

5/29/2014

BOARD OF REGENTS OF THE UNIVERSITY OF WISCONSIN SYSTEM  
UW-Milwaukee Union, 2200 East Kenwood Boulevard,  
Milwaukee, Wisconsin 53211  
June 5 & 6, 2014

II.

**8:30 a.m. All Regents – Wisconsin Room**

1. Approval of the minutes of the April 2014 meeting
2. Report of the President of the Board
  - a. Hospital Authority Board and Wisconsin Technical College System Board reports
  - b. Additional items that the President may report to the Board
3. Report of the President of the System
  - a. Update on recent events
  - b. Student spotlight
  - c. News from around the UW System
4. Report and approval of actions taken by the Research, Economic Development, and Innovation Committee
5. Report and approval of actions taken by the Business and Finance Committee
6. Report and approval of actions taken by the Capital Planning and Budget Committee
7. Report and approval of actions taken by the Education Committee
8. Updates by the President of the Board and Committee Chairs on progress toward Board of Regents and Committee goals
9. Resolution of Appreciation for Regent Emeritus John Drew's service on the Board of Regents
10. Resolution of Appreciation for Regent Emeritus Chad Landes's service on the Board of Regents

--Break--

11. Presentation of the 2014 Teaching Excellence Awards
    - a. Individual Award: Dr. Matthew Roberts, Civil and Environmental Engineering, UW-Platteville
    - b. Individual Award: Dr. Clifton Ganyard, Department of History, UW-Green Bay
    - c. Program Award: Department of Biology, UW-River Falls -- Dr. Mark Bergland, Chair
  12. UW-Milwaukee's NCAA Division I Athletics 2014 Annual Report
  13. Resolution of Appreciation for Chancellor Tom Harden's service to the UW System
  14. Resolution of Appreciation for former Chancellor Michael Lovell's service to the UW System
  15. Resolution of Appreciation for Chancellor Charles Sorensen's service to the UW System (in absentia)
  16. Resolution of Appreciation for Sr. Vice President Mark Nook's service to the UW System
  17. Approval of 2015 Board of Regents Meeting Schedule  
[Resolution II.17]
  18. Election of Officers of the Board of Regents
  19. Resolution of appreciation to UW-Milwaukee for hosting the June meeting
  20. Regent communications, petitions, and memorials
- Annual Regent Photo – 2<sup>nd</sup>-Floor Lounge

*Thursday's closed session agenda item may be considered during any recess in the regular meeting agenda on either Thursday or Friday and/or at 7:45 a.m. on Friday. The regular meeting will reconvene in open session following completion of the closed session.*

## **UW-MILWAUKEE NCAA DIVISION I ATHLETICS 2014 ANNUAL REPORT**

### **EXECUTIVE SUMMARY**

#### **BACKGROUND**

Intercollegiate athletics is an activity that exists for the betterment of the student body, student athletes, and the university. As some of the most visible programs at institutions of higher education, athletics provide valuable experiences for student athletes, opportunities to engage the broader community and a window into the university. This high profile, as well as the sheer number of student athlete participants and members of the public attending athletic events and the substantial compliance requirements, necessitates a high level of oversight and scrutiny by both administrators and governing bodies.

A 2012 report by the Association of Governing Boards of Colleges and Universities (AGB), “Trust, Accountability, and Integrity: Board Responsibilities for Intercollegiate Athletics” included three recommendations for governing boards:

- The governing board is ultimately accountable for athletic policy and oversight and should fulfill this fiduciary responsibility.
- The board shall act decisively to uphold the integrity of the athletics program and its alignment with the academic mission of the institution.
- The board must educate itself about its policy role and oversight of intercollegiate athletics.

The UW System has three institutions with Division I NCAA athletics programs (UW-Green Bay, Madison, and Milwaukee); one institution with a Division II athletics program (UW-Parkside); and nine institutions with Division III athletics programs (UW-Eau Claire, La Crosse, Oshkosh, Platteville, River Falls, Stevens Point, Stout, Superior, and Whitewater).

In November 2012, the Board of Regents established a reporting framework whereby UW institutions that participate in NCAA Division I athletics would annually provide information to the Board regarding academic, fiscal and compliance matters related to NCAA Division I intercollegiate athletics. This accountability framework would allow the Board to properly provide oversight and exercise its responsibility to safeguard: 1) the well-being and success of UW System student-athletes; 2) the financial viability of UW athletics programs; 3) the success of the academic mission of its institutions; and 4) good lines of communication between the institutions, the System President, and the Board of Regents.

The accountability framework requires each of the UW institutions with an NCAA Division I athletic program to submit an annual report to the Board of Regents. This written report would be the basis for yearly presentations to the Board by the Chancellor and Athletic Director at each of these institutions. The report and presentation assists the Board in ensuring that these

universities are: 1) adhering to any performance standards implemented by the institution or its respective Athletic Board; 2) safeguarding the welfare of all students; 3) maintaining NCAA compliance; and 4) assuring fiscal integrity.

This year's reporting schedule for Division I University of Wisconsin institutions is as follows:

UW-Madison:	February 2014
UW-Milwaukee:	June 2014
UW-Green Bay:	Fall 2014

The report from UW-Milwaukee is responsive to the specific information requested in the accountability framework. The report contains information relates to the athletics department's overall financial situation, as well as compliance notes and aggregate student-athlete academic data. The report addresses information primarily from the 2012-2013 year, as well as particular points of interest that have arisen since that time period.

## **REQUESTED ACTION**

This item is for discussion purposes only.

## **DISCUSSION**

### ***I. Athletics***

In the 2012-2013 season, teams from UW-Milwaukee were able to capture four regular season and post-season championships in the Horizon League. Notably, the women's soccer team won the regular season title for the 13<sup>th</sup> straight season and the fifth straight-year the team has qualified for the NCAA Tournament. Continued success also came in women's swimming and diving and in men's track and field. In the latter sport, UW-Milwaukee has captured the league championship for the 10<sup>th</sup> straight year.

There have also been national accomplishments, with the men's basketball team reaching the first round of the 2014 NCAA Tournament and the women's swimming and diving team receiving two NCAA All-American Honorable Mention honors after scoring Horizon League record performances at individual and team levels at the NCAA Swimming and Diving Championships.

Overall, UW-Milwaukee continues to be highly competitive across numerous sports. For example, the institution has won the McCafferty Trophy, awarded to the Horizon League's top school, several times over the last decade, with particular success on the women's side, where UW-Milwaukee has won the league award 10 times in the last 13 years.

### ***II. Academics***

There is broad academic achievement among student-athletes at UW-Milwaukee. The cumulative grade point average (GPA) for all UW-Milwaukee student-athletes was 3.137, the

26<sup>th</sup> straight semester where the departmental GPA was above a 3.0. The department had over 100 student-athletes on both fall and spring honor rolls during the 2012-2013 academic year, with a graduation success rate of 77%, above the general student population. The Federal Graduation Rate (FGR), reported to the U.S. Department of Education and illustrative of the percentage of first-time, full-time freshmen who graduate within six years of entering a four-year institution, reaffirms the notion that UW-Milwaukee student-athletes are, in general terms, performing well when compared to the general student population at the university. For instance, for the 2006 freshmen cohort, reported in 2012-2013, there was a 58% FGR for UW-Milwaukee student-athletes versus a 42% FGR for the general student populace at UW-Milwaukee.

Specific information related to Academic Progress Rate (APR), the data point for which the NCAA holds institutions accountable for the academic progress of their student athletes, for the 2012-2013 season was not available at the time that UW-Milwaukee prepared this report. For 2011-2012, APR scores ranged from 1000 in women's tennis, men's cross country, and women's soccer to 910 in men's soccer.

However, as disclosed by UW-Milwaukee on p. 13, the NCAA Committee on Academic Performance recently confirmed to UW-Milwaukee that the men's basketball team would be ineligible for postseason competition, including the Horizon League tournament, in the 2014-2015 season. The penalty is a consequence of the multi-year APR score for the men's basketball team falling below the new NCAA threshold of 930. The men's basketball team had a multi-year APR score of 908 for 2012-2013. The team will now be required to substitute four hours of practice each week with four hours of academic activities during the upcoming season. Additionally, the team will be given two days off per week instead of one.

The men's soccer team has also encountered academic difficulties during the past four years. The team's APR score for 2011-12 was the lowest of any of the 15 sports in which UW-Milwaukee competes and 32 points away from the next-lowest APR score that year. The men's soccer team's four-year APR average at the end of 2011-2012 was 939, only nine points above the newly instituted 930 four-year APR score threshold.

Finally, the UW-Milwaukee Athletics Department report also touches upon the continued high level of community engagement by student athletes at the institution. Teams have volunteered for service at agencies and organizations such as Special Olympics, the St. Ben's Homeless Shelter, and Ronald McDonald House.

### ***III. Financial Situation***

In the financial information prepared utilizing requirements contained in the UW System financial reporting statements, the UW-Milwaukee Athletics Department depicts a fiscal situation that still presents significant challenges. However, some progress has been made when compared with the year prior. At the conclusion of FY14, UW-Milwaukee Athletics projects its cumulative deficit will remain at \$10,156,293 in its Fund 128 account. To meet this projection, the athletics department has projected a net-neutral FY14 in relation to its operations.

Compared to a FY12 actual operating deficit of \$1,246,861, the FY13 operating deficit of \$354,889 represents a loss that is 28% that of the FY12 operating deficit. However, as the FY13

projected deficit was \$153,486, the actual FY13 deficit was \$201,403 higher than expected. Thus at the end of FY13, the actual cumulative operating deficit of \$10,156,293 was higher than the \$9,956,520 cumulative deficit that was projected.

UW-Milwaukee notes in the report that it aims to eliminate the department's annual operating deficit by FY17, operate with a balanced budget over multiple years and then reduce the cumulative deficit, with assistance from the campus. Several measures have or will be taking place to reduce the annual operating deficit including better marketing to leverage UW-Milwaukee sponsorship sales, identification and development of new external funding sources and prospects, implementation of cost-containment measures, and a higher student segregated fee during FY13 through FY15.

As to the latter, in May 2014 the UW-Milwaukee Student Association affirmed that the segregated fees collected in the Fund 228 account could be applied to athletic facility improvements. The collection of the fee for capital projects (\$25 per student) started in FY11, was stopped in FY13, but restarted in FY14. At the end of FY13, the fund had \$1,259,173 in it. Athletics Department representatives will present proposals for future facility upgrades to the Student Association accordingly. Several proposed capital projects are outlined on p. 10 of the UW-Milwaukee report, including a proposal in FY16 for a new practice facility for the basketball teams worth \$9-10 million that would require significant institutional and/or external investment.

#### ***IV. Compliance***

During 2012-13, UW-Milwaukee had five self-reported NCAA violations, three of which derived from the women's basketball team, one from women's volleyball, and one involving academic support. In 2013-14, there are four violations noted in the report to the Regents, one each from men's basketball, women's basketball, women's swimming and women's track and field. The majority of the women's basketball violations related to coaching staff behaviors. UW-Milwaukee took several action steps to help prevent further violations.

UW-Milwaukee Internal Audit, when validating the contents of the 2014 UW-Milwaukee Accountability Report, noted satisfaction, with the exception of the Athletics Department being unable to provide the institution's internal auditor with copies of the caution letters for violations involving women's basketball and women's volleyball from the 2012-2013 self-reported NCAA violations.

#### **RELATED REGENT POLICIES**

Regent Policy Document 10-1: Endorsement of the Statement of Principles from the Knight Foundation Commission on Intercollegiate Athletics

UNIVERSITY OF WISCONSIN-MILWAUKEE

# INTERCOLLEGIATE ATHLETICS ANNUAL REPORT



MAY 19, 2014

PRESENTED TO UW BOARD OF REGENTS

In order to ensure the Board of Regents is informed and knowledgeable about intercollegiate athletics at the University of Wisconsin-Milwaukee, the information included in this report addresses academic, fiscal, and compliance issues related to intercollegiate athletics

# ATHLETICS ANNUAL REPORT

PRESENTED TO UW BOARD OF REGENTS

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# INTRODUCTORY SECTION

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## *ATHLETIC DEPARTMENT MISSION AND VISION STATEMENTS*

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### **IT IS OUR MISSION TO:**

**PROMOTE** a spirit and pride in the University through positive athletic experiences for student-athletes, coaches and spectators.

**EMBRACE** the academic purpose of UWM while achieving athletic excellence.

**MAINTAIN** an athletics program of high quality through attracting, retaining and developing the best student-athletes, coaches and staff.

**ENGAGE** students, faculty, staff, alumni and the surrounding community.

### **IT IS OUR VISION TO:**

***BUILD A PASSIONATE AND PROUD UNIVERSITY OF WISCONSIN-MILWAUKEE THROUGH CHAMPIONSHIP EXPERIENCES IN ACADEMICS, ATHLETICS AND LEADERSHIP.***

**CHAMPIONSHIP EXPERIENCES IN ACADEMICS** represent the achievement of the highest standards in an academic environment conducive to the success and personal development of our student-athletes.

**CHAMPIONSHIP EXPERIENCES IN ATHLETICS** represent the highest standards of the Horizon League and the NCAA. Our achievements encourage a culture of excellence consistent with that of the University.

**CHAMPIONSHIP EXPERIENCES IN LEADERSHIP** represent a commitment to personal and team achievements and to service in our community by student-athletes, coaches and staff.

With **PASSION** and **PRIDE** we portray our spirit to the University and beyond.

## *UWM MISSION STATEMENT*

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### **UWM Select Mission Statement:**

To fulfill its mission as a major urban doctoral university and to meet the diverse needs of Wisconsin's largest metropolitan area, the University of Wisconsin–Milwaukee must provide a wide array of degree programs, a balanced program of applied and basic research, and a faculty who are active in public service. Fulfilling this mission requires the pursuit of these mutually reinforcing academic goals:

(a) To develop and maintain high quality undergraduate, graduate and continuing education programs appropriate to a major urban doctoral university.

(b) To engage in a sustained research effort which will enhance and fulfill the University's role as a doctoral institution of academic and professional excellence.

- (c) To continue development of a balanced array of high quality doctoral programs in basic disciplines and professional areas.
- (d) To attract highly qualified students who demonstrate the potential for intellectual development, innovation, and leadership for their communities.
- (e) To further academic and professional opportunities at all levels for women, minority, part-time, and financially or educationally disadvantaged students.
- (f) To establish and maintain productive relationships with appropriate public and private organizations at the local, regional, state, national, and international levels.
- (g) To promote public service and research efforts directed toward meeting the social, economic and cultural needs of the state of Wisconsin and its metropolitan areas.
- (h) To encourage others from institutions in the University of Wisconsin System and from other educational institutions and agencies to seek benefit from the University's research and educational resources such as libraries, special collections, archives, museums, research facilities, and academic programs.
- (i) To provide educational leadership in meeting future social, cultural, and technological challenges.

## NCAA CLASSIFICATION

The University of Wisconsin-Milwaukee and its predecessor institutions have offered some form of intercollegiate athletics for over 100 years.

(Reflects sport sponsorship figures for 2012-13)

Currently, UWM offers 15 sports at the NCAA Division I level, with more than 300 student-athletes in the sports of men's and women's basketball, men's and women's soccer, men's and women's cross country, men's and women's indoor and outdoor track and field, men's and women's swimming and diving, women's volleyball, women's tennis and baseball.

UWM moved all of its sports back into Division I in 1990 and previously had placed its sports at the NCAA Division I, II and III levels, as well as in the NAIA, the AIAW and the NCAA's "Small College" division.

Over the years, UWM has had three main conference affiliations – the Wisconsin State University Conference/Wisconsin Women's Intercollegiate Athletic Conference, the Mid-Continent Conference and the Midwestern Collegiate Conference/Horizon League. Men's soccer has always had Division I status with the NCAA.

SPORT	# OF MALE STUDENT-ATHLETES	# OF FEMALE STUDENT-ATHLETES
BASEBALL	35	-
BASKETBALL	17	16
CROSS COUNTRY	14	11
SOCCER	34	36
SWIMMING	42	41
TENNIS	-	10
TRACK INDOOR/OUTDOOR	46/46	37/37
VOLLEYBALL	-	17
TOTALS	234 (53%)	205 (47%)



There are a number of sports UWM has offered over the years that it does not offer anymore. Most notably, UWM had a football team through 1974 and won a number of WSUC championships. The school has also offered wrestling, men's tennis and men's volleyball, among other sports.

For more than a decade, UWM has been a dominant and successful presence in the Horizon League. The school has captured the league's all-sports award – the McCafferty Trophy – six times. On the national stage, the men's basketball team advanced to the Sweet 16 of the NCAA Tournament in 2005 and to the second round of the tournament in 2006, and the first round in 2014. In addition, the men's and women's soccer teams have been ranked in the top 10 nationally. In the classroom, Panther student-athletes have posted a combined 3.0 grade point average for 26 straight semesters following the 2012-13 academic year.

## **HORIZON LEAGUE**

In its 35th season of operation in the 2013-14 academic year, the Horizon League continues to aspire toward its goal of being one of the nation's leading athletics conferences while being recognized as a leader in the development of student-athletes as leaders and role models.



The Horizon League membership features nine public and private institutions that have impressive academic reputations and a storied tradition of broad-based athletic programs. Membership includes Cleveland State University, the University of Detroit Mercy, the University of Illinois at Chicago, Oakland University, Valparaiso University, the University of Wisconsin-Green Bay, the University of Wisconsin-Milwaukee, Wright State University and Youngstown State University.

The Horizon League's primary focus is to add value to the educational experience through its four platforms: athletic performance, academic achievement, community outreach, personal responsibility and accountability. It is the League's belief that athletics is a powerful and visible resource tool that can be used to enhance student-athletes' collegiate experience. The Horizon League's goals are to enhance the holistic university experience for the student-athlete, to create an affiliation of institutions with similar athletic goals, and to adhere to the principles of integrity, diversity, excellence and growth. The Horizon League sponsors competition in 19 sports - nine for men (baseball, basketball, cross country, golf, soccer, swimming and diving, indoor track and field, outdoor track and field and tennis) and ten for women (basketball, cross country, golf, soccer, softball, swimming and diving, indoor track and field, outdoor track and field, tennis and volleyball).

The League receives automatic bids to NCAA championships in baseball, men's and women's basketball, men's golf, men's and women's soccer, softball, men's and women's tennis, women's volleyball, and for the first time in League history, women's golf. The Horizon League is headquartered in Indianapolis, the "Amateur Sports Capital of the World," with offices in the Pan American Plaza (201 S. Capitol Avenue), located a block from Lucas Oil Stadium and just minutes from Conseco Fieldhouse, the State Capitol Building, Victory Field (home of the Indianapolis Indians) and the NCAA national office.

Jonathan B. (Jon) LeCrone is in his 22nd year as Commissioner of the Horizon League, having been named to the position on May 11, 1992, and is the fifth-longest tenured commissioner among the 31 Division I conferences.

## **DESCRIPTION OF ATHLETICS' ROLE AT UWM**

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The Athletics Department at UWM provides NCAA Division I competition for over 300 students in 15 varsity sports. Gifted student-athletes are attracted to the university because of these programs, and their talents are added to the diverse gifts of the student body. Besides adding to the diversity of the community, the intercollegiate athletics programs add distinction and identity to the University.

The value of the having an NCAA Division I Athletics program is reflected in the financial support the University provides. 101 Funding, tuition fee remissions, and room and board waivers are examples of campus resources used for daily operation of the Athletics Department.

Our student-athletes compete in over 250 athletic contests each year, representing the University in Horizon League and NCAA championship events. This adds value to the University brand and provides entertainment and gathering opportunities for students, faculty, staff, alumni and friends of the University. Over the past four years, an annual average of 11,000 students attended our athletic contests and promotional events. As UWM continues to evolve as a residential campus, the intercollegiate athletics programs will become an even more important force for driving enrollment and attracting positive interaction for the community.

UWM student-athletes are excellent role models for all students through their performance in the classroom. With 26 consecutive semesters of collectively achieving a better than 3.0 grade point average and a graduation rate of over 77%, Panther athletes demonstrate excellence in the classroom, while achieving championship results in the athletic arena.

The Intercollegiate Athletics programs at the University of Wisconsin-Milwaukee provide a shining example of academic and athletic excellence in proud and appropriate perspective. Add to this the lessons learned in preparation for and participation in competition by the student-athletes, the role of athletics at UWM is entirely consonant with the Mission and Core Values of the University and the Department of Athletics.

## DESCRIPTION OF "SUCCESS" IN ATHLETICS AT UWM

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**OUR CORE VALUES FORM THE FOUNDATION FOR WHICH EVERYTHING WE DO MUST REFLECT. THESE SAME CORE VALUES DEFINE "SUCCESS" WITHIN OUR DEPARTMENT.**

**EDUCATION** through academic, athletic, service, and personal experiences. Education is what cultivates a sense of life-long learning for the student athlete.

**EXCELLENCE** in education, athletics, and service. Excellence is the highest standard by which we hold ourselves accountable.

**INNOVATION** at the forefront of everything we do. Innovation gives us courage to do the things that were never done before to create a standard of excellence.

**STEWARDSHIP** of the resources with which we have to leave the department a better place than when we arrived. Stewardship from all individuals within the department will lead us to a culture of progress and innovation.

**RESPECT** for ourselves, those we engage, and the individual's contribution to our teams. Respect is what allows us to create an environment of stewardship.

**INTEGRITY** with every action and decision we make. Integrity is how we gain respect.

**PASSION & PRIDE** for our athletic pursuits, for our academic pursuits, and for the University. Passion and pride are what creates a culture of integrity.

### 2012-13 ATHLETICS YEAR IN REVIEW

The University of Wisconsin-Milwaukee continued to set the bar high for the entire league in the 2012-13 academic year.

One year after UWM was awarded the 2011-12 McCafferty Trophy – the sixth time UWM won the league's top award – Milwaukee continued to stake its claim as the top school in the Horizon League.

Despite a slew of injuries that plagued seemingly every team in 2012-13, the Panthers still managed to collect four regular season and postseason league titles. The women's soccer team won both the league's regular season and tournament crowns. In fact, it marked the 13<sup>th</sup>-consecutive time women's soccer won the regular season title and the fifth-straight season the team advanced to the NCAA Tournament.

The winning continued during the winter sports season, with the women's swimming and diving teams claiming its second-consecutive league title. On a national level, Milwaukee also had an individual earn two NCAA All-American Honorable Mention honors at the NCAA Swimming & Diving Championships, scoring a whopping 12 points at the national meet, more than any individual or team in league history.

UWM also continued its outstanding streak of titles in men's track and field. The men won the outdoor title for the 10<sup>th</sup>-straight time in program history and the 19<sup>th</sup> overall, one of the longest active streaks in the nation.

This year's accomplishments add to a long storyline of success in Panther athletics. UWM first broke through in winning the McCafferty Trophy following the 2000-2001 school year and then claimed the award in three straight years from 2004-2006. Milwaukee also won the all-sports honor in 2009. On the women's side, UWM has won the league award 10 times in the last 13 years.

## **SUCCESS IN THE CLASSROOM**

- UWM placed over 100 student-athletes on both the fall and spring league honor rolls during 2012-2013.
- 62% of the student-athletes earned at least a 3.0 or above grade point average as of the end of the spring semester.
- The cumulative GPA for all Panther student-athletes is 3.137 - the 26<sup>th</sup> straight semester where the departmental GPA is above 3.0.
- Graduation success rate was at 77%, well above the percentage for the general student population.
- Student-Athletes log many hours of community service in Milwaukee and the surrounding area. Teams volunteer for community activities like Special Olympics, Ronald McDonald House, and the St. Ben's Homeless Shelter, among dozens of other agencies.

## **MILWAUKEE'S 2012-13 HORIZON LEAGUE CHAMPIONSHIPS**

- Women's Soccer Regular Season
- Women's Soccer League Tournament
- Women's Swimming and Diving
- Men's Outdoor Track and Field

## **MCCAFFERTY TROPHY WINNERS**

2001, 2004, 2005, 2006, 2009, 2012

## **HORIZON LEAGUE WOMEN'S ALL-SPORTS AWARD**

2001, 2002, 2003, 2004, 2006, 2007, 2008, 2009, 2010, 2012

## **HORIZON LEAGUE MEN'S ALL-SPORTS AWARD**

2000, 2005, 2006

## **REPORTING STRUCTURE FOR DIRECTOR OF ATHLETICS**

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The Director of Intercollegiate Athletics at the University of Wisconsin–Milwaukee reports directly to Vice Chancellor for Student Affairs regarding the day-to-day operations of the department. For long-term strategic development and planning, the Director of Athletics reports directly to the Chancellor. The Director of Intercollegiate Athletics represents the Department of Athletics by sitting on both the Chancellor's Cabinet and the Vice Chancellor for Student Affairs Directors' Cabinet.

In addition, the UWM Director of Intercollegiate Athletics, is an ex-officio member of the UWM Athletic Board. The UWM Athletic Board is made up of 13 elected or appointed faculty, academic staff, and student members. The Athletic Board meets monthly and takes an active role in providing oversight to the operations of the entire UWM athletics program.

# FINANCIAL INFORMATION

The Athletics Budget information on the next two pages includes only the revenues and expenses that are listed in the UW System financial reporting statements. It does not include UWM Foundation deposits and payments, in-kind donations, waivers for tuition, books, and room and board, and University indirect facilities and maintenance expenses. See page 26 and Attachment B for the year-end June 30, 2013 NCAA Statement of Revenues and Expenses, including all UWM Athletics' financial transactions (including the above items) associated with operations.

## 2013-14 UNIVERSITY OF WISCONSIN - MILWAUKEE BUDGET REPORT

REVENUE	BUDGET
Student Fees	\$ 5,450,135
101 Funding	\$ 738,555
NCAA & Conference Distributions	\$ 593,689
Development	\$ 525,000
Corporate Sponsorships	\$ 500,000
Ticket Sales	\$ 284,246
Transfers	\$ (194,656)
Game Guarantees	\$ 150,000
228 Capital Transfer	\$ 151,000
Camps & Clinics	\$ 162,908
Other Revenue	\$ 33,314
<b>TOTAL REVENUE</b>	<b>\$ 8,394,191</b>
<b>EXPENSES</b>	<b>BUDGET</b>
128 Salaries and Fringe Benefits	\$ 4,647,729
Non Coaching \$2,527,094	
Men's Coaching \$1,403,445	
Women's Coaching \$716,995	
101 Salaries and Fringe Benefits	\$ 308,555
Women's Coaching \$308,555	
128 Operating Expenses	\$ 2,267,108
General Athletics \$743,448	
Men's Athletics \$873,645	
Women's Athletics \$650,016	
101 Operating Expenses	\$ 430,000
Capital	\$ 151,000
Athletic Student Aid	\$ 358,700
Indirect Expenses	\$ 231,099
<b>TOTAL EXPENSES</b>	<b>\$ 8,394,191</b>
Fiscal Year Operating Net	\$ 0
Prior Year Cash Balance	\$ (10,157,923)
<b>CALCULATED CASH BALANCE</b>	<b>\$ (10,156,293)</b>



## PREVIOUS FISCAL YEAR BUDGET vs. ACTUAL RESULTS

### 2012-13 UNIVERSITY OF WISCONSIN - MILWAUKEE BUDGET REPORT

REVENUE	BUDGET	ACTUAL
Student Fees	\$ 5,381,406	\$ 5,371,715
101 Funding	\$ 748,438	\$ 742,967
NCAA & Conference Distributions	\$ 558,185	\$ 525,302
Corporate Sponsorships	\$ 500,000	\$ 483,739
Ticket Sales	\$ 300,000	\$ 222,402
228 Transfer	\$ -	\$ 913,031
Development Transfer	\$ 250,000	\$ 50,000
Game Guarantees	\$ 135,000	\$ 178,000
Camps & Clinics	\$ 127,000	\$ 112,779
Other Revenue	\$ 55,042	\$ (139,126)
<b>TOTAL REVENUE</b>	<b>\$ 8,055,071</b>	<b>\$ 8,460,809</b>
EXPENSES	BUDGET	ACTUAL
128 Salaries and Fringe Benefits	\$ 4,122,486	\$ 4,655,221
101 Salaries and Fringe Benefits	\$ 318,438	\$ 317,899
128 Operating Expenses	\$ 2,801,499	\$ 2,558,202
101 Operating Expenses	\$ 430,000	\$ 425,068
128 Capital	\$ -	\$ 397,870
101 Capital	\$ -	\$ 0
Athletic Student Aid	\$ 462,734	\$ 349,389
Other Expenses	\$ 73,400	\$ 112,049
<b>TOTAL EXPENSES</b>	<b>\$ 8,208,557</b>	<b>\$ 8,815,698</b>
Fiscal Year Operating Net	\$ (153,486)	\$ (354,889)
Prior Year Cash Balance	\$ (9,803,034)	\$ (9,803,034)
<b>CALCULATED CASH BALANCE AFTER ADJUSTMENTS</b>	<b>\$ (9,956,520)</b>	<b>\$ (10,156,293)</b>

\*\*\*Tuition fee waivers provided by the University and used as athletic student aid were \$3,037,622, but are not included in the amount above.

## EXTERNAL AUDIT REPORTS

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An external audit of the NCAA's Statement of Revenue and Expenses, for the year ending June 30, 2013, was performed by Kelly Sellner, the Internal Auditor at the University of Wisconsin-Green Bay. Due to the minimal recommendations made in the Independent Accountant's Report on the Agreed-Upon Procedures it was not necessary to compose a response.

**Please see Attachment A for the Independent Accountant's Report on the Agreed-Upon Procedures.**

## CAPITAL RESERVE

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The Department of Athletics has reserves held in a Fund 228 Capital Account. The reserve balance is a result of a Student Senate Finance Committee Resolution (SFC0910-002), signed on December 14, 2009. This resolution instituted a \$25 per semester segregated fee for the purpose of approving a capital bonding project for Athletics. The resolution was further clarified by a Student Association Resolution Reaffirmation (SB1011-006), on June 7, 2010.

The collection of the \$25 segregated fee began in FY11 and continued through FY12; the total fees collected for both years amounted \$2,656,254. The collection of the fee was discontinued in FY13 and again resurrected in FY14.

**Use of Funds History:** During FY12, \$529,347 was expended on a pre-design for a new on-campus basketball practice facility. In FY13, the UWM Student Association approved the use of \$1.1 million of the Fund 228 Capital Account for Klotsche Arena renovations. The renovations were completed to update the current on-campus facility to enhance the experiences of both the spectators and student-athletes. The benefit of the renovation was an improved competition venue for home basketball and volleyball games. \$913,031 of the \$1.1 million was expended on the project.

**Balance as of FY Year-End 2013:** \$1,259,173

**Future Use of Funds:** On May 8<sup>th</sup>, 2014, the UWM Student Association voted on an amendment to the capital projects resolution to affirm the segregated fee collected can be used for athletic facility improvements. The Athletics Department Administration will present proposals for future facility developments and enhancements to Student Association executive staff.

### **FY14 Capital Projects:**

\$150,000 of Daktronics LED video display equipment

### **FY15 Proposed Capital Projects:**

\$145,000 new scoreboard and messaging matrix board for Engelmann Field

\$85,000 Soccer field Storage Shed/Press Box

\$88,000 Locker Room/Training Room/Weight Room Updates

\$65,000 Shed-Concessions/Tickets/Storage

\$12,000 Engelmann Field Enhancements

### **FY16 Proposed Capital Projects:**

\$9,000,000 to \$10,000,000 Men's and Women's Basketball Practice Facility

## INSTITUTIONAL PLAN FOR DEFICIT

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UWM's Department of Athletics has a cumulative deficit of \$10,156,293 in Fund 128. The cumulative debt began accumulating around FY 2000, and grew over several years as a result of significant changes taking place in NCAA Division I athletics, which includes a climate of increasing costs.

The Department of Athletics is a unit of the Division of Student Affairs, where the cumulative deficit is currently being held. The Chancellor, Vice Chancellor for Student Affairs, and the new Athletics Director are committed to resolving the deficit and are working on a fiscal plan to do so. The fiscal plan will accomplish the following:

1. First eliminate the annual structural operating deficit. The annual structural operating deficit will be eliminated by the end of FY 2017.
2. Over a multiple-year period, operate annually with a balanced budget.
3. After successfully proving to be solvent on an annual basis, the campus will work with Athletics to reduce the cumulative deficit over time.

The Division of Student Affairs and Athletics have taken or are taking the following action steps to reduce the annual operational deficit:

- In the course of hiring the new Director of Athletics, who began her appointment on May 1, 2013, it was emphasized that her responsibility would be to develop and implement a fiscal strategy that will improve the financial solvency of the Athletics Department through:
  - ✓ external fund development;
  - ✓ implementation of cost containment measures within operations;
  - ✓ enhancement of revenue from ticket sales, sponsorship agreements, game guarantees, camps and clinics, and royalties; and
  - ✓ collaboration within the University to pool resources and provide bottom-line relief.
- In part to assist the department in reducing the deficit, the students have committed to support Athletics through a higher segregated fee in fiscal years 13-15.
- Athletics has outsourced Corporate Sponsorships sales to maximize revenue through the leveraging of UWM's sports marketing assets.
- UWM has worked with the UWM Foundation to create the UWM Foundation's *Committee for Athletics* to assist with developing revenue generation strategies and targeting major donor prospects.
- Athletics is working closely with Development to fully participate in the University's (now early-stage) *Comprehensive Campaign*, with an emphasis on developing new external funding sources.

## ***YEAR-END UNRESTRICTED FUND BALANCES***

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**Athletics Department year-end unrestricted fund balances = \$42,828**

**This amount is held in the UWM Foundation in five different accounts:**

Intercollegiate Athletics

Athletic Department Morale

External Revenue

Athletic Director's Excellence

Panther Club

## ***TOTAL OUTSTANDING DEBT & ANNUAL DEBT SERVICE***

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**Total annual debt service on athletic facilities = \$1,572,307**

**Total debt outstanding on athletic facilities = \$13,510,970**

The outstanding debt on facilities is a result of the construction of the Pavilion, which is a shared facility between Recreation Sports, Athletics, Academics, and Parking. A designated segregated fee provides the resources for the annual payment of the debt service.

## ***VALUE OF ENDOWMENTS FOR ATHLETICS***

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**Value of Endowments dedicated to the support of athletics = \$522,090**

**All endowed amounts are held in two scholarship funds:**

Nicholas Family Scholarship

Kuehneisen Swim and Dive Scholarship

# ACADEMIC PROGRESS RATE (APR) DATA

The NCAA holds Division I institutions accountable for the academic progress of their student-athletes through the Academic Progress Rate, a team-based metric that accounts for the eligibility and retention of each student-athlete, each term.

For the 2011-12 academic year data, each team needed to earn a 4-year APR score of 900 or higher to be eligible for post season competition and to not incur any sanctions. Starting with the 2012-13 academic year data, each team will need to earn a 4-year APR score of 930 or higher. The data is released to the public in late May/early June by the NCAA each year. The data for the 2012-13 year was not available at the time this report was created.

However, we would like to address the recent press release regarding the post season ban for the men's basketball for the 2014-2015 academic year. The institution was informed in early April by the NCAA Committee on Academic Performance that the appeal request that had been submitted on behalf of the men's basketball team had been denied. Thus the men's basketball team will be ineligible for post season

SPORT	2011-12 APR SCORE	4-YEAR APR SCORE	NCAA 4-YR AVERAGE
BASEBALL	981	961	965
MEN'S BASKETBALL	942	941	952
WOMEN'S BASKETBALL	983	980	972
MEN'S CROSS COUNTRY	1000	979	975
WOMEN'S CROSS COUNTRY	979	969	983
MEN'S SOCCER	910	939	969
WOMEN'S SOCCER	1000	988	981
MEN'S SWIMMING	949	961	976
WOMEN'S SWIMMING	985	982	986
WOMEN'S TENNIS	1000	1000	982
MEN'S INDOOR TRACK	951	950	965
MEN'S OUTDOOR TRACK	951	954	968
WOMEN'S INDOOR TRACK	992	970	977
WOMEN'S OUTDOOR TRACK	992	970	978
WOMEN'S VOLLEYBALL	981	965	980



competition, including the Horizon League tournament, for the 2014-2015 year. The team will also be required to replace four hours of practice each week with four hours of academic activities during their season. They will be given two days off per week instead of one day off. This penalty is the result of a multi-year APR score for 2012-2013 that fell below the new NCAA benchmark of 930. The men's basketball multi-year APR score for 12-13 is a 908.

**HOW TO CALCULATE APR SCORES:** Each term, a scholarship student-athlete may earn one retention point for staying in school and one eligibility point for being academically eligible. A team's total points are divided by points possible and then multiplied by one thousand to equal the team's Academic Progress Rate.

Please see Attachment C for the official 2011-12 Academic Progress Rate Report from the NCAA.

### **THREE-YEAR APR TREND FOR HIGHEST REVENUE SPORTS**

The following lists the single year APR scores for the three highest revenue sports during the 2009-10, 2010-11, and 2011-12 academic years. Please see Attachment C for the official Academic Progress Rate Reports from the NCAA.

HIGHEST REVENUE SPORTS	2009-10	2010-11	2011-12
MEN'S BASKETBALL	885	966	942
WOMEN'S BASKETBALL	928	1000	983
WOMEN'S SOCCER	981	991	1000

### **BENCHMARKS USED BY ATHLETICS TO ASSESS ACADEMIC PROGRESS DATA**

**Benchmark #1:** Maintain multi-year APR score that is at or higher than the all Division I score for each sport.

**Benchmark #2:** Maintain single-year APR score that is at or higher than the all Division I score for each sport.

**Benchmark #3:** Achieve multi-year APR scores that are greater than average scores at other Horizon League institutions in each sport.

Please see Attachment E for the list of Horizon League institutions and APR data.

# GRADUATION SUCCESS RATE (GSR), ACADEMIC & ADMISSIONS DATA

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## **What is the Graduation Success Rate?**

The NCAA Graduation Success Rate (GSR) is designed to show the proportion of student-athletes on any given team who earn a college degree. The NCAA has imposed a new set of academic standards that seeks to hold teams and institutions accountable for how well student-athlete progresses toward a degree.

The GSR was developed in response to colleges and universities who asked for an alternative rate that more accurately reflects the movement among college student-athletes. The GSR takes into account incoming transfers who graduate from a different institution than the one they started at and transfers who leave an institution in good standing.

## **How does it differ from the Federal Graduation Rate?**

The Federal Graduation Rate (FGR) is compiled by the U.S. Department of Education and is used as an indicator of academic success for college student-athletes. FGR measures the percentage of first-time, full-time freshman who graduate within six years of entering their original four-year institution.

The NCAA developed its GSR in response to criticism that the FGR understates the academic success of athletes because the FGR method does not take into account two important factors in college athletics:

1. When student-athletes transfer from an institution before graduating and is in good academic standing (perhaps to transfer to another institution for more playing time, different major, or to go pro); and
2. Those student-athletes who transfer to an institution (e.g., from a community college or another 4-year college) and earn a degree.

The FGR treats transfers as non-graduates for the original institution the student-athlete attended, even if that student-athlete later graduates from another institution. Also, the FGR does not include that student-athlete in the graduation rates at the new institution where he/she does graduate. Therefore, once a student-athlete transfers to another school he/she is no longer recognized in the calculated graduation rate. The GSR takes into account both factors and gives credit to institutions for successful transfers, whether they are leaving or entering an institution.

The following is a summary of the Graduate Success Rate report that was submitted during the 2012-2013 academic year. **Please see Attachment D for official Graduation Success Rate Report (2006 freshmen cohort) from the NCAA that was released in October, 2013.**

## 2003-2006 COHORT GSR SCORES

Below is a summary of the 2003-2006 cohort Graduate Success Rate report. Please see Attachment D for official Graduation Success Rate Report from the NCAA that was released in October, 2013.

SPORT	GRADUATION SUCCESS RATE	FEDERAL GRADUATION RATE
BASEBALL	75%	78%
MEN'S BASKETBALL	67%	40%
WOMEN'S BASKETBALL	80%	64%
MEN'S SOCCER	78%	67%
WOMEN'S SOCCER	80%	67%
MEN'S SWIMMING	56%	47%
WOMEN'S SWIMMING	76%	70%
WOMEN'S TENNIS	100%	75%
MEN'S TRACK/CROSS COUNTRY	72%	48%
WOMEN'S TRACK/XC	84%	77%
WOMEN'S VOLLEYBALL	100%	100%



## THREE-YEAR FEDERAL GRADUATION RATE TRENDS

The following is a summary of the Federal Graduation Rates for the University of Wisconsin-Milwaukee, reported during the 2010-11, 2011-12 and 2012-13 years. Please see Attachment D for official Graduation Success Rate Report from the NCAA.

### FEDERAL GRADUATION RATE FOR UWM STUDENT-ATHLETES & UWM'S GENERAL STUDENT BODY

ACADEMIC YEAR	GENERAL UWM STUDENT BODY FEDERAL GRADUATION RATE	UWM STUDENT-ATHLETE FEDERAL GRADUATION RATE
2004 FRESHMEN COHORT (reported in 2010-11)	43%	71%
2005 FRESHMEN COHORT (reported in 2011-12)	41%	65%
2006 FRESHMEN COHORT (reported in 2012-13)	42%	58%

### FEDERAL GRADUATION RATE FOR THREE HIGHEST REVENUE WOMEN'S SPORTS

HIGHEST REVENUE WOMEN'S SPORTS	2010-11 (2004 freshmen cohort)	2011-12 (2005 freshmen cohort)	2012-13 (2006 freshmen cohort)
WOMEN'S BASKETBALL	69%	60%	64%
WOMEN'S VOLLEYBALL	92%	92%	100%
WOMEN'S SOCCER	74%	68%	67%

## FEDERAL GRADUATION RATE FOR THREE HIGHEST REVENUE MEN'S SPORTS

HIGHEST REVENUE MEN'S SPORTS	2010-11 (2004 freshmen cohort)	2011-12 (2005 freshmen cohort)	2012-2013 2006 freshmen cohort
MEN'S BASKETBALL	100%	100%	40%
BASEBALL	69%	78%	78%
MEN'S SOCCER	91%	75%	67%

### *SPECIAL ADMISSION OF STUDENT-ATHLETES*

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In September 2011, a group including staff from the Department of Enrollment Services (DES), the Academic Opportunity Center (AOC), and the Department of Intercollegiate Athletics (Athletics) met to review the current undergraduate application and admission processes, with a specific focus on the admission of recruited student athletes. Information was also shared regarding the process and timeline used by Athletics staff in identifying and recruiting student athletes.

There is understanding and agreement among all parties that student athletes admitted to UWM are expected to meet the same academic standards as other admitted students. Student-athletes are also, in general, expected to follow the same application process and adhere to the same deadlines as other applicants. However, it is understood that in some cases, prospective student athletes whom UWM wishes to recruit may not be identified, or may not make the decision to apply to UWM, until very late in the admissions cycle. In such cases, the applicant's status as a recruited athlete will be taken into consideration in determining whether to grant an extension of or appeal to the application deadline.

**Please see Attachment F for the Admissions Procedures for Recruited Student-Athletes.**

## GRADE POINT AVERAGE INFORMATION

### GRADE POINT AVERAGE FOR ALL STUDENT-ATHLETES DURING THE 2012-2013 ACADEMIC YEAR:

Fall 2012: 3.149

Spring 2013: 3.102

### FALL 2012 STUDENT-ATHLETE GRADE POINT AVERAGE PER SPORT

ALL SPORTS	CUMULATIVE GPA	FALL 2012 GPA
Men's Baseball	3.038	3.088
Men's Basketball	2.893	2.396
Men's Cross Country	3.021	3.149
Men's Soccer	3.085	3.343
Men's Swimming and Diving	3.018	2.807
Men's Track and Field	2.95	3.068
Women's Basketball	3.108	2.754
Women's Cross Country	3.486	3.505
Women's Soccer	3.498	3.572
Women's Swimming and Diving	3.223	3.279
Women's Tennis	3.275	3.377
Women's Track and Field	3.22	3.149
Women's Volleyball	3.263	3.446
	<b>3.160</b>	<b>3.149</b>

SPORTS (HIGHEST TO LOWEST GPA)	CUMULATIVE GPA	FALL 2012 GPA
Women's Soccer	3.498	3.572
Women's Cross Country	3.486	3.505
Women's Volleyball	3.263	3.446
Women's Tennis	3.275	3.377
Men's Soccer	3.085	3.343

Women's Swimming and Diving	3.223	3.279
Women's Track and Field	3.22	3.149
Men's Cross Country	3.021	3.149
Men's Baseball	3.038	3.088
Men's Track and Field	2.95	3.068
Men's Swimming and Diving	3.018	2.807
Women's Basketball	3.108	2.754
Men's Basketball	2.841	2.396

### SPRING 2013 STUDENT-ATHLETE GRADE POINT AVERAGE PER SPORT

ALL SPORTS	SPRING 2013 GPA	CUMULATIVE GPA
Men's Baseball	2.934	3.026
Men's Basketball	2.395	2.830
Men's Cross Country	3.017	3.069
Men's Soccer	3.215	3.172
Men's Swimming and Diving	2.921	3.031
Men's Track and Field	2.791	2.871
Women's Basketball	2.861	3.096
Women's Cross Country	3.342	3.403
Women's Soccer	3.433	3.459
Women's Swimming and Diving	3.411	3.330
Women's Tennis	3.387	3.323
Women's Volleyball	3.584	3.298
	3.102	3.137

SPORT (HIGHEST TO LOWEST GPA)	SPRING 2013 GPA	CUMULATIVE GPA
Women's Volleyball	3.584	3.298
Women's Soccer	3.433	3.459
Women's Swimming and Diving	3.411	3.330
Women's Tennis	3.387	3.323
Women's Cross Country	3.342	3.403



Men's Soccer	3.215	3.172
Men's Cross Country	3.017	3.069
Women's Track and Field	2.939	3.084
Men's Baseball	2.934	3.026
Men's Swimming and Diving	2.921	3.031
Women's Basketball	2.861	3.096
Men's Basketball	2.395	3.830

## DECLARED MAJORS OF STUDENT-ATHLETES

Below is a summary of the declared degree programs for student-athletes and the UWM general student body.

MAJOR	# OF STUDENT-ATHLETES	% OF STUDENT-ATHLETES	% OF UWM STUDENT BODY
ACCOUNTING	7	2.3%	2.4%
ACTUARIAL SCIENCE	1	.33%	.28%
ANTHROPOLOGY	1	.33%	.66%
ARCHITECTURAL STUDIES	3	.99%	1.9%
ART HISTORY	1	.33%	.23%
ATMOSPHERIC SCIENCE	2	.66%	.16%
BIOCHEMISTRY	5	1.6%	.5%
BIOLOGICAL SCI	13	4.3%	2.5%
BIOMEDICAL SCIENCES	2	.66%	
BUSINESS	15	4.9%	3.4%
CHEMISTRY	1	.33%	.46%
CHILDHD & ADOLESCENCE STUDIES	2	.66%	-
CIVIL ENGINEERING	7	2.3%	1.1%
COMM. SCI & DISORDERS	3	.99%	.4%
COMMUNICATION	7	2.3%	1.3%
COMPUTER ENGINEERING	2	.66%	.45%

COMPUTER SCIENCE	1	.33%	.45%
CONSERVATION SCI	2	.66%	1%
CRIMINAL JUSTICE	16	5.3%	2.7%
EDUCATION	21	6.9%	4.7%
EDUCATIONAL STUDIES	3	.99%	.25%
ELECTRICAL ENG	3	.99%	.45%
ENGLISH	1	.33%	1.3%
EXCEPTIONAL EDUCATION	3	.99%	.26%
FINANCE	15	4.9%	3%
GLOBAL STUDIES	1	.33%	-
HEALTH CARE ADMIN	2	.66%	.73%
HEALTH SCIENCES	2	.66%	.46%
HISTORY	3	.99%	1%
HUMAN RESOURCES	4	1.3%	1%
INFO SCI TECH	12	3.9%	1.5%
INTER ARTS	2	.66%	.44%
INTERNATIONAL STUDIES	2	.66%	.35%
MANAGEMENT INFORMATION SYSTEMS	2	.66%	1%
JOURN. ADV MEDIA STUD	7	2.3%	2.2%
KINESIOLOGY	34	11.2%	2%
MANAGEMENT MS	2	.66%	-
MARKETING	17	5.6%	3.7%
MATHEMATICS	3	.99%	.32%
MECHANICAL ENG	9	3%	1.9%
NURSING	11	3.6%	3.7%
OCCUPATIONAL STUDIES	2	.66%	.68%
PSYCHOLOGY	12	3.9%	4%

SOCIAL WORK	2	.66%	1.9%
SOCIOLOGY	4	1.3%	.71%
SUPPLY CHAIN & OPERATIONS MGMT	1	.33%	1%
THEATRE	1	.33%	.53%
UNDECIDED	31	10.2%	

## **BENCHMARKS USED TO ASSESS GSR, ADMISSIONS & ACADEMIC DATA**

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**Benchmark #1:** Maintain student-athlete NCAA graduation rate that is greater than UWM's general student body.

**Benchmark #2:** Maintain student-athlete NCAA graduation rate that is greater than 75%.

**Benchmark #3:** Maintain an overall student-athlete NCAA graduation rate that is greater than the average for Horizon League.

**Benchmark #4:** Maintain an overall student-athlete Federal graduation rate that is greater than the average for Horizon League.

**Benchmark #5:** Maintain student-athlete NCAA graduation rate that is greater than Horizon League institution's average for each sport.

**Benchmark #6:** Maintain a student-athlete cumulative GPA greater than 3.0.

**Benchmark #7:** Maintain average standardized test scores (i.e., ACT and SAT) and grade point average for incoming student-athletes that are greater than the average test scores for UWM's general student body's incoming class.

# AGREED-UPON PROCEDURES REPORT

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## ***2012-13 INSTITUTIONAL AGREED-UPON PROCEDURES REPORT***

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The NCAA requires all Division I athletic programs to complete an annual *Statement of Revenues and Expenses*. The deadline to submit the *Statement of Revenues and Expenses* is January 15<sup>th</sup> for the preceding fiscal year. Prior to submission, agreed-upon procedures are performed by an independent accountant validating that the *Statement of Revenues and Expenses* are in compliance with the NCAA.

**Please see Attachment A for the Independent Accountant's Report on Agreed-Upon Procedures for UWM's NCAA Statement of Revenues and Expenses for the year ended June 30, 2013.**



# INTERCOLLEGIATE ATHLETICS BUDGET REPORT

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## *UWM'S AUDITED NCAA FINANCIAL REPORT FOR 2011-12*

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**Please see Attachment B for the NCAA Statement of Revenue and Expenses, which assigns all transactions to a predetermined revenue or expense category.**

It is important to note the *NCAA Statement of Revenues and Expenses* report looks very different from the UWM Athletics Budget previously provided in this report. The *NCAA Statement of Revenues and Expenses* includes all financial transactions associated with the operations of UWM Athletics for the year ended June 30, 2013. Many of the transactions included in *NCAA Statement of Revenues and Expenses* extend outside the Athletics Department's UW System financial statement reporting parameters.

Examples of transactions included in *NCAA Statement of Revenues and Expenses* which are not found in the Athletic Department's UW System financial statements are:

- a. University of Wisconsin – Milwaukee Foundation deposits and payments
- b. In-kind donations
- c. Tuition waivers provided by the University as part of student-athletes' aid awards
- d. On-campus room and board provided by Auxiliary Services as part of the student-athletes' grant in aid
- e. Book fees waived by the UWM Bookstore for full scholarship student-athletes
- f. University indirect facilities and maintenance costs allocated to Athletics

The *NCAA Statement of Revenues and Expenses* provides a more complete analysis of all the costs associated with operating the athletics program. The *NCAA Statement of Revenues and Expenses* data is used by the NCAA and multiple media outlets to compare and benchmark athletic financial information of one athletic program to another.

# OUTSIDE COMPLIANCE REVIEW

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## *HORIZON LEAGUE OUTSIDE COMPLIANCE REVIEW*

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Per Horizon League policy, each member institution is required to complete an outside compliance review performed by Horizon League staff every four years. Staff members from the Horizon League administered their review of athletic compliance in February of 2011. While on campus, Horizon League staff members met with coaching staff members, administrative staff, UWM's Financial Aid Office, Admissions, Registrar's Office and Chancellor Lovell. In addition, the following materials were requested and reviewed as part of the audit:

- Policies and Procedures Manual
- Sample coaching contract
- Sample letter of employment
- Coaches' performance evaluation
- Sample rules education materials
- Letter of renewal of financial aid
- Letter of nonrenewal of financial aid
- Appeals procedure for financial aid nonrenewals or reductions
- Summer outside competition forms
- Promotional activities permission form
- SAAC agenda
- Sample booster education material
- Info provided to tutors
- NCAA certification report
- Complimentary ticket request form
- Student-Athlete handbook
- New Employee packet

The Horizon League staff completed a review of the Athletic Financial Aid policies and practices in January of 2014. This review was focused on only financial aid at the request of the institution as changes in practices were beginning discuss. The Horizon League staff members met with compliance office staff as well as UWM Financial Aid Office staff members.

**Please see Attachment G for the Horizon League Compliance Review Report and the Horizon League Financial Aid Review Report.**

# NCAA SELF-STUDY REPORT

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## *UWM ATHLETICS SELF-STUDY REPORT*

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Athletics certification is meant to ensure the National Collegiate Athletic Association's (NCAA) fundamental commitment to integrity in intercollegiate athletics. The program is structured to achieve its goal in several ways: (a) by opening the affairs of athletics to the university community and the public; (b) by setting standards (called operating principles) for the operation of Division I athletics programs; and (c) by putting tough sanctions in place for institutions that fail to conduct a comprehensive self-study or to correct problems. Three sub committees are identified by the NCAA to assist institutions in meeting the purpose and goals of the process. They are:

- Subcommittee on Governance and Commitment to Rules Compliance
- Subcommittee on Academic Integrity
- Subcommittee on Equity and Student Athlete Well-Being

An effective self-study benefits the University of Wisconsin Milwaukee by providing self-awareness, affirmation, and opportunities to improve.

The primary goal of this recertification process is to ensure that the University of Wisconsin-Milwaukee complies with the standards set forth by the NCAA on Athletics Certification in its 2007 Handbook, to determine if progress has been made in the recommendations generated from the first certification cycle, and to make recommendations for improvement where needed.

The University of Wisconsin-Milwaukee's ultimate goal is to have an excellent athletics program that provides a superior experience for our students, and that is integrated fully into the academic mission of the University.

The NCAA certification team visited UWM's campus during the fall 2007 term in order to complete the NCAA Self-Study Report. The NCAA's final report was published May 1, 2008.

### **Click to view UWM's NCAA Self-Study Report**

[http://www4.uwm.edu/ncaa/final\\_report/upload/https\\_web1-ncaa-org\\_pdf\\_convert\\_pdfurl-http\\_goomer-ncaa-org\\_2020\\_wdbctx\\_ncaass\\_AdminMDB-AC\\_Reports.pdf](http://www4.uwm.edu/ncaa/final_report/upload/https_web1-ncaa-org_pdf_convert_pdfurl-http_goomer-ncaa-org_2020_wdbctx_ncaass_AdminMDB-AC_Reports.pdf)



# NCAA VIOLATIONS REPORT

## 2012-13 SELF-REPORTED NCAA VIOLATIONS

SPORT	DESCRIPTION OF RULE	DATE	DESCRIPTION OF VIOLATION	ACTION TAKEN
ACADEMICS	16.3.1.1 states: An institution shall make general academic counseling and tutoring services available to all student-athletes.	8/28/12	A former academic mentor was found to have provided inappropriate academic advisement to three student-athletes	<ul style="list-style-type: none"> <li>Staff member reassigned within Athletics.</li> <li>Established reporting line to the Provost's Office.</li> <li>Rules education regarding academic misconduct sent to all staff and student-athletes.</li> </ul>
WOMEN'S BASKETBALL	13.1.3.1.4.2 states: A women's basketball coach may not have contact with a prospect or person associated with a prospect during the "July Evaluation Period."	7/6/12	An assistant women's basketball coach had impermissible telephone contact with an AAU coach during the July evaluation period, after she mistakenly believed the evaluation period did not begin until the next day.	<ul style="list-style-type: none"> <li>Rules education to all women's basketball staff.</li> <li>Staff prohibited from having contact with the AAU coach for two weeks.</li> <li>Letter of caution sent to assistant coach.</li> </ul>
WOMEN'S BASKETBALL	13.1.3.1 states: A coach may not make more than one phone call per week to a prospective student-athlete (Sunday through Saturday).	2/1/13	An assistant women's basketball coach placed an impermissible phone call to a high school junior, after she inadvertently called the prospect while the phone was in her pocket.	<ul style="list-style-type: none"> <li>Rules education regarding phone calls to all staff.</li> <li>Staff prohibited from contacting prospect for two week period.</li> <li>Letter of caution sent to assistant coach.</li> </ul>
WOMEN'S BASKETBALL	12.5.2.1 states: A student-athlete may not use his/her name, picture or likeness to promote a commercial product or service.	10/30/12	A current student-athlete permitted another individual to use her image to promote a commercial entity, and in a separate instance, was compensated \$100 for participating in a photo-shoot.	<ul style="list-style-type: none"> <li>Rules education to all student-athletes.</li> <li>The involved student-athlete declared ineligible for competition.</li> </ul>
WOMEN'S VOLLEYBALL	13.11.2.4 states: A staff member may only participate in a local sports club if all participants reside within a 50-mile radius of campus.	1/15/13	An assistant coach served as an assistant coach for an area club volleyball program, in which one of the participants resides outside the 50 mile radius of the institution.	<ul style="list-style-type: none"> <li>Rules education to all athletic staff.</li> <li>All staff participating with clubs must now submit roster confirming residence.</li> <li>Letter of caution sent to assistant coach.</li> </ul>

## 2013-14 SELF-REPORTED NCAA VIOLATIONS

SPORT	DESCRIPTION OF RULE	DATE	DESCRIPTION OF VIOLATION	ACTION TAKEN
<b>MEN'S BASKETBALL</b>	11.7.1.1.1.1 states that a noncoaching staff member is prohibited from participating with or observing student-athletes in the staff members sport who are engaged in voluntary athletic activity.	9/24/2013	The men's basketball video coordinator played in a pick-up game with current men's basketball student-athletes.	<ul style="list-style-type: none"> <li>• Video coordinator was suspend for one week from all team activity</li> <li>• A copy of the violation report was filed in the video coordinator's personnel file</li> <li>• A letter of education was issued to the entire men's basketball staff</li> </ul>
<b>WOMEN'S BASKETBALL</b>	13.4.1.4.1 states that basketball coaches may send electronic correspondence to a recruit after September 1 of their junior year.	4/5/2014	A women's basketball assistant coach sent a text message from a current high school sophomore in response to a text from the recruit. The assistant coach did not realize that the recruit was a sophomore when she responded to the text message.	<ul style="list-style-type: none"> <li>• Letter of education was issued to the entire women's basketball staff</li> <li>• The entire women's basketball staff was prohibited from having recruiting contact with the prospect for two weeks</li> </ul>
<b>WOMEN'S SWIMMING</b>	13.9.2.2 states that an institution shall not permit a prospect to sign a form indicating their acceptance of an athletic scholarship prior to the initial NLI signing date for that sport.	4/15/2014	A women's swimming prospect signed her National Letter of Intent (NLI) and athletic scholarship the day before the initial signing date for women's swimming.	<ul style="list-style-type: none"> <li>• The NLI and scholarship were resent to the prospect to sign after the initial signing date.</li> <li>• Bylaw 13.9.2.2 was included in a section of the Compliance Newsletter which is issued to all athletic department staff.</li> </ul>
<b>WOMEN'S TRACK</b>	14.1.7.1 states that a student-athlete must be enrolled as a full-time student in order to be eligible for practice.	3/11/2014	A women's track student-athlete was administratively dropped from a course on March 11, 2014, which put her below full-time enrollment. The student-athlete added another course on March 24 <sup>th</sup> which returned her to full-time status. The student-athlete continued to practice during this time.	<ul style="list-style-type: none"> <li>• The student-athlete will be withheld from practice activities for twice the number of days in which she practice while below full-time.</li> <li>• The full-time enrollment requirement will be included in the beginning of the year rules education to all student-athletes.</li> <li>• The compliance and academic offices will work with the Registrar to see if a notification system can be implemented.</li> </ul>

# ATTACHMENTS

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Independent Accountant's Report on Agreed-Upon Procedures.....	Attachment A
NCAA Statement of Revenues and Expenses.....	Attachment B
NCAA Academic Progress Rate (APR) Score Reports.....	Attachment C
NCAA Graduation Success Rate (GSR) Score Reports.....	Attachment D
Peer Institution's APR and GSR Data.....	Attachment E
Admissions Agreed-Upon Procedures for Recruited Student-Athletes.....	Attachment F
Outside Compliance Review, performed by the Horizon League.....	Attachment G



January 10, 2014

Chancellor Michael R. Lovell  
University of Wisconsin-Milwaukee  
Chapman Hall 202  
2310 E. Hartford Ave.  
P.O. Box 413  
Milwaukee, WI 53201

Dear Chancellor Lovell:

We have performed the agreed-upon procedures enumerated in the attached report for the sole purpose of assisting you in evaluating whether the accompanying Statement of Revenues and Expenses for the University of Wisconsin-Milwaukee Athletics Department is in compliance with National Collegiate Athletic Association Constitution 3.2.4.16 for the year ended June 30, 2013. The University of Wisconsin-Milwaukee administration and staff are responsible for the Statement of Revenues and Expenses and the University's compliance with those requirements.

This agreed-upon-procedures engagement was conducted in accordance with the specific requirements enumerated in the NCAA Constitution and common auditing practices. The sufficiency of these procedures is solely the responsibility of those parties specified in this report. Consequently, we make no representation regarding the sufficiency of the procedures described in the Report on Agreed-Upon Procedures either for the purpose for which this report has been requested or for any other purpose.

We were not engaged to and did not conduct an examination, the objective of which would be the expression of an opinion on the accompanying Statement of Revenues and Expenses. Accordingly, we do not express such an opinion. Had we performed additional procedures, other matters might have come to our attention that would have been reported to you.

This report is intended solely for the information and use of the Chancellor, management of the University of Wisconsin-Milwaukee, the Athletics Department, and authorized representatives of the National Collegiate Athletic Association and is not intended to be, and should not be, used by anyone other than these specified parties.

Kelly Selner  
Internal Audit  
University of Wisconsin-Green Bay

Attachments

cc: Athletic Director Amanda Braun  
Associate Athletic Director Charlie Gross  
Director of Internal Audit Paul Rediske

**INDEPENDENT ACCOUNTANT'S  
REPORT ON THE APPLICATION OF AGREED-UPON PROCEDURES**

**Scope**

We performed the minimum agreed-upon procedures for revenues and expenses associated with the UW-Milwaukee Athletics Department for activities occurring in fiscal year 2013, which are reflected in the attached *Statement of Revenues and Expenses*. Our report includes procedures and findings for each area of review.

**Revenues**

For all revenues reviewed, we performed agreed-upon procedures. We compared and agreed each operating revenue category reported in the statement during the reporting period to supporting schedules provided by the institution, compared and agreed a sample of operating revenue receipts obtained from the operating revenue supporting schedules to adequate supporting documentation, and compared each major revenue account to prior period amounts and budget estimates. We also obtained and documented an understanding of any significant variations. The following exception was noted:

Cash for ticket sales received and deposited with the University Bursar Office was recorded in the general ledger at the gross revenue amount received. The collected payments included sales tax in the ticket price; therefore, the revenue should have been recorded net of the applicable sales tax. Credit card payments for ticket sales were deposited directly with Business and Financial Services and were recorded correctly, net of sales tax collected. The incorrect recording of the revenue for cash payments resulted in an overstatement of ticket revenue for this report. Athletics will work with Internal Audit and Business and Financial Services to correct the reporting of the sales tax on cash sales.

**Expenses**

For all expenses reviewed, we performed agreed-upon procedures. We compared and agreed each operating expense category reported in the statement during the reporting period to supporting schedules provided by the institution, and compared and agreed a sample of operating expenses obtained from supporting schedules to adequate supporting documentation. We also compared and agreed each major expense account to prior period amounts and budget estimates, in addition to obtaining and documenting an understanding of any significant variations.

**Conclusion**

The performed agreed-upon procedures revealed no exceptions that would materially affect the fair presentation of the University of Wisconsin-Milwaukee's *Statement of Revenues and Expenses* as required by National Collegiate Athletic Association (NCAA) Constitution, Article 3.2.4.16, for the year ended June 30, 2013.



**UNIVERSITY OF WISCONSIN-MILWAUKEE ATHLETICS DEPARTMENT**  
**STATEMENT OF REVENUES AND EXPENSES**  
**FOR THE YEAR ENDED JUNE 30, 2013**

<u>REVENUES:</u>	<u>MEN'S</u>	<u>WOMEN'S</u>	<u>OTHER</u>	<u>NON-</u>		<u>PRIOR</u>	<u>VARIANCE</u>
	<u>BASKETBALL</u>	<u>BASKETBALL</u>	<u>SPORTS</u>	<u>PROGRAM</u>	<u>TOTAL</u>	<u>YEAR</u>	
1 Ticket Sales	\$ 194,993	\$ 13,731	\$ 12,410	\$ 493	\$ 221,627	\$ 368,760	\$ (147,133)
2 Student Fees	0	0	0	6,284,747	6,284,747	4,638,459	1,646,288
3 Guarantees	141,000	19,500	20,750	0	181,250	150,750	30,500
4 Contributions	97,366	82,696	105,380	304,202	589,643	327,640	262,003
5 Compensation and Benefits Provided by Third-Party	0	0	0	0	0	0	0
6 Direct State or Other Government Support	0	0	0	0	0	0	0
7 Direct Institutional Support	288,836	497,346	2,986,871	368,509	4,141,563	3,956,096	185,467
8 Indirect Facilities and Administrative Support	0	0	0	397,000	397,000	336,000	61,000
9 NCAA/Conference Distributions	0	5,000	0	548,307	553,307	376,828	176,479
10 Broadcast, Television, Radio, and Internet Rights	0	0	0	0	0	0	0
11 Program Sales, Concessions, Novelty Sales, and Parking	1,422	0	0	845	2,267	1,976	291
12 Royalties, Licensing, Advertisements, and Sponsorships	37,100	11,342	49,650	575,891	673,983	767,416	(93,433)
13 Sports Camp Revenues	31,082	28,319	37,853	38,251	135,504	187,013	(51,509)
14 Endowment and Investment Income	0	0	0	36,565	36,565	23,179	13,386
15 Other	0	0	3,900	56,949	60,849	(150,205)	211,054
16 Total Operating Revenue	\$ 791,798	\$ 657,934	\$ 3,216,813	\$ 8,611,760	\$ 13,278,305	\$ 10,983,912	\$ 2,294,393
<u>EXPENSES:</u>							
17 Athletic Student Aid	\$ 398,237	\$ 465,093	\$ 2,876,943	\$ -	\$ 3,740,273	\$ 3,254,716	\$ 485,557
18 Guarantees	\$ 19,721	\$ 500	\$ 22,668	\$ -	\$ 42,889	\$ 41,048	\$ 1,841
19 Coaching Salaries, Benefits, and Bonuses Paid by the University and Related Entities	\$ 882,662	\$ 415,324	\$ 1,100,752	\$ -	\$ 2,398,739	\$ 2,260,782	\$ 137,957
20 Coaching Compensation and Benefits Paid By a Third Party	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
21 Support Staff/Administrative Salaries, Benefits, and Bonuses Paid by the University and Related Entities	\$ 134,585	\$ 81,718	\$ 16,384	\$ 2,298,293	\$ 2,530,981	\$ 2,388,395	\$ 142,586
22 Support Staff/Administrative Other Compensation and Benefits Paid by a Third Party	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
23 Severance Payments	\$ 14,563	\$ 152,781	\$ 7,898	\$ 34,602	\$ 209,844	\$ 195,590	\$ 14,254
24 Recruiting	\$ 67,840	\$ 38,122	\$ 33,270	\$ -	\$ 139,232	\$ 139,604	\$ (372)
25 Team Travel	\$ 209,858	\$ 149,574	\$ 466,238	\$ 46,900	\$ 872,569	\$ 856,071	\$ 16,498
26 Equipment, Uniforms, and Supplies	\$ 80,253	\$ 33,446	\$ 251,142	\$ 159,959	\$ 524,801	\$ 350,423	\$ 174,378
27 Game Expenses	\$ 78,208	\$ 36,941	\$ 62,439	\$ -	\$ 177,588	\$ 210,817	\$ (33,229)
28 Fundraising, Marketing, and Promotion	\$ 89,720	\$ 48,917	\$ 10,350	\$ 327,997	\$ 476,984	\$ 576,748	\$ (99,764)
29 Sport Camp Expenses	\$ 15,624	\$ 9,587	\$ 50,407	\$ 2,439	\$ 78,057	\$ 65,994	\$ 12,063
30 Direct Facilities, Maintenance, and Rental	\$ 39,308	\$ -	\$ 122,813	\$ 935,056	\$ 1,097,177	\$ 913,500	\$ 183,677
31 Spirit Groups	\$ -	\$ -	\$ -	\$ 13,371	\$ 13,371	\$ 15,689	\$ (2,318)
32 Indirect Facilities and Administrative Support	\$ -	\$ -	\$ -	\$ 397,000	\$ 397,000	\$ 336,000	\$ 61,000
33 Medical Expenses and Medical Insurance	\$ -	\$ -	\$ -	\$ 86,147	\$ 86,147	\$ 67,977	\$ 18,170
34 Membership and Dues	\$ 2,075	\$ 4,113	\$ 4,756	\$ 8,675	\$ 19,619	\$ 9,406	\$ 10,213
35 Other Operating Expenses	\$ 40,758	\$ 28,026	\$ 58,922	\$ 488,946	\$ 616,652	\$ 574,977	\$ 41,675
36 Total Operating Expenses	\$ 2,073,412	\$ 1,464,143	\$ 5,084,981	\$ 4,799,385	\$ 13,421,921	\$ 12,257,737	\$ 1,164,184
37 Transfers to Institution	\$ -	\$ -	\$ -	\$ -	\$ (221,606.59)	\$ -	\$ -
EXCESS (DEFICIENCY) OF REVENUES OVER (UNDER) EXPENSES	\$ (1,281,614)	\$ (806,209)	\$ (1,868,168)	\$ 3,812,375	\$ (365,223)	\$ (1,273,825)	\$ 908,602

Note 1: Line 4, Contributions of \$589,643 were donated to the UWM Foundation, Inc. for the benefit of the Athletics Department.

The UWM Foundation is an independent 501(c)(3) organization that coordinates external fundraising activities for the University of Wisconsin-Milwaukee.

During 2012-13, the UWM Foundation expenses incurred on behalf of the Athletics Department are included in applicable expense categories.

Line 14 is the Athletics Department share of the endowment and investment income earned by the UWM Foundation.

Note 2: The University of Wisconsin-Milwaukee relies on a system of fund accounting to produce a record of its financial affairs. The basic premise of fund accounting is that funds are received, budgeted, and expended for specific purposes. Policies and procedures have been promulgated at various levels of the organization to ensure that all university assets, including those of Athletics, are properly approved, acquired, and disposed of. Requisitions, purchase orders, contracts, and procurement card activities all require approval by certain levels of supervision.

# NCAA Division I 2011 - 2012 Academic Progress Rate

## Public Report

Institution: University of Wisconsin, Milwaukee

Date of Report: 06/09/2013

This report is based on NCAA Division I Academic Progress Rate (APR) data submitted by the institution for the 2008-09, 2009-10, 2010-11 and 2011-12 academic years.

*[Note: All information contained in this report is for four academic years. Some squads may still have small sample sizes within certain sport groups. In accordance with the Family Educational Rights and Privacy Act's (FERPA's) interpretation of federal privacy regulations, data cells containing three or fewer student-athletes have been suppressed and are indicated by an \* symbol. The information in this report does not reflect any changes to data made after this date.]*

The following chart represents by-sport APR averages for noted subgroups.

Sport (N)	Multiyear APR	2011-2012 APR	Percentile Rank within Sport	Percentile Rank within All Sports	All Division I	P u b l i c Institutions	Private Institutions	Football Subdivision	Football Bowl Subdivision	Football Championship Subdivision	Division I (Non-Football)
By Sport - Men's											
Baseball (298)	961	981	30th-40th	20th-30th	965	959	977	970		961	963
Basketball (346)	941	942	30th-40th	1st-10th	952	945	967	957		947	953
Cross Country (315)	979	1000	40th-50th	40th-50th	975	971	983	979		970	976
Football (245)	NA	NA	NA	NA	949	944	964	954		943	NA
Fencing (18)	NA	NA	NA	NA	975	969	979	977		976	971

\* Denotes data representing three or fewer student-athletes. In accordance with FERPA's interpretation of federal privacy regulations, institutions should not disclose statistical data contained in this report in cells made up of three or fewer students without student consent.

N/A = No APR or not applicable.

N = Number of teams represented.

<sup>1</sup> Denotes APR that does not subject the team to ineligibility for postseason competition based on institutional, athletics and student resources and the team's Graduation Success Rate.

<sup>2</sup> Denotes APR that does not subject the team to ineligibility for postseason competition due to the team's demonstrated academic improvement.

<sup>3</sup> Denotes APR that does not subject the team to ineligibility for postseason competition due to the squad-size adjustment. The "upper confidence boundary" of a team's APR must be below 900 for that team to be subject to ineligibility for postseason competition. Squad-size adjustment does not apply to teams with four years of APR data and a multiyear cohort of 30 or more student-athletes.

<sup>4</sup> Denotes APR that does not subject the team to penalties due to the team's demonstrated academic improvement.

<sup>5</sup> Denotes APR that does not subject the team to penalties due to the squad-size adjustment. The "upper confidence boundary" of a team's APR must be below 900 for that team to be subject to penalties. The squad-size adjustment does not apply to teams with four years of APR data and a multiyear cohort of 30 or more student-athletes.

<sup>6</sup> Denotes APR based on a one year cohort, not subject to ineligibility for postseason competition and/or any penalties.

<sup>7</sup> Denotes APR based on a two year cohort, not subject to ineligibility for postseason competition and/or any penalties.

<sup>8</sup> Denotes that team is not subject to ineligibility for postseason competition and/or penalties based on institutional resources.

<sup>9</sup> Denotes that team's APR data is under review.

## NCAA Division I 2011 - 2012 Academic Progress Rate

## Public Report

Institution: University of Wisconsin, Milwaukee

Date of Report: 06/09/2013

Sport (N)	Multiyear APR	2011-2012 APR	Percentile Rank within Sport	Percentile Rank within All Sports	All Division I	P u b l i c Institutions	Private Institutions	Football Subdivision	Bowl Subdivision	Football Championship Subdivision	Division I (Non-Football)
Golf (297)	NA	NA	NA	NA	974	971	981	983		970	969
Gymnastics (16)	NA	NA	NA	NA	979	979	975	980		986	965
Ice Hockey (58)	NA	NA	NA	NA	983	977	989	983		993	978
Lacrosse (61)	NA	NA	NA	NA	974	972	975	984		974	967
Skiing (11)	NA	NA	NA	NA	978	969	992	981		988	960
Soccer (203)	939	910	1st-10th	1st-10th	969	961	976	969		972	967
Swimming (137)	961	949	10th-20th	20th-30th	976	972	983	977		975	977
Tennis (261)	NA	NA	NA	NA	974	970	980	978		970	974
Track, Indoor (260)	950	951	20th-30th	10th-20th	965	958	980	967		961	967
Track, Outdoor (280)	954	951	20th-30th	10th-20th	968	961	982	969		964	970
Volleyball (22)	NA	NA	NA	NA	978	974	983	978		982	977
Water Polo (22)	NA	NA	NA	NA	980	978	981	989		991	968
Wrestling (77)	NA	NA	NA	NA	961	956	973	965		960	953
<b>By Sport - Women's</b>											
Basketball (344)	980	983	60th-70th	40th-50th	972	967	980	972		971	972

\* Denotes data representing three or fewer student-athletes. In accordance with FERPA's interpretation of federal privacy regulations, institutions should not disclose statistical data contained in this report in cells made up of three or fewer students without student consent.

N/A = No APR or not applicable.

N = Number of teams represented.

<sup>1</sup> Denotes APR that does not subject the team to ineligibility for postseason competition based on institutional, athletics and student resources and the team's Graduation Success Rate.

<sup>2</sup> Denotes APR that does not subject the team to ineligibility for postseason competition due to the team's demonstrated academic improvement.

<sup>3</sup> Denotes APR that does not subject the team to ineligibility for postseason competition due to the squad-size adjustment. The "upper confidence boundary" of a team's APR must be below 900 for that team to be subject to ineligibility for postseason competition. Squad-size adjustment does not apply to teams with four years of APR data and a multiyear cohort of 30 or more student-athletes.

<sup>4</sup> Denotes APR that does not subject the team to penalties due to the team's demonstrated academic improvement.

<sup>5</sup> Denotes APR that does not subject the team to penalties due to the squad-size adjustment. The "upper confidence boundary" of a team's APR must be below 900 for that team to be subject to penalties. The squad-size adjustment does not apply to teams with four years of APR data and a multiyear cohort of 30 or more student-athletes.

<sup>6</sup> Denotes APR based on a one year cohort, not subject to ineligibility for postseason competition and/or any penalties.

<sup>7</sup> Denotes APR based on a two year cohort, not subject to ineligibility for postseason competition and/or any penalties.

<sup>8</sup> Denotes that team is not subject to ineligibility for postseason competition and/or penalties based on institutional resources.

<sup>9</sup> Denotes that team's APR data is under review.

# NCAA Division I 2011 - 2012 Academic Progress Rate Public Report

Institution: University of Wisconsin, Milwaukee

Date of Report: 06/09/2013

Sport (N)	Multiyear APR	2011-2012 APR	Percentile Rank within Sport	Percentile Rank within All Sports	All Division I	Public Institutions	Private Institutions	Football Subdivision	Bowl Subdivision	Football Championship Subdivision	Division I (Non-Football)
Bowling (36)	NA	NA	NA	NA	964	957	977	981		955	984
Cross Country (343)	969	979	10th-20th	30th-40th	983	981	989	985		981	985
Fencing (22)	NA	NA	NA	NA	983	974	988	977		989	986
Field Hockey (79)	NA	NA	NA	NA	989	985	993	986		992	988
Golf (258)	NA	NA	NA	NA	986	984	991	988		984	986
Gymnastics (61)	NA	NA	NA	NA	989	989	988	989		992	981
Ice Hockey (34)	NA	NA	NA	NA	989	985	991	988		993	987
Lacrosse (93)	NA	NA	NA	NA	988	984	989	988		987	987
Rowing (86)	NA	NA	NA	NA	986	984	988	984		989	985
Skiing (12)	NA	NA	NA	NA	988	985	994	974		994	998
Soccer (322)	988	1000	60th-70th	60th-70th	981	978	987	983		978	982
Softball (289)	NA	NA	NA	NA	978	974	988	982		975	978
Swimming (197)	982	985	20th-30th	50th-60th	986	984	989	987		985	986
Tennis (322)	1000	1000	90th-100th	90th-100th	982	980	987	985		980	983

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N = Number of teams represented.

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<sup>2</sup> Denotes APR that does not subject the team to ineligibility for postseason competition due to the team's demonstrated academic improvement.

<sup>3</sup> Denotes APR that does not subject the team to ineligibility for postseason competition due to the squad-size adjustment. The "upper confidence boundary" of a team's APR must be below 900 for that team to be subject to ineligibility for postseason competition. Squad-size adjustment does not apply to teams with four years of APR data and a multiyear cohort of 30 or more student-athletes.

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<sup>7</sup> Denotes APR based on a two year cohort, not subject to ineligibility for postseason competition and/or any penalties.

<sup>8</sup> Denotes that team is not subject to ineligibility for postseason competition and/or penalties based on institutional resources.

<sup>9</sup> Denotes that team's APR data is under review.

# NCAA Division I 2011 - 2012 Academic Progress Rate Public Report

Institution: University of Wisconsin, Milwaukee

Date of Report: 06/09/2013

Sport (N)	Multiyear APR	2011-2012 APR	Percentile Rank within Sport	Percentile Rank within All Sports	All Division I	P u b l i c Institutions	Private Institutions	Football Subdivision	Bowl Subdivision	Football Championship Subdivision	Division I (Non-Football)
Track, Indoor (314)	970	992	30th-40th	30th-40th	977	972	987	978		973	979
Track, Outdoor (322)	970	992	20th-30th	30th-40th	978	974	988	979		975	981
Volleyball (329)	965	981	10th-20th	20th-30th	980	976	990	982		977	982
Water Polo (34)	NA	NA	NA	NA	980	970	989	981		978	982
By Sport - Co-Ed											
Rifle (22)	NA	NA	NA	NA	976	977	964	981		965	NA

\* Denotes data representing three or fewer student-athletes. In accordance with FERPA's interpretation of federal privacy regulations, institutions should not disclose statistical data contained in this report in cells made up of three or fewer students without student consent.

N/A = No APR or not applicable.

N = Number of teams represented.

<sup>1</sup> Denotes APR that does not subject the team to ineligibility for postseason competition based on institutional, athletics and student resources and the team's Graduation Success Rate.

<sup>2</sup> Denotes APR that does not subject the team to ineligibility for postseason competition due to the team's demonstrated academic improvement.

<sup>3</sup> Denotes APR that does not subject the team to ineligibility for postseason competition due to the "upper confidence boundary" of a team's APR must be below 900 for that team to be subject to ineligibility for postseason competition. Squad-size adjustment does not apply to teams with four years of APR data and a multiyear cohort of 30 or more student-athletes.

<sup>4</sup> Denotes APR that does not subject the team to penalties due to the team's demonstrated academic improvement.

<sup>5</sup> Denotes APR that does not subject the team to penalties due to the squad-size adjustment. The "upper confidence boundary" of a team's APR must be below 900 for that team to be subject to adjustment does not apply to teams with four years of APR data and a multiyear cohort of 30 or more student-athletes.

<sup>6</sup> Denotes APR based on a one year cohort, not subject to ineligibility for postseason competition and/or any penalties.

<sup>7</sup> Denotes APR based on a two year cohort, not subject to ineligibility for postseason competition and/or any penalties.

<sup>8</sup> Denotes that team is not subject to ineligibility for postseason competition and/or penalties based on institutional resources.

<sup>9</sup> Denotes that team's APR data is under review.



# NCAA Division I 2010 - 2011 Academic Progress Rate Public Report

Institution: University of Wisconsin, Milwaukee

Date of Report: 06/16/2012

This report is based on NCAA Division I Academic Progress Rate (APR) data submitted by the institution for the 2007-08, 2008-2009, 2009-10 and 2010-11 academic years.

*[Note: All information contained in this report is for four academic years. Some squads may still have small sample sizes within certain sport groups. In accordance with the Family Educational Rights and Privacy Act's (FERPA's) interpretation of federal privacy regulations, data cells containing three or fewer student-athletes have been suppressed and are indicated by an \* symbol. The information in this report does not reflect any changes to data made after this date.]*

The following chart represents by-sport APR averages for noted subgroups.

Sport (N)	Multiyear APR	2010-2011 APR	Percentile Rank within Sport	Percentile Rank within All Sports	All Division I	P u b l i c Institutions	Private Institutions	Football Subdivision	Bowl Subdivision	Football Championship Subdivision	Division I (Non-Football)
By Sport - Men's											
Baseball (297)	951	932	20th-30th	10th-20th	965	959	976	970		961	961
Basketball (344)	941	966	30th-40th	10th-20th	950	943	965	954		946	951
Cross Country (314)	978	983	40th-50th	50th-60th	973	970	980	979		970	973
Football (243)	NA	NA	NA	NA	948	943	963	952		944	NA
Fencing (18)	NA	NA	NA	NA	970	958	975	981		971	948

\* Denotes data representing three or fewer student-athletes. In accordance with FERPA's interpretation of federal privacy regulations, institutions should not disclose statistical data contained in this report in cells made up of three or fewer students without student consent.

N/A = No APR or not applicable.

N = Number of teams represented.

<sup>1</sup> Denotes APR that does not subject the team to ineligibility for postseason competition based on institutional, athletics and student resources and the team's Graduation Success Rate.

<sup>2</sup> Denotes APR that does not subject the team to ineligibility for postseason competition due to the team's demonstrated academic improvement.

<sup>3</sup> Denotes APR that does not subject the team to ineligibility for postseason competition due to the squad-size adjustment. The "upper confidence boundary" of a team's APR must be below 900 for that team to be subject to ineligibility for postseason competition. Squad-size adjustment does not apply to teams with four years of APR data and a multiyear cohort of 30 or more student-athletes.

<sup>4</sup> Denotes APR that does not subject the team to penalties due to the team's demonstrated academic improvement.

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<sup>9</sup> Denotes that team's APR data is under review.

# NCAA Division I 2010 - 2011 Academic Progress Rate Public Report

Institution: University of Wisconsin, Milwaukee

Date of Report: 06/16/2012

Sport (N)	Multiyear APR	2010-2011 APR	Percentile Rank within Sport	Percentile Rank within All Sports	All Division I	P u b l i c Institutions	P r i v a t e Institutions	Football Subdivision	Bowl Subdivision	Football Championship Subdivision	Division I (Non-Football)
Golf (298)	NA	NA	NA	NA	973	969	981	980		969	970
Gymnastics (16)	NA	NA	NA	NA	983	983	980	983		987	970
Ice Hockey (58)	NA	NA	NA	NA	982	975	989	980		993	980
Lacrosse (60)	NA	NA	NA	NA	972	970	973	981		973	962
Skiing (11)	NA	NA	NA	NA	975	964	995	975		985	963
Soccer (203)	938	969	1st-10th	1st-10th	968	960	976	968		972	966
Swimming (137)	957	1000	10th-20th	20th-30th	974	969	982	976		972	975
Tennis (260)	NA	NA	NA	NA	973	969	979	977		970	972
Track, Indoor (258)	943	976	10th-20th	10th-20th	963	956	979	965		960	964
Track, Outdoor (277)	947	976	20th-30th	10th-20th	965	958	980	966		963	965
Volleyball (23)	NA	NA	NA	NA	976	971	982	977		982	978
Water Polo (22)	NA	NA	NA	NA	979	978	980	988		993	965
Wrestling (80)	NA	NA	NA	NA	960	955	973	964		956	957
By Sport - Women's											
Basketball (342)	969	1000	40th-50th	30th-40th	970	965	980	971		968	971

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N = Number of teams represented.

<sup>1</sup> Denotes APR that does not subject the team to ineligibility for postseason competition based on institutional, athletics and student resources and the team's Graduation Success Rate.

<sup>2</sup> Denotes APR that does not subject the team to ineligibility for postseason competition due to the team's demonstrated academic improvement.

<sup>3</sup> Denotes APR that does not subject the team to ineligibility for postseason competition due to the "upper confidence boundary" of a team's APR must be below 900 for that team to be subject to ineligibility for postseason competition. Squad-size adjustment does not apply to teams with four years of APR data and a multiyear cohort of 30 or more student-athletes.

<sup>4</sup> Denotes APR that does not subject the team to penalties due to the team's demonstrated academic improvement.

<sup>5</sup> Denotes APR that does not subject the team to penalties due to the squad-size adjustment. The "upper confidence boundary" of a team's APR must be below 900 for that team to be subject to penalties. The squad-size adjustment does not apply to teams with four years of APR data and a multiyear cohort of 30 or more student-athletes.

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<sup>9</sup> Denotes that team's APR data is under review.

# NCAA Division I 2010 - 2011 Academic Progress Rate Public Report

Institution: University of Wisconsin, Milwaukee

Date of Report: 06/16/2012

Sport (N)	Multiyear APR	2010-2011 APR	Percentile Rank within Sport	Percentile Rank within All Sports	All Division I	Public Institutions	Private Institutions	Football Subdivision	Bowl Subdivision	Football Championship Subdivision	Division I (Non-Football)
Bowling (34)	NA	NA	NA	NA	957	948	976	985		949	973
Cross Country (341)	967	1000	10th-20th	30th-40th	981	978	988	984		978	982
Fencing (22)	NA	NA	NA	NA	978	974	981	973		991	969
Field Hockey (78)	NA	NA	NA	NA	990	987	992	987		992	989
Golf (254)	NA	NA	NA	NA	985	982	990	987		984	983
Gymnastics (62)	NA	NA	NA	NA	988	988	993	988		993	985
Ice Hockey (34)	NA	NA	NA	NA	987	984	989	989		994	981
Lacrosse (91)	NA	NA	NA	NA	987	986	987	990		988	982
Rowing (84)	NA	NA	NA	NA	986	983	988	984		990	983
Skiing (12)	NA	NA	NA	NA	983	975	994	968		994	985
Soccer (321)	988	991	60th-70th	60th-70th	980	976	987	981		977	981
Softball (288)	NA	NA	NA	NA	978	974	988	981		975	978
Swimming (196)	972	964	10th-20th	30th-40th	985	983	988	985		984	986
Tennis (321)	1000	1000	90th-100th	90th-100th	982	980	986	985		980	982

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N = Number of teams represented.

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<sup>9</sup> Denotes that team's APR data is under review.

# NCAA Division I 2010 - 2011 Academic Progress Rate Public Report

Institution: University of Wisconsin, Milwaukee

Date of Report: 06/16/2012

Sport (N)	Multiyear APR	2010-2011 APR	Percentile Rank within Sport	Percentile Rank within All Sports	All Division I	P u b l i c Institutions	Private Institutions	Football Subdivision	Bowl Subdivision	Football Championship Subdivision	Division I (Non- Football)
Track, Indoor (312)	966	983	20th-30th	30th-40th	974	969	986	975		972	974
Track, Outdoor (318)	966	983	20th-30th	30th-40th	975	970	987	976		974	975
Volleyball (327)	966	1000	10th-20th	30th-40th	980	976	988	982		978	980
Water Polo (33)	NA	NA	NA	NA	980	973	986	979		988	978
By Sport - Co-Ed											
Rifle (23)	NA	NA	NA	NA	973	977	949	983		956	948

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# NCAA Division I 2009 - 2010 Academic Progress Rate Public Report

Institution: University of Wisconsin, Milwaukee

Date of Report: 05/23/2011

This report is based on NCAA Division I Academic Progress Rate (APR) data submitted by the institution for the 2006-07, 2007-08, 2008-2009 and 2009-10 academic years.

*[Note: All information contained in this report is for four academic years. Some squads may still have small sample sizes within certain sport groups. In accordance with the Family Educational Rights and Privacy Act's (FERPA's) interpretation of federal privacy regulations, data cells containing three or fewer student-athletes have been suppressed and are indicated by an \* symbol. The information in this report does not reflect any changes to data made after this date.]*

The following chart represents by-sport APR averages for noted subgroups.

Sport (N)	Multiyear APR	2009-2010 APR	Percentile Rank within Sport	Percentile Rank within All Sports	All Division I	P u b l i c Institutions	Private Institutions	Football Bowl Subdivision	Football Championship Subdivision	Division I (Non-Football)
By Sport - Men's										
Baseball (298)	939	922	10th-20th	10th-20th	959	953	972	966	956	954
Basketball (344)	926	885	20th-30th	1st-10th	945	937	961	949	944	943
Cross Country (313)	969	1000	40th-50th	30th-40th	970	965	979	976	965	971
Football (244)	NA	NA	NA	NA	946	941	963	949	943	NA
Fencing (19)	NA	NA	NA	NA	964	936	976	976	972	925
Golf (298)	NA	NA	NA	NA	971	967	979	977	967	970

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<sup>1</sup> Denotes APR that does not subject the team to a contemporaneous penalty because the team is performing better than the institution's general student body, or based on institutional, athletics and student resources.

<sup>2</sup> Denotes APR that does not subject the team to contemporaneous penalties due to the squad-size adjustment. The "upper confidence boundary" of a team's APR must be below 925 for that team to be subject to contemporaneous penalties. Squad-size adjustment does not apply to teams with four years of APR data and a multiyear cohort of 30 or more student-athletes.

<sup>3</sup> Denotes APR that does not subject the team to historical penalties due to the team's demonstrated academic improvement and favorable comparison based on other academic or institutional factors.

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# NCAA Division I 2009 - 2010 Academic Progress Rate Public Report

Institution: University of Wisconsin, Milwaukee

Date of Report: 05/23/2011

Sport (N)	Multiyear APR	2009-2010 APR	Percentile Rank within Sport	Percentile Rank within All Sports	All Division I	P a b l i c Institutions	Private Institutions	Football Subdivision	Bowl Subdivision	Football Championship Subdivision	Division I (Non- Football)
Gymnastics (16)	NA	NA	NA	NA	982	983	974	982		1000	964
Ice Hockey (58)	NA	NA	NA	NA	979	972	986	979		989	981
Lacrosse (60)	NA	NA	NA	NA	971	970	971	982		975	958
Skiing (12)	NA	NA	NA	NA	972	959	997	965		981	970
Soccer (203)	935	875	1st-10th	10th-20th	967	960	974	967		971	965
Swimming (139)	938	933	1st-10th	10th-20th	972	967	982	972		970	977
Tennis (262)	NA	NA	NA	NA	970	966	978	975		968	968
Track, Indoor (257)	926	945	10th-20th	1st-10th	960	953	977	963		957	963
Track, Outdoor (278)	930	945	1st-10th	1st-10th	962	955	979	964		960	964
Volleyball (23)	NA	NA	NA	NA	974	969	981	971		985	981
Water Polo (22)	NA	NA	NA	NA	972	977	968	986		988	953
Wrestling (82)	NA	NA	NA	NA	958	953	971	960		956	957
<b>By Sport - Women's</b>											
Basketball (342)	960	928	30th-40th	20th-30th	968	963	978	970		966	969
Bowling (32)	NA	NA	NA	NA	952	945	967	978		942	969
Cross Country (341)	966	941	20th-30th	30th-40th	977	974	984	980		976	977

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N/A = No APR or not applicable.

N = Number of teams represented.

<sup>1</sup> Denotes APR that does not subject the team to a contemporaneous penalty because the team is performing better than the institution's general student body, or based on institutional athletics and student resources.

<sup>2</sup> Denotes APR that does not subject the team to contemporaneous penalties due to the squad-size adjustment. The "upper confidence boundary" of a team's APR must be below 925 for that team to be subject to contemporaneous penalties. Squad-size adjustment does not apply to teams with four years of APR data and a multiyear cohort of 30 or more student-athletes.

<sup>3</sup> Denotes APR that does not subject the team to historical penalties due to the team's demonstrated academic improvement and favorable comparison based on other academic or institutional factors.

<sup>4</sup> Denotes APR that does not subject the team to historical penalties due to the squad-size adjustment. The "upper confidence boundary" of a team's APR must be below 900 for that team to be subject to historical penalties. The squad-size adjustment does not apply to teams with four years of APR data and a multiyear cohort of 30 or more student-athletes.

<sup>5</sup> Denotes APR based on a one year cohort, not subject to a contemporaneous and/or historical penalty.

<sup>6</sup> Denotes APR based on a two year cohort, not subject to a historical penalty.

## NCAA Division I 2009 - 2010 Academic Progress Rate

## Public Report

Institution: University of Wisconsin, Milwaukee

Date of Report: 05/23/2011

Sport (N)	Multiyear APR	2009-2010 APR	Percentile Rank within Sport	Percentile Rank within All Sports	All Division I	P u b l i c Institutions	Private Institutions	Football Subdivision	Bowl Subdivision	Football Championship Subdivision	Division I (Non-Football)
Fencing (22)	NA	NA	NA	NA	975	967	978	969		991	959
Field Hockey (79)	NA	NA	NA	NA	988	985	991	986		989	989
Golf (253)	NA	NA	NA	NA	983	981	989	985		984	979
Gymnastics (62)	NA	NA	NA	NA	988	987	993	987		995	980
Ice Hockey (35)	NA	NA	NA	NA	986	982	988	988		993	976
Lacrosse (90)	NA	NA	NA	NA	986	986	986	991		986	982
Rowing (86)	NA	NA	NA	NA	985	981	989	982		989	986
Skiing (13)	NA	NA	NA	NA	985	977	997	975		994	987
Soccer (321)	984	981	50th-60th	60th-70th	978	973	987	979		976	979
Sofball (288)	NA	NA	NA	NA	975	970	986	978		972	975
Swimming (197)	974	984	10th-20th	40th-50th	983	980	989	983		984	984
Tennis (321)	975	969	30th-40th	40th-50th	979	976	983	980		976	980
Track, Indoor (311)	954	942	10th-20th	20th-30th	970	965	983	973		970	969
Track, Outdoor (318)	954	941	10th-20th	20th-30th	972	966	984	973		972	970
Volleyball (327)	967	1000	20th-30th	30th-40th	978	975	986	980		977	978
Water Polo (33)	NA	NA	NA	NA	976	967	985	978		989	977

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<sup>2</sup> Denotes APR that does not subject the team to contemporaneous penalties due to the squad-size adjustment. The "upper confidence boundary" of a team's APR must be below 925 for that team to be subject to contemporaneous penalties. Squad-size adjustment does not apply to teams with four years of APR data and a multiyear cohort of 30 or more student-athletes.

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# NCAA Division I 2009 - 2010 Academic Progress Rate Public Report

Institution: University of Wisconsin, Milwaukee

Date of Report: 05/23/2011

Sport (N)	Multiyear APR	2009-2010 APR	Percentile Rank within Sport	Percentile Rank within All Sports	All Division I	P u b l i c Institutions	Private Institutions	Football Subdivision	Bowl Subdivision	Football Championship Subdivision	Division I (Non- Football)
By Sport - Co-Ed											
Rifle (23)	NA	NA	NA	NA	966	971	931	976		945	969

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<sup>2</sup> Denotes APR that does not subject the team to contemporaneous penalties due to the squad-size adjustment. The "upper confidence boundary" of a team's APR must be below 92.5 for that team to be subject to contemporaneous penalties. Squad-size adjustment does not apply to teams with four years of APR data and a multiyear cohort of 30 or more student-athletes.

<sup>3</sup> Denotes APR that does not subject the team to historical penalties due to the team's demonstrated academic improvement and favorable comparison based on other academic or institutional factors.

<sup>4</sup> Denotes APR that does not subject the team to historical penalties due to the squad-size adjustment. The "upper confidence boundary" of a team's APR must be below 900 for that team to be subject to historical penalties. The squad-size adjustment does not apply to teams with four years of APR data and a multiyear cohort of 30 or more student-athletes.

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<sup>6</sup> Denotes APR based on a two year cohort, not subject to a historical penalty.

# Graduation Success Rate Report

*2003 - 2006 Cohorts: University of Wisconsin, Milwaukee*

Men's Sports			Women's Sports		
Sport	GSR	Fed Rate	Sport	GSR	Fed Rate
Baseball	75	78	Basketball	80	64
Basketball	67	40	Bowling	-	-
CC/Track	72	48	CC/Track	84	77
Fencing	-	-	Crew/Rowing	-	-
Football	-	-	Fencing	-	-
Golf	-	-	Field Hockey	-	-
Gymnastics	-	-	Golf	-	-
Ice Hockey	-	-	Gymnastics	-	-
Lacrosse	-	-	W. Ice Hockey	-	-
Mixed Rifle	-	-	Lacrosse	-	-
Skiing	-	-	Skiing	-	-
Soccer	78	67	Soccer	80	67
Swimming	56	47	Softball	-	-
Tennis	-	-	Swimming	76	70
Volleyball	-	-	Tennis	100	75
Water Polo	-	-	Volleyball	100	100
Wrestling	-	-	Water Polo	-	-
Men's Non-NCAA Sponsor. Sports	-	-	Women's Non-NCAA Sponsor. Sports	-	-

# University of Wisconsin, Milwaukee

## FRESHMAN-COHORT GRADUATION RATES

	All Students	Student-Athletes #
2006-07 Graduation Rate	42%	58%
Four-Class Average	42%	66%
Student-Athlete Graduation Success Rate		77%

### 1. Graduation-Rates Data

#### a. All Students

	Freshman Rate				Freshman Rate				Freshman Rate			
	Men		4-Class		Women		4-Class		Total		4-Class	
	2006-07		2006-07		2006-07		2006-07		2006-07		2006-07	
	N	%	N	%	N	%	N	%	N	%	N	%
Am. Ind./AN	13	15	60	25	22	45	74	35	35	34	134	31
Asian	44	32	218	32	43	42	218	31	87	37	436	31
Black	84	19	382	18	177	15	655	15	261	16	1037	16
Hispanic	70	20	273	28	74	34	305	29	144	27	578	28
Nat. Haw./PI	47	23	148	26	70	27	186	22	117	26	334	24
N-R Alien	14	50	31	52	4	0	13	8	18	39	44	39
Two or More	0	-	0	-	0	-	0	-	0	-	0	-
Unknown	29	38	119	39	32	38	121	47	61	38	240	43
White	1643	43	6164	43	1677	47	6795	47	3320	45	12959	45
Total	1944	40	7395	40	2099	43	8367	43	4043	42	15762	42

#### b. Student-Athletes

	Freshman Rate						Freshman Rate						Freshman Rate					
	Men			4-Class			Women			4-Class			Total			4-Class		
	2006-07			2006-07			2006-07			2006-07			2006-07			2006-07		
	N	%	N	%	N	%	N	%	N	%	N	%	N	%	N	%	N	%
Am. Ind./AN	***	***	***	***	0	-	***	***	***	***	0	-	***	***	***	***	0	-
Asian	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-
Black	3	0	9	56	8	63	6	83	12	58	14	64	9	56	21	57	22	64
Hispanic	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-
Nat. Haw./PI	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-
N-R Alien	0	-	***	***	***	***	0	-	***	***	***	***	0	-	***	***	***	***
Two or More	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-
Unknown	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***
White	15	53	56	54	63	70	22	68	93	76	88	86	37	62	149	68	151	79
Total	19	42	67	54	74	69	29	69	106	74	103	83	48	58	173	66	177	77



## c. Student-Athletes by Sport Category

Baseball				Men's Basketball				Men's CC/Track			
Freshman Rate				Freshman Rate				Freshman Rate			
2006-07 4-Class GSR				2006-07 4-Class GSR				2006-07 4-Class GSR			
Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-
Asian	-	-	-	Asian	-	-	-	Asian	-	-	-
Black	-	-	-	Black	0-a	33-a	33-a	Black	0-a	50-a	100-a
Hispanic	-	-	-	Hispanic	-	-	-	Hispanic	-	-	-
Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-
N-R Alien	-	-	-	N-R Alien	-	100-a	100-a	N-R Alien	-	-	-
Two or More	-	-	-	Two or More	-	-	-	Two or More	-	-	-
Unknown	-	-	-	Unknown	-	-	-	Unknown	-	-	-
White	100-a	78-b	75-d	White	0-a	0-a	75-a	White	43-b	48-e	69-d
Total	100-a	78-b	75-d	Total	0-a	40-a	67-b	Total	38-b	48-e	72-d
<b>Football</b>				<b>Men's Other</b>							
Freshman Rate				Freshman Rate							
2006-07 4-Class GSR				2006-07 4-Class GSR							
				Am. Ind./AN	-	-	-				
				Asian	-	-	-				
				Black	-	100-a	67-a				
				Hispanic	-	-	-				
				Nat. Haw./PI	-	-	-				
				N-R Alien	-	-	-				
				Two or More	-	-	-				
				Unknown	0-a	0-a	0-a				
				White	67-b	52-e	65-e				
				Total	57-b	54-e	63-e				
<b>Women's Basketball</b>				<b>Women's CC/Track</b>				<b>Women's Other</b>			
Freshman Rate				Freshman Rate				Freshman Rate			
2006-07 4-Class GSR				2006-07 4-Class GSR				2006-07 4-Class GSR			
Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-	Am. Ind./AN	0-a	0-a	-
Asian	-	-	-	Asian	-	-	-	Asian	-	-	-
Black	100-a	80-a	83-b	Black	50-a	20-a	33-b	Black	100-a	100-a	100-a
Hispanic	-	-	-	Hispanic	-	-	-	Hispanic	-	-	-
Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-
N-R Alien	-	-	-	N-R Alien	-	-	100-a	N-R Alien	-	-	-
Two or More	-	-	-	Two or More	-	-	-	Two or More	-	-	-
Unknown	-	-	-	Unknown	-	-	-	Unknown	-	-	-
White	-	56-b	78-b	White	100-a	94-d	100-d	White	61-d	75-e	84-e
Total	100-a	64-c	80-c	Total	83-b	77-e	84-e	Total	60-d	74-e	84-e

Values for N (a. 1-5, b. 6-10, c. 11-15, d. 16-20, e. greater than 20)

**2. Undergraduate-Enrollment Data (All full-time students enrolled Fall )**

a. All Students	Men N	Women N	Total N	b. Student-athletes	Men N	Women N	Total N
Am. Ind./AN	39	74	113	Am. Ind./AN	0	1	1
Asian	293	226	519	Asian	0	0	0
Black	781	1227	2008	Black	12	17	29
Hispanic	343	357	700	Hispanic	0	0	0
Nat. Haw./PI	388	401	789	Nat. Haw./PI	0	0	0
N-R Alien	330	188	518	N-R Alien	8	2	10
Two or More	705	884	1589	Two or More	0	0	0
Unknown	40	27	67	Unknown	2	9	11
White	8875	8945	17820	White	96	101	197
Total	11794	12329	24123	Total	118	130	248

**c. Student-Athletes # By Sports Category**

Men	Basketball	Baseball	CC/Track	Football	Other
Am. Ind./AN	0	0	0	0	0
Asian	0	0	0	0	0
Black	4	0	6	0	2
Hispanic	0	0	0	0	0
Nat. Haw./PI	0	0	0	0	0
N-R Alien	1	0	2	0	5
Two or More	0	0	0	0	0
Unknown	0	0	0	0	2
White	7	27	26	0	36
Total	12	27	34	0	45

Women	Basketball	CC/Track	Other
Am. Ind./AN	1	0	0
Asian	0	0	0
Black	7	8	2
Hispanic	0	0	0
Nat. Haw./PI	0	0	0
N-R Alien	0	2	0
Two or More	0	0	0
Unknown	1	1	7
White	6	19	76
Total	15	30	85

#Only student-athletes receiving athletics aid are included in this report.

## INFORMATION ABOUT THE GRADUATION RATES REPORT

### Introduction.

This information sheet and the NCAA Graduation Rates Report have been prepared by the NCAA, based on data provided by the institution in compliance with NCAA Bylaw 18.4.2.2.1 (admissions and graduation-rate disclosure) and the federal Student Right-to-Know and Campus Security Act. The NCAA will distribute this sheet and the report to prospective student-athletes and parents.

The Graduation Rates Report provides information about two groups of students at the college or university identified at the top of the form: (1) all undergraduate students who were enrolled in a full-time program of studies for a degree and (2) student-athletes who received athletics aid from the college or university for any period of time during their entering year. [Note: Athletics aid is a grant, scholarship, tuition waiver or other assistance from a college or university that is awarded on the basis of a student's athletics ability.]

The report gives graduation information about students and student-athletes entering in 2006. This is the most recent graduating class for which the required six years of information is available. The report provides information about student-athletes who received athletics aid in one or more of eight sports categories: football, men's basketball, baseball, men's track/cross country, men's other sports and mixed sports, women's basketball, women's track/cross country and other women's sports. For each of those sports categories, it includes information in six self-reported racial or ethnic groups: American Indian or Alaska Native, Asian, Black or African-American, Hispanic or Latino, Native Hawaiian or Pacific Islander, nonresident alien, two or more races, White or non-Hispanic and unknown (not included in one of the other eight groups or not available) and the total (all nine groups combined).

A graduation rate (percent) is based on a comparison of the number (N) of students who entered a college or university and the number of those who graduated within six years. For example, if 100 students entered and 60 graduated within six years, the graduation rate is 60 percent. It is important to note that graduation rates are affected by a number of factors: some students may work part-time and need more than six years to graduate, some may leave school for a year or two to work or travel, some may transfer to another college or university or some may be dismissed for academic deficiencies.

Two different measures of graduation rates are presented in this report: (1) freshman-cohort rate and (2) Graduation Success Rate (GSR). The freshman-cohort rate indicates the percentage of freshmen who entered during a given academic year and graduated within six years. The GSR adds to the first-time freshmen, those students who entered midyear, as well as student-athletes who transferred into an institution and received athletics aid. In addition, the GSR will subtract students from the entering cohort who are considered allowable exclusions (i.e., those who either die or become permanently disabled, those who leave the school to join the armed forces, foreign services or attend a church mission), as well as those who left the institution prior to graduation, had athletics eligibility remaining and would have been academically eligible to compete had they returned to the institution.

### Graduation Rates Report.

1. Graduation Rates Data. The box at the top of the Graduation Rates Report provides freshman-cohort graduation rates for all students and for student-athletes who received athletics aid at this college or university. Additionally, this box provides GSR data for the population of student-athletes. [Note: Pursuant to the Student-Right-to-Know Act, anytime a cell containing cohort numbers includes only one or two students, the data in that cell and one other will be suppressed so that no individual can be identified.]

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a. All Students. This section provides the freshman-cohort graduation rates for all full-time, degree-seeking students by race or ethnic group. It shows the rate for men who entered as freshmen in 2006-07, and the four-class average, which includes those who entered as freshmen 2003-04, 2004-05, 2005-06 and 2006-07. The same rates are provided for women. The total for 2006-07 is the rate for men and women combined and the four-class average is for all students who entered in 2003-04, 2004-05, 2005-06 and 2006-07.

b. Student-Athletes. This section provides the freshman-cohort graduation rates and also the GSR for student-athletes in each race and ethnic group who received athletics aid. Information is provided for men and women separately and for all student-athletes.

c. Student-Athletes by Sports Categories. This section provides the identified graduation rates as in 1-b for each of the eight sports categories. (The small letters indicate the value of N.)

## 2. Undergraduate Enrollment Data.

a. All Students. This section indicates the number of full-time, undergraduate, degree-seeking students enrolled for the 2012 fall term and the number of men and women in each racial or ethnic group.

b. Student-Athletes. This section identifies how many student-athletes were enrolled and received athletics aid for the 2012 fall term and the number of men and women in each racial or ethnic group.

c. Student-Athletes by Sports Categories. This section provides the enrollment data as identified in 3-b for each of the eight sports categories.

# Graduation Success Rate Report

*2002 - 2005 Cohorts: University of Wisconsin, Milwaukee*

Men's Sports			Women's Sports		
Sport	GSR	Fed Rate	Sport	GSR	Fed Rate
Baseball	80	78	Basketball	75	60
Basketball	50	100	Bowling	-	-
CC/Track	85	63	CC/Track	85	73
Fencing	-	-	Crew/Rowing	-	-
Football	-	-	Fencing	-	-
Golf	-	-	Field Hockey	-	-
Gymnastics	-	-	Golf	-	-
Ice Hockey	-	-	Gymnastics	-	-
Lacrosse	-	-	W. Ice Hockey	-	-
Mixed Rifle	-	-	Lacrosse	-	-
Skiing	-	-	Skiing	-	-
Soccer	83	75	Soccer	90	68
Swimming	60	44	Softball	-	-
Tennis	-	-	Swimming	76	73
Volleyball	-	-	Tennis	100	80
Water Polo	-	-	Volleyball	100	92
Wrestling	-	-	Water Polo	-	-
Men's Non-NCAA Sponsor. Sports	-	-	Women's Non-NCAA Sponsor. Sports	-	-



# Graduation Success Rate Report

*2001 - 2004 Cohorts: University of Wisconsin, Milwaukee*

Men's Sports			Women's Sports		
Sport	GSR	Fed Rate	Sport	GSR	Fed Rate
Baseball	81	69	Basketball	87	69
Basketball	67	100	Bowling	-	-
CC/Track	83	61	CC/Track	87	76
Fencing	-	-	Crew/Rowing	-	-
Football	-	-	Fencing	-	-
Golf	-	-	Field Hockey	-	-
Gymnastics	-	-	Golf	-	-
Ice Hockey	-	-	Gymnastics	-	-
Lacrosse	-	-	W. Ice Hockey	-	-
Mixed Rifle	-	-	Lacrosse	-	-
Skiing	-	-	Skiing	-	-
Soccer	83	91	Soccer	95	74
Swimming	67	55	Softball	-	-
Tennis	-	-	Swimming	71	68
Volleyball	-	-	Tennis	100	83
Water Polo	-	-	Volleyball	100	92
Wrestling	-	-	Water Polo	-	-
Men's Non-NCAA Sponsor. Sports	-	-	Women's Non-NCAA Sponsor. Sports	-	-

**Academic Progress Rate Comparison  
Horizon League 2011-2012**

	Cleveland				Wright				Youngstown		Milwaukee		UWM		DI	
	State	Detroit	Green Bay	Oakland	UIC	Valpo	State	State	State	State	State	State	Rank	Rank	Average	Average
Baseball	NA	NA	NA	967	981	980	988	949	961	965	5/6	965				
Men's Basketball	990	968	971	949	907	963	974	951	941	952	8/9	952				
Men's Cross Country	NA	984	1000	973	993	980	974	937	979	975	5/8	975				
Men's Soccer	956	978	958	962	948	980	979	NA	939	969	8/8	969				
Men's Swimming	991	NA	983	979	973	982	974	NA	961	976	7/7	976				
Men's Track Indoor	NA	982	NA	960	959	952	NA	942	950	965	5/6	965				
Men's Track Outdoor	NA	984	NA	962	959	952	NA	942	954	968	4/6	968				
Women's Basketball	962	972	1000	995	971	995	959	956	980	972	4/9	972				
Women's Cross Country	1000	994	1000	959	1000	1000	985	972	969	983	8/9	983				
Women's Soccer	984	989	976	978	NA	978	975	974	988	981	2/8	981				
Women's Swimming	984	NA	993	980	986	983	994	961	982	986	6/8	986				
Women's Tennis	1000	981	991	947	961	963	1000	938	1000	982	11/9	982				
Women's Track Indoor	NA	991	NA	955	994	1000	991	939	970	977	5/7	977				
Women's Track Outdoor	1000	991	NA	955	994	1000	991	938	970	978	6/8	978				
Volleyball	995	NA	995	968	989	990	974	980	965	980	8/8	980				

**Graduation Success Rate Comparison  
Horizon League 2012-2013**

	Cleveland		Detroit		Green Bay	Oakland	UIC	Valpo	Wright		Youngstown		Milwaukee		UWM Rank	DI Average
	State	NA	NA	55	100	82	75	90	86	53	81	75	67	78	5/6	74
Baseball	NA	NA	NA	55	100	82	75	90	86	53	81	75	67	78	5/6	74
Men's Basketball	83	NA	55	55	100	82	75	78	53	50	50	67	67	69	6/9	70
Men's Soccer	77	77	77	77	81	73	75	82	75	75	NA	78	78	3/8	3/8	81
Men's Swimming	75	NA	NA	NA	83	88	92	64	84	84	NA	56	56	7/7	7/7	84
Men's Track	NA	71	71	71	100	62	64	67	88	88	70	72	72	3/8	3/8	76
Women's Basketball	92	90	90	90	100	92	73	88	75	75	88	80	80	7/9	7/9	85
Women's Soccer	69	71	71	71	71	87	NA	88	87	83	83	80	80	5/8	5/8	89
Women's Swimming	80	NA	NA	NA	100	94	80	95	95	95	88	76	76	8/8	8/8	91
Women's Tennis	88	90	90	90	100	89	100	100	100	100	100	100	100	T1/9	T1/9	91
Women's Track	100	96	96	96	100	82	90	100	80	80	88	84	84	7/9	7/9	85
Volleyball	100	NA	NA	NA	100	60	100	100	80	80	82	100	100	T1/8	T1/8	89

**Admissions Procedural Modifications for Recruited Student Athletes:  
Background and Final Agreements, Approved November, 2011  
(December 6, 2011)**

Background

In September, 2011, a group including staff from the Department of Enrollment Services (DES), the Academic Opportunity Center (AOC), and the Department of Intercollegiate Athletics (Athletics) met to review the current undergraduate application and admission processes, with a specific focus on the admission of recruited student athletes. Information was also shared regarding the process and timeline used by Athletics staff in identifying and recruiting student athletes.

There is understanding and agreement among all parties that student athletes admitted to UWM are expected to meet the same academic standards as other admitted students. Student athletes are also, in general, expected to follow the same application process and adhere to the same deadlines as other applicants. However, it is understood that in some cases, prospective student athletes whom UWM wishes to recruit may not be identified, or may not make the decision to apply to UWM, until very late in the admissions cycle. In such cases, the applicant's status as a recruited athlete will be taken into consideration in determining whether to grant an extension of or appeal to the application deadline.

Agreements Reached

The following specific agreements have been reached by DES and Athletics, and subsequently reviewed and endorsed by the Academic Integrity Subcommittee of the UWM Athletic Board:

1. The expectation is that the published application deadlines (currently July 1/ December 1, with earlier cut-offs for some academic programs) will be enforced unless notice of a change is provided. DES will make every effort to inform Athletics about any extensions or other changes to deadlines, programs closing early because of capacity limits, etc.
2. Athletics Department leadership will make every effort to inform/remind coaches and other staff about their appropriate roles (as opposed to those of other administrators) in the admission process. As appropriate, DES will be happy to provide information, attend meetings, or otherwise assist in this process as requested.
3. In communicating with prospective student athletes, coaches and other Athletic Department personnel will make every effort to inform them about our application requirements, process and deadline, and to encourage timely submission of application materials.

4. As the application deadline for a term approaches, Athletics will make a good faith effort to identify and notify DES about any prospective student athletes still being recruited who have not yet applied, completed their applications, or are otherwise still "in the pipeline." DES will make note of these applicants as potentially eligible for an exception to the application deadline, provided other requirements are met.
5. Prospective student athletes who are not identified prior to the application deadline may still appeal the deadline, but such decisions will be made on a case-by-case basis. DES will consider applicants' status as recruited athletes in reviewing requests for an exception to the deadline, but decisions will be made based on individual circumstances. (Other factors we consider include such things as medical issues, unanticipated changes in family or financial circumstances, or other mitigating factors which help explain why the student is applying late.) DES will not typically consider deadline exceptions for students who appear to be academically underprepared.

---

**Prepared by the Department of Enrollment Services**  
December, 2011

Athletics-Admissions Summary-Final-12-06-2011/BLW



Cleveland State  
University

University of  
Detroit Mercy

University of  
Illinois at Chicago

Oakland  
University

Valparaiso  
University

University of  
Wisconsin-Green Bay

University of  
Wisconsin-Milwaukee

Wright State  
University

Youngstown State  
University

Amanda Braun  
Director of Athletics  
University of Wisconsin-Milwaukee  
3409 Downer Ave.  
Milwaukee, WI 53211

Dear Amanda:

Attached please find a copy of the 2014 Horizon League Financial Aid Review for Milwaukee. One of the biggest strengths of Milwaukee in the area of financial aid is the current system's multiple layers of checks and balances between the Financial Aid office and athletics. In addition, there is an immense amount of cooperation and communication between the Financial Aid Coordinator and the Athletic Department.

You will note that I have included several thoughts below about Milwaukee's financial aid process and procedure, especially the current strength of institutional control throughout the financial aid process. Specifically, this control is evidenced by the fact that the Financial Aid office is the department inputting and interpreting awards. One suggestion after being on campus for the review is to consider a switch to a uniform and consistent financial aid awarding system across all sports that will ease interpretation and entry of awards. I want to again remind you that all suggestions are voluntary, and are recommended actions that I believe would help improve or sustain your compliance department in the area of financial aid.

Please feel free to contact me with any questions or concerns you may have. I also want to thank you and your entire staff for your hospitality during the review. Everyone was well prepared, and Jessica and Jill did a great job ensuring that Kyle and I had everything we needed for the review.

Sincerely,

*Christine Halstead*

Christine Halstead  
Assistant Commissioner—Governance/Compliance

Cc:

Jessica Kumke  
Jill Jurena

As required by the Horizon League  
governance and compliance  
committee, the Horizon League  
governance and compliance  
committee members  
and personal responsibilities

MAISE

MAISE

MAISE





2014 Horizon League Financial Aid Review  
January 4-5, 2014  
FINAL Report  
University of Wisconsin-Milwaukee

**C. Financial Aid Monitoring**

**Current Strengths:**

1. The Assistant Athletic Director for Compliance and the Financial Aid Coordinator communicate regularly regarding the aid received by student-athletes, changes in student-athletes' financial aid, and to inform each other of/monitor outside aid a student-athlete receives.
2. The Assistant Athletic Director for Compliance is the athletics liaison with the Financial Aid office. She communicates and works directly with Financial Aid Coordinator.
3. Athletics responsibilities are listed in the Financial Aid Coordinator's job description. The Financial Aid Coordinator is the sole designated person coordinating the awarding of financial aid to student-athletes.
4. The Financial Aid Coordinator enters student-athlete aid packages into the institutions financial aid module and PAWS. The Assistant Athletic Director for Compliance inputs the student-athlete financial aid information directly onto the CAi software. The Financial Aid office signs off on the NCAA squad lists after comparing the numbers in CAi to the institutional system. This system ensures that someone outside of athletics is entering financial aid data and double checking the data stored in CAi.
5. The Financial Aid office prints a spreadsheet including all financial aid information that has been entered into the university system or CAi software and compliance distributes the sheet to each coach. This task serves as another way to check the amount of athletics aid available for student-athletes.
6. All outside aid is held in the system and the Financial Aid Coordinator approves the outside aid before it is applied.
7. Student-athletes are flagged in the system, which ensures that no money will accidentally be given to a student-athlete without prior knowledge of the office of Financial Aid. Checks will not be distributed without the approval from the financial aid office and eligibility verification by compliance.
8. The institution has appropriate hearing procedures in place for dealing with issues arising out of financial aid disputes (i.e. nonrenewals, cancellations or gradations of aid), which are laid out in a recently updated student-athlete handbook.

9. The Assistant Athletic Director for Compliance and the Financial Aid office have a good procedure in place for issuing nonrenewal and renewal letters. Nonrenewal letters are sent directly from the Financial Aid Department by the Executive Director for Financial Aid to the student-athletes.
10. The Financial Aid office has set annual procedures to determine the institution's cost of attendance and value of a full grant-in-aid.
11. Overall, the current system that is in place is very sound and keeps the institution within NCAA rules and regulations. Since the last Horizon League audit in 2011, the current process has not seen much change, as it has been solidly in place, the Financial Aid Coordinator is comfortable with athletics after working with the department for about twenty years, and multiple layers of checks/balances are evident.

**Suggestion for Improvement:**

1. It would be beneficial for the Financial Aid Coordinator to attend the NCAA Compliance Rules Seminars. It is important for the Financial Aid Coordinator to stay educated and knowledgeable about NCAA rules. The NCAA Compliance Rules Seminars offers a "financial aid track" that is a helpful learning opportunity.
2. We discussed a question regarding possibly changing the current financial aid process. The potential new process would require athletics to initially enter financial aid information for each student-athlete into the institution's financial aid module. The reason behind this question was regarding automation of entering data in a spreadsheet. In our opinion, the institution still needs to ensure that someone outside of athletics is inputting and interpreting final financial aid amounts. This suggestion is based on the NCAA principle that there has to be institutional controls set for financial aid awarding. Athletics should not be the sole department entering and interpreting financial aid awards for student-athletes, as they are not experts or well-versed in the nuances of awarding.
3. The athletics department should move all sports to a percentage based system of financial aid, which would allow for uniformity, easier NCAA limitations tracking and simpler conversions to dollar amounts when data is initially entered into any system.

Rick Costello  
Director of Athletics  
University of Wisconsin-Milwaukee  
3409 Downer Ave.  
Milwaukee, WI 53211

Dear Rick:

Attached please find a copy of the 2011 Horizon League Compliance Review for Milwaukee. One of the biggest strengths of Milwaukee in the area of compliance is the commitment to rules compliance by all institutional personnel. The Chancellor puts a strong emphasis on adherence to NCAA rules that is reflected in many areas, including the cooperation between non-athletic departments, such as Admissions and Financial Aid, and the Athletic Department. Athletic Administrators and coaches have a good understanding of the importance of rules compliance and appear committed to staying within the NCAA rules.

You will note that I have indicated several suggestions for improvement. I want to again remind you that all suggestions are voluntary, and are recommended actions that I believe would help improve your compliance department. The two areas that are the most important to address right now are the following: The reorganization of the equipment issuance and retrieval process and supplying both the compliance and academic departments with some help, whether hired or in the form of interns.

Please feel free to contact me with any questions or concerns you may have. I also want to thank you and your entire staff for your hospitality during the review. Everyone was well prepared, and Kevin did a great job ensuring that Stephanie and I had everything we needed for the review.

Sincerely,

Christine Halstead  
Director of Student-Athlete Affairs

Cc:  
Kevin Fitzgerald

2011 Horizon League Compliance Review  
February 15-17, 2011  
Final Report  
University of Wisconsin-Milwaukee

A. Governance and Institutional Control

Current Strengths:

1. The Director of Athletics reports directly to the Chancellor and is part of his cabinet. The Chancellor meets twice a month with the Director of Athletics and is kept apprised of all important matters regarding NCAA rules compliance.
2. The Chancellor is notified of all issues that arise in the area of NCAA rules compliance in a timely manner and is involved in all major personnel decisions.
3. The Faculty Athletic Representative communicates regularly with the Director of Athletics, the Senior Woman Administrator, and the Assistant Athletic Director for Compliance regarding NCAA rules compliance, including, but not limited to: secondary violations, academic issues and the review of policies and procedures.
4. There is an established Athletics Board which meets regularly to advise on issues related to athletics and student welfare. There is a good mix of faculty, students, and administrators on the board. The minutes are open to the public.
5. The Chancellor is involved with the hiring/firing of high profile coaches.
6. There are established procedures for the reporting and investigation of alleged rules violations, which have been followed in the most recent violations. The institution has also reported an appropriate number of secondary violations in past years. The Chancellor is kept apprised of all violations.
7. Letters of appointment and coaches' contracts reference compliance with NCAA rules, and all performance evaluations contain an evaluation of their adherence to NCAA rules. Further, every new athletic staff member meets with the Assistant Athletics Director for Compliance to review NCAA compliance expectations and signs an agreement to comply with NCAA rules.

8. The Chancellor is kept up to date on APR and academic success.
9. The Chancellor approves and oversees the athletic budget.
10. The athletic department receives outstanding support from the financial aid office and the enrollment services office, and the liaisons have established an excellent working relationship with the Assistant Athletic Director for Compliance.
11. An outside audit firm reviews the athletic department on a periodic basis.
12. The Athletic Department has an appropriate procedure in place to ensure any APR penalties are imposed if necessary.
13. The institution has a missed classed policy that was approved by the faculty senate.
14. The Faculty Athletic Representative has a written job description. The FAR is appointed by the chancellor and has bi-annual meeting. An annual performance review is conducted by the Athletic Board Chair and the Athletic Director who will communicate the results to the Chancellor.

**Suggestions for Improvement:**

1. The NCAA is requiring more monitoring in compliance than ever before. UWM has a relatively small compliance staff and may not be able to adequately monitor everything that the NCAA requires. It would be highly beneficial to the athletic department to hire an additional staff member in the area of compliance to help further monitor all sports.
2. The Faculty Athletic Representative should attend SAAC meetings and receive minutes from all meetings. The FAR should also help conduct some student-athlete exit interviews at the end of the year.

**B. Eligibility Certification**

**Current Strengths:**

1. The institution has an excellent system for verifying the eligibility status of student-athletes. The Assistant Athletic Director for Compliance and the Enrollment Services Liaison certify the eligibility of each student-athlete.
2. The Enrollment Services liaison receives a copy of the NCAA Eligibility Center Report for each incoming student-athlete and verifies their initial and continuing eligibility.

3. The academic advisor meets with student-athletes prior to registering for classes for each semester.
4. Student-Athletes are flagged in the system and there is a block in place to ensure student-athletes cannot drop below full-time. Student-athletes can't drop below 12 credits. Student-athletes are also given priority registration to enable them to schedule classes that will not conflict with competition or practice.
5. The Admissions office does not receive undue pressure from any athletics department staff members regarding the admissions status of prospective student-athletes.
6. The Admissions Office evaluates transcripts of prospective transfers to determine how many hours they have earned in conjunction with the academic advisors through student services and if necessary, the International Office, and then they are able to advise transfers of how many credits they need to take prior to transfer.
7. For eligibility purposes, the Enrollment Services Liaison inputs the student-athlete information into CAI.
8. The Enrollment Services Liaison attends the NCAA Compliance Rules Seminars. It is important for them to stay educated about NCAA rules and it is a good learning opportunity.
9. The Enrollment Services Liaison reviews and oversees the APR. This is a new procedure implemented from the last audit.

**Suggestions for Improvement:**

1. It would be valuable for the institution to hire an additional staff member in the area of academic advising. This individual can help insure that each athlete is receiving the necessary academic support.
2. Once a prospective student-athlete has signed a National Letter of Intent it would be beneficial they could be flagged as a student-athlete. This will aid compliance admissions liaison and monitor admission status.

**C. Financial Aid Monitoring**

**Current Strengths:**

1. The Assistant Athletic Director for Compliance and the Assistant Director of Financial Aid communicate regularly regarding the aid received by student-athletes, and inform each other of any outside aid a student-athlete receives.



2. The institution has appropriate hearing procedures in place for dealing with issues arising out of financial aid disputes.
3. Athletics responsibilities are listed in the Assistant Director of Financial Aid's job description.
4. Student-athletes are flagged in the system, which ensures that no money will accidentally be given to a student-athlete without prior knowledge of the office of Financial Aid. Checks will not be distributed without the approval from the financial aid office.
5. The Assistant Athletic Director for Compliance inputs the student-athlete financial aid information directly onto the CAi software and the Financial Aid office signs off on it.
6. The Financial Aid office creates a spreadsheet with financial aid information from the Assistant Athletic Director for Compliance for each coach.
7. The Assistant Athletic Director for Compliance and the Financial Aid office have a good procedure in place for issuing nonrenewal and renewal letters. Both letters are sent directly from the Financial Aid Department to the student-athletes.
8. The Financial Aid department includes an appeals process policy in the student-athlete handbook.

**Suggestion for Improvement:**

1. It would be beneficial for the Assistant Director of Financial Aid to attend the NCAA Compliance Rules Seminars. It is important for the assistant director of financial aid to stay educated about NCAA rules and it is a good learning opportunity.
2. The Assistant Director for Financial Aid should be reviewing the CAi financial aid information at the beginning of the year.

**D. Recruitment Monitoring**

**Current Strengths:**

1. Official visit monitoring is very good. The student hosts are given written education about the do's and don'ts of hosting and are required to fill out a report detailing their activated after the visit.
2. Coaches are required to submit recruiting logs to the compliance office regularly. All coaches interviewed appeared to have a good system for ensuring the calls permitted per week rule was followed.

3. Unofficial visits are monitored and records are kept regarding complimentary admissions provided to prospects and reviewed by the compliance office.
4. The compliance office reviews all recruiting expense paperwork submitted by coaches to ensure compliance with recruiting rules.

**Suggestion for Improvement:**

1. Text messaging violations have become more prevalent in NCAA as of late and have led to significant penalties. The institution should monitor cell phone text message records.

**E. Playing and Practice Season and Outside Competition**

**Current Strengths:**

1. Coaches complete playing and practice season declarations in a timely manner and submit them to the compliance office for approval. Team captains sign the forms as well.
2. All student-athletes interviewed indicated that their coaches stayed within the permissible number of practice hours. Coaches are required to turn in regular logs detailing their CARA hours. In addition, all student-athletes interviewed indicated that summer workouts were not required or recorded by coaches.
3. Basketball student-athletes were informed about summer league through their coaches. They are required to fill out a summer league request form.

**Suggestion for Improvement:**

1. Per bylaw 3.2.4.14, the FAR should approve the schedule prior to the beginning of each regular academic term for men's basketball in order to monitor missed class time.

**F. Rules Education**

**Current Strengths:**

1. There are regular rules education meetings that all coaches and selected staff members are required to attend. The Director of Athletics is very supportive of the Rules Education provided by the compliance office.
2. Rules education is also done via email on topics of interest to the entire athletic department staff.

3. The institution provides NCAA rules education to all new employees. Additional information is given regarding their specific area of employment.
4. New coaches are given additional rules compliance and are educated on NCAA rules and institutional compliance policies. This is a new procedure implemented from the last audit.

**G. Amateurism, Extra Benefits, Boosters and Agents**

**Current Strengths:**

1. Boosters are well educated about extra benefits. Educational material is provided on their website along with a booklet provided to boosters, as well as information that is distributed to all donors and to season ticket holders.
2. Student-athletes are well educated about permissible and non-permissible activities related to agents. Student-athletes who were interviewed said that their coaches had talked to them about agents and that they informed their coaches when they received correspondence.
3. Student-athletes are required to sign for all per diem money received during travel.
4. The Assistant Athletic Director for Compliance monitors the amateurism status of all incoming and current student-athletes.
5. The Assistant Athletic Director for Compliance receives travel rosters and reviews travel expense reports.

**Suggestions for Improvement:**

1. All sports should provide detailed lists of all apparel and equipment to the Assistant Athletic Director for Compliance who should monitor what is reusable or is nonreusable. Also, all sports need to submit a list regarding all equipment they have received to ensure proper monitoring. The institution should place a hold on student-athletes' accounts if required things are not returned.
2. The institution should have a written policy regarding what equipment is reusable.
3. The Assistant Athletic Director for Compliance should give a presentation at the booster luncheon to provide in-person rules education.
4. All memorabilia and donation requests need to go through the Assistant Athletic Director for Compliance to ensure that each entity receiving a donation is acceptable per NCAA rules.

Approval of 2015 UW System  
Board of Regents Regular  
Meeting Schedule

Resolution II.17.

That, upon the recommendation of the Executive Director and Corporate Secretary, the Board of Regents adopts the attached regular-meeting schedule for 2015.

*DRAFT 5/28/2014*

**UW SYSTEM BOARD OF REGENTS  
REGULAR MEETING SCHEDULE – 2015**

February 5-6, 2015 – Hosted by UW-Madison

March 5, 2015 – In Madison

April 9-10, 2015 – Hosted by UW Colleges and UW-Extension at  
UW-Waukesha

June 4-5, 2015 – Hosted by UW-Milwaukee

July 9, 2015 – In Madison

September 10-11, 2015 – Hosted by UW-Whitewater

October 8-9, 2015 – In Madison

December 10-11, 2015 – In Madison