuations	\$835,195.00
28	Institutional Reserve for Enrollment Fluctuations for the Instructional Support positions funded through tuition	\$1,410,407.00

Totals	\$2,245,602.00
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Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping		FY14 Undocumented Balance
29	FY14 102 Carryover - University/Divisional initiatives	\$2,341,834.00
Totals		\$2,341,834.00

Report on Auxiliary Operations Balance (Funds 128 & 228) in Excess of 12% of Fiscal Year Expenditures

UW-LA CROSSE
Fiscal Year: 2014

		Percentage (%)
Total Balance	\$27,871,050.00	80.69%
Obligated		\$12,601,485.00
Planned		\$15,260,744.00
<i>subtotal: Obligated & Planned</i>		\$27,862,229.00
Designated	\$8,821.00	
Reserves	\$0.00	
Undocumented Funds	\$2,341,834.00	
Remaining Balance	-\$2,341,834.00	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

Line	Project/Initiative	Obligated or Planned?	FY14 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended	Documentation Source
1	Environmental Sustainability Projects - LED Lighting for New Student Union - FY15 obligation (Year 2 of 4 funding)	Obligated	\$46,750.00	\$46,750.00	\$46,750.00	FY17	Green Fund Committee approval, Acct Spend Plan
2	#12H2W New Student Center LED Lights - FY14 obligation	Obligated	\$46,750.00	\$187,000.00	\$187,000.00	FY17	Green Fund Committee approval, Acct Spend Plan
3	Campus Activities Board - FY15 Programming and Performers	Obligated	\$28,000.00	\$28,000.00	\$28,000.00	FY15	Account Spend Plan, Performer contracts
4	#12H2W Student Union Project - BTF Expenses and FY15-17 Debt Service	Obligated	\$356,084.00	\$2,539,884.00	\$2,539,884.00	FY15-FY17	Student Union Financing Plan, Acct Spend Plan
5	International Education Faculty Led Trip - student refunds	Obligated	\$6,409.00	\$6,409.00	\$6,409.00	FY15	Account Spend Plan, Trip Reconciliation
6	Organized Activities - use of balance for FY15 rate management	Obligated	\$15,583.00	\$15,583.00	\$15,583.00	FY15	FY15 SUFAC Budget, Account Spend Plan
7	SUFAC Entities - encumbrances	Obligated	\$3,950.00	\$3,950.00	\$3,950.00	FY15	Acct Spend Plan, Purchase Orders
8	REC Center - #14C1E Campus IT Infrastructure Project	Obligated	\$92,258.00	\$92,258.00	\$92,258.00	FY15 and FY16	Acct Spend Plan, #14C1E Project budget, PR Project Funding Plan
9	Child Care Center - #13B3K Chiller Plant	Obligated	\$30,716.00	\$30,716.00	\$30,716.00	FY15 and FY16	Acct Spend Plan, #13B3K Chiller Plant budget, PR Project Funding Plan
10	Child Care Center - #13A2S Electrical Switchgear	Obligated	\$14,996.00	\$14,996.00	\$14,996.00	FY15 and FY16	Acct Spend Plan, #13A2S Switchgear Project Budget and PR Project Funding Plan
11	Child Care Center - #14C1E Campus IT Infrastructure	Obligated	\$7,908.00	\$7,908.00	\$7,908.00	FY15 and FY16	Acct Spend Plan, #14C1E Project budget, PR Project Funding Plan
12	Health Center - PT Equipment	Obligated	\$11,458.00	\$11,458.00	\$11,458.00	FY15	Purchase Orders, Acct Spend Plan
13	Counseling and Testing - Office Furniture	Obligated	\$25,000.00	\$25,000.00	\$25,000.00	FY15	Purchase Orders, Acct Spend Plan
14	Residence Life - Advance Fees applied to students bills in Spring 2015	Obligated	\$167,284.00	\$167,284.00	\$167,284.00	FY15	Acct Spend Plan, Student bills
15	Residence Life - #14C1E Campus IT Infrastructure	Obligated	\$746,220.00	\$776,220.00	\$776,220.00	FY15 and FY16	Acct Spend Plan, #14C1E Project budget, PR Project Funding Plan

16	Residence Life - #10J2S-02 - Parking Ramp Project - Level 5 Obligation for resident parking	Obligated	\$3,000,000.00	\$3,000,000.00	\$3,000,000.00	FY15 and FY16	Acct Spend Plan, #10J2S-02 Level 4/5 Project Budget
17	University Centers - #14C1E Campus IT Infrastructure	Obligated	\$128,254.00	\$128,254.00	\$128,254.00	FY15 and FY16	Acct Spend Plan, #14C1E Project budget, PR Project Funding Plan
18	University Centers - #13A2S Electrical Switchgear	Obligated	\$54,795.00	\$54,795.00	\$54,795.00	FY15 and FY16	Acct Spend Plan, #13A2S Switchgear Project Budget and PR Project Funding Plan
19	Dining Services - #13A2S Electrical Switchgear	Obligated	\$112,336.00	\$112,336.00	\$112,336.00	FY15 and FY16	Acct Spend Plan, #13A2S Switchgear Project Budget and PR Project Funding Plan
20	Dining Services - #14C1E Campus IT Infrastructure	Obligated	\$59,242.00	\$59,242.00	\$59,242.00	FY15 and FY16	Acct Spend Plan, #14C1E Project budget, PR Project Funding Plan
21	Dining Services - #13B3K Chiller Plant	Obligated	\$230,099.00	\$230,099.00	\$230,099.00	FY15 and FY16	Acct Spend Plan, #13B3K Chiller Plant budget, PR Project Funding Plan
22	Dining Services - #12H2W - New Student Center Debt Service	Obligated	\$700,000.00	\$700,000.00	\$700,000.00	FY15 and FY16	Acct Spend Plan, Debt Service Schedule, PR Project Funding Plan
23	Dining Services - #14C2W Whitney Dishwasher Floor	Obligated	\$150,000.00	\$150,000.00	\$150,000.00	FY15	Acct Spend Plan, #14C2W budget
24	Bookstore Operations - #12H2W - New Student Union PR Funding	Obligated	\$85,000.00	\$85,000.00	\$85,000.00	FY15	Acct Spend Plan, New Student Union Financing Plan
25	Municipal Service Fee - FY15 rate management	Obligated	\$5,000.00	\$5,000.00	\$5,000.00	FY15	Seg Fee Budget, Acct Spend Plan
26	Seg Fee Reserve - #13A2S Switchgear	Obligated	\$335,543.00	\$335,543.00	\$335,543.00	FY15 and FY16	Acct Spend Plan, #13A2S Switchgear Project Budget and PR Project Funding Plan
27	Seg Fee Reserve - #14E4S Child Care Center Roof	Obligated	\$380,000.00	\$380,000.00	\$380,000.00	FY15 and FY16	Acct Spend Plan, PR Project Funding Plan, #14E4S Budget
28	Seg Fee Reserve - #12H2W - New Student Center Debt Service	Obligated	\$535,163.00	\$1,021,539.00	\$1,021,539.00	FY15 and FY16	Acct Spend Plan, Debt Service Schedule, PR Project Funding Plan
29	Park Ramp & Police Bldg Project #10J2S-02 Levels 4/5	Obligated	\$4,601,030.00	\$4,601,030.00	\$4,601,030.00	FY15 and FY16	#10J2S-02 Project Budget
30	International Development Faculty Grants - travel/professional development - awarded in FY14, spent over summer of 2014.	Obligated	\$37,262.00	\$37,262.00	\$37,262.00	FY15	Acct Spend Plans, Approved Grant Proposals
31	Special Course Fee and HP Program Fee Accounts - cover additional staff, encumbrances	Obligated	\$37,371.00	\$37,371.00	\$37,371.00	FY15	Acct Spend Plans, Purchase Orders
32	Cowley Science Building - 2015 BTF Expenses	Obligated	\$478,759.00	\$478,759.00	\$478,759.00	FY15	Acct Spend Plan, Project Schedule
33	UWL Debt Reserve - FY15 LMHSC Debt Service	Obligated	\$72,265.00	\$72,265.00	\$72,265.00	FY15	LMHSC Budget and Debt Service schedule, Acct Spend Plan
34							
35	Athletic Uniforms	Planned	\$24,601.00	\$24,601.00	\$24,601.00	FY15	Account Spend Plan, Athletic Uniform Tracker
36	Environmental Sustainability Projects	Planned	\$25,221.00	\$25,221.00	\$25,221.00	FY15	Account Spend Plan, Green Fund Committee Tracker
37	Study Abroad Initiatives/Programming	Planned	\$11,147.00	\$11,147.00	\$11,147.00	FY15	Acct Spend Plan
38	Student Fees - UPASS project and SUFAC funded equipment purchases and rate management.	Planned	\$37,000.00	\$37,000.00	\$37,000.00	FY15 and FY16	Acct Spend Plans
39	REC Center - Canoe Trailers	Planned	\$8,500.00	\$8,500.00	\$8,500.00	FY15	Acct Spend Plan
40	Child Care Center - countertops, cabinetry, fencing, appliance replacement	Planned	\$57,000.00	\$57,000.00	\$57,000.00	FY15-FY18	Acct Spend Plan
41	Rec Sports - REC equipment replacement, Graduate Assistant, Sound System and Technology for conditioning room	Planned	\$98,900.00	\$98,900.00	\$98,900.00	FY15 and FY16	Acct Spend Plan
42	Health Center - Equipment and PT Equipment replacement	Planned	\$36,500.00	\$36,500.00	\$36,500.00	FY15 and FY16	Acct Spend Plan
43	Counseling Center - training, promotional materials, iPads, UWS Impact Project	Planned	\$21,750.00	\$21,750.00	\$21,750.00	FY15-FY17	Acct Spend Plan
44	Stadium Deferred Maintenance - turf maintenance, tennis surface repairs, ticket booths, banners	Planned	\$50,200.00	\$50,200.00	\$50,200.00	FY15 and FY16	Acct Spend Plan, Facility Deferred Maintenance Schedule
45	University Centers - #12H2W - new Student Union equipment/fixtures	Planned	\$303,693.00	\$303,693.00	\$303,693.00	FY16 and FY17	Acct Spend Plan
46	Dining Services - Whitney Center loading dock/bridge project, blast chiller and oven purchases	Planned	\$610,000.00	\$610,000.00	\$610,000.00	FY16 and FY17	Acct Spend Plan

47	Dining Services - Purchase of small wares for New Student Union - #12H2W	Planned	\$450,236.00	\$700,000.00	\$700,000.00	FY17	Acct Spend Plan
48	Textbook Operations - #12H2W - New Union debt service future increased costs	Planned	\$128,245.00	\$128,245.00	\$128,245.00	FY17-FY19	Acct Spend Plan
49	CBORD - Server upgrade, replacement equipment, maintenance costs	Planned	\$87,000.00	\$87,000.00	\$87,000.00	FY15-FY18	Acct Spend Plan, CBORD Cost Model
50	Municipal Service Fee - FY16 rate management	Planned	\$5,000.00	\$5,000.00	\$5,000.00	FY16	Acct Spend Plan
51	Admin Overhead - Common Systems Funding	Planned	\$146,091.00	\$146,091.00	\$146,091.00	FY15	Common Systems Schedule, Acct Spend Plan
52	Central Financial Services accounts - Fieldhouse Project Funding	Planned	\$500,000.00	\$500,000.00	\$500,000.00	FY16-FY17	Acct Spend Plan, Project Schedule
53	Parking - pay stations, lot renovations, microvan, software, ticketing units	Planned	\$539,800.00	\$539,800.00	\$539,800.00	FY15-FY17	Acct Spend Plan
54	Campus Stores/Surplus - furnishings for new storage facility	Planned	\$62,601.00	\$62,601.00	\$62,601.00	FY17	Acct Spend Plan
55	Special Course Fee - rate management, copies, software, equipment/lab supplies	Planned	\$340,680.00	\$340,680.00	\$340,680.00	FY15-FY19	Acct Spend Plans
56	Wittich Hall Renovation Project	Planned	\$7,823,682.00	\$8,000,000.00	\$8,000,000.00	FY16-FY18	Acct Spend Plan, Project Schedule
57	Cowley Hall Science Building - Renovation of Cartwright for faculty offices, lab equipment	Planned	\$1,798,211.00	\$1,798,211.00	\$1,798,211.00	FY16-FY17	Acct Spend Plan, Project Schedule
58	UWL Debt Reserve - LHMSC - FY15-19 increased operating costs, debt service and building renovation projects	Planned	\$2,094,686.00	\$2,094,686.00	\$2,094,686.00	FY15-FY19	Acct Spend Plan, LHMSC Debt Service and Operating Budget

Total	\$27,862,229.00	\$31,128,737.00	\$31,128,737.00
Obligated	\$12,601,485.00		
Planned	\$15,260,744.00		

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

	Major Balance Grouping	FY14 Designated Balance
59	International Education	-\$547,019.00
60	Special Course Fees	\$117,088.00
61	Student Life/Programming	\$29,584.00
62	Seg Fee Units	\$531,971.00
63	Financial Services	\$209,688.00
64	Financial Services Clearing Accounts	-\$428,123.00
65	Parking Utility	\$57,861.00
66	Bookstore Operations	\$10,340.00
67	Campus Stores/Surplus	\$11,601.00
68	UWL LMHSC Facility	\$27,597.00
69	Residence Life	\$219,136.00
70	Prior Year Deferred Revenue Clean Up	-\$230,903.00
	Totals	\$8,821.00

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping		FY14 Reserves Balance
Totals		\$0.00

Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Undocumented Balance
Totals	\$0.00

Report on General Operations (Fund 136) Balance in Excess of 12% of Fiscal Year Expenditures

UW-La Crosse
Fiscal Year: 2014

		Percentage (%)
Total Balance	\$23,960,574.00	269.79%
Obligated		\$7,905,415.00
Planned		\$15,446,163.00
<i>subtotal: Obligated & Planned</i>		<i>\$23,351,578.00</i>
Designated	\$446,352.00	
Reserves	\$162,644.00	
Undocumented Funds	\$2,341,834.00	
Remaining Balance	-\$2,341,834.00	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

Line	Project/Initiative	Obligated or Planned?	FY14 Project Balance	Total Amount Planned to Accumulate	Total Amount Expected to Expend	When Funds will be Expended	Documentation Source
1	University Wide Programs/Events - encumbrances	Obligated	\$13,294.00	\$13,294.00	\$13,294.00	FY15	Acct Spend Plan, Account Detail, Purchase Orders
2	Math Remedial - additional contracted staff - 1.5 FTE	Obligated	\$171,000.00	\$171,000.00	\$171,000.00	FY15-FY16	Acct Spend Plan, Staff Contracts
3	Career Services - ALRA	Obligated	\$26,000.00	\$26,000.00	\$26,000.00	FY15-FY16	Acct Spend Plan, ALRA Balance Summary
4	Transcript Fees - Graduate Assistant	Obligated	\$15,750.00	\$15,750.00	\$15,750.00	FY15	Acct Spend Plan, GA Contract
5	Basic Alcohol Education - Software application maintenance agreement	Obligated	\$34,763.00	\$41,016.00	\$41,016.00	FY15-FY17	Acct Spend Plan, Software Contract
6	Athletics Equipment Encumbrances	Obligated	\$43,401.00	\$43,401.00	\$43,401.00	FY15	Acct Spend Plan, Account Detail, Purchase Order
7	Athletics Participation Fee - Staff Salary/Fringe Increase	Obligated	\$8,167.00	\$8,167.00	\$8,167.00	FY15	Acct Spend Plan, Staff Contract
8	Football Camp - stipends/fringe for camp staff	Obligated	\$12,000.00	\$12,000.00	\$12,000.00	FY15	Acct Spend Plan, Staff overload forms
9	WIAA State Track Meet - Expenses for 2014 Meet	Obligated	\$59,076.00	\$59,076.00	\$59,076.00	FY15	Acct Spend Plan, Account Detail
10	Facilities Equipment and Small Campus Projects in progress	Obligated	\$1,336,484.00	\$1,336,484.00	\$1,336,484.00	FY15	Acct Spend Plan, Small Project Listing/Schedule
11	Storage Facility Project - #12J3O	Obligated	\$2,696,148.00	\$2,696,148.00	\$2,696,148.00	FY15-FY17	Acct Spend Plan, #12J3O Project Schedule
12	Parking Lot Renovations - Commuter Lots - C-1, C-8, C-9 (#14E4Y)	Obligated	\$991,000.00	\$991,000.00	\$991,000.00	FY15-FY16	Acct Spend Plan, #14E4Y Project Schedule
13	Gymnastics Project - #12J3O	Obligated	\$2,498,332.00	\$2,498,332.00	\$2,498,332.00	FY16-FY17	Acct Spend Plan, #12J3O Project Schedule
14							
15	Continuing Education Program Development - new conference recruiting, development of new MS programs	Planned	\$31,356.00	\$31,356.00	\$31,356.00	FY15-FY17	Acct Spend Plan
16	Continuing Education Program Support - faculty grants for development of new workshops	Planned	\$66,590.00	\$66,590.00	\$66,590.00	FY15-FY19	Acct Spend Plan

17	Graduate Studies - graduate workshops, office moving expenses, graduate assistantships	Planned	\$191,250.00	\$191,250.00	\$191,250.00	FY15-FY19	Acct Spend Plan
18	New Student Orientation - Customer Relations Management tool, advertising	Planned	\$200,000.00	\$200,000.00	\$200,000.00	FY15-FY19	Acct Spend Plan
19	Transcript Fees - LTE and Graduate Assistant positions, computer replacement	Planned	\$94,744.00	\$94,744.00	\$94,744.00	FY15-FY17	Acct Spend Plan
20	Career Services - Graduate Handbook, marketing, etiquette dinner enhancements, furnishings	Planned	\$72,153.00	\$72,153.00	\$72,153.00	FY15-FY19	Acct Spend Plan
21	Library - purchase of library materials/acquisitions	Planned	\$30,663.00	\$30,663.00	\$30,663.00	FY15-FY19	Acct Spend Plan
22	Student Affairs - memberships, speakers, professional development	Planned	\$30,546.00	\$30,546.00	\$30,546.00	FY15-FY19	Acct Spend Plan
23	Testing Center - new classified project position, replacement of computers, marketing, lockers	Planned	\$128,000.00	\$128,000.00	\$128,000.00	FY15-FY19	Acct Spend Plan
24	Athletics - Equipment purchases, student help, locker room remodels, assistant coach support	Planned	\$227,520.00	\$227,520.00	\$227,520.00	FY15-FY17	Acct Spend Plans
25	University Advancement - Conference sponsorship, banners, advertising, office remodel	Planned	\$27,541.00	\$27,541.00	\$27,541.00	FY15-FY16	Acct Spend Plan
26	Land Acquisition Real Estate Development - purchase of property	Planned	\$720,017.00	\$720,017.00	\$720,017.00	FY16-FY17	Acct Spend Plan
27	Student Printing - replacement of printers and copiers	Planned	\$67,581.00	\$67,581.00	\$67,581.00	FY18-FY19	Acct Spend Plan, Student Printing Model
28	Network Operations - core switch update	Planned	\$185,688.00	\$185,688.00	\$185,688.00	FY15	Acct Spend Plan, Network Operations Model
29	Telecommunications - Future VOIP System implementation, licensing and maintenance	Planned	\$357,209.00	\$357,209.00	\$357,209.00	FY17	Acct Spend Plan, Telecommunications Model
30	Campus Small Projects for office remodels	Planned	\$459,969.00	\$459,969.00	\$459,969.00	FY15-FY16	Acct Spend Plan, Campus Projects Schedule
31	Postage Clearing - mailroom furnishings	Planned	\$5,000.00	\$5,000.00	\$5,000.00	FY17	Acct Spend Plan
32	Solid Waste Management - purchase of garbage truck	Planned	\$169,382.00	\$169,382.00	\$169,382.00	FY15	Acct Spend Plan, Bid of Garbage Truck
33	Passenger Fleet - golf cart replacement	Planned	\$5,567.00	\$5,567.00	\$5,567.00	FY17	Acct Spend Plan
34	CBA Initiatives - faculty research, start up funding for vacancies, support for Center for Enterprenuership, Sustainability, International Trade	Planned	\$288,010.00	\$288,010.00	\$288,010.00	FY15-FY19	Acct Spend Plan
35	Math Remedial - new books for remedial courses, future new staff support	Planned	\$202,771.00	\$202,771.00	\$202,771.00	FY15-FY18	Acct Spend Plan
36	Science and Health Initiatives - Academic equipment, faculty start up funding for vacancies, research, professional development	Planned	\$183,513.00	\$183,513.00	\$183,513.00	FY15-FY19	Acct Spend Plans
37	SAH Program Fee - lab supplies, workshops, accreditation, staff support, developmental equipment	Planned	\$51,985.00	\$51,985.00	\$51,985.00	FY15-FY19	Acct Spend Plans
38	Fieldhouse Project	Planned	\$115,632.00	\$115,632.00	\$115,632.00	FY15-FY16	Acct Spend Plan, Project Schedule
39	Technology Reserve - technology purchases for Cowley Science Building	Planned	\$1,500,000.00	\$1,500,000.00	\$1,500,000.00	FY17-FY18	Acct Spend Plan
40	Alcohol/Drug Awareness Education - Campus Alert System	Planned	\$24,476.00	\$24,476.00	\$24,476.00	FY16	Acct Spend Plan
41	Wittich Hall Renovation Project	Planned	\$8,000,000.00	\$8,000,000.00	\$8,000,000.00	FY16-FY18	Acct Spend Plan, Project Schedule
42	(#14E4X) Parking Lot Renovations - R-1, R-2 resident parking lots	Planned	\$2,009,000.00	\$2,009,000.00	\$2,009,000.00	FY16-FY17	Acct Spend Plan, Project Schedule

Total

Obligated

Planned

\$23,351,578.00	\$23,357,831.00	\$23,357,831.00
\$7,905,415.00		
\$15,446,163.00		

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

	Major Balance Grouping	FY14 Designated Balance
43	Academic Affairs Programs/Events	\$41,041.00
44	Graduate Studies Thesis Related Fees	\$8,924.00
45	Library Services	\$14,855.00
46	Student Affairs Programs	\$12,330.00
47	Business Services Clearing Accounts	-\$2,968.00
48	Information Technology Services	\$5,986.00
49	Small Project Contingency	\$6,774.00
50	Passenger Fleet	\$27,182.00
51	College of Business Administration/MBA Program Support	\$50,629.00
52	College of Liberal Studies Programs/Events	\$9,943.00
53	College of Science and Health Programs/Events	-\$28,306.00
54	Counseling and Testing Center	\$15,759.00
55	Mississippi Valley Archaeological Center (MVAC) Services	\$7,804.00
56	Divisional Grants/Contracts Clearing	\$28,856.00
57	Divisional Overhead Accounts	\$12,259.00
58	Athletic Fee/Equipment Accounts	\$5,708.00
59	Athletic Team Travel	-\$44,108.00
60	Athletic Camps	\$41,253.00
61	Exercise/Sports Sciences Programs	\$44,267.00
62	Health Professions Fees	\$33,033.00
63	Individual/Departmental Indirects	\$74,977.00
64	Prior Year Deferred Revenue clean up	\$80,154.00
Totals		\$446,352.00

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping		FY14 Reserves Balance
65	Continuing Education Program Development - Reserve for revenue declines and program enrollment fluctuations.	\$162,644.00
Totals		\$162,644.00

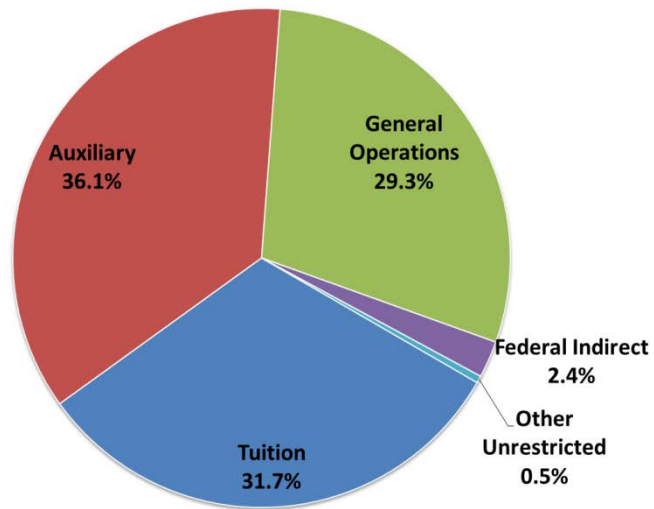
Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

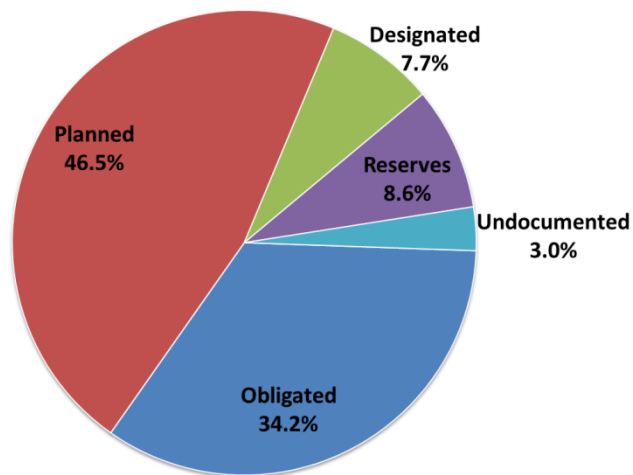
Major Balance Grouping		FY14 Undocumented Balance
Totals		\$0.00

UW-Oshkosh FY 2014 PR Balances

UW-Oshkosh FY 2014 PR Balances by Category



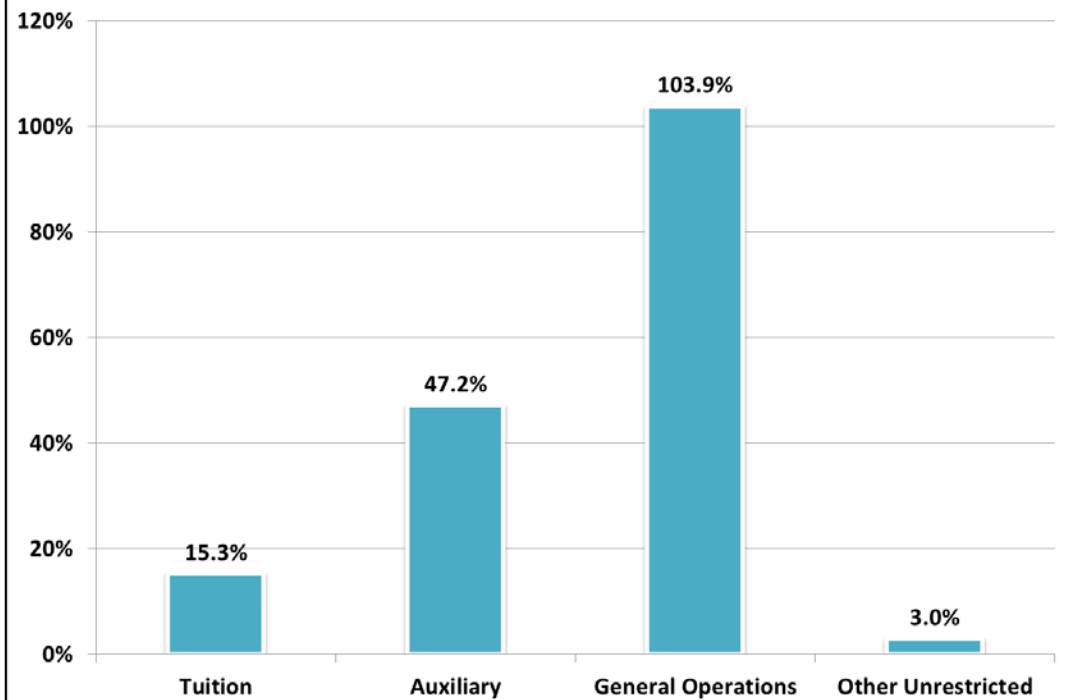
UW-Oshkosh FY 2014 PR Balances by Level of Commitment



PR Balances Highlights

- **Tuition Balances increased 5.0% from FY 2013 to FY 2014**
- **Total Unrestricted Balances increased 4.3% from FY 2013 to FY 2014**
- **Auxiliary Balances increased 19.0% from FY 2013 to FY 2014**
- **General Operations Balances decreased 10.0% from FY 2013 to FY 2014**

UW-Oshkosh FY 2014 Balance Calculations



Summary of UW System Unrestricted PR Balances by Level of Commitment

FY 2014 ending balances as categorized by institution reports

UW-Oshkosh

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Tuition	2,724,521	10,271,900	-	2,780,621	-	15,777,042
Auxiliary	11,865,913	5,541,844	296,907	264,543	-	17,969,207
General Operations	1,868,250	7,223,398	2,763,860	1,203,384	1,509,113	14,568,005
Federal Indirect	545,163	107,370	537,568	-	-	1,190,101
Other Unrestricted	-	-	211,531	15,995	-	227,526
	17,003,847	23,144,512	3,809,866	4,264,543	1,509,113	49,731,881
	34.2%	46.5%	7.7%	8.6%	3.0%	100.0%
UW System*	30.5%	47.4%	10.0%	10.4%	1.6%	100.0%

* (excluding System Admin and Systemwide)



	Tuition (131 and 189)	Auxiliary (128 and 228)	General Operations 136	Other Unrestricted (xxx)	Subtotal	Federal Indirect	Total Unrestricted
Total PR Appropriation Balances							
FY2012-13	15,023,433	15,103,566	16,187,823	227,735	46,542,557	1,136,750	47,679,307
FY2013-14	15,777,042	17,969,207	14,568,005	227,527	48,541,781	1,190,101	49,731,882
Change	753,609	2,865,641	(1,619,818)	(208)	1,999,224	53,351	2,052,575
	5.0%	19.0%	-10.0%	-0.1%	4.3%	4.7%	4.3%
Total Expenses							
FY2012-13	99,972,724	41,197,350	10,958,545	7,324,554			
FY2013-14	103,071,841	38,062,970	14,016,836	7,516,503			
Change	3,099,117	(3,134,380)	3,058,291	191,949			
Balance Calculations							
FY2012-13	15.0%	36.7%	147.7%	3.1%			
FY2013-14	15.3%	47.2%	103.9%	3.0%			

Report on Tuition (Funds 131 & 189) Balance in Excess of 12% of Fiscal Year Expenditures

UW-OSHKOSH
Fiscal Year: 2014

		Percentage (%)
Total Balance	\$15,777,042	15.31%
Obligated		\$2,724,521
Planned		\$10,271,900
<i>subtotal: Obligated & Planned</i>		<i>\$12,996,421</i>
Designated	\$0	
Reserves	\$2,780,621	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

Line #	1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended
1	Engineering Technology Incentive Grant UW Oshkosh and UW Green Bay Bachelor of Science in Engineering Technology - Electrical, Environmental, and Mechanical	Obligated	\$365,506	\$365,506	\$365,506	7/1/2014-6/30/2015
2	ALRA (Annual Leave Reserve Account) Commitments	Obligated	\$225,264	\$225,264	\$225,264	7/1/2014-6/30/2015
3	Fringe Assessment from UW System for Salary Expenditures in Excess of FY14 Budget (as indicated on Carryover Letter)	Obligated	\$44,985	\$44,985	\$44,985	7/1/2014-6/30/2015

	<p>University Studies Program - Staff Salaries with Signed Contracts (Supplement to Student Compact Reinvestment Funds)</p> <p>A newly created, on-of-a-kind general education program, the University Studies Program (USP), ignites intellectual curiosity, introduces students to the opportunities of University life, and is the gateway to a 21st century college education. The USP provides students with an assessable, common intellectual experience that also embraces the traditional breadth of a liberal arts education to prepare them for the challenges of work for engaged citizenship, and for a meaningful and satisfying life. These experiences will derive from participation in small learning communities, civic engagement and more. Reduced class sizes will facilitate student learning in this 41 - credit program.</p>					
4		Obligated	\$78,765	\$78,765	\$78,765	7/1/2014-6/30/2015
5	2013-2014 Base Compensation for Merit and Equity	Obligated	\$1,420,251	\$1,420,251	\$1,420,251	7/01/2014-6/30/2015
6	Facilities Staff hired for Alumni Welcome Center Operations. This building was gifted to the University and is now a GPR facility.	Obligated	\$23,561	\$23,561	\$23,561	7/01/2014-6/30/2015
7	Outreach Program Manager I New Position, previously non-budgeted with no on-going funding stream available. Position responsibilities are to manage and coordinate Off-Campus Leases/Renewable Energy Institute	Obligated	\$50,400	\$50,400	\$50,400	7/01/2014-6/30/2015
8	FY 2013-2014 Base Executive Equity Adjustments	Obligated	\$70,821	\$70,821	\$70,821	7/01/2014-6/30/2015
9	College Of Nursing (CON) Dean Search	Obligated	\$40,000	\$40,000	\$40,000	7/01/2014-6/30/2015
10	Expansion of University Police New Hire (Salaries)	Obligated	\$64,905	\$64,905	\$64,905	7/1/2014-6/30/2016

11	<p>Viessmann Endowed Chair in Sustainable Technology</p> <p>Supplemental funding until Endowment generates sufficient income. Working with Foundation on a plan and time-frame needed for on-going supplemental funding. Renewable-energy and academic partners with UW Oshkosh, Viessmann Group CEO Martin Viessmann, Ph.D., and his wife Annette have pledged a generous gift to the UW Oshkosh Foundation to create the university's first endowed chair, which will support UW Oshkosh's new Sustainable Technology program. The "Viessmann Endowed Chair in Sustainable Technology" will, for decades, allow UW Oshkosh to play a visionary and international academic leadership role in renewable energy technologies.</p>	Obligated	\$103,750	\$103,750	\$103,750	7/01/2014-6/30/2015
12	<p>Funding for Wisconsin Covenant Position - Salary and Fringes</p> <p>The Wisconsin Covenant program was created by former Gov. Jim Doyle. It encourages eighth grade students in Wisconsin to sign a pledge and commit to, first, earning a high-school diploma. In return for maintaining at least a "B" average in high school, completing classes to prepare for higher education, being good, participatory citizens and meeting state and federal financial aid deadlines, students applying for admission to a UW System institution, a state technical college or a private college or university in the state earn a place and path to a degree.</p>	Obligated	\$59,470	\$59,470	\$59,470	7/01/2014-6/30/2015
13	<p>Business Success Center (BSC) - Salaries and Fringes</p> <p>Collaboration between UW-Oshkosh faculty experts, student interns, research facilities and a booming survey center with local businesses to deliver customized, cost-effective solutions for challenges within an organization. The goal of the Business Success Center is to provide solutions that drive local economic development and grow our community, providing value to students, faculty, the university and our community.</p>	Obligated	\$124,372	\$124,372	\$124,372	7/01/2014-6/30/2015
14	Chancellor's Search and Screen	Obligated	\$52,471	\$52,471	\$52,471	7/01/2014-6/30/2015

15	FY15 approved budget requests for Differential Tuition (Career Services, Counseling Center, Undergraduate Advising Resource Center, Reading Study Center, Writing Center, Center for Academic Resources, Math Lab, Undergraduate Journal, Undergraduate Research).	Planned	\$964,974	\$964,974	\$964,974	7/01/2014-6/30/2015
16	Cooperative Academic Partnership Program (CAPP) The University of Wisconsin Oshkosh, in cooperation with various school systems, provides the Cooperative Academic Partnership Program (CAPP) for qualified secondary students who may elect to earn college credits and required high school Carnegie units simultaneously. It is intended that academically able students have the opportunity to realize college level expectations in the familiar and supporting setting of their high school.	Planned	\$713,502	\$713,502	\$713,502	7/01/2014-6/30/2015
17	Engineering Technology Incentive Grant UW Oshkosh and UW Green Bay Bachelor of Science in Engineering Technology - Electrical, Environmental, and Mechanical	Planned	\$844,056	\$844,056	\$844,056	7/01/2014-6/30/2015
18	College of Letters & Science 131 PR Balance to cover prior year GPR budget deficit.	Planned	\$111,788	\$111,788	\$111,788	7/01/2014-6/30/2015
19	102 Divisional Carryover Approvals	Planned	\$1,051,689	\$1,051,689	\$1,051,689	7/01/2014-6/30/2015
20	Funding used to cover Life Long Learning and Community Engagement (LLCE) in the up-coming year (2088XX)	Planned	\$2,601,919	\$2,601,919	\$2,601,919	7/01/2014-6/30/2015
21	Student Technology Fee (102-281600-6)	Planned	\$1,135,000	\$1,135,000	\$1,135,000	7/01/2014-6/30/2015
22	Student Compact Reinvestment Funds The Oshkosh Student Compact focuses on undergraduate retention, graduation rates, time to degree, and reduction of student debt. The central Compact programs are assessment, academic advising, career development and counseling, and supplemental instruction	Planned	\$132,000	\$132,000	\$132,000	7/01/2014-6/30/2015

23	<p>University Studies Program - Planned Program Implementation Costs (Supplement to Student Compact Reinvestment Funds)</p> <p>A newly created, one-of-a-kind general education program, the University Studies Program (USP), ignites intellectual curiosity, introduces students to the opportunities of University life, and is the gateway to a 21st century college education. The USP provides students with an assessable, common intellectual experience that also embraces the traditional breadth of a liberal arts education to prepare them for the challenges of work for engaged citizenship, and for a meaningful and satisfying life. These experiences will derive from participation in small learning communities, civic engagement and more. Reduced class sizes will facilitate student learning in this 41 - credit program.</p>	Planned	\$421,235	\$421,235	\$421,235	7/01/2014-6/30/2015
24	Dempsey Hall Renovation	Planned	\$473,581	\$473,581	\$473,581	7/01/2014-6/30/2015
25	Chemical Inventory and Safety Data Sheet Management Web-Based System	Planned	\$10,465	\$10,465	\$10,465	7/01/2014-6/30/2015
26	Employee Diversity Recruiting	Planned	\$30,000	\$30,000	\$30,000	7/01/2014-6/30/2015
27	Utility Projects	Planned	\$250,000	\$250,000	\$250,000	7/01/2014-6/30/2015
28	Oshkosh Sports Complex Replacement of Fields Building an escrow account for future field replacements.	Planned	\$50,000	\$50,000	\$50,000	7/01/2014-6/30/2015
29	Expansion of University Police (Salaries)	Planned	\$49,821	\$49,821	\$49,821	7/1/2014-6/30/2016
30	Base Police Officer Equity Increases	Planned	\$43,188	\$43,188	\$43,188	7/01/2014-6/30/2015
31	College Of Nursing Salary/Equity Increases	Planned	\$267,433	\$267,433	\$267,433	7/01/2014-6/30/2015
32	IT/CIO one year salary (Currently recruiting for this position)	Planned	\$120,000	\$120,000	\$120,000	7/1/2014-6/30/2016
33	Cable Hook-up for new GPR Buildings (Alumni Welcome Center & Athletics)	Planned	\$30,000	\$30,000	\$30,000	7/01/2014-6/30/2015

34	WIAC (Wisconsin Intercollegiate Athletic Conference) Assessment	Planned	\$20,400	\$20,400	\$20,400	7/01/2014-6/30/2015
35	Automotive External Defibrillator (AED) Unit Maintenance The Automotive External Defibrillator a small device used for resuscitating people who have no heart rhythm. They are small and easy to use, and have been installed in schools, airports and other public settings in an attempt to expand the number of life-saving defibrillation opportunities in places where people commonly experience a heart attack.	Planned	\$13,000	\$13,000	\$13,000	7/01/2014-6/30/2015
36	Property/Liability/Workers Compensation/Premium/1st 6 months Insurance - Amount needed above the budgeted amount.	Planned	\$195,000	\$195,000	\$195,000	7/01/2014-6/30/2015
37	Commencement (Annual Expenditures)	Planned	\$65,714	\$65,714	\$65,714	7/01/2014-6/30/2015
38	OSER (Office of State Employment Relations) Assessment	Planned	\$37,021	\$37,021	\$37,021	7/01/2014-6/30/2015
39	Provost Divisional Funding - Salaried positions approved by the Provost	Planned	\$515,134	\$515,134	\$515,134	7/01/2014-6/30/2015
40	Chancellor's Search and Screen	Planned	\$124,979	\$124,979	\$124,979	7/01/2014-6/30/2015

Total
Obligated
Planned

\$12,996,421
\$2,724,521
\$10,271,900

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Line #	Major Balance Grouping	FY14 Designated Balance

Totals

\$0

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Line #	Major Balance Grouping	FY14 Reserves Balance
41	Tuition Reserve (508885 & 508897)	\$2,780,621
Totals		\$2,780,621

Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Line #	Major Balance Grouping	FY14 Undocumented Balance
Totals		\$0

Report on Auxiliary Operations Balance (Funds 128 & 228) in Excess of 12% of Fiscal Year Expenditures

UW-OSHKOSH
Fiscal Year: 2014

		Percentage (%)
Total Balance	\$17,969,207	47.2%
Obligated		\$11,865,913
Planned		\$5,541,844
<i>subtotal: Obligated & Planned</i>		<i>\$17,407,757</i>
Designated	\$296,907	
Reserves	\$264,543	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

Line #	1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended
1	ALRA (Annual Leave Reserve Account) Commitments	Obligated	\$12,246	\$12,246	\$12,246	7/1/2014-6/30/2015
2	Reeve Union - \$7.6 million entrance renovation & expansion, 10% cash for project. This project has been delayed. Segregated Fees allocated these funds for FY 2013-2014. They will be expended in FY 2014-2015. Approved by the Segregated Fee Committee; Project enumerated #13A10.	Obligated	\$762,900	\$762,900	\$762,900	7/1/2014-6/30/2015
3	Reeve Union - Debt Service for one year for Project 2000 in Fund 128. Project #98179 & 98467.	Obligated	\$950,000	\$950,000	\$950,000	7/1/2014-6/30/2015

4	Reeve Union - 1 year Project 2000 det service (228 escrow account). Project #98179 & 98467.	Obligated	\$175,927	\$175,927	\$175,927	7/1/2014-6/30/2015
5	Student Recreation - Debt Service for one year for Student Rec and Wellness Center. Project 03G1C.	Obligated	\$1,402,052	\$1,402,052	\$1,402,052	7/1/2014-6/30/2015
6	Student Recreation - RecPlex dome. This project has been delayed. Segregated Fees allocated these funds for the FY 2013-2014. They will be expended in September 2015.	Obligated	\$1,630,000	\$1,630,000	\$1,630,000	9/30/2015
7	University Dining - Debt service for one year for Project 2000 in Fund 128. Project #98179 & 98467.	Obligated	\$149,500	\$149,500	\$149,500	7/1/2014-6/30/2015
8	University Dining - 1 year of Project 2000 debt service (228 escrow account). Project #98179 & 98467.	Obligated	\$30,648	\$30,648	\$30,648	7/1/2014-6/30/2015
9	University Dining - Replace Air Handling Unit in Blackhawk Commons, \$2,277,500 project; 10% cash upfront. Enumerated project #14A3R.	Obligated	\$227,750	\$227,750	\$227,750	7/1/2014-6/30/2015
10	Substation Switchgear Replacement - debt service paid by multiple units. Project #06B2G.	Obligated	\$56,002	\$56,002	\$56,002	7/1/2014-6/30/2015
11	Bookstore - Debt service for one year for Project 2000 in Fund 128. Project #98179 & 98467.	Obligated	\$161,000	\$161,000	\$161,000	7/1/2014-6/30/2015
12	Bookstore - 1 year of Project 2000 debt service (228 escrow account). Project #98179 & 98467.	Obligated	\$34,161	\$34,161	\$34,161	7/1/2014-6/30/2015
13	Residence Life - Fletcher Hall cash payments. This project was delayed. A&E team has been seleted, and they are in the schematic design phase. Major Project, enumerated 13B2B.	Obligated	\$2,856,480	\$2,856,480	\$2,856,480	7/1/2014-6/30/2016
14	Residence Life - 1 year of debt service on multiple projects. Projects 02F2N, 01L4S, 05H1N, 04H1S, 09D1E, 96B48, 96B47, 97243, 99B3W, 00H2W, 0213F, 08K3J.	Obligated	\$2,576,147	\$2,576,147	\$2,576,147	7/1/2014-6/30/2015
15	Oshkosh Sports Complex - replacement of current turf. Approved by the Segregated Fee Committee.	Obligated	\$650,000	\$650,000	\$800,000	7/1/2014-6/30/2015
16	Children's Learning & Care Center - Lincoln Hall Debt Service. Approved by Segregated Fee Committee; Project #11G3Q.	Obligated	\$191,100	\$191,100	\$191,100	7/1/2014-6/30/2016
17	Property/Liability/Workers Compensation Premiums	Planned	\$156,132	\$156,132	\$156,132	7/1/2014-6/30/2015
18	Student Health Center - upgrade of ceiling, lighting, flooring, and remodel 5 offices for 2-3 person workstations. Approved by the Segregated Fee Committee.	Planned	\$190,000	\$190,000	\$190,000	7/1/2014-6/30/2016
19	Student Health Center - Transition electronic medical record from PyraMed to Point 'n Click and installation of firewall product. Approved by the Segregated Fee Committee.	Planned	\$83,000	\$83,000	\$83,000	7/1/2014-6/30/2016

20	Student Health Center - Health Promotion kiosk, mobile cart. Approved by the Segregated Fee Committee.	Planned	\$10,000	\$10,000	\$10,000	6/1/2015
21	Student Health Center - telephone equipment & system upgrade to be ADA compliant. Self check-in area with two computers for patient privacy. Approved by the Segregated Fee Committee.	Planned	\$10,000	\$10,000	\$10,000	Telephones 1/31/2015; Check-in area 6/30/2015
22	Dean of Students - Renovation of office.	Planned	\$216,500	\$216,500	\$216,500	7/1/2014-6/30/2015
23	Reeve Union - 3 years of debt service for Project 2000 (128 account). Project#98179 & 98467	Planned	\$298,644	\$2,850,000	\$2,850,000	7/1/2015-6/30/2018
24	Reeve Union - 3 years of Project 2000 debt service (228 escrow account). Project #98179 & 98467.	Planned	\$465,557	\$465,557	\$465,557	7/1/2015-6/30/2018
25	Student Affairs - Renovation of office	Planned	\$80,574	\$364,000	\$364,000	7/1/2014 - 6/30/2015
26	University Dining - 3 years of debt service for Project 2000 (128 acct). Project #98179 & 98467.	Planned	\$448,500	\$448,500	\$448,500	7/1/2015 - 6/30/2018
27	University Dining - 3 years of Project 2000 debt service (228 escrow account). Project #98179 & 98467	Planned	\$81,989	\$81,989	\$81,989	7/1/2015 - 6/30/2018
28	University Dining - Elevator Replacements in Blackhawk Commons	Planned	\$450,000	\$450,000	\$227,750	7/1/2015-6/30/2016
29	University Dining - Blackhawk Commons dishroom repairs	Planned	\$80,000	\$80,000	\$80,000	7/1/2015-6/30/2016
30	University Dining - Blackhawk Commons Light Panel/Control Replacement	Planned	\$100,000	\$100,000	\$100,000	7/1/2015-6/30/2016
31	University Dining - Blackhawk Commons Lower Kitchen Pipe Replacement	Planned	\$300,000	\$300,000	\$300,000	7/1/2015-6/30/2016
32	Substation Switchgear Replacement - 3 years of debt service. Project #06B2G.	Planned	\$158,934	\$158,934	\$158,934	7/1/2015 - 6/30/2018
33	Bookstore - 3 years of Project 2000 debt service (228 escrow account). Project #98179 & 98467.	Planned	\$91,697	\$91,697	\$91,697	7/1/2015 - 6/30/2018
34	Residence Life - Fletcher Hall Renovation, 3 years of Debt Service. This project has been delayed and funds for the debt service have accululated. The amount listed is total available cash balance for payments. Major Project, enumerated 13B2B; A&E team selected, and they are in the schematic phase.	Planned	\$645,411	\$5,382,000	\$5,382,000	7/1/2015-6/30/2018
35	Residence Life - Gruenhagen Conf. Center Renovation cash payment	Planned	\$300,000	\$300,000	\$300,000	7/1/2015 - 6/30/2016

36	Residence LIfe - 3 years of debt service on multiple projects, The amount listed is total available cash balance for payments.Projects 02F2N, 01L4S, 05H1N, 04H1S, 09D1E, 96B48, 96B47, 97243, 99B3W, 00H2W, 02I3F, 08K3J.	Planned	\$280,000	\$7,722,654	\$7,722,654	7/1/2015-6/30/2018
37	Residence LIfe - Donner & Webster Halls Window Replacements	Planned	\$71,604	\$111,696	\$111,696	7/1/2015 - 6/30/2017
38	Residence Life - Management Information Office relocation/renovation	Planned	\$200,654	\$200,654	\$200,654	7/1/2014 - 6/30/2015
39	Residence Life - Scott Hall 7th & 8th floor renovations	Planned	\$100,000	\$100,000	\$100,000	7/1/2015 - 6/30/2016
40	Common Systems chargeback - PR portion	Planned	\$684,767	\$684,767	\$684,767	7/1/2014 - 6/30/2015
41	OSER chargeback - PR portion	Planned	\$28,381	\$28,381	\$28,381	7/1/2014 - 6/30/2015
42	WIAC (Wisconsin Intercollegiate Athletic Conference) Commissioners assessment - paid by Seg. Fees	Planned	\$9,500	\$9,500	\$9,500	7/1/2014 - 6/30/2015

Total
Obligated
Planned

\$17,407,757
\$11,865,913
\$5,541,844

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Line #	Major Balance Grouping	FY14 Designated Balance
43	Segregated University Fee Allocable & Nonallocable Holding accounts	\$296,907

Totals

\$296,907

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping		FY14 Reserves Balance
Line #		
44	PR Accounts - Reserves for revenue fluctuations & emergencies	\$264,543
Totals		\$264,543

Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping		FY14 Undocumented Balance
Line #		
Totals		\$0

Report on General Operations (Fund 136) Balance in Excess of 12% of Fiscal Year Expenditures

UW-OSHKOSH
Fiscal Year: 2014

		Percentage (%)
Total Balance	\$14,568,005	103.9%
Obligated		\$1,868,250
Planned		\$7,223,398
<i>subtotal: Obligated & Planned</i>		\$9,091,648
Designated	\$2,763,860	
Reserves	\$1,203,384	
Undocumented Funds	\$1,509,113	
Remaining Balance	\$0	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

Line #	1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended
1	Environmental Research and Innovation Center (ERIC) Lab - Salaries and Fringes (038844)	Obligated	\$172,479	\$172,479	\$172,479	7/1/2014-6/30/2015
2	Biodigester 1 (BD1) Lab (Owned by UW-Oshkosh Foundation) - Salaries and Fringes The BDI Lab provides an enhanced research, teaching, and learning lab which makes our University distinctive in this "bleeding edge" technology. The Biodigester was built as the first commercial scale dry fermentation anaerobic biogas system in the Americas. The project began as a part of the University's commitment to reduce our community's reliance on fossil fuels and to create a learning living laboratory and research site for students and faculty and was a key component in launching our Environmental Engineering Program. Although UW-Oshkosh owns various equipment and laboratories on campus, the plethora of knowledge and hands-on experience our students gain while utilizing the BD1 laboratory is worth the minimal amount we pay for usage. (501036)	Obligated	\$159,268	\$159,268	\$159,268	7/1/2014-6/30/2015
3	Fiscal Year Budget Reductions/Unfunded Payplan	Obligated	\$604,217	\$604,217	\$604,217	7/01/2014-6/30/2015
4	Wayfinding (Exterior Signs)	Obligated	\$72,708	\$72,708	\$72,708	7/01/2014-6/30/2015
5	Alumni Welcome Center Operations	Obligated	\$87,640	\$87,640	\$87,640	9/1/2014-6/6/2015

6	Undergraduate Admissions Recruitment Positions and Recruitment Support	Obligated	\$110,808	\$110,808	\$110,808	7/1/2014-6/30/2015
7	HR Assistant - Organizational Development Program Manager	Obligated	\$86,936	\$110,000	\$110,000	7/1/2014-6/30/2015
8	College of Business (COB) Lease for the Green Bay MBA Center	Obligated	\$92,302	\$92,302	\$92,302	7/1/2014-6/30/2015
9	CCDET Learning Management System (400200) Recognized as a strategic and innovative partner for more than three decades, the University of Wisconsin Oshkosh's Center for Career Development and Employability Training (CCDET) offers customized and cost effective services to local, state and federal organizations. We provide: Training, Quality Assurance, Case Management and Project Management. Strengthening organizational capacity is our goal. We do it by analyzing the critical issues and then quickly placing either individuals or teams that best meet your needs. Our staff members are highly trained and experienced professionals with expertise in a broad array of subjects. CCDET is a self supporting operation.	Obligated	\$17,000	\$17,000	\$17,000	7/1/2014-6/30/2015
10	Life Long Learning and Community Engagement (LLCE) Bond Payment and improvements. On-line degree and certificate programs and continuing education programs for adult learners.	Obligated	\$191,100	\$191,100	\$191,100	7/1/2014-6/30/2016
11	ALRA (Annual Leave Reserve Account) Commitments	Obligated	\$6,804	\$6,804	\$6,804	7/1/2014-6/30/2015
12	College of Business (COB) Lease for the Appleton MBA Building	Obligated	\$171,988	\$171,988	\$171,988	7/1/2014-6/30/2015
13	Education Advisory Board (EAB) Membership The Education Advisory Board (EAB) provides best practice research and practical advice to leaders of academic affairs, business affairs, student affairs, advancement, continuing, online, and professional education, and community colleges across North America. With teams of consultants and analysts dedicated to uncovering the best ideas from across higher education, members benefit from the learning of thousands of universities nationwide, with findings tailored to particular areas of concern.	Obligated	\$95,000	\$95,000	\$95,000	7/1/2014-6/30/2015
14	College of Business (COB) Lease for the Appleton MBA Building - 3 Additional Years of Lease Funds.	Planned	\$536,878	\$536,878	\$536,878	7/1/2015-6/30/2018
15	College of Business (COB) Lease for the Green Bay MBA Center - 3 Additional Years of Lease Funds	Planned	\$285,297	\$285,297	\$285,297	7/1/2015-6/30/2018
16	Extramural Fringe Benefit Rate Deficit (FY13, FY14) Funding used to bridge the development of a new Fringe Benefit rate.	Planned	\$175,796	\$175,796	\$175,796	7/01/2014-6/30/2015
17	Common Systems Funding	Planned	\$622,000	\$622,000	\$622,000	7/01/2014-6/30/2015

18	Laboratory Agreement Charge for use of the Biodigester 2 (BD2) Lab (Owned by UW-Oshkosh Foundation) The BD2 Lab provides an enhanced research, teaching, and learning lab which makes our University distinctive in this "bleeding edge" technology. The Biodigester was built as the first commercial scale dry fermentation anaerobic biogas system in the Americas. The project began as a part of the University's commitment to reduce our community's reliance on fossil fuels and to create a learning living laboratory and research site for students and faculty and was a key component in launching our Environmental Engineering Program. Although UW-Oshkosh owns various equipment and laboratories on campus, the plethora of knowledge and hands-on experience our students gain while utilizing the BD1 laboratory is worth the minimal amount we pay for usage.	Planned	\$588,000	\$588,000	\$588,000	7/01/2014-6/30/2015
19	Campus Master Plan	Planned	\$500,000	\$500,000	\$500,000	7/01/2014-6/30/2015
20	Student Titan Employment Program (STEP). The STEP program dramatically helps in addressing the affordability of college for our students. These student employment positions not only maximize the education of our students through high-impact on-campus internships, they are providing much needed support to campus departments.	Planned	\$500,000	\$500,000	\$500,000	9/1/2014-6/6/2015
21	Alumni Welcome Center Operations	Planned	\$114,054	\$114,054	\$114,054	7/1/2013-6/30/2015
22	University Studies Program (USP) Peer Mentors A newly created, one-of-a-kind general education program, the University Studies Program (USP), ignites intellectual curiosity, introduces students to the opportunities of University life, and is the gateway to a 21st century college education. The USP provides students with an assessable, common intellectual experience that also embraces the traditional breadth of a liberal arts education to prepare them for the challenges of work for engaged citizenship, and for a meaningful and satisfying life. These experiences will derive from participation in small learning communities, civic engagement and more. Reduced class sizes will facilitate student learning in this 41 - credit program.	Planned	\$44,000	\$44,000	\$44,000	7/1/2014-6/30/2015
23	Marketing and Recruiting	Planned	\$96,200	\$96,200	\$96,200	7/1/2014-6/30/2015
24	Multicultural Preview Day	Planned	\$7,500	\$7,500	\$7,500	7/1/2013-6/30/2015
25	Undergraduate Admissions Recruitment Positions and Recruitment Support	Planned	\$44,700	\$44,700	\$44,700	7/1/2013-6/30/2015
26	University Police one-time funding for vehicles	Planned	\$107,599	\$107,599	\$107,599	7/1/2014-6/30/2015
27	Institutional History Project	Planned	\$5,000	\$5,000	\$5,000	7/1/2014-6/30/2015
28	UP Radio System	Planned	\$6,874	\$6,874	\$6,874	7/1/2014-6/30/2015
29	Germany Training Program (THM - Visemann 508872)	Planned	\$12,632	\$12,632	\$12,632	7/1/2014-6/30/2015
30	Campus Sustainability	Planned	\$40,032	\$40,032	\$40,032	7/1/2014-6/30/2015

31	Laboratory Agreement Charge for use of the Biodigester 1 (BD1) Lab (Owned by UW-Oshkosh Foundation) The BDI Lab provides an enhanced research, teaching, and learning lab which makes our University distinctive in this "bleeding edge" technology. The Biodigester was built as the first commercial scale dry fermentation anaerobic biogas system in the Americas. The project began as a part of the University's commitment to reduce our community's reliance on fossil fuels and to create a learning living laboratory and research site for students and faculty and was a key component in launching our Environmental Engineering Program. Although UW-Oshkosh owns various equipment and laboratories on campus, the plethora of knowledge and hands-on experience our students gain while utilizing the BD1 laboratory is worth the minimal amount we pay for usage. (508877) (501036)	Planned	\$185,000	\$185,000	\$185,000	7/1/2014-6/30/2015
32	HR Assistant - Organizational Development Program Manager	Planned	\$6,355	\$60,000	\$60,000	7/1/2013-6/30/2015
33	Clow/Nursing Education Relocation Costs	Planned	\$77,996	\$77,996	\$77,996	7/1/2014-6/30/2015
34	Academic Excellence - Scholarship (Work Option) An enhancement that UW Oshkosh offers to qualifying students.	Planned	\$48,000	\$48,000	\$48,000	7/01/2014-6/30/2015
35	Microsoft Campus Agreement	Planned	\$51,012	\$51,012	\$51,012	7/01/2014-6/30/2015
36	Study Abroad/Away University of Wisconsin Oshkosh offers 80+ faculty-led programs, direct enroll programs and student exchange programs. Study abroad is a transformative experience which leads to personal growth. Study abroad allows for active engagement in the pursuit of knowledge and skills. Study abroad offers options for advancing unique & diverse personal and career goals. One study abroad experience leads to a life-time of learning.	Planned	\$96,606	\$96,606	\$96,606	7/1/2014-6/30/2015
37	Life Long Learning & Community Engagement (LLCE - Division 20)	Planned	\$416,239	\$416,239	\$416,239	7/1/2014-6/30/2015
38	Executive MBA Program Our Saturday-only Executive MBA Path is specifically designed for executives and emerging business leaders using a cohort system of learning. You'll move in lock-step with 25-30 students who have extensive business experience. Fits around your career, with minimal time away from the workplace and home. Provides immediate applicability, allowing you to take lessons you learned on Saturday and apply them to your organization on Monday. Prepares you to become a catalyst for change, by focusing on business strategy, leadership and globalization. All Executive MBA courses are offered at our centrally located Appleton Education Center.	Planned	\$74,176	\$74,176	\$74,176	7/1/2014-6/30/2015
39	MBA Consortium Program Between University of Wisconsin Oshkosh and University of Wisconsin Eau Claire (Cost Recovery)	Planned	\$430,415	\$430,415	\$430,415	7/1/2014-6/30/2015
40	College of Education and Human Services (Division 0888XX) Cost Recovery Programs	Planned	\$50,036	\$50,036	\$50,036	7/1/2014-6/30/2015
41	BSN@Home (118890) Active Collaborative Cost Recovery Program - Salaries and Fringes	Planned	\$229,255	\$229,255	\$229,255	7/01/2014-6/30/2015

42	College of Nursing (Division 1188XX) - Accelerated and Online Programs/Cost Recovery	Planned	\$1,388,125	\$1,388,125	\$1,388,125	7/1/2014-6/30/2015
43	College of Letters and Science 136 PR Balance to cover prior year budget deficit.	Planned	\$134,803	\$134,803	\$134,803	7/1/2014-6/30/2015
44	Buckstaff Planitarium Renovation	Planned	\$48,000	\$48,000	\$48,000	7/1/2014-6/30/2015
45	College of Letters and Science PR Reserve Balances supporting budgeted positions new to Fund 136	Planned	\$300,818	\$300,818	\$300,818	7/1/2014-6/30/2015

Total
Obligated
Planned

\$9,091,648
\$1,868,250
\$7,223,398

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Line #	Major Balance Grouping	FY14 Designated Balance
46	CCDET Pathlore Learning Management System (LMS) (400200). Designated for further upgrades and additional software seats. Pathlore is a full-featured LMS providing services for an array of state and federal training programs. These services include administration, documentation, tracking and reporting of training programs, classroom and online events, e-learning programs, training content and technical support.	\$134,826
47	Study Abroad Contingent Fund is used to purchase airline tickets to avoid flying over dangerous regions and to assist in emergencies for students who participate in the Study Abroad programs offered at UW-Oshkosh. Each student enrolled in a Study Abroad trip are charged a \$25.00 contingency fee to provide revenue for the Contingent Fund.	\$72,765
48	Undergraduate Research (136-020163-2)	\$10,000
50	Testing Center Operations (029036)	\$46,216
51	Regional Testing Services (029031)	\$88,173
52	Intensive English Language Program (028835)	\$52,165
53	Designated as Strategic College Fund generated from Cost Recovery Programs for the College of Education and Human Services (Division 08)	\$285,754
55	College of Nursing Online Tech Fee (117009)	\$347,102
56	College of Nursing Assessment/Testing Fee (117010)	\$70,556
57	College of Nursing Liability Insurance (117004)	\$14,446

58	College of Nursing Unbound Med Special Course Fee (117008)	\$27,755
60	College of Nursing (Division 11)	\$1,072,602
61	College of Letters and Science Program Development (031033)	\$238,905
62	College of Letters and Science Special Course Fees related to Labs (0312XX)	\$22,780
63	Remedial English (031075)	\$79,156
64	Environmental MicroLab (034302)	\$41,876
65	Non-Credit Science Outreach (034313)	\$56,736
66	Distance Education Fees (031094)	\$91,619
67	Science Olympiad (034317)	\$10,427
Totals		\$2,763,860

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Line #	Major Balance Grouping	FY14 Reserves Balance
68	Reserves for Fluctuating Enrollment Changes (508892)	\$1,203,384
Totals		\$1,203,384

Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Line #	Major Balance Grouping	FY14 Undocumented Balance
69	Funds which have not yet been documented as planned or obligated.	\$267,253
70	On-going Cost Recovery Programs (Division 0888XX & 1188XX) Approximately \$1.2 Million within our Undocumented categorization is a result of on-going Cost Recovery Programs. University of Wisconsin Oshkosh's Cost Recovery Programs are uniquely identified in our chart of accounts by department number 88XX. The tuition and fees collected in Cost Recovery programs are required to continually deliver programs to enrolled students. Academic Cost Recovery programs must be new programs or expansions of existing, hybrid programs, and not a conversion of existing academic programs that are supporting our central tuition revenue target.	\$1,241,860
Totals		\$1,509,113

UW-OSHKOSH
Fiscal Year: 2014

		Percentage (%)
Total Balance	\$227,527.00	3.03%
Obligated		\$0.00
Planned		\$0.00
<i>subtotal: Obligated & Planned</i>		<i>\$0.00</i>
Designated	\$211,532.00	
Reserves	\$15,995.00	
Undocumented Funds	\$0.00	
Remaining Balance	\$0.00	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

Line #	1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended

Total	\$0
Obligated	\$0
Planned	\$0

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping		FY14 Designated Balance
Line #		
1	License Plate Scholarship Program Revenue received from UW System from purchases of customized UW Oshkosh license plates. Funds are provided to students at the Chancellor's discretion	\$8,568
2	Fund 132 Continuing Education non-credit programing	\$202,964
Totals		\$211,532

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping		FY14 Reserves Balance
Line #		
3	Fund 123 Debt Service Clearing Account	\$15,995
Totals		\$15,995

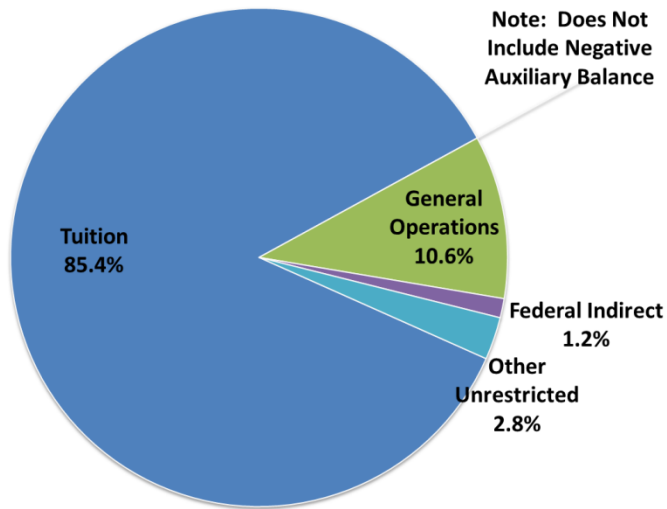
Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Line #	Major Balance Grouping	FY14
		Undocumented Balance
Totals		\$0

UW-Parkside FY 2014 PR Balances

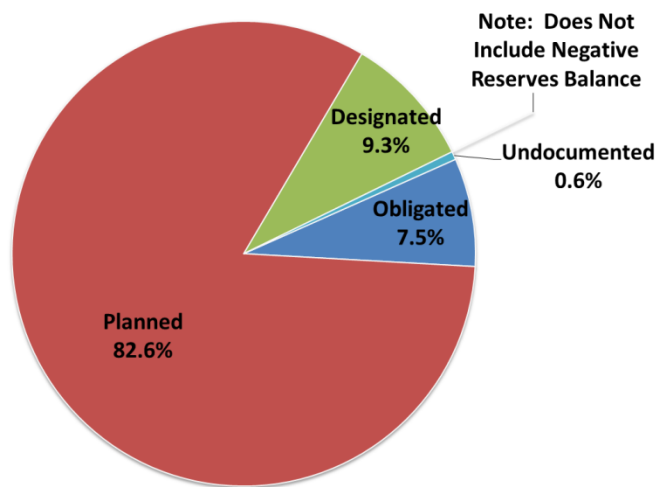
UW-Parkside FY 2014 PR Balances by Category



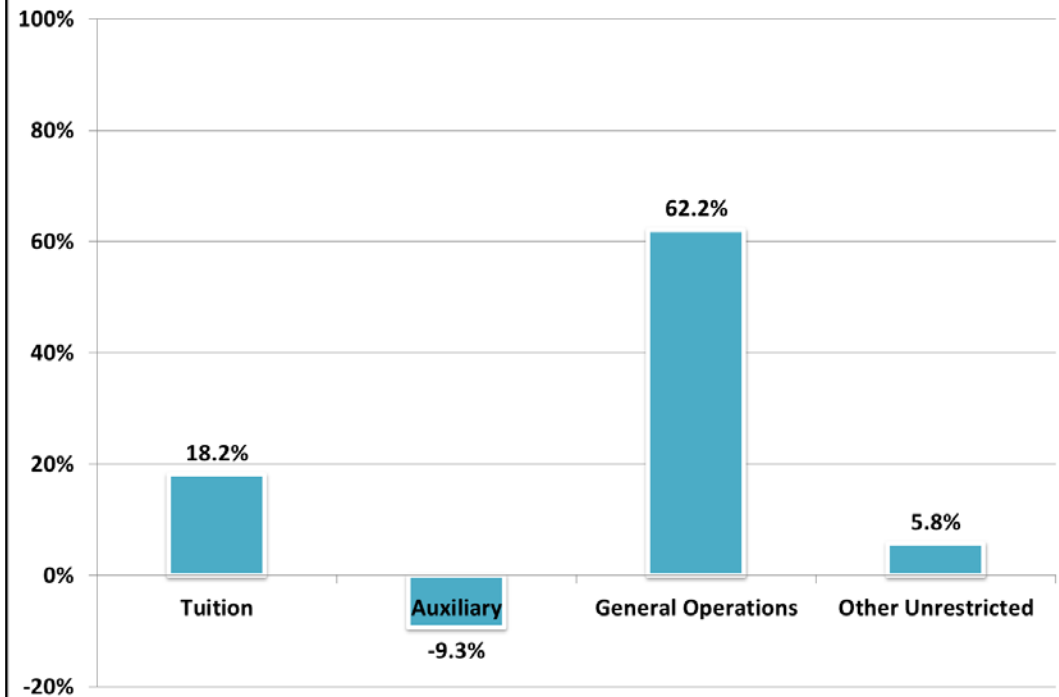
PR Balances Highlights

- **Tuition Balances increased 25.4% from FY 2013 to FY 2014**
- **Total Unrestricted Balances increased 12.2% from FY 2013 to FY 2014**
- **Auxiliary Balances decreased 1032.6% from FY 2013 to FY 2014**
- **General Operations Balances decreased 4.2% from FY 2013 to FY 2014**

UW-Parkside FY 2014 PR Balances by Level of Commitment



UW-Parkside FY 2014 Balance Calculations



Summary of UW System Unrestricted PR Balances by Level of Commitment

FY 2014 ending balances as categorized by institution reports

UW-Parkside

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Tuition	612,837	7,860,188	-	-	-	8,473,025
Auxiliary	-	-	-	(827,438)	-	(827,438)
General Operations	128,827	167,094	639,264	100,892	16,850	1,052,927
Federal Indirect	-	85,565	-	-	38,440	124,005
Other Unrestricted	-	3,130	271,334	-	-	274,464
	741,664	8,115,977	910,598	(726,546)	55,290	9,096,983
	8.2%	89.2%	10.0%	-8.0%	0.6%	100.0%
UW System*	30.5%	47.4%	10.0%	10.4%	1.6%	100.0%

* (excluding System Admin and Systemwide)



	Tuition (131 and 189)	Auxiliary (128 and 228)	General Operations 136	Other Unrestricted (xxx)	Subtotal	Federal Indirect	Total Unrestricted
Total PR Appropriation Balances							
FY2012-13	6,757,020	(73,055)	1,098,652	176,785	7,959,402	149,051	8,108,453
FY2013-14	8,473,025	(827,438)	1,052,927	274,464	8,972,978	124,005	9,096,983
Change	1,716,005	(754,383)	(45,725)	97,679	1,013,576	(25,046)	988,530
	25.4%	1032.6%	-4.2%	55.3%	12.7%	-16.8%	12.2%
Total Expenses							
FY2012-13	45,368,919	10,899,655	1,880,163	4,644,626			
FY2013-14	46,646,365	8,927,171	1,693,292	4,731,141			
Change	1,277,446	(1,972,484)	(186,871)	86,515			
Balance Calculations							
FY2012-13	14.9%	-0.7%	58.4%	3.8%			
FY2013-14	18.2%	-9.3%	62.2%	5.8%			

Report on Tuition (Funds 131 & 189) Balance in Excess of 12% of Fiscal Year Expenditures

UW-Parkside

Fiscal Year: 2014

		Percentage (%)
Total Balance	8,473,025	18.21%
Obligated		612,837
Planned		7,860,188
<i>subtotal: Obligated & Planned</i>		8,473,025
Designated	-	
Reserves	-	
Undocumented Funds	-	
Remaining Balance	-	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

	1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended
1	2 Years - Faculty Promotions not base budgeted	Planned	90,000	90,000	90,000	FY16 and 17
2	2 Years - New hire salary variances from amount of base budget available	Planned	60,000	60,000	60,000	FY16 and 17
3	2 Years - Reclassifications adjustments not base budgeted	Planned	60,000	60,000	60,000	FY16 and 17
4	2 Years - DMC's (Discretionary Merit Compensation) not base budgeted	Planned	40,000	40,000	40,000	FY16 and 17
5	3 Years - Common Systems & Fastar charges not base budgeted	Planned	1,200,000	2,500,000	2,500,000	FY15, 16, and 17
6	3 Years - Flex / Distance Ed expenditures not base budgeted	Planned	440,000	640,000	640,000	FY15, 16, and 17
7	3 Years - Title III Grant Continuation Funding - Enrollment support	Planned	213,000	413,000	413,000	FY15, 16, and 17
8	3 Years - Rita (Arts Center) Operations, new facilities operations not base budgeted	Planned	111,000	111,000	111,000	FY15, 16, and 17
9	3 Years - NCAA Coaching Enhancement Grant, matching support not base budgeted	Obligated	158,000	158,000	158,000	FY15, 16, and 17

10	3 Years - Prof Educator Development Institute of Professional Educator Development (IPED), expenses related to new program not base budgeted	Planned	681,020	719,000	719,000	FY15, 16, and 17
11	3 Years - Recruitment Advertising to supplement base budget	Planned	750,000	750,000	750,000	FY15, 16, and 17
12	3 Years - Computer Replacement costs not base budgeted	Planned	300,000	300,000	300,000	FY15, 16, and 17
13	3 Years - Network Costs not base budgeted	Planned	132,000	132,000	132,000	FY15, 16, and 17
14	3 Years - Increased facilities capital costs not base budgeted	Planned	300,000	300,000	300,000	FY15, 16, and 17
15	3 Years - IT - Storage Area Network (SAN) in FY15, lease cost for IT storage not base budgeted	Planned	442,000	442,000	442,000	FY15, 16, and 17
16	3 Years - Classroom upgrades not base budgeted	Planned	310,000	310,000	310,000	FY15, 16, and 17
17	3 Years - Cash-funded personnel not budgeted	Planned	300,000	300,000	300,000	FY15, 16, and 17
18	3 Years - Costs associated with Accreditation & Assessment not base budgeted	Planned	144,000	144,000	144,000	FY15, 16, and 17
19	3 Years - Document Imaging cost not base budgeted	Planned	125,000	125,000	125,000	FY15, 16, and 17
20	2 Years - Joint UW-Milwaukee / UW-Parkside economic development initiative not base budgeted	Obligated	104,000	104,000	104,000	FY15 and 16
21	3 Years - Small campus facility projects not base budgeted	Planned	75,000	75,000	75,000	FY15, 16, and 17
22	3 Years - Increased facilities operating costs not base budgeted	Planned	111,000	111,000	111,000	FY15, 16, and 17
23	3 Years - Criminal Background Checks not base budgeted	Planned	33,000	33,000	33,000	FY15, 16, and 17
24	Environmental Studies degree - new program not base budgeted	Planned	50,000	50,000	50,000	FY15
25	Student Success Corridor (1211B) - capital project startup costs not base budgeted	Planned	45,000	45,000	45,000	FY15
26	Water System Piping Project not base budgeted	Planned	50,000	50,000	50,000	FY15
27	Billboard Campaign not base budgeted	Planned	55,000	55,000	55,000	FY15
28	International Relations activity not base budgeted	Planned	54,000	54,000	54,000	FY15 and 16
29	Student Success Corridor - room moves not part of the capital project or base budgeted	Planned	300,000	300,000	300,000	FY16
30	Chemistry Lab - room moves not part of the capital project or base budgeted	Planned	10,000	10,000	10,000	FY16
31	Office Furniture & Equipment - Chancellor's Office	Obligated	7,100	7,100	7,100	FY15
32	Office Furniture & Equipment	Planned	23,584	23,584	23,584	FY15
33	Staff Travel & Conferences	Planned	72,381	72,381	72,381	FY15
34	Faculty & Staff Professional Development	Planned	104,833	131,923	138,538	FY15
35	Departmental Operating Expenses	Planned	127,502	285,513	285,513	FY15
36	Install Query Based Update Tool for Enrollment Management	Planned	4,148	4,148	4,148	FY15
37	Program Technology & Equipment	Planned	6,800	7,600	7,600	FY15
38	Sponsorship of campus and community events	Planned	3,013	3,069	3,069	FY15
39	UW-Parkside Annual Report	Planned	4,800	4,800	4,800	09/30/14
40	Start up expenses for Alternative Degree Delivery	Planned	10,000	10,000	10,000	12/31/14

41	Economic Development/Corporate Engagement/Partnerships	Planned	4,000	4,000	4,000	03/01/15
42	Strategic Planning Initiatives	Planned	3,000	3,000	3,000	03/01/15
43	Strategic Planning implementation	Planned	15,408	15,408	15,408	07/07/15
44	LiveText Training	Obligated	9,946	9,946	9,946	09/01/15
45	Travel to complete Higher Learning Commission (HLC) Assessment Academy	Obligated	9,512	9,512	9,512	11/01/15
46	Pallet truck - Postal Services Admin / Surplus	Planned	3,000	3,000	3,000	05/31/15
47	Telecommunications upgrade. Replacement of legacy voicemail and telephone components.	Planned	30,997	80,000	80,000	06/30/17
48	Bullet resistant vests for 6 police officers	Planned	5,000	5,000	5,000	09/01/14
49	Additional ammunition for new qualification standards	Planned	3,000	3,000	3,000	09/01/14
50	Replace 4 Tasers in gthe Police Department	Planned	3,000	3,000	3,000	09/01/14
51	Update police cameras	Planned	5,000	5,000	5,000	09/01/14
52	Exterior Door lock project	Planned	59,102	59,102	59,102	09/01/14
53	PRJ65FA Digital Communications project including firewall, server leases, web licensing, and support hours.	Obligated	150,991	150,991	150,991	06/30/18
54	Storage area network and lab replacement.	Planned	166,625	166,625	166,625	06/30/15
55	PRJ73LM Computer Replacement Program Apple device repairs	Planned	4,000	8,000	8,000	06/30/18
56	2nd Year of Enrollment Management Software Annual Maintenance Contract	Obligated	21,000	21,000	21,000	04/04/15
57	Image Now Admissions Work Flow and Training	Planned	50,000	50,000	50,000	06/15/15
58	Enrollment Management Automation Project	Planned	7,000	7,000	7,000	01/01/15
59	Fahrenheit 451 graphic novels, Spanish language	Planned	9,131	9,131	9,131	08/01/14
60	Used for relocation costs related to the Wyllie Hall renewal and Academic Success project	Planned	24,003	50,000	50,000	06/30/17
61	Network Switches and classroom modifications	Planned	192,846	200,000	200,000	06/30/16
62	On-Line course development	Planned	4,424	4,424	4,424	06/30/16
63	Faculty & Staff Development Awards	Obligated	16,419	16,419	16,419	12/30/15
64	Development of app for Parkside	Planned	28,000	28,000	28,000	06/30/17
65	Start-up & Professional Development	Obligated	107,403	107,403	107,403	06/30/17
66	Sabbaticals, Recruitments - Deans/Provost office	Planned	37,975	37,975	37,975	06/30/17
67	Academic Staff Professional Development	Obligated	4,066	4,066	4,066	06/30/16
68	Flex and BAAS (Bachelor of Applied Arts & Sciences) expenses	Planned	8,167	8,167	8,167	06/30/16
69	UW System Grants	Planned	4,983	4,983	4,983	06/30/16
70	Possible re-institute of Honors program	Planned	500	500	500	06/30/16
71	AACSB (Association to Advance Collegiate Schools of Business) Membership and Maintenance Fees	Obligated	8,400	8,400	8,400	06/30/15
72	AACSB Conference and Workshop Expenses	Planned	10,000	10,000	10,000	06/30/15
73	AACSB Site Visit (November 2015)	Planned	6,000	6,000	6,000	12/01/15
74	Supplies for outdoor lab	Planned	96	500	500	05/31/15
75	Medical School Exam Preparation Materials	Planned	3,468	5,000	5,000	05/01/15

76	Minature picoSpin Nuclear Magnetic Resonance Spectrometer	Planned	31,000	35,000	35,000	06/30/15
77	Invertebrate Biology faculty start up	Obligated	15,000	17,000	17,000	05/30/16
79	Engineering Program - Equipment	Planned	50,000	100,000	100,000	06/30/17
80	New HESM (Health, Exercise, Sports Management) faculty start up	Planned	6,500	15,000	15,000	06/30/16
81	Capital Equipment - renovated Greenquist Hall 362-364	Planned	26,000	60,000	60,000	06/30/16
82	Outdoor Lab Spaces Development	Planned	27,000	50,000	50,000	06/30/17
83	Master's degree programs - Biology, Health, Exercise, and Sports Management, Psychology, etc.	Planned	10,000	30,000	30,000	06/30/17
84	Marketing programs in College of Natural & Health Sciences (CNHS)	Planned	5,000	10,000	10,000	06/30/15
85	Faculty teaching/Learning Technologies development	Planned	5,000	20,000	20,000	05/31/18
86	New Lecturer hire - Psychology	Obligated	500	1,000	1,000	06/30/16
87	Faculty Travel for student recruitment	Planned	7,288	10,000	10,000	08/30/17
88	Water analysis kits and microscope	Planned	3,500	3,500	3,500	07/07/15
89	Instructional technology, professional development, supplies	Planned	7,000	7,000	7,000	07/07/15
90	Lab equipment - microscopes, spectrophotometers	Planned	15,000	30,000	60,000	07/07/15
91	Startups and moving expenses for two new hires	Planned	6,000	6,000	6,000	06/30/16
92	Demonstration equipment for intro physics courses	Planned	2,000	10,000	10,000	06/30/15
93	Rita facility operations, 2014-15: bookings, programs, publicity, student help, routine facility maintenance, etc.	Planned	66,060	66,060	66,060	07/07/15
94	Moving and arranging of the language lab, supplies	Planned	35	35	35	07/07/15
95	Marketing the Sustainable management degree / Recruitment	Planned	40,000	40,000	40,000	05/30/15
96	Inload instruction by College of Business Economics & Computing Faculty	Planned	11,000	11,000	11,000	07/30/14
97	Mini-grants to enhance diversity and inclusion	Planned	10,000	10,000	10,000	03/01/15
98	Strategic Planning for diversity and inclusion	Planned	5,000	5,000	5,000	10/31/14
99	HLC (Higher Learning Commission) Persistence and Graduation Workshop(SEM Plan)	Obligated	500	500	500	07/07/14
100						

Total

8,473,025

Obligated

612,837

Planned

7,860,188

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping**FY14 Designated
Balance**

Totals

\$0

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping**FY14
Reserves Balance**

Totals

\$0

Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping**FY14
Undocumented
Balance**

Totals

\$0

Report on General Operations (Fund 136) Balance in Excess of 12% of Fiscal Year Expenditures

UW-Parkside

Fiscal Year: 2014

		Percentage (%)
Total Balance	1,052,927	62.2%
Obligated		128,827
Planned		167,094
<i>subtotal: Obligated & Planned</i>		295,921
Designated	639,264	
Reserves	100,892	
Undocumented Funds	16,850	
Remaining Balance	-	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

	1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended
1	Enrollment Management Software Annual Fee 2016/17	Planned	49,000	49,000	49,000	06/30/17
3	Initiatives with Institute of Professional Educators Development (IPED)	Planned	3,541	3,541	3,541	06/30/16
4	Purchase New Instructor Computer for the Solutions for Economic Growth (SEG) Center	Planned	1,679	1,679	1,200	08/31/14
5	Salaries and Fringes for two faculty and staff, and consortium overload teaching	Obligated	96,000	96,000	96,000	06/30/15
6	Computer Replacement for Business Lab	Obligated	8,739	8,739	8,739	07/02/14
7	Root River Environmental Education Community Center Rain Gardens improvements	Planned	1,780	5,000	5,000	06/30/15
8	Start up for 2 new faculty; Wellness Center equipment repair; repair/upgrade of Exercise Physiology Lab equipment	Planned	23,133	30,000	30,000	08/30/17
9	Faculty development activities related to student success initiatives.	Planned	1,236	1,236	1,236	06/30/15
10	Greenhouse and outdoor laboratory materials	Planned	700	700	700	06/30/14
11	Center for Community Partnership (CCP) Marketing/Rebranding efforts	Planned	5,665	5,665	5,665	06/30/15
12	Cvent Registration fees	Obligated	3,588	3,588	3,588	05/15/15
13	Completion of Project position for Center for Community Partnerships (CCP)	Obligated	2,000	2,000	2,000	08/15/14

14	Community Based Learning (CBL) Event & Promotion	Planned	1,500	1,500	1,500	06/30/15
15	Fundraising Certificate - Curriculum Purchase	Obligated	5,000	5,000	5,000	12/31/14
16	Fundraising Certificate Program Development	Planned	22,000	22,000	22,000	06/30/15
17	Staff development - 5 online training courses	Planned	500	500	500	06/30/15
18	Final Year Cvent (meeting software) contract	Obligated	13,500	13,500	13,500	05/30/15
19	Strategic Planning	Planned	3,000	3,000	3,000	12/31/14
20	Proposed Program Development Specialist	Planned	8,069	8,069	8,069	06/30/15
21	Facilities Management Capital Equipment	Planned	17,996	17,996	17,996	12/31/14
22	Animal Care Facility maintenance	Planned	3,295	3,295	3,295	07/07/15
23	3 years Disabilities Services expenses not base budgeted	Planned	24,000	24,000	24,000	FY 15, 16, and 17
24						

Total	295,921
Obligated	128,827
Planned	167,094

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is auto filled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Designated Balance
Remedial Operation	7,627
MBA Consortium Operation	424,542
Enrollment Management Operations	169,704
Various other departmental operations	37,391

Totals	639,264
--------	---------

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is auto filled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Reserves Balance
Central Funds	80,443
Department operating shortfalls	20,449
Totals	100,892

Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is auto filled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Undocumented Balance
Official Functions	16,850
Totals	16,850

Savings Plan Template: Annual Program Revenue Balances Threshold Report

Date: 9/8/2014

Institution: UW-Parkside

Submitted by: Scott Menke

Fund: 128

Fiscal Year: FY 2014

Fiscal Year Balance: (\$ 827,438)

Prior Year Expenditures: \$ 8,927,171

Policy

Institutions with negative balances for (1) Tuition and (2) Auxiliary Operations shall submit a savings plan on how they will eliminate the negative balance and within what time frame.

1. Please identify the reason for the negative balance, including the specific projects or functional areas that produced the negative balance.

The primary reason this fund is in deficit was the construction of a new residence hall – Pike River Suites for \$17.7 million. The normal issue of having too much capacity caused by a new resident hall coming on line and the unanticipated enrollment decline on campus has caused a shortfall in revenue needed to cover operating expenses and related debt service. Annual room rental income for Pike River Suites at the current occupancy rate of 84% is \$906,000. Debt service alone is \$1.146 million annually.

2. Discuss any corrective actions already taken and associated fiscal savings/gain.

Various plans and activities are in place to increase enrollment on campus. The financial projections associated with building Pike River Suites was shared with staff at UWS and it was understood that increased enrollments were needed.

It was also understood that UW-Parkside's room rates were on the higher end of the range when compared to other campus. Future increases would be modest. Increasing room rates over time will help cover the debt service on the building. Room rental rates for the campus are projected to increase 2% annually.

3. Describe any additional steps that will be taken to address the negative balance and achieve fiscal stability on an ongoing basis. Please provide the estimated financial savings and timeline for each of these action items.

The focus on campus is to increase enrollments. If enrollments and resident hall occupancy rates remain flat, the deficit in the Resident Life operation is expected to peak in FY 2017 at \$4.6 million. Working off the deficit in the Residence Life operation is projected to take approximately 10 years after FY 2017.

It should be noted that the original debt on University Apartments is paid off in FY 2015 and Ranger Hall in FY 2019, significantly reducing the debt service burden on the Residence Life operation. University Apartments and Ranger Hall are the other two residence halls on campus. The campus is already experiencing efficiencies related to the operation of three residence halls with the addition Pike River Suites. Realizing the benefits of operational efficiencies and paying off debt are key factors getting the Residence Life operation and Fund 128, as a whole, out of a deficit position.

The actual deficit in Fund 128, as a whole, is also expected to peak in FY 2017 at \$2.15 million. This is due to the fact that other departmental balances in Fund 128 will offset the deficit in the Residence Life operation. It is currently projected that Fund 128 will be positive by FY 2024.

Assumptions used in the projection of Residence Life activity:

Current occupancy	84%
Annual increases in occupancy	0%
Annual increase in room rental rates	2%
Annual increase in other operating income	2%
Annual increase in operating expenses	2%

See the attached table summarizing the projected UW Parkside residence life operations.

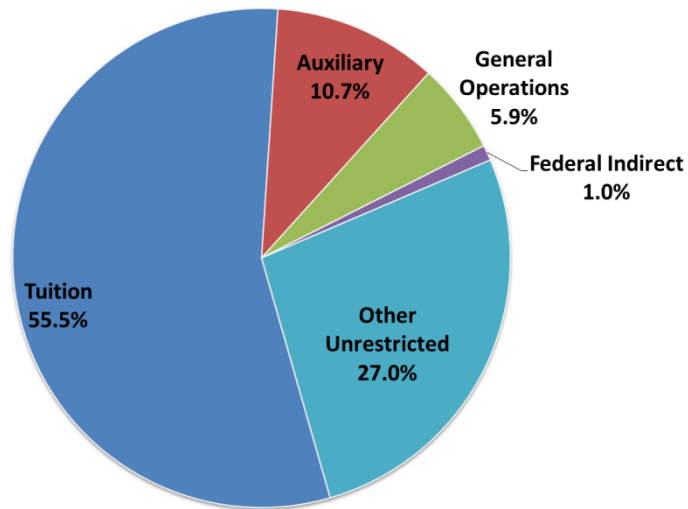
UW Parkside Residence Life

164500

	Actual	Projected												
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024	FY 2025	FY 2026	FY 2027
Rental Income	3,453,595	3,469,658	3,535,060	3,609,842	3,682,039	3,755,580	3,837,793	3,907,408	3,965,556	4,065,269	4,146,573	4,226,505	4,316,095	4,400,378
Other Income	185,518	150,248	194,053	167,934	201,893	205,931	210,050	214,251	218,535	222,907	227,365	231,812	235,550	241,281
Operating Expenses	(1,832,456)	(2,010,830)	(2,064,151)	(2,095,353)	(2,135,576)	(2,176,130)	(2,214,521)	(2,254,077)	(2,285,893)	(2,336,805)	(2,373,769)	(2,411,133)	(2,448,913)	(2,487,103)
Debt Service	(2,359,545)	(2,369,760)	(1,900,412)	(1,872,605)	(1,715,410)	(1,690,582)	(1,275,554)	(1,639,765)	(1,841,496)	(1,164,839)	(1,164,627)	(1,193,187)	(1,165,508)	(1,161,336)
Net Activity	(551,489)	(740,674)	(241,250)	(160,182)	32,546	94,895	55,768	27,817	65,700	786,531	835,542	897,058	935,224	953,220
Cumulative Net Cash	(3,486,629)	(4,227,302)	(4,498,752)	(4,628,934)	(4,595,388)	(4,501,468)	(3,950,720)	(3,522,902)	(3,357,203)	(3,070,671)	(2,235,129)	(1,348,031)	(411,868)	581,412

UW-Platteville FY 2014 PR Balances

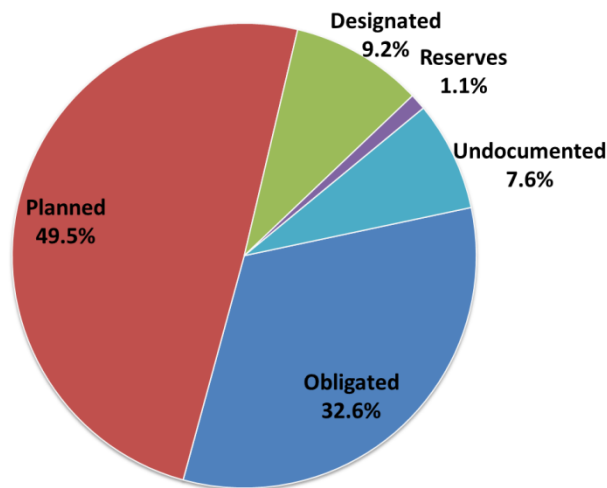
UW-Platteville FY 2014 PR Balances by Category



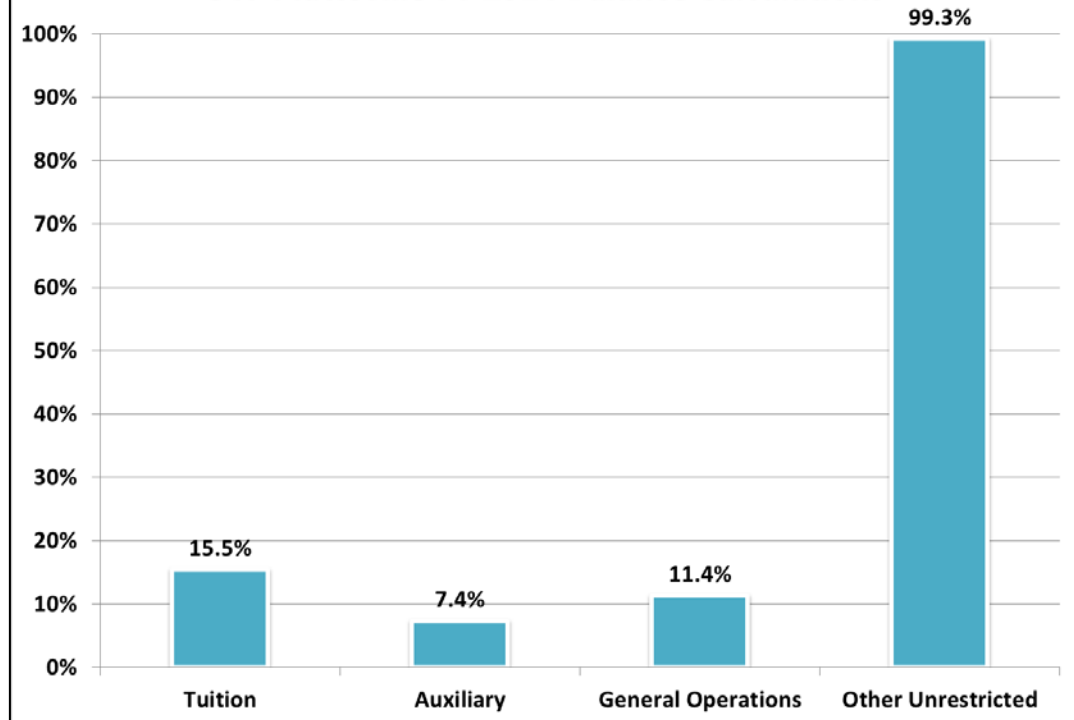
PR Balances Highlights

- **Tuition Balances decreased 27.1% from FY 2013 to FY 2014**
- **Total Unrestricted Balances decreased 15.0% from FY 2013 to FY 2014**
- **Auxiliary Balances decreased 19.8% from FY 2013 to FY 2014**
- **General Operations Balances decreased 33.5% from FY 2013 to FY 2014**

UW-Platteville FY 2014 PR Balances by Level of Commitment



UW-Platteville FY 2014 Balance Calculations

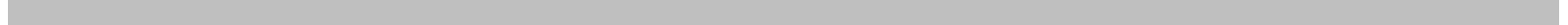


Summary of UW System Unrestricted PR Balances by Level of Commitment

FY 2014 ending balances as categorized by institution reports

UW-Platteville

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Tuition	880,870	9,590,634	365,627	250,000	1,513,242	12,600,373
Auxiliary	355,946	1,537,881	525,842	-	-	2,419,669
General Operations	53,744	100,000	1,177,394	-	-	1,331,138
Federal Indirect	-	1,200	-	6,878	219,519	227,597
Other Unrestricted	6,104,897	-	21,747	-	-	6,126,644
	7,395,457	11,229,715	2,090,610	256,878	1,732,761	22,705,421
	32.6%	49.5%	9.2%	1.1%	7.6%	100.0%
UW System*	30.5%	47.4%	10.0%	10.4%	1.6%	100.0%
* (excluding System Admin and Systemwide)						



	Tuition (131 and 189)	Auxiliary (128 and 228)	General Operations 136	Other Unrestricted (xxx)	Subtotal	Federal Indirect	Total Unrestricted
Total PR Appropriation Balances							
FY2012-13	17,289,648	3,015,772	2,000,214	4,119,536	26,425,170	280,026	26,705,196
FY2013-14	12,600,372	2,419,669	1,331,138	6,126,644	22,477,823	227,597	22,705,420
Change	(4,689,276)	(596,103)	(669,076)	2,007,108	(3,947,347)	(52,429)	(3,999,776)
	-27.1%	-19.8%	-33.5%	48.7%	-14.9%	-18.7%	-15.0%
Total Expenses							
FY2012-13	73,514,990	29,500,488	12,626,033	5,970,232			
FY2013-14	81,465,626	32,851,189	11,633,289	6,171,718			
Change	7,950,636	3,350,701	(992,744)	201,486			
Balance Calculations							
FY2012-13	23.5%	10.2%	15.8%	69.0%			
FY2013-14	15.5%	7.4%	11.4%	99.3%			

Report on Tuition (Funds 131 & 189) Balance in Excess of 12% of Fiscal Year Expenditures

UW-PLATTEVILLE

Fiscal Year:

		Percentage (%)
Total Balance	\$12,600,372	15.47%
Obligated		\$880,870
Planned		\$9,590,633
<i>subtotal: Obligated & Planned</i>		<i>\$10,471,503</i>
Designated	\$365,627	
Reserves	\$250,000	
Undocumented Funds	\$1,513,242	
Remaining Balance	\$0	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

	1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended
1	Encumbrances for supply and capital purchases across campus	Obligated	\$203,497	\$203,497	\$203,497	07/01/14-06/30/15
2	Balance held to allocate for required budget reduction in FY15	Obligated	\$657,529	\$657,529	\$657,529	07/01/14-06/30/15
3	One year of estimated sabbatical commitments	Obligated	\$19,844	\$19,844	\$19,844	07/01/14-06/30/15
4	Planned spending from Tri-State Initiative (TSI) balance (planned faculty and staff compensation higher than planned revenues)	Planned	\$3,242,949	\$3,242,949	\$3,242,949	\$1,869,942: 7/1/14-6/30/15 \$1,691,931: 7/1/15-6/30/16
5	Annual salary and ongoing operations support for University Advancement office for which no base resources are available	Planned	\$397,838	\$397,838	\$397,838	\$269,438 07/01/14 - 06/30/15 and \$128,400 07/01/2015-06/30/2016
6	Planned financial aid funding not yet awarded	Planned	\$1,006,000	\$1,006,000	\$1,006,000	\$503,000 07/01/2014-06/30/2015 and \$503,000 07/01/2015-06/30/2016

7	Planned Pioneer Academic Center for Community Engagement (PACCE) operational funding for which no base resources are available	Planned	\$358,260	\$358,260	\$358,260	\$219,930 07/01/2014-06/30/2015 and \$138,330 07/01/2015-06/30/2016
8	Planned support of operations for Academic and Career Advising for which no base resources are available	Planned	\$65,300	\$65,300	\$65,300	07/01/2014-06/30/2015
9	Planned support for SUCCEED Summer Bridge program, which is residential program starting 3 weeks before classes aimed at helping students prepare and adjust to college life; this is a retention effort	Planned	\$168,000	\$168,000	\$168,000	\$84,000 07/01/2014-06/30/2015 and \$84,000 07/01/2015-06/30/2016
10	Planned support for the Office of Sponsored Programs (OSP) for staff compensation and general operations	Planned	\$545,250	\$545,250	\$545,250	\$182,150 07/01/2014-06/30/2015, \$181,700 07/01/2015-06/30/2016 and \$181,400 07/01/2016-06/30/2017
11	Planned grant matching support for the Provost and the Colleges of Liberal Arts & Education (ELA), Engineering, Mathematics & Science (EMS) and Business, Industry, Life Science & Agriculture (BILSA).	Planned	\$298,721	\$298,721	\$298,721	\$230,000 07/01/2014-06/30/2015 and \$68,721 07/01/2015-06/30/2016
12	Planned ongoing operations support for the Office of Diversity and Inclusion for which no base resources are available	Planned	\$80,245	\$80,245	\$80,245	07/01/2014-06/30/2015
13	Planned ongoing position support for 50% of the Facilities Designer position for which no base resources are available	Planned	\$9,100	\$9,100	\$9,100	07/01/2014-06/30/2015
14	Planned funding of Information Technology Services (ITS) projects including: Increases in Common Systems, Customer Relationship Management system, Business Intelligence project, credit card project, capital IT infrastructure, Chief Information Officer salary increase, classroom technology upgrades and annual hardware/software maintenance agreement increases.	Planned	\$2,048,835	\$2,048,835	\$2,048,835	\$1,101,808 07/01/2014-06/30/2015 and \$947,027 07/01/2015-06/30/2016
15	Planned compensation support to fund salary increases for all LTE staff, some police officer salary increases and senior staff increases, all for which no base resources are available	Planned	\$83,316	\$83,316	\$83,316	07/01/2014-06/30/15
16	Planned support for the Marching Pioneers for which no base resources are available	Planned	\$15,000	\$15,000	\$15,000	07/01/2014-06/30/15
17	Planned support for the start up of the English Language Program (ELP) until the program becomes cost recovery	Planned	\$55,500	\$55,500	\$55,500	07/01/2014-06/30/15
18	Planned support of search and screen costs and institutional memberships for which no base resources are available	Planned	\$80,485	\$80,485	\$80,485	07/01/2014-06/30/15

19	Three years of planned spending from Differential Tuition funds (planned staff compensation is higher than expected revenues). Areas funded by differential tuition include Retention, Introduction to College Life, Mental Health, Career Center and Pioneer Academic Center for Community Engagement.	Planned	\$427,730	\$427,730	\$427,730	\$120,465 07/01/2014-06/30/2015; \$146,985 07/01/2015-06/30/2016; \$169,506 07/01/2016-06/30/2017
20	Planned spending from Distance Education balance for faculty and staff compensation and operational expenses	Planned	\$214,300	\$214,300	\$214,300	07/01/2014-06/30/2015
21	Planned spending to remodel two classrooms in Doudna Hall (rooms 145 & 212).	Planned	\$186,758	\$186,758	\$186,758	07/01/2014-06/30/2015
22	Planned spending to remodel office space for staff members of the Diversity & Inclusion division.	Planned	\$100,000	\$100,000	\$100,000	07/01/2014-06/30/2015
23	Planned spending for remodeling projects for the College of EMS, including rooms 309/310 in Engineering Hall, carpet in the Dean's office area, rooms 110 and 204B in Ottensman Hall.	Planned	\$16,217	\$16,217	\$16,217	07/01/2014-06/30/2015
24	Planned spending for carpet replacement and asbestos abatement in the Graduate Studies and Registrar's offices.	Planned	\$51,700	\$51,700	\$51,700	07/01/2014-06/30/2015
25	Planned spending for 3 years of study abroad scholarships.	Planned	\$15,000	\$15,000	\$15,000	\$5,000 each fiscal year 07/01/14-06/30/2017
26	Planned spending from Distance Education variance distributions for academic support in the areas of Business & Accounting, Project Management and the Distance Masters programs.	Planned	\$12,149	\$12,149	\$12,149	07/01/2014-06/30/2015
27	Planned spending for 3 years for operational support in the College of LAE from the School of Education Cost Recovery programs.	Planned	\$25,400	\$25,400	\$25,400	\$8,300 07/01/2014-06/30/2015, \$8,500 07/01/2015-06/30/2016 and \$8,600 07/01/2016-06/30/2017
28	Planned spending for 3 years for staff compensation and operational support for the Project Management Center for Operations Effectiveness (PMCOE).	Planned	\$23,072	\$23,072	\$23,072	\$8,211 07/01/2014-06/30/2015, \$7,341 07/01/2015-06/30/2016 and \$7,520 07/01/2016-06/30/2017
29	Planned spending for staff compensation and operational support from the Distance Education variance distribution for overhead support. Includes various ITS staff compensation, partial funding for Common Systems chargebacks and partial support for the PMCOE.	Planned	\$63,508	\$63,508	\$63,508	07/01/2014-06/30/2015
30						

Total	\$10,471,503
Obligated	\$880,870
Planned	\$9,590,633

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

	Major Balance Grouping	FY14 Designated Balance
1	Fund 189 - Extension Student Fees	\$68,027
2	Fund 131 - Differential Tuition	\$297,600
	Totals	\$365,627

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

	Major Balance Grouping	FY14 Reserves Balance
1	Tornado Recovery Reserves	\$250,000
	Totals	\$250,000

Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Undocumented Balance
Other Fund 131 Balances for which no documented plans exist but for which we expect the various departments have their own internal plans to spend. Includes balances in various Distance Education variance distribution accounts for the three colleges (LAE, EMS and BILSA), Business Intelligence Project, advising funds, etc. We are in the process of attempting to obtain 5-year budget plans for all program revenue accounts, including these "other" fund 131 areas.	\$1,012,795
Distance Education balances for which no documented plans exist, partially due to unexpected vacancies that created unplanned savings; however, we are in the process of making plans for future office space needs, of which a large amount of this balance will be spent on to pay for increased space needs.	\$500,447
Totals	\$1,513,242

Report on Other Unrestricted Program Revenue Balance in Excess of 12% of Fiscal Year Expenditures

UW-PLATTEVILLE

Fiscal Year:

		Percentage (%)
Total Balance	\$6,126,644.00	99.27%
Obligated		\$6,104,897.00
Planned		\$0.00
<i>subtotal: Obligated & Planned</i>		<i>\$6,104,897.00</i>
Designated	\$21,747.00	
Reserves	\$0.00	
Undocumented Funds	\$0.00	
Remaining Balance	\$0.00	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

	1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended
1	One year of debt service as shown on amortization schedule	Obligated	\$6,104,897	\$6,248,677	\$6,248,677	7/1/14-6/30/15
	Total		\$6,104,897			
	Obligated		\$6,104,897			
	Planned		\$0			

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

	Major Balance Grouping	FY14 Designated Balance
1	Fund 132 - Extension Non-Cred Prog Rcpts	\$17,936
2	Fund 184 - License Plate Schol Programs	\$3,811
	Totals	\$21,747

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

	Major Balance Grouping	FY14 Reserves Balance
	Totals	\$0

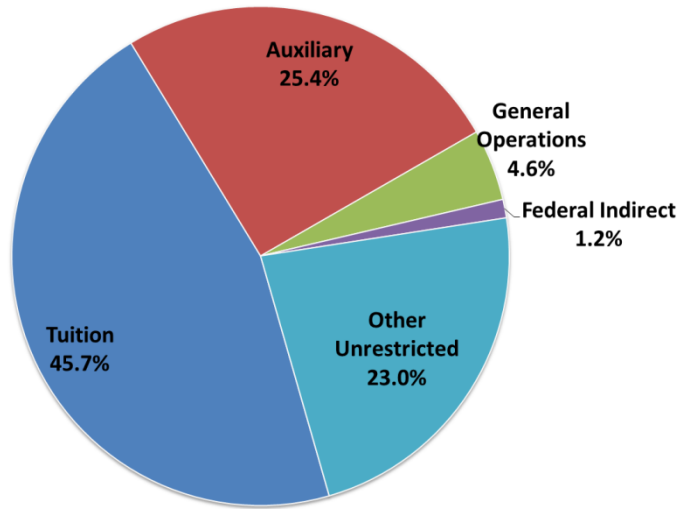
Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

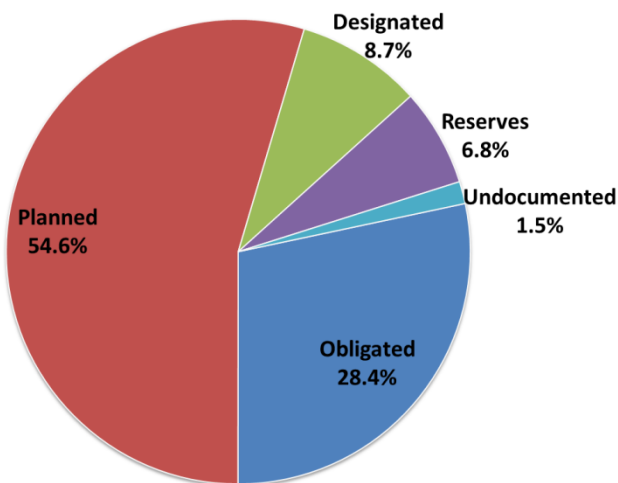
	Major Balance Grouping	FY14 Undocumented Balance
	Totals	\$0

UW-River Falls FY 2014 PR Balances

UW-River Falls FY 2014 PR Balances by Category



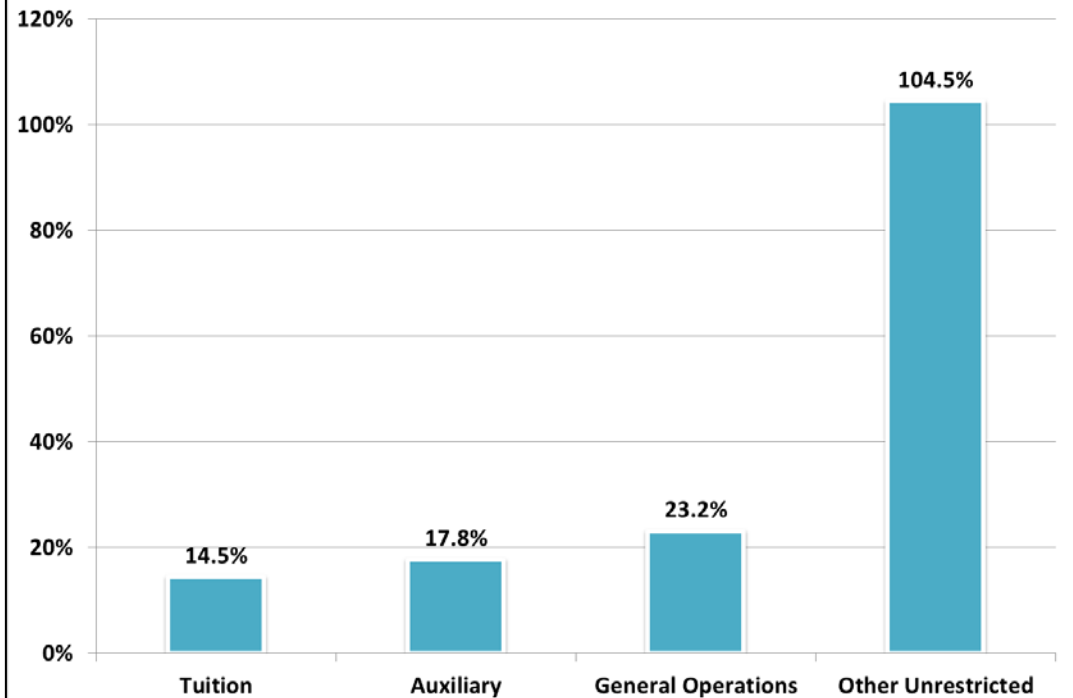
UW-River Falls FY 2014 PR Balances by Level of Commitment



PR Balances Highlights

- **Tuition Balances decreased 21.0% from FY 2013 to FY 2014**
- **Total Unrestricted Balances decreased 22.6% from FY 2013 to FY 2014**
- **Auxiliary Balances decreased 41.8% from FY 2013 to FY 2014**
- **General Operations Balances increased 19.5% from FY 2013 to FY 2014**

UW-River Falls FY 2014 Balance Calculations



Summary of UW System Unrestricted PR Balances by Level of Commitment

FY 2014 ending balances as categorized by institution reports

UW-River Falls

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Tuition	312,000	7,396,864	511,284	693,845	76,394	8,990,387
Auxiliary	661,715	2,481,418	1,071,350	643,750	143,111	5,001,344
General Operations	169,896	581,887	75,718	-	84,147	911,648
Federal Indirect	-	236,232	-	-	-	236,232
Other Unrestricted	4,431,797	32,967	58,230	-	-	4,522,994
	5,575,408	10,729,368	1,716,582	1,337,595	303,652	19,662,605
	28.4%	54.6%	8.7%	6.8%	1.5%	100.0%
UW System*	30.5%	47.4%	10.0%	10.4%	1.6%	100.0%

* (excluding System Admin and Systemwide)



	Tuition (131 and 189)	Auxiliary (128 and 228)	General Operations 136	Other Unrestricted (xxx)	Subtotal	Federal Indirect	Total Unrestricted
Total PR Appropriation Balances							
FY2012-13	11,387,319	8,591,929	762,907	4,434,345	25,176,500	218,663	25,395,163
FY2013-14	8,990,387	5,001,344	911,648	4,522,994	19,426,373	236,232	19,662,605
Change	(2,396,932)	(3,590,585)	148,741	88,649	(5,750,127)	17,569	(5,732,558)
	-21.0%	-41.8%	19.5%	2.0%	-22.8%	8.0%	-22.6%
Total Expenses							
FY2012-13	59,606,706	24,887,926	3,175,907	3,429,398			
FY2013-14	62,001,924	28,130,828	3,932,042	4,327,468			
Change	2,395,218	3,242,902	756,135	898,070			
Balance Calculations							
FY2012-13	19.1%	34.5%	24.0%	129.3%			
FY2013-14	14.5%	17.8%	23.2%	104.5%			

Report on Tuition (Funds 131 & 189) Balance in Excess of 12% of Fiscal Year Expenditures**UW-RIVER FALLS****Fiscal Year:**

		Percentage (%)
Total Balance	\$8,990,387	14.50%
Obligated		\$312,000
Planned		\$7,396,864
<i>subtotal: Obligated & Planned</i>		<i>\$7,708,864</i>
Designated	\$511,284	
Reserves	\$693,845	
Undocumented Funds	\$76,394	
Remaining Balance	\$0	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended
1. Differential Tuition (24 hour computer lab, extended library hours, tutoring, undergraduate research grants to students, classroom remodeling, undergraduate grants to study abroad)	Planned	\$661,687	\$725,000	\$725,000	FY15, 16, & 17
2. Equipment for Education Specialist in School Psychology (graduate program)	Obligated	\$37,000	\$37,000	\$37,000	FY15
3. Graduate Assistantships salary for Education Specialist in School Psychology (graduate program)	Planned	\$36,000	\$36,000	\$36,000	FY15, 16, & 17
4. Contracted salary for Adult Degree Completion (Undergraduate program)	Obligated	\$78,000	\$78,000	\$78,000	FY15, 16, & 17
5. Supplemental funding for classroom expansion and to cover possible low enrollment in the Adult Degree Completion (Undergraduate program)	Planned	\$160,515	\$218,786	\$218,786	FY15, 16, & 17
6. Graduate assistance and to cover possible low enrollment in the Evening Masters Business Administration (Graduate Program)	Planned	\$44,610	\$44,610	\$44,610	FY15, 16, & 17
7. Base reduction to be covered by UW Extension Credit Revenue	Obligated	\$67,000	\$67,000	\$67,000	FY15, 16, & 17

8. Salaries, program marketing, professional development, and computer programing for UW Extension Credit Producing Programs	Planned	\$109,000	\$109,000	\$109,000	FY15 & FY16
9. Base reduction to be covered by Teaching English to Speakers of Other Languages Graduate Certificate Program	Obligated	\$6,000	\$6,000	\$6,000	FY15
10. International travel and recruiting for Teaching English to Speakers of Other Languages Graduate Certificate Program	Planned	\$32,500	\$32,500	\$32,500	FY15
11. Consulting and curriculum use for new program from College of Education residual revenue	Obligated	\$20,000	\$20,000	\$20,000	FY15
12. Salary, marketing, recruiting, program and curricular development, start-up funding for programs from College residual revenue	Planned	\$155,580	\$155,580	\$155,580	FY15, 16, & 17
13. Base reduction covered by Reading Certificate Program	Obligated	\$55,000	\$55,000	\$55,000	FY15, 16, & 17
14. Marketing, recruiting, and consultant fees for the Reading Certificate Program	Planned	\$53,000	\$53,000	\$53,000	FY 15 & FY16
15. Base reduction covered by Principal Licensure program	Obligated	\$22,000	\$22,000	\$22,000	FY15, 16, & 17
16. Marketing and recruiting for the Principal Licensure Program	Planned	\$10,000	\$10,000	\$10,000	FY15 & FY16
17. Marketing and Student Recruiting and Retention Initiatives	Planned	\$500,000	\$500,000	\$500,000	FY15 & FY16
18. Campus Improvement and Equipment projects include classroom remodels, special equipment, concert hall remodel, dairy pilot plant renovation, energy efficiency projects, campus improvement projects, equipment for laboratories and classroom instruction.	Planned	\$1,965,001	\$2,039,684	\$2,039,684	FY15 & FY16
19. Compensation and Benefits (Faculty adjustment plan, sabbatical funding, promotions, retention, retitling, equity adjustments)	Planned	\$850,000	\$850,000	\$850,000	FY15, 16, & 17
20. New Engineering Academic Program, and additional program development.	Planned	\$1,900,000	\$1,900,000	\$1,900,000	FY15, 16, & 17
21. College Initiatives including: student recruiting and retention efforts, document imaging system, faculty and staff recruiting and retention, faculty professional development grants, one month of salaries for sustainability incubator staff, space renovation for Honors Program and Falcon Scholars (scholarships for high achieving new students) Program, safety demolitions of silos and old buildings on farms, equipment for labs, remodeling to create a gender-neutral bathroom in preschool, and renovation of admissions office.	Planned	\$717,471	\$717,471	\$717,471	FY15 & FY16
22. Base reduction to be covered by Shared Inquiry program	Obligated	\$26,000	\$26,000	\$26,000	FY15 & FY17
23. Marketing, recruiting, and professional development for Shared Inquiry program	Planned	\$23,000	\$23,000	\$23,000	FY15 & FY16
24. Base reduction to be covered by Elementary Education Montessori program	Obligated	\$1,000	\$1,000	\$1,000	FY15

25. Coordination and instruction accreditation, marketing, recruiting, and professional development for Elementary Education Montessori program	Planned	\$45,000	\$45,000	\$45,000	FY15 & FY16
26. New program development to be funded by Outreach Residual Revenue	Planned	\$45,000	\$45,000	\$45,000	FY15 & FY16
27. Marketing, recruiting, salary, and new program development for the Early Childhood Program	Planned	\$88,500	\$100,000	\$100,000	FY15, 16, & 17

Total	\$7,708,864
Obligated	\$312,000
Planned	\$7,396,864

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Designated Balance
Evening Masters in Business Administration	\$26,784
Secondary Education Montessori Undergraduate Program	\$103,713
UW Extension Programs	\$380,787
Totals	\$511,284

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Reserves Balance
Chancellor's Reserve for Fund 131 General Tuition	\$693,845
Totals	\$693,845

Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Undocumented Balance
Funds to be used at the discretion of the Chancellor	\$76,394
Totals	\$76,394

Report on Auxiliary Operations Balance (Funds 128 & 228) in Excess of 12% of Fiscal Year Expenditures

UW-RIVER FALLS

Fiscal Year:

		Percentage (%)
Total Balance	\$5,001,344	17.8%
Obligated		\$661,715
Planned		\$2,481,418
<i>subtotal: Obligated & Planned</i>		<i>\$3,143,133</i>
Designated	\$1,071,350	
Reserves	\$643,750	
Undocumented Funds	\$143,111	
Remaining Balance	\$0	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended
1. Residence Life projects include reopening a hall, wireless and software upgrades, and tuck pointing.	Planned	\$389,000	\$389,000	\$389,000	FY15, FY16, FY17
2. Technology upgrades and utility projects for Dining Services.	Planned	\$370,000	\$370,000	\$370,000	FY15, FY16, FY17
3. Student Center upgrades to technology.	Planned	\$466,000	\$466,000	\$466,000	FY15, FY16, FY17
4. Parking: Cash commitment to Falcon Center (athletic/recreational services/health and human performance academic programming complex) Capital Construction Project	Obligated	\$655,000	\$655,000	\$655,000	FY15, FY16
5. Resurfacing of frontage road, replacement of handheld devices for parking citations, and lot repairs from Parking Department.	Planned	\$100,000	\$100,000	\$100,000	FY15, FY16, FY17
6. Hockey Arena projects include: Dasher board replacement, laminated beam restoration and utility vehicle	Planned	\$297,090	\$300,000	\$300,000	FY15, FY16, FY17

7. Study Abroad program planning and development	Planned	\$49,500	\$49,500	\$49,500	FY15, FY16
8. Student salaries, network infrastructure, telephone system upgrade, common systems for academic programs, maintenance and licensing costs for the Technology Services department	Planned	\$348,114	\$348,114	\$348,114	FY15, FY16, FY17
9. Textbook Services: outstanding invoices	Obligated	\$6,715	\$6,715	\$6,715	FY15
10. Textbook purchases to replace all English 100/200 course materials.	Planned	\$200,023	\$200,023	\$200,023	FY15 & FY16
11. Floor coverings, equipment, and technology upgrades for the Childcare program	Planned	\$12,750	\$12,750	\$12,750	FY15
12. Salaries for the development of new Masters of Computer Science program (paid for from online technology fees)	Planned	\$93,145	\$93,145	\$93,145	FY15, FY16, FY17
13. Health care expenses and smoking cessation materials for the Student Health Services department.	Planned	\$30,000	\$30,000	\$30,000	FY15
14. New/used vehicle purchases for the Fleet Vehicles department.	Planned	\$73,897	\$85,000	\$85,000	FY15
15. Instructional space improvement project to remodel two classrooms to Active Learning Classrooms.	Planned	\$51,899	\$51,899	\$51,899	FY15

Total

Obligated

Planned

\$3,143,133
\$661,715
\$2,481,418

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Designated Balance
Study Abroad	\$168,472
Child Care	\$189,131
Miscellaneous	\$46,262
Residence Life	\$49,728
Dining	\$407,315
University Center (Student Union)	\$210,442
Totals	\$1,071,350

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Reserves Balance
Funds reserved for emergencies involving students or faculty involved in Study Abroad programs.	\$153,750
Study Abroad reserve to cover fluctuating enrollment, exchange rates and unforeseen events with program projected revenue targets.	\$415,000
Property maintenance and remodeling, infrastructure/IT expenses, capital purchase costs associated with property in Wisconsin in Scotland program.	\$75,000
Totals	\$643,750

Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Undocumented Balance
Funds to be used at the discretion of the Chancellor	\$143,111
Totals	\$143,111

Report on General Operations (Fund 136) Balance in Excess of 12% of Fiscal Year Expenditures**UW-RIVER FALLS****Fiscal Year:**

		Percentage (%)
Total Balance	\$911,648	23.2%
Obligated		\$149,488
Planned		\$602,295
<i>subtotal: Obligated & Planned</i>		\$751,783
Designated	\$75,718	
Reserves	\$0	
Undocumented Funds	\$84,147	
Remaining Balance	\$0	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended
1. New faculty salary, classroom remodel, curriculum development, conferences, and tutoring and equipment for remedial education.	Planned	\$326,589	\$326,589	\$326,589	FY15, FY16, and FY17
2. Registrar Revenues: outstanding invoices for upgrades and changes to Registrar's software	Obligated	\$91,028	\$98,000	\$98,000	FY15 and FY16
3. Brazil Scientific Mobility Program: Contracted Salaries and benefits for new position in Global Connections (International Student Services)	Obligated	\$58,460	\$58,460	\$58,460	FY15
4. Brazil Scientific Mobility Program: salaries and benefits for program assistant for Animal Science for four months FY15	Planned	\$20,408	\$20,408	\$20,408	FY15
5. Program development, co-curricular programming, direct program recruiting, and support for summer and fall students participating in the Brazil Scientific Mobility Program.	Planned	\$7,089	\$400,000	\$400,000	FY15, FY16, and FY17
6. Student internship stipends for direct work experience in dairy product manufacturing and meat processing, equipment replacement and maintenance in the pilot plants.	Planned	\$125,261	\$125,261	\$125,261	FY15, FY16, and FY17
7. Salaries for administrative services	Planned	\$45,000	\$45,000	\$45,000	FY15, FY16, and FY17
8. Software and technology upgrades for Conference and Events department	Planned	\$55,335	\$55,335	\$55,335	FY15, FY16, and FY17
9. Coordination, marketing and development of an additional Career Fair for students during the academic year.	Planned	\$22,613	\$22,613	\$22,613	FY15

Total	\$751,783
Obligated	\$149,488
Planned	\$602,295

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Designated Balance
Hudson Center (Satellite campus located in Hudson WI)	\$73,465
Athletic camps	\$2,253
Totals	\$75,718

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Reserves Balance
Totals	\$0

Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Undocumented Balance
Funds to be used at the discretion of the Chancellor	\$84,147
Totals	\$84,147

Report on Other Unrestricted Program Revenue Balance in Excess of 12% of Fiscal Year Expenditures**UW-RIVER FALLS****Fiscal Year:**

		Percentage (%)
Total Balance	\$4,522,994.00	104.52%
Obligated		\$4,431,797.00
Planned		\$32,967.00
<i>subtotal: Obligated & Planned</i>		<i>\$4,464,764.00</i>
Designated	\$58,230.00	
Reserves	\$0.00	
Undocumented Funds	\$0.00	
Remaining Balance	\$0.00	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended
1. Auxiliary Debt Service for FY15: Child Care, Parking, Residence Halls, Student Center, and Dining	Obligated	\$4,431,797	\$4,439,925	\$4,439,925	FY15
2. Student financial aid that has not yet been awarded, funded by the License Plate Scholarship Fund.	Planned	\$4,850	\$5,000	\$5,000	FY15, 16, & 17
3. New offices for the Small Business Development Center as part of the joint UW-RF and City of River Falls business incubator, development and presentation of seminars for entrepreneurs.	Planned	\$28,117	\$28,117	\$28,117	FY15, 16, & 17

Total	\$4,464,764
Obligated	\$4,431,797
Planned	\$32,967

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Designated Balance
Small Business Development Center	\$58,230
Totals	\$58,230

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Reserves Balance
Totals	\$0

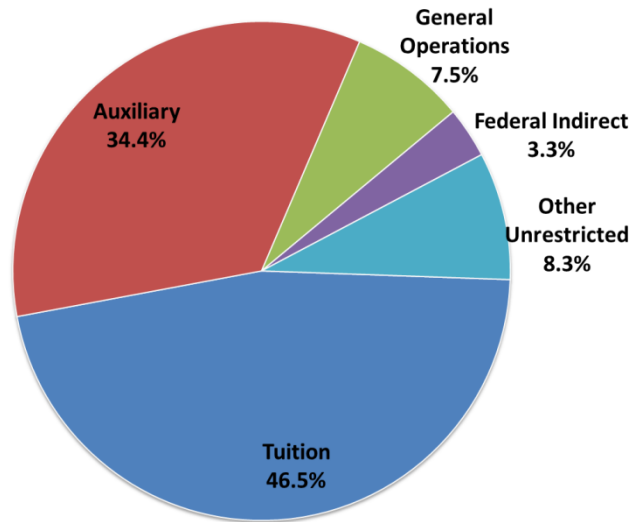
Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Undocumented Balance
Totals	\$0

UW-Stevens Point FY 2014 PR Balances

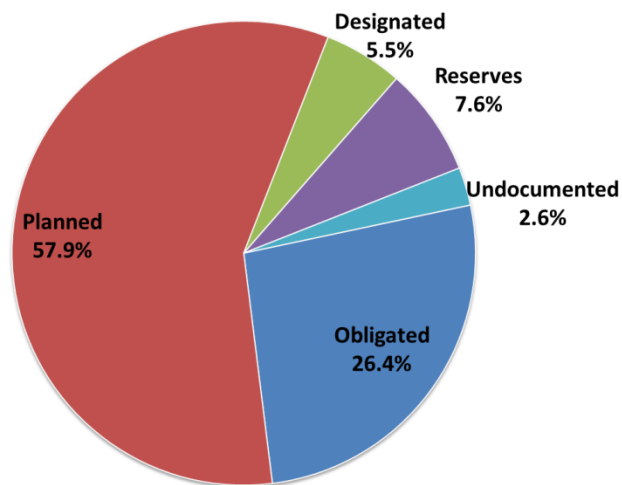
UW-Stevens Point FY 2014 PR Balances by Category



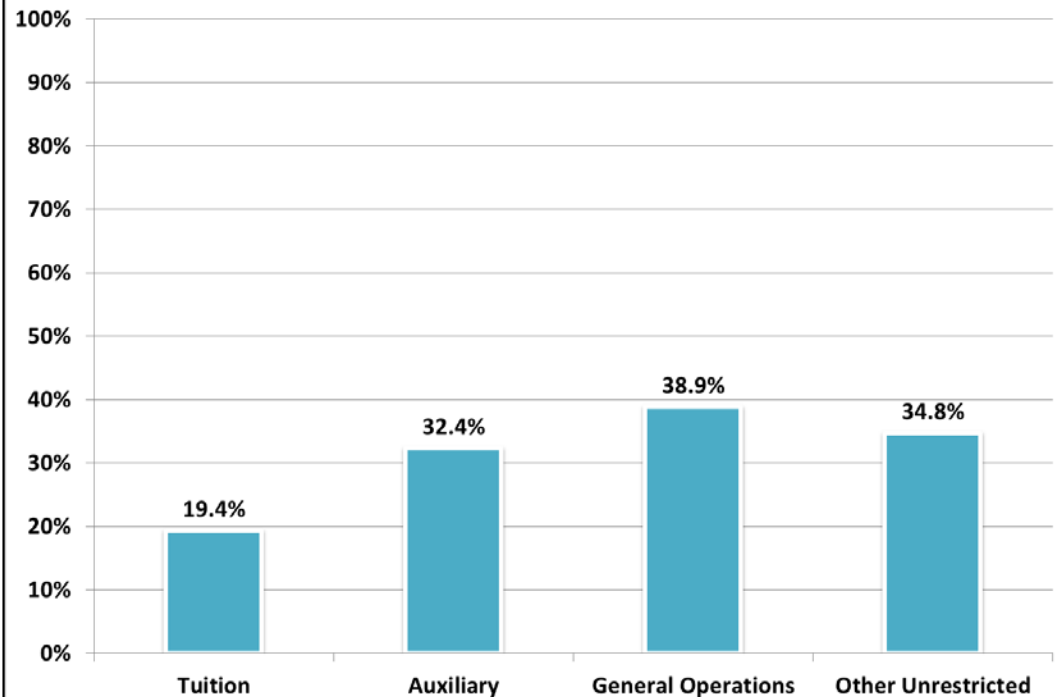
PR Balances Highlights

- **Tuition Balances increased 11.9% from FY 2013 to FY 2014**
- **Total Unrestricted Balances increased 2.9% from FY 2013 to FY 2014**
- **Auxiliary Balances decreased 14.6% from FY 2013 to FY 2014**
- **General Operations Balances decreased 20.6% from FY 2013 to FY 2014**

UW-Stevens Point FY 2014 PR Balances by Level of Commitment



UW-Stevens Point FY 2014 Balance Calculations



Summary of UW System Unrestricted PR Balances by Level of Commitment

FY 2014 ending balances as categorized by institution reports

UW-Stevens Point

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Tuition	4,995,119	12,135,537	-	952,900	487,827	18,571,383
Auxiliary	2,285,352	9,337,032	471,868	1,270,502	359,636	13,724,390
General Operations	326,694	1,033,595	1,323,374	327,225	-	3,010,888
Federal Indirect	164,627	516,215	-	422,625	210,432	1,313,899
Other Unrestricted	2,753,519	94,344	406,566	52,705	-	3,307,134
	10,525,311	23,116,723	2,201,808	3,025,957	1,057,895	39,927,694
	26.4%	57.9%	5.5%	7.6%	2.6%	100.0%
UW System*	30.5%	47.4%	10.0%	10.4%	1.6%	100.0%

* (excluding System Admin and Systemwide)



	Tuition (131 and 189)	Auxiliary (128 and 228)	General Operations 136	Other Unrestricted (xxx)	Subtotal	Federal Indirect	Total Unrestricted
Total PR Appropriation Balances							
FY2012-13	16,591,764	16,064,016	3,794,297	711,671	37,161,748	1,653,258	38,815,006
FY2013-14	18,571,383	13,724,390	3,010,888	3,307,134	38,613,795	1,313,899	39,927,694
Change	1,979,619	(2,339,626)	(783,409)	2,595,463	1,452,047	(339,359)	1,112,688
	11.9%	-14.6%	-20.6%	364.7%	3.9%	-20.5%	2.9%
Total Expenses							
FY2012-13	91,062,080	40,177,670	6,919,296	9,237,914			
FY2013-14	95,743,042	42,359,869	7,747,693	9,509,834			
Change	4,680,962	2,182,199	828,397	271,920			
Balance Calculations							
FY2012-13	18.2%	40.0%	54.8%	7.7%			
FY2013-14	19.4%	32.4%	38.9%	34.8%			

Report on Tuition (Funds 131 & 189) Balance in Excess of 12% of Fiscal Year Expenditures

UW-STEVENS POINT

Fiscal Year:

		Percentage (%)
Total Balance	\$18,571,383	19.40%
Obligated		\$4,995,119
Planned		\$12,135,537
<i>subtotal: Obligated & Planned</i>		<i>\$17,130,656</i>
Designated	\$0	
Reserves	\$952,900	
Undocumented Funds	\$487,826	
Remaining Balance	\$0	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended
1 University-Wide - MidState building lease/remodeling	Planned	\$3,000,000	\$5,000,000	\$5,000,000	7-1-14 - 6/30/16
2 University-Wide - Remaining 2013-15 base budget reduction	Obligated	\$1,500,000	\$1,500,000	\$1,500,000	7/1/14 - 6/30/15
3 University-Wide - FY15 additional class sections costs	Planned	\$1,200,000	\$1,200,000	\$1,200,000	7/1/14 - 6/30/15
4 University-Wide - Contribution to new Student Information System	Planned	\$1,000,000	\$4,000,000	\$4,000,000	7/1/14 - 6/30/15

5 University-Wide - Additional faculty positions allocated due to enrollment growth	Planned	\$600,000	\$600,000	\$600,000	7/1/14 - 6/30/15
6 University-Wide - FY15 HRS Service Center costs	Planned	\$500,000	\$500,000	\$500,000	7/1/14 - 6/30/15
7 University-Wide - FY15 Common Systems costs	Planned	\$400,000	\$400,000	\$400,000	7/1/14 - 6/30/15
8 University-Wide - FY15 salary equity initiative	Planned	\$250,000	\$250,000	\$250,000	7/1/14 - 6/30/15
9 University-Wide - FY14 outstanding utility costs not covered by allocation (Transfer from System Admin 7/15/14)	Obligated	\$184,213	\$184,213	\$184,213	7/1/14 - 12/31/14
10 University-Wide - FY15 disability accommodations support	Planned	\$150,000	\$150,000	\$150,000	7/1/14 - 6/30/15
11 University-Wide - FY15 First Year Seminar instructional costs	Planned	\$150,000	\$150,000	\$150,000	7/1/14 - 6/30/15
12 University-Wide - FY15 salary costs of two counselors (already on staff)	Obligated	\$110,000	\$110,000	\$110,000	7/1/14 - 6/30/15
13 University-Wide - FY15 coverage of two months' health insurance	Planned	\$75,000	\$75,000	\$75,000	7/1/14 - 6/30/15
14 University-Wide - FY15 OSER assessment	Planned	\$65,000	\$65,000	\$65,000	7/1/14 - 6/30/15
15 University-Wide - Costs associated with Continuing Ed office move	Planned	\$50,000	\$50,000	\$50,000	7/1/14 - 6/30/15
16 University-Wide - FY15 salary costs associated with new mentoring position	Planned	\$50,000	\$50,000	\$50,000	7/1/14 - 6/30/15
17 University-Wide - College of Natural Resources (CNR) summer field experience support	Planned	\$44,000	\$44,000	\$44,000	7/1/14 - 6/30/15
18 University-Wide - Insitutional membership support	Planned	\$30,000	\$30,000	\$30,000	7/1/14 - 6/30/15
19 University-Wide - Campus engineering position	Planned	\$26,000	\$26,000	\$26,000	7/1/14 - 6/30/15
20 University-Wide - Annual stormwater utility assessment	Planned	\$23,000	\$23,000	\$23,000	7/1/14 - 6/30/15
21 University-Wide - Emeritus Chancellor assessment	Planned	\$15,000	\$15,000	\$15,000	7/1/14 - 6/30/15
22 University-Wide - Annual EMS maintenance costs	Planned	\$10,260	\$10,260	\$10,260	7/1/14 - 6/30/15
23 University-Wide - Academic Success Title III program support staff; not in base budget	Obligated	\$13,000	\$20,000	\$20,000	7/1/14 - 6/30/15
24 Executive Offices - Equity & Affirmative Action - Relocation expenses and furniture needed for new employee	Planned	\$17,701	\$20,000	\$20,000	7/1/14 - 6/30/15
25 Executive Offices - Univ Relations & Communications - Supplies and equipment purchases in part due to office relocation and new staff	Planned	\$41,129	\$50,000	\$50,000	7/1/14 - 6/30/15

26 Foundation/Development - Equipment upgrades (laptop, Twain compatible scanner(s), iPads, projector); two new staff computers	Planned	\$15,250	\$15,250	\$15,250	7/1/14 - 6/30/15
27 Student Affairs - Student Affairs Office - Technology Upgrades	Planned	\$10,000	\$10,000	\$10,000	7/1/14 - 6/30/15
28 Student Affairs - Student Affairs Office - Center for Inclusive Learning	Planned	\$61,910	\$61,910	\$61,910	7/1/14 - 6/30/15
29 Student Affairs - Student Affairs Office - Student Employee Salaries	Planned	\$449	\$449	\$449	7/1/14 - 6/30/15
30 Student Affairs - Childcare - Increased salary costs	Planned	\$25,274	\$25,274	\$25,274	7/1/14 - 6/30/15
31 Student Affairs - Student Involvement and Employment Office - Professional Development Grant	Planned	\$3,147	\$3,147	\$3,147	7/1/14 - 6/30/15
32 Student Affairs - Counseling Center - LTE Mental Health Counselor	Planned	\$24,727	\$24,727	\$24,727	7/1/14 - 6/30/15
33 Business Affairs - Business Affairs - Fall 2014 Board of Regents Meeting	Planned	\$17,820	\$25,000	\$25,000	7/1/14 - 6/30/15
34 Business Affairs - Sustainability - Student Wages	Planned	\$8,000	\$8,000	\$8,000	7/1/14 - 6/30/15
35 Business Affairs - Sustainability - Travel Expenses	Planned	\$2,200	\$2,200	\$2,200	7/1/14 - 6/30/15
36 Business Affairs - Sustainability - Phone Bill increase	Planned	\$1,000	\$1,000	\$1,000	7/1/14 - 6/30/15
37 Business Affairs - Sustainability - On campus events and Marketing	Planned	\$2,800	\$2,800	\$2,800	7/1/14 - 6/30/15
38 Business Affairs - Sustainability - Off Campus Marketing	Planned	\$2,000	\$2,000	\$2,000	7/1/14 - 6/30/15
39 Business Affairs - Sustainability - Assoc. for the Advancement of Sustainability in Higher Ed (AASHE) and Amer. College & Univ. Presidents Climate Commitment (ACUPCC) Fee Increase	Planned	\$250	\$250	\$250	7/1/14 - 6/30/15
40 Business Affairs - Sustainability - Office Supplies	Planned	\$300	\$500	\$500	7/1/14 - 6/30/15
41 Business Affairs - Sustainability - Campus Compost Bins	Planned	\$2,500	\$2,500	\$2,500	7/1/14 - 6/30/15
42 Business Affairs - Sustainability - Welcome Week Marketing materials	Planned	\$250	\$250	\$250	7/1/14 - 6/30/15
43 Business Affairs - Sustainability - Conference room supplies and development	Planned	\$2,500	\$2,500	\$2,500	7/1/14 - 6/30/15
44 Business Affairs - Sustainability - Campus t-Shirts and promotional items	Planned	\$682	\$1,000	\$1,000	7/1/14 - 6/30/15
45 Business Affairs - Facilities Planning - UWSP Health Enhancement Center Elevator Study	Planned	\$14,450	\$14,450	\$14,450	7/1/14 - 6/30/15
46 Business Affairs - Facilities Planning - UWSP Noel Fine Arts Center Glass Study	Planned	\$8,665	\$8,665	\$8,665	7/1/14 - 6/30/15

47 Business Affairs - Human Resources - Office move/expansion to Suite 116-renovation & moving expenses & new furniture/equipment	Planned	\$21,000	\$21,000	\$21,000	7/1/14 - 6/30/15
48 Business Affairs - Human Resources - Dept. parking space in Lot A-price increase	Planned	\$200	\$200	\$200	7/1/14 - 6/30/15
49 Business Affairs - Human Resources - LTE hire to help with Classified recruitment	Planned	\$14,000	\$14,000	\$14,000	7/1/14 - 6/30/15
50 Business Affairs - Human Resources - Additional staff member incl. computers and supplies needed in HR	Planned	\$47,700	\$47,700	\$47,700	7/1/14 - 6/30/15
51 Business Affairs - Human Resources - Additional salary required to make hires for vacant HR positions	Planned	\$10,000	\$10,000	\$10,000	7/1/14 - 6/30/15
52 Business Affairs - Human Resources - Systematic replacement of current desktop & laptop computers	Planned	\$6,300	\$6,300	\$6,300	7/1/14 - 6/30/15
53 Business Affairs - Human Resources - Centralized HR Director recruitment expenses	Planned	\$23,850	\$23,850	\$23,850	7/1/14 - 6/30/15
54 Business Affairs - Human Resources - Title IX, Exec. Order 54 training resources	Planned	\$3,500	\$3,500	\$3,500	7/1/14 - 6/30/15
55 Business Affairs - Internal Audit -Student Employee Costs for 14-15 Academic Year	Planned	\$8,630	\$9,283	\$9,283	7/1/14 - 6/30/15
56 Business Affairs - Risk Management - Upgrade of Conference Room & Emergency Command Ctr	Planned	\$7,545	\$13,500	\$13,500	7/1/14 - 6/30/15
57 Business Affairs - Risk Management - Professional Development of Risk Mgmt Staff	Planned	\$500	\$500	\$500	7/1/14 - 6/30/15
58 Business Affairs - Risk Management - LTE Employment Costs	Planned	\$1,675	\$14,500	\$14,500	7/1/14 - 6/30/15
59 Business Affairs - Facility Services - United Rentals-Lift	Obligated	\$76,696	\$76,696	\$76,696	7/1/14 - 12/31/14
60 Business Affairs - Facility Services - Bobcat Plus	Obligated	\$44,946	\$44,946	\$44,946	7/1/14 - 12/31/14
61 Business Affairs - Facility Services - Old Main Flooring-Mid Wisconsin Flooring	Obligated	\$48,531	\$48,531	\$48,531	7/1/14 - 12/31/14
62 Business Affairs - Facility Services - Interagency Vehicle Buy-Madison-Replace UK967059	Obligated	\$13,000	\$13,000	\$13,000	7/1/14 - 12/31/14
63 Business Affairs - Facility Services - Interagency Vehicle Buy-Madison-Replace UK997069	Planned	\$5,400	\$5,400	\$5,400	7/1/14 - 12/31/14
64 Business Affairs - Facility Services - LTE for Campus/Dept of Facilities Devlpmt Project Manager	Planned	\$30,000	\$30,000	\$30,000	7/1/14 - 6/30/15

65 Academic Affairs - Academic Affairs Office - Creation of a new Center for Inclusive Learning in Fall 2014. This professional development center will house a number of new and existing functions related to teaching, learning, advising, diversity, and professional development for faculty and staff. As a result, it will require a substantial operating budget. Although we have identified the base budget for a director of the center, we have yet to secure resources of its ongoing operation. Therefore, we are collecting enough one-time funding from various sources to serve as start-up funding until we can build a permanent budget, a process likely to take at least two years. Funding in both 102 and 136 accounts will support salaries for staff, course releases, stipends, and grants for faculty and staff, and other resources, equipment, and facilities for the center's operation.	Planned	\$375,000	\$375,000	\$375,000	7/1/14 - 6/30/18
66 Academic Affairs - Academic Affairs Office - IT Computer Backup Equipment	Planned	\$100,000	\$100,000	\$100,000	7/1/14 - 12/31/14
67 Academic Affairs - Academic Affairs Office - Undergrad Research, Scholarship & Creative Activities (URSCA) Coord. - 2014-15	Planned	\$24,619	\$24,619	\$24,619	7/1/14 - 6/30/15
68 Academic Affairs - Academic Affairs Office - Library Remodeling	Planned	\$16,430	\$16,430	\$16,430	7/1/14 - 6/30/15
69 Academic Affairs - Academic Affairs Office - Matching Funds - Research grant - Cottrell College Single Investigator Science Award Program	Planned	\$15,000	\$15,000	\$15,000	7/1/14 - 6/30/15
70 Academic Affairs - Academic Affairs Office - New computing equipment for Inst. Researcher positions	Planned	\$2,560	\$2,560	\$2,560	7/1/14 - 12/31/14
71 Academic Affairs - Academic Affairs Office - Furniture for room 202C Main (AVC office)	Planned	\$6,627	\$6,627	\$6,627	7/1/14 - 12/31/14
72 Academic Affairs - Academic Affairs Office - Search & Screen expenses for Inst. Research positions	Planned	\$5,000	\$5,000	\$5,000	7/1/14 - 12/31/14
73 Academic Affairs - Academic Affairs Office - Remodeling of rooms for Inst. Research positions	Planned	\$6,392	\$6,392	\$6,392	7/1/14 - 12/31/14
74 Academic Affairs - Academic Affairs Office - PUP (Pointer Unity Program)	Planned	\$3,200	\$3,200	\$3,200	7/1/14 - 6/30/15
75 Academic Affairs - Academic Affairs Office - Convocation keychains	Planned	\$7,810	\$7,810	\$7,810	7/1/14 - 12/31/14

76 Academic Affairs - International Programs - Campus Internationalization Initiatives	Planned	\$80,000	\$80,000	\$8,000	7/1/14 - 6/30/15
77 Academic Affairs - International Students & Scholars Office - GenNext India Partnership	Obligated	\$20,000	\$20,000	\$20,000	7/1/14 - 12/31/14
78 Academic Affairs - International Students & Scholars Office - Beijing Surita Partnership	Obligated	\$6,060	\$6,060	\$6,060	7/1/14 - 12/31/14
79 Academic Affairs - International Students & Scholars Office - Open Hearts International Partnership	Obligated	\$4,524	\$4,524	\$4,524	7/1/14 - 12/31/14
80 Academic Affairs - International Students & Scholars Office - International Recruitment Efforts	Planned	\$21,321	\$21,321	\$21,321	7/1/14 - 6/30/15
81 Academic Affairs - Library/Archives - Renovation of LRC 602 to create student study area incl. furniture	Obligated	\$59,636	\$59,636	\$59,636	7/1/14 - 12/31/14
82 Academic Affairs - Library/Archives - Renovation of LRC 602 tp create student study area incl. furniture	Planned	\$21,571	\$21,571	\$21,571	7/1/14 - 12/31/14
83 Academic Affairs - Library/Archives - Flooring for several offices/rooms in the LRC	Obligated	\$8,927	\$8,927	\$8,927	7/1/14 - 12/31/14
84 Academic Affairs - Library/Archives - 3D Printer	Obligated	\$3,086	\$3,086	\$3,086	7/1/14 - 12/31/14
85 Academic Affairs - Library/Archives - Furniture for classroom 310	Obligated	\$25,000	\$25,000	\$25,000	7/1/14 - 12/31/14
86 Academic Affairs - Library/Archives - Office furniture for staff	Obligated	\$4,685	\$4,685	\$4,685	7/1/14 - 12/31/14
87 Academic Affairs - Library/Archives - Professional Journals-JSTOR	Obligated	\$29,600	\$29,600	\$29,600	7/1/14 - 12/31/14
88 Academic Affairs - Library/Archives - ST ViewScan II Microform Scanner	Obligated	\$10,485	\$10,485	\$10,485	7/1/14 - 12/31/14
89 Academic Affairs - Library/Archives - Voyager Library System	Obligated	\$18,105	\$18,105	\$18,105	7/1/14 - 12/31/14
90 Academic Affairs - Library/Archives - ARTstor annual access fee	Obligated	\$8,535	\$8,535	\$8,535	7/1/14 - 6/30/15
91 Academic Affairs - Library/Archives - Science Online Collection	Obligated	\$6,585	\$6,585	\$6,585	7/1/14 - 6/30/15
92 Academic Affairs - Library/Archives - SciFinder Academic Unlimited Access	Obligated	\$6,671	\$6,671	\$6,671	7/1/14 - 6/30/15
93 Academic Affairs - Library/Archives - HNP New York Times and Index	Obligated	\$6,034	\$6,034	\$6,034	7/1/14 - 6/30/15
94 Academic Affairs - Library/Archives - AAF-Life Sciences Collection	Obligated	\$6,000	\$6,000	\$6,000	7/1/14 - 6/30/15

95 Academic Affairs - Library/Archives - Ancestry Library Access	Obligated	\$5,990	\$5,990	\$5,990	7/1/14 - 6/30/15
96 Academic Affairs - Advising - Office furniture for staff	Obligated	\$5,012	\$5,012	\$5,012	7/1/14 - 12/31/14
97 Academic Affairs - Advising - Assistant Director salary (new position 8/1/14)	Obligated	\$6,000	\$6,000	\$6,000	7/1/14 - 6/30/15
98 Academic Affairs - Financial Aid - Support of LTE position for Financial Aid	Obligated	\$4,200	\$4,200	\$4,200	7/1/14 - 12/31/14
99 Info. Tech. - Data Backup and Storage from Symantec	Planned	\$183,900	\$183,900	\$183,900	7/1/14 - 6/30/15
100 Info. Tech. - Network Infrastructure Upgrades	Obligated	\$38,249	\$38,249	\$38,249	7/1/14 - 6/30/15
101 Info. Tech. - Test Scoring from Scantron	Planned	\$7,779	\$7,779	\$7,779	7/1/14 - 6/30/15
102 Info. Tech. - Consultant Resource	Obligated	\$24,960	\$24,960	\$24,960	7/1/14 - 6/30/15
103 Info. Tech. - Collaboration Table	Planned	\$8,300	\$8,300	\$8,300	7/1/14 - 6/30/15
104 Info. Tech. - Lower level LRC remodel project	Planned	\$60,000	\$60,000	\$60,000	7/1/14 - 6/30/15
105 Info. Tech. - Equipment for new Academic Support program: TEC:hub	Planned	\$16,466	\$16,466	\$16,466	7/1/14 - 6/30/15
106 College of Letters & Science - Dean - Class/Lab Modernization-FY14 awards	Planned	\$150,180	\$150,180	\$188,592	7/1/14 - 6/30/15
107 College of Letters & Science - Dean - Classified salary base increases	Obligated	\$4,142	\$4,142	\$4,142	7/1/14 - 6/30/15
108 College of Letters & Science - Dean - Women & Gender Studies adjunct faculty salary	Obligated	\$9,654	\$9,699	\$9,699	7/1/14 - 6/30/15
109 College of Letters & Science - various unbudgeted salaries	Obligated	\$36,076	\$36,076	\$36,076	7/1/14 - 6/30/15
110 College of Letters & Science - Collaborative Degree Program - various unbudgeted salaries	Obligated	\$19,065	\$21,131	\$21,131	7/1/14 - 6/30/15
111 College of Letters & Science - Collaborative Degree Program - Chemistry Class/lab modernization	Planned	\$28,240	\$38,412	\$38,412	7/1/14 - 6/30/15
112 College of Letters & Science - Museum - Dean's Travel support	Planned	\$863	\$863	\$3,820	7/1/14 - 6/30/15
113 College of Letters & Science - Biology - Biology Undergraduate Education Initiative Grant	Obligated	\$19,460	\$19,460	\$19,460	7/1/14 - 6/30/15
114 College of Letters & Science - Biology - new faculty fund awards	Obligated	\$118,045	\$118,045	\$118,045	7/1/14 - 6/30/15
115 College of Letters & Science - Biology - University Personal Development Committee grant awards	Planned	\$1,941	\$1,941	\$1,941	7/1/14 - 6/30/15

116 College of Letters & Science - Biology - non-budgeted LTE salaries	Planned	\$9,944	\$9,944	\$9,944	7/1/14 - 6/30/15
117 College of Letters & Science - Biology - course fees	Planned	\$2,696	\$2,926	\$2,696	7/1/14 - 6/30/15
118 College of Letters & Science - English - Course Innovation	Planned	\$2,000	\$2,000	\$2,000	7/1/14 - 6/30/15
119 College of Letters & Science - English - Diversity Circles Project	Planned	\$5,274	\$5,274	\$5,274	7/1/14 - 6/30/15
120 College of Letters & Science - English - English Ed Alumni Workshop	Planned	\$6,000	\$6,000	\$6,000	7/1/14 - 6/30/15
121 College of Letters & Science - English - Education Teacher Perf. Assessment(EdTPA) test fees	Planned	\$400	\$400	\$400	7/1/14 - 6/30/15
122 College of Letters & Science - English - Cornerstone Press	Planned	\$3,000	\$3,000	\$3,000	7/1/14 - 6/30/15
123 College of Letters & Science - English - non-budgeted adjunct salaries	Obligated	\$48,301	\$48,854	\$48,854	7/1/14 - 6/30/15
124 College of Letters & Science - World Languages - New faculty funds and course innovation	Planned	\$8,025	\$8,025	\$9,245	7/1/14 - 6/30/15
125 College of Letters & Science - - Geography - New faculty funds	Planned	\$6,010	\$6,010	\$6,010	7/1/14 - 6/30/15
126 College of Letters & Science - Geography - non-budgeted adjunct salaries	Obligated	\$11,030	\$22,818	\$22,818	7/1/14 - 6/30/15
127 College of Letters & Science - History - New faculty funds	Planned	\$14,490	\$14,490	\$14,490	7/1/14 - 6/30/15
128 College of Letters & Science - History - The Best Land grant and For Blood or for Glory grant	Planned	\$10,000	\$10,000	\$10,000	7/1/14 - 6/30/15
129 College of Letters & Science - History - non-budgeted adjunct salaries	Obligated	\$44,186	\$44,186	\$44,186	7/1/14 - 6/30/15
130 College of Letters & Science - Psychology - new faculty funds	Planned	\$24,658	\$24,658	\$24,658	7/1/14 - 6/30/15
131 College of Letters & Science - Psychology - non-budgeted adjunct salaries	Obligated	\$24,009	\$24,009	\$27,650	7/1/14 - 6/30/15
132 College of Letters & Science - Psychology - WI Teaching Fellow	Obligated	\$4,892	\$4,892	\$4,892	7/1/14 - 6/30/15
133 College of Letters & Science - Sociology - New faculty funds	Planned	\$6,202	\$6,202	\$6,202	7/1/14 - 6/30/15
134 College of Letters & Science - Sociology - Univ Prof Dvlpmt Committee (UPDC) Grant - Bhutanese Refugees	Planned	\$3,697	\$3,697	\$3,697	7/1/14 - 6/30/15

135 College of Letters & Science - Sociology - non-budgeted adjunct salaries	Obligated	\$12,395	\$18,551	\$18,551	7/1/14 - 6/30/15
136 College of Letters & Science - Math - non-budgeted adjunct salaries	Obligated	\$7,174	\$14,827	\$14,827	7/1/14 - 6/30/15
137 College of Letters & Science - Computing & New Media Technology - non-budgeted adjunct salaries	Obligated	\$57,060	\$65,363	\$65,363	7/1/14 - 6/30/15
138 College of Letters & Science - Philosophy - Whitmore Institute for the Research in the Humanities fellowship	Obligated	\$5,000	\$5,000	\$5,000	7/1/14 - 6/30/15
139 College of Letters & Science - Philosophy - new faculty funds	Planned	\$7,839	\$7,839	\$7,839	7/1/14 - 6/30/15
140 College of Letters & Science - Philosophy - non-budgeted adjunct salaries	Obligated	\$9,352	\$37,639	\$37,639	7/1/14 - 6/30/15
141 College of Letters & Science - Anthropology - non-budgeted adjunct salaries	Obligated	\$2,407	\$38,641	\$38,641	7/1/14 - 6/30/15
142 College of Letters & Science - Anthropology - New faculty funds	Planned	\$7,346	\$7,346	\$7,346	7/1/14 - 6/30/15
143 College of Letters & Science - Physics - Kitt Peak membership	Planned	\$3,000	\$3,000	\$3,000	7/1/14 - 6/30/15
144 College of Letters & Science - Physics - New faculty funds	Planned	\$2,379	\$2,379	\$2,379	7/1/14 - 6/30/15
145 College of Letters & Science - Physics - FY14 ARG-Wi-TAG Match Grant	Obligated	\$3,612	\$3,612	\$3,612	7/1/14 - 6/30/15
146 College of Letters & Science - Physics/Astronomy - non-budgeted adjunct salaries	Obligated	\$47,844	\$81,247	\$81,247	7/1/14 - 6/30/15
147 College of Letters & Science - Political Science - non-budgeted adjunct salaries	Obligated	\$19,795	\$33,071	\$33,071	7/1/14 - 6/30/15
148 College of Letters & Science - Biology - Economic Development grant subcontract amount	Obligated	\$339,000	\$339,000	\$339,000	7/1/14 - 6/30/15
149 College of Letters & Science - Biology - Economic Development grant	Planned	\$22,500	\$22,500	\$22,500	7/1/14 - 6/30/15
150 College of Professional Studies - Health Care Professions - develop Doctor of Physical Therapy program	Planned	\$97,740	\$567,500	\$567,500	7/1/14 - 6/30/18
151 College of Professional Studies - School of Business & Econ - develop MBA	Planned	\$78,000	\$689,500	\$689,500	7/1/15 - 6/30/20
152 College of Professional Studies - School of Education - develop Ed.D program	Planned	\$56,000	\$774,500	\$774,500	7/1/14 - 6/30/18
153 College of Professional Studies - Dean Prof Studies Inst Improvements - CPS 110D office workstation	Planned	\$2,307	\$2,307	\$2,307	7/1/14 - 6/30/15

154 College of Professional Studies - Dean Prof Studies Inst Improvements - Health Enhancement Ctr Broadhagen/Counsell-Falkingham facility upgrade	Obligated	\$100,000	\$100,000	\$100,000	7/1/14 - 6/30/15
155 College of Professional Studies - Dean Prof Studies Inst Improvements - Transfer for addtl August coach pay-unbudgeted wage	Obligated	\$10,960	\$10,960	\$10,960	7/1/14 - 6/30/15
156 College of Professional Studies - Dean Prof Studies Inst Improvements - unbudgeted wages for graduate assistants and softball summer wages	Obligated	\$35,000	\$35,000	\$35,000	7/1/14 - 6/30/15
157 College of Professional Studies - Dean Prof Studies Inst Improvements - digital measures for School of Business & Econ activity insight	Obligated	\$5,738	\$5,738	\$5,738	7/1/14 - 6/30/15
158 College of Professional Studies - Dean Prof Studies Inst Improvements - Dean to clear negative balance in GPR carryover process	Planned	\$37,665	\$37,665	\$37,665	7/1/14 - 6/30/15
159 College of Professional Studies (CPS) - Dean Prof Studies Inst Improvements - obligated summer wages for CPS Cont Ed	Obligated	\$59,298	\$59,298	\$59,298	7/1/14 - 6/30/15
160 College of Professional Studies - Communicative Disorders/Audiology - unbudgeted Communicative Disorders wages	Obligated	\$15,093	\$15,093	\$15,093	7/1/14 - 6/30/15
161 College of Professional Studies - School of Education - UW-System grant award-PK-16 Initiatives	Planned	\$7,110	\$7,110	\$7,110	7/1/14 - 6/30/15
162 College of Professional Studies - School of Education - UW-System grant award-Spec Ed & Diversity Outreach/College of Professional Studies - Continued support	Planned	\$7,856	\$7,856	\$7,856	7/1/14 - 6/30/15
163 College of Professional Studies - School of Education - UW-System grant award-PK-16 TQI Grant	Planned	\$17,134	\$17,134	\$17,134	7/1/14 - 6/30/16
164 College of Professional Studies - Interior Architecture - Community Design Research Studio designcenter startup dollars	Planned	\$5,362	\$5,362	\$5,362	7/1/14 - 6/30/15
165 College of Professional Studies - Dean Prof Studies Inst Improvements - unbudgeted summer wages for School of Ed administration	Obligated	\$6,581	\$6,581	\$6,581	7/1/14 - 6/30/15
166 College of Professional Studies - School of Education - Undergrad Initiative - unbudgeted summer wages for School of Ed administration	Obligated	\$1,000	\$1,000	\$1,000	7/1/14 - 6/30/15

167 College of Professional Studies - Athletics - unbudgeted wage increase for athletic director	Obligated	\$3,000	\$3,000	\$3,000	7/1/14 - 6/30/15
168 College of Professional Studies - Dean - unbudgeted Discret Merit Classified wage increase for Academic Dept Associates	Obligated	\$14,949	\$14,949	\$14,949	7/1/14 - 6/30/15
169 College of Professional Studies - Dean Prof Studies Inst Improvements - teaching partners	Obligated	\$6,000	\$6,000	\$6,000	7/1/14 - 6/30/15
170 College of Professional Studies - Dean - cover costs associated with additional bottleneck courses offered	Obligated	\$5,676	\$5,676	\$5,676	7/1/14 - 12/31/15
171 College of Professional Studies - Dean Prof Studies Inst Improvements - additional Grad Assist dollars for Communicative Disorders	Planned	\$5,000	\$5,000	\$5,000	7/1/14 - 6/30/15
172 College of Professional Studies - Dean - CPS Center for Collaborative and Interactive Technologies	Obligated	\$5,500	\$5,500	\$5,500	7/1/14 - 6/30/15
173 College of Professional Studies - Dean Prof Studies Inst Improvements - delivery of classroom tables was delayed into July	Obligated	\$30,486	\$30,486	\$30,486	7/1/14 - 6/30/15
174 College of Professional Studies - School of Business & Economic - equipment purchases	Obligated	\$2,007	\$2,007	\$2,007	7/1/14 - 6/30/15
175 College of Fine Arts & Comm - Dean - Lower/eliminate College deficits throughout COFAC and reconcile budget shortfalls	Planned	\$77,533	\$126,554	\$126,554	7/1/14 - 6/30/17
176 College of Natural Resources (CNR) - Dean - June '14 Treehaven Summer Session #1 expenses & new faculty library fees, student conferences & faculty travel with students	Planned	\$23,000	\$23,000	\$23,000	7/1/14 - 6/30/15
177 College of Natural Resources - Dean - Signage updates @ Central WI Enviro Station (CWES), Treehaven & Schmeekle (field stations)	Obligated	\$18,102	\$27,000	\$27,000	7/1/14 - 6/30/16
178 College of Natural Resources - Dean - Various College-wide projects (see "projects" detail); ex - moving expenses for new faculty, summer salaries, equipment purchases	Planned	\$28,230	\$28,230	\$28,230	7/1/14 - 6/30/15
179 College of Natural Resources - Internships - June '14 internship program commitments; various College-wide projects (see "projects" detail); ex - summer salaries, professional development, office remodels, equipment	Planned	\$42,405	\$42,405	\$42,405	7/1/14 - 6/30/15
180 College of Natural Resources - CNR Projects - Funds for hiring graduate students to help with research/articles, etc	Planned	\$3,215	\$3,215	\$3,215	7/1/14 - 6/30/16

181 College of Natural Resources - Research Grants - Grants to be used for expenses associated with research support. Some new faculty startup grants	Obligated	\$29,669	\$29,669	\$29,669	7/1/14 - 6/30/16
182 College of Natural Resources - Research Grants - Shoreland Research Grant with UW-Madison; Timeline extended on grant to be finished Summer 2015	Obligated	\$7,327	\$7,327	\$7,327	7/1/14 - 12/31/14
183 College of Natural Resources - WI Center for Enviro Ed - WCEE IT projects and support; office supplies & equipment	Planned	\$9,357	\$9,357	\$9,357	7/1/14 - 6/30/15
184 College of Natural Resources - Schmeeckle - 2014 Excellence Award- will be spent in FY15 (for Professional Development)	Planned	\$5,000	\$5,000	\$5,000	7/1/14 - 6/30/15
185 College of Natural Resources - Water & Environmental Analysis Lab (WEAL) Inorganics - \$2,902 professional development grant for research support	Planned	\$2,902	\$2,902	\$2,902	7/1/14 - 6/30/15
186 College of Natural Resources - CNR Extension - Update "Protecting Wisconsin's Groundwater" website used by county planning and land conservation staff; Professional development related to water quality protection.	Planned	\$5,970	\$5,970	\$5,970	7/1/14 - 6/30/15
187 College of Natural Resources - Paper Science - D279 classroom remodel and rewiring lights	Planned	\$16,300	\$16,300	\$16,300	7/1/14 - 6/30/15
188 College of Natural Resources - Natural Resources - Various College-wide projects (see "projects" detail); ex - summer salaries, professional development, office remodels, equipment	Planned	\$65,024	\$65,024	\$65,024	7/1/14 - 6/30/15
189 College of Natural Resources - Natural Resources - Various College-wide projects (see "projects" detail); ex. - faculty salary incentive commitments, committed new faculty moving expenses	Obligated	\$8,339	\$8,339	\$8,339	7/1/14 - 6/30/15
190 College of Natural Resources - Natural Resources - Carpeting project in Trainor Nat Res building	Obligated	\$12,869	\$12,869	\$12,869	7/1/14 - 12/31/14
191 College of Natural Resources - Natural Resources - FY15 remaining visting lecturer funds (used for Fall '14 semester)	Obligated	\$47,442	\$47,442	\$47,442	7/1/14 - 6/30/15
192 College of Natural Resources - Natural Resources - FY15 remaining visting lecturer funds (to be used in Spring '15 semester)	Planned	\$33,912	\$33,912	\$33,912	7/1/14 - 6/30/15

193 College of Natural Resources - WI Institute for Sustainable Technology (WIST) - Economic Development Grant-Specialty Papers subcontract amount	Obligated	\$6,178	\$6,178	\$6,178	1/1/14 - 6/30/15
194 College of Natural Resources - WI Institute for Sustainable Technology (WIST) - Economic Development Grant-Specialty Papers	Planned	\$920,034	\$920,034	\$920,034	1/1/14 - 6/30/15
195 College of Natural Resources - WI Institute for Sustainable Technology (WIST) - Economic Development Grant-Cellulose Lab subcontract amount	Obligated	\$1,422,677	\$1,422,677	\$1,422,677	1/1/14 - 6/30/15
196 College of Natural Resources - WI Institute for Sustainable Technology (WIST) - Economic Development Grant-Cellulose Lab	Planned	\$1,218,256	\$1,218,256	\$1,218,256	1/1/14 - 6/30/15

Total	\$17,130,656
Obligated	\$4,995,119
Planned	\$12,135,537

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Designated Balance

Totals	\$0
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Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Reserves Balance
197 University-Wide - held for use in case of enrollment decline or change to enrollment mix	\$504,205
198 Student Affairs - various needs throughout the division to deal with unforeseen circumstances	\$21,205
199 Business Affairs - various uses throughout the division to deal with unforeseen needs	\$282,156
200 Academic Affairs - various uses throughout the division as needed	\$90,884
201 College of Letters & Science - various uses throughout the College as needed	\$4,812
202 College of Natural Resources - Emergency Reserve	\$49,639
Totals	\$952,900

Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Undocumented Balance
203 Student Affairs - Diversity and College Access - Recruitment and Retention efforts, undergrad research, student conf., programming, student staffing	\$23,764
204 Student Affairs - University Centers - Employee development activities	\$1,383

205 Student Affairs - Counseling Center - LTE professional consultant	\$13,125
206 Business Affairs - Facilities Planning - LTE Planning Assistance (13.5 wks @ approx. \$30/hr), two professional development conferences	\$19,500
207 Business Affairs - Human Resources - Professional development - College & Univ. Professional Assoc (CUPA) HR conference & Title IX training	\$10,000
208 Business Affairs - Risk Management - Office & Emergency Command Center Upgrade	\$5,161
209 Business Affairs - Facilities Services - Statewide buy of E-150 extended van (to occur in Oct. '15)	\$39,432
210 Academic Affairs - Academic Affairs Office - Amount to help cover grad assistants in 2014-15	\$13,598
211 Academic Affairs - Office of Research & Sponsored Programs - Various grants and awards	\$6,044
212 Academic Affairs - Library/Archives - WiLS (Wisconsin Library Services) Invoice	\$1,568
213 Academic Affairs - Tutoring-Learning Center - Fund student wages/tutoring	\$38,900
214 Academic Affairs - International Programs - To follow through on IP Strategic Plan and increase study abroad advising, as well as provide professional development training for international education teams (those that research and develop new sites)	\$56,848
215 Academic Affairs - Career Services - Retired staff vacation payout	\$18,000
216 Academic Affairs - Disability Services - FM System for low hearing students	\$1,041
217 Academic Affairs - Financial Aid - Lawton Minority Grant	\$3,379
218 Academic Affairs - Office of the Registrar - HVAC replacement project, salary needs, potential purchase of online customer service system, Admissions needs	\$67,844
219 Academic Affairs - Enrollment Management - Office Supplies by 12/1/2014	\$20

220 College of Professional Studies - Dean - college-wide programs and initiatives, Pathways to Entrepreneurial Univ. Conference	\$141,584
221 College of Professional Studies - School of Business & Econ - Equipment	\$1,013
222 College of Natural Resources - Dean - College funded grants to create international partnerships	\$8,501
223 College of Natural Resources - Research Grants - College-wide grant support	\$2,883
224 College of Natural Resources - Central WI Enviro Station - FY15 program support	\$1,738
225 College of Natural Resources - Coop Fisheries - FY15 program support	\$876
226 College of Natural Resources - WI Center for Enviro Education - FY15 general expenses	\$114
227 College of Natural Resources - Schmeeckle - FY15 support of Schmeeckle operations	\$912
228 College of Natural Resources - Water & Enviro Analysis Lab (WEAL) Inorganics - Used in FY15 for College-wide projects	\$5,054
229 College of Natural Resources (CNR) - CNR Extension - FY15 support for operations	\$280
230 College of Natural Resources - Paper Science - Departmental supplies & equipment	\$5,266

Totals

\$487,826

Report on Auxiliary Operations Balance (Funds 128 & 228) in Excess of 12% of Fiscal Year Expenditures

UW-STEVENS POINT

Fiscal Year:

		Percentage (%)
Total Balance	\$13,724,390	32.4%
Obligated		\$2,285,352
Planned		\$9,337,032
<i>subtotal: Obligated & Planned</i>		<i>\$11,622,384</i>
Designated	\$471,868	
Reserves	\$1,270,502	
Undocumented Funds	\$359,636	
Remaining Balance	\$0	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended
1 Executive Offices - Univ. Relations & Communications - Printing Machine	Obligated	\$17,645	\$17,645	\$17,645	7/1/14 - 6/30/15
2 Executive Offices - Univ. Relations & Communications - Paper Purchases	Planned	\$1,200	\$1,200	\$1,200	7/1/14 - 6/30/15
3 Executive Offices - Univ. Relations & Communications - Program Revenue Assessment	Planned	\$28,969	\$28,969	\$28,969	7/1/14 - 6/30/15
4 Student Affairs - Childcare - Internal Loan Repayment	Planned	\$67,000	\$67,000	\$67,000	7/1/14 - 6/30/15
5 Student Affairs - Counseling Center - Segregated Univ Fee Allocation Comm (SUFAC) subsidy shortfall	Planned	\$10,000	\$10,000	\$10,000	7/1/14 - 6/30/16
6 Student Affairs - Counseling Center - Remodel group room	Planned	\$10,000	\$10,000	\$10,000	7/1/14 - 6/30/15
7 Student Affairs - Counseling Center - Technology upgrades (testing center and counseling center)	Planned	\$18,000	\$18,000	\$18,000	7/1/14 - 6/30/16
8 Student Affairs - Dining - Debot Renovation	Planned	\$999,767	\$5,000,000	\$16,848,000	7/1/17 - 6/30/19
9 Student Affairs - Dining - Debt Service for FY15	Obligated	\$114,000	\$114,000	\$114,000	7/1/14 - 6/30/15
10 Student Affairs - Dining - Debot Generator Repair	Obligated	\$2,156	\$2,156	\$2,156	7/1/14 - 6/30/15
11 Student Affairs - Dining - Debt Service for FY16-18	Planned	\$304,537	\$342,000	\$342,000	7/1/15 - 6/30/18
12 Student Affairs - Health Services - Salary and Fringe Benefit Increases	Planned	\$226,300	\$226,300	\$226,300	7/1/14 - 6/30/15
13 Student Affairs - Health Services - Electronic Health Record upgrades and maintenance	Planned	\$26,300	\$26,300	\$26,300	7/1/14 - 6/30/15

14 Student Affairs - Multiple departments - New Health and Wellness Center - costs related to construction and pending move	Planned	\$1,960,234	\$41,763,000	\$42,000,000	7/1/14 - 6/30/17
15 Student Affairs - Health Services - Operating table	Planned	\$6,000	\$6,000	\$6,000	7/1/14 - 6/30/15
16 Student Affairs - Health Services - Laboratory - microscope	Planned	\$5,000	\$5,000	\$5,000	7/1/14 - 6/30/16
17 Student Affairs - Health Services - Laboratory - chemistry analyzer	Planned	\$22,000	\$22,000	\$22,000	7/1/14 - 6/30/15
18 Student Affairs - Health Services - IT equipment - workstation replacement and upgrades	Planned	\$26,000	\$26,000	\$26,000	7/1/14 - 6/30/15
19 Student Affairs - Health Services - Procedure table	Planned	\$13,000	\$13,000	\$13,000	7/1/14 - 6/30/16
20 Student Affairs - Health Services - Dermoscope	Planned	\$600	\$600	\$600	7/1/14 - 6/30/15
21 Student Affairs - Health Services - Colposcope	Planned	\$7,500	\$7,500	\$7,500	7/1/14 - 6/30/16
22 Student Affairs - Health Services - After hours Nurse Line	Planned	\$10,000	\$10,000	\$10,000	7/1/14 - 6/30/15
23 Student Affairs - Health Services - Office furniture	Planned	\$10,000	\$10,000	\$10,000	7/1/14 - 6/30/15
24 Student Affairs - Health Services - CPR instructor materials	Planned	\$3,000	\$3,000	\$3,000	7/1/14 - 6/30/15
25 Student Affairs - Residential Living - New renovations to existing residence halls, salaries, utilities	Planned	\$900,000	\$900,000	\$900,000	7/1/14 - 6/30/15
26 Student Affairs - Student Gov - Green Fund projects (103385)	Planned	\$39,083	\$39,083	\$39,083	7/1/14 - 6/30/15
27 Student Affairs - Student Gov - Student Gov Assoc (SGA) Staffer (new position)	Obligated	\$27,000	\$27,000	\$27,000	7/1/14 - 6/30/15
28 Student Affairs - Student Gov - Intramural Fields - Debt Service - FY15	Obligated	\$6,500	\$6,500	\$6,500	7/1/14 - 6/30/15
29 Student Affairs - Student Gov - Intramural Fields - Debt Service - FY16-19	Planned	\$12,500	\$12,500	\$12,500	7/1/15 - 6/30/19
30 Student Affairs - Student Gov - will be used to keep seg fee rate increase for FY15 at 1%	Planned	\$67,500	\$67,500	\$67,500	7/1/14 - 6/30/18
31 Student Affairs - Student Gov - Readership Program	Obligated	\$7,500	\$7,500	\$11,161	7/1/14 - 6/30/16
32 Student Affairs - Student Gov - Tutor Learning Center Operating Budget	Obligated	\$26,000	\$26,000	\$26,000	7/1/14 - 6/30/16
33 Student Affairs - Student Gov - Recreational Sports Carryover	Planned	\$26,000	\$26,000	\$26,000	7/1/14 - 6/30/19
34 Student Affairs - Student Gov - Re-branding of Intramural signs and staff uniforms with updated logo	Planned	\$2,040	\$2,040	\$2,040	7/1/14 - 6/30/15
35 Student Affairs - Student Gov - Student organization handbooks; replacement of air conditioner; increased summer staffing	Obligated	\$6,168	\$6,168	\$6,168	7/1/14 - 6/30/17
36 Student Affairs - Student Affairs Office - Alcohol & Other Drug Abuse (AODA) Counselor (new position)	Obligated	\$153,000	\$153,000	\$153,000	7/1/14 - 6/30/17
37 Student Affairs - Student Affairs Office - Maxient Software Purchase	Obligated	\$4,635	\$4,635	\$4,635	7/1/14 - 6/30/15
38 Student Affairs - Student Affairs Office - Dean of Students Case Manager (new position)	Planned	\$154,754	\$154,754	\$154,754	7/1/14 - 6/30/17
39 Student Affairs - Textbook Rental - Course code pilot program	Obligated	\$318,000	\$318,000	\$318,000	7/1/14 - 6/30/15
40 Student Affairs - Textbook Rental - Payment of Invoices for Fall Textbooks	Planned	\$427,501	\$427,501	\$427,501	7/1/14 - 6/30/15
41 Student Affairs - University Center - Office furniture	Planned	\$22,569	\$22,569	\$22,569	7/1/14 - 6/30/15
42 Student Affairs - University Center - Ferber Lounge furniture	Planned	\$35,000	\$35,000	\$35,000	7/1/14 - 6/30/15
43 Student Affairs - University Center - Sound system	Planned	\$45,000	\$45,000	\$45,000	7/1/14 - 6/30/15

44 Student Affairs - University Center - Painting Lower Level	Planned	\$75,000	\$75,000	\$75,000	7/1/14 - 6/30/15
45 Student Affairs - Student Involvement and Employment Office - PR Assessment Charge	Planned	\$2,700	\$2,700	\$2,700	7/1/14 - 6/30/15
46 Student Affairs - University Center - Debt Service FY15	Obligated	\$497,199	\$497,199	\$2,016,060	7/1/14 - 6/30/15
47 Business Affairs - Business Affairs Office - Copier Replacement/new furniture on second floor of Old Main & new building stair treads	Planned	\$35,343	\$35,343	\$35,343	7/1/14 - 6/30/16
48 Business Affairs - Business Affairs Office - Search and screen for new Human Resources Director	Planned	\$3,052	\$5,000	\$5,000	7/1/14 - 12/31/14
49 Business Affairs - Business Affairs Office - Auxiliary Bdgt and Policy Analyst position salary & benefits	Planned	\$22,541	\$76,000	\$76,000	7/1/14 - 6/30/15
50 Business Affairs - Risk Management - UWSP Safety & Wellness Fair	Planned	\$121	\$600	\$600	7/1/14 - 6/30/15
51 Business Affairs - Facilities Services - Program Revenue Assesment-Revenue 10%	Planned	\$36,448	\$36,448	\$36,448	7/1/14 - 12/31/14
52 Business Affairs - Facilities Services - RSM & Associates	Obligated	\$20,978	\$20,978	\$20,978	7/1/14 - 12/31/14
53 Business Affairs - Facilities Services - Mid Wis Flooring	Obligated	\$17,558	\$17,558	\$17,558	7/1/14 - 12/31/14
54 Business Affairs - Facilities Services - Info. Technology-web TMA Technology 40 Ipad's	Planned	\$29,200	\$29,200	\$29,200	7/1/14 - 12/31/14
55 Business Affairs - Facilities Services - Info. Technology-TMA training laptops 5	Planned	\$6,455	\$6,455	\$6,455	7/1/14 - 12/31/14
55 Business Affairs - Facilities Services -Campus Painting Improvement	Planned	\$49,710	\$49,710	\$49,710	7/1/14 - 6/30/15
56 Business Affairs - Facilities Services - Total Electric	Planned	\$36,110	\$36,110	\$36,110	7/1/14 - 6/30/15
57 Business Affairs - Facilities Services - Energy Conservation Performance Contract-Johnson Control	Planned	\$83,750	\$83,750	\$83,750	7/1/14 - 6/30/15
58 Business Affairs - Facilities Services - Topographic Campus-Point of Beginning	Planned	\$85,000	\$85,000	\$85,000	7/1/14 - 6/30/15
59 Business Affairs - Facilities Services - Staff Discr Merit Compensation & Living Wage and Equity Adjustments	Obligated	\$56,201	\$56,201	\$56,201	7/1/14 - 6/30/15
60 Business Affairs - Facilities Services - TMA Software	Planned	\$33,310	\$33,310	\$33,310	7/1/14 - 12/31/14
61 Business Affairs - Facilities Services - Campus Generator Project	Obligated	\$14,952	\$15,000	\$15,000	7/1/14 - 12/31/14
62 Business Affairs - Facilities Services - Campus Tobacco Free	Obligated	\$4,713	\$5,000	\$5,000	7/1/14 - 12/31/14
63 Business Affairs - Facilities Services - Professional Services-TMA Consulting	Planned	\$21,000	\$21,000	\$21,000	7/1/14 - 12/31/14
64 Business Affairs - Facilities Services - Walden-Wisconsin Lift Truck Pending Heating Plant Future and Dept Facility Devlpmt's approval	Planned	\$77,978	\$77,978	\$77,978	7/1/14 - 12/31/14
65 Business Affairs - Facilities Services - to support and cover expenses in the Mail/Bulk Mail along with campus improvements not funded by Dept of Facilities Devlpmt	Planned	\$69,288	\$69,288	\$69,288	7/1/14 - 6/30/15
66 Business Affairs - Financial Operations - Copier replacement and new computers/furniture	Planned	\$24,142	\$25,000	\$25,000	7/1/14 - 6/30/15
67 Business Affairs - Financial Operations - Hire an LTE to catch up on financial reconciliations	Planned	\$64,800	\$64,800	\$64,800	7/1/14 - 6/30/16
68 Business Affairs - Financial Operations - Hire a student worker to assist in the financial reconciliations	Planned	\$34,000	\$34,000	\$34,000	7/1/14 - 6/30/16
69 Business Affairs - Financial Operations - held for when the Purchasing Director retires; vacation payout	Planned	\$75,000	\$75,000	\$75,000	7/1/14 - 6/30/15

70 Business Affairs - Bursar/Accts Receivable - Student refunds to be paid after fiscal year-end	Obligated	\$170,270	\$170,270	\$170,270	7/1/14 - 12/31/14
71 Business Affairs - Bursar/Accts Receivable - Student scholarships to be paid from banking contract revenue	Planned	\$229,505	\$229,505	\$229,505	7/1/14 - 6/30/18
72 Business Affairs - Bursar/Accts Receivable - Cover 2012-13 deficit created in UWSP extramural fringe benefit pool	Planned	\$200,000	\$342,500	\$342,500	7/1/14 - 6/30/15
73 Business Affairs - Bursar/Accts Receivable - Relocation/remodel of Bursar/Accts Rec complex	Planned	\$93,034	\$100,000	\$100,000	7/1/14 - 6/30/15
74 Academic Affairs - International Programs - Clearing Accounts	Obligated	\$332,298	\$332,298	\$332,298	7/1/14 - 6/30/15
75 Academic Affairs - International Programs - Application Processing Fees	Obligated	\$20,023	\$20,023	\$20,023	7/1/14 - 6/30/15
76 Academic Affairs - International Programs - Scholarships	Planned	\$53,601	\$53,601	\$53,601	7/1/14 - 6/30/18
77 Academic Affairs - International Programs - Jagiellonian Scholar Exchange Fund	Obligated	\$34,607	\$34,607	\$34,607	7/1/14 - 6/30/17
78 Academic Affairs - International Programs - Study Abroad Program Accounts (revenue for specific trip accounts from which expenses must still be paid)	Obligated	\$105,046	\$105,046	\$105,046	7/1/14 - 6/30/16
79 Academic Affairs - International Programs - Student Exchanges	Obligated	\$17,373	\$17,373	\$17,373	7/1/14 - 6/30/20
80 Academic Affairs - Library/Archives - Replace furniture in classroom LRC 107 & 310	Obligated	\$20,988	\$20,988	\$20,988	7/1/14 - 12/31/14
81 Academic Affairs - Library/Archives - Replace work furniture in the Library Lobby	Obligated	\$18,012	\$18,012	\$18,012	7/1/14 - 12/31/14
82 Academic Affairs - Advising - Prior Learning Assessment Activities	Planned	\$880	\$880	\$880	7/1/14 - 6/30/15
83 Academic Affairs - Career Services - Office furniture	Planned	\$6,000	\$6,000	\$6,000	7/1/14 - 6/30/15
84 Academic Affairs - Career Services - Replenish stock of career Assessment Instruments	Planned	\$3,500	\$3,500	\$3,500	7/1/14 - 6/30/15
85 Academic Affairs - Career Services - Cover NCS Pearson Fee cost	Planned	\$225	\$225	\$225	7/1/14 - 6/30/15
86 Academic Affairs - Career Services - Career Planning Guide	Planned	\$3,400	\$3,400	\$3,400	7/1/14 - 6/30/15
87 Academic Affairs - Career Services - Marketing materials	Planned	\$1,250	\$1,250	\$1,250	7/1/14 - 6/30/15
88 Academic Affairs - Office of the Registrar - Student Services Center Building Remodeling Phase I - Vestibule/Lobby	Planned	\$41,000	\$41,000	\$41,000	7/1/14 - 12/31/14
89 Academic Affairs - Office of the Registrar - Student Services Center - Building Remodeling Phase II - Hallway/West Entrance	Planned	\$33,230	\$33,230	\$33,230	7/1/14 - 6/30/15
90 Academic Affairs - Office of the Registrar - Office of the Registrar - Redesign and renovation to add 3-4 offices	Planned	\$50,150	\$50,150	\$50,150	7/1/14 - 6/30/15
91 Academic Affairs - Office of the Registrar - HVAC Project for Registrar (part of the cost)	Planned	\$16,404	\$30,000	\$30,000	7/1/14 - 6/30/15
92 Academic Affairs - Office of the Registrar - Program Revenue Assesment 5% of revenue	Planned	\$3,650	\$3,650	\$3,650	7/1/14 - 6/30/15
93 Academic Affairs - Office of the Registrar - Informer Annual Maintenance Expense	Obligated	\$4,320	\$4,320	\$4,320	7/1/14 - 6/30/15
94 Academic Affairs - Office of the Registrar - Student Services Center Building Remodel Phase III	Planned	\$35,430	\$35,430	\$35,430	7/1/14 - 12/31/15

95 Academic Affairs - Office of the Registrar - Acalog Catalog System Support	Obligated	\$10,314	\$10,314	\$10,314	7/1/14 - 6/30/15
96 Info Tech - UPS replacement in 2nd data center located in Student Services Center	Planned	\$21,992	\$21,992	\$21,992	7/1/14 - 12/31/14
97 Info Tech - Campus fiber expansion project	Obligated	\$54,700	\$54,700	\$54,700	7/1/14 - 12/31/14
98 Info Tech - Contract work for AP installations	Obligated	\$21,100	\$21,100	\$21,100	7/1/14 - 12/31/14
99 Info Tech - Reception desk for new InfoTech Service Center	Planned	\$7,723	\$7,723	\$7,723	7/1/14 - 12/31/14
100 Info Tech - PBX Upgrade - Replacement	Planned	\$400,000	\$400,000	\$400,000	7/1/14 - 6/30/15
101 Info Tech - Wireless Upgrade for Residence Halls with management s/w h/w	Planned	\$100,000	\$100,000	\$100,000	7/1/14 - 12/31/14
102 College of Letters & Science - Collaborative Degree Program - Geographic Information Systems Revenue Share (to GIS Center)	Planned	\$19,301	\$19,301	\$19,301	7/1/14 - 12/31/14
103 College of Letters & Science - Collaborative Degree Program - Geographic Information Systems IS Revenue Share (to Treehaven)	Planned	\$2,595	\$2,595	\$2,595	7/1/14 - 12/31/14
104 College of Letters & Science -Collaborative Degree Program - Program expenses	Obligated	\$10,448	\$10,448	\$10,448	7/1/14 - 12/31/14
105 College of Letters & Science - Biology - Student Research Fund Awards	Planned	\$1,901	\$1,901	\$1,901	7/1/14 - 6/30/15
106 College of Letters & Science -Psychology - Student Research Fund Awards	Planned	\$3,092	\$3,092	\$3,092	7/1/14-6/30/15
107 College of Professional Studies - Dean - develop Doc Physical Therapy	Planned	\$280,899	\$567,500	\$567,500	7/1/14 - 6/30/18
108 College of Professional Studies - Dean - develop MBA	Planned	\$22,000	\$689,500	\$689,500	7/1/15 - 6/30/20
109 College of Professional Studies - Dean - develop Ed.D	Planned	\$150,000	\$774,500	\$774,500	7/1/14 - 6/30/18
110 College of Professional Studies - Dean - Course Program Development and Outreach	Planned	\$63,503	\$63,503	\$63,503	7/1/14 - 6/30/15
111 College of Professional Studies - Dean -Course Program Development and Outreach - planned advertising	Planned	\$5,233	\$5,233	\$5,233	7/1/14 - 6/30/15
112 College of Professional Studies - Communicative Disorders Speech & Hearing Clinic - wages	Planned	\$27,694	\$27,694	\$27,694	7/1/14 - 6/30/15
113 College of Professional Studies - Communicative Disorders Speech & Hearing Clinic - student fee account invoice to Calipso	Obligated	\$2,805	\$2,805	\$2,805	7/1/14 - 12/31/14
114 College of Professional Studies - School of Education - supervision fees, field trip fees, tech fee	Planned	\$39,300	\$39,300	\$39,300	7/1/14-6/30/15
115 College of Professional Studies - Interior Architecture - Ricoh Machine purchase	Planned	\$10,721	\$10,721	\$10,721	7/1/14-6/30/15
116 College of Professional Studies - Health Care Professions - student course fee accounts	Planned	\$52	\$52	\$52	7/1/14 - 12/31/15
117 College of Professional Studies - Military Science - field trip and military ball	Planned	\$903	\$903	\$903	7/1/14 - 6/30/15
118 College of Professional Studies - Athletics - track equipment	Planned	\$24,100	\$24,100	\$24,100	7/1/14 - 6/30/15
119 College of Professional Studies - Physical Education & Athletic Training - Athletics/strength coach	Planned	\$33,654	\$33,654	\$33,654	7/1/14 - 6/30/15

120 College of Natural Resources - College of Natural Resources Projects - Undergraduate Research, Scholarship and Creative Activity Summer Grants Program - student grant	Obligated	\$4,247	\$4,247	\$4,247	7/1/14 - 12/31/14
121 College of Natural Resources - Global Environmental Management (GEM) - These funds are committed to pay individual's salary when he returns from medical leave.	Planned	\$34,846	\$34,846	\$34,846	7/1/14 - 6/30/16
122 College of Natural Resources - WI Center for Enviro Ed - Graduate student assistantship and related pending charges	Obligated	\$34,992	\$34,992	\$34,992	7/1/14 - 6/30/16
123 College of Natural Resources - WI Center for Enviro Ed - Student scholarships	Planned	\$10,000	\$10,000	\$10,000	7/1/14 - 6/30/15
124 College of Natural Resources - Water & Environmental Analysis LAB Inorganics (WEAL) - The actual costs of watershed outreach projects (Wisconsin River project, Waushara Lakes Project, Marathon County Lakes projects, other) are billed in other accounts before being billed to the Watershed account. Expenses from projects will be billed against this balance.	Planned	\$22,556	\$22,556	\$22,556	7/1/14 - 6/30/15
125 College of Natural Resources - Groundwater Center - Planned 2014-2015 expense purchase for new truck.	Planned	\$24,562	\$24,562	\$24,562	7/1/14 - 6/30/15
126 College of Natural Resources - Treehaven - FY15 estimated Treehaven debt service costs	Obligated	\$129,604	\$129,604	\$129,604	7/1/14 - 6/30/15
127 College of Natural Resources - Treehaven - FY16 estimated Treehaven debt service costs	Planned	\$8,388	\$93,793	\$93,793	7/1/15 - 6/30/16
128 Various Units - Held for the implementation of the new Student Information System	Planned	\$898,455	\$4,000,000	\$4,000,000	7/1/14 - 6/30/15

Total	\$11,622,384
Obligated	\$2,285,352
Planned	\$9,337,032

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Designated Balance
129 Student Affairs - Student Gov. - Segregated fee funds for professional development, student organization funds, reserves	\$372,380
130 Student Affairs - Health Services - Segregated fee funds for treatment tables	\$11,207
131 Student Affairs - Counseling Center - Testing fee revenues for conference on group therapy and unexpected contingencies	\$34,980
132 College of Natural Resources - Dean - Seg fees received for Treehaven	\$53,301
Totals	\$471,868

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Reserves Balance
133 University-Wide - reserves for held for any unplanned emergency in Program Revenue areas across campus	\$218,254
134 Executive Offices - held for reserve in Printing & Design in case of equipment repairs/failure & additional staffing needs	\$54,907
135 Student Affairs - various needs throughout the division to deal with unforeseen circumstances	\$566,075
136 Business Affairs - various uses throughout the division to deal with unforeseen needs	\$56,408
137 Academic Affairs - various uses throughout the division as needed	\$150,890
138 Info Tech - unplanned emergency hardware needs and staff supporting legacy Student Information System (SIS)	\$93,855
139 College of Letters & Science - various unforeseen needs throughout the College	\$1,640
140 College of Natural Resources - Emergency Reserves	\$128,473
Totals	\$1,270,502

Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Undocumented Balance
141 Executive Offices - Univ. Relations & Communications - Increased student payroll	\$5,000
142 Foundation/Development - Alumni Misc Event Expenses	\$499
143 Student Affairs - Student Involvement and Employment Office - various expenses	\$51,968
144 Business Affairs - Facilities Services - Energy Conservation Performance	\$10,714
145 College of Letters & Science - Geology - shortage in field trip account; special course fee expenditures	\$5,287
146 College of Letters & Science - Math - Special Course Fee Expenditures	\$5,663
147 College of Letters & Science - Biology - Special Course Fee Expenditures	\$2,961
148 College of Professional Studies - Communicative Disorders Speech & Hearing Clinic - Summer clinical staff and classified wages	\$31,037
149 College of Professional Studies - School of Education - summer grants & faculty development	\$29,300
150 College of Professional Studies - Physical Education & Athletic Training - student workers, summer employees, strength center, athletic training rehabilitation, Pathways to Point, vending needs, maintenance	\$47,975
151 College of Professional Studies - School of Business & Econ - summer wages	\$17,736
152 College of Professional Studies - Dean - Course development	\$6,900
153 College of Professional Studies - Athletics - track equipment	\$24,000
154 College of Professional Studies - Health Promotion and Human Development - special course fees	\$8,315
155 College of Fine Arts & Communication - Art - Course fees	\$443
156 College of Fine Arts & Communication - Communication - Course fees	\$193
157 College of Natural Resources - Course Fees - Course fees not covered by tuition	\$98,566
158 College of Natural Resources - Research Grants - Funds for supplies, travel and student related research expenses	\$6,595
159 College of Natural Resources - Natural Resources - Waste Management related projects	\$6,482
Totals	\$359,636

Report on General Operations (Fund 136) Balance in Excess of 12% of Fiscal Year Expenditures**UW-STEVENS POINT****Fiscal Year:**

		Percentage (%)
Total Balance	\$3,010,888	38.9%
Obligated		\$326,694
Planned		\$1,033,595
<i>subtotal: Obligated & Planned</i>		<i>\$1,360,289</i>
Designated	\$1,323,374	
Reserves	\$327,226	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended
1 Student Affairs - University Centers - Roll Over Ticket Sales Account for Off Campus Clients	Obligated	\$1,643	\$1,643	\$1,643	7/1/14 - 12/31/14
2 Student Affairs - Diversity & College Access - Portage County Cultural Fest Promotion 2014	Planned	\$667	\$667	\$667	7/1/14 - 12/31/14
3 Academic Affairs - Academic Affairs Office - Academic Affairs is creating a new Center for Inclusive Learning in fall 2014. This professional development center will house a number of new and existing functions related to teaching, learning, advising, diversity, and professional development for faculty and staff. As a result, it will require a substantial operating budget. Although we have identified the base budget for a director of the center, we have yet to secure resources of its ongoing operation. Therefore, we are collecting enough one-time funding from various sources to serve as start-up funding until we can build a permanent budget, a process likely to take at least two years. Funding in both 102 and 136 accounts will support salaries for staff, course releases, stipends, and grants for faculty and staff, and other resources, equipment, and facilities for the center's operation.	Planned	\$5,830	\$200,000	\$200,000	7/1/14 - 6/30/18
4 Academic Affairs - Enrollment Management - Printers for Enrollment Services	Obligated	\$454	\$454	\$454	7/1/14 - 12/31/14
5 Info Tech - Tablet project for faculty	Planned	\$11,778	\$11,778	\$11,778	7/1/14 - 12/31/14

6 College of Letters & Science - World Languages - Holding account- -will pay program expenses	Planned	\$30,039	\$30,039	\$30,039	7/1/14 - 6/30/18
7 College of Letters & Science - Dean - Class/lab modernization awards FY15	Planned	\$96,775	\$96,775	\$96,775	7/1/14 - 12/31/14
8 College of Letters & Science - Math - FY15 and FY16 start up requests	Planned	\$25,000	\$25,000	\$25,000	7/1/14 - 6/30/16
9 College of Letters & Science - Math - Tutoring and Learning Center funding, faculty travel, MAPPS (Math and Parent	Planned	\$30,000	\$30,000	\$30,000	7/1/14 - 6/30/15
10 College of Letters & Science - Biology - Cost to continue Atlantic Salmon Service Agreement	Obligated	\$35,297	\$35,297	\$35,297	7/1/14 - 6/30/15
11 College of Letters & Science - Chemistry - New equipment for new Chemistry/Biology building and to fund faculty development and new faculty start-up requests	Planned	\$50,000	\$50,000	\$50,000	7/1/14 - 6/30/15
12 College of Letters & Science - Dean - Copier for Fourth Floor CCC	Planned	\$10,000	\$10,000	\$10,000	7/1/14 - 6/30/15
13 College of Letters & Science - Dean - FY16 Class/Lab Modernization (CE)	Planned	\$19,000	\$19,000	\$19,000	7/1/14 - 6/30/15
14 College of Professional Studies - Dean - Mid State Remodel \$500,000-\$2,000,000	Planned	\$50,000	\$2,000,000	\$2,000,000	7/1/14 - 6/30/16
15 College of Professional Studies - Dean - Continuing Education - Golf Outing 2014 (Sponsors 6/23/14)	Obligated	\$50	\$50	\$50	7/1/14 - 12/31/14
16 College of Professional Studies - Continuing Education - Advertising	Planned	\$5,233	\$5,233	\$5,233	7/1/14 - 6/30/15
17 College of Professional Studies - Health Care Professions-faculty development in the CCIT (Center for Collaborative & Interactive Technologies)	Obligated	\$1,200	\$1,200	\$1,200	7/1/14 - 6/30/15
18 College of Natural Resources - Dean - CNR Land Management - Fund for any property taxes, maintenance, and various upkeep on the CNR properties. >\$20K in known expenses in FY15; most spent in FY15, the rest by FY17	Planned	\$31,803	\$31,803	\$31,803	7/1/14 - 6/30/17
19 College of Natural Resources - Dean - CNR Photo copies - for replacing CNR building duplicating equipment on a 10 to 12 year schedule (3 photocopiers, 3 duplicopiers, 2 collators). It also pays for all duplicating supply and maintenance cost.	Planned	\$13,444	\$100,000	\$100,000	7/1/14 - 6/30/20
20 College of Natural Resources - CNR Projects - Soils Lab equipment/supplies for testing and research (from former faculty member's account that is being spent down to \$0) and annual inventory costs (\$20,000-30,000 a year) for Groundwater Model operations	Planned	\$46,000	\$100,000	\$100,000	7/1/14 - 6/30/17
21 College of Natural Resources - Becoming an Outdoor Woman (BOW) - Overload payment for an upcoming April '15 prairie chicken viewing project and repairs to blinds that need fixing	Planned	\$5,999	\$5,999	\$5,999	7/1/14 - 6/30/16
22 College of Natural Resources - Global Environmental Management (GEM) - These funds are committed to pay individual's salary when he returns from medical leave.	Planned	\$15,245	\$15,245	\$15,245	7/1/14 - 6/30/15
23 College of Natural Resources - WI Center for Environmental Education (WCEE) - New staff salaries and graduate assistantships	Obligated	\$50,750	\$50,750	\$50,750	7/1/14 - 6/30/16
24 College of Natural Resources - Central Wisconsin Environmental Station (CWES) - Truck Loan	Planned	\$8,000	\$40,000	\$40,000	7/1/14 - 6/30/18

25 College of Natural Resources - Central Wisconsin Environmental Station (CWES) - CNR/Margaret A Cargill Foundation partnership for log cabin and shower building project	Obligated	\$169,949	\$200,000	\$200,000	7/1/14 - 6/30/18
26 College of Natural Resources - Schmeckle - Project materials, overloads for IL Rte 66 project; \$10K for Project Assistant	Obligated	\$67,352	\$67,352	\$67,352	7/1/14 - 6/30/15
27 College of Natural Resources - Water & Environmental Analysis Lab (WEAL) - Planned 2014-15 lab expansion, possibly room TNR 162 - \$40,000. Planned lab expansion following construction of new science building - \$200,000 (FY19-20).	Planned	\$240,000	\$240,000	\$240,000	7/1/14 - 6/30/20
28 College of Natural Resources - Water & Environmental Analysis Lab (WEAL) - Truck purchase	Planned	\$8,000	\$20,000	\$20,000	7/1/14 - 6/30/15
29 College of Natural Resources - CNR Extension - Student summer employment and Clean Boats, Clean Waters supplies for FY15	Planned	\$37,304	\$40,000	\$40,000	7/1/14 - 6/30/15
30 College of Natural Resources - Groundwater Center - Funds are used to support water quality outreach and short courses with expenses and course fee revenue.	Planned	\$3,301	\$3,301	\$3,301	7/1/14 - 6/30/15
31 College of Natural Resources - WI Institute for Sustainable Technology - Funds intended to provide salary and fringe for WIST core staff upon expiry of WIST federal start-up funds in August 2015. The self-supporting deliverable was written into both WIST USDA grants	Planned	\$263,620	\$263,620	\$263,620	7/1/15 - 6/30/20
32 College of Natural Resources - WI Institute for Sustainable Technology - To be used to support Educational Offerings to business.	Planned	\$13,123	\$13,123	\$13,123	7/1/15 - 6/30/20
33 College of Natural Resources - Natural Resources - LTE salaries, Professional Development, and Course Dev Grants in CNR Continuing Education	Planned	\$13,433	\$15,000	\$15,000	7/1/14 - 6/30/15

Total	\$1,360,289
Obligated	\$326,694
Planned	\$1,033,595

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Designated Balance
34 Student Affairs - Diversity and College Access - testing fees, vending income, and sale of materials revenue for: Portage County Cultural Festival 2015; CLEP Test Marketing; Student travel upward bound and AISES	\$3,679
35 Student Affairs - Student Involvement and Employment Office - vending income for: volunteer program	\$346
36 Academic Affairs - Tutoring-Learning Center - remedial revenue and charges for services for: student wages for content tutoring	\$32,101

37 Business Affairs - Central campus stores inventory and various clearing accounts (inventory will always be negative and clearing accounts may be depending on timing)	-\$366,694
38 College of Letters & Science - Biology - Sale of materials and special course fee revenue for: Cost to continue Northern Aquaculture Demo Facility; equipment for new Science building	\$8,455
39 College of Letters & Science - Geography - Sale of materials, special course fee, workshop/event, and Continuing Education revenue for: Cost to continue GIS Center (salary and administrative costs); equipment purchases (software, hardware, classroom/laboratory renovations); faculty support; Cartography	\$67,483
40 College of Letters & Science - Chemistry - Special course fee, lab manuals sales, and external demonstration revenue for: New	\$22,331
41 College of Letters & Science - English - Sales of material revenue for: Support for new faculty (FY 15 to FY 18); maintain editing and	\$29,738
42 College of Letters & Science - World Languages - Continuing Education revenue for: Faculty Development and travel (FY 15 to FY 18)	\$5,042
43 College of Letters & Science - History - Sales of material and Continuing Education revenue for: Faculty Development, travel and WI History Day program (FY 15)	\$4,393
44 College of Letters & Science - Sociology - Continuing Education revenue for: faculty development projects (FY 15 to FY 17)	\$3,227
45 College of Letters & Science - Math - Remedial education revenue for: additional classroom/laboratory modifications, future contributions to MAPPs, future contributions to TLC; Math 90 salaries	\$272,847
46 College of Letters & Science - Computing and New Media Technology - Sales of material and Continuing Education revenue	\$8,885
47 College of Letters & Science - Philosophy - Sales of material and conference revenue for: Faculty Development (FY15 to FY19)	\$6,763
48 College of Letters & Science - Physics/Astronomy - Planetarium and Continuing Education revenue for: professional development (FY 15); planetarium costs	\$731
49 College of Letters & Science - Anthropology - Archaeology Center revenue for: faculty development (FY 15 to FY 17)	\$254
50 College of Letters & Science - Political Science - Conference revenue for: Cost to continue Small Cities program (FY 15)	\$142
51 College of Letters & Science - Dean - Continuing Education revenue for: Collaborative Language Program conference deficit	\$148
52 College of Professional Studies - School of Education - External program and testing revenue for: youth and other programs, tests, clinics, events	\$45,581
53 College of Professional Studies - Physical Education & Athletic Training - copy machine and external user fee revenue for: equipment and maintenance needs	\$18,175
54 College of Professional Studies - Health Promotion & Human Development - Café, external program, and study abroad revenue for: use in those programs	\$60,677

55 College of Professional Studies - Interior Architecture - Event revenue for: special events and program development	\$387
56 College of Professional Studies - School of Business & Econ - External publication revenue for: programs and Central WI Economic Research Bureau	\$10,290
57 College of Professional Studies - Dean - Copy machine revenue for: used as needed	\$449
58 College of Professional Studies - Athletics - Athletic camps and clubs revenue for: camp directors' salaries	\$153,670
59 College of Fine Arts & Communication - Music - concert revenue for: student scholarships	\$35,313
60 College of Natural Resources - WI Center for Environmental Education - External program and Continuing Education revenue for: travel, staff professional development, office equipment, sponsorships; reserve for WEEB (Wisconsin Environmental Education Board) funding shortfalls	\$263,089
61 College of Natural Resources - Course Fees -Course fee revenue for: uses as needed	\$211
62 College of Natural Resources - Becoming an Outdoor Woman - Workshop/event revenue for: workshops/events; reserve account used to pay portion of salary as well as conference travel costs & postage for mass mailings	\$55,240
63 College of Natural Resources - Central Wisconsin Environmental Station - Summer youth camp, event and sales of material revenue for: \$80K is revenue paid in advance of expenses associated with the CNR Summer Session and childrens' summer camps; the rest is miscellaneous revenue earned and accumulated for equipment and major purchases	\$115,903
64 College of Natural Resources - Schmeeckle - Contracted services, event/program, and sales of material revenue for: small (less than \$15K) interpretive consulting project services and materials; merchandise for gift shop; materials for sign construction; print newly revised Interpreter's Guidebook	\$228,840
65 College of Natural Resources - Water & Environmental Analysis Lab (WEAL) - Water/soil testing services revenue for: planned major equipment (GC/MS) purchase	\$117,829
66 College of Natural Resources - Coop Fisheries - External services revenue for: replace automated DNA sequencer and supplement field and lab research in the fisheries unit	\$65,301
67 College of Natural Resources - CNR Extension - External services revenue for: vehicle repairs, maintenance, and equipment replacement	\$23,419
68 College of Natural Resources - WI Institute for Sustainable Technology - Conference and lab service revenue for: annual conference; other revenue generated in advance of expenses	\$29,130
Totals	\$1,323,374

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Reserves Balance
69 College of Professional Studies - for unforeseen circumstances	\$13,926
70 College of Natural Resources - Emergency Reserves	\$313,300
Totals	\$327,226

Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Undocumented Balance
Totals	\$0

Report on Other Unrestricted Program Revenue Balance in Excess of 12% of Fiscal Year Expenditures

UW-STEVENS POINT

Fiscal Year:

		Percentage (%)
Total Balance	\$3,307,134	34.78%
Obligated		\$2,753,519
Planned		\$94,344
<i>subtotal: Obligated & Planned</i>		\$2,847,862
Designated	\$406,566	
Reserves	\$52,706	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended
1 Student Affairs - Residential Living - Debt Service payment FY15	Obligated	\$2,336,468	\$2,336,468	\$2,336,468	7/1/14 - 6/30/15
2 Business Affairs - Parking - Debt Service payment FY15	Obligated	\$225,000	\$269,000	\$269,000	7/1/14 - 6/30/15
3 Academic Affairs - EXT/Continuing Ed - Camp COFAC - College of Fine Arts and Communication (camp at end of June '14, revenues already in account to pay for expenses)	Obligated	\$54,051	\$54,051	\$54,051	7/1/14 - 12/31/14
4 Academic Affairs - EXT/Continuing Ed - Arts & Culture trips, courses, and associated fees/costs	Obligated	\$37,032	\$37,032	\$37,032	7/1/14 - 12/31/14
5 Academic Affairs - EXT/Continuing Ed - Non-credit music Lessons occurring in May-June '14	Obligated	\$7,446	\$7,446	\$7,446	7/1/14 - 12/31/14
6 Academic Affairs - EXT/Continuing Ed - Learning is ForEver (LIFE) Membership/Operations	Obligated	\$3,566	\$3,566	\$1,680	7/1/14 - 12/31/14
7 Academic Affairs - EXT/Continuing Ed - Travel programs	Obligated	\$48,617	\$48,617	\$48,617	7/1/14 - 12/31/14
8 Academic Affairs - EXT/Continuing Ed - Youth Orchestra	Obligated	\$1,223	\$1,223	\$1,223	7/1/14 - 6/30/15
9 Academic Affairs - EXT/Continuing Ed - Paralegal Program	Obligated	\$160	\$160	\$160	7/1/14 - 12/31/14
10 Academic Affairs - EXT/Contuing Ed - STEM	Obligated	\$116	\$116	\$116	7/1/14 - 12/31/14

11 Academic Affairs - EXT/Continuing Ed - MicroBiology/ Brewing	Obligated	\$790	\$790	\$790	7/1/14 - 12/31/14
12 Academic Affairs - EXT/Continuing Ed - Math and Parent Partners program	Obligated	\$4,000	\$4,000	\$4,000	7/1/14 - 6/30/15
13 Academic Affairs - EXT/Continuing Ed - Healthy Aging Summit	Obligated	\$13,850	\$13,850	\$13,850	7/1/14 - 6/30/15
14 Academic Affairs - EXT/Continuing Ed - WI Association for Environmental Education Conference	Planned	\$2,150	\$2,150	\$2,150	7/1/14 - 12/31/14
15 Academic Affairs - EXT/Continuing Ed - Best Practices Symposium	Planned	\$14,036	\$14,036	\$14,036	7/1/14 - 12/31/14
16 Academic Affairs - EXT/Continuing Ed - Crisis Intervention Conference	Obligated	\$21,200	\$21,200	\$21,200	7/1/14 - 6/30/15
17 Academic Affairs - EXT/Continuing Ed - Technical/Manufacturing program student help	Planned	\$668	\$668	\$668	7/1/14 - 12/31/14
18 Academic Affairs - EXT/Continuing Ed - Wausau Initiative	Planned	\$15,641	\$15,641	\$15,641	7/1/14 - 6/30/15
19 College of Natural Resources - Cooperative Extension - To cover site contract for Spring 2015 Lakes Partnership Convention (\$33,000); finance two Shoreland training workshops Summer and Fall 2014; Seminar 1, 2 & 3 of Lake Leaders Institute training (May, September and October 2014); publications and educational materials; vehicle repairs; equipment maintenance	Planned	\$61,849	\$61,849	\$61,849	7/1/14 - 6/30/15

Total
Obligated
Planned

\$2,847,862
\$2,753,519
\$94,344

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Designated Balance
20 Academic Affairs - EXT/Continuing Ed - approximately 42ccounts	\$395,495
21 Academic Affairs - Financial Aid - License plate scholarship program	\$11,071
Totals	\$406,566

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Reserves Balance
22 College of Natural Resources - Emergency Reserves	\$52,706
Totals	\$52,706

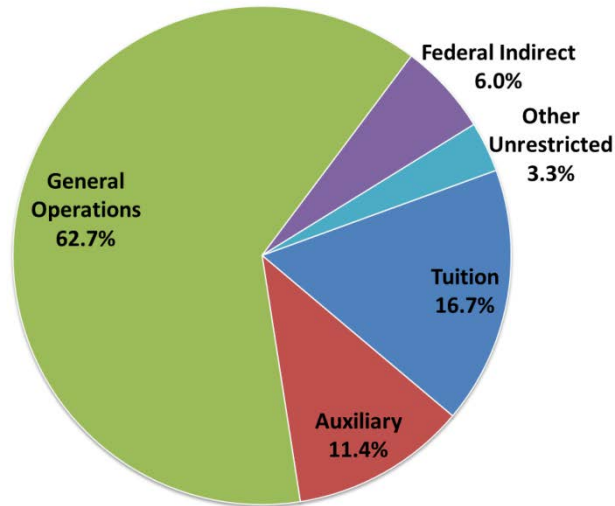
Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Undocumented Balance
Totals	\$0

UW-Stout FY 2014 PR Balances

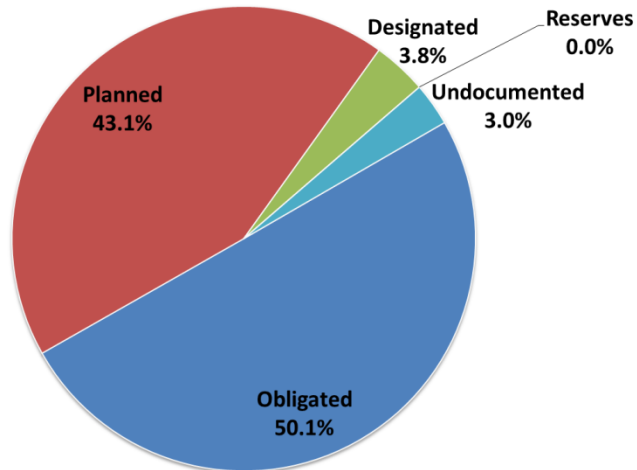
UW-Stout FY 2014 PR Balances by Category



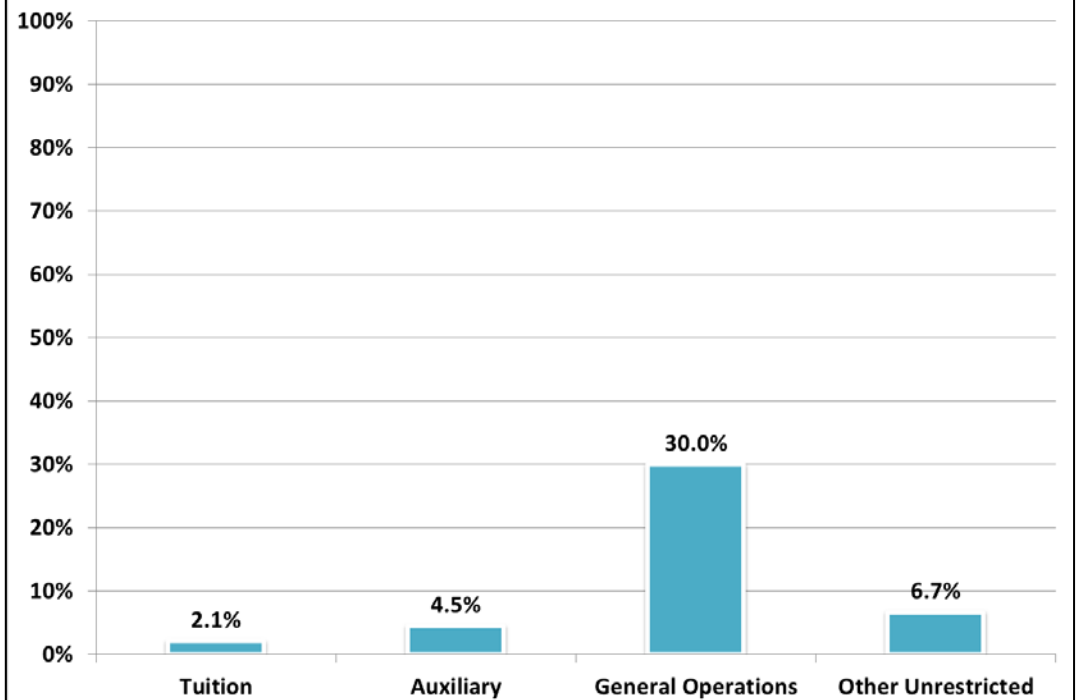
PR Balances Highlights

- **Tuition Balances decreased 54.9% from FY 2013 to FY 2014**
- **Total Unrestricted Balances decreased 26.0% from FY 2013 to FY 2014**
- **Auxiliary Balances decreased 36.6% from FY 2013 to FY 2014**
- **General Operations Balances decreased 12.0% from FY 2013 to FY 2014**

UW-Stout FY 2014 PR Balances by Level of Commitment



UW-Stout Point FY 2014 Balance Calculations



Summary of UW System Unrestricted PR Balances by Level of Commitment

FY 2014 ending balances as categorized by institution reports

UW-Stout

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Tuition	454,529	1,536,829	-	-	-	1,991,358
Auxiliary	439,717	919,561	-	-	-	1,359,278
General Operations	5,081,533	2,390,995	-	-	-	7,472,528
Federal Indirect	-	293,910	60,000	-	356,361	710,271
Other Unrestricted	-	-	388,992	-	-	388,992
	5,975,779	5,141,295	448,992	-	356,361	11,922,427
	50.1%	43.1%	3.8%	0.0%	3.0%	100.0%
UW System*	30.5%	47.4%	10.0%	10.4%	1.6%	100.0%

* (excluding System Admin and Systemwide)



	Tuition (131 and 189)	Auxiliary (128 and 228)	General Operations 136	Other Unrestricted (xxx)	Subtotal	Federal Indirect	Total Unrestricted
Total PR Appropriation Balances							
FY2012-13	4,417,320	2,145,475	8,494,546	406,455	15,463,796	638,361	16,102,157
FY2013-14	1,991,358	1,359,278	7,472,528	388,992	11,212,156	710,271	11,922,427
Change	(2,425,962)	(786,197)	(1,022,018)	(17,463)	(4,251,640)	71,910	(4,179,730)
	-54.9%	-36.6%	-12.0%	-4.3%	-27.5%	11.3%	-26.0%
Total Expenses							
FY2012-13	92,720,648	29,709,134	23,881,029	5,822,549			
FY2013-14	95,104,311	30,226,435	24,920,559	5,829,070			
Change	2,383,663	517,301	1,039,530	6,521			
Balance Calculations							
FY2012-13	4.8%	7.2%	35.6%	7.0%			
FY2013-14	2.1%	4.5%	30.0%	6.7%			

Report on General Operations (Fund 136) Balance in Excess of 12% of Fiscal Year Expenditures

UW-STOUT

Fiscal Year: 2014

		Percentage (%)
Total Balance	\$7,472,528	30.0%
Obligated		\$5,081,533
Planned		\$2,390,995
<i>subtotal: Obligated & Planned</i>		\$7,472,528
Designated	\$0	
Reserves	\$0	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended
Prior Year Encumbrance	Obligated	\$174,949	\$174,949	\$174,949	FY-15
Scholarships Support - Awarded	Obligated	\$667,000	\$667,000	\$667,000	FY-15
Hewlett Packard Scholarships Support - Awarded	Obligated	\$238,000	\$238,000	\$238,000	FY-15
Hewlett Packard Lease Agreement - Laptop Program	Obligated	\$4,001,584	\$4,001,584	\$4,001,584	FY-15
Common Systems Assessment	Planned	\$317,605	\$317,605	\$317,605	FY-15
Municipal Services Assessment	Planned	\$29,000	\$29,000	\$29,000	FY-15
Office of State Employment Relations (OSER) Assessments	Planned	\$11,500	\$11,500	\$11,500	FY-15
Commuter Benefits Assessments	Planned	\$1,100	\$1,100	\$1,100	FY-15
Centralized Marketing Support	Planned	\$315,000	\$315,000	\$315,000	FY-15
Scholarships Support - Future	Planned	\$658,590	\$658,590	\$658,590	FY-16
Hewlett Packard Scholarships Support - Future	Planned	\$62,000	\$62,000	\$62,000	FY-16
Graduate Operations (Admin Prog Mgr II 1.0 FTE)	Planned	\$131,840	\$131,840	\$131,840	FY-15
Vice Chancellor Admin Office (1.0 FTE Envir Sust Coor)	Planned	\$116,563	\$116,563	\$116,563	FY-15
Business Services - (Fin Spec 2 2.0 FTE)	Planned	\$124,000	\$124,000	\$124,000	FY-15
Business Services - Customized Instr (Financial Spec 2 1.0 FTE)	Planned	\$63,846	\$63,846	\$63,846	FY-15
HR Payroll & Benefits Spclst (1.0 FTE)	Planned	\$45,536	\$45,536	\$45,536	FY-15
Admin Program Mgr (1.0 FTE)	Planned	\$70,595	\$70,595	\$70,595	FY-15
Recreation Complex Debt Service Support	Planned	\$105,000	\$105,000	\$105,000	FY-15
Instructional Design Position (1.0 FTE)	Planned	\$87,048	\$87,048	\$87,048	FY-15
Addl Staff: Classroom and course support (2.0 FTE)	Planned	\$161,200	\$161,200	\$161,200	FY-15
Business Services Support Staff: Financial Specialist (1.00 FTE)	Planned	\$71,545	\$71,545	\$71,545	FY-15
Microsoft License - Undergraduate Students	Planned	\$19,027	\$19,027	\$19,027	FY-15

Total	\$7,472,528
Obligated	\$5,081,533
Planned	\$2,390,995

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Designated Balance
Totals	\$0

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Reserves Balance
Totals	\$0

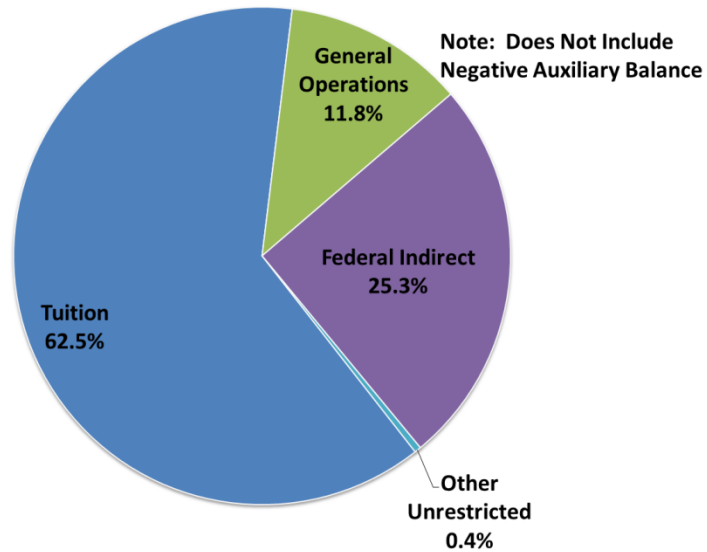
Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

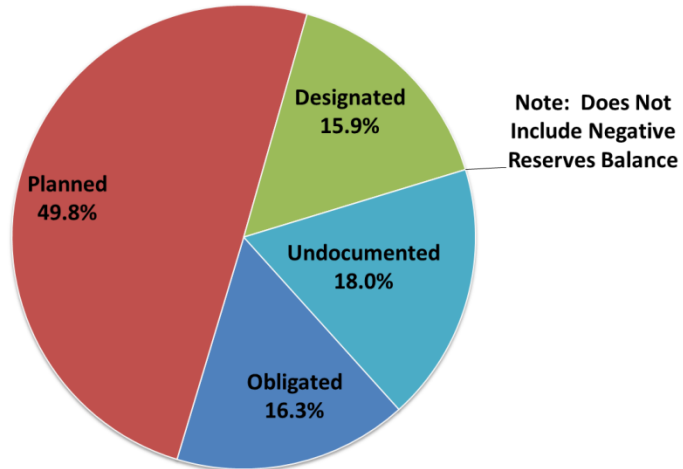
Major Balance Grouping	FY14 Undocumented Balance
Totals	\$0

UW-Superior FY 2014 PR Balances

UW-Superior FY 2014 PR Balances by Category



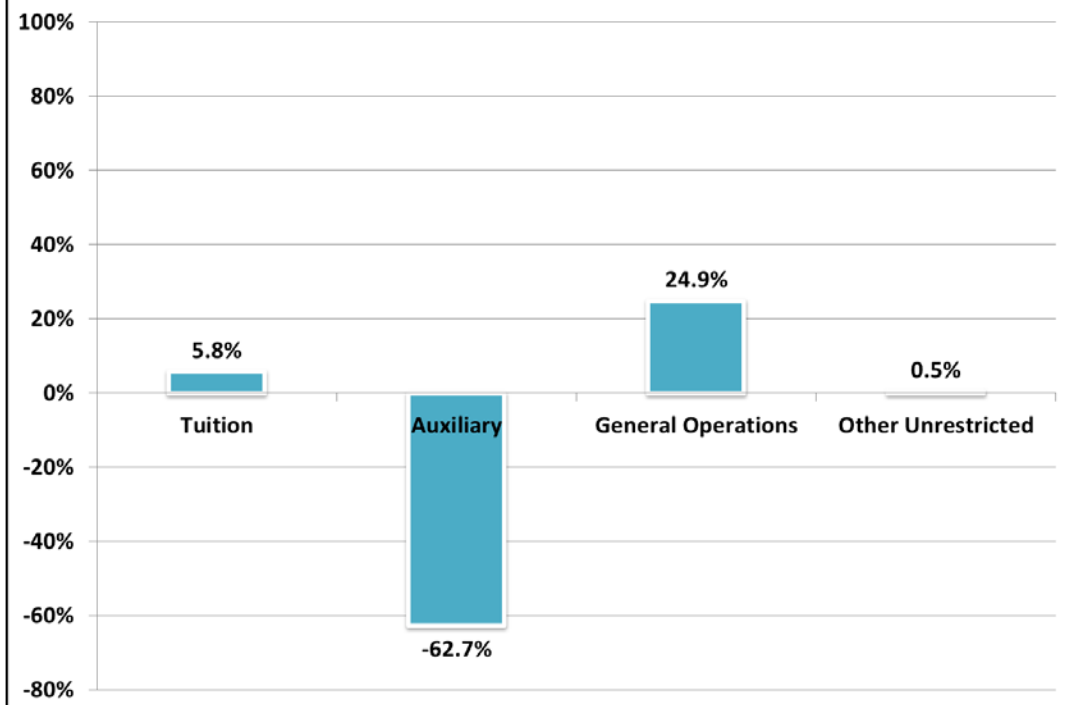
UW-Superior FY 2014 PR Balances by Level of Commitment



PR Balances Highlights

- Tuition Balances increased 7.3% from FY 2013 to FY 2014
- Total Unrestricted Balances decreased 27.4% from FY 2013 to FY 2014
- Auxiliary Balances decreased 17.1% from FY 2013 to FY 2014
- General Operations Balances increased 71.7% from FY 2013 to FY 2014

UW-Superior FY 2014 Balance Calculations



Summary of UW System Unrestricted PR Balances by Level of Commitment

FY 2014 ending balances as categorized by institution reports

UW-Superior

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Tuition	480,858	1,399,849	272,348	-	-	2,153,055
Auxiliary	-	-	-	(5,385,787)	-	(5,385,787)
General Operations	42,756	20,640	260,556	-	82,039	405,991
Federal Indirect	38,300	293,830	-	-	539,499	871,629
Other Unrestricted	-	-	14,506	-	-	14,506
	561,914	1,714,319	547,410	(5,385,787)	621,538	(1,940,606)
	-29.0%	-88.3%	-28.2%	277.5%	-32.0%	100.0%
UW System*	30.5%	47.4%	10.0%	10.4%	1.6%	100.0%

* (excluding System Admin and Systemwide)



	Tuition (131 and 189)	Auxiliary (128 and 228)	General Operations 136	Other Unrestricted (xxx)	Subtotal	Federal Indirect	Total Unrestricted
Total PR Appropriation Balances							
FY2012-13	2,006,125	(4,598,507)	236,389	46,529	(2,309,464)	786,319	(1,523,145)
FY2013-14	2,153,055	(5,385,787)	405,991	14,507	(2,812,234)	871,629	(1,940,605)
Change	146,930	(787,280)	169,602	(32,022)	(502,770)	85,310	(417,460)
	7.3%	-17.1%	71.7%	-68.8%	-21.8%	10.8%	-27.4%
Total Expenses							
FY2012-13	35,652,961	9,493,548	1,674,756	1,833,508			
FY2013-14	37,172,428	8,596,391	1,629,456	2,716,697			
Change	1,519,467	(897,157)	(45,300)	883,189			
Balance Calculations							
FY2012-13	5.6%	-48.4%	14.1%	2.5%			
FY2013-14	5.8%	-62.7%	24.9%	0.5%			

Report on General Operations (Fund 136) Balance in Excess of 12% of Fiscal Year Expenditures**UW-SUPERIOR****Fiscal Year:**

		Percentage (%)
Total Balance	\$405,991	24.9%
Obligated		\$42,756
Planned		\$20,640
<i>subtotal: Obligated & Planned</i>		\$63,396
Designated	\$260,556	
Reserves	\$0	
Undocumented Funds	\$82,039	
Remaining Balance	\$0	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended
Matching funds for McNair and Upward Bound federal grants	Obligated	\$37,836	\$37,836	\$37,836	By the end of fiscal year 2015-2016.
Provost Funds - new copy machine	Obligated	\$4,920	\$4,920	\$4,920	By the end of fiscal year 2014-2015.
Provost Funds (matching funds for academic staff development, student assist help for Provost Office)	Planned	\$25,560	\$25,560	\$25,560	By the end of fiscal year 2014-2015.

Total	\$68,316
Obligated	\$42,756
Planned	\$20,640

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Designated Balance
Distance Learning Programs	\$49,567
Continuing Education/Extension Programming	\$16,283
Writing Across the Curriculum Initiatives	\$14,880
Lake Superior Research Institute	\$14,673
Remedial Tuition	\$105,118
Music Department Student International Tours	\$6,873
Study Abroad Programs	\$53,162
Totals	\$260,556

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Reserves Balance
Totals	\$0

Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Undocumented Balance
Campus Programming	\$19,206
Chancellor/Provost Discretionary Funds	\$62,833
(covers travel, one-time emergencies, etc.)	
Totals	\$82,039

Savings Plan Template: Annual Program Revenue Balances Threshold Report

Date: 9/2/2014

Institution: UW Superior

Submitted by: Gigi Koenig-Vice

Chancellor for Administration and
Finance;

Jeff Kahler-Interim Administrative Officer

Fund: 128

Fiscal Year: FY14

Fiscal Year Balance: (\$5,385,787)

Prior Year Expenditures: \$8,536,392

Policy

Institutions with negative balances for (1) Tuition and (2) Auxiliary Operations shall submit a savings plan on how they will eliminate the negative balance and within what time frame.

1. Please identify the reason for the negative balance, including the specific projects or functional areas that produced the negative balance.

The main reason for the negative balance is the two outstanding loans between the UW System and the UW Superior Foundation, with all movement of funds through our campus 128 accounts. Repayments are made bi-annually in April and October. The loans are 15 year loans with an expected end payment date of 2027. The current outstanding balance on the loan for the Yellowjacket Union is (\$2,585,620). The current outstanding loan for Swenson Hall is (\$2,899,378) for a total outstanding balance of (\$5,483,998).

The Foundation has made each of its loan payments on time for the last four years, and we do not have any reason to believe that the Foundation will not be able to make these loan payments in the future. This problem should resolve itself over the life of the loan as payments are made and the principal balance of the loan decreases and is eventually paid off.

If you were to remove the two loan amounts from our fund balance, our overall Auxiliary Operations balance would be positive ($-\$5,385,787 + \$2,585,620 + \$2,899,378 = \$99,211$). Within this \$99,211 balance, we have three auxiliary operations with negative balances (residence halls, bookstore, and athletic fields) while the rest of the operations have positive balances.

The residence halls ended with a negative fund balance due to a decline in enrollment and one-time costs related to the Ross-Hawkes Hall renovation project. Dollars were invested in these two buildings for items that were not included in the remodel project, such as amenity upgrades and new furniture. The campus was hopeful that these investment dollars would be repaid by an increase in enrollment and on-campus living, but that did not transpire (at least in the short-term).

The campus is currently dealing with maintenance and repair issues with its athletic fields. The campus has had to spend at least \$23,000 on field maintenance and repairs in each of the last two years, in addition to our athletic teams having to pay rental fees to play on other fields because ours were not in playable condition.

2. Discuss any corrective actions already taken and associated fiscal savings/gain.

For the past four years, our campus bookstore has had a downward trend in revenue and cash balance. By April of 2014, the bookstore balance was (-\$275,201). We could not allow this trend to continue, so the campus made the decision to outsource the store effective no later than July 1, 2014. Effective June 24, 2014, Nebraska Bookstore Company took over the bookstore operations for the campus. Based on the inventory buyout of \$136K and collecting outstanding credit memos of \$131K, we should realize a balance in operations and a positive balance during FY15. We anticipate the guaranteed bookstore commission to assist us in offsetting operating costs for the Yellowjacket Union and other costs that are shared by auxiliary operations (common systems, central utilities projects, etc.).

The campus will be looking at funding models to support the cost of maintaining our athletic fields and projects that can be done to solve some of the issues that currently exist (such as finding a remedy for poor drainage after rain).

While it ended the year with a positive fund balance, Wessman Arena has struggled financially in the past. The facility has been making steady improvement in their negative balance over the last three years. In FY14 it began with a (\$44,192) balance and ended the year with a positive balance of \$69,179. A concert was held this spring, and it brought in revenues that helped the operation avoid operating at a net loss this fiscal year for the first time in at least ten years (if not more).

3. Describe any additional steps that will be taken to address the negative balance and achieve fiscal stability on an ongoing basis. Please provide the estimated financial savings and timeline for each of these action items.

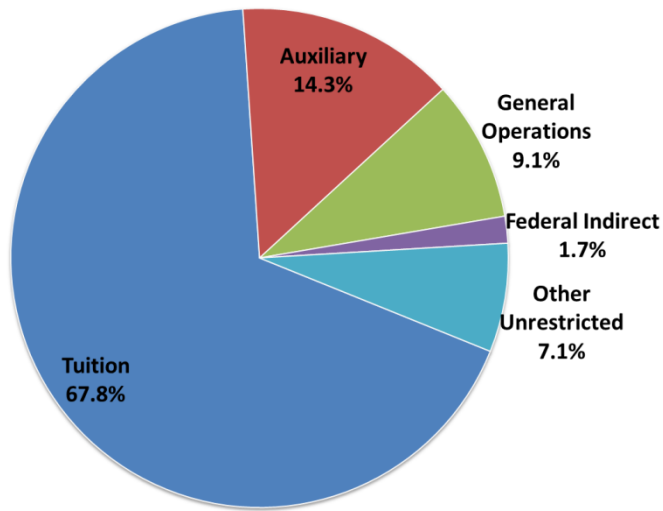
With the outsourcing of the bookstore, we should see a reduction in our expenses in 128 as the campus will no longer be responsible for bookstore personnel costs, PCI compliance costs, credit card costs, membership costs, freight and various other expenses associated with running a bookstore operation. We should reduce our financial risk in that area while still receiving a commission to assist with Yellowjacket Union operating costs. We are hoping to contribute at least \$60,000-\$70,000 each year to the Yellowjacket Union budget through commissions received from the bookstore.

The campus has engaged a consulting group to review the operations and financial performance of Wessman Arena to suggest ways to improve efficiencies in the building and improve its financial position. We expect this review to be finished by the end of October of 2014.

The campus is also considering temporarily closing one of its residence hall buildings if student demand for housing stays at its current level or decreases further (for an idea of how much that might save the campus, during the 2013-14 fiscal year the campus spent at least \$197,676 operating Curran-McNeill/Ostrander Hall). While residence life staff are hopeful and optimistic that student demand for housing will increase, we believe that the deficit in the residence hall operation will need to be addressed over the next three to five years, and it cannot be fixed in just one or two years.

UW-Whitewater FY 2014 PR Balances

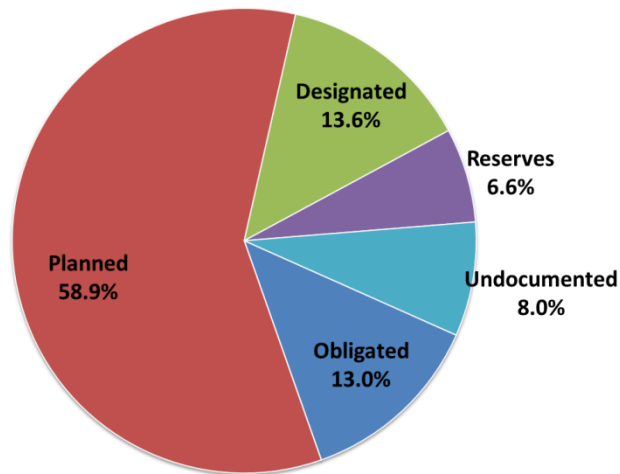
UW-Whitewater FY 2014 PR Balances by Category



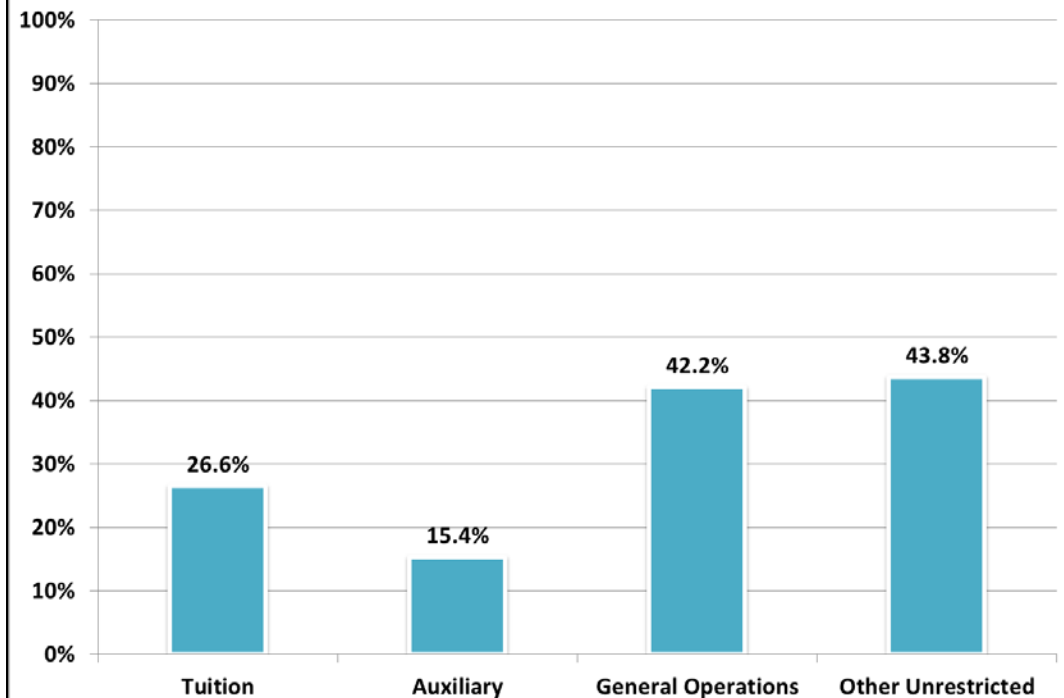
PR Balances Highlights

- Tuition Balances decreased 11.0% from FY 2013 to FY 2014
- Total Unrestricted Balances decreased 1.4% from FY 2013 to FY 2014
- Auxiliary Balances increased 3.9% from FY 2013 to FY 2014
- General Operations Balances increased 22.5% from FY 2013 to FY 2014

UW-Whitewater FY 2014 PR Balances by Level of Commitment



UW-Whitewater FY 2014 Balance Calculations



Summary of UW System Unrestricted PR Balances by Level of Commitment
FY 2014 ending balances as categorized by institution reports

UW-Whitewater

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Tuition	2,481,257	20,005,079	3,770,500	3,000,000	1,665,800	30,922,636
Auxiliary	-	4,354,000	1,191,376	-	954,333	6,499,709
General Operations	232,575	2,512,952	1,168,959	-	250,000	4,164,486
Federal Indirect	35,213	-	-	-	758,409	793,622
Other Unrestricted	3,156,761	-	60,672	-	-	3,217,433
	5,905,806	26,872,031	6,191,507	3,000,000	3,628,542	45,597,886
	13.0%	58.9%	13.6%	6.6%	8.0%	100.0%
UW System*	30.5%	47.4%	10.0%	10.4%	1.6%	100.0%

* (excluding System Admin and Systemwide)



	Tuition (131 and 189)	Auxiliary (128 and 228)	General Operations 136	Other Unrestricted (xxx)	Subtotal	Federal Indirect	Total Unrestricted
Total PR Appropriation Balances							
FY2012-13	34,743,217	6,254,676	3,400,651	1,082,846	45,481,390	767,753	46,249,143
FY2013-14	30,922,636	6,499,709	4,164,486	3,217,433	44,804,264	793,622	45,597,886
Change	(3,820,581)	245,033	763,835	2,134,587	(677,126)	25,869	(651,257)
	-11.0%	3.9%	22.5%	197.1%	-1.5%	3.4%	-1.4%
Total Expenses							
FY2012-13	106,938,039	41,908,358	8,989,373	6,789,160			
FY2013-14	116,306,662	42,340,000	9,858,272	7,345,092			
Change	9,368,623	431,642	868,899	555,932			
Balance Calculations							
FY2012-13	32.5%	14.9%	37.8%	15.9%			
FY2013-14	26.6%	15.4%	42.2%	43.8%			

Report on Tuition (Funds 131 & 189) Balance in Excess of 12% of Fiscal Year Expenditures

UW-WHITEWATER

Fiscal Year:

		Percentage (%)
Total Balance	\$30,922,636	26.59%
Obligated		\$2,481,257
Planned		\$20,005,079
<i>subtotal: Obligated & Planned</i>		\$22,486,336
Designated	\$3,770,500	
Reserves	\$3,000,000	
Undocumented Funds	\$1,665,800	
Remaining Balance	\$0	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended
1.) Milwaukee Water Council Lease (with DOA)	Obligated	\$70,425	\$70,425	\$70,425	7/1/2014-6/30/2015
2.) Inclusive Excellence Fellows Program- \$40,000 (+fringe), each, plus a \$2,000 research allowance.	Obligated	\$192,000	\$192,000	\$192,000	7/1/2014-6/30/2015
3.) 2013-14 Centrally Funded DMCs (Discretionary Merit Compensation) that did not make it into the 2014-15 budget due to timing of the awards.	Obligated	\$22,198	\$22,198	\$22,198	7/1/2014-6/30/2015
4.) Supplemental Sabbatical Support - Outside of the BOR approved sabbatical process. Campus provides \$10,000 per individual to the college department for backfill during sabbatical.	Obligated	\$60,000	\$60,000	\$60,000	7/1/2014-6/30/2015
5.) Retention increases and Faculty Academic Staff Promotions. Retention increases are awarded using the same criteria as when they were funded using state dollars. Faculty promotions are also funded using central funds due to the lack of residual pay plan dollars to address the need.	Obligated	\$290,000	\$290,000	\$290,000	7/1/2014-6/30/2015
6.) Campus Funded Salaries. These have been entered into HRS and the campus will add them to the base in 2015-16	Obligated	\$520,917	\$520,917	\$520,917	7/1/2014-6/30/2015
7.) Hyer Hall Pillars - DFD approved project that has been delayed several times.	Obligated	\$75,000	\$75,000	\$75,000	by 6/30/2015
8.) Unfunded pay plan budget reduction 2013-14 & 2014-15. Will be paid centrally in 2014-15 and a plan to allocate the reduction will be worked on during the year if base dollars are not secured.	Obligated	\$1,190,717	\$1,190,717	\$1,190,717	7/1/2014

9.) Portable outdoor staging - Used by many campus groups, existing stage trailer was not safe for use	Obligated	\$60,000	\$60,000	\$60,000	12/31 2014
10.) Campus Funded DMCs. Campus will support up to \$50,000 in GPR funded DMCs during 2014-15. All other DMCs must be self-funded by the department.	Planned	\$50,000	\$50,000	\$50,000	by 6/30/2015
11.) Faculty Compression Lump Sums - This was completed in May, 2014. UWW plans on doing another round of lump sum payments in the second half of 2014-15.	Planned	\$400,000	\$400,000	\$400,000	by 6/30/2015
12.)Academic Staff Compression Lump Sums - Using a similar methodology, compression lump sums will be awarded to instructional and non-instructional academic staff in the middle of 2014-15.	Planned	\$300,000	\$300,000	\$300,000	by 6/30/2015
13.) Retention Adjustments effective 15-16. Funds to be used for another round of retention adjustments for faculty, instructional and non-instructional academic staff.	Planned	\$150,000	\$150,000	\$150,000	7/1/2015
14.) Common Systems/CS Software/Technology Infrastructure. This does not represent all of the common systems billing, a portion is allocated out the PR units.	Planned	\$900,000	\$900,000	\$900,000	by 6/30/2015
15.) Online MBA Profit Sharing - Funds are used to support online MBA Programming amount varies by year - program started in 2000-01.	Planned	\$1,200,000	\$1,200,000	\$600,000	7/1/2014-6/30/2015
16.) Carryover retained by departments based on specific requests - submitted online by departments in June, 2014 and approved by division head or Chancellor. Examples of approved expenditures include: Science Boot Camp, Office Equipment, LTE replacement salaries, Piano Maintenance, interpreting costs, voting machine.	Planned	\$3,051,310	\$3,051,310	\$3,051,310	7/1/2014-6/30-2015
17.) Campus commitments for campus projects. This includes the campus portion of State projects that are currently in planning but not yet started. Dates of expenditure are dependent on building schedules. Includes funds for West Campus Project (if needed), tennis facility, and creating an accessible facility in the Williams Center.	Planned	\$1,000,000	\$1,000,000	\$1,000,000	6/30/2015
18.)Strategic Initiative grants/Inclusive Excellence grants - campus initiative since 2007-08. Awards made in 2014-15 will be expended in 15-16, with special approval may be carried over one additional year.	Planned	\$300,000	\$300,000	\$300,000	2015-16
19.) Campus Support for Additional Student Help - Any unutilized student help is held centrally and used the following year for student help, this amount is supplemented by tuition revenue on a one-time basis to provide additional student employment on campus. This is the third year the campus has used this process and provided varying levels of funding.	Planned	\$1,140,000	\$1,140,000	\$1,140,000	7/1/2014-6/30/2015
20.)Professional Development funding from campus to augment departmental funds. This follows a campus policy.	Planned	\$400,000	\$400,000	\$400,000	7/1/2014-6/30/2015

21.) Campus enhancement projects. The \$2,300,000 is an average of what has been spent each year over the past 4-years on projects such as LED lighting retrofits, ADA compliance, drainage, cement and pavement repair. The Director of FP&M and the Vice Chancellor of Administration will work together to determine which projects can be completed during 2014-15 given the limited windows of opportunity to conduct work (winterim, spring break, summer).	Planned	\$2,300,000	\$2,300,000	\$2,300,000	7/1/2014-6/30/2015
22.) Police Dispatch System/ Operation. Two items are included here. 1.) One-time investment in dispatch equipment as the City of Whitewater's decision to cease operation is pending, and 2.) Operation of the dispatch center funded centrally for up to three years.	Planned	\$530,000	\$530,000	\$530,000	7/1/2014-6/30/2017
23.) Ticketing Office in the University Center - Split between the University Center, gifts and campus support. Projected to begin in the spring of 2015.	Planned	\$15,000	\$15,000	\$15,000	6/30/2015
24.) Completion of Barnett/Hicklin Theatre remodel. This has been an ongoing project and is mostly complete. There are some furnishings and equipment left to be purchased in 2014-15.	Planned	\$90,000	\$90,000	\$90,000	6/30/2015
25.) Wind Turbine/ Solar array - UW System distribution of utilities savings will be used for both of these projects. The solar array will be located near Anderson Library and the siting of the wind turbine is TBD.	Planned	\$244,000	\$244,000	\$244,000	6/30/2015
26.) Campus supported equipment requests. Phase I was undertaken in 2013-14 with \$900,000 expended. The total approved expenditure in the next two years will be \$4,000,000. We received lists from Colleges and departments of priority equipment during 2013-14 for purchase in the upcoming two years. The requests totalled just under \$5.2M. The list will be reviewed and refined and prioritized between 2014-15 and 2015-16 purchases with plans to spend \$2,000,000 in each year.	Planned	\$4,000,000	\$4,000,000	\$4,000,000	7/1/2014-6/30/2016
27.) 2014-15 campus supported IT projects: These include disaster recovery (\$300,000), Dual Connect UW SysNet (\$200,000), Classroom Video Enable and Phones (\$160,000), IPICS (\$120,000), Digital Signage (\$100,000), and Classroom WiFi, Wiring and technology upgrades (\$315,000).	Planned	\$1,195,000	\$1,195,000	\$1,195,000	by 6/30/2015
28.) Fiber-optic backbone project. This is a state project, however, it is anticipated that the campus will have to provide initial funding which will later be reimbursed.	Planned	\$1,500,000	\$1,500,000	\$1,500,000	by 6/30/2016
29.) Athletics support during 2014-15. This is a continuing effort which is funded at different levels each year based on sport performance, campus ability to provide funding and travel schedules. Includes Title IX initiatives, Chancellor's performance reward program, non-conference travel, pre and post season expenses.	Planned	\$194,500	\$194,500	\$194,500	7/1/2014-6/30/2015

30.) Campus Service Officers-campus supported program within Police Services. Trained student workers patrol campus and assist with various non-emergency functions (traffic control, building checks). Approval also includes a graduate assistant to oversee the program. Student salaries are paid with work study funds first, if available. The program is to be reviewed after 2014-15 and future funding will be determined.	Planned	\$73,110	\$73,110	\$73,110	7/1/2014-6/30/2015
31.) Higher Learning Commission accreditation - costs associated with upcoming HLC process and campus visit are estimated to be \$300,000. \$82,800 has already been spent. The 2014-15 allotment from campus is \$100,000, with the remaining funds to be utilized in 2015-16. from a combination of funding sources.	planned	\$100,000	\$217,200	\$217,200	10/1/2016
32.) Student Success Center (SSC) Equipment-The Student Success Center has not yet broken ground and the opening date is not firm. Campus will provide \$500,000 to provide furnishings and equipment not included in the State project.	Planned	\$500,000	\$500,000	\$500,000	6/30/2016
33.) Campus support of a new Veteran's Coordinator Position. Funded using tuition revenue in excess of the target. Will be added to the base or other funding secured by 16-17. The position was approved by Chancellor's staff, was titled by the campus titling committee and is now in recruitment. Initial funding is for the second half of 14-15 followed by the full year of 2015-16.	Planned	\$42,444	\$127,332	\$127,332	6/30/2016
34.) Hiebing Group - Message Strategy Development and Research project for School of Graduate Studies	Planned	\$40,000	\$40,000	\$40,000	7/1/2014-6/30/2015
35.) Intensive English Program Start-up costs. Continuing Education support provided in a step down manner until the program is self supporting.	Planned	\$200,000	\$200,000	\$200,000	7/1/2014-6/30/2015
36.) Customer Relationship Management Software (Ellucian) to support Undergraduate and Graduate Admissions.	Planned	\$47,115	\$47,115	\$47,115	by 6/30/2015
37.) Increase existing position in Continuing Education (currently an Assistant Dean at 50%) to 100% and expand to include Veteran's Services and Adult learning.	Planned	\$42,600	\$42,600	\$42,600	7/1/2015

Total	\$22,486,336
Obligated	\$2,481,257
Planned	\$20,005,079

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Designated Balance
38.) Distance Education revenues (less expenses) are held by each college and these funds are used to reinvest and grow online programming.	\$2,786,900
39.) Differential Tuition. Any balances remaining in departments funded by differential tuition remain within the department and are not used for other purposes. Any existing balance will be combined with new funding to reach the annual budgeted amount.	\$983,600
Totals	\$3,770,500

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Reserves Balance
40.) UW-W Reserve - A minimum reserve of \$3,000,000 has been in place at UW-Whitewater since 2010-11. Supported by memo from Chancellor.	\$3,000,000
Totals	\$3,000,000

Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Undocumented Balance
41.) Chancellor Discretionary Fund - Used for one-time requests during the year. Examples are: Economic Research funding, re-paving trike path (outside children's center), CARE team calendar, lawn mower purchase.	\$1,000,000
42.) Provost Discretionary Fund - Provided from carryover funds to the Provost for academic related items such as LEAP, faculty release costs, academic program start-up, administrative fellows (training for academic staff in administrative areas).	\$500,000
43.) Continuing Education Discretionary funds- The dean is often asked to provide one-time funding for start up programming on campus. Maintaining a small discretionary fund allows quick response when funds are needed. (189)	\$165,800
Totals	\$1,665,800

Report on Auxiliary Operations Balance (Funds 128 & 228) in Excess of 12% of Fiscal Year Expenditures

UW-WHITEWATER

Fiscal Year:

		Percentage (%)
Total Balance	\$6,499,709	15.4%
Obligated		\$0
Planned		\$4,354,000
<i>subtotal: Obligated & Planned</i>		<i>\$4,354,000</i>
Designated	\$1,191,376	
Reserves	\$0	
Undocumented Funds	\$954,333	
Remaining Balance	\$0	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended
1.) Enrollment Deposit Scholarship - \$200,000 per year is awarded (50 - 4/year scholarships at \$1,000/yr). A balance is maintained to ensure there are enough funds to pay at least full 4-year commitment.	Planned	\$800,000	\$800,000	\$800,000	6/30/2018
2.) Residence Life Capital Projects - held in 228.	Planned	\$1,174,000	\$1,174,000	\$1,174,000	6/30/2015
3.) Residence Life - Cash held for West Campus Project.	Planned	\$2,000,000	\$2,000,000	\$2,000,000	6/30/2015
4.) University Center - Facilities Improvements: Restrooms, landscaping around the UC, Sun Coverings, Meeting Room Projectors, Computers, new carpeting.	Planned	\$130,000	\$130,000	\$130,000	by 6/30/2015
5.) University Dining - Facilities Improvements: Esker Kitchen upgrades, Blackboard Point of Service Upgrade.	Planned	\$250,000	\$250,000	\$250,000	by 6/30/2015

Total	\$4,354,000
Obligated	\$0
Planned	\$4,354,000

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Designated Balance
Travel Study - funds remain in travel study.	\$212,529
Library fees/technology - fees collected for library remain in the library. The technology portion is part of the Distance Education Fee that is used by the library to provide technology for online students.	\$59,456
Special Course Fees - approved as appropriate based on G29 policy.	\$238,790
Seg fee funded student organizations.	\$86,266
First Year Experience Fees - Plan it Purple, Transformation fees. The fees support the programming as well and provide funds for other orientation events.	\$498,100
Seg Fee Funded Intercollegiate Athletics.	\$22,890
Young Auditorium- Office and equipment/facilities.	\$73,345
Totals	\$1,191,376

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Reserves Balance
Totals	\$0

Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Undocumented Balance
Financial Services - Fees collected for payment plans, finance charges, administrative fees. Currently, there are a very small number of Financial Services staff payrolled there. CBO will be investigating moving more staff to this funding source for 2015-16.	\$954,333
Totals	\$954,333

Report on General Operations (Fund 136) Balance in Excess of 12% of Fiscal Year Expenditures

UW-WHITEWATER

Fiscal Year:

		Percentage (%)
Total Balance	\$4,164,486	42.2%
Obligated		\$232,575
Planned		\$2,512,952
<i>subtotal: Obligated & Planned</i>		\$2,745,527
Designated	\$1,168,959	
Reserves	\$0	
Undocumented Funds	\$250,000	
Remaining Balance	\$0	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended
1.)Intensive English Program - new program at UWW. Once established will be self supporting, this 136 funding remains from last year, Continuing Education will support the remaining \$183,770 will be addressed with funds from that department. The remainder of the start-up funding is addressed in item 35 of the Tuition tab.	Planned	\$58,230	\$242,000	\$242,000	2014-15
2.)Network Upgrade and switch replacement - Campus component inside buildings of campuswide fiber backbone replacement.	Planned	\$850,000	\$3,000,000	\$3,000,000	2014-15 (depends on how fast the backbone project proceeds)
3.)VoIP (Voice over IP) implementation and phone replacement fund. Rather than roll out VoIP in a piecemeal fashion, the campus initially provided \$600,000 to purchase technology. This fund now resides in Information Technology and is replenished by departmental chargebacks and is used to replace outdated phones and software.	Planned	\$365,000	\$365,000	\$365,000	It is not anticipated that the fund will be 100% spent down at any one time as it replenishes

4.)Scholarships - Each year the campus provides funds to the Admissions Office in order to provide 5 different types of scholarships. Some are single year awards and some are multi year. King-Chavez, Warhawk Exceptional, General Merit, College Excellence, Transfer Student.	Planned	\$1,172,722	\$1,172,722	\$1,172,722	within 4 years of being transferred to admissions
6.)Pre-college camps (2014-15 allotment). The campus provides up to \$67,000 for pre-college camps to provide opportunities in addition to the spaces provided by DPI (61 students). Many of the activities happen after the end of the fiscal year and are not yet expended by 6/30. This balance is then spent prior to the school year starting.	Planned	\$67,000	\$67,000	\$67,000	by 9/1/2015
7.) Pre-college camps (2013-14 allotment). The campus provides up to \$67,000 for pre-college camps to provide opportunities in addition to the spaces provided by DPI (61 students). Many of the activities happen after the end of the fiscal year and are not yet expended by 6/30. This balance is then spent prior to the school year starting. This entire balance has been spent as of 9/1/2014.	obligated	\$32,575	\$32,575	\$32,575	fully expended 9/1/2014
8.) Salary and fringe for Center for Global Education Director as well as the individual who handles Visas for the campus. These have been funded centrally for several years and will be institutionalized and added to the base no later than 2016-17. The program has been growing and we are moving them toward self funding the positions.	obligated	\$200,000	\$200,000	\$200,000	by 6/30/2015

Total	\$2,745,527
Obligated	\$232,575
Planned	\$2,512,952

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Designated Balance
9.)Camps and Conferences - all balances are retained by the camp/conference for use in future related programming or to pay stipends for camp/conference workers.	\$761,167
10.)Projects in progress account in FP&M (Facilities Planning & Management). These are projects that have been started and are not yet complete but departments have provided funds to purchase items.	\$185,000
11.)33 small accounts with balances averaging just over \$6,000 each where the funds remain with the activity. These departments are responsible for their own deficits as well as are able to utilize their balances within the program.	\$222,792
Totals	\$1,168,959

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Reserves Balance
Totals	\$0

Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Undocumented Balance
12.)Program revenue discretionary funds- These funds can be accessed by request from the Chancellor's office. These must be one-time in nature. Examples of past expenditures are: MLK day event, busses for championship travel, Affirmative Action projects, Scholar athlete watches, Rugby team travel support (partial), PlayExpo (gaming expo) partial support.	\$250,000
Totals	\$250,000

Total	\$3,156,761
Obligated	\$3,156,761
Planned	\$0

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Designated Balance
2.)Scholarships - License Plate	\$1,720
3.)Non-credit extension - Funds remain in 132 credit extension	\$58,952
Totals	\$60,672

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Reserves Balance
Totals	\$0

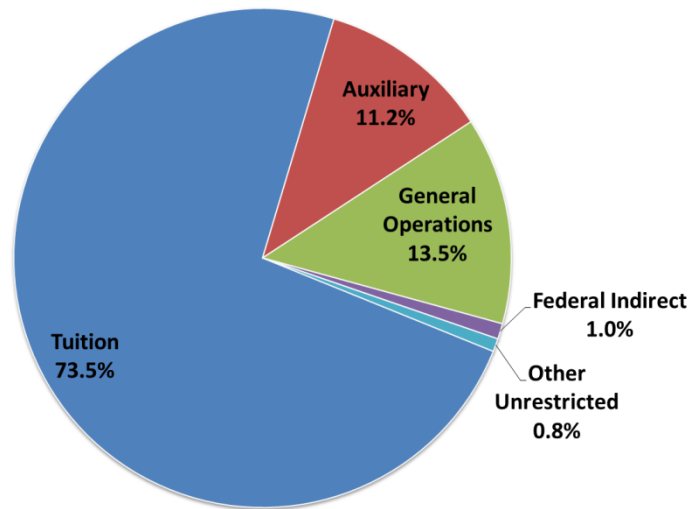
Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Undocumented Balance
Totals	\$0

UW Colleges FY 2014 PR Balances

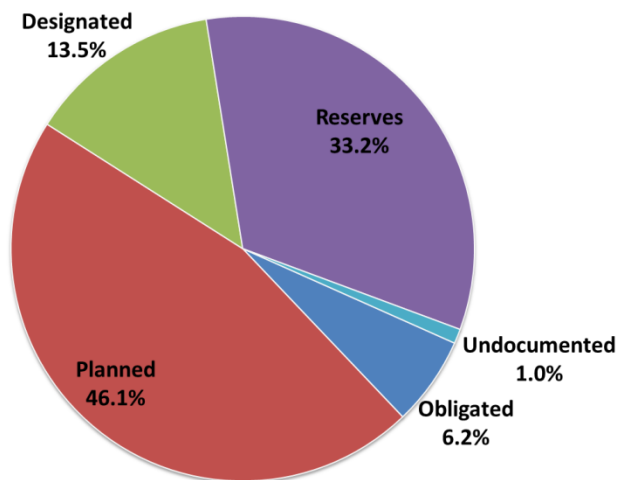
UW Colleges FY 2014 PR Balances by Category



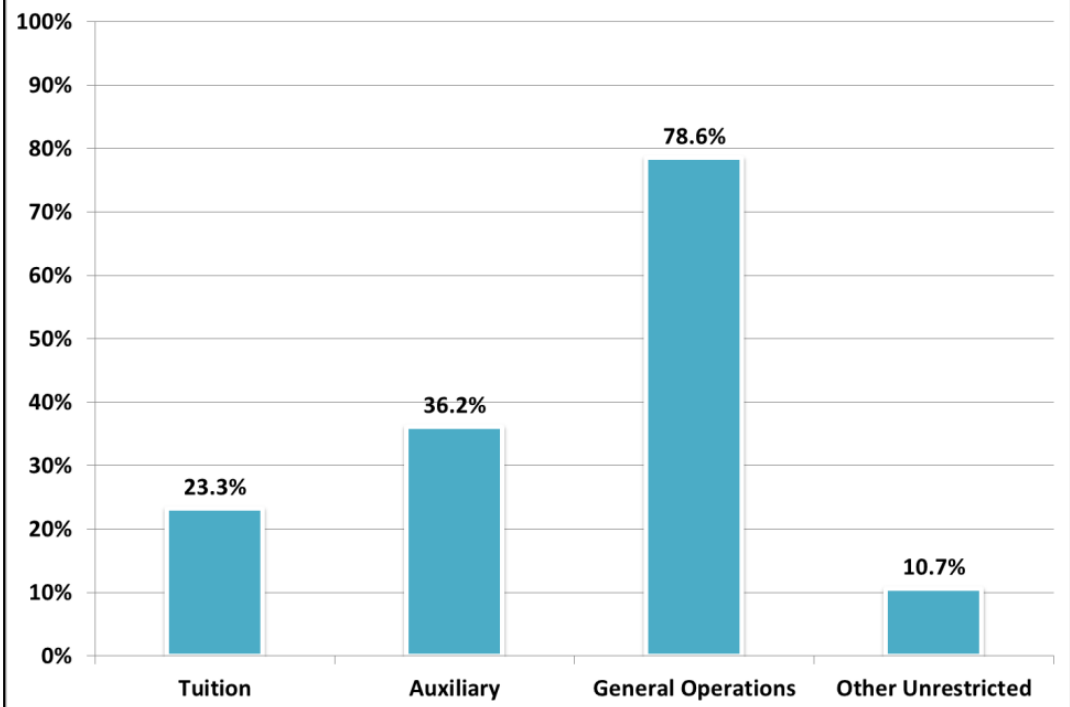
PR Balances Highlights

- Tuition Balances increased 12.2% from FY 2013 to FY 2014
- Total Unrestricted Balances increased 4.5% from FY 2013 to FY 2014
- Auxiliary Balances increased 0.6% from FY 2013 to FY 2014
- General Operations Balances decreased 16.6% from FY 2013 to FY 2014

UW Colleges FY 2014 PR Balances by Level of Commitment



UW Colleges FY 2014 Balance Calculations



Summary of UW System Unrestricted PR Balances by Level of Commitment
FY 2014 ending balances as categorized by institution reports

UW-Colleges

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Tuition	1,348,844	9,234,325	211,842	7,745,501	196,528	18,737,040
Auxiliary	166,353	614,912	1,436,331	628,799	-	2,846,395
General Operations	57,095	1,829,736	1,475,308	67,225	-	3,429,364
Federal Indirect	-	73,803	-	122,156	61,402	257,361
Other Unrestricted	12,854	2,097	308,230	(107,385)	-	215,796
	1,585,146	11,754,873	3,431,711	8,456,296	257,930	25,485,956
	6.2%	46.1%	13.5%	33.2%	1.0%	100.0%
UW System*	30.5%	47.4%	10.0%	10.4%	1.6%	100.0%

* (excluding System Admin and Systemwide)



	Tuition (131 and 189)	Auxiliary (128 and 228)	General Operations 136	Other Unrestricted (xxx)	Subtotal	Federal Indirect	Total Unrestricted
Total PR Appropriation Balances							
FY2012-13	16,703,620	2,828,505	4,113,446	19,434	23,665,005	716,143	24,381,148
FY2013-14	18,737,040	2,846,395	3,429,364	215,796	25,228,595	257,361	25,485,956
Change	2,033,420	17,890	(684,082)	196,362	1,563,590	(458,782)	1,104,808
	12.2%	0.6%	-16.6%	1010.4%	6.6%	-64.1%	4.5%
Total Expenses							
FY2012-13	78,095,501	7,667,804	5,714,449	2,345,575			
FY2013-14	80,426,291	7,863,971	4,362,368	2,014,709			
Change	2,330,790	196,167	(1,352,081)	(330,866)			
Balance Calculations							
FY2012-13	21.4%	36.9%	72.0%	0.8%			
FY2013-14	23.3%	36.2%	78.6%	10.7%			

Report on Tuition (Funds 131 & 189) Balance in Excess of 12% of Fiscal Year Expenditures

UW COLLEGES

Fiscal Year:

		Percentage (%)
Total Balance	\$18,737,040	23.30%
Obligated		\$1,348,844
Planned		\$9,234,325
<i>subtotal: Obligated & Planned</i>		<i>\$10,583,169</i>
Designated	\$211,842	
Reserves	\$7,745,501	
Undocumented Funds	\$196,528	
Remaining Balance	\$0	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY 14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended
Initial funding of the UW Colleges faculty salary compensation plan	Planned	\$785,320	\$1,200,000	\$1,200,000	FY15-17
Upgrade IT infrastructure at UW Colleges campuses	Planned	\$2,000,460	\$2,000,460	\$2,000,460	FY15 & FY16
Salaries for staff receiving unexpected salary increases or payments and approved, temporary positions with funding from reserves at multiple campuses and the Madison-based office for FY15.	Obligated	\$203,762	\$203,762	\$203,762	FY15
Salaries for staff receiving unexpected salary increases or payments and approved, temporary positions with funding from reserves at multiple campuses and the Madison-based office for FY16 & FY17.	Planned	\$456,995	\$660,757	\$660,757	FY16 & FY17
Additional expense for new faculty position above budget for FY15 & FY16 at UW-Rock County	Obligated	\$66,200	\$66,200	\$66,200	FY15 & FY16
Anticipated instructional salary expense above budget for FY15-FY18 at multiple campuses	Planned	\$527,111	\$527,111	\$527,111	FY15-18
UW-Barron County highway sign	Obligated	\$19,500	\$19,500	\$19,500	FY15
Start-up strategic initiatives at UW-Sheboygan, including a residence hall feasibility study and peer mentoring program	Planned	\$71,000	\$71,000	\$71,000	FY15
General operations due to structural deficits or operations beyond available budget	Planned	\$2,020,578	\$2,222,934	\$2,222,934	FY15 & FY16
Bridge funding to phase in budget and staff reductions	Obligated	\$135,451	\$135,451	\$135,451	FY15

Operational support for the Wisconsin Institute for Public Policy and Service	Planned	\$431,550	\$431,550	\$431,550	FY15-FY17
Start-up expense for the Bachelor of Applied Arts and Science degree completion program	Obligated	\$564,444	\$564,444	\$564,444	FY15
Start-up expense for the Bachelor of Applied Arts and Science degree completion program	Planned	\$882,884	\$1,485,484	\$1,485,484	FY16 & FY17
Start-up expense for the international studies program	Obligated	\$176,324	\$176,324	\$176,324	FY15
Start-up expense for the international studies program	Planned	\$308,049	\$308,049	\$308,049	FY16 & FY17
UW Colleges student recruitment initiative to expand access to rural Wisconsin school districts	Planned	\$313,638	\$1,321,253	\$1,321,253	FY15-FY17
Northeast Wisconsin Educational Resource Alliance (NEW ERA) participation expense	Obligated	\$25,000	\$25,000	\$25,000	August 2014
UW Colleges safety and chemical hygiene officers	Planned	\$70,481	\$70,481	\$70,481	FY15
Anticipated loss of tuition due to Course Options program that allows students to obtain college credit in high school, in excess of support provided by UW System	Planned	\$894,000	\$1,681,835	\$1,681,835	By Spring 2015
Equipment for distance education program	Planned	\$14,921	\$14,921	\$14,921	Fall 2014
Faculty professional development	Planned	\$194,309	\$194,309	\$194,309	2014-15
Sexual Assault Prevention Program Start-Up	Planned	\$2,100	\$2,100	\$2,100	2014-15
Marketing and recruitment support for UW-Marshfield/Wood County	Planned	\$73,619	\$73,619	\$73,619	2014-15
Coordinator for UW Colleges' engineering collaboration with UW-Platteville	Planned	\$39,000	\$39,000	\$39,000	Through FY17
UW Colleges-wide marketing expenses	Planned	\$73,310	\$73,310	\$73,310	Fall 2014
Internal and external grant funding carried over to be spent per the terms of the awards	Obligated	\$158,163	\$158,163	\$158,163	2014-15
Coordinator for UW Colleges' Partnership to Advance College Education program	Planned	\$75,000	\$75,000	\$75,000	FY15-FY17

Total

Obligated

Planned

\$10,583,169
\$1,348,844
\$9,234,325

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Designated Balance
Continuing Education	\$166,695
Student Technology Fee/Laboratory Modernization	\$45,147
Totals	\$211,842

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Reserves Balance
Unexpected declines/fluctuations in enrollment, emergencies, or other unforeseen circumstances.	\$7,745,501
UW Colleges is heavily tuition dependent and, as an access institution, subject to great fluctuations in enrollment due to the broader economy and the small size of many of the campuses. UW Colleges also established a 5% reserve goal for each of its divisions, with the exception of the cost recovery Online operation, which has a 25% reserve requirement.	
Totals	\$7,745,501

Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Undocumented Balance
Undocumented funds are being held by campuses for strategic opportunities or as reserves in excess of the UW Colleges' established maximum reserve for the fund.	\$196,528
Totals	\$196,528

Report on Auxiliary Operations Balance (Funds 128 & 228) in Excess of 12% of Fiscal Year Expenditures

UW COLLEGES

Fiscal Year:

		Percentage (%)
Total Balance	\$2,846,395	36.2%
Obligated		\$166,353
Planned		\$614,912
<i>subtotal: Obligated & Planned</i>		\$781,265
Designated	\$1,436,331	
Reserves	\$628,799	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended
Offset increases in student segregated fees by utilizing available balances	Planned	\$123,583	\$123,583	\$123,583	2014-15
Fleet vehicle replacement plans at UW-Barron County, UW-Marshfield/Wood County, and UW-Richland	Planned	\$50,018	\$64,500	\$64,500	FY15-FY17
Staff expense, such as staff hired at higher than budgeted rates or positions without designated revenue streams	Obligated	\$129,353	\$208,001	\$208,001	FY15
Staff expense, such as staff hired at higher than budgeted rates or positions without designated revenue streams	Planned	\$168,360	\$216,759	\$216,759	FY15-FY17

Campus facility and safety improvements at several campuses, such as refinishing floors and hiring a contracting with sheriff's office to patrol campus	Planned	\$266,341	\$298,341	\$298,341	FY15-FY17
Lease payments for Marathon Hall at UW-Marathon County	Obligated	\$37,000	\$37,000	\$37,000	FY15 & FY16
Support for student government meetings, including travel	Planned	\$6,610	\$6,610	\$6,610	FY15

Total	\$781,265
Obligated	\$166,353
Planned	\$614,912

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Designated Balance
Student fee-funded operations	\$1,348,870
Special course fees	\$87,461
Totals	\$1,436,331

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Reserves Balance
Reserves are held in auxiliary operations, including student segregated fee operations based on UW System policy and for emergencies and for unexpected fluctuations in enrollments which may cause a loss of segregated fee or auxiliary revenue.	\$628,799
Totals	\$628,799

Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Undocumented Balance
Auxiliary Operations	\$0
Totals	\$0

Report on General Operations (Fund 136) Balance in Excess of 12% of Fiscal Year Expenditures

UW COLLEGES

Fiscal Year:

		Percentage (%)
Total Balance	\$3,429,364	78.6%
Obligated		\$57,095
Planned		\$1,829,736
<i>subtotal: Obligated & Planned</i>		<i>\$1,886,831</i>
Designated	\$1,475,308	
Reserves	\$67,225	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended
Campus equipment replacement and repairs, including tractors, mowers, and parking lot maintenance	Planned	\$94,705	\$94,705	\$94,705	FY15-FY17
Resources that will be redirected to sustain key operational units throughout the institution	Planned	\$318,299	\$318,299	\$318,299	FY15
Fund structural deficit/general operations	Planned	\$1,105,856	\$1,105,856	\$1,105,856	Through FY17
FY15 salary expenses for new staff or staff receiving unanticipated salary increases	Obligated	\$46,236	\$46,236	\$46,236	FY15
FY16 & FY17 salary expenses for new staff or staff receiving unanticipated salary increases	Planned	\$92,471	\$92,471	\$92,471	FY16 & FY17

Student programming beyond budgeted, including orientation, tutoring, alcohol and drug awareness, and engaging students in the first year	Planned	\$188,405	\$188,405	\$188,405	FY16-FY19
UW-Richland County student services staff	Obligated	\$10,859	\$10,859	\$10,859	Fall 2014 & Spring 2015
Professional development, above budget, for faculty at UW-Sheboygan	Planned	\$30,000	\$30,000	\$30,000	\$6k annually through FY20

Total	\$1,886,831
Obligated	\$57,095
Planned	\$1,829,736

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Designated Balance
Remedial education	\$1,078,546
User fees, including orientation and ID cards	\$306,682
Other operations	\$90,080
Totals	\$1,475,308

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Reserves Balance
Reserves are held for unexpected declines in enrollment that affect fee revenue and emergencies.	\$67,225
Totals	\$67,225

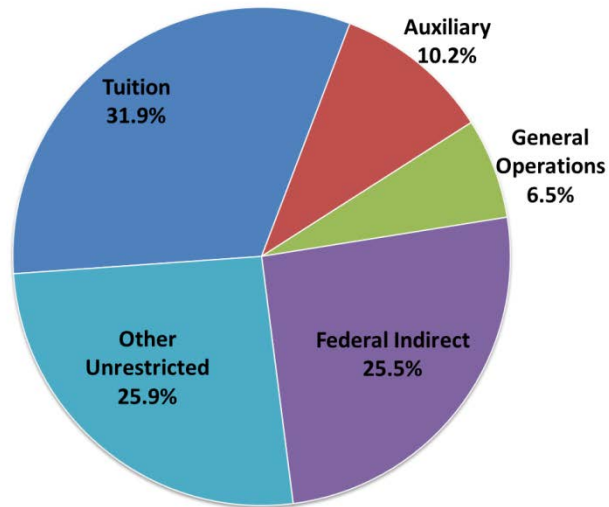
Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

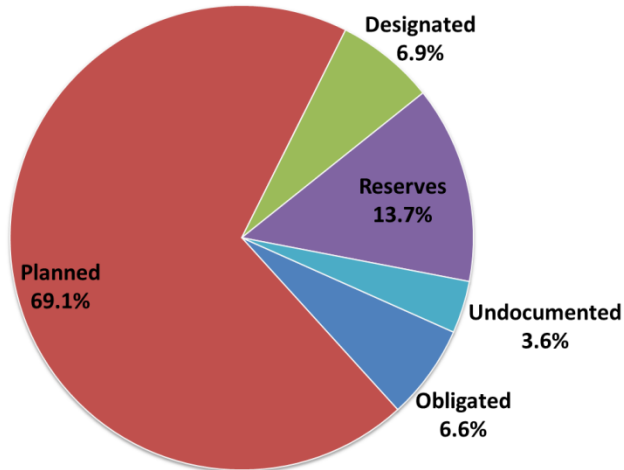
Major Balance Grouping	FY14 Undocumented Balance
Totals	\$0

UW-Extension FY 2014 PR Balances

UW-Extension FY 2014 PR Balances by Category



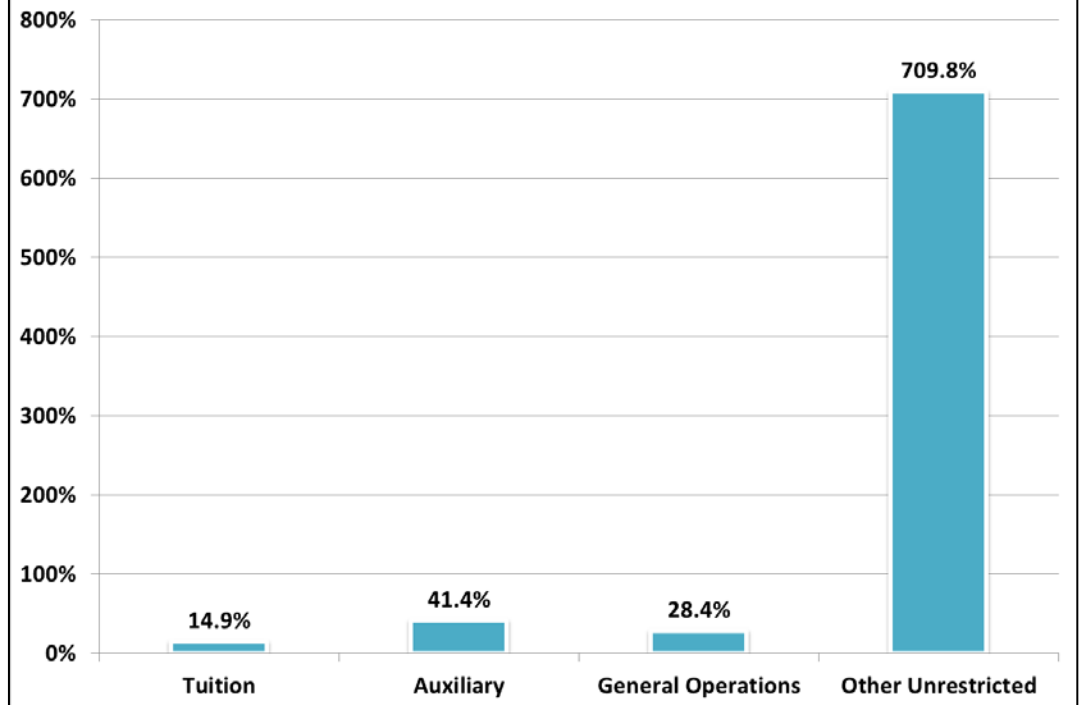
UW-Extension FY 2014 PR Balances by Level of Commitment



PR Balances Highlights

- **Tuition Balances decreased 20.1% from FY 2013 to FY 2014**
- **Total Unrestricted Balances increased 31.1% from FY 2013 to FY 2014**
- **Auxiliary Balances increased 3.2% from FY 2013 to FY 2014**
- **General Operations Balances increased 10.2% from FY 2013 to FY 2014**

UW-Extension FY 2014 Balance Calculations



Summary of UW System Unrestricted PR Balances by Level of Commitment
FY 2014 ending balances as categorized by institution reports

UW-Extension

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Tuition	-	7,791,952	-	840,342	-	8,632,294
Auxiliary	340,499	1,932,871	176,118	298,369	7,664	2,755,521
General Operations	450,676	281,937	1,016,970	14,578	2,424	1,766,585
Federal Indirect	566,013	3,160,308	-	2,200,000	976,503	6,902,824
Other Unrestricted	428,814	5,545,667	674,282	367,112	-	7,015,875
	1,786,002	18,712,735	1,867,370	3,720,401	986,591	27,073,099
	6.6%	69.1%	6.9%	13.7%	3.6%	100.0%
UW System*	30.5%	47.4%	10.0%	10.4%	1.6%	100.0%

* (excluding System Admin and Systemwide)



	Tuition (131 and 189)	Auxiliary (128 and 228)	General Operations 136	Other Unrestricted (xxx)	Subtotal	Federal Indirect	Total Unrestricted
Total PR Appropriation Balances							
FY2012-13	10,805,644	2,669,386	1,603,650	(2,312,501)	12,766,179	7,885,540	20,651,719
FY2013-14	8,632,294	2,755,521	1,766,585	7,015,875	20,170,275	6,902,824	27,073,099
Change	(2,173,350)	86,135	162,935	9,328,376	7,404,096	(982,716)	6,421,380
	-20.1%	3.2%	10.2%	-403.4%	58.0%	-12.5%	31.1%
Total Expenses							
FY2012-13	62,922,780	7,575,123	1,883,901	(6,161,702)			
FY2013-14	58,095,821	6,655,149	6,216,299	988,364			
Change	(4,826,959)	(919,974)	4,332,398	7,150,066			
Balance Calculations							
FY2012-13	17.2%	35.2%	85.1%	37.5%			
FY2013-14	14.9%	41.4%	28.4%	709.8%			

Report on Tuition (Funds 131 & 189) Balance in Excess of 12% of Fiscal Year Expenditures**UW-EXTENSION****Fiscal Year: 2013-14**

		Percentage (%)
Total Balance	\$8,632,294	14.86%
Obligated		\$0
Planned		\$7,791,952
<i>subtotal: Obligated & Planned</i>		\$7,791,952
Designated	\$0	
Reserves	\$840,342	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended
UW Flexible Option Start Up Funding from UWS	Planned	\$6,646,180	\$6,646,180	\$6,646,180	FY15-17
Entrepreneurship and Economic Development UW System Incentive Grant "Capital Catalyst Commercialization Seed Fund with WEDC Match"	Planned	\$963,872.00	\$963,872.00	\$963,872.00	FY15-17
Cooperative Extension Aquaculture Educators Grant	Planned	\$135,000	\$135,000	\$135,000	FY15
Office of Equity and Diversity Multicultural Awareness Program	Planned	\$46,900	\$46,900	\$46,900	FY15

Total	\$7,791,952
Obligated	\$0
Planned	\$7,791,952

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Designated Balance
Totals	\$0

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Reserves Balance
Continuing Education reserve for emergencies or other unforeseen circumstances	\$840,342
Totals	\$840,342

Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Undocumented Balance
Totals	\$0

Report on Auxiliary Operations Balance (Funds 128 & 228) in Excess of 12% of Fiscal Year Expenditures**UW-EXTENSION****Fiscal Year: 2013-14**

		Percentage (%)
Total Balance	\$2,755,521	41.4%
Obligated		\$340,499
Planned		\$1,932,871
<i>subtotal: Obligated & Planned</i>		\$2,273,370
Designated	\$176,118	
Reserves	\$298,369	
Undocumented Funds	\$7,664	
Remaining Balance	\$0	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended
Conference Centers Lowell Hall HVAC renovation	Planned	\$1,000,000	\$1,000,000	\$1,000,000	FY15-17
Conference Centers Lowell Hall Energy Efficiencies	Planned	\$339,954	\$760,000	\$760,000	FY15-16
Conference Centers classroom replacement chairs	Obligated	\$319,526	\$319,526	\$319,526	FY15
Building Services Vilas Hall Rooms 2089 & 2089A renovation	Planned	\$50,000	\$50,000	\$50,000	FY15-16
Mail Services capital equipment replacement and renovation	Planned	\$505,000	\$605,000	\$605,000	FY16-17
Mail Services maintenance/service agreements for new Videojets inkjet	Planned	\$37,917	\$91,447	\$91,447	FY15-17
Cooperative Extension Upham Woods Consolidated Management Invoice	Obligated	\$20,973	\$20,973	\$20,973	FY15

Total	\$2,273,370
Obligated	\$340,499
Planned	\$1,932,871

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Designated Balance
Service Center operations	\$150,000
Camps/Clinics revenue	\$26,118
Totals	\$176,118

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Reserves Balance
Service Center reserve for emergencies or other unforeseen circumstances	\$244,284
Camps/Clinics reserve for emergencies or other unforeseen circumstances	\$54,085
Totals	\$298,369

Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Undocumented Balance
Other Fund 128 account - Pcard Rebate	\$7,664
Totals	\$7,664

Report on General Operations (Fund 136) Balance in Excess of 12% of Fiscal Year Expenditures

UW-EXTENSION

Fiscal Year: 2013-14

		Percentage (%)
Total Balance	\$1,766,585	28.4%
Obligated		\$450,676
Planned		\$281,937
<i>subtotal: Obligated & Planned</i>		\$732,613
Designated	\$1,016,970	
Reserves	\$14,578	
Undocumented Funds	\$2,424	
Remaining Balance	\$0	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended
Clearing account - deposits in transit. Amounts received late in SFY14 due to other entities.	Obligated	\$127,910	\$127,910	\$127,910	FY15
Information Technology Structural Salary Deficit	Planned	\$36,111	\$36,111	\$36,111	FY15
Information Technology - UW System MOR Associates Information Technology Leadership Program - 1 person	Planned	\$5,000	\$5,000	\$5,000	FY15
Salaries and fringe benefits for Cooperative Extension Conservation Professional Training Program	Obligated	\$35,770	\$35,770	\$35,770	FY15
Salaries and fringe benefits for Cooperative Extension Environmental Resources Center	Obligated	\$144,027	\$144,027	\$144,027	FY15
Wisconsin Public Radio Payment to Fishes Circle for May/June 2014 services	Obligated	\$31,875	\$31,875	\$31,875	FY15
Instructional Communication Systems Arkadin bills for June services	Planned	\$12,500	\$12,500	\$12,500	FY15
Wisconsin Public TV National Center for Media Engagement ALRA (Accumulated Leave Reserve Account) payments	Obligated	\$17,223	\$17,223	\$17,223	FY15
Entrepreneurship and Economic Development FY18 National Institutes of Health Conference Host Sponsorship	Planned	\$25,000	\$25,000	\$25,000	FY18
Wisconsin Campus Compact Office Lease	Obligated	\$6,000	\$6,000	\$6,000	FY15
Wisconsin Campus Compact Salaries	Planned	\$78,430	\$78,430	\$78,430	FY15
Wisconsin Campus Compact Program Sponsorships for Members	Planned	\$11,017	\$11,017	\$11,017	FY15
Wisconsin Campus Compact Marketing Materials	Planned	\$10,000	\$10,000	\$10,000	FY15
Cooperative Extension 4-H Youth Congress expenses	Planned	\$34,414	\$66,125	\$100,538	FY15
Cooperative Extension 4-H Youth Operations	Obligated	\$11,400	\$11,400	\$11,400	FY15
Cooperative Extension 4-H Youth Operations	Planned	\$2,083	\$2,083	\$2,083	FY15
Cooperative Extension 4-H Youth Conferences	Obligated	\$76,471	\$76,471	\$76,471	FY15
Cooperative Extension 4-H Youth Conferences	Planned	\$3,482	\$3,482	\$3,482	FY15
Cooperative Extension 4-H International	Planned	\$21,900	\$21,900	\$21,900	FY15
Cooperative Extension Local Government Center County Board Supervisor's Handbook printing	Planned	\$6,000	\$6,000	\$6,000	FY16
Cooperative Extension Local Government Center Ad Hoc Appointment	Planned	\$10,000	\$10,000	\$10,000	FY15
Cooperative Extension Local Government Center Updating Parliamentary Procedure Video	Planned	\$10,000	\$10,000	\$10,000	FY15

Cooperative Extension Local Government Center GREAT Program (Graphing Revenues,	Planned	\$8,000	\$8,000	\$8,000	FY15
Cooperative Extension Local Government Center Building Capacity in Local Govt. Inservice Training	Planned	\$8,000	\$8,000	\$8,000	FY15

Total	\$732,613
Obligated	\$450,676
Planned	\$281,937

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Designated Balance
Service Centers operations revenue	\$589,211
Conferences/Workshops revenue	\$243,468
Other Fund 136 operations revenue	\$184,291
Totals	\$1,016,970

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Reserves Balance
Other Fund 136 reserve for emergencies or other unforeseen circumstances	\$14,578
Totals	\$14,578

Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Undocumented Balance
Institutional Projects - Interest Earnings	\$2,424
Totals	\$2,424

Report on Other Unrestricted Program Revenue Balance in Excess of 12% of Fiscal Year Expenditures

UW-EXTENSION

Fiscal Year: 2013-14

		Percentage (%)
Total Balance	\$7,015,875	709.85%
Obligated		\$428,814
Planned		\$5,545,667
<i> subtotal: Obligated & Planned</i>		<i>\$5,974,481</i>
Designated	\$674,282	
Reserves	\$367,112	
Undocumented Funds	\$0	
Remaining Balance	\$0	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended
Continuing Education website redesign and merger project: UW HELP.Wisconsin.edu, DistanceLearning.Wisconsin, and UW System eCampus	Planned	\$695,000	\$695,000	\$695,000	FY15-16
Continuing Education collaborative program funding for UW Green Bay and UW Stout	Obligated	\$59,119	\$59,119	\$59,119	FY15
Continuing Education purchase of office building	Planned	\$4,750,667	\$4,750,667	\$4,750,667	FY16-17
School for Workers Non-Credit Registration System	Planned	\$100,000	\$100,000	\$100,000	FY15-16
Conference Centers Debt Service Obligation	Obligated	\$369,695	\$369,695	\$369,695	FY15-16

Total	\$5,974,481
Obligated	\$428,814
Planned	\$5,545,667

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Designated Balance
Continuing Education	\$171,688
Student Application fees for UW HELP	\$502,594
Totals	\$674,282

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Reserves Balance
Continuing Education reserve for emergencies or other unforeseen circumstances	\$367,112
Totals	\$367,112

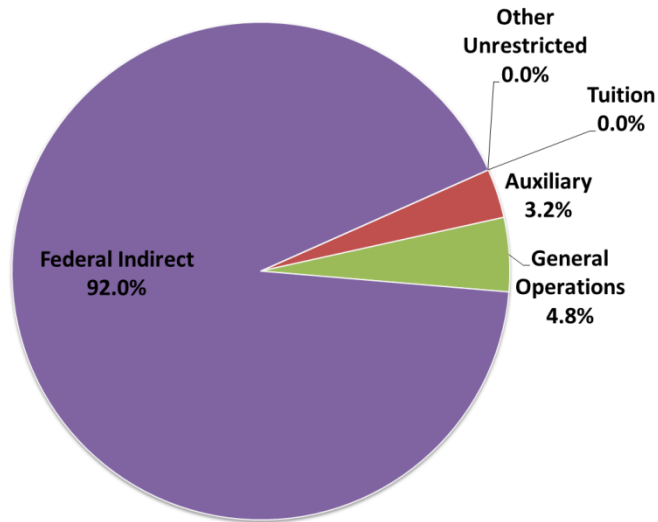
Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Undocumented Balance
Totals	\$0

UW System Administration FY 2014 PR Balances

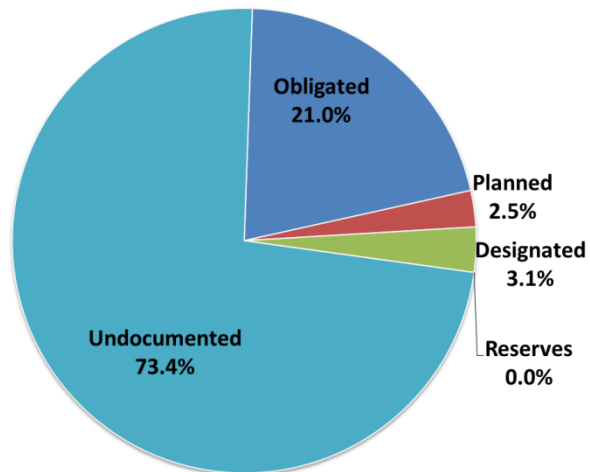
UW System Admin FY 2014 PR Balances by Category



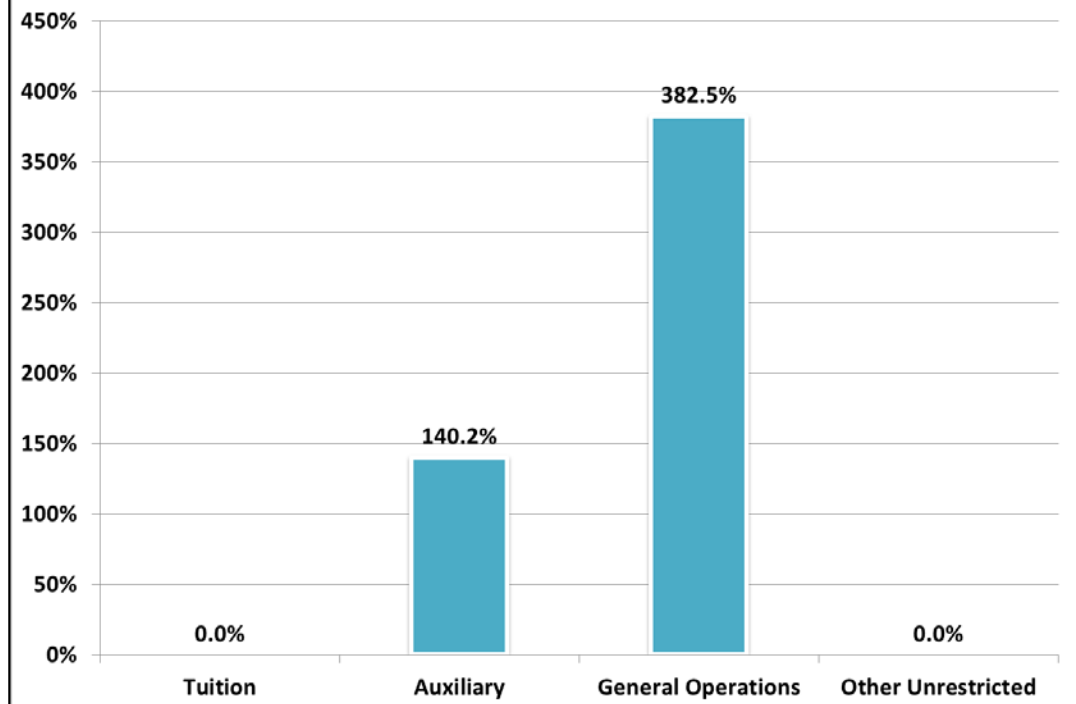
PR Balances Highlights

- **Total Unrestricted Balances decreased 9.5% from FY 2013 to FY 2014**
- **Auxiliary Balances increased 109.8% from FY 2013 to FY 2014**
- **General Operations Balances increased 3.1% from FY 2013 to FY 2014**

UW System Admin FY 2014 PR Balances by Level of Commitment



UW System Admin FY 2014 Balance Calculations



Summary of UW System Unrestricted PR Balances by Level of Commitment

FY 2014 ending balances as categorized by institution reports

UW-System Administration

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Tuition	-	-	-	-	-	-
Auxiliary	368,670	-	-	-	5,712	374,382
General Operations	-	-	367,226	-	195,627	562,853
Federal Indirect	2,086,662	296,206	-	-	8,390,638	10,773,506
Other Unrestricted	-	-	-	-	-	-
	<u>2,455,332</u>	<u>296,206</u>	<u>367,226</u>	<u>-</u>	<u>8,591,977</u>	<u>11,710,741</u>
	21.0%	2.5%	3.1%	0.0%	73.4%	100.0%
UW System*	30.5%	47.4%	10.0%	10.4%	1.6%	100.0%

* (excluding System Admin and Systemwide)



	Tuition (131 and 189)	Auxiliary (128 and 228)	General Operations 136	Other Unrestricted (xxx)	Subtotal	Federal Indirect	Total Unrestricted
Total PR Appropriation Balances							
FY2012-13	0	178,470	545,739	0	724,209	12,219,292	12,943,501
FY2013-14	0	374,382	562,853	0	937,235	10,773,506	11,710,741
Change	<u>0</u>	<u>195,912</u>	<u>17,114</u>	<u>0</u>	<u>213,026</u>	<u>(1,445,786)</u>	<u>(1,232,760)</u>
	-	109.8%	3.1%	-	29.4%	-11.8%	-9.5%
Total Expenses							
FY2012-13	0	238,290	143,702	0			
FY2013-14	0	267,124	147,143	0			
Change	<u>0</u>	<u>28,834</u>	<u>3,441</u>	<u>0</u>			
Balance Calculations							
FY2012-13	-	74.9%	379.8%	-			
FY2013-14	-	140.2%	382.5%	-			

UW System Administration
Fiscal Year: 2014

		Percentage (%)
Total Balance	\$374,382	140.2%
Obligated		\$368,670
Planned		\$0
<i> subtotal: Obligated & Planned</i>		<i>\$368,670</i>
Designated	\$0	
Reserves	\$0	
Undocumented Funds	\$5,712	
Remaining Balance	\$0	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

[illegible]

Total	\$368,670
Obligated	\$368,670
Planned	\$0

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Designated Balance
Totals	\$0

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Reserves Balance
Totals	\$0

Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Undocumented Balance
Fee Remission Clearing and other small balances	\$5,712
Totals	\$5,712

Report on General Operations (Fund 136) Balance in Excess of 12% of Fiscal Year Expenditures

UW System Administration
Fiscal Year: 2014

		Percentage (%)
Total Balance	\$562,853	382.5%
Obligated		\$0
Planned		\$0
<i>subtotal: Obligated & Planned</i>		\$0
Designated	\$367,226	
Reserves	\$0	
Undocumented Funds	\$195,627	
Remaining Balance	\$0	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended

Total	\$0
Obligated	\$0
Planned	\$0

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Designated Balance
Systemwide Insurance Program Administration	\$367,226
Totals	\$367,226

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Reserves Balance
Totals	\$0

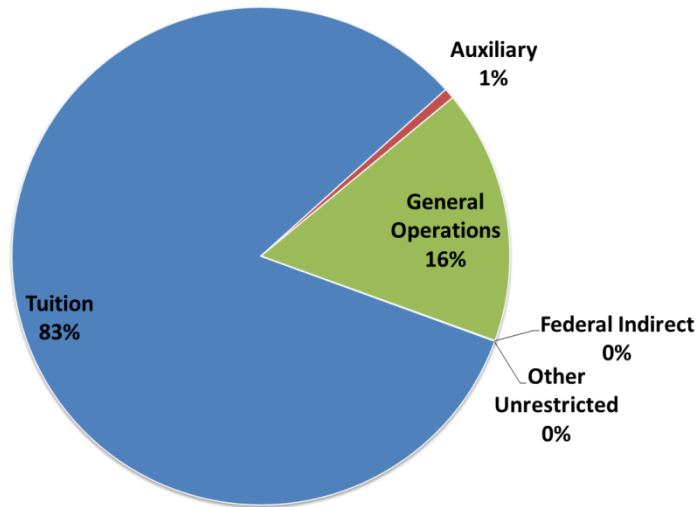
Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

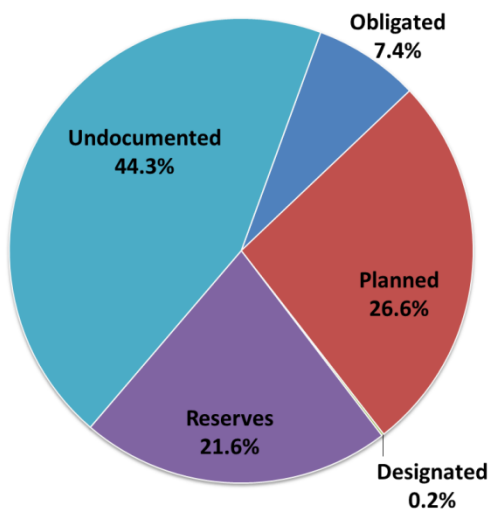
Major Balance Grouping	FY14 Undocumented Balance
Misc. revenues not yet dedicated to specific purpose	\$174,463
Misc. small balances held in conference accounts pending future conferences - over long term accounts are intended to break even.	\$21,164
Totals	\$195,627

UW-Systemwide FY 2014 PR Balances

UW-Systemwide FY 2014 PR Balances by Category



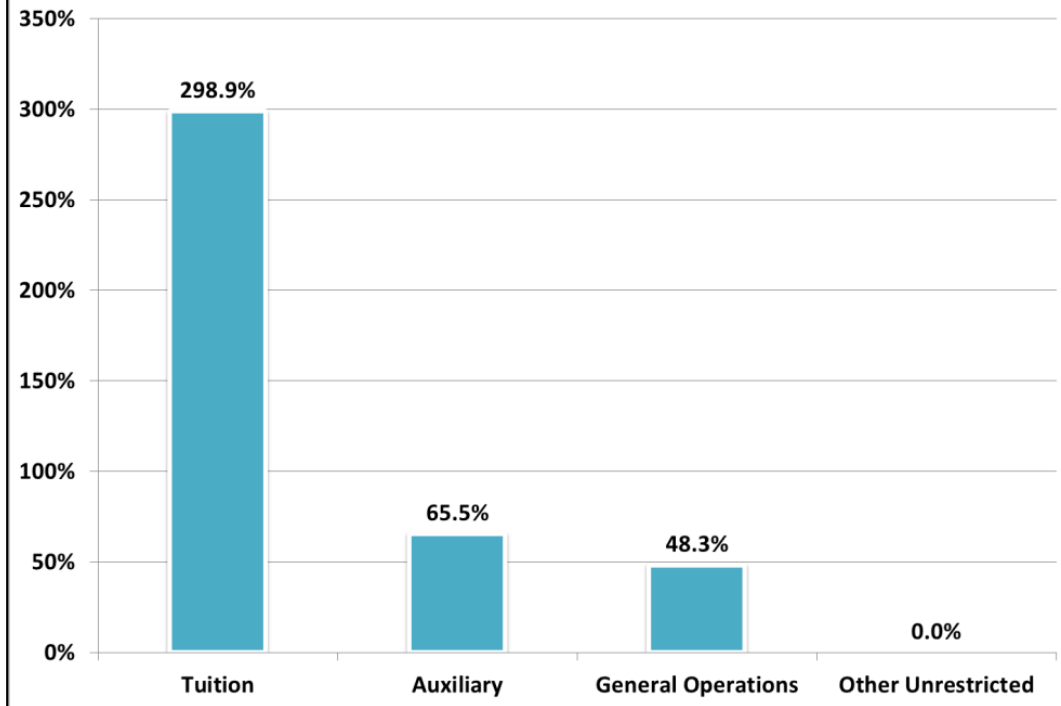
UW-Systemwide FY 2014 PR Balances by Level of Commitment



PR Balances Highlights

- **Tuition Balances decreased 49.3% from FY 2013 to FY 2014**
- **Total Unrestricted Balances decreased 43.5% from FY 2013 to FY 2014**
- **Auxiliary Balances increased 5.4% from FY 2013 to FY 2014**
- **General Operations Balances increased 29.3% from FY 2013 to FY 2014**

UW-Systemwide FY 2014 Balance Calculations



Summary of UW System Unrestricted PR Balances by Level of Commitment

FY 2014 ending balances as categorized by institution reports

UW-Systemwide

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Tuition	414,848	24,659,990	-	20,000,000	31,834,289	76,909,127
Auxiliary	-	40,000	146,358	80,457	366,897	633,712
General Operations	6,419,240	-	-	-	8,922,994	15,342,234
Federal Indirect	-	-	-	-	35,573	35,573
Other Unrestricted	-	-	-	-	-	-
	6,834,088	24,699,990	146,358	20,080,457	41,159,753	92,920,646
	7.4%	26.6%	0.2%	21.6%	44.3%	100.0%
UW System*	30.5%	47.4%	10.0%	10.4%	1.6%	100.0%

* (excluding System Admin and Systemwide)



	Tuition (131 and 189)	Auxiliary (128 and 228)	General Operations 136	Other Unrestricted (xxx)	Subtotal	Federal Indirect	Total Unrestricted
Total PR Appropriation Balances							
FY2012-13	151,777,431	601,298	11,868,612	0	164,247,341	120,178	164,367,519
FY2013-14	76,909,127	633,712	15,342,234	0	92,885,073	35,573	92,920,646
Change	(74,868,304)	32,414	3,473,622	0	(71,362,268)	(84,605)	(71,446,873)
	-49.3%	5.4%	29.3%	-	-43.4%	-70.4%	-43.5%
Total Expenses							
FY2012-13	11,582,059	1,047,465	(4,005,770)	1,654,672			
FY2013-14	25,730,006	967,678	31,734,150	1,923,206			
Change	14,147,947	(79,787)	35,739,920	268,534			
Balance Calculations							
FY2012-13	1310.5%	57.4%	-296.3%	0.0%			
FY2013-14	298.9%	65.5%	48.3%	0.0%			

Report on Tuition (Funds 131 & 189) Balance in Excess of 12% of Fiscal Year Expenditures

UW-SYSTEMWIDE

Fiscal Year: 2014

		Percentage (%)
Total Balance	\$76,909,127	298.91%
Obligated		\$414,848
Planned		\$24,659,990
<i>subtotal: Obligated & Planned</i>		<i>\$25,074,838</i>
Designated	\$0	
Reserves	\$20,000,000	
Undocumented Funds	\$31,834,289	
Remaining Balance	\$0	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended
1 Outstanding encumbrances in Systemwide accounts	Obligated	\$414,848	\$414,848	\$414,848	FY15
2 UW-Parkside interim institution funding support	Planned	\$1,625,000	\$1,625,000	\$1,625,000	FY15-FY16
3 UW-Superior interim institution funding support	Planned	\$2,143,616	\$2,143,616	\$2,143,616	FY15-FY16
4 WiscAMP Support (Wisconsin Alliance for Minority Participation's) to expand involvement of STEM (Science, Technology, Engineering and Math) faculty involvement in WiscAMP-related activities	Planned	\$550,000	\$550,000	\$550,000	FY15-FY19
5 Expanded WiSys Technology Foundation economic development support	Planned	\$5,000,000	\$5,000,000	\$5,000,000	FY15-FY19
6 UW System support to UW institutions for Course Options concurrent enrollment program partnerships with Wisconsin high schools	Planned	\$2,916,025	\$2,916,025	\$2,916,025	FY15
7 UW System Research Block Grant and Regent Scholar Program support	Planned	\$1,030,000	\$1,030,000	\$1,030,000	FY15
8 UW Service Center and Human Resource System (HRS) Targeted Improvement Initiatives and Stabilization support	Planned	\$5,000,000	\$5,000,000	\$5,000,000	FY15-FY16
9 Commitment to hold total UW System Common Systems assessment to institutions at FY14 levels	Planned	\$424,091	\$424,091	\$424,091	FY15
10 Final Phase of UW System Network - buildout costs	Planned	\$2,498,429	\$2,498,429	\$7,833,454	FY14-FY15
11 UW System Network - UW Systemwide operating support	Planned	\$833,453	\$833,453	\$833,453	FY14-FY15
12 Systemwide support for Next Generation Library Project	Planned	\$1,411,674	\$1,988,460	\$1,988,460	FY14-FY15
13 UW Superior Athletics Initiative support	Planned	\$715,152	\$715,152	\$1,411,930	FY14-FY18
14 UW Platteville Tri-State Initiative - interim tuition support	Planned	\$250,000	\$250,000	\$250,000	FY15
15 UW Superior Website and Twin Cities enrollment initiative	Planned	\$262,550	\$262,500	\$262,550	FY15

Total	\$25,074,838
Obligated	\$414,848
Planned	\$24,659,990

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Designated Balance
Totals	\$0

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Reserves Balance
1 Reserve Requirement per 7/11/14 Cross memo directing establishment of minimum Systemwide Reserve	\$20,000,000
Totals	\$20,000,000

Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Undocumented Balance
1 Provisional commitment to fund future UW Service Center and HRS Targeted Improvement Initiatives	\$7,500,000
2 Provisional commitment to fund future UW-Stevens Point Student Information System implementation	\$2,000,000
3 Remaining Balance of funding for which commitments are not yet documented	\$22,334,289
Totals	\$31,834,289

Report on Auxiliary Operations Balance (Funds 128 & 228) in Excess of 12% of Fiscal Year Expenditures

UW-SYSTEMWIDE

Fiscal Year: 2014

		Percentage (%)
Total Balance	\$633,712	65.5%
Obligated		\$0
Planned		\$40,000
<i>subtotal: Obligated & Planned</i>		<i>\$40,000</i>
Designated	\$146,346	
Reserves	\$80,469	
Undocumented Funds	\$366,897	
Remaining Balance	\$0	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

	1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended
1	Wisconsin Interscholastic Athletic Conference (WIAC) one-time support from Corporate Partners account balance	Planned	\$40,000	\$40,000	\$40,000	FY15

Total	\$40,000
Obligated	\$0
Planned	\$40,000

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

	Major Balance Grouping	FY14 Designated Balance
1	WIAC conference revenues which were collected either through campus membership fees or from corporate partnership sponsors	\$146,346
	Totals	\$146,346

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

	Major Balance Grouping	FY14 Reserves Balance
	WIAC Reserve account	\$80,469
	Totals	\$80,469

Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

	Major Balance Grouping	FY14 Undocumented Balance
	Fund balances not yet committed to specific projects	\$366,897
	Totals	\$366,897

Report on General Operations (Fund 136) Balance in Excess of 12% of Fiscal Year Expenditures**UW-SYSTEMWIDE****Fiscal Year: 2014**

		Percentage (%)
Total Balance	\$15,342,234	48.3%
Obligated		\$6,419,240
Planned		\$0
<i>subtotal: Obligated & Planned</i>		<i>\$6,419,240</i>
Designated	\$0	
Reserves	\$0	
Undocumented Funds	\$8,922,994	
Remaining Balance	\$0	

Obligated & Planned Funds

Institutions with Tuition Balances above 12% of total fiscal year expenditures must provide a spending plan for the fund. The spending plan must include all balances that have been assigned as obligated or planned, and institutions should have appropriate documentation available to support the classification of any balances as obligated or planned. Please use as much space as needed to appropriately describe the project/initiative and add additional rows as necessary.

The total of the "FY14 Project Balances" from below should match the amount listed above for the "subtotal: Obligated & Planned."

	1. Project/Initiative	2. Obligated or Planned?	3. FY14 Project Balance	4. Total Amount Planned to Accumulate	5. Total Amount Expected to Expend	6. When Funds will be Expended
1	Common Systems (CSRG) accounts - balance of campus assessments at yearend. These are the large, shared IT systems used and paid for by all UW System institutions through annual assessments.	Obligated	\$3,666,479	\$3,666,479	\$3,666,479	FY15
2	New UW System Network project	Obligated	\$869,620	\$869,620	\$869,620	FY15
3	Residual balances in clearing accounts at yearend, primarily prior service costs and PR utility assessments.	Obligated	\$1,883,141	\$1,883,141	\$1,883,141	FY15

Total	\$6,419,240
Obligated	\$6,419,240
Planned	\$0

Designated Funds

Balances classified as "designated" should be listed below. These balances do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Designated" box (which is autofilled from the Categorized Balances worksheet). Examples of major balance groupings can include differential tuition programs, student technology fees and other operations/programs that generate revenues. The FAQ document includes a list of other major balance groupings. Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Designated Balance
Totals	\$0

Reserves

Funds classified as "reserves" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but may be assigned to major balance groupings. The total should match the amount listed above in the "Reserves" box (which is autofilled from the Categorized Balances worksheet). An example of a grouping would be "Reserves for enrollment/ revenue declines." Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Reserves Balance
Totals	\$0

Undocumented Funds

Funds classified as "undocumented" should be listed below. These funds do not need to be assigned to Projects or Initiatives, but should be assigned to their major balance groupings. The total should match the amount listed above in the "Undocumented" box (which is autofilled from the Categorized Balances worksheet). Please use as much space as needed and add additional rows as necessary.

Major Balance Grouping	FY14 Undocumented Balance
Balance of funding for which commitments are not yet documented	\$8,922,994
Totals	\$8,922,994

Appendices

University of Wisconsin System
FY 2013-14 Balances as of June 30, 2014

Total Program Revenue Appropriation Balances

	Tuition (Academic and Extension Student Fees) (131 and 189)*	Auxiliary Operations (128 and 228)	General Operations (136)	Other Unrestricted Program Revenue (xxx)**	Federal Indirect Cost Reimbursement (150)	TOTAL Unrestricted	Gifts (134,135,233,533)	Nonfederal Grants and Contracts (133)	Federal Grants and Contracts (144)	Other Restricted Program Revenue (xxx)	TOTAL Restricted	GRAND TOTAL Program Revenue
UW-Colleges	18,737,040	2,846,395	3,429,364	215,796	257,361	25,485,956	516,189	403,729	127,926	38,767	1,086,611	26,572,567
UW-Eau Claire	18,189,387	18,359,334	7,329,505	4,757,816	1,646,553	50,282,595	389,404	1,343,774	(368,787)	398,218	1,762,609	52,045,204
UW-Extension	8,632,294	2,755,521	1,766,585	7,015,875	6,902,824	27,073,099	555,488	2,372,433	(320,743)	(210,771)	2,396,407	29,469,506
UW-Green Bay	9,131,468	6,437,376	4,651,429	453,393	1,526,943	22,200,609	370,452	415,344	(612,302)	197,789	371,283	22,571,892
UW-La Crosse	23,305,222	27,871,050	23,960,574	296,038	773,382	76,206,266	2,932,503	301,488	(89,645)	568,004	3,712,350	79,918,616
UW-Madison	84,561,883	116,193,178	19,547,947	26,204,976	140,049,252	386,557,236	161,550,374	19,321,655	10,890,315	(859,626)	190,902,718	577,459,954
UW-Milwaukee	56,452,594	11,231,722	8,365,451	1,823,916	6,325,770	84,199,453	(43,945)	(1,586,154)	(1,078,241)	352,292	(2,356,048)	81,843,405
UW-Oshkosh	15,777,042	17,969,207	14,568,005	227,527	1,190,101	49,731,882	86,105	1,854,396	(1,249,551)	602,806	1,293,756	51,025,638
UW-Parkside	8,473,025	(827,438)	1,052,927	274,464	124,005	9,096,983	130,987	107,688	(46,716)	216,397	408,356	9,505,339
UW-Platteville	12,600,372	2,419,669	1,331,138	6,126,644	227,597	22,705,420	(190,631)	194,553	(242,433)	754,167	515,656	23,221,076
UW-River Falls	8,990,387	5,001,344	911,648	4,522,994	236,232	19,662,605	125,775	(66,728)	(264,900)	1,156,673	950,820	20,613,425
UW-Stevens Point	18,571,383	13,724,390	3,010,888	3,307,134	1,313,899	39,927,694	720,596	(975,324)	1,096,679	2,724,998	3,566,949	43,494,643
UW-Stout	1,991,358	1,359,278	7,472,528	388,992	710,271	11,922,427	(167,217)	156,966	(536,207)	2,474,502	1,928,044	13,850,471
UW-Superior	2,153,055	(5,385,787)	405,991	14,507	871,629	(1,940,605)	(224,499)	154,586	(1,179,448)	19,064	(1,230,297)	(3,170,902)
UW-System Admin	-	374,382	562,853	-	10,773,506	11,710,741	-	1,110,750	-	-	1,110,750	12,821,491
UW-Systemwide	76,909,127	633,712	15,342,234	-	35,573	92,920,646	12,269,262	(24,271,643)	17,084,095	-	5,081,714	98,002,360
UW-Whitewater	30,922,636	6,499,709	4,164,486	3,217,433	793,622	45,597,886	(368,955)	170	(572,987)	1,725,503	783,731	46,381,617
	395,398,273	227,463,042	117,873,553	58,847,505	173,758,520	973,340,893	178,651,888	837,683	22,637,055	10,158,783	212,285,409	1,185,626,302

* \$172,557,908 to cover carryover amounts and 189 Pooled amounts are allocated to respective institutions.

** 132 Pooled amounts are allocated to respective institutions.

Total Expenses

	Tuition (Academic (131 and 189)	Auxiliary (128 and 228)	General (136)	Other Unrestricted (xxx)	Federal Indirect (150)	TOTAL	Gifts (134,135,233,533)	Nonfederal Grants (133)	Federal Grants (144)	Other Restricted (xxx)	TOTAL Restricted	GRAND TOTAL
UW-Colleges	80,426,291	7,863,971	4,362,368	2,014,709	540,693	95,208,032	1,601,515	487,715	1,363,990	46,271,906	49,725,126	144,933,158
UW-Eau Claire	119,307,295	35,135,363	7,933,116	5,459,906	278,133	168,113,813	1,181,695	3,596,837	2,666,388	56,979,769	64,424,689	232,538,502
UW-Extension	58,095,821	6,655,149	6,216,299	988,364	2,781,687	74,737,320	3,292,331	15,979,729	19,988,532	8,268,071	47,528,663	122,265,983
UW-Green Bay	56,219,013	19,653,750	3,407,790	1,390,791	326,256	80,997,600	1,888,932	831,087	3,290,474	36,632,144	42,642,637	123,640,237
UW-La Crosse	111,604,971	34,542,036	8,881,178	7,423,417	401,871	162,853,473	597,591	2,924,223	3,019,588	57,123,008	63,664,410	226,517,883
UW-Madison	1,037,914,727	384,335,575	212,845,448	183,687,731	88,022,591	1,906,806,072	403,533,571	80,834,401	515,353,419	209,065,635	1,208,787,026	3,115,593,098
UW-Milwaukee	374,375,236	85,644,474	22,522,721	12,739,200	10,374,359	505,655,990	1,559,314	13,384,771	40,654,161	185,658,557	241,256,803	746,912,793
UW-Oshkosh	103,071,841	38,062,970	14,016,836	7,516,503	234,044	162,902,194	1,991,732	4,392,209	8,543,230	70,574,273	85,501,444	248,403,638
UW-Parkside	46,646,365	8,927,171	1,693,292	4,731,141	57,106	62,055,075	679,718	636,925	957,114	30,031,063	32,304,820	94,359,895
UW-Platteville	81,465,626	32,851,189	11,633,289	6,171,718	196,676	132,318,498	1,997,362	286,944	1,854,414	46,331,948	50,470,668	182,789,166
UW-River Falls	62,001,924	28,130,828	3,932,042	4,327,468	227,585	98,619,847	1,711,802	457,391	2,161,099	35,967,701	40,297,993	138,917,840
UW-Stevens Point	95,743,042	42,359,869	7,747,693	9,509,834	717,899	156,078,337	1,726,627	3,368,832	2,767,147	64,212,661	72,075,267	228,153,604
UW-Stout	95,104,311	30,226,435	24,920,559	5,829,070	435,086	156,515,461	2,566,821	464,399	5,112,841	53,846,776	61,990,837	218,506,298
UW-Superior	37,172,428	8,596,391	1,629,456	2,716,697	312,986	50,427,958	992,683	1,040,842	3,466,058	18,980,849	24,480,432	74,908,390
UW-System Admin	-	267,124	147,143	-	2,765,053	3,179,320	-	259,758	-	-	259,758	3,439,078
UW-Systemwide	25,730,006	967,678	31,734,150	1,923,206	84,605	60,439,645	4,906,759	401,226	8,763,624	-	14,071,609	74,511,254
UW-Whitewater	116,306,662	42,340,000	9,858,272	7,345,092	106,722	175,956,748	1,154,557	1,783,372	1,964,130	79,517,294	84,419,353	260,376,101
	2,501,185,559	806,559,973	373,481,652	263,774,847	107,863,352	4,052,865,383	431,383,010	131,130,661	621,926,209	999,461,655	2,183,901,535	6,236,766,918

Summary of UW System Unrestricted PR Balances by Level of Commitment

Appendix 2

Grand Totals - All Unrestricted Funds

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Fiscal Year 2014 Ending Cash						
Madison	142,290,995	171,996,948	17,563,613	54,705,680	-	386,557,236
Milwaukee	27,500,695	44,404,532	11,229,904	836,000	228,322	84,199,453
Eau Claire	15,663,241	8,722,655	16,954,867	8,262,143	679,689	50,282,595
Green Bay	133,384	6,371,109	8,630,191	6,549,071	516,854	22,200,609
La Crosse	22,091,980	40,004,351	9,359,854	2,408,246	2,341,834	76,206,265
Oshkosh	17,003,847	23,144,512	3,809,867	4,264,543	1,509,113	49,731,882
Parkside	741,664	8,115,977	910,598	(726,546)	55,290	9,096,983
Platteville	7,395,457	11,229,715	2,090,610	256,878	1,732,761	22,705,421
River Falls	5,575,408	10,729,368	1,716,582	1,337,595	303,652	19,662,605
Stevens Point	10,525,311	23,116,723	2,201,808	3,025,957	1,057,895	39,927,694
Stout	5,975,779	5,141,295	448,992	-	356,361	11,922,427
Superior	561,914	1,714,319	547,410	(5,385,787)	621,538	(1,940,606)
Whitewater	5,905,806	26,872,031	6,191,507	3,000,000	3,628,542	45,597,886
Colleges	1,585,146	11,754,873	3,431,711	8,456,296	257,930	25,485,956
Extension	1,786,002	18,712,735	1,867,370	3,720,401	986,591	27,073,099
System Admin	2,455,332	296,206	367,226	-	8,591,977	11,710,741
Systemwide	6,834,088	24,699,990	146,358	20,080,457	41,159,753	92,920,646
	274,026,049	437,027,339	87,468,468	110,790,934	64,028,102	973,340,892
	28%	45%	9%	11%	7%	100%

Summary of UW System Unrestricted PR Balances by Level of Commitment

Tuition Funds

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Fiscal Year 2014 Ending Cash						
Madison	32,095,997	48,343,050	-	4,122,836	-	84,561,883
Milwaukee	20,999,989	32,571,941	2,787,698	-	92,965	56,452,593
Eau Claire	5,758,278	5,676,096	1,315,181	4,760,143	679,689	18,189,387
Green Bay	-	546,641	2,245,563	5,839,264	500,000	9,131,468
La Crosse	1,573,388	8,648,411	8,495,986	2,245,602	2,341,834	23,305,221
Oshkosh	2,724,521	10,271,900	-	2,780,621	-	15,777,042
Parkside	612,837	7,860,188	-	-	-	8,473,025
Platteville	880,870	9,590,634	365,627	250,000	1,513,242	12,600,373
River Falls	312,000	7,396,864	511,284	693,845	76,394	8,990,387
Stevens Point	4,995,119	12,135,537	-	952,900	487,827	18,571,383
Stout	454,529	1,536,829	-	-	-	1,991,358
Superior	480,858	1,399,849	272,348	-	-	2,153,055
Whitewater	2,481,257	20,005,079	3,770,500	3,000,000	1,665,800	30,922,636
Colleges	1,348,844	9,234,325	211,842	7,745,501	196,528	18,737,040
Extension	-	7,791,952	-	840,342	-	8,632,294
System Admin	-	-	-	-	-	-
Systemwide	414,848	24,659,990	-	20,000,000	31,834,289	76,909,127
	75,133,335	207,669,286	19,976,029	53,231,054	39,388,568	395,398,272
	19%	53%	5%	13%	10%	100%

Summary of UW System Unrestricted PR Balances by Level of Commitment

Auxiliary Funds

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Fiscal Year 2014 Ending Cash						
Madison	72,883,797	30,585,871	12,723,510	-	-	116,193,178
Milwaukee	3,573,685	5,993,565	1,664,472	-	-	11,231,722
Eau Claire	4,758,600	2,720,099	7,878,635	3,002,000	-	18,359,334
Green Bay	133,384	3,076,248	2,602,087	625,657	-	6,437,376
La Crosse	12,601,485	15,260,744	8,821	-	-	27,871,050
Oshkosh	11,865,913	5,541,844	296,907	264,543	-	17,969,207
Parkside	-	-	-	(827,438)	-	(827,438)
Platteville	355,946	1,537,881	525,842	-	-	2,419,669
River Falls	661,715	2,481,418	1,071,350	643,750	143,111	5,001,344
Stevens Point	2,285,352	9,337,032	471,868	1,270,502	359,636	13,724,390
Stout	439,717	919,561	-	-	-	1,359,278
Superior	-	-	-	(5,385,787)	-	(5,385,787)
Whitewater	-	4,354,000	1,191,376	-	954,333	6,499,709
Colleges	166,353	614,912	1,436,331	628,799	-	2,846,395
Extension	340,499	1,932,871	176,118	298,369	7,664	2,755,521
System Admin	368,670	-	-	-	5,712	374,382
Systemwide	-	40,000	146,358	80,457	366,897	633,712
	110,435,116	84,396,046	30,193,675	600,852	1,837,353	227,463,042
	49%	37%	13%	0%	1%	100%

Summary of UW System Unrestricted PR Balances by Level of Commitment

General Operations Funds

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Fiscal Year 2014 Ending Cash						
Madison	6,674,573	9,501,037	3,372,337	-	-	19,547,947
Milwaukee	780,307	2,285,666	4,451,328	836,000	12,150	8,365,451
Eau Claire	347,207	-	6,982,298	-	-	7,329,505
Green Bay	-	2,642,620	1,946,955	45,000	16,854	4,651,429
La Crosse	7,905,415	15,446,163	446,352	162,644	-	23,960,574
Oshkosh	1,868,250	7,223,398	2,763,860	1,203,384	1,509,113	14,568,005
Parkside	128,827	167,094	639,264	100,892	16,850	1,052,927
Platteville	53,744	100,000	1,177,394	-	-	1,331,138
River Falls	169,896	581,887	75,718	-	84,147	911,648
Stevens Point	326,694	1,033,595	1,323,374	327,225	-	3,010,888
Stout	5,081,533	2,390,995	-	-	-	7,472,528
Superior	42,756	20,640	260,556	-	82,039	405,991
Whitewater	232,575	2,512,952	1,168,959	-	250,000	4,164,486
Colleges	57,095	1,829,736	1,475,308	67,225	-	3,429,364
Extension	450,676	281,937	1,016,970	14,578	2,424	1,766,585
System Admin	-	-	367,226	-	195,627	562,853
Systemwide	6,419,240	-	-	-	8,922,994	15,342,234
	30,538,788	46,017,720	27,467,899	2,756,948	11,092,198	117,873,553
	26%	39%	23%	2%	9%	100%

Summary of UW System Unrestricted PR Balances by Level of Commitment

Federal Indirect Funds

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Fiscal Year 2014 Ending Cash						
Madison	10,089,524	79,376,884	-	50,582,844	-	140,049,252
Milwaukee	2,128,196	1,747,961	2,326,406	-	123,207	6,325,770
Eau Claire	-	326,460	820,093	500,000	-	1,646,553
Green Bay	-	105,600	1,382,193	39,150	-	1,526,943
La Crosse	-	440,437	332,945	-	-	773,382
Oshkosh	545,163	107,370	537,568	-	-	1,190,101
Parkside	-	85,565	-	-	38,440	124,005
Platteville	-	1,200	-	6,878	219,519	227,597
River Falls	-	236,232	-	-	-	236,232
Stevens Point	164,627	516,215	-	422,625	210,432	1,313,899
Stout	-	293,910	60,000	-	356,361	710,271
Superior	38,300	293,830	-	-	539,499	871,629
Whitewater	35,213	-	-	-	758,409	793,622
Colleges	-	73,803	-	122,156	61,402	257,361
Extension	566,013	3,160,308	-	2,200,000	976,503	6,902,824
System Admin	2,086,662	296,206	-	-	8,390,638	10,773,506
Systemwide	-	-	-	-	35,573	35,573
	15,653,698	87,061,981	5,459,205	53,873,653	11,709,983	173,758,520
	9%	50%	3%	31%	7%	100%

Summary of UW System Unrestricted PR Balances by Level of Commitment

Other Unrestricted Funds

	Obligated	Planned	Designated	Reserves	Undocumented (Discretionary)	Total
Fiscal Year 2014 Ending Cash						
Madison	20,547,104	4,190,106	1,467,766	-	-	26,204,976
Milwaukee	18,518	1,805,399	-	-	-	1,823,917
Eau Claire	4,799,156	-	(41,340)	-	-	4,757,816
Green Bay	-	-	453,393	-	-	453,393
La Crosse	11,692	208,596	75,750	-	-	296,038
Oshkosh	-	-	211,532	15,995	-	227,527
Parkside	-	3,130	271,334	-	-	274,464
Platteville	6,104,897	-	21,747	-	-	6,126,644
River Falls	4,431,797	32,967	58,230	-	-	4,522,994
Stevens Point	2,753,519	94,344	406,566	52,705	-	3,307,134
Stout	-	-	388,992	-	-	388,992
Superior	-	-	14,506	-	-	14,506
Whitewater	3,156,761	-	60,672	-	-	3,217,433
Colleges	12,854	2,097	308,230	(107,385)	-	215,796
Extension	428,814	5,545,667	674,282	367,112	-	7,015,875
System Admin	-	-	-	-	-	-
Systemwide	-	-	-	-	-	-
	42,265,112	11,882,306	4,371,660	328,427	-	58,847,505
	72%	20%	7%	1%	0%	100%

UPDATE ON THE UNIVERSITY OF WISCONSIN FLEXIBLE OPTION

BACKGROUND

Following the announcement of the UW Flexible Option in June 2012, the UW System became the first public university in the country to develop a competency-based program at the system level, awarding degrees and certificates from UW institutions. The UW Flexible Option has garnered considerable statewide and national attention and the UW System is recognized as a national leader in competency-based education. The UW Flexible Option builds on the System's reputation for quality and innovation, its foundation in the *Wisconsin Idea*, and its ongoing commitment to provide affordable, accessible, high-quality education to more Wisconsin residents.

Presenting a new way to progress towards a UW degree, UW Flexible Option dramatically differs from traditional campus- or course-based instruction, whether delivered face-to-face or using online formats. Both the curricular and delivery models are distinctive, involving new kinds of partnerships between UW-Extension and UW institutions. The programs are offered through a format that is self-paced, competency-based, and designed to serve a large, adult, non-traditional student population whose needs for post-secondary education are not being met. The competency-based model emphasizes mastery of demonstrated student learning outcomes. Instead of creating specific classes for Flex Option students, faculty from the partner institutions have identified specific competencies, or skills and knowledge, which together are necessary for a UW degree. Students in the UW Flexible Option use the knowledge they have acquired through prior coursework, military and on-the-job training, and curated content provided by the faculty to take assessments that demonstrate their mastery over academic content wherever and whenever they are ready. The programs are designed to meet areas of strong student demand, economic growth, and workforce development needs.

The UW Flexible Option opened for applications on November 18, 2013 and the first cohort of students began their academic work on January 2, 2014 through programs at UW Colleges and UW-Milwaukee. UW-Extension is providing key administrative leadership and support. After seven months of operation, enrollments are ahead of projections and continue to grow. Interest in UW Flex programs remains strong. The following enrollment information reflects January-September 16, 2014:

Program	Enrollment
B.S. Information Science	82
B.S. Nursing	53
Associate of Arts & Science	44
B.S. Biomedical Sciences Diagnostic Imaging	18
Business & Technical Communications Certificate	7
Non-Degree	10
Total	214

Signaling their strong interest in the program, the Board of Regents has conducted a number of discussions on the UW Flexible Option since its announcement, including an extensive report at the April 2013 meeting, the approval of the tuition pricing and subscription model in July 2013, and a status report on development at the December 6, 2013 meeting. At its October 9, 2014, meeting, the Board will hear an update on major milestones and ongoing developments.

REQUESTED ACTION

For discussion only; no action is required.

DISCUSSION

UW Colleges and UW-Extension Interim Chancellor Aaron Brower will report to the board of Regents on major accomplishments relating to the UW Flexible Option, with a focus on the student experience, ongoing challenges, emerging opportunities, and how the job market informs program development. He also will update the Regents on the U.S. Department of Education's recent approval to make federal financial aid available to eligible students in the UW Colleges Associate of Arts and Science degree program in the Flex format.

RELATED REGENT AND UW SYSTEM POLICIES

None.