



**Board of Regents of the University of Wisconsin System
Office of the Secretary**

1860 Van Hise Hall
1220 Linden Drive
Madison, Wisconsin 53706
(608)262-2324

DATE: May 29, 2013

TO: Each Regent

FROM: Jane S. Radue *JSR*

MEETING NOTICE

Meetings of the UW System Board of Regents and Committees, to be held at
UW-Milwaukee Union, 2200 East Kenwood Boulevard, Milwaukee, Wisconsin 53211
on June 6 & 7, 2013

Thursday, June 6, 2013

9:00 a.m. All Regents – Wisconsin Room

1. Presentation by UW-Milwaukee Chancellor Michael Lovell:
"The Transformation of UWM: Driving an Innovation Ecosystem in
Milwaukee and Wisconsin"
2. *Presentation and Board Discussion: UW System 2013-15 Biennial Budget
Update*
3. UW-Milwaukee's NCAA Division I Athletics 2013 Annual Report

Noon Lunch – Ballroom East

1:00 p.m. Research, Economic Development, and Innovation Committee – Wisconsin Room

1:00 p.m. Capital Planning and Budget Committee – Ballroom West

2:00 p.m. Education Committee – Wisconsin Room

2:00 p.m. Business, Finance, and Audit Committee – Ballroom West

Friday, June 7, 2013

8:00 a.m. All Regents – Closed Session – Fireplace Lounge

9:00 a.m. All Regents – Wisconsin Room,
followed by: All Regents – Closed Session – Fireplace Lounge

Persons with disabilities requesting an accommodation to attend are asked to contact Jane Radue in advance of the meeting at (608)262-2324. Information about agenda items can be found the week of the meeting at <http://www.uwsa.edu/bor/meetings.htm>. The meeting will be webcast at <http://www.uwex.edu/ics/stream/regents/meetings/> on Thursday, June 6, 2013 and on Friday, June 7, 2013, from 9:00 a.m. until approximately 12:00 p.m.

UW SYSTEM 2013-15 BIENNIAL BUDGET UPDATE

BACKGROUND

The Board of Regents submitted its biennial budget to the State of Wisconsin on September 17, 2012. Governor Scott Walker introduced the Executive Budget on February 20, 2013. An update on the budget provisions for the UW System was provided at the March Board of Regents meeting.

On March 21, 2013, the State Building Commission acted on the state's capital budget. The Board of Regents was updated on the capital budget recommendations at the April 4 Board meeting.

Following the April Board meeting, an errata on the University's operating budget provisions was submitted to the co-chairs of the Joint Finance Committee by the Department of Administration Secretary on May 15. The errata recommended significant funding changes to the Governor's original 2013-15 biennial budget for the UW System.

On Thursday, May 23, the Joint Finance Committee met in executive session to take action on the budgets of several agencies including the operating budget of the University of Wisconsin System. In addition, they are scheduled to meet on May 30 to consider the state's capital budget.

The Joint Finance Committee actions made significant reductions to the Governor's original recommendations and to the errata for the UW System operating budget including the removal of some management authority that was included in the Governor's budget and in 2011 Wisconsin Act 32 (the 2011-13 biennial budget).

At the June Board of Regents meeting, the Board will be provided additional information about the proposed changes in funding to the UW System's operating budget along with a comparison to the Governor's budget recommendations. The Joint Finance Committee actions on the capital budget will be reported as well. The budget process and timeline for both the biennial budget and the next annual budget will be provided and there will be a discussion of new reporting requirements and mandates included in the Joint Finance Committee budget.

REQUESTED ACTION

No action requested.

UW-MILWAUKEE NCAA DIVISION I ATHLETICS 2013 ANNUAL REPORT

EXECUTIVE SUMMARY

BACKGROUND

Higher Education and other publications abound with articles about the need for comprehensive oversight of National Collegiate Athletic Association (NCAA) athletics, and the appropriate role and responsibilities of governing boards in providing this oversight.

As demonstrated by the financial, compliance and other issues that have arisen over the last year at schools like Iowa State University, the University of Oregon, and the University of Southern California, intercollegiate athletic programs also bring and require an accompanying higher level of public scrutiny. Through a combination of strong leadership, both within the athletic departments, and across the institutions, the University of Wisconsin (UW) System has been able to achieve and maintain a strong compliance track record for its athletics programs.

The UW System has three institutions with Division I NCAA athletics programs (UW-Green Bay, Madison, and Milwaukee); one institution with a Division II athletics program (UW-Parkside); and nine institutions with Division III athletics programs (UW-Eau Claire, La Crosse, Oshkosh, Platteville, River Falls, Stevens Point, Stout, Superior, and Whitewater). During fiscal year 2011 alone, these thirteen athletic programs generated nearly \$140 million in revenue. Over 5,600 UW student-athletes participated in NCAA-sanctioned competition, with over 1.4 million fans attending UW NCAA athletic events across the state.

Collegiate athletics are high-profile activities that exist for the betterment of the student body, student athletes, and the university. And governing boards have a responsibility to provide for the orderly operation of athletics programs. The calamitous scandals at Pennsylvania State University, the Ohio State University and Rutgers University have called into question whether intercollegiate athletic programs are receiving adequate oversight; or at least the level of additional supervision required, and the role of institutional governing boards in providing this additional oversight.

In response to these issues, the UW Board of Regents called upon UW System President, and his leadership team, to investigate ways in which the Board might better discharge its fiduciary and oversight responsibilities to oversee and ensure that UW athletic programs are managed with integrity, safeguard student-athletes, and are operating efficiently. Throughout the past year, the Board of Regents has engaged in a substantial conversation about ways to address this issue, not only to better understand the questions surrounding intercollegiate athletics, but also to develop and structure formal communications processes with the leaders who govern and manage the UW NCAA Division I athletic programs.

During the November 8, 2012 meeting, the Board of Regents established a reporting framework whereby UW institutions that participate in NCAA Division I athletics would annually provide information to the Board regarding academic, fiscal and compliance matters related to NCAA Division I intercollegiate athletics. This accountability framework would allow the Board to properly provide oversight and exercise its responsibility to safeguard: 1) the well-being and success of UW System student-athletes; 2) the financial viability of UW athletics programs; 3) the success of the academic mission of its institutions; and 4) good lines of communication between the institutions, the System President, and the Board of Regents.

The accountability framework requires each of the UW institutions with an NCAA Division I athletic program to submit an annual report to the Board of Regents. This written report would be the basis for yearly presentations to the Board by the Chancellor and Athletic Director at each of these institutions. The report and presentation will assist the Board in ensuring that these schools are: 1) adhering to any performance standards implemented by the institution or its respective Athletic Board; 2) safeguarding the welfare of all students; 3) maintaining NCAA compliance; and 4) assuring fiscal integrity.

The purpose and intent of the accountability framework is to outline the institutional reporting requirements that will assist the Regents in exercising its fiduciary responsibilities associated with intercollegiate athletics. This framework takes into consideration and incorporates many of the discussion points from multiple meetings with the Regents, including a presentation to the Board at the October 4, 2012 meeting, as well as additional ideas offered at the November 8, 2012 meeting where the accountability framework was approved.

The structure and content of the accountability framework, and the associated reporting process has been informed by discussions and reviews of best practices from multiple sources, including the NCAA, the California State University system, the University of Arizona system, the University of North Carolina system, and the University System of Maryland. Additional guidance was provided by materials and recommendations developed by the Association of Governing Boards of Colleges and Universities (AGB), on which Regent Charles Pruitt serves as a member of the Board of Directors.

The AGB study “Trust, Accountability, and Integrity, Board Responsibilities for Intercollegiate Athletics (2012), made three salient recommendations:

- 1) The Governing Board is ultimately accountable for athletic policy and oversight, and should fulfill this fiduciary responsibility.
- 2) The Board shall act decisively to uphold the integrity of the athletics program and its alignment with the academic mission of the institution.
- 3) The board must educate itself about its policy role and oversight of intercollegiate athletics.

The University of Wisconsin Board of Regents policy framework designed to address the AGB recommendations. The reporting schedule for Division I University of Wisconsin institutions is as follows:

UW-Madison:	December, 2012
UW-Milwaukee:	June, 2013
UW-Green Bay:	Fall, 2013

The report from UW-Milwaukee is responsive to the specific information requested in the accountability framework. The report contains various accomplishments, as well as a few challenges that should be addressed moving forward.

REQUESTED ACTION

This item is for discussion purposes only.

DISCUSSION

ACCOMPLISHMENTS

I. Athletics

The sustained athletic success of UW-Milwaukee within the Horizon League conference is notable. The institution was awarded the McCafferty Trophy for the 2011-12 academic year, given to the Horizon League's overall all-sports champion. This is the sixth time that UW-Milwaukee has won the trophy. Furthermore, UW-Milwaukee was also able to capture the Women's All-Sports Award for the 10th time in 12 years.

In 2011-12, the institution was able to capture nine regular season and postseason league championships, equaling the second-most championships ever won in a single-school year. The record at UW-Milwaukee stands at 11 championships, a feat the university accomplished in both 2000-01 and 2005-06.

Of particular note in 2011-12, the Women's volleyball and soccer teams both won their respective regular season and tournament championships, with both teams going undefeated during league competition. Both teams, in addition to the Men's soccer teams, led the Horizon league in attendance.

UW-Milwaukee also achieved triumphs in Men's and Women's swimming and diving, each team winning regular season titles. The Men's swimming and diving team has now won three straight titles, while the women's team won the championship after two successive second-place finishes.

Additionally, three more championships were won in track and field. The men won both indoor and outdoor titles, while the women's team won the indoor league championship. The men's team has won both the indoor and outdoor Horizon League titles every season from 2004-12.

Meanwhile, the Women's track and field team has won four consecutive indoor titles from 2009-12.

II. *Academics*

There was considerable academic achievement amongst the UW-Milwaukee student-athlete population last year. Out of the 391 student-athletes listed in 2011-12, over 50% earned a 3.0 GPA or better and 100-plus made both the fall and spring league honor rolls. The cumulative GPA for the department was 3.015 during 2011-12, the 25th straight season where UW-Milwaukee student athletes' GPAs were above a 3.0. Additionally, the graduation success rate amongst student-athletes was 82%, above the average for the entire UW-Milwaukee student body.

Student-athletes also provided numerous hours of community service throughout the Milwaukee area. Such service is impressive considering the multitude of obligations facing student-athletes, spanning academics and athletics. Several entities such as the Special Olympics and Toys for Tots benefited this past year from the student-athletes' volunteerism.

III. *Institutional Controls*

The Director of Intercollegiate Athletics at UW-Milwaukee reports to and serves on the leadership teams of both the Chancellor and Vice Chancellor of Student Affairs. This reporting structure ensures a connection with other departments within the university and the presence of institutional controls that were highlighted in the 2011 Horizon League Compliance Review.

CHALLENGES

I. *Financial Situation*

In the financial information prepared utilizing requirements contained in the UW System financial reporting statements, the UW-Milwaukee Athletics department's projected annual operating deficit for FY13 is \$599,441, leaving the projected overall cumulative deficit at \$10,402,475. The prior year's FY12 results also show the department's annual operating deficit and cumulative deficit both growing faster than projected. For example, the projected annual operating deficit for FY12 was \$516,669, while the actual operating deficit for the year was \$1,246,861. Thus, the cumulative deficit of \$9,803,034 was \$730,192 higher than the \$9,078,723 projected. The cumulative deficit has been accumulating since FY 2000.

UW-Milwaukee notes in the report that it aims to eliminate the department's annual operating deficit by FY17. The Division of Student Affairs, where the cumulative deficit is held due to Athletics being a unit within the Division, has taken/will take steps to reduce the annual operating deficit. Such measures include increasing a focus on potential external funding sources, implementing cost-containment measures, and exploring additional opportunities for revenue generation from ticket sales, royalties, and sponsorship agreements. Students have also committed to a higher segregated fee to help to support the department in fiscal years 2013-15.

After FY17, for a multi-year period, Athletics hopes to operate with a balanced budget. After this period, the campus will work with the Athletics department to reduce the cumulative deficit. No clear indication is given in the report as to how many years the Athletics department must be

solvent for the campus to help reduce the cumulative deficit, nor how the campus intends to help reduce the cumulative deficit.

II. Men's Soccer Team

In 2011-12, there were numerous self-reported NCAA violations committed by the Men's soccer team. The violations are noteworthy as they comprise over 50% of the self-reported NCAA violations during that academic year. Many of the violations involved, either directly or indirectly, the former head coach of the men's soccer team, who was terminated following an investigation into these issues. So far in the 2012-13 academic year, there have been no self-reported NCAA violations stemming from Men's soccer.

The Men's Soccer team has also encountered academic difficulties during the past four years. The team's Academic Progress Rate (APR) score¹ for 2011-12 was 910, the lowest of any of the 15 sports in which UW-Milwaukee competes and 32 points away from the next-lowest APR score that year. The Men's soccer team's four-year APR average was 939, only nine points above the 930 four-year APR score threshold that teams must earn as of 2014-15 in order to compete in postseason competition.

III. Academic Advisement & Compliance

The 2011 Horizon League Compliance Review recommended additional resources be dedicated toward academic advisement and compliance to meet demands in those areas. Both areas are significant to the Athletics department fulfilling its mission statement. As to the former, in 2011-12, 22.9% of student-athletes were undecided as to their academic major, as opposed to 12.3% of entire student-body. In relation to compliance, the Horizon League review noted the concern that, with more monitoring than ever required by the NCAA, the "relatively small" (P. 2, *Attachment G*) compliance staff at UW-Milwaukee may not have been able to adequately perform all of the monitoring required by the NCAA. UWM addressed the recommendations by adding staff in both areas and now is at the conference standard.

RELATED REGENT POLICIES

Regent Policy Document 10-1: Endorsement of the Statement of Principles from the Knight Foundation Commission on Intercollegiate Athletics

¹ The APR score is calculated in the following manner: Each student-athlete receiving athletically related financial aid earns one retention point for staying in school and one eligibility point for being academically eligible. A team's total points are divided by points possible and then multiplied by one thousand to equal the team's Academic Progress Rate score.

Example: There are 14 players on Basketball Team A. Every one of the players maintains good academic standing and remains in school. The APR for Team A would be calculated as follows:

28 total points / 28 possible points = 1.000
1.000 * 1000 = 1000 APR



May 17, 2013

Brent Smith, President, UW System Board of Regents
Kevin Reilly, President, UW System
Van Hise Hall
1220 Linden Drive
Madison, Wisconsin 53706

Dear Presidents Smith and Reilly,

In 2012 the Board of Regents adopted the *Accountability Reporting Framework for NCAA Intercollegiate Athletics Programs*. The intent of *Accountability Reporting Framework* is to allow for the proper discharge of the Board of Regents' fiduciary responsibility to ensure 1) the well-being and success of UW System student-athletes; 2) the financial viability of UW athletics programs; 3) the success of the academic mission of its institutions; and 4) good lines of communication between UW institutions, the UW System President, and the UW Board of Regents.

To ensure the Board of Regents is informed and knowledgeable about intercollegiate athletics, the above-referenced *Accountability Reporting Framework* requires each Chancellor of an institution that participates in Division I athletics to furnish to the Board of Regents, on an annual basis, information that addresses academic, fiscal, and compliance issues of intercollegiate athletics. In addition, the Board of Regents has asked the institutional auditor to perform certain procedures to validate the information reported.

Attached is a report that describes the validation procedures performed by the UW-Milwaukee Internal Audit Department on the information submitted on behalf of the UW-Milwaukee Athletics Department.

Very truly yours,

Paul W. Rediske
Director of Internal Audit

Attachment

THE UNIVERSITY OF WISCONSIN-MILWAUKEE
INTERNAL AUDIT DEPARTMENT

ACCOUNTABILITY REPORTING FRAMEWORK FOR NCAA INTERCOLLEGIATE ATHLETICS PROGRAM
VALIDATION PROCEDURES
MAY 17, 2013

Internal Audit has reviewed the information filed on behalf of the Athletics Department with respect to the *Accountability Reporting Framework for NCAA Intercollegiate Athletics Programs*.

Internal Audit performed certain validation procedures on the information submitted. This work was conducted on a test basis as deemed appropriate and sufficient by Internal Audit. The procedures that were performed are described below:

1. Reviewed the entire submission (i.e., *Annual Report* and *NCAA Oversight Certification Letter*) to ensure all required materials were included.
2. Agreed 2011-2012 year-end Athletics Department deficit to UWM's official accounting records (i.e., Shared Financial System).
3. Independently corroborated, with UW-Milwaukee officials outside of the Athletics Department, responses to statements # 3 and #8 on the *NCAA Oversight Certification Letter*.
4. Independently reproduced:
 - a) UW-Milwaukee Freshman Cohort Graduation Rates Report 2005-2006
 - b) UW-Milwaukee Freshman Cohort Graduation Rates Report 2004-2005
 - c) UW-Milwaukee Freshman Cohort Graduation Rates Report 2003
 - d) UW-Milwaukee Graduation Success Rate Report 2002-2005 Cohorts
 - e) UW-Milwaukee Graduation Success Rate Report 2001- 2004 Cohorts
 - f) UW-Milwaukee Graduation Success Rate Report 2000-2003 Cohorts
5. Independently confirmed the Grade Point Average for all student athletes in Men's Baseball and Women's Soccer for the 2011-2012 Academic Year.
6. Examined and confirmed copies of two letters of caution for violations involving Women's Basketball and Men's Basketball as reported in the 2011-2012 Self- Reported NCAA Violations.
7. Independently confirmed academic progress multiyear rates on NCAA Division 1 Academic Progress Rate Institutional Reports for 2010-2011 and 2009-2010.

Conclusion: All questions and matters identified and/or discussed during the validation process were resolved to the satisfaction of Internal Audit.

UNIVERSITY OF WISCONSIN-MILWAUKEE

INTERCOLLEGIATE ATHLETICS

ANNUAL REPORT



MAY 17, 2013

Presented to UW Board of Regents

In order to ensure the Board of Regents is informed and knowledgeable about intercollegiate athletics at the University of Wisconsin-Milwaukee, the information included in this report addresses academic, fiscal, and compliance issues related to intercollegiate athletics.

ATHLETICS ANNUAL REPORT

PRESENTED TO UW BOARD OF REGENTS

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INTRODUCTORY SECTION

ATHLETIC DEPARTMENT MISSION AND VISION STATEMENTS

IT IS OUR MISSION TO:

PROMOTE a spirit and pride in the University through positive athletic experiences for student-athletes, coaches and spectators.

EMBRACE the academic purpose of UWM while achieving athletic excellence.

MAINTAIN an athletics program of high quality through attracting, retaining and developing the best student-athletes, coaches and staff.

ENGAGE students, faculty, staff, alumni and the surrounding community.

IT IS OUR VISION TO:

BUILD A PASSIONATE AND PROUD UNIVERSITY OF WISCONSIN-MILWAUKEE THROUGH CHAMPIONSHIP EXPERIENCES IN ACADEMICS, ATHLETICS AND LEADERSHIP.

CHAMPIONSHIP EXPERIENCES IN ACADEMICS represent the achievement of the highest standards in an academic environment conducive to the success and personal development of our student-athletes.

CHAMPIONSHIP EXPERIENCES IN ATHLETICS represent the highest standards of the Horizon League and the NCAA. Our achievements encourage a culture of excellence consistent with that of the University.

CHAMPIONSHIP EXPERIENCES IN LEADERSHIP represent a commitment to personal and team achievements and to service in our community by student-athletes, coaches and staff.

With **PASSION** and **PRIDE** we portray our spirit to the University and beyond.

UWM MISSION STATEMENT

UWM Select Mission Statement:

To fulfill its mission as a major urban doctoral university and to meet the diverse needs of Wisconsin's largest metropolitan area, the University of Wisconsin–Milwaukee must provide a wide array of degree programs, a balanced program of applied and basic research, and a faculty who are active in public service. Fulfilling this mission requires the pursuit of these mutually reinforcing academic goals:

(a) To develop and maintain high quality undergraduate, graduate and continuing education programs appropriate to a major urban doctoral university.

(b) To engage in a sustained research effort which will enhance and fulfill the University's role as a doctoral institution of academic and professional excellence.

- (c) To continue development of a balanced array of high quality doctoral programs in basic disciplines and professional areas.
- (d) To attract highly qualified students who demonstrate the potential for intellectual development, innovation, and leadership for their communities.
- (e) To further academic and professional opportunities at all levels for women, minority, part-time, and financially or educationally disadvantaged students.
- (f) To establish and maintain productive relationships with appropriate public and private organizations at the local, regional, state, national, and international levels.
- (g) To promote public service and research efforts directed toward meeting the social, economic and cultural needs of the state of Wisconsin and its metropolitan areas.
- (h) To encourage others from institutions in the University of Wisconsin System and from other educational institutions and agencies to seek benefit from the University's research and educational resources such as libraries, special collections, archives, museums, research facilities, and academic programs.
- (i) To provide educational leadership in meeting future social, cultural, and technological challenges.

NCAA CLASSIFICATION

The University of Wisconsin-Milwaukee and its predecessor institutions have offered some form of intercollegiate athletics for over 100 years.

(Reflects sport sponsorship figures for 2011-12)

Currently, UWM offers 15 sports at the NCAA Division I level, with more than 300 student-athletes in the sports of men's and women's basketball, men's and women's soccer, men's and women's cross country, men's and women's indoor and outdoor track and field, men's and women's swimming and diving, women's volleyball, women's tennis and baseball.

UWM moved all of its sports back into Division I in 1990 and previously had placed its sports at the NCAA Division I, II and III levels, as well as in the NAIA, the AIAW and the NCAA's "Small College" division.

Over the years, UWM has had three main conference affiliations – the Wisconsin State University Conference/Wisconsin Women's Intercollegiate Athletic Conference, the Mid-Continent Conference and the Midwestern Collegiate Conference/Horizon League. Men's soccer has always had Division I status with the NCAA.

SPORT	# OF MALE STUDENT-ATHLETES	# OF FEMALE STUDENT-ATHLETES
BASEBALL	37	-
BASKETBALL	19	18
CROSS COUNTRY	13	13
SOCCER	27	31
SWIMMING	36	35
TENNIS	-	8
TRACK INDOOR/OUTDOOR	34/34	34/34
VOLLEYBALL	-	18
TOTALS	200 (51%)	191 (49%)

There are a number of sports UWM has offered over the years that it does not offer anymore. Most notably, UWM had a football team through 1974 and won a number of WSUC championships. The school has also offered wrestling, men's tennis and men's volleyball, among other sports.

For more than a decade, UWM has been a dominant and successful presence in the Horizon League. The school has captured the league's all-sports award – the McCafferty Trophy – six times. On the national stage, the men's basketball team advanced to the Sweet 16 of the NCAA Tournament in 2005 and to the second round of the tournament in 2006. In addition, the men's and women's soccer teams have been ranked in the top 10 nationally. In the classroom, Panther student-athletes have posted a combined 3.0 grade point average for 26 straight semesters.

HORIZON LEAGUE

In its 34th season of operation in the 2012-13 academic year, the Horizon League continues to aspire toward its goal of being one of the nation's leading athletics conferences while being recognized as a leader in the development of student-athletes as leaders and role models.



The Horizon League membership features nine public and private institutions that have impressive academic reputations and a storied tradition of broad-based athletic programs. Membership includes Cleveland State University, the University of Detroit Mercy, the University of Illinois at Chicago, Valparaiso University, the University of Wisconsin-Green Bay, the University of Wisconsin-Milwaukee, Wright State University and Youngstown State University. The League added Oakland University as its tenth institution for the 2013-14 academic year, replacing Loyola-Chicago which has left for the Missouri Valley Conference.

The Horizon League's primary focus is to add value to the educational experience through its four platforms: athletic performance, academic achievement, community outreach, personal responsibility and accountability. It is the League's belief that athletics is a powerful and visible resource tool that can be used to enhance student-athletes' collegiate experience. The Horizon League's goals are to enhance the holistic university experience for the student-athlete, to create an affiliation of institutions with similar athletic goals, and to adhere to the principles of integrity, diversity, excellence and growth. The Horizon League sponsors competition in 19 sports - nine for men (baseball, basketball, cross country, golf, soccer, swimming and diving, indoor track and field, outdoor track and field and tennis) and ten for women (basketball, cross country, golf, soccer, softball, swimming and diving, indoor track and field, outdoor track and field, tennis and volleyball).

The League receives automatic bids to NCAA championships in baseball, men's and women's basketball, men's golf, men's and women's soccer, softball, men's and women's tennis, women's volleyball, and for the first time in League history, women's golf. The Horizon League is headquartered in Indianapolis, the "Amateur Sports Capital of the World," with offices in the Pan American Plaza (201 S. Capitol Avenue), located a block from Lucas Oil Stadium and just minutes from Conseco Fieldhouse, the State Capitol Building, Victory Field (home of the Indianapolis Indians) and the NCAA national office.

Jonathan B. (Jon) LeCrone is in his 21st year as Commissioner of the Horizon League, having been named to the position on May 11, 1992, and is the fifth-longest tenured commissioner among the 31 Division I conferences.

DESCRIPTION OF ATHLETICS' ROLE AT UWM

The Athletics Department at UWM provides NCAA Division I competition for over 300 students in 15 varsity sports. Gifted student-athletes are attracted to the university because of these programs, and their talents are added to the diverse gifts of the student body. Besides adding to the diversity of the community, the intercollegiate athletics programs add distinction and identity to the University.

The value of the having an NCAA Division I Athletics program is reflected in the financial support the University provides. 101 Funding, tuition fee remissions, and room and board waivers are examples of campus resources used for daily operation of the Athletics Department.

Our student-athletes compete in over 250 athletic contests each year, representing the University in Horizon League and NCAA championship events. This adds value to the University brand and provides entertainment and gathering opportunities for students, faculty, staff, alumni and friends of the University. Over the past four years, an annual average of 11,000 students attended our athletic contests and promotional events. As UWM continues to evolve as a residential campus, the intercollegiate athletics programs will become an even more important force for driving enrollment and attracting positive interaction for the community.

UWM student-athletes are excellent role models for all students through their performance in the classroom. With 26 consecutive semesters of collectively achieving a better than 3.0 grade point average and a graduation rate of over 80%, Panther athletes demonstrate excellence in the classroom, while achieving championship results in the athletic arena.

The Intercollegiate Athletics programs at the University of Wisconsin-Milwaukee provide a shining example of academic and athletic excellence in proud and appropriate perspective. Add to this the lessons learned in preparation for and participation in competition by the student-athletes, the role of athletics at UWM is entirely consonant with the Mission and Core Values of the University and the Department of Athletics.

DESCRIPTION OF “SUCCESS” IN ATHLETICS AT UWM

OUR CORE VALUES FORM THE FOUNDATION FOR WHICH EVERYTHING WE DO MUST REFLECT. THESE SAME CORE VALUES DEFINE “SUCCESS” WITHIN OUR DEPARTMENT.

EDUCATION through academic, athletic, service, and personal experiences. Education is what cultivates a sense of life-long learning for the student athlete.

EXCELLENCE in education, athletics, and service. Excellence is the highest standard by which we hold ourselves accountable.

INNOVATION at the forefront of everything we do. Innovation gives us courage to do the things that were never done before to create a standard of excellence.

STEWARDSHIP of the resources with which we have to leave the department a better place than when we arrived. Stewardship from all individuals within the department will lead us to a culture of progress and innovation.

RESPECT for ourselves, those we engage, and the individual’s contribution to our teams. Respect is what allows us to create an environment of stewardship.

INTEGRITY with every action and decision we make. Integrity is how we gain respect.

PASSION & PRIDE for our athletic pursuits, for our academic pursuits, and for the University. Passion and pride are what creates a culture of integrity.

2011-12 ATHLETICS YEAR IN REVIEW

The University of Wisconsin-Milwaukee once again staked its claim to having the best athletic department in the Horizon League in 2011-12

UWM was officially awarded the McCafferty Trophy for the 2011-12 academic year. This marked the sixth time UWM has won the trophy, given to the Horizon League's overall all-sports champion. Plus, UWM claimed the Women's All-Sports Award for the 10th time in 12 years.

The Panthers captured the crowns, in part, by collecting nine regular season and postseason league titles. The women's volleyball team won both the regular season and tournament titles, while the women's soccer team also won both crowns. In fact, each team rolled through league play unbeaten, with the volleyball team becoming the first league squad to do so in that sport since 1994. The women's soccer team advanced to the NCAA Tournament, with the team winning an NCAA Tournament match for the first time in school history. The soccer team also earned the highest national ranking in program history, ascending into the top 10 in the nation. Sarah Hagen was among the nation’s leaders in goals and points for women’s soccer. She has since gone on to pursue a career in professional soccer and is currently playing in Germany.

The winning continued during the winter sports season, with the men's and women's swimming and diving teams each claiming league titles. It was the third straight title for the men, while the women built on a pair of second-place finishes from the previous two years to win the crown this season.

UWM also captured three more team titles in track and field. The men won both the indoor and outdoor titles, while the women added an indoor crown to the trophy case. The men have now won 18 straight league titles, the best such streak in the nation, while the indoor championship for the women was their fourth-straight.

Plus, UWM boosted its standing for the women's award with a best-ever third-place regular season finish in tennis and a second-place finish in women's outdoor track and field.

The nine regular season and postseason league championships equal the second-most in a single school year, a number UWM also posted in each of the last two years. The school record is 11, which Milwaukee reached in 2000-01 and 2005-06. In addition to the athletic success, Milwaukee led the Horizon League in attendance for Men's Soccer, Women's Soccer, Volleyball, and second in Men's Basketball.

This year's accomplishments add to a long storyline of success in Panther athletics. UWM first broke through in winning the McCafferty Trophy following the 2000-2001 school year and then claimed the award in three straight years from 2004-2006. Milwaukee also won the all-sports honor in 2009. On the women's side, UWM has won the league award 10 times in the last 12 years.

SUCCESS IN THE CLASSROOM

- UWM placed over 100 student-athletes on both the fall and spring league honor rolls during 2011-12.
- Over half of the student-athletes earned at least a 3.0 or above grade point average as of the end of the spring semester.
- The cumulative GPA for all Panther student-athletes is 3.015 - the 25th straight semester where the departmental GPA is above 3.0.
- Two student-athletes named Academic All-Region; 24 league all-academic.
- Graduation success rate was at 82%, well above the percentage for the general student population.
- Student-Athletes log many hours of community service in Milwaukee and the surrounding area. Teams volunteer for community activities like Special Olympics, Toys for Tots, and the Panther Canned Food Drive, among dozens of other agencies.

MILWAUKEE'S 2011-12 HORIZON LEAGUE CHAMPIONSHIPS

- Women's Soccer Regular Season
- Women's Soccer League Tournament
- Women's Volleyball Regular Season
- Women's Volleyball League Tournament
- Men's Swimming and Diving
- Women's Swimming and Diving
- Men's Indoor Track and Field
- Women's Indoor Track and Field
- Men's Outdoor Track and Field

MCCAFFERTY TROPHY WINNERS

2001, 2004, 2005, 2006, 2009, 2012

HORIZON LEAGUE WOMEN'S ALL-SPORTS AWARD

2001, 2002, 2003, 2004, 2006, 2007, 2008, 2009, 2010, 2012

HORIZON LEAGUE MEN'S ALL-SPORTS AWARD

2000, 2005, 2006

REPORTING STRUCTURE FOR DIRECTOR OF ATHLETICS

The Director of Intercollegiate Athletics at the University of Wisconsin–Milwaukee reports directly to Vice Chancellor for Student Affairs Michael Laliberte regarding the day-to-day operations of the department. For long-term strategic development and planning, the Director of Athletics reports directly to Chancellor Mike Lovell. The Director of Intercollegiate Athletics represents the Department of Athletics by sitting on both the Chancellor’s Cabinet and the Vice Chancellor for Student Affairs Directors’ Cabinet.

In addition, the UWM Director of Intercollegiate Athletics, is an ex-officio member of the UWM Athletic Board. The UWM Athletic Board is made up of 13 elected or appointed faculty, academic staff, and student members. The Athletic Board meets monthly and takes an active role in providing oversight to the operations of the entire UWM athletics program.

FINANCIAL INFORMATION

The Athletics Budget information on the next two pages includes only the revenues and expenses that are listed in the UW System financial reporting statements. It does not include UWM Foundation deposits and payments, in-kind donations, waivers for tuition, books, and room and board, and University indirect facilities and maintenance expenses. See page 26 and Attachment B for the year-end June 30, 2012 NCAA Statement of Revenues and Expenses, including all UWM Athletics' financial transactions (including the above items) associated with operations.

2012-13 UNIVERSITY OF WISCONSIN - MILWAUKEE BUDGET REPORT

REVENUE	BUDGET
Student Fees	\$ 5,381,386
101 Funding	\$ 748,438
NCAA & Conference Distributions	\$ 557,821
Corporate Sponsorships	\$ 410,000
Ticket Sales	\$ 300,000
Transfers	\$ (184,048)
Game Guarantees	\$ 135,000
228 Capital Transfer	\$ 1,100,000
Camps & Clinics	\$ 127,000
Other Revenue	\$ 24,668
TOTAL REVENUE	\$ 8,600,265

EXPENSES	BUDGET
128 Salaries and Fringe Benefits	\$ 4,135,948
Non Coaching \$2,003,680	
Men's Coaching \$1,410,411	
Women's Coaching \$721,857	
101 Salaries and Fringe Benefits	\$ 318,438
Women's Coaching \$318,438	
128 Operating Expenses	\$ 2,578,411
General Athletics \$1,116,742	
Men's Athletics \$843,820	
Women's Athletics \$617,849	
101 Operating Expenses	\$ 430,000
Capital	\$ 1,100,000
Athletic Student Aid	\$ 463,960
Indirect Expenses	\$ 172,949
TOTAL EXPENSES	\$ 9,199,706

Fiscal Year Operating Net	\$ (599,441)
Prior Year Cash Balance	\$ (9,803,034)
CALCULATED CASH BALANCE	\$ (10,402,475)

***Tuition fee waivers provided by the University and used as athletic student aid are estimated to be \$2,793,800, but is not included in the amount above.

PREVIOUS FISCAL YEAR BUDGET vs. ACTUAL RESULTS

2011-12 UNIVERSITY OF WISCONSIN - MILWAUKEE BUDGET REPORT

REVENUE	BUDGET	ACTUAL
Student Fees	\$ 4,235,434	\$ 4,130,776
101 Funding	\$ 803,746	\$ 783,841
NCAA & Conference Distributions	\$ 494,000	\$ 376,828
Corporate Sponsorships	\$ 418,396	\$ 515,230
Ticket Sales	\$ 319,500	\$ 368,760
Transfers	\$ -	\$ 497,475
Game Guarantees	\$ 135,000	\$ 150,750
Camps & Clinics	\$ 147,000	\$ 187,013
Other Revenue	\$ 125,000	\$ 33,121
TOTAL REVENUE	\$ 6,678,076	\$ 7,043,794
EXPENSES	BUDGET	ACTUAL
128 Salaries and Fringe Benefits	\$ 3,802,999	\$ 4,173,687
101 Salaries and Fringe Benefits	\$ 327,235	\$ 315,735
128 Operating Expenses	\$ 2,205,000	\$ 2,408,875
101 Operating Expenses	\$ 476,511	\$ 458,205
128 Capital	\$ -	\$ 499,600
101 Capital	\$ -	\$ 9,900
Athletic Student Aid	\$ 383,000	\$ 313,796
Other Expenses		\$ 110,857
TOTAL EXPENSES	\$ 7,194,745	\$ 8,290,655
Fiscal Year Operating Net	\$ (516,669)	\$ (1,246,861)
Prior Year Cash Balance	\$ (8,562,054)	\$ (8,562,054)
CALCULATED CASH BALANCE AFTER ADJUSTMENTS	\$ (9,078,723)	\$ (9,803,034)

***Tuition fee waivers provided by the University and used as athletic student aid were \$2,751,719, but are not included in the amount above.

EXTERNAL AUDIT REPORTS

An external audit of the NCAA's Statement of Revenue and Expenses, for the year ending June 30, 2012, was performed by Kelly Sellner, the Internal Auditor at the University of Wisconsin-Green Bay. Due to the minimal recommendations made in the Independent Accountant's Report on the Agreed-Upon Procedures it was not necessary to compose a response.

Please see Attachment A for the Independent Accountant's Report on the Agreed-Upon Procedures.

CAPITAL RESERVE

The Department of Athletics has reserves held in a Fund 228 Capital Account. The reserve balance is a result of a Student Senate Finance Committee Resolution (SFC0910-002), signed on December 14, 2009. This resolution instituted a \$25 per semester segregated fee for the purpose of approving a capital bonding project for Athletics. The resolution was further clarified by a Student Association Resolution Reaffirmation (SB1011-006), on June 7, 2010.

The collection of the \$25 segregated fee began in FY11 and continued through FY12; the total fees collected for both years amounted \$2,656,254. The collection of the fee was discontinued in FY13. At the end of FY12, the balance in the Fund 228 Capital Account (228-03-4000) was \$2,070,287. During FY12, \$529,347 was expended on a pre-design for a new on-campus basketball arena. The results of the pre-design determined the location of the arena, seating capacity, and total cost of the project. An external funding feasibility study revealed the external resources available to support a new on-campus arena were well below the amount needed. As a result of the feasibility study, the pre-design was put on hold.

This past fiscal year, the UWM Student Association approved the use of \$1.1 million of the Fund 228 Capital Account for Klotsche Arena renovations. The renovations were completed to update the current on-campus facility to enhance the experiences of both the spectators and student-athletes. The benefit of the renovation was an improved competition venue for home basketball and volleyball games.

Approximately \$1 million will remain in the Fund 228 Capital Account at year end June 30, 2013. There is a proposal for the segregated fee to be reinstituted in FY14. The current balance along with future fees collected will be used for Athletics capital project.

INSTITUTIONAL PLAN FOR DEFICIT

UWM's Department of Athletics has a cumulative deficit of \$9,803,034 in Fund 128. The cumulative debt began accumulating around FY 2000, and grew over several years as a result of significant changes taking place in NCAA Division I athletics, which includes a climate of increasing costs.

The Department of Athletics is a unit of the Division of Student Affairs, where the cumulative deficit is currently being held. The Chancellor, Vice Chancellor for Student Affairs, and the new Athletics Director are committed to resolving the deficit and are working on a fiscal plan to do so. The fiscal plan will accomplish the following:

1. First eliminate the annual structural operating deficit. The annual structural operating deficit will be eliminated by the end of FY 2017.
2. Over a multiple-year period, operate annually with a balanced budget.
3. After successfully proving to be solvent on an annual basis, the campus will work with Athletics to reduce the cumulative deficit over time.

The Division of Student Affairs and Athletics have taken or are taking the following action steps to reduce the annual operational deficit:

- In the course of hiring the new Director of Athletics, who began her appointment on May 1, 2013, it was emphasized that her responsibility would be to develop and implement a fiscal strategy that will improve the financial solvency of the Athletics Department through:
 - ✓ external fund development;
 - ✓ implementation of cost containment measures within operations;
 - ✓ enhancement of revenue from ticket sales, sponsorship agreements, game guarantees, camps and clinics, and royalties; and
 - ✓ collaboration within the University to pool resources and provide bottom-line relief.
- In part to assist the department in reducing the deficit, the students have committed to support Athletics through a higher segregated fee in fiscal years 13-15.
- Athletics will investigate the possibility of outsourcing Corporate Sponsorships sales to maximize revenue through the leveraging of UWM's sports marketing assets and will assess if there are additional revenue opportunities not being pursued.
- UWM has worked with the UWM Foundation to create the UWM Foundation's *Committee for Athletics* to assist with developing revenue generation strategies and targeting major donor prospects.
- UWM is working on raising external funding to support an athletic facility that would create additional revenue opportunities.
- Athletics is working closely with Development to fully participate in the University's (now early-stage) *Comprehensive Campaign*, with an emphasis on developing new external funding sources.

YEAR-END UNRESTRICTED FUND BALANCES

Athletics Department year-end unrestricted fund balances = \$21,212

This amount is held in the UWM Foundation in five different accounts:

Intercollegiate Athletics
Athletic Department Morale
External Revenue
Athletic Director's Excellence
Panther Club

TOTAL OUTSTANDING DEBT & ANNUAL DEBT SERVICE

Total annual debt service on athletic facilities = \$1,530,394.41

Total debt outstanding on athletic facilities = \$14,378,782.76

The outstanding debt on facilities is a result of the construction of the Pavilion, which is a shared facility between Recreation Sports, Athletics, Academics, and Parking. A designated segregated fee provides the resources for the annual payment of the debt service.

VALUE OF ENDOWMENTS FOR ATHLETICS

Value of Endowments dedicated to the support of athletics = \$521,137

All endowed amounts are held in two scholarship funds:

Nicholas Family Scholarship
Kuehneisen Swim and Dive Scholarship

ACADEMIC PROGRESS RATE (APR) DATA

The NCAA holds Division I institutions accountable for the academic progress of their student-athletes through the Academic Progress Rate, a team-based metric that accounts for the eligibility and retention of each student-athlete, each term.

Currently, teams must earn a minimum 900 four-year APR or a 930 two-year average to be eligible to participate in NCAA postseason competition. Beginning with the 2014-15 academic year, teams must earn a 930 four-year APR to compete in postseason competition.

HOW TO CALCULATE APR SCORES: Each term, a scholarship student-athlete may earn one retention point for staying in school and one eligibility point for being academically eligible. A team's total points are divided by points possible and then multiplied by one thousand to equal the team's Academic Progress Rate.

Please see Attachment C for the official 2011-12 Academic Progress Rate Report from the NCAA.

SPORT	2011-12 APR SCORE	4-YEAR APR SCORE	NCAA 4-YR AVERAGE
BASEBALL	981	961	965
MEN'S BASKETBALL	942	941	950
WOMEN'S BASKETBALL	983	980	970
MEN'S CROSS COUNTRY	1000	979	973
WOMEN'S CROSS COUNTRY	979	969	981
MEN'S SOCCER	910	939	968
WOMEN'S SOCCER	1000	988	980
MEN'S SWIMMING	949	961	974
WOMEN'S SWIMMING	985	982	985
WOMEN'S TENNIS	1000	1000	982
MEN'S INDOOR TRACK	951	950	963
MEN'S OUTDOOR TRACK	951	954	965
WOMEN'S INDOOR TRACK	992	970	974
WOMEN'S OUTDOOR TRACK	992	970	975
WOMEN'S VOLLEYBALL	981	965	980

THREE-YEAR APR TREND FOR HIGHEST REVENUE SPORTS

The following lists the single year APR scores for the three highest revenue sports during the 2009-10, 2010-11, and 2011-12 academic years. Please see Attachment C for the official Academic Progress Rate Reports from the NCAA.

HIGHEST REVENUE SPORTS	2009-10	2010-11	2011-12
MEN'S BASKETBALL	885	966	955
WOMEN'S BASKETBALL	928	1000	983
WOMEN'S SOCCER	981	991	1000

BENCHMARKS USED BY ATHLETICS TO ASSESS ACADEMIC PROGRESS DATA

Benchmark #1: Maintain multi-year APR score of 930 or higher for all sports.

Benchmark #2: Maintain single-year APR score of 930 or higher for all sports.

Benchmark #3: Achieve multi-year APR scores that are greater than average scores at peer institutions in each sport.

Please see Attachment E for the list of peer institutions and APR data.

GRADUATION SUCCESS RATE (GSR), ACADEMIC & ADMISSIONS DATA

What is the Graduation Success Rate?

The NCAA Graduation Success Rate (GSR) is designed to show the proportion of student-athletes on any given team who earn a college degree. The NCAA has imposed a new set of academic standards that seeks to hold teams and institutions accountable for how well student-athlete progresses toward a degree.

The GSR was developed in response to colleges and universities who asked for an alternative rate that more accurately reflects the movement among college student-athletes. The GSR takes into account incoming transfers who graduate from a different institution than the one they started at and transfers who leave an institution in good standing.

How does it differ from the Federal Graduation Rate?

The Federal Graduation Rate (FGR) is compiled by the U.S. Department of Education and is used as an indicator of academic success for college student-athletes. FGR measures the percentage of first-time, full-time freshman who graduate within six years of entering their original four-year institution.

The NCAA developed its GSR in response to criticism that the FGR understates the academic success of athletes because the FGR method does not take into account two important factors in college athletics:

1. When student-athletes transfer from an institution before graduating and is in good academic standing (perhaps to transfer to another institution for more playing time, different major, or to go pro); and
2. Those student-athletes who transfer to an institution (e.g., from a community college or another 4-year college) and earn a degree.

The FGR treats transfers as non-graduates for the original institution the student-athlete attended, even if that student-athlete later graduates from another institution. Also, the FGR does not include that student-athlete in the graduation rates at the new institution where he/she does graduate. Therefore, once a student-athlete transfers to another school he/she is no longer recognized in the calculated graduation rate. The GSR takes into account both factors and gives credit to institutions for successful transfers, whether they are leaving or entering an institution.

The following is a summary of the 2011-12 Graduate Success Rate report. **Please see Attachment D for official 2011-12 Graduation Success Rate Report (2005 freshmen cohort) from the NCAA.**

2011-12 GSR SCORES

Below is a summary of the 2011-12 Graduate Success Rate report. **Please see Attachment D for official 2011-12 Graduation Success Rate Report from the NCAA.**

SPORT	2011-12 GRAD SUCCESS RATE	2011-12 FEDERAL GRAD RATE
BASEBALL	80%	78%
MEN'S BASKETBALL	50%	100%
WOMEN'S BASKETBALL	75%	60%
MEN'S SOCCER	83%	75%
WOMEN'S SOCCER	90%	68%
MEN'S SWIMMING	60%	44%
WOMEN'S SWIMMING	76%	73%
WOMEN'S TENNIS	100%	80%
MEN'S TRACK/CROSS COUNTRY	85%	63%
WOMEN'S TRACK/XC	85%	73%
WOMEN'S VOLLEYBALL	100%	92%

THREE-YEAR FEDERAL GRADUATION RATE TRENDS

The following is a summary of the Federal Graduation Rates for the University of Wisconsin-Milwaukee, reported during the 2009-10, 2010-11 and 2011-12. **Please see Attachment D for official Graduation Success Rate Report from the NCAA.**

FEDERAL GRADUATION RATE FOR UWM STUDENT-ATHLETES & UWM'S GENERAL STUDENT BODY

ACADEMIC YEAR	GENERAL UWM STUDENT BODY FEDERAL GRADUATION RATE	UWM STUDENT-ATHLETE FEDERAL GRADUATION RATE
2003 FRESHMEN COHORT (reported in 2009-10)	42%	70%
2004 FRESHMEN COHORT (reported in 2010-11)	43%	72%
2005 FRESHMEN COHORT (reported in 2011-12)	41%	69%

FEDERAL GRADUATION RATE FOR THREE HIGHEST REVENUE WOMEN'S SPORTS

HIGHEST REVENUE WOMEN'S SPORTS	2009-10 (2003 freshmen cohort)	2010-11 (2004 freshmen cohort)	2011-12 (2005 freshmen cohort)
WOMEN'S BASKETBALL	67%	69%	60%
WOMEN'S SOCCER	86%	74%	68%
WOMEN'S VOLLEYBALL	83%	92%	92%

FEDERAL GRADUATION RATE FOR THREE HIGHEST REVENUE MEN'S SPORTS

HIGHEST REVENUE MEN'S SPORTS	2009-10 (2003 freshmen cohort)	2010-11 (2004 freshmen cohort)	2011-12 (2005 freshmen cohort)
MEN'S BASKETBALL	67%	100%	100%
MEN'S SOCCER	77%	91%	75%
BASEBALL	60%	69%	78%

SPECIAL ADMISSION OF STUDENT-ATHLETES

In September 2011, a group including staff from the Department of Enrollment Services (DES), the Academic Opportunity Center (AOC), and the Department of Intercollegiate Athletics (Athletics) met to review the current undergraduate application and admission processes, with a specific focus on the admission of recruited student athletes. Information was also shared regarding the process and timeline used by Athletics staff in identifying and recruiting student athletes.

There is understanding and agreement among all parties that student athletes admitted to UWM are expected to meet the same academic standards as other admitted students. Student-athletes are also, in general, expected to follow the same application process and adhere to the same deadlines as other applicants. However, it is understood that in some cases, prospective student athletes whom UWM wishes to recruit may not be identified, or may not make the decision to apply to UWM, until very late in the admissions cycle. In such cases, the applicant's status as a recruited athlete will be taken into consideration in determining whether to grant an extension of or appeal to the application deadline.

Please see Attachment F for the Admissions Procedures for Recruited Student-Athletes.

GRADE POINT AVERAGE INFORMATION

GRADE POINT AVERAGE FOR ALL STUDENT-ATHLETES DURING THE 2011-12 ACADEMIC YEAR:

Fall 2011: 3.03

Spring 2012: 3.06

FALL 2011 STUDENT-ATHLETE GRADE POINT AVERAGE PER SPORT

ALL SPORTS	FALL 2011 GPA	CUMULATIVE GPA
MEN'S BASEBALL	3.091	3.005
MEN'S BASKETBALL	2.898	2.805
MEN'S CROSS COUNTRY	2.679	2.821
MEN'S SOCCER	3.003	3.004
MEN'S SWIMMING AND DIVING	2.904	3.041
MEN'S TRACK AND FIELD	2.649	2.724
WOMEN'S BASKETBALL	2.784	3.209
WOMEN'S CROSS COUNTRY	3.263	3.302
WOMEN'S SOCCER	3.596	3.468
WOMEN'S SWIMMING AND DIVING	3.027	3.091
WOMEN'S TENNIS	3.300	3.260
WOMEN'S TRACK AND FIELD	3.182	3.229
WOMEN'S VOLLEYBALL	3.061	3.187
	3.034	3.088

SPORTS (HIGHEST TO LOWEST GPA)	FALL 2011 GPA	CUMULATIVE GPA
WOMEN'S SOCCER	3.596	3.468
WOMEN'S TENNIS	3.300	3.260
WOMEN'S CROSS COUNTRY	3.263	3.302
WOMEN'S TRACK AND FIELD	3.182	3.229
MEN'S BASEBALL	3.091	3.005
WOMEN'S VOLLEYBALL	3.061	3.187
WOMEN'S SWIMMING AND DIVING	3.027	3.091
MEN'S SOCCER	3.003	3.004
MEN'S SWIMMING AND DIVING	2.904	3.041
MEN'S BASKETBALL	2.898	2.805
WOMEN'S BASKETBALL	2.784	3.209
MEN'S CROSS COUNTRY	2.679	2.821
MEN'S TRACK AND FIELD	2.649	2.724
	3.034	3.088

SPRING 2012 STUDENT-ATHLETE GRADE POINT AVERAGE PER SPORT

ALL SPORTS	SPRING 2012 GPA	CUMULATIVE GPA
BASEBALL	2.933	3.033
MEN'S BASKETBALL	2.32	2.62
MEN'S SOCCER	3.254	3.15
MEN'S SWIMMING	2.922	2.991
MEN'S TRACK/CROSS COUNTRY	2.735	2.818
WOMEN'S BASKETBALL	2.837	3.102
WOMEN'S SOCCER	3.526	3.5
WOMEN'S SWIM	3.215	3.134
WOMEN'S TRACK/CROSS COUNTRY	3.099	3.174
WOMEN'S TENNIS	3.388	3.329
WOMEN'S VOLLEYBALL	3.398	3.305
	3.057	3.105

SPORT (HIGHEST TO LOWEST GPA)	SPRING 2012 GPA	CUMULATIVE GPA
WOMEN'S SOCCER	3.596	3.5
WOMEN'S TENNIS	3.388	3.329
WOMEN'S SWIMMING	3.215	3.134
WOMEN'S TRACK/CROSS COUNTRY	3.099	3.174
BASEBALL	3.091	3.005
MEN'S SOCCER	3.003	3.004
WOMEN'S VOLLEYBALL	3	3.305
MEN'S SWIMMING	2.904	3.041
MEN'S BASKETBALL	2.898	2.805
WOMEN'S BASKETBALL	2.837	3.102
MEN'S TRACK/CROSS COUNTRY	2.649	2.724
	3.057	3.105

DECLARED MAJORS OF STUDENT-ATHLETES

Below is a summary of the declared degree programs for student-athletes and the UWM general student body.

MAJOR	# OF STUDENT-ATHLETES	% OF STUDENT-ATHLETES	% OF UWM STUDENT BODY
ACCOUNTING	6	2.1%	2.50%
ACTUARIAL SCIENCE	2	0.7%	0.33%
ARCHITECTURAL STUDIES	2	0.7%	2.30%
ART	8	2.8%	3.20%
ART HISTORY	1	0.4%	0.24%
ATHLETIC TRAINING	1	0.4%	0.40%
BIOCHEMISTRY	3	1.1%	0.53%
BIOLOGICAL SCI	11	3.9%	2.40%
BIOMEDICAL SCIENCES - INT	4	1.4%	-
BUSINESS	11	3.9%	3.60%
CHEMISTRY	2	0.7%	0.45%
CHILDHD & ADOLESCENCE STUDIES	1	0.4%	-
CIVIL ENGINEERING	7	2.5%	1.20%
COMM. SCI & DISORDERS	3	1.1%	0.46%
COMMUNICATION	2	0.7%	1.40%
CONSERVATION SCI	4	1.4%	0.98%
CRIMINAL JUSTICE	6	2.1%	3.10%
ECONOMICS	1	0.4%	0.66%
EDUCATION	17	6.0%	6%
EDUCATIONAL STUDIES	7	2.5%	0.29%
ELECTRICAL ENG	2	0.7%	0.97%
ENGINEERING	2	0.7%	0.43%

ENGLISH	1	0.4%	1.60%
EXCEPTIONAL EDUCATION	2	0.7%	0.26%
FINANCE	13	4.6%	3.50%
GLOBAL STUDIES	2	0.7%	-
HEALTH CARE ADMIN	2	0.7%	0.75%
HEALTH SCIENCES	4	1.4%	0.80%
HISTORY	3	1.1%	1.10%
HUMAN RESOURCES	3	1.1%	1%
INFO SCI TECH	12	4.2%	1.20%
INTERNATIONAL STUDIES	1	0.4%	0.34%
MANAGEMENT INFORMATION SYSTEMS	1	0.4%	1.20%
JOURN. ADV MEDIA STUD	5	1.8%	2.50%
KINESIOLOGY	38	13.3%	2.10%
LIBRARY & INFO SCIENCE	2	0.7%	2.30%
MANAGEMENT MS	1	0.4%	0.18%
MARKETING	12	4.2%	4.10%
MATHEMATICS	3	1.1%	0.32%
MECHANICAL ENG	11	3.9%	2%
NURSING	9	3.2%	4%
OCCUPATIONAL STUDIES	1	0.4%	0.74%
PSYCHOLOGY - INT	15	5.3%	4%
RELIGIOUS STUDIES	1	0.4%	0.18%
SOCIAL WORK	2	0.7%	2.10%
SOCIOLOGY	1	0.4%	0.79%
SUPPLY CHAIN & OPERATIONS MGMT	1	0.4%	0.79%
UNDECIDED	74	22.9%	12.30%

BENCHMARKS USED TO ASSESS GSR, ADMISSIONS & ACADEMIC DATA

Benchmark #1: Maintain student-athlete NCAA graduation rate that is greater than UWM's general student body.

Benchmark #2: Maintain student-athlete NCAA graduation rate that is greater than 80%.

Benchmark #3: Maintain an overall student-athlete NCAA graduation rate that is greater than the average for peer institution's.

Benchmark #4: Maintain an overall student-athlete Federal graduation rate that is greater than the average for peer institution's.

Benchmark #5: Maintain student-athlete NCAA graduation rate that is greater than peer institution's average for each sport.

Benchmark #6: Maintain a student-athlete cumulative GPA greater than 3.0.

Benchmark #7: Maintain a cumulative student-athlete GPA greater than the UWM general student body.

Benchmark #8: Maintain average standardized test scores (i.e., ACT and SAT) and grade point average for incoming student-athletes that are greater than the average test scores for UWM's general student body's incoming class.

AGREED-UPON PROCEDURES REPORT

2011-12 INSTITUTIONAL AGREED-UPON PROCEDURES REPORT

The NCAA requires all Division I athletic programs to complete an annual *Statement of Revenues and Expenses*. The deadline to submit the *Statement of Revenues and Expenses* is January 15th for the preceding fiscal year. Prior to submission, agreed-upon procedures are performed by an independent accountant validating that the *Statement of Revenues and Expenses* are in compliance with the NCAA.

Please see Attachment A for the Independent Accountant's Report on Agreed-Upon Procedures for UWM's NCAA Statement of Revenues and Expenses for the year ended June 30, 2012.

INTERCOLLEGIATE ATHLETICS BUDGET REPORT

UWM'S AUDITED NCAA FINANCIAL REPORT FOR 2011-12

Please see Attachment B for the NCAA Statement of Revenue and Expenses, which assigns all transactions to a predetermined revenue or expense category.

It is important to note the *NCAA Statement of Revenues and Expenses* report looks very different from the UWM Athletics Budget previously provided in this report. The *NCAA Statement of Revenues and Expenses* includes all financial transactions associated with the operations of UWM Athletics for the year ended June 30, 2012. Many of the transactions included in *NCAA Statement of Revenues and Expenses* extend outside the Athletics Department's UW System financial statement reporting parameters.

Examples of transactions included in *NCAA Statement of Revenues and Expenses* which are not found in the Athletic Department's UW System financial statements are:

- a. University of Wisconsin – Milwaukee Foundation deposits and payments
- b. In-kind donations
- c. Tuition waivers provided by the University as part of student-athletes' aid awards
- d. On-campus room and board provided by Auxiliary Services as part of the student-athletes' grant in aid
- e. Book fees waived by the UWM Bookstore for full scholarship student-athletes
- f. University indirect facilities and maintenance costs allocated to Athletics

The *NCAA Statement of Revenues and Expenses* provides a more complete analysis of all the costs associated with operating the athletics program. The *NCAA Statement of Revenues and Expenses* data is used by the NCAA and multiple media outlets to compare and benchmark athletic financial information of one athletic program to another.

OUTSIDE COMPLIANCE REVIEW

HORIZON LEAGUE OUTSIDE COMPLIANCE REVIEW

Per Horizon League policy, each member institution is required to complete an outside compliance review performed by Horizon League staff every four years. Staff members from the Horizon League administered their review of athletic compliance in February of 2011. While on campus, Horizon League staff members met with coaching staff members, administrative staff, UWM's Financial Aid Office, Admissions, Registrar's Office and Chancellor Lovell. In addition, the following materials were requested and reviewed as part of the audit:

- Policies and Procedures Manual
- Sample coaching contract
- Sample letter of employment
- Coaches' performance evaluation
- Sample rules education materials
- Letter of renewal of financial aid
- Letter of nonrenewal of financial aid
- Appeals procedure for financial aid nonrenewals or reductions
- Summer outside competition forms
- Promotional activities permission form
- SAAC agenda
- Sample booster education material
- Info provided to tutors
- NCAA certification report
- Complimentary ticket request form
- Student-Athlete handbook
- New Employee packet

Please see Attachment G for the Horizon League Compliance Review Report.

NCAA SELF-STUDY REPORT

UWM ATHLETICS SELF-STUDY REPORT

Athletics certification is meant to ensure the National Collegiate Athletic Association's (NCAA) fundamental commitment to integrity in intercollegiate athletics. The program is structured to achieve its goal in several ways: (a) by opening the affairs of athletics to the university community and the public; (b) by setting standards (called operating principles) for the operation of Division I athletics programs; and (c) by putting tough sanctions in place for institutions that fail to conduct a comprehensive self-study or to correct problems. Three sub committees are identified by the NCAA to assist institutions in meeting the purpose and goals of the process. They are:

- Subcommittee on Governance and Commitment to Rules Compliance
- Subcommittee on Academic Integrity
- Subcommittee on Equity and Student Athlete Well-Being

An effective self-study benefits the University of Wisconsin Milwaukee by providing self-awareness, affirmation, and opportunities to improve.

The primary goal of this recertification process is to ensure that the University of Wisconsin-Milwaukee complies with the standards set forth by the NCAA on Athletics Certification in its 2007 Handbook, to determine if progress has been made in the recommendations generated from the first certification cycle, and to make recommendations for improvement where needed.

The University of Wisconsin-Milwaukee's ultimate goal is to have an excellent athletics program that provides a superior experience for our students, and that is integrated fully into the academic mission of the University.

The NCAA certification team visited UWM's campus during the fall 2007 term in order to complete the NCAA Self-Study Report. The NCAA's final report was published May 1, 2008.

Click to view UWM's NCAA Self-Study Report

http://www4.uwm.edu/ncaa/final_report/upload/https_web1-ncaa-org_pdf_convert_pdfurl-http_goomer-ncaa-org_2020_wdbctx_ncaass_AdminMDB-AC_Reports.pdf

NCAA VIOLATIONS REPORT

2011-12 SELF-REPORTED NCAA VIOLATIONS

SPORT	DESCRIPTION OF RULE	DATE	DESCRIPTION OF VIOLATION	ACTION TAKEN
MEN'S SOCCER	11.7.1.1.1.1 states: Only "countable coaches" may conduct skill instruction activities with student-athletes. Men's soccer has a limit of 3 countable coaches.	Fall '11	The team's equipment manager helped warm-up at the goal keepers during one or two occasions when the team's volunteer goalkeeping coach was not present.	<ul style="list-style-type: none"> Rules education regarding coaching limitations to all athletic staff.
MEN'S SOCCER	11.7.1.1.1.4 states: An "outside consultant" may be used by a coaching staff, however the individual may not instruct student-athletes.	Fall '10 and fall '11	While visiting his son, the former head coach's father conducted a drill, which included instructing student-athletes, during a practice session.	<ul style="list-style-type: none"> Rules education regarding coaching limitations to all athletic staff.
MEN'S SOCCER	13.1.2.4 states: Only "countable coaches" may engage in off-campus recruiting activities (which includes the evaluation of prospective student-athletes).	7/1/11	The former head coach's father, on three occasions, watched prospective student-athletes who had committed to the men's soccer program. The former head coach confirmed that his father provided an evaluation of how each played.	<ul style="list-style-type: none"> Rules education regarding the involvement of boosters to all athletic staff. Three involved student-athletes declared ineligible for competition. All three have been reinstated by the NCAA.
MEN'S SOCCER	13.1.6.2 states: A coach may not have in-person contact with a prospective student-athlete while he/she is "on-call for competition."	3/15/12	A current student-athlete confirmed that the former men's soccer head coach approached him after he completed a contest at a tournament in Iowa. The student-athlete confirmed that the contest was not his team's final contest of the tournament; therefore the prospect was "on-call for competition."	<ul style="list-style-type: none"> Rules education regarding the recruiting contact to all athletic staff. The involved student-athlete declared ineligible for competition. Student-athlete has since been reinstated by the NCAA.
MEN'S SOCCER	17.02.13 states: In order for a practice activity to be considered "voluntary," the student-athletes must not be observed by coaching staff (in addition to other criteria).	2/1/12	Several student-athletes confirmed that the former men's soccer head coach, and his assistants would open the facility and set-up goals, etc. for the team's voluntary training session, which is a permissible activity. Several student-athletes also confirmed the former head coach would occasionally run around the track during the voluntary practice session, which results in a violation.	<ul style="list-style-type: none"> Rules education regarding countable athletically related activities to all athletic staff. 2-for-1 penalty for the first week of in-season practice (reduced first week of practice to 18 hours).
MEN'S SOCCER	13.1.3.1 states: A coach may not make more than one phone call per week to a prospective student-athlete (Sunday through Saturday).	7/11 through 11/11	A phone audit for the months of July 2011 through November 2011 confirmed 6 phone call violations. The audit included a review of UWM issued cell phones, UWM office phones and personal phone records.	<ul style="list-style-type: none"> Letter of admonishment to Assistant Coach. The men's soccer coaching staff was precluded from having telephone contact with prospective student-athletes for a three week period. Rules education to all athletic staff regarding phone call rules and regulations.

MEN'S SOCCER	13.4.1.2 states: A coach may not send a text message to prospective student-athletes until he/she has signed a National Letter of Intent.	9/20/11	Former men's soccer coach impermissibly sent a text message to a prospective student-athlete. Coach received a text message from the prospect and mistakenly responded. Coach realized immediately it was a violation and self-reported the violation.	<ul style="list-style-type: none"> Coach was prohibited from having written or phone contact with the prospect for a two week period. Rules Education regarding recruiting correspondence to all athletic staff. A letter of warning issued to the former Head Coach.
WOMEN'S BASKETBALL	13.02.5.3 states: During a quiet period, a coach may not make any off-campus in-person contacts or evaluations.	9/15/11	Former women's basketball head coach attended a volleyball contest of a prospective student-athlete during a quiet period. Coach mistakenly believed the date was during an evaluation period, however the evaluation period began one day following her impermissible evaluation.	<ul style="list-style-type: none"> The number of recruiting person days was reduced from 100 days to 98 days. A letter of warning was issued to the former Head Coach.
WOMEN'S BASKETBALL	11.7.1.1.1 .1 states: A noncoaching staff member (e.g., Operations assistant, video coordinator, etc.) may not take part in voluntary practice activities.	7/11/11	Former Director of Women's Basketball Operations, impermissibly participated in a pick-up basketball game with current women's basketball student-athletes on one occasion.	<ul style="list-style-type: none"> The women's basketball team instituted a 2-for-1 penalty (30 minute reduction of practice time) during the 2011 fall semester. Rules education regarding practice activities sent to all athletic staff. A letter of caution was issued to staff member.
WOMEN'S BASKETBALL	13.4.1.2 states: A coach may not send a text message to prospective student-athletes until he/she has signed a National Letter of Intent.	6/1/12	New head women's basketball coach impermissibly sent a text message to a prospective student-athlete. Coach received a text message from the 920 area code and believed the message was from an assistant coach candidate that had just left an on-campus interview. After responding, coach realized it was not the candidate, but a prospect. Coach immediately self-reported the violation.	<ul style="list-style-type: none"> Women's basketball staff was prohibited from having written or phone contact with the prospect for a two week period. Rules Education regarding recruiting correspondence to all athletic staff. A letter of warning was issued to the Head Coach.
TICKETS & EVENTS	13.10.6 states: An institution may not publicize a prospective student-athlete's visit to campus.	12/1/11	During a men's basketball contest, an area high school boys basketball team was publically recognized via public address announcement. The area high school team had purchased tickets as part of a "group sale," and it is department protocol to recognize "group sales" for each game.	<ul style="list-style-type: none"> Rules education regarding the publicity of prospective student-athletes was sent to all athletic staff. A letter of warning was issued to the Director of Tickets and Events.
MEN'S SWIMMING	13.1.3.1 states: A coach may not make more than one phone call per week to a prospective student-athlete (Sunday through Saturday).	4/9/12	Assistant swimming coach placed an impermissible phone call when she mistakenly believed the call was permissible because of the upcoming NLI signing period. However, the NLI signing period exception two days later.	<ul style="list-style-type: none"> Rules education provided to swimming staff. Swimming staff prohibited from calling prospects for a two-week period. Letter of caution provided to assistant coach.
MEN'S BASKETBALL	17.3.3.1 states: An institution may not publicize an "informal practice scrimmage" with another institution.	10/28/11	During the annual basketball tipoff luncheon for men's and women's basketball, men's basketball head coach, impermissibly referenced an upcoming informal practice scrimmage.	<ul style="list-style-type: none"> Rules education regarding the publicity of informal practice scrimmages was sent to Sports Information and the basketball staffs. A letter of caution was issued to the Head Coach.

2012-13 SELF-REPORTED NCAA VIOLATIONS

SPORT	DESCRIPTION OF RULE	DATE	DESCRIPTION OF VIOLATION	ACTION TAKEN
ACADEMICS	16.3.1.1 states: An institution shall make general academic counseling and tutoring services available to all student-athletes.	8/28/12	A former academic mentor was found to have provided inappropriate academic advisement to three student-athletes	<ul style="list-style-type: none"> • Staff member reassigned within Athletics. • Established reporting line to the Provost's Office. • Rules education regarding academic misconduct sent to all staff and student-athletes.
WOMEN'S BASKETBALL	13.1.3.1.4.2 states: A women's basketball coach may not have contact with a prospect or person associated with a prospect during the "July Evaluation Period."	7/6/12	An assistant women's basketball coach had impermissible telephone contact with an AAU coach during the July evaluation period, after she mistakenly believed the evaluation period did not begin until the next day.	<ul style="list-style-type: none"> • Rules education to all women's basketball staff. • Staff prohibited from having contact with the AAU coach for two weeks. • Letter of caution sent to assistant coach.
WOMEN'S BASKETBALL	13.1.3.1 states: A coach may not make more than one phone call per week to a prospective student-athlete (Sunday through Saturday).	2/1/13	An assistant women's basketball coach placed an impermissible phone call to a high school junior, after she inadvertently called the prospect while the phone was in her pocket.	<ul style="list-style-type: none"> • Rules education regarding phone calls to all staff. • Staff prohibited from contacting prospect for two week period. • Letter of caution sent to assistant coach.
WOMEN'S BASKETBALL	12.5.2.1 states: A student-athlete may not use his/her name, picture or likeness to promote a commercial product or service.	10/30/12	A current student-athlete permitted another individual to use her image to promote a commercial entity, and in a separate instance, was compensated \$100 for participating in a photo-shoot.	<ul style="list-style-type: none"> • Rules education to all student-athletes. • The involved student-athlete declared ineligible for competition.
WOMEN'S VOLLEYBALL	13.11.2.4 states: A staff member may only participate in a local sports club if all participants reside within a 50-mile radius of campus.	1/15/13	An assistant coach served as an assistant coach for an area club volleyball program, in which one of the participants resides outside the 50 mile radius of the institution.	<ul style="list-style-type: none"> • Rules education to all athletic staff. • All staff participating with clubs must now submit roster confirming residence. • Letter of caution sent to assistant coach.

ATTACHMENTS

Independent Accountant’s Report on Agreed-Upon Procedures.....	Attachment A
NCAA Statement of Revenues and Expenses.....	Attachment B
NCAA Academic Progress Rate (APR) Score Reports.....	Attachment C
NCAA Graduation Success Rate (GSR) Score Reports.....	Attachment D
Peer Institution’s APR and GSR Data.....	Attachment E
Admissions Agreed-Upon Procedures for Recruited Student-Athletes.....	Attachment F
Outside Compliance Review, performed by the Horizon League.....	Attachment G



January 15, 2013

Chancellor Michael R. Lovell
University of Wisconsin-Milwaukee
Chapman Hall 202
2310 E. Hartford Ave.
P.O. Box 413
Milwaukee, WI 53201

Dear Chancellor Lovell:

We have performed the agreed-upon procedures enumerated in the attached report for the sole purpose of assisting you in evaluating whether the accompanying *Statement of Revenues and Expenses* for the University of Wisconsin-Milwaukee Athletics Department is in compliance with National Collegiate Athletic Association Constitution 3.2.4.16 for the year ended June 30, 2012. The University of Wisconsin-Milwaukee administration and staff are responsible for the *Statement of Revenues and Expenses* and the University's compliance with those requirements.

This agreed-upon-procedures engagement was conducted in accordance with the specific requirements enumerated in the NCAA Constitution and common auditing practices. The sufficiency of these procedures is solely the responsibility of those parties specified in this report. Consequently, we make no representation regarding the sufficiency of the procedures described in the *Report on Agreed-Upon Procedures* either for the purpose for which this report has been requested or for any other purpose.

We were not engaged to and did not conduct an examination, the objective of which would be the expression of an opinion on the accompanying *Statement of Revenues and Expenses*. Accordingly, we do not express such an opinion. Had we performed additional procedures, other matters might have come to our attention that would have been reported to you.

This report is intended solely for the information and use of the Chancellor, management of the University of Wisconsin-Milwaukee, the Athletics Department, and authorized representatives of the National Collegiate Athletic Association and is not intended to be, and should not be, used by anyone other than these specified parties.

A handwritten signature in black ink that reads "Kelly Selner". The signature is written in a cursive, flowing style.

Kelly Selner
Internal Audit
University of Wisconsin-Green Bay

Attachments

cc: Vice Chancellor Robin Van Harpen
Athletics Director Andy Geiger
Director of Internal Audit Paul Rediske
UW System Audit Director Elizabeth Dionne

INDEPENDENT ACCOUNTANT'S REPORT ON AGREED-UPON PROCEDURES

SCOPE

We performed the minimum agreed-upon procedures for revenues and expenses associated with the UW-Milwaukee Athletics Department for activities occurring in fiscal year 2012, which are reflected in the attached *Statement of Revenues and Expenses*. Our report includes procedures and findings for each area of review.

The *Capital Expenditure Survey* has no agreed-upon procedures to conduct and therefore none of its content is included in this report.

REVENUES

For all revenues reviewed, we performed agreed-upon procedures. We compared and agreed each operating revenue category reported in the statement during the reporting period to supporting schedules provided by the institution, compared and agreed a sample of operating revenue receipts obtained from the operating revenue supporting schedules to adequate supporting documentation, and compared each major revenue account to prior period amounts and budget estimates. We also obtained and documented an understanding of any significant variations.

EXPENSES

For all expenses reviewed, we performed agreed-upon procedures. We compared and agreed each operating expense category reported in the statement during the reporting period to supporting schedules provided by the institution, and compared and agreed a sample of operating expenses obtained from supporting schedules to adequate supporting documentation. We also compared and agreed each major expense account to prior period amounts and budget estimates, in addition to obtaining and documenting an understanding of any significant variations. The following exception was noted:

Incorrect Direct Charge

A direct charge related to security at an event at the Union totaling \$1,670.70 was incorrectly charged to Athletics (SFS Journal ID: 873585). As a result, Athletics paid \$1,670.70 that was not an athletics expense. Athletics should request a reimbursement for the charge of \$1,670.70 from the appropriate department.

CONCLUSION

The performed agreed-upon procedures revealed no exceptions that would materially affect the fair presentation of the University of Wisconsin-Milwaukee's *Statement of Revenues and Expenses* as required by National Collegiate Athletic Association (NCAA) Constitution, Article 3.2.4.16, for the year ended June 30, 2012.

**UNIVERSITY OF WISCONSIN-MILWAUKEE ATHLETICS DEPARTMENT
STATEMENT OF REVENUES AND EXPENSES
FOR THE YEAR ENDED JUNE 30, 2012**

		MEN'S	WOMEN'S	OTHER	NON-		PRIOR	
	REVENUES:	BASKETBALL	BASKETBALL	SPORTS	PROGRAM	TOTAL	YEAR	VARIANCE
					SPECIFIC			
1	Ticket Sales	\$ 303,379	\$ 15,451	\$ 49,574	\$ 356	\$ 368,760	\$ 276,912	\$ 91,848
2	Student Fees	0	0	0	4,638,456	4,638,456	4,278,243	360,213
3	Guarantees	95,000	35,000	20,750	0	150,750	161,134	(10,384)
4	Contributions	144,800	6,533	113,363	62,944	327,640	439,916	(112,276)
5	Compensation and Benefits Provided by Third-Party	0	0	0	0	0	0	0
6	Direct State or Other Government Support	0	0	0	0	0	0	0
7	Direct Institutional Support	498,433	499,724	2,515,167	442,771	3,956,096	4,170,800	(214,704)
8	Indirect Facilities and Administrative Support	0	0	0	336,000	336,000	315,000	21,000
9	NCAA/Conference Distributions	0	5,000	4,795	367,033	376,828	660,201	(283,373)
10	Broadcast, Television, Radio, and Internet Rights	0	0	0	0	0	0	0
11	Program Sales, Concessions, Novelty Sales, and Parking	0	0	0	1,976	1,976	2,100	(124)
12	Royalties, Licensing, Advertisements, and Sponsorships	32,021	11,958	10,300	713,137	767,416	610,380	157,036
13	Sports Camp Revenues	32,871	20,162	133,980	0	187,013	156,547	30,466
14	Endowment and Investment Income	0	0	0	23,179	23,179	92,791	(69,612)
15	Other	0	0	0	(150,205)	(150,205)	(44,853)	(105,352)
16	Total Operating Revenue	\$ 1,106,505	\$ 593,829	\$ 2,847,929	\$ 6,435,647	\$ 10,983,911	\$ 11,119,171	\$ (135,260)
	EXPENSES:							
17	Athletic Student Aid	\$ 414,295	\$ 399,304	\$ 2,441,117	\$ -	\$ 3,254,716	\$ 2,976,302	\$ 278,414
18	Guarantees	\$ 28,560	\$ 500	\$ 11,988	\$ -	\$ 41,048	\$ 4,000	\$ 37,048
19	Coaching Salaries, Benefits, and Bonuses Paid by the University and Related Entities	\$ 821,909	\$ 351,579	\$ 1,087,294	\$ -	\$ 2,260,782	\$ 2,280,999	\$ (20,217)
20	Coaching Compensation and Benefits Paid By a Third Party	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
21	Support Staff/Administrative Salaries, Benefits, and Bonuses Paid by the University and Related Entities	\$ 159,430	\$ 117,303	\$ 166,281	\$ 1,945,381	\$ 2,388,395	\$ 2,072,800	\$ 315,595
22	Support Staff/Administrative Other Compensation and Benefits Paid by a Third Party	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
23	Severance Payments	\$ 44,834	\$ -	\$ -	\$ 150,756	\$ 195,590	\$ 64,413	\$ 131,177
24	Recruiting	\$ 60,059	\$ 37,043	\$ 42,502	\$ -	\$ 139,604	\$ 142,838	\$ (3,234)
25	Team Travel	\$ 216,340	\$ 120,555	\$ 474,616	\$ 44,559	\$ 856,071	\$ 1,043,716	\$ (187,645)
26	Equipment, Uniforms, and Supplies	\$ 44,278	\$ 27,013	\$ 223,454	\$ 55,678	\$ 350,423	\$ 317,843	\$ 32,580
27	Game Expenses	\$ 86,362	\$ 36,545	\$ 87,910	\$ -	\$ 210,817	\$ 168,280	\$ 42,537
28	Fundraising, Marketing, and Promotion	\$ 63,174	\$ 17,939	\$ 9,401	\$ 486,233	\$ 576,748	\$ 758,278	\$ (181,530)
29	Sport Camp Expenses	\$ 9,161	\$ 9,877	\$ 43,694	\$ 3,261	\$ 65,994	\$ 34,172	\$ 31,822
30	Direct Facilities, Maintenance, and Rental	\$ 337,126	\$ 207	\$ 59,105	\$ 517,062	\$ 913,500	\$ 969,548	\$ (56,048)
31	Spirit Groups	\$ -	\$ -	\$ -	\$ 15,689	\$ 15,689	\$ 10,084	\$ 5,605
32	Indirect Facilities and Administrative Support	\$ -	\$ -	\$ -	\$ 336,000	\$ 336,000	\$ 315,000	\$ 21,000
33	Medical Expenses and Medical Insurance	\$ -	\$ -	\$ -	\$ 67,977	\$ 67,977	\$ 66,911	\$ 1,066
34	Membership and Dues	\$ 495	\$ 527	\$ 1,070	\$ 7,315	\$ 9,406	\$ 16,344	\$ (6,938)
35	Other Operating Expenses	\$ 33,220	\$ 37,923	\$ 66,305	\$ 437,529	\$ 574,977	\$ 462,534	\$ 112,443
36	Total Operating Expenses	\$ 2,319,243	\$ 1,156,315	\$ 4,714,739	\$ 4,067,440	\$ 12,257,738	\$ 11,704,062	\$ 553,676
37	Transfers to Institution	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	EXCESS (DEFICIENCY) OF REVENUES OVER (UNDER) EXPENSES							
		\$ (1,212,738)	\$ (562,487)	\$ (1,866,809)	\$ 2,368,207	\$ (1,273,827)	\$ (584,891)	\$ (688,936)

FOOTNOTES TO STATEMENT OF REVENUES AND EXPENSES

Note 1: Line 4, Contributions of \$327,639 were donated to the UWM Foundation, Inc. for the benefit of the Athletics Department. The UWM Foundation is an independent 501(c)(3) organization that coordinates external fundraising activities for the University of Wisconsin-Milwaukee. During 2011-12, the UWM Foundation expenses incurred on behalf of the Athletics Department are included in applicable expense categories. Line 14 is the Athletics Department share of the endowment and investment income earned by the UWM Foundation.

Note 2: The University of Wisconsin-Milwaukee relies on a system of fund accounting to produce a record of its financial affairs. The basic premise of fund accounting is that funds are received, budgeted, and expended for specific purposes. Policies and procedures have been promulgated at various levels of the organization to ensure that all university assets, including those of Athletics, are properly approved, acquired, and disposed of. Requisitions, purchase orders, contracts, and procurement card activities all require approval by certain levels of supervision.

NCAA Division I 2011 - 2012 Academic Progress Rate Institutional Report

Institution: University of Wisconsin, Milwaukee

Date of Report: 04/24/2013

This report is based on NCAA Division I Academic Progress Rate (APR) data submitted by the institution for the 2008-09, 2009-10, 2010-11 and 2011-12 academic years. The multiyear rate will be reported publicly in 2012. Institutions are encouraged to forward this report to appropriate institutional personnel on campus.

[Note: All information contained in this report is for four academic years. Some squads may still have small sample sizes within certain sport groups. In accordance with the Family Educational Rights and Privacy Act's (FERPA's) interpretation of federal privacy regulations, institutions should not disclose statistical data contained in this report for cells made up of three or fewer students without student consent.]

Sport	APR			Eligibility/Graduation		Retention	
	Multiyear Rate (N)	Multiyear Rate Upper Confidence Boundary	2011 - 2012 (N)	Multiyear Rate	2011 - 2012	Multiyear Rate	2011 - 2012
Baseball	961 (106)	N/A	981 (28)	938	964	956	962
Men's Basketball	941 (55)	N/A	942 (13)	936	962	927	885
Men's Cross Country	979 (49)	N/A	1000 (11)	969	1000	989	1000
Men's Soccer	939 (96)	N/A	910 (25)	931	889	929	932
Men's Swimming	961 (108)	N/A	949 (30)	932	898	990	1000
Men's Track, Indoor	950 (125)	N/A	951 (31)	925	918	970	984
Men's Track, Outdoor	954 (124)	N/A	951 (31)	929	918	974	984
Women's Basketball	980 (65)	N/A	983 (16)	976	1000	967	966
Women's Cross Country	969 (41)	N/A	979 (12)	949	958	988	1000
Women's Soccer	988 (113)	N/A	1000 (30)	995	1000	981	1000
Women's Swimming	982 (134)	N/A	985 (35)	973	956	984	1000
Women's Tennis	1000 (31)	N/A	1000 (8)	984	1000	1000	1000
Women's Track, Indoor	970 (124)	N/A	992 (31)	953	984	983	1000
Women's Track, Outdoor	970 (123)	N/A	992 (31)	953	984	983	1000

* Denotes data representing three or fewer student-athletes. In accordance with FERPA's interpretation of federal privacy regulations, institutions should not disclose statistical data contained in this report in cells made up of three or fewer students without student consent.

N/A = No APR or not applicable.

N = Number of student-athletes represented.

¹ Denotes APR that does not subject the team to ineligibility for postseason competition based on institutional, athletics and student resources and the team's Graduation Success Rate.

² Denotes APR that does not subject the team to ineligibility for postseason competition due to the team's demonstrated academic improvement.

³ Denotes APR that does not subject the team to ineligibility for postseason competition due to the squad-size adjustment. The "upper confidence boundary" of a team's APR must be below 900 for that team to be subject to ineligibility for postseason competition. Squad-size adjustment does not apply to teams with four years of APR data and a multiyear cohort of 30 or more student-athletes.

⁴ Denotes APR that does not subject the team to penalties due to the team's demonstrated academic improvement.

⁵ Denotes APR that does not subject the team to penalties due to the squad-size adjustment. The "upper confidence boundary" of a team's APR must be below 900 for that team to be subject to penalties. The squad-size adjustment does not apply to teams with four years of APR data and a multiyear cohort of 30 or more student-athletes.

⁶ Denotes APR based on a one year cohort, not subject to ineligibility for postseason competition and/or any penalties.

⁷ Denotes APR based on a two year cohort, not subject to ineligibility for postseason competition and/or any penalties.

⁸ Denotes that team is not subject to ineligibility for postseason competition and/or penalties based on institutional resources.

⁹ Denotes APR that requires an APP Improvement Plan be created for this sport.

NCAA Division I 2011 - 2012 Academic Progress Rate Institutional Report

Institution: University of Wisconsin, Milwaukee

Date of Report: 04/24/2013

Sport	APR			Eligibility/Graduation		Retention	
	Multiyear Rate (N)	Multiyear Rate Upper Confidence Boundary	2011 - 2012 (N)	Multiyear Rate	2011 - 2012	Multiyear Rate	2011 - 2012
Women's Volleyball	965 (53)	N/A	981 (13)	960	962	970	1000

* Denotes data representing three or fewer student-athletes. In accordance with FERPA's interpretation of federal privacy regulations, institutions should not disclose statistical data contained in this report in cells made up of three or fewer students without student consent.

N/A = No APR or not applicable.

N = Number of student-athletes represented.

¹ Denotes APR that does not subject the team to ineligibility for postseason competition based on institutional, athletics and student resources and the team's Graduation Success Rate.

² Denotes APR that does not subject the team to ineligibility for postseason competition due to the team's demonstrated academic improvement.

³ Denotes APR that does not subject the team to ineligibility for postseason competition due to the squad-size adjustment. The "upper confidence boundary" of a team's APR must be below 900 for that team to be subject to ineligibility for postseason competition. Squad-size adjustment does not apply to teams with four years of APR data and a multiyear cohort of 30 or more student-athletes.

⁴ Denotes APR that does not subject the team to penalties due to the team's demonstrated academic improvement.

⁵ Denotes APR that does not subject the team to penalties due to the squad-size adjustment. The "upper confidence boundary" of a team's APR must be below 900 for that team to be subject to penalties. The squad-size adjustment does not apply to teams with four years of APR data and a multiyear cohort of 30 or more student-athletes.

⁶ Denotes APR based on a one year cohort, not subject to ineligibility for postseason competition and/or any penalties.

⁷ Denotes APR based on a two year cohort, not subject to ineligibility for postseason competition and/or any penalties.

⁸ Denotes that team is not subject to ineligibility for postseason competition and/or penalties based on institutional resources.

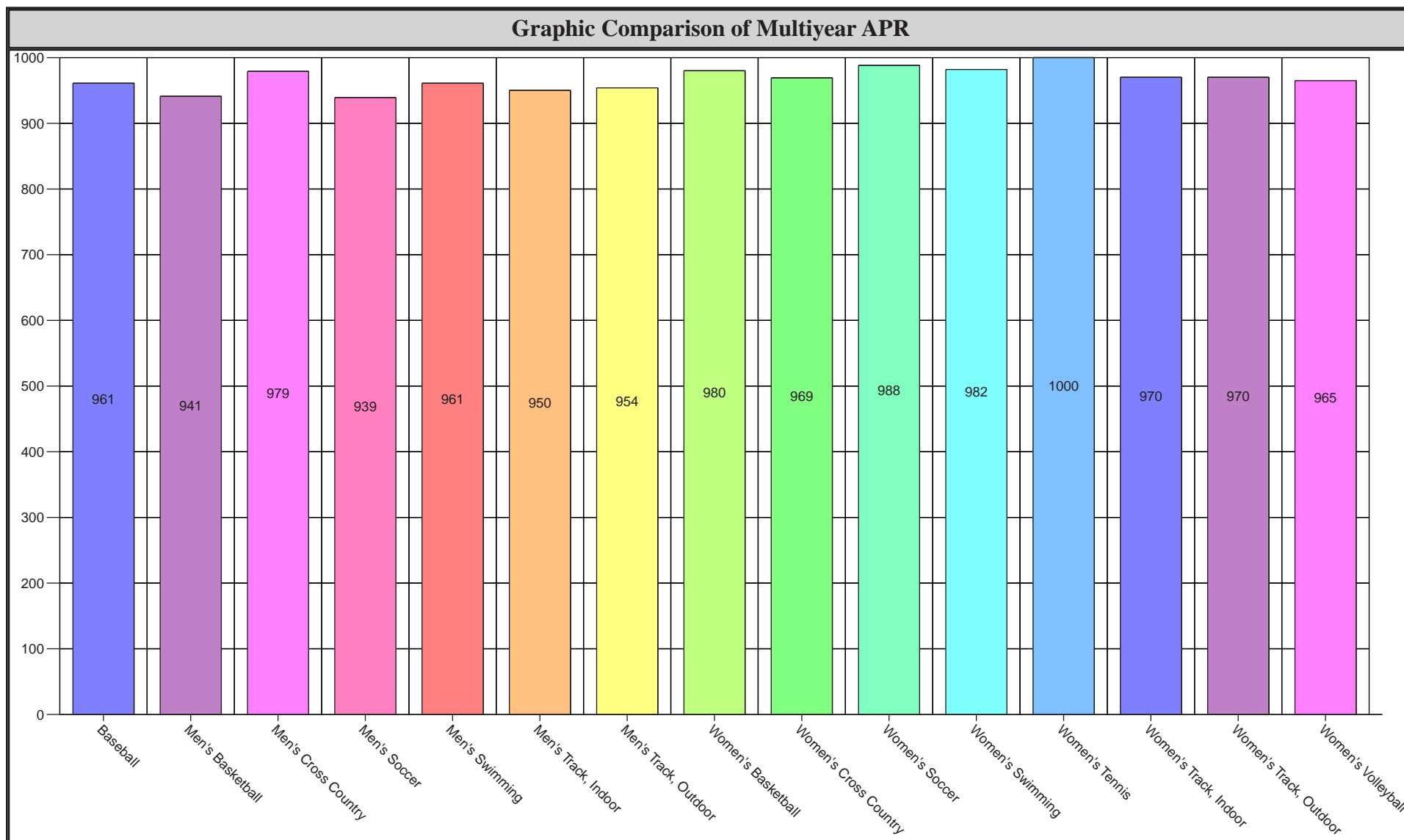
⁹ Denotes APR that requires an APP Improvement Plan be created for this sport.

NCAA Division I 2011 - 2012 Academic Progress Rate Institutional Report

Institution: University of Wisconsin, Milwaukee

Date of Report: 04/24/2013

Sport-by-Sport APR Comparison:



Graduation Success Rate Report

2002 - 2005 Cohorts: University of Wisconsin, Milwaukee

Men's Sports			Women's Sports		
Sport	GSR	Fed Rate	Sport	GSR	Fed Rate
Baseball	80	78	Basketball	75	60
Basketball	50	100	Bowling	-	-
CC/Track	85	63	CC/Track	85	73
Fencing	-	-	Crew/Rowing	-	-
Football	-	-	Fencing	-	-
Golf	-	-	Field Hockey	-	-
Gymnastics	-	-	Golf	-	-
Ice Hockey	-	-	Gymnastics	-	-
Lacrosse	-	-	W. Ice Hockey	-	-
Mixed Rifle	-	-	Lacrosse	-	-
Skiing	-	-	Skiing	-	-
Soccer	83	75	Soccer	90	68
Swimming	60	44	Softball	-	-
Tennis	-	-	Swimming	76	73
Volleyball	-	-	Tennis	100	80
Water Polo	-	-	Volleyball	100	92
Wrestling	-	-	Water Polo	-	-
Men's Non-NCAA Sponsor. Sports	-	-	Women's Non-NCAA Sponsor. Sports	-	-

Graduation Success Rate Report

Cohorts: 2002 - 2005

Division: Overall Division I

Men's Sports			Women's Sports		
Sport	GSR	Fed Rate	Sport	GSR	Fed Rate
Baseball	73	48	Basketball	84	64
Basketball	68	47	Bowling	74	55
CC/Track	76	61	CC/Track	84	71
Fencing	88	76	Crew/Rowing	93	82
Football(FBS)	68	57	Fencing	93	79
Football(FCS)	68	55	Field Hockey	94	80
Golf	82	66	Golf	90	73
Gymnastics	89	87	Gymnastics	93	83
Ice Hockey	83	63	W. Ice Hockey	91	77
Lacrosse	86	72	Lacrosse	94	81
Rifle	78	64	Rifle	-	-
Skiing	87	71	Skiing	93	70
Soccer	79	59	Soccer	89	72
Swimming	85	71	Softball	86	69
Tennis	86	65	Swimming	92	78
Volleyball	76	66	Tennis	90	72
Water Polo	82	74	Volleyball	89	70
Wrestling	74	57	Water Polo	90	78
Men's Non-NCAA Sponsor. Sports	96	81	Women's Non-NCAA Sponsor. Sports	86	73
			Women's Equestrian	-	-

FRESHMAN-COHORT GRADUATION RATES

	All Students	Student-Athletes #
2005-06 Graduation Rate	41%	65%
Four-Class Average	42%	69%
Student-Athlete Graduation Success Rate		80%

1. Graduation-Rates Data

a. All Students

	Freshman Rate				Freshman Rate				Freshman Rate			
	Men				Women				Total			
	2005-06		4-Class		2005-06		4-Class		2005-06		4-Class	
	N	%	N	%	N	%	N	%	N	%	N	%
Am. Ind./AN	20	20	52	27	17	29	62	31	37	24	114	29
Asian	38	45	257	31	36	31	247	26	74	38	504	28
Black	102	25	399	17	172	15	632	15	274	19	1031	16
Hispanic	65	37	256	29	90	19	283	29	155	26	539	29
Nat. Haw./PI	67	25	101	27	67	22	116	19	134	24	217	23
N-R Alien	5	60	26	50	4	0	15	33	9	33	41	44
Two or More	0	-	0	-	0	-	0	-	0	-	0	-
Unknown	34	44	90	40	28	46	89	51	62	45	179	45
White	1645	42	5712	43	1794	45	6560	47	3439	44	12272	45
Total	1976	40	6893	40	2208	41	8004	43	4184	41	14897	42

b. Student-Athletes

	Freshman Rate						Freshman Rate						Freshman Rate					
	Men						Women						Total					
	2005-06		4-Class		GSR		2005-06		4-Class		GSR		2005-06		4-Class		GSR	
	N	%	N	%	N	%	N	%	N	%	N	%	N	%	N	%	N	%
Am. Ind./AN	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-
Asian	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-
Black	***	***	6	83	9	56	***	***	9	33	11	45	***	***	15	53	20	50
Hispanic	0	-	***	***	***	***	0	-	***	***	***	***	0	-	***	***	***	***
Nat. Haw./PI	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-
N-R Alien	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***
Two or More	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-
Unknown	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-
White	9	56	59	61	64	78	19	68	98	76	90	89	28	64	157	70	154	84
Total	12	67	66	64	75	75	22	64	109	72	104	85	34	65	175	69	179	80

c. Student-Athletes by Sport Category

Baseball				Men's Basketball				Men's CC/Track			
Freshman Rate				Freshman Rate				Freshman Rate			
2005-06	4-Class	GSR		2005-06	4-Class	GSR		2005-06	4-Class	GSR	
Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-
Asian	-	-	-	Asian	-	-	-	Asian	-	-	-
Black	-	-	-	Black	-	100-a	25-a	Black	-	67-a	100-a
Hispanic	-	-	-	Hispanic	-	-	-	Hispanic	-	-	-
Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-
N-R Alien	-	-	-	N-R Alien	100-a	100-a	50-a	N-R Alien	-	-	-
Two or More	-	-	-	Two or More	-	-	-	Two or More	-	-	-
Unknown	-	-	-	Unknown	-	-	-	Unknown	-	-	-
White	100-a	78-b	80-d	White	-	-	100-a	White	50-a	63-e	83-d
Total	100-a	78-b	80-d	Total	100-a	100-a	50-b	Total	50-a	63-e	85-d
Football				Men's Other							
Freshman Rate				Freshman Rate							
2005-06 4-Class GSR				2005-06 4-Class GSR							
				Am. Ind./AN	-	-	-				
				Asian	-	-	-				
				Black	100-a	100-a	67-a				
				Hispanic	-	-	-				
				Nat. Haw./PI	-	-	-				
				N-R Alien	-	-	-				
				Two or More	-	-	-				
				Unknown	-	-	-				
				White	25-a	54-e	71-e				
				Total	50-b	57-e	70-e				
Women's Basketball				Women's CC/Track				Women's Other			
Freshman Rate				Freshman Rate				Freshman Rate			
2005-06	4-Class	GSR		2005-06	4-Class	GSR		2005-06	4-Class	GSR	
Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-
Asian	-	-	-	Asian	-	-	-	Asian	-	-	-
Black	100-a	50-a	60-a	Black	0-a	0-a	20-a	Black	-	100-a	100-a
Hispanic	-	-	-	Hispanic	-	100-a	100-a	Hispanic	-	-	-
Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-
N-R Alien	-	-	-	N-R Alien	-	-	100-a	N-R Alien	-	100-a	100-a
Two or More	-	-	-	Two or More	-	-	-	Two or More	-	-	-
Unknown	-	-	-	Unknown	-	-	-	Unknown	-	-	-
White	25-a	64-c	82-c	White	75-a	86-e	100-d	White	82-c	74-e	87-e
Total	40-a	60-c	75-d	Total	50-b	73-e	85-e	Total	82-c	75-e	87-e

Values for N (a. 1-5, b. 6-10, c. 11-15, d. 16-20, e. greater than 20)

2. Undergraduate-Enrollment Data (All full-time students enrolled Fall)

a. All Students	Men N	Women N	Total N	b. Student-athletes	Men N	Women N	Total N
Am. Ind./AN	41	76	117	Am. Ind./AN	0	1	1
Asian	332	285	617	Asian	0	0	0
Black	770	1239	2009	Black	13	10	23
Hispanic	325	354	679	Hispanic	0	0	0
Nat. Haw./PI	380	386	766	Nat. Haw./PI	0	0	0
N-R Alien	266	154	420	N-R Alien	9	0	9
Two or More	638	763	1401	Two or More	1	1	2
Unknown	33	26	59	Unknown	4	6	10
White	9289	9261	18550	White	99	115	214
Total	12074	12544	24618	Total	126	133	259

c. Student-Athletes # By Sports Category

Men	Basketball	Baseball	CC/Track	Football	Other
Am. Ind./AN	0	0	0	0	0
Asian	0	0	0	0	0
Black	6	0	6	0	1
Hispanic	0	0	0	0	0
Nat. Haw./PI	0	0	0	0	0
N-R Alien	0	0	1	0	8
Two or More	1	0	0	0	0
Unknown	0	0	0	0	4
White	6	28	23	0	42
Total	13	28	30	0	55

Women	Basketball	CC/Track	Other
Am. Ind./AN	1	0	0
Asian	0	0	0
Black	4	6	0
Hispanic	0	0	0
Nat. Haw./PI	0	0	0
N-R Alien	0	0	0
Two or More	0	0	1
Unknown	1	2	3
White	10	23	82
Total	16	31	86

#Only student-athletes receiving athletics aid are included in this report.

Graduation Success Rate Report

2001 - 2004 Cohorts: University of Wisconsin, Milwaukee

Men's Sports			Women's Sports		
Sport	GSR	Fed Rate	Sport	GSR	Fed Rate
Baseball	81	69	Basketball	87	69
Basketball	67	100	Bowling	-	-
CC/Track	83	61	CC/Track	87	76
Fencing	-	-	Crew/Rowing	-	-
Football	-	-	Fencing	-	-
Golf	-	-	Field Hockey	-	-
Gymnastics	-	-	Golf	-	-
Ice Hockey	-	-	Gymnastics	-	-
Lacrosse	-	-	W. Ice Hockey	-	-
Mixed Rifle	-	-	Lacrosse	-	-
Skiing	-	-	Skiing	-	-
Soccer	83	91	Soccer	95	74
Swimming	67	55	Softball	-	-
Tennis	-	-	Swimming	71	68
Volleyball	-	-	Tennis	100	83
Water Polo	-	-	Volleyball	100	92
Wrestling	-	-	Water Polo	-	-
Men's Non-NCAA Sponsor. Sports	-	-	Women's Non-NCAA Sponsor. Sports	-	-

Graduation Success Rate Report

Cohorts: 2001 - 2004

Division: Overall Division I

Men's Sports			Women's Sports		
Sport	GSR	Fed Rate	Sport	GSR	Fed Rate
Baseball	72	48	Basketball	84	64
Basketball	66	48	Bowling	77	56
CC/Track	76	61	CC/Track	85	71
Fencing	86	77	Crew/Rowing	92	81
Football(FBS)	67	56	Fencing	93	81
Football(FCS)	66	54	Field Hockey	94	81
Golf	81	65	Golf	88	72
Gymnastics	88	84	Gymnastics	92	81
Ice Hockey	82	62	W. Ice Hockey	88	75
Lacrosse	88	73	Lacrosse	94	82
Rifle	79	66	Rifle	-	-
Skiing	88	70	Skiing	94	65
Soccer	79	58	Soccer	89	71
Swimming	85	72	Softball	86	70
Tennis	86	65	Swimming	91	76
Volleyball	76	66	Tennis	89	70
Water Polo	85	72	Volleyball	88	70
Wrestling	73	56	Water Polo	91	75
Men's Non-NCAA Sponsor. Sports	96	81	Women's Non-NCAA Sponsor. Sports	86	72
			Women's Equestrian	-	-

University of Wisconsin, Milwaukee

FRESHMAN-COHORT GRADUATION RATES

	All Students	Student-Athletes #
2004-05 Graduation Rate	43%	71%
Four-Class Average	42%	72%
Student-Athlete Graduation Success Rate		82%

1. Graduation-Rates Data

a. All Students

	Freshman Rate				Freshman Rate				Freshman Rate			
	Men				Women				Total			
	2004-05		4-Class		2004-05		4-Class		2004-05		4-Class	
	N	%	N	%	N	%	N	%	N	%	N	%
Am. Ind./AN	10	10	38	32	21	33	55	27	31	26	93	29
Asian	92	28	295	27	101	27	292	25	193	27	587	26
Black	103	13	379	13	158	13	603	16	261	13	982	15
Hispanic	73	29	249	26	57	30	270	33	130	29	519	29
Nat. Haw./PI	0	0	34	29	0	0	49	14	0	0	83	20
N-R Alien	***	***	33	36	***	***	15	40	***	***	48	38
Two or More	0	0	0	0	0	0	0	0	0	0	0	0
Unknown	***	***	56	38	***	***	61	52	***	***	117	45
White	1421	44	5185	44	1655	49	5983	48	3076	47	11168	46
Total	1744	41	6269	40	2028	44	7328	44	3772	43	13597	42

b. Student-Athletes

	Freshman Rate						Freshman Rate						Freshman Rate					
	Men						Women						Total					
	2004-05		4-Class		GSR		2004-05		4-Class		GSR		2004-05		4-Class		GSR	
	N	%	N	%	N	%	N	%	N	%	N	%	N	%	N	%	N	%
Am. Ind./AN	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Asian	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Black	***	***	6	67	11	55	***	***	9	33	8	38	***	***	15	47	19	47
Hispanic	0	0	***	***	***	***	0	0	***	***	***	***	0	0	***	***	***	***
Nat. Haw./PI	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
N-R Alien	0	0	***	***	***	***	0	0	***	***	***	***	0	0	***	***	***	***
Two or More	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Unknown	***	***	0	0	0	0	***	***	0	0	0	0	***	***	0	0	0	0
White	15	60	70	67	71	82	25	76	96	78	89	91	40	70	166	73	160	87
Total	17	65	79	67	86	77	25	76	107	75	99	87	42	71	186	72	185	82

Baseball				Men's Basketball				Men's CC/Track			
Freshman Rate				Freshman Rate				Freshman Rate			
2004-05	4-Class	GSR		2004-05	4-Class	GSR		2004-05	4-Class	GSR	
Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-
Asian	-	-	-	Asian	-	-	-	Asian	-	-	-
Black	-	-	-	Black	100-a	100-a	57-b	Black	100-a	50-a	67-a
Hispanic	-	100-a	100-a	Hispanic	-	-	-	Hispanic	-	-	-
Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-
N-R Alien	-	-	-	N-R Alien	-	-	0-a	N-R Alien	-	-	-
Two or More	-	-	-	Two or More	-	-	-	Two or More	-	-	-
Unknown	-	-	-	Unknown	-	-	-	Unknown	-	-	-
White	50-a	67-c	80-d	White	-	100-a	100-a	White	71-b	63-e	85-d
Total	50-a	69-c	81-e	Total	100-a	100-a	67-c	Total	75-b	61-e	83-e
Football				Men's Other							
Freshman Rate				Freshman Rate							
2004-05	4-Class	GSR		2004-05	4-Class	GSR					
				Am. Ind./AN	-	-	-				
				Asian	-	-	-				
				Black	-	-	0-a				
				Hispanic	-	-	-				
				Nat. Haw./PI	-	-	-				
				N-R Alien	-	50-a	50-a				
				Two or More	-	-	-				
				Unknown	-	-	-				
				White	50-b	69-e	78-e				
				Total	50-b	68-e	73-e				
Women's Basketball				Women's CC/Track				Women's Other			
Freshman Rate				Freshman Rate				Freshman Rate			
2004-05	4-Class	GSR		2004-05	4-Class	GSR		2004-05	4-Class	GSR	
Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-
Asian	-	-	-	Asian	-	-	-	Asian	-	-	-
Black	-	40-a	50-a	Black	-	0-a	0-a	Black	-	100-a	100-a
Hispanic	-	-	-	Hispanic	-	100-a	100-a	Hispanic	-	-	-
Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-
N-R Alien	-	-	-	N-R Alien	-	-	-	N-R Alien	-	100-a	100-a
Two or More	-	-	-	Two or More	-	-	-	Two or More	-	-	-
Unknown	-	-	-	Unknown	-	-	-	Unknown	-	-	-
White	-	82-c	100-c	White	100-a	86-e	100-d	White	71-e	75-e	86-e
Total	-	69-d	87-c	Total	100-a	76-e	87-e	Total	71-e	76-e	87-e

Values for N (a. 1-5, b. 6-10, c. 11-15, d. 16-20, e. greater than 20)

2. Undergraduate-Enrollment Data (All full-time students enrolled Fall 2010-11)

a. All Students	Men N	Women N	Total N	b. Student-athletes	Men N	Women N	Total N
Am. Ind./AN	48	75	123	Am. Ind./AN	0	0	0
Asian	660	317	977	Asian	0	0	0
Black	730	1181	1911	Black	13	8	21
Hispanic	324	340	664	Hispanic	2	2	4
Nat. Haw./PI	0	0	0	Nat. Haw./PI	0	0	0
N-R Alien	233	132	365	N-R Alien	1	0	1
Two or More	622	701	1323	Two or More	7	1	8
Unknown	36	29	65	Unknown	3	1	4
White	9756	9704	19460	White	104	122	226
Total	12409	12479	24888	Total	130	134	264

c. Student-Athletes # By Sports Category

Men	Basketball	Baseball	CC/Track	Football	Other
Am. Ind./AN	0	0	0	0	0
Asian	0	0	0	0	0
Black	7	0	5	0	1
Hispanic	0	0	0	0	2
Nat. Haw./PI	0	0	0	0	0
N-R Alien	0	0	1	0	0
Two or More	1	0	1	0	5
Unknown	0	0	0	0	3
White	7	26	28	0	43
Total	15	26	35	0	54

Women	Basketball	CC/Track	Other
Am. Ind./AN	0	0	0
Asian	0	0	0
Black	0	7	1
Hispanic	1	1	0
Nat. Haw./PI	0	0	0
N-R Alien	0	0	0
Two or More	0	1	0
Unknown	0	0	1
White	13	24	85
Total	14	33	87

#Only student-athletes receiving athletics aid are included in this report.

Graduation Success Rate Report

2000 - 2003 Cohorts: University of Wisconsin, Milwaukee

Men's Sports			Women's Sports		
Sport	GSR	Fed Rate	Sport	GSR	Fed Rate
Baseball	72	60	Basketball	88	67
Basketball	60	67	Bowling	-	-
CC/Track	82	60	CC/Track	87	73
Fencing	-	-	Crew/Rowing	-	-
Football	-	-	Fencing	-	-
Golf	-	-	Field Hockey	-	-
Gymnastics	-	-	Golf	-	-
Ice Hockey	-	-	Gymnastics	-	-
Lacrosse	-	-	W. Ice Hockey	-	-
Rifle	-	-	Lacrosse	-	-
Skiing	-	-	Rifle	-	-
Soccer	71	77	Skiing	-	-
Swimming	68	52	Soccer	100	86
Tennis	-	-	Softball	-	-
Volleyball	-	-	Swimming	74	74
Water Polo	-	-	Tennis	100	83
Wrestling	-	-	Volleyball	91	83
Men's Non-NCAA Sponsor. Sports	-	-	Water Polo	-	-
			Women's Non-NCAA Sponsor. Sports	-	-
			Women's Equestrian	-	-

Graduation Success Rate Report

Cohorts: 2000 - 2003

Division: Overall Division I

Men's Sports			Women's Sports		
Sport	GSR	Fed Rate	Sport	GSR	Fed Rate
Baseball	70	47	Basketball	83	64
Basketball	65	48	Bowling	72	54
CC/Track	74	60	CC/Track	85	70
Fencing	84	76	Crew/Rowing	92	80
Football(FBS)	67	55	Fencing	91	87
Football(FCS)	64	54	Field Hockey	93	81
Golf	81	63	Golf	88	72
Gymnastics	87	78	Gymnastics	93	82
Ice Hockey	79	63	W. Ice Hockey	88	77
Lacrosse	88	73	Lacrosse	94	83
Rifle	75	71	Rifle	74	59
Skiing	82	74	Skiing	95	66
Soccer	77	58	Soccer	89	71
Swimming	85	72	Softball	86	70
Tennis	84	65	Swimming	91	77
Volleyball	74	64	Tennis	89	70
Water Polo	85	71	Volleyball	88	70
Wrestling	72	56	Water Polo	88	77
Men's Non-NCAA Sponsor. Sports	96	90	Women's Non-NCAA Sponsor. Sports	94	89
			Women's Equestrian	79	68

University of Wisconsin, Milwaukee

FRESHMAN-COHORT GRADUATION RATES

	All Students	Student-Athletes #
2003 Graduation Rate	42%	69%
Four-Class Average	42%	70%
Student-Athlete Graduation Success Rate		80%

1. Graduation-Rates Data

a. All Students

	Freshman Rate				Freshman Rate				Freshman Rate			
	Men				Women				Total			
	03-04		4-Class		03-04		4-Class		03-04		4-Class	
	N	%	N	%	N	%	N	%	N	%	N	%
Am. Ind./AN	17	47	31	42	14	29	53	21	31	39	84	29
Asian	44	27	270	30	38	32	241	27	82	29	511	29
Black	93	15	344	16	148	15	575	18	241	15	919	17
Hispanic	65	28	214	23	84	33	269	33	149	31	483	28
Nat. Haw./PI	34	29	34	29	49	14	49	14	83	20	83	20
N-R Alien	6	17	37	22	3	33	16	44	9	22	53	28
Two or More	0	0	0	0	0	0	0	0	0	0	0	0
Unknown	17	29	17	29	27	48	27	48	44	41	44	41
White	1455	43	4716	44	1669	48	5443	49	3124	46	10159	46
Total	1731	40	5663	40	2032	44	6673	44	3763	42	12336	42

b. Student-Athletes

	Freshman Rate						Freshman Rate						Freshman Rate					
	Men						Women						Total					
	03-04		4-Class		GSR		03-04		4-Class		GSR		03-04		4-Class		GSR	
	N	%	N	%	N	%	N	%	N	%	N	%	N	%	N	%	N	%
Am. Ind./AN	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Asian	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Black	***	***	5	60	12	50	***	***	11	36	9	44	***	***	16	44	21	48
Hispanic	0	0	***	***	***	***	0	0	***	***	***	***	0	0	***	***	***	***
Nat. Haw./PI	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
N-R Alien	0	0	***	***	***	***	0	0	***	***	***	***	0	0	***	***	***	***
Two or More	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Unknown	***	***	0	0	0	0	***	***	0	0	0	0	***	***	0	0	0	0
White	17	47	81	60	71	77	27	89	96	80	90	91	44	73	177	71	161	85
Total	19	47	89	61	88	72	30	83	111	77	103	87	49	69	200	70	191	80

Baseball				Men's Basketball				Men's CC/Track			
Freshman Rate				Freshman Rate				Freshman Rate			
2003	4-Class	GSR		2003	4-Class	GSR		2003	4-Class	GSR	
Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-
Asian	-	-	-	Asian	-	-	-	Asian	-	-	-
Black	-	-	-	Black	-	100-a	56-b	Black	50-a	33-a	50-a
Hispanic	-	100-a	100-a	Hispanic	-	-	-	Hispanic	-	-	-
Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-
N-R Alien	-	-	-	N-R Alien	-	-	0-a	N-R Alien	-	-	-
Two or More	-	-	-	Two or More	-	-	-	Two or More	-	-	-
Unknown	-	-	-	Unknown	-	-	-	Unknown	-	-	-
White	67-a	57-c	71-d	White	-	50-a	80-a	White	29-b	63-e	85-d
Total	67-a	60-c	72-d	Total	-	67-b	60-c	Total	33-b	60-e	82-e
Football				Men's Other							
Freshman Rate				Freshman Rate							
2003	4-Class	GSR		2003	4-Class	GSR					
				Am. Ind./AN	-	-	-				
				Asian	-	-	-				
				Black	-	-	0-a				
				Hispanic	-	-	-				
				Nat. Haw./PI	-	-	-				
				N-R Alien	-	50-a	33-a				
				Two or More	-	-	-				
				Unknown	-	-	-				
				White	57-b	61-e	76-e				
				Total	57-b	61-e	70-e				
Women's Basketball				Women's CC/Track				Women's Other			
Freshman Rate				Freshman Rate				Freshman Rate			
2003	4-Class	GSR		2003	4-Class	GSR		2003	4-Class	GSR	
Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-
Asian	-	-	-	Asian	-	-	-	Asian	-	-	-
Black	0-a	33-b	50-a	Black	0-a	0-a	0-a	Black	100-a	100-a	100-a
Hispanic	-	-	-	Hispanic	-	100-a	100-a	Hispanic	-	100-a	100-a
Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-
N-R Alien	-	-	0-a	N-R Alien	-	-	-	N-R Alien	-	100-a	100-a
Two or More	-	-	-	Two or More	-	-	-	Two or More	-	-	-
Unknown	-	-	-	Unknown	-	-	-	Unknown	-	-	-
White	80-a	83-c	100-c	White	100-a	82-e	100-d	White	88-d	79-e	86-e
Total	67-b	67-d	88-d	Total	83-b	73-e	87-e	Total	89-d	81-e	88-e

Values for N (a. 1-5, b. 6-10, c. 11-15, d. 16-20, e. greater than 20)

2. Undergraduate-Enrollment Data (All full-time students enrolled Fall 2009-10)

a. All Students	Men N	Women N	Total N	b. Student-athletes	Men N	Women N	Total N
Am. Ind./AN	51	88	139	Am. Ind./AN	1	1	2
Asian	293	256	549	Asian	1	1	2
Black	712	1105	1817	Black	8	13	21
Hispanic	474	524	998	Hispanic	3	0	3
Nat. Haw./PI	297	306	603	Nat. Haw./PI	0	0	0
N-R Alien	215	127	342	N-R Alien	0	0	0
Two or More	187	229	416	Two or More	1	0	1
Unknown	284	347	631	Unknown	0	1	1
White	9813	9906	19719	White	93	101	194
Total	12326	12888	25214	Total	107	117	224

c. Student-Athletes # By Sports Category

Men	Basketball	Baseball	CC/Track	Football	Other
Am. Ind./AN	0	0	1	0	0
Asian	0	0	0	0	1
Black	5	0	1	0	2
Hispanic	0	0	0	0	3
Nat. Haw./PI	0	0	0	0	0
N-R Alien	0	0	0	0	0
Two or More	0	0	0	0	1
Unknown	0	0	0	0	0
White	5	25	25	0	38
Total	10	25	27	0	45

Women	Basketball	CC/Track	Other
Am. Ind./AN	0	0	1
Asian	0	0	1
Black	3	9	1
Hispanic	0	0	0
Nat. Haw./PI	0	0	0
N-R Alien	0	0	0
Two or More	0	0	0
Unknown	0	0	1
White	10	21	70
Total	13	30	74

#Only student-athletes receiving athletics aid are included in this report.

“PEER” INSTITUTIONS. Identified as NCAA Division I programs listed as a member of the “Urban 13.”

- University of Alabama at Birmingham
- University of Missouri-Kansas City
- University of Cincinnati
- Cleveland State University
- University of New Orleans
- Florida A&M University
- Georgia State University
- University of Pittsburgh
- University of Houston
- Portland State University
- University of Illinois Chicago
- Temple University
- Indiana University Purdue University, Indianapolis
- University of Toledo
- Virginia Commonwealth University
- University of Memphis
- Wayne State University

ACADEMIC PROGRESS RATE INFORMATION

INSTITUTION	BASEBALL	MBB	MXC	MSOC	MSWIM	MTRCK	WBB	WXC	WSOC	WSWIM	WTEN	WTRACK	WVB
UAB	987	927	-	958	-	-	966	948	974	-	1000	950	982
U of Cincinnati	958	956	981	961	969	950	948	1000	993	988	984	970	960
UMKC	-	960	959	968	-	939	959	984	-	-	992	959	1000
Cleveland State	959	985	-	943	984	-	976	1000	997	972	1000	-	995
Florida A&M	929	904	937	-	933	910	917	926	-	967	909	931	899
Georgia State	981	971	967	980	-	960	949	966	992	-	990	967	949
U of Pittsburgh	956	990	962	983	953	963	985	991	973	984	982	985	952
U of Houston	952	910	987	-	-	948	951	987	939	970	1000	969	942
Portland State U.	-	929	921	-	-	950	973	941	970	-	914	923	987
Illinois-Chicago	981	921	992	937	960	934	950	987	-	985	951	974	995
Temple	990	980	967	968	-	963	949	988	977	-	992	980	962
IUPUI	-	940	976	955	961	-	935	991	989	980	944	-	989
U of Toledo	976	869	971	-	-	-	962	988	990	990	977	972	991
VCU	956	956	947	969	-	928	976	993	987	-	990	972	932
U of Memphis	991	1000	948	964	-	953	976	962	982	-	1000	960	995
AVERAGE	968	947	963	962	960	945	958	977	980	980	975	962	969
UWM APR SCORES	961	941	979	939	961	950	980	969	988	982	1000	970	965

NCAA GRADUATION SUCCESS RATE INFORMATION

INSTITUTION	BASEBALL	MBB	MSOCCER	MSWIM	MTRACK	WBB	WSOCCER	WSWIM	WTENNIS	WTRACK	WVB
UAB	89	44	67	-	-	79	82	-	100	81	92
U of Cincinnati	81	56	67	79	79	85	95	86	88	76	93
UMKC	-	91	74	-	-	93	-	-	91	81	93
Cleveland State	-	73	71	72	-	91	58	93	86	100	100
Florida A&M	22	60	-	45	50	71	-	31	20	52	71
Georgia State	76	67	78	-	92	92	96	-	88	76	100
U of Pittsburgh	58	54	60	89	71	100	100	95	88	88	90
U of Houston	59	38	-	-	57	53	78	75	100	69	86
Portland State U.	-	64	-	-	38	64	53	-	20	63	90
Illinois-Chicago	77	78	79	92	58	77	-	88	100	89	100
Temple	70	43	74	-	75	67	95	-	100	89	100
IUPUI	-	25	80	52	55	76	75	74	83	67	100
U of Toledo	96	67	-	-	50	73	92	69	100	79	92
VCU	68	73	75	-	57	89	92	-	100	77	73
U of Memphis	97	50	82	-	75	100	95	-	100	76	100
PEER AVERAGE	72	59	73	72	63	81	84	76	84	78	92
UWM GSR SCORES	80	50	83	60	85	75	90	76	100	85	100

**Admissions Procedural Modifications for Recruited Student Athletes:
Background and Final Agreements, Approved November, 2011
(December 6, 2011)**

Background

In September, 2011, a group including staff from the Department of Enrollment Services (DES), the Academic Opportunity Center (AOC), and the Department of Intercollegiate Athletics (Athletics) met to review the current undergraduate application and admission processes, with a specific focus on the admission of recruited student athletes. Information was also shared regarding the process and timeline used by Athletics staff in identifying and recruiting student athletes.

There is understanding and agreement among all parties that student athletes admitted to UWM are expected to meet the same academic standards as other admitted students. Student athletes are also, in general, expected to follow the same application process and adhere to the same deadlines as other applicants. However, it is understood that in some cases, prospective student athletes whom UWM wishes to recruit may not be identified, or may not make the decision to apply to UWM, until very late in the admissions cycle. In such cases, the applicant's status as a recruited athlete will be taken into consideration in determining whether to grant an extension of or appeal to the application deadline.

Agreements Reached

The following specific agreements have been reached by DES and Athletics, and subsequently reviewed and endorsed by the Academic Integrity Subcommittee of the UWM Athletic Board:

1. The expectation is that the published application deadlines (currently July 1/ December 1, with earlier cut-offs for some academic programs) will be enforced unless notice of a change is provided. DES will make every effort to inform Athletics about any extensions or other changes to deadlines, programs closing early because of capacity limits, etc.
2. Athletics Department leadership will make every effort to inform/remind coaches and other staff about their appropriate roles (as opposed to those of other administrators) in the admission process. As appropriate, DES will be happy to provide information, attend meetings, or otherwise assist in this process as requested.
3. In communicating with prospective student athletes, coaches and other Athletic Department personnel will make every effort to inform them about our application requirements, process and deadline, and to encourage timely submission of application materials.

4. As the application deadline for a term approaches, Athletics will make a good faith effort to identify and notify DES about any prospective student athletes still being recruited who have not yet applied, completed their applications, or are otherwise still “in the pipeline.” DES will make note of these applicants as potentially eligible for an exception to the application deadline, provided other requirements are met.
5. Prospective student athletes who are not identified prior to the application deadline may still appeal the deadline, but such decisions will be made on a case-by-case basis. DES will consider applicants’ status as recruited athletes in reviewing requests for an exception to the deadline, but decisions will be made based on individual circumstances. (Other factors we consider include such things as medical issues, unanticipated changes in family or financial circumstances, or other mitigating factors which help explain why the student is applying late.) DES will not typically consider deadline exceptions for students who appear to be academically underprepared.

Prepared by the Department of Enrollment Services
December, 2011

Athletics-Admissions Summary-Final-12-06-2011/BLW

pantherLINK**kfitz@uwm.edu**

± Font Size ±

UWM compliance review- final report

From : Christine Halstead <chalstead@horizonleague.org>

Mon, Jan 30, 2012 03:21 PM

Subject : UWM compliance review- final report 1 attachment**To :** Rick Costello <rickc@uwm.edu>, Kathy Litzau <kclitzau@uwm.edu>, Kevin Fitzgerald <kfitz@uwm.edu>**Cc :** Christine Halstead <chalstead@horizonleague.org>

Hi all,

Attached please find the final version of the Horizon League Compliance Review for University of Wisconsin- Milwaukee.

Please let me know if you have any questions.

Thanks,
Chris

Christine Halstead
Director of Student-Athlete Affairs
Horizon League
201 S. Capitol Ave., Suite 500
Indianapolis, IN 46225
Phone: 317/237-5625

The Horizon League is an NCAA Division I athletics conference that sponsors 19 championship sports. The League and its ten member institutions aspire to teach young people, through athletic competition, the value of learning, service to others and personal responsibility.

**UWM 2011 final report.doc**
72 KB

Rick Costello
Director of Athletics
University of Wisconsin-Milwaukee
3409 Downer Ave.
Milwaukee, WI 53211

Dear Rick:

Attached please find a copy of the 2011 Horizon League Compliance Review for Milwaukee. One of the biggest strengths of Milwaukee in the area of compliance is the commitment to rules compliance by all institutional personnel. The Chancellor puts a strong emphasis on adherence to NCAA rules that is reflected in many areas, including the cooperation between non-athletic departments, such as Admissions and Financial Aid, and the Athletic Department. Athletic Administrators and coaches have a good understanding of the importance of rules compliance and appear committed to staying within the NCAA rules.

You will note that I have indicated several suggestions for improvement. I want to again remind you that all suggestions are voluntary, and are recommended actions that I believe would help improve your compliance department. The two areas that are the most important to address right now are the following: The reorganization of the equipment issuance and retrieval process and supplying both the compliance and academic departments with some help, whether hired or in the form of interns.

Please feel free to contact me with any questions or concerns you may have. I also want to thank you and your entire staff for your hospitality during the review. Everyone was well prepared, and Kevin did a great job ensuring that Stephanie and I had everything we needed for the review.

Sincerely,

Christine Halstead
Director of Student-Athlete Affairs

Cc:

Kevin Fitzgerald

2011 Horizon League Compliance Review
February 15-17, 2011
Final Report
University of Wisconsin-Milwaukee

A. Governance and Institutional Control

Current Strengths:

1. The Director of Athletics reports directly to the Chancellor and is part of his cabinet. The Chancellor meets twice a month with the Director of Athletics and is kept apprised of all important matters regarding NCAA rules compliance.
2. The Chancellor is notified of all issues that arise in the area of NCAA rules compliance in a timely manner and is involved in all major personnel decisions.
3. The Faculty Athletic Representative communicates regularly with the Director of Athletics, the Senior Woman Administrator, and the Assistant Athletic Director for Compliance regarding NCAA rules compliance, including, but not limited to: secondary violations, academic issues and the review of policies and procedures.
4. There is an established Athletics Board which meets regularly to advise on issues related to athletics and student welfare. There is a good mix of faculty, students, and administrators on the board. The minutes are open to the public.
5. The Chancellor is involved with the hiring/firing of high profile coaches.
6. There are established procedures for the reporting and investigation of alleged rules violations, which have been followed in the most recent violations. The institution has also reported an appropriate number of secondary violations in past years. The Chancellor is kept apprised of all violations.
7. Letters of appointment and coaches' contracts reference compliance with NCAA rules, and all performance evaluations contain an evaluation of their adherence to NCAA rules. Further, every new athletic staff member meets with the Assistant Athletics Director for Compliance to review NCAA compliance expectations and signs an agreement to comply with NCAA rules.

8. The Chancellor is kept up to date on APR and academic success.
9. The Chancellor approves and oversees the athletic budget.
10. The athletic department receives outstanding support from the financial aid office and the enrollment services office, and the liaisons have established an excellent working relationship with the Assistant Athletic Director for Compliance.
11. An outside audit firm reviews the athletic department on a periodic basis.
12. The Athletic Department has an appropriate procedure in place to ensure any APR penalties are imposed if necessary.
13. The institution has a missed classed policy that was approved by the faculty senate.
14. The Faculty Athletic Representative has a written job description. The FAR is appointed by the chancellor and has bi-annual meeting. An annual performance review is conducted by the Athletic Board Chair and the Athletic Director who will communicate the results to the Chancellor.

Suggestions for Improvement:

1. The NCAA is requiring more monitoring in compliance than ever before. UWM has a relatively small compliance staff and may not be able to adequately monitor everything that the NCAA requires. **It would be highly beneficial to the athletic department to hire an additional staff member in the area of compliance to help further monitor all sports.**
2. The Faculty Athletic Representative should attend SAAC meetings and receive minutes from all meetings. The FAR should also help conduct some student-athlete exit interviews at the end of the year.

B. Eligibility Certification

Current Strengths:

1. The institution has an excellent system for verifying the eligibility status of student-athletes. The Assistant Athletic Director for Compliance and the Enrollment Services Liaison certify the eligibility of each student-athlete.
2. The Enrollment Services liaison receives a copy of the NCAA Eligibility Center Report for each incoming student-athlete and verifies their initial and continuing eligibility.

3. The academic advisor meets with student-athletes prior to registering for classes for each semester.
4. Student-Athletes are flagged in the system and there is a block in place to ensure student-athletes cannot drop below full-time. Student-athletes can't drop below 12 credits. Student-athletes are also given priority registration to enable them to schedule classes that will not conflict with competition or practice.
5. The Admissions office does not receive undue pressure from any athletics department staff members regarding the admissions status of prospective student-athletes.
6. The Admissions Office evaluates transcripts of prospective transfers to determine how many hours they have earned in conjunction with the academic advisors through student services and if necessary, the International Office, and then they are able to advise transfers of how many credits they need to take prior to transfer.
7. For eligibility purposes, the Enrollment Services Liaison inputs the student-athlete information into CAI.
8. The Enrollment Services Liaison attends the NCAA Compliance Rules Seminars. It is important for them to stay educated about NCAA rules and it is a good learning opportunity.
9. The Enrollment Services Liaison reviews and oversees the APR. This is a new procedure implemented from the last audit.

Suggestions for Improvement:

1. It would be valuable for the institution to hire an additional staff member in the area of academic advising. This individual can help insure that each athlete is receiving the necessary academic support.
2. Once a prospective student-athlete has signs a National Letter of Intent it would be beneficial they could be flagged as a student-athlete. This will aid compliance admissions liaison and monitor admission status.

C. Financial Aid Monitoring

Current Strengths:

1. The Assistant Athletic Director for Compliance and the Assistant Director of Financial Aid communicate regularly regarding the aid received by student-athletes, and inform each other of any outside aid a student-athlete receives.

2. The institution has appropriate hearing procedures in place for dealing with issues arising out of financial aid disputes.
3. Athletics responsibilities are listed in the Assistant Director of Financial Aid's job description.
4. Student-athletes are flagged in the system, which ensures that no money will accidentally be given to a student-athlete without prior knowledge of the office of Financial Aid. Checks will not be distributed without the approval from the financial aid office.
5. The Assistant Athletic Director for Compliance inputs the student-athlete financial aid information directly onto the CAi software and the Financial Aid office signs off on it.
6. The Financial Aid office creates a spreadsheet with financial aid information from the Assistant Athletic Director for Compliance for each coach.
7. The Assistant Athletic Director for Compliance and the Financial Aid office have a good procedure in place for issuing nonrenewal and renewal letters. Both letters are sent directly from the Financial Aid Department to the student-athletes.
8. The Financial Aid department includes an appeals process policy in the student-athlete handbook.

Suggestion for Improvement:

1. It would be beneficial for the Assistant Director of Financial Aid to attend the NCAA Compliance Rules Seminars. It is important for the assistant director of financial aid to stay educated about NCAA rules and it is a good learning opportunity.
2. The Assistant Director for Financial Aid should be reviewing the CAi financial aid information at the beginning of the year.

D. Recruitment Monitoring

Current Strengths:

1. Official visit monitoring is very good. The student hosts are given written education about the do's and don'ts of hosting and are required to fill out a report detailing their activated after the visit.
2. Coaches are required to submit recruiting logs to the compliance office regularly. All coaches interviewed appeared to have a good system for ensuring the calls permitted per week rule was followed.

3. Unofficial visits are monitored and records are kept regarding complimentary admissions provided to prospects and reviewed by the compliance office.
4. The compliance office reviews all recruiting expense paperwork submitted by coaches to ensure compliance with recruiting rules.

Suggestion for Improvement:

1. Text messaging violations have become more prevalent in NCAA as of late and have led to significant penalties. The institution should monitor cell phone text message records.

E. Playing and Practice Season and Outside Competition

Current Strengths:

1. Coaches complete playing and practice season declarations in a timely manner and submit them to the compliance office for approval. Team captains sign the forms as well.
2. All student-athletes interviewed indicated that their coaches stayed within the permissible number of practice hours. Coaches are required to turn in regular logs detailing their CARA hours. In addition, all student-athletes interviewed indicated that summer workouts were not required or recorded by coaches.
3. Basketball student-athletes were informed about summer league through their coaches. They are required to fill out a summer league request form.

Suggestion for Improvement:

1. Per bylaw 3.2.4.14, the FAR should approve the schedule prior to the beginning of each regular academic term for men's basketball in order to monitor missed class time.

F. Rules Education

Current Strengths:

1. There are regular rules education meetings that all coaches and selected staff members are required to attend. The Director of Athletics is very supportive of the Rules Education provided by the compliance office.
2. Rules education is also done via email on topics of interest to the entire athletic department staff.

3. The institution provides NCAA rules education to all new employees. Additional information is given regarding their specific area of employment.
4. New coaches are given additional rules compliance and are educated on NCAA rules and institutional compliance policies. This is a new procedure implemented from the last audit.

G. Amateurism, Extra Benefits, Boosters and Agents

Current Strengths:

1. Boosters are well educated about extra benefits. Educational material is provided on their website along with a booklet provided to boosters, as well as information that is distributed to all donors and to season ticket holders.
2. Student-athletes are well educated about permissible and non-permissible activities related to agents. Student-athletes who were interviewed said that their coaches had talked to them about agents and that they informed their coaches when they received correspondence.
3. Student-athletes are required to sign for all per diem money received during travel.
4. The Assistant Athletic Director for Compliance monitors the amateurism status of all incoming and current student-athletes.
5. The Assistant Athletic Director for Compliance receives travel rosters and reviews travel expense reports.

Suggestions for Improvement:

1. All sports should provide detailed lists of all apparel and equipment to the Assistant Athletic Director for Compliance who should monitor what is reusable or is nonreusable. Also, all sports need to submit a list regarding all equipment they have received to ensure proper monitoring. The institution should place a hold on student-athletes' accounts if required things are not returned.
2. The institution should have a written policy regarding what equipment is reusable.
3. The Assistant Athletic Director for Compliance should give a presentation at the booster luncheon to provide in-person rules education.
4. All memorabilia and donation requests need to go through the Assistant Athletic Director for Compliance to ensure that each entity receiving a donation is acceptable per NCAA rules.

5. For men's basketball the institution needs to have a system to monitor hard tickets provided to coaches for personal use. They should sign off on who is receiving the tickets.

H. Camps and Clinics

Current Strengths:

1. The compliance office has required forms relating to camps and clinics that must be filled out and returned prior to the camp or clinic.
2. Camp brochures are reviewed by the compliance office and sports information department prior to printing.
3. The compliance office reviews all camp employment forms and payments to ensure that high school/JC coaches nor athletes are receiving compensation that is not appropriate.

Suggestions for Improvement:

1. The business manager should review and audit the books of all coaches camps as all camps run by an institution's coach are considered to be institutional camps.

I. Student-Athlete Employment

Current Strengths:

1. All staff members are required to notify the compliance staff prior to hiring any students for work in the athletic department.

Suggestions for Improvement:

2. There should be an appropriate monitoring of non-athletic employment. All student-athletes with jobs are required to complete paperwork detailing payment and other employment information.

J. Miscellaneous/Student-Athlete Welfare

Current Strengths:

1. The institution training staff has developed an eating disorder committee which includes a physician, nurse, and ATC. This helps ensure the health and welfare of the student-athlete.
2. The sports information department conducts media training with student-athletes.

Suggestions for Improvement:

1. All outside groups that wish to use to the UWM athletic facilities should be required to go through the facilities staff and not through the coaches. This process ensures that groups consisting of prospects do not get free or reduced rental of the facilities.
2. The institution training staff should create a policy regarding weigh-ins and body fat testing.
3. The Assistant Athletic Director for Compliance should send CARA logs to the athletic trainers to insure that coaches are staying within the permissible number of practice hours.

Review of Academic Support Services

Continuing Eligibility Support

Current Strengths:

1. The Academic Advisor informs student-athletes at the beginning of each academic year about their eligibility status and what courses they will need to take.

Academic Advising

Current Strengths:

1. The Academic Advisor meets with all first year student-athletes on a regular basis and meets with all returning student-athletes on a periodic basis. At-risk student-athletes are identified and meet with the Academic Advisor more frequently.
2. Progress reports are sent from the Academic Advisor directly to the coaches a few times a semester.

Academic Counseling

Current Strengths:

1. Freshmen are required to attend study table. Study table policies for subsequent semesters are determined based on g.p.a.
2. Student-athletes have good access to tutors. All student-athletes have an opportunity to meet with a tutor. Tutors receive rules education and adhere to University policies that ensure appropriate tutorial guidance within university expectations that would comply with NCAA regulations as well.

Missed Class Time

Current Strengths:

1. Team travel schedules are given to class professors by the student-athletes in advance so that professors are aware of when student-athletes will be absent.
2. A university wide missed class policy is in place and is very effective.

Suggestions for Improvement:

1. More support is needed in academic services. The academic advisor seems to need additional help in meeting the needs of the student-athletes.

NCAA Oversight Certification Letter

May 17, 2013

Brent Smith, Board of Regents President
Kevin Reilly, UW System President
Van Hise Hall
1220 Linden Dr.
Madison, Wisconsin 53706

Dear Presidents Smith and Reilly:

In connection with your oversight of the UW-Milwaukee's intercollegiate athletics program, we confirm, to the best of our knowledge and belief, the following:

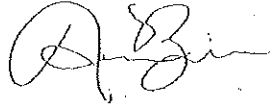
1. We have self-reported any known or suspected violations of NCAA rules and regulations. A list of reported NCAA violations are listed in the Annual Report submitted on 5/17/2013.
2. There are no known or suspected illegal acts or non-compliance with federal, state, or local law by individuals employed by the institution, or individuals who serve as an agent of the institution.
3. There are no investigations/monitoring reviews currently underway by the NCAA, law enforcement officials, or others.
4. We have no knowledge of any allegations of fraud or suspected fraud affecting intercollegiate athletics received in communications from employees, former employees, or others.
5. There have been no modifications to the institution's gender-equity plan from our previous self-study conducted May 1, 2008, and all aspects of the plan have been fully implemented.
6. We have processes in place to ensure background checks are performed on all coaches, assistant coaches, contractors, volunteers, student employees, and other individuals serving as an agent of the institution related to intercollegiate athletics. These processes apply to those involved with offering camps and clinics, and others who participate in activities involving children. Adverse outcomes of background checks are evaluated pursuant to UWM's Criminal Background Check Policy, last updated February 13, 2013, which is consistent with the Board of Regents Policy 20-19 University of Wisconsin System Criminal Background Check Policy.

7. We understand our fiduciary responsibilities to act in the best interest of the institution even if it conflicts with the immediate interests of the athletic department.
8. The athletic department has not intervened when a student-athlete is being disciplined under regular student conduct rules.

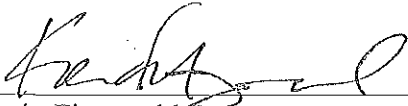
Very truly yours,



Dr. Michael Lovell
Chancellor



Amanda Braun
Athletic Director



Kevin Fitzgerald
Athletics Compliance Officer



Charlie Gross
Chief Financial Officer