



Board of Regents of the University of Wisconsin System
Office of the Secretary
1860 Van Hise Hall
Madison, Wisconsin 53706
(608)262-2324

March 1, 2006

REVISED

TO: Each Regent

FROM: Judith A. Temby

A handwritten signature in blue ink, appearing to read "J. A. Temby", is placed over the printed name.

PUBLIC MEETING NOTICE

RE: Agendas and supporting documents for meetings of the Board and Committees to be held at UW-Madison on March 9 and 10, 2006.

Thursday, March 9, 2006

Committee of the Whole

9:30 a.m. – Taxpayer Protection Amendment – Senator Grothman, Representative Wood and Professor Reschovsky invited *

10:30 a.m. – United Council 2007-09 Budget Priorities

11:00 a.m. - Achieving Excellence: UW System Accountability Report 2005-06
1820 Van Hise Hall
All Regents Invited

12:00 p.m. - Box Lunch

12:30 p.m. - Committee meetings as follows:

Education Committee
1820 Van Hise Hall

Business, Finance, and Audit Committee
1920 Van Hise Hall

Physical Planning and Funding Committee
1511 Van Hise Hall

Friday, March 10, 2006

9:00 a.m. – Board of Regents meeting
Room 1820 Van Hise Hall

* Senator Grothman and Representative Wood have been invited to join the Regents anytime during the two-day meeting to discuss the Taxpayer Protection Amendment. The Committee of the Whole may recess for lunch or individual committee meetings and may reconvene to accommodate the discussion with Senator Grothman and Representative Wood.

Persons wishing to comment on specific agenda items may request permission to speak at Regent Committee meetings. Requests to speak at the full Board meeting are granted only on a selective basis. Requests to speak should be made in advance of the meeting and should be communicated to the Secretary of the Board at the above address.

Persons with disabilities requesting an accommodation to attend are asked to contact Judith Temby in advance of the meeting at (608) 262-2324.

Information regarding agenda items can be found on the web at <http://www.uwsa.edu/bor/meetings.htm> or may be obtained from the Office of the Secretary, 1860 Van Hise Hall, Madison, Wisconsin 53706 (608)262-2324.

The meeting will be webcast at <http://www.uwex.edu/ics/stream/regents/meetings/> Thursday, March 9, 2006, at 10:30 a.m. until approximately 12:30 p.m. and Friday, March 10, 2006, at 9:00 a.m. until approximately 12:00 p.m.

**Achieving Excellence:
The University of Wisconsin System
Accountability Report 2005-06**

Executive Summary

BACKGROUND

Over the past decade, the UW System has provided detailed annual accountability reports to the citizens of Wisconsin. These reports are a reflection of the UW System's deep commitment to demonstrating the excellence of its institutions of higher education. Each annual accountability report covers a broad spectrum of higher education performance measures that address diverse constituent interests. Ongoing refinements and enhancements have been made to these reports to ensure their continued relevance and value as a resource for all potential users.

The first UW System accountability report, *Accountability for Achievement*, was initiated in March 1993, when Governor Tommy Thompson appointed a Task Force to suggest approaches to the development of the UW System's initial accountability document. The Governor's Task Force recommended 18 higher education performance measures. These measures were adopted by the Board of Regents as the basis for *Accountability for Achievement*. The report was issued on a yearly basis for a mandated period of three biennia.

After the initial mandate was concluded, the UW System embarked in July 1999 on a thorough review of the accountability reporting process. The Accountability Review Task Force reviewed the existing report and recommended a revised set of goals and indicators for the assessment of university performance. The Task Force members, which included students, faculty, staff, and administrators from all of the UW institutions, felt strongly that university performance should be measured in two distinct ways:

- 1) The achievement of student and institutional outcomes, and
- 2) The provision of a high quality student learning experience.

It was the latter of these two performance categories that led the Task Force to recommend a set of measures that included several new and innovative approaches to demonstrating accountability. These new measures focused primarily on the ways in which the UW institutions provide an environment that fosters learning.

In June 2000, the Board of Regents accepted the recommendations of the Task Force and authorized the production of the new UW System accountability report entitled *Achieving Excellence*. The current document is the sixth annual edition of *Achieving Excellence*. It is available electronically on the internet at: www.uwsa.edu/opar.

REQUESTED ACTION

Information only.

DISCUSSION

Achieving Excellence represents the UW System's continuing commitment to broad-based accountability to the citizens of Wisconsin. All of the measures in *Achieving Excellence* were designed with the mission of the UW System in mind, concentrating on the many ways in which the University of Wisconsin seeks to serve its students and the State of Wisconsin. While it is not feasible to report on every possible area of university activity in a single document, *Achieving Excellence* presents a "balanced scorecard" approach to accountability reporting, reflecting a broad diversity of stakeholder interests.

Each new edition of *Achieving Excellence* includes updated information on university performance that addresses current accountability issues in higher education, both locally and on the national level. *Achieving Excellence* includes many of the same measures that are presented in *America's Best Colleges*, published by U.S. News and World Report, and in *Measuring Up*, the first state-level accountability report card on higher education published by the National Center for Public Policy and Higher Education. *Achieving Excellence* also includes many measures that are not usually found in other state and national accountability documents. Specifically, *Achieving Excellence* combines the more traditional indicators of access, retention, graduation, technology, and resource management with measures of the overall university learning environment and how well it fosters student success. By providing both process and outcome measures, the report more fully reflects the ways in which institutional activities promote the achievement of excellence.

In order to address both of these accountability concerns, it is necessary to augment regularly reported systemwide outcomes data with findings from student and alumni surveys. Each edition of *Achieving Excellence* reports findings from a cycle of surveys, including the ACT Alumni Outcomes Survey and the National Survey of Student Engagement (NSSE). Each of these surveys provides national benchmarks, affording the opportunity to make comparisons of UW System performance with that of other higher education institutions. Moreover, the insights gained from these survey findings help to advance our understanding of the non-survey data that are also presented in this report.

Each of the 15 UW institutions has created its own individual report as a companion to the systemwide *Achieving Excellence* report. These reports provide common performance measures across institutions, but also highlight the unique accomplishments of each UW campus. The institution-specific *Achieving Excellence* reports were produced in response to suggestions from members of the Board of Regents who felt that our accountability efforts would be enhanced by the reporting of institutional measures in a format that is consistent across all campuses. Although the systemwide *Achieving Excellence* report does include an appendix of selected institution performance measures, the core purpose of the report is to assess performance at the system level. The institutional reports are designed to demonstrate accountability in light of the specific character and mission of each institution.

REVISED

I.1. Education Committee -

Thursday, March 9, 2006
1820 Van Hise Hall
1220 Linden Drive, Madison
12:30 p.m.

Committee of the Whole

9:30 a.m. – Taxpayer Protection Amendment – Senator Grothman, Representative Wood and Professor Reschovsky invited *

10:30 a.m. – United Council 2007-09 Budget Priorities

11:00 a.m. – Achieving Excellence: UW System Accountability Report 2005-06

12:00 p.m. Box Lunch

12:30 p.m. Education Committee

- a. Approval of the minutes of the February 9, 2006, meeting of the Education Committee.
- b. Program Authorizations:
 - (1) M.S. in Agroecology, University of Wisconsin-Madison (Second Reading);
[Resolution I.1.b.(1)]
 - (2) B.S. in Information and Communication Technologies, University of Wisconsin-Stout;
[Resolution I.1.b.(2)]
 - (3) M.S. in Information and Communication Technologies, University of Wisconsin-Stout.
[Resolution I.1.b.(3)]
- c. Appointment to the Oversight and Advisory Committee of the Wisconsin Partnership Fund for a Healthy Future.
[Resolution I.1.c.]
- d. Revised Faculty Personnel Rules:
 - (1) University of Wisconsin-Green Bay;
[Resolution I.1.d.(1)]
 - (2) University of Wisconsin-Oshkosh.
[Resolution I.1.d.(2)]
- e. Recommendation for a UW System Policy on the Role of Resident Assistants in Residence Halls.
[Resolution I.1.e.]
- f. Initial Report of the University of Wisconsin System Waukesha Study.

- g. Authorization to Recruit: Chancellor, University of Wisconsin-La Crosse.
[Resolution I.1.g.]
- h. Report of the Senior Vice President for Academic Affairs:
 - (1) The Use of Technology to Increase Student Access;
 - (2) Update on Process for Shared Governance Input to the Regent Committee on Faculty and Academic Staff Discipline.
- i. Additional items that may be presented to the Education Committee with its approval.

* Senator Grothman and Representative Wood have been invited to join the Regents anytime during the two-day meeting to discuss the Taxpayer Protection Amendment. The Committee of the Whole may recess for lunch or individual committee meetings and may reconvene to accommodate the discussion with Senator Grothman and Representative Wood.

Program Authorization (Implementation)
M.S. in Agroecology
University of Wisconsin-Madison

EDUCATION COMMITTEE

Resolution I.1.b.(1):

That, upon recommendation of the Chancellor of the University of Wisconsin-Madison and the President of the University of Wisconsin System, the Chancellor be authorized to implement the M.S. in Agroecology.

NEW PROGRAM AUTHORIZATION
Master of Science – Agroecology
College of Agricultural and Life Sciences
University of Wisconsin - Madison
(IMPLEMENTATION)

EXECUTIVE SUMMARY

BACKGROUND

In accordance with the procedures outlined in Academic Planning and Program Review (ACIS-1.0 revised), the new program proposal for a Master of Science in Agroecology at the University of Wisconsin-Madison is presented to the Board of Regents for implementation. If approved, the program will be subject to a regent-mandated review to begin five years after its implementation. UW-Madison and System Administration will conduct that review jointly, and report the results to the Board.

UW-Madison proposes a new M.S. in Agroecology program to train analysts, researchers, and educators. Agroecology is an emerging discipline that is at the intersection of agriculture (the art and science of culturing plants and animals for material outputs, most notably food) and ecology (the study of the relationships among organisms and their total environment, including biological, physical, and social aspects). Society increasingly demands more from the rural landscape than the traditional material outputs of agriculture, including wildlife habitat, clean water supplies, recreation and tourism, jobs, and housing. Solutions that reconcile these competing demands must be environmentally sound, ethically defensible, and considerate of the culture of agricultural practice. Thus, a need has emerged for expertise that combines technical competence in agricultural, ecological, and social sciences, with the skills and ability to devise solutions collaboratively and inclusively across stakeholder groups.

Agroecology is the first new program proposed by CALS since the early 1990s: CALS has eliminated 10 academic programs in the past decade and has not added any new programs. Thus, the reallocation of resources to this program represents an intentional management strategy of reallocation to strategically important programs that further the College's priorities.

REQUESTED ACTION

Approval of Resolution I.1.b.(1), authorizing the implementation of the M.S. in Agroecology, UW-Madison.

DISCUSSION

Program Description

The M.S. in Agroecology will be structured with two options: Public Practice and Research. Students in both options will take a 13-credit core curriculum comprised of four

courses: a two-course sequence, a seminar course that allows for a broad-ranging exploration of agroecology topics, and a summer field trip that gathers students, professors, and farmers from several states together for a week-long immersion program. The program is designed for students to interact extensively among themselves and with faculty, and to develop shared meanings of the concept of agroecology. Students will participate in problem-based learning and work in small groups in the core curriculum. They will learn the habit of alternating action and reflection, an approach central to scholarly research and essential to high-quality professional practice. For either option, students will have opportunities for a semester's study abroad (ordinarily in the third semester) through established and developing partnerships with international universities.

The Public Practice option has an “action-in-society” focus. It is designed to be completed in three semesters (exclusive of a semester abroad) and to have the flexibility to accommodate working adults. Students will complete the core curriculum, 21 elective credits, and a major project, either independently or as part of a group, that addresses an existing real-world problem. The Research option, typically a 2-year program, emphasizes original research. Requirements include the core curriculum, 25 credits of specified courses, and a research thesis.

Requirements for admission include a BS or BA in any discipline, excellence in undergraduate training and/or strong relevant work experience, and evidence of commitment to agroecological concerns.

Program Goals and Objectives

The goal of the Public Practice option is to develop the skills for engaging broad public discussion and negotiation at the intersection of agriculture, the environment, and society (skills for an “action-in-society” role). The goal of the Research option is to develop the interdisciplinary knowledge and skills for conducting research and scholarship that will inform those discussions and negotiations. Specifically, public practice-option students will be able to:

- Develop processes that assure participatory integrated assessment of agroecological issues;
- Facilitate social learning about an agroecosystem, with appreciation for its broad social and environmental implications;
- Inform problem-structuring or decision-making processes (both in scientific and procedural terms) to help local communities or specific stakeholders make better decisions.

Research-option students will learn to build the conceptual framework on which the practice of agroecology rests. Specifically, students will become skilled in:

- The principles and practice of sound scholarship;
- The methods and processes of transdisciplinarity in agricultural research;
- The application of scholarship to public process and debate.

Relation to Institutional Mission

The UW-Madison College of Agricultural and Life Sciences (CALS) articulates a vision to be “a college known for its constructive public service, embracing the land-grant philosophy, responding to societal needs, and being a positive force for change in Wisconsin, across the nation and around the world.” The MS-Agroecology program is a forward-looking program that is aligned with every aspect of this vision statement. UW-Madison, as a whole, celebrates this vision and the MS-Agroecology program advances the commitment to the land-grant tradition. The MS-Agroecology program will be one of the first of its type in the world and represents the development of an emerging discipline. Consequently, this program aligns with UW-Madison’s mission as a research university.

Diversity

Understanding the diversity of agricultural practices and philosophies is fundamental to the perspectives and skills acquired by graduates of this program. The content of the curriculum considers communities around the world and differing ethnic groups in the United States. Collaborations with international universities and with racially and ethnically diverse agricultural communities in the United States will enhance the cultural competence of our graduates.

Seminars that have been presented on agroecology have attracted many female students, so we are expecting that we will have a balance of men and women in our first and subsequent classes. Until now, agricultural higher education has not attracted many students of color. Certain aspects of this program may make it more interesting to students who may not have previously been attracted to agricultural studies. One aspect is the program’s integration of the cultural, social, and ecological components of agriculture, as opposed to a solely production-oriented focus. The second aspect is that the interdisciplinary focus of the program allows for recruiting students broadly and will ease some of the limits that would be present if entrance were restricted to those with baccalaureate degrees in agriculture.

To reach potential applicants from diverse ethnic groups, a grant-supported, quarter-time outreach officer has already begun developing contacts for the program with institutions designated as land-grant institutions for African Americans in the then-segregated Southern states in 1890, and with American Indian tribal colleges which were designated as land-grant institutions in 1994. The program has also initiated collaborations with universities in Africa and Europe, with further collaborations planned for Asia and Latin America. Gender and ethnic diversity among the program’s faculty is recognized as an important challenge that the program commits to address through future hiring opportunities within the College.

The Agroecology program will work with the existing CALS programs and processes that seek to attract and retain women and people of color within the College. The Dean’s office works with hiring committees to ensure that they are actively developing strong and diverse applicant pools through word-of-mouth, advertising, and personal contacts. The College also works with university-wide programs serving first generation and disadvantaged students to inform them of the opportunities and majors it offers. CALS faculty and staff do outreach presentations about the College’s majors and the benefits of higher education to disadvantaged

high school students to encourage them to attend the University. CALS has a degree completion program with the College of Menominee Nation and is working on another with Lac Courte D'Oreilles Ojibwa Community College. Through these programs, the Agroecology programs hopes to make the College and its programs appeal to a broader range of students in order to reap the educational benefits of a more diverse student body.

Need

The need for this program was established in a lengthy planning process that involved the international academic community, statewide input from the agriculture sector, and students at UW-Madison and from other institutions. Graduates will find employment as policy analysts for government agencies (e.g., state departments of agriculture, USDA, World Bank) and non-governmental organizations (e.g., River Alliance, Nature Conservancy, Farm Bureau, international development agencies). They will serve in entrepreneurial roles in the private sector, from agribusiness to farming, bringing together stakeholders, producers and consumers to identify opportunities for collaboration and market development. Graduates will work in Wisconsin, nationally, and internationally. To establish evidence of need during the program planning, program faculty sought advice from representatives from each sector. They were able to point to existing positions for which graduates of the M.S.-Agroecology program would be qualified and would possess the skills and training employers were seeking.

Further, UW-Madison graduate students have been asking for educational opportunities that extend their understanding of agriculture systems to integrate ecological and societal perspectives. They have stretched the limits of existing programs to make them fit their professional goals and, to fill the gap, have formed an Agroecology Club, which meets weekly to examine and discuss agroecological research and practice. Program faculty estimate that 10-15 UW-Madison students currently enrolled in other programs would have selected this program in Fall 2005, had it been available.

Comparable Programs

UW-Madison is not aware of any other programs that approach training for the future of agriculture in the way proposed. At UW-Madison, elements of the proposed program are represented in the masters programs in Urban and Regional Planning, Water Resources Management, Land Resources, and Conservation Biology and Sustainable Development. None of these programs, however, focus on developing technical and sociopolitical expertise combined in the agricultural context. UW-Stevens Point awards an M.S. in Natural Resources that is oriented toward resource stewardship, but its focus is not the agricultural perspective offered by the M.S. in Agroecology. Across the United States, several graduate programs have minors or options in agroecology (e.g., UC-Santa Cruz, UC-Berkeley, Tufts, the University of Illinois, and the University of Minnesota). Iowa State University offers M.S. and Ph.D. degrees in sustainable agriculture that emphasize the “how” of agricultural practices, but they do not emphasize the public discussion and negotiation of agriculture. Internationally, there are some programs that have elements of the M.S. in Agroecology, but they do not offer the same balance of experience.

Collaboration

The MS-Agroecology program expects to attract bachelor's degree students from other UW institutions, especially those from agricultural and ecology/environmental programs. Collaboration with a broad range of stakeholders in agriculture and other sectors is essential to the success of the program, especially for completion of research projects and thesis research. The program faculty intend to establish a Citizen Advisory Board and a more loosely structured set of "correspondents" to establish the community engagement necessary for the program. (The foundation for these connections has been developed in the planning of this program.)

Use of Technology/Distance Education

The program is designed as an on-site program. The agricultural enterprise uses technology extensively and students will be appropriately familiar with those uses. In addition, students will be required to make extensive use of technology for effective communication, especially in group project settings where coordination of several group members will be necessary. The analytical activity associated with research projects and theses has strong technological components. Distance education elements may be in the program's future but will not be a component at the beginning of the program.

Academic and Career Advising

Each student will work with the Student Progress Committee to design and develop the research project (Public Practice option) or thesis (Research option). Students in the Public Practice option will work with faculty to select electives and establish an individualized learning contract, signed by the student. All students will interact with stakeholder groups throughout the program and will develop relationships that, along with advising from the faculty, will develop career interests and contacts that will guide the search for employment.

Projected Enrollment (5 years)

| Year | Implementation year | 2 nd year | 3 rd year | 4 th year | 5 th year |
|-----------------------|---------------------|----------------------|----------------------|----------------------|----------------------|
| New students admitted | 7 | 10 | 10 | 10 | 10 |
| Continuing students | 0 | 6 | 10 | 10 | 10 |
| Total enrollment | 7 | 16 | 20 | 20 | 20 |
| Graduating students | 0 | 5 | 8 | 8 | 8 |

Assessment and Program Evaluation

The program faculty and staff will evaluate progress on program goals using the following methods:

1. Community Discussion: The program's faculty, staff, and students will meet annually to discuss specifically the program's success in achieving the goals of the program.

2. Review of learning projects and theses: The Student Progress Review Committee will design a rubric and implement a procedure to evaluate the alignment of research project reports and theses with learning goals.
3. Surveys: The Student Progress Review Committee will survey graduating students (annually) and program alumni (triennially) about their perception of program effectiveness and invite suggestions for program improvement.
4. Citizen evaluation: The program faculty director will hold a discussion triennially with its off-campus collaborators (i.e., Citizens Advisory Committee, correspondents) concerning the evidence committee members have of the program's impact in the state, the country, and around the world.

Annually, the program's governance committee will review the notes, reports, and recommendations that emerge from these assessment strategies. The governance committee will devise a plan of action and implement changes needed to achieve the learning goals.

Evaluation from External Reviewers

External reviewers recognized the quality of the program faculty and the distinctiveness of the program. Reviewers suggested that more economics be added to the curriculum and that the program be more explicit about the study of ethical issues in the curriculum. These suggestions have been incorporated into the curriculum. Reviewers supported the program faculty's conclusion that the program will attract students at the anticipated level and that graduates will find employment in academic, public-policy, for-profit, and non-profit enterprises.

Resource Needs

CALS has reallocated resources to this program because it is a strategically important program that further the College's priorities. The seven-member core faculty team includes three faculty members who were hired in an Agroecology "cluster" in 2002. The core faculty will teach the core curriculum, advise students, and serve on the program's governance committees. Many other faculty (currently 18) have committed to participate in the program by supervising student projects and theses. Faculty expect to provide funding to at least half of the students from research grants and other extramural funding, consistent with the level of support the faculty provide to the students they advise now. Administrative assistance (a total of 0.5 FTE of a program assistant) and \$1,000 for supplies and expenses will be reallocated to this program by CALS. Space will be reallocated for student offices, mailboxes, administrative space, and common space. Space and research support is already provided to the participating faculty.

RECOMMENDATION

The University of Wisconsin System recommends approval of Resolution I.1.b.(1), authorizing the implementation of the M.S. in Agroecology, UW-Madison.

RELATED REGENT POLICIES

University of Wisconsin System Academic Planning and Program Review
(November 10, 1995), Academic Informational Series #1 (ACIS-1.0 revised).

Budget Outline - MS-Agroecology, UW-Madison

| CURRENT COSTS | Base Year - 04-05 | | First Year, 2005-06 | | Second Year, 2006-07 | | Third Year, 2007-08 | |
|----------------------------------------------|-------------------|-----------|---------------------|-----------|----------------------|-----------|---------------------|-----------|
| | #FTE | Dollars | #FTE | Dollars | #FTE | Dollars | #FTE | Dollars |
| Personnel | | | | | | | | |
| Faculty | 3.3 | \$264,000 | 3.3 | \$271,920 | 3.3 | \$280,078 | 3.3 | \$288,480 |
| Instructional Staff | | | | | | | | |
| Graduate Assistants | | | | | | | | |
| Non-instructional Academic /Classified Staff | | | | | | | | |
| Non-personnel | | | | | | | | |
| Supplies & Equipment | | | | | | | | |
| Capital Equipment | | | | | | | | |
| Library | | | | | | | | |
| Computing | | | | | | | | |
| Other (Define) | | | | | | | | |
| Subtotal | | \$264,000 | | \$271,920 | | \$280,078 | | \$288,480 |
| ADDITIONAL COSTS | #FTE | Dollars | #FTE | Dollars | #FTE | Dollars | #FTE | Dollars |
| Personnel | | | | | | | | |
| Faculty | | | | | | | | |
| Instructional Staff | | | | | | | | |
| Graduate Assistants | | | | | | | | |
| Non-instructional Academic /Classified Staff | 0.5 | \$15,000 | 0.5 | \$15,450 | 0.5 | \$15,914 | 0.5 | \$16,391 |
| Non-personnel | | | | | | | | |
| Supplies & Equipment | | \$1,000 | | \$1,000 | | \$1,000 | | \$1,000 |
| Capital Equipment | | | | | | | | |
| Library | | | | | | | | |
| Computing | | | | | | | | |
| Other (Define) | | | | | | | | |
| Subtotal | | \$16,000 | | \$16,450 | | \$16,914 | | \$17,391 |
| TOTAL COSTS | | \$280,000 | | \$288,370 | | \$296,992 | | \$305,871 |
| CURRENT RESOURCES | | | | | | | | |
| GPR | | \$280,000 | | \$288,370 | | \$296,992 | | \$305,871 |
| Gifts and Grants | | | | | | | | |
| Fees | | | | | | | | |
| Other (Define) | | | | | | | | |
| Subtotal | | \$280,000 | | \$288,370 | | \$296,992 | | \$305,871 |
| ADDITIONAL RESOURCES | | | | | | | | |
| GPR Reallocation (list sources) | | | | | | | | |
| Gifts and Grants | | | | | | | | |
| Fees | | | | | | | | |
| Other (Define) | | | | | | | | |
| Subtotal | | | | | | | | |
| TOTAL RESOURCES | | \$280,000 | | \$288,370 | | \$296,992 | | \$305,871 |

Faculty - 3.2 FTE is estimated effort for the core courses and advising effort for 20 students. This includes a 0.5FTE contribution from the three Agroecology cluster faculty, 0.2FTE contribution from each of the other four core faculty, and a total of 0.8FTE contribution from the additional contributing faculty. Average salary est. \$80,000. An annual salary increase of 3% is included.

Graduate Assistants - no graduate assistantships are allotted. The courses will be taught without teaching assistants. Expectations are that about half of the students will be supported on research assistantships from faculty research grants and extramural funding sources. Attempts will be made to find funding for as many students as possible from available sources.

S&E - \$1,000 will be allotted.

Non-Instructional Staff - The College of Agricultural and Life Sciences, through the School of Natural Resources, will allocate one-third time of a Program Assistant to the Agroecology program (base salary, \$30,000), and the Department of Rural Sociology will allocate a further 0.17 FTE to the program (at the same base salary) to manage the program's timetable listings.

Program Authorization (Implementation)
B.S. in Information and Communication Technologies
University of Wisconsin-Stout

EDUCATION COMMITTEE

Resolution I.1.b.(2):

That, upon recommendation of the Chancellor of the University of Wisconsin-Stout and the President of the University of Wisconsin System, the Chancellor be authorized to implement the B.S. in Information and Communication Technologies.

NEW PROGRAM AUTHORIZATION
Bachelor of Science in Information and Communication Technologies
University of Wisconsin-Stout
(IMPLEMENTATION)

EXECUTIVE SUMMARY

BACKGROUND

In accordance with the procedures outlined in Academic Planning and Program Review (ACIS-1.0 revised), the new program proposal for a Bachelor of Science in Information and Communication Technologies (BS-ICT) at UW-Stout is presented to the Board of Regents for consideration. If approved, the program will be subject to a regent-mandated review to begin five years after its implementation. The institution and System Administration will conduct that review jointly, and the results will be reported to the Board.

The BS-ICT is a degree-completion program that has been developed in response to a request by the administration of Wisconsin Indianhead Technical College. Interest also has been expressed by several other Technical Colleges. The BS-ICT will have two areas of emphasis, Computer Networking and Media.

REQUESTED ACTION

Approval of Resolution I.1.b.(2), authorizing the implementation of the B.S. in Information and Communication Technologies, UW-Stout.

DISCUSSION

Program Description

The increased importance of information and communications technologies has created an increased demand for workers in these fields with bachelor's degrees. The proposed BS-ICT program has been designed in response to state, national and global need. Students will complete 120 credits: 40 credits in general education; 40 credits in professional studies; and 40 credits in the Information and Communication emphasis area. An advisor will approve credits for transfer from the student's Associate of Applied Science degree. The program will be available in a distance education format to target full-time working adult learners who possess an associate's degree in a related field. The critical foci of the degree program will be on networking and media.

Program Goals and Objectives

The objectives of the program are that graduates will be able to:

1. Understand information and communication technologies systems and their effect on society;
2. Evaluate and implement a variety of components of information and communication technologies for personal, educational and professional growth, as well as to promote increased organizational performance;
3. Analyze and apply information and communication technologies appropriately in work settings;
4. Understand and use information and communication technologies to expand and expedite the services used in a global economy;
5. Integrate different information and communication technologies methods in a manner to facilitate organizational effectiveness and efficiency; and
6. Develop personal and social responsibility in the digital world regarding privacy, protection of violation of intellectual property concepts, and the social and economic value of information.

Relation to Institutional Mission

The BS-ICT program is closely aligned with the mission of the UW-Stout, as the bolded segments of the mission statement indicate.

“UW-Stout is characterized by a distinctive array of programs leading to professional careers focused on the **needs of society**. These programs are presented through an approach to learning which involves **combining theory, practice and experimentation**. Extending this special mission into the future requires that instruction, research and public service programs be **adapted and modified as needs of society change**.

- a. "The university offers **undergraduate and graduate programs leading to professional careers in industry, commerce, education** and human services through the study of **technology**, applied mathematics and science, art, **and business**."

Diversity

This degree-completion program is needed to provide more flexible degree options for those who are place-bound and or working full time. Wisconsin Indianhead Technical College (WITC) has requested this program. The market identified includes a base of low-income students, and a Native American population. Replication of this program can occur with the other technical colleges that have expressed interest including Nicolet, Chippewa Valley, Western Wisconsin, Fox Valley, North Central and Waukesha County.

Special efforts will be made to recruit women and minorities into the program. UW-Stout has a diversity plan in place entitled “UW-Stout’s Plan 2008: A Strategic Plan for Diversity.” The College of Technology, Engineering and Management also has several initiatives encouraging women and minorities to consider technology programs as a career. Program faculty will work with the initiatives implemented by the university and the college, as well as work with technical college teachers, advisors and administrators to help

identify women and minorities who are interested in the areas of information and communication technologies.

Students will complete UW-Stout's General Education requirements which include ethnic studies and global perspectives courses, which are designed to prepare the student to work in a diverse workplace and a global economy.

Need

The Chippewa Valley and the state of Wisconsin have experienced considerable growth in the number of high technology companies in the last decade. It is estimated that technology-related companies in the Chippewa Valley employ more than 9,000 people in professional, technical and production jobs. Industries are concerned about their ability to attract and retain skilled workers with information and communication technology backgrounds. The proposed program will address that need in the information and communication technology field by offering a distance education degree completion program for working adults holding associate degrees.

Comparable Programs in Wisconsin

UW-Platteville offers a BS in Communications Technology. Graduates of the program are prepared for positions in radio, television, newspapers, magazines, corporations, and associations. That program has articulation agreements with several Wisconsin Technical Colleges programs. The proposed program differs from the UW-Platteville program in several ways. The BS-ICT will be offered through distance education and will accept transfer of Associate of Applied Science degrees in related fields of study. The initial focus of this degree will be in networking and media.

Comparable Programs Outside Wisconsin

There are several comparable programs outside Wisconsin, an indicator that programs in this arena are in demand. This degree is partly being modeled after Arizona State University's Bachelor of Applied Science (BAS) degree. Dickinson State University of North Dakota also offers several degree-completion programs including a Bachelor of Applied Science in Technology, which builds on Associate of Applied Science degrees.

Collaboration

UW-Stout makes a concerted effort to facilitate transfer of coursework that allows students to complete a bachelor's degree in an efficient manner. UW-Stout continues to work closely with the Wisconsin Technical College System on articulation agreements. The ICT program will use this collaborative spirit with other UW institutions and technical colleges to deliver curriculum via distance education and have faculty travel to sites to provide some of the coursework on nights and/or weekends. UW-Platteville has expressed specific interest in collaborating on offering courses for the BS-ICT.

Use of Technology/Distance Education

Because the primary target of the proposed program is the working professional living in the state of Wisconsin, a majority of the course offerings will be conducted by alternative means, either in terms of method of delivery or scheduled meeting times. The program will be offered as a distance program, although there would be occasions for students to meet face to face as a group. There may be special circumstances in which a student preferred to obtain selected courses on campus.

Academic and Career Advising

Career advising and job placement services are available to students through the University Office of Placement and Co-op Services, the Career Exploration Center, and the University Counseling Center. At UW-Stout, academic advisement of distance education students is carried out by the program directors associated with the major. The program directors provide the attention and assistance required by distance education students and adult learners in the planning and fulfillment of the degree requirements. Customized tuition revenues provide the flexibility and the budget to enhance program director and support staff release time.

Program Enrollment

The projected enrollment assumes 100% retention. The numbers reflect the projected enrollment reached by the end of each academic year (i.e. 25 students admitted at the end of the first year) and assume no attrition.

| | Implementation year | 2 nd year | 3 rd year | 4 th year | 5 th year |
|-----------------------|---------------------|----------------------|----------------------|----------------------|----------------------|
| New students admitted | 25 | 25 | 30 | 30 | 30 |
| Continuing students | 0 | 25 | 50 | 80 | 85 |
| Total enrollment | 25 | 50 | 80 | 110 | 115 |
| Graduating students | | | | 25 | 25 |

Assessment and Program Evaluation

All programs at UW-Stout undergo an annual assessment under the direction of the Provost's office, which includes a review of the correlation between student learning outcomes and program objectives, and may include standardized tests, portfolios, course-embedded assessments or other direct measures of student learning and performance.

The campus Planning and Review Committee (PRC) conducts formal reviews of all degree programs every seven years. As part of this review, present and past students, faculty, and program advisory committee members are surveyed. The program director develops a self-assessment report which is reviewed in a formal hearing conducted by the PRC with final results presented to the Faculty Senate and the Provost.

Graduate and Employer Follow-up surveys are conducted regularly to track one- and three-year graduates and assess employer satisfaction with graduates. Analysis of the

results of the surveys provides an important basis for change by program directors and department chairs. Questions related to the program objectives will be included as a supplement to the standard survey.

Evaluation from External Reviewers

Two individuals knowledgeable about this area of study reviewed the program. They are associated with programs at Arizona State University and at Western Wisconsin Technical College. The reviewers affirmed the need for degree-completion programs, but expressed concerns in two areas: (1) whether there were adequate resources to offer a complete program through distance education; and (2) the impact of not having a block credit transfer from an Associate of Applied Science degree.

These concerns have been addressed. Resources will be shared between the BS-ICT and the Bachelor of Science in Management, which are all distance degrees that share common core courses. UW-Stout is also working with the Wisconsin Technical College System to develop new degree-completion programs.

Resource Needs

The BS-ICT will be self-funded through customized tuition, which was approved by the Board of Regents in December 2001 (Resolution 7841). The customized tuition will provide salaries for a .25 FTE program director and up to 1.0 FTE faculty member within the first three years of program delivery. The customized tuition rate for undergraduate programs is \$250 per credit. Programs supported by customized tuition are assessed 18 percent in indirect costs to support the administrative cost incurred by the institution in serving these students.

A grant from the Committee on Baccalaureate Expansion (COBE) will provide start-up funds for the program. Marketing costs will be shared with the BS in Management, which is also a degree-completion program targeting a similar audience. The BS-ICT and the BS in Management share several of the same courses in their core curriculum. Therefore, students starting the BS-ICT can take courses currently being offered in a distance format from the BS in Management program. The program director for the BS-ICT will be an additional cost for program start-up. As the enrollment increases, the additional cost for faculty will be incurred.

Budget projections are based on the average number of students enrolled in the program per year, the average number of credits taken per year and the cost per credit. Because this is a self-funding program, the cost of benefits for faculty has also been added. Salaries in the budget reflect a 2 percent increase in wages per year.

Budget based as follows:

First year: 20 students x 9 credits x \$250 per credit

Second year: 40 students x 9 credits x \$250 per credit

Third year: 65 students x 9 credits x \$250 per credit

| CURRENT COST | First Year | | Second Year | | Third Year | |
|-----------------------------|------------|---------|-------------|---------|------------|---------|
| | #FTE | Dollars | #FTE | Dollars | #FTE | Dollars |
| Faculty/Instructional Staff | 0.25 | 12,500 | 0.25 | 12,750 | 0.25 | 13,005 |
| Benefits (42.5%) | 0.25 | 5,313 | 0.25 | 5,419 | 0.25 | 5,527 |
| Non-instructional Staff | 0.25 | 6,240 | 0.25 | 6,365 | 0.25 | 6,493 |
| Benefits (42.5%) | 0.25 | 2,652 | 0.25 | 2,705 | 0.25 | 2,760 |
| Supplies & Equipment | | | | | | |
| Marketing | | | | | | |
| Subtotal | | 26,705 | | 27,239 | | 27,785 |
| ADDITIONAL COST | | | | | | |
| Faculty/Instructional Staff | 0.25 | 12,500 | 0.5 | 25,500 | 0.75 | 39,015 |
| Benefits (42.5%) | 0.25 | 5,313 | 0.5 | 10,838 | 0.75 | 16,581 |
| Supplies & Equipment | | 5,000 | | 6,000 | | 6,000 |
| Marketing | | 9,000 | | 10,000 | | 10,000 |
| Subtotal | | 31,813 | | 52,338 | | 71,596 |
| 18% Overhead | | 5,726 | | 9,421 | | 12,887 |
| Subtotal | | 37,539 | | 61,759 | | 84,483 |
| TOTAL COST | | 64,244 | | 88,998 | | 112,268 |
| RESOURCES | | | | | | |
| GPR | | | | | | |
| Gifts (COBE Grant) | | 20,000 | | | | |
| Customize Tuition | | 45,000 | | 90,000 | | 146,250 |
| TOTAL RESOURCES | | 65,000 | | 90,000 | | 146,250 |

RECOMMENDATION

UW System recommends approval of Resolution I.1.b.(2), authorizing the implementation of the B.S. in Information and Communication Technologies, UW-Stout.

RELATED REGENT POLICIES

University of Wisconsin System Academic Planning and Program Review
(November 10, 1995), Academic Informational Series #1 (ACIS-1.0 revised, revised
February 10, 2006)

Program Authorization (Implementation)
M.S. in Information and Communication Technologies
University of Wisconsin-Stout

EDUCATION COMMITTEE

Resolution I.1.b.(3):

That, upon recommendation of the Chancellor of the University of Wisconsin-Stout and the President of the University of Wisconsin System, the Chancellor be authorized to implement the M.S. in Information and Communication Technologies.

NEW PROGRAM AUTHORIZATION
Master of Science in Information and Communication Technologies
University of Wisconsin-Stout
(IMPLEMENTATION)

EXECUTIVE SUMMARY

BACKGROUND

In accordance with the procedures outlined in Academic Planning and Program Review (ACIS-1.0 revised), the new program proposal for a Master of Science in Information and Communication Technologies (MS-ICT) at the University of Wisconsin-Stout is presented to the Board of Regents for consideration. If approved, the program will be subject to a regent-mandated review to begin five years after its implementation. The institution and System Administration will conduct that review jointly, and the results will be reported to the Board.

The Master of Science in Information and Communication Technologies program has been developed in response to state, national, and global needs of ICT professionals for graduate programs in several information and communication technology areas. The program will be implemented in a distance education format to accommodate full-time working professionals.

REQUESTED ACTION

Approval of Resolution I.1.b.(3), authorizing the implementation of the M.S. in Information and Communication Technologies, UW-Stout.

DISCUSSION

Program Description

The MS-ICT program will have three emphasis areas: Media Technology, Information Technology, and Visual Communications Technology. The curriculum requires students to complete 30 credits, including 15 credits in the core curriculum, 12 credits in an emphasis area, and 3 selective credits. Students will satisfy the required research component with course-embedded projects, which will be included in each student's professional portfolio. Additional research, completed in the chosen emphasis area, will also be required for the completion of the portfolio.

Program Goals and Objectives

Upon completion of the MS-ICT core courses, students will be prepared to:

- a. Lead the integration of information and communication technologies in the global economy and in education;
- b. Analyze how the knowledge economy increases productivity and creation of new industry;

- c. Relate how the information society affects global social transformation; and
- d. Evaluate the information and communication technology tools that create, collect, store and use new knowledge and information.

In addition, each emphasis area has specific learning outcomes, indicated below, which students will be able to meet upon completion of the curriculum:

1. Media Technologies:

- a. Design, develop, implement, evaluate, and research powerful technologies to enhance learning; and
- b. Effectively implement learning technologies in professional settings.

2. Information Technology:

- a. Effectively select appropriate uses of technical communication and computer interfaces; and
- b. Understand how information technologies relate to one another.

3. Visual Communications Technology:

- a. Equip students with the necessary skills to be successful in information and communication technologies, and the background and motivation to enable them to compete for leadership roles in the field;
- b. Provide assistance and professional guidance in visual communication to working photographers, editors, and other personnel, newspapers, press services, magazines, industrial photographic departments, trade associations, multimedia and educational media production units, and cultural and scientific visual communicators; and
- c. Incorporate and practice/observe high standards for visual integrity and communication ethics.

Relation to Institutional Mission

The proposed MS-ICT is closely aligned with the mission statement of UW-Stout, as the bolded segments of the mission statement indicate:

"UW-Stout is characterized by a distinctive array of programs leading to professional careers focused on the **needs of society**. These programs are presented through an approach to learning which involves **combining theory, practice and experimentation**. Extending this special mission into the future requires that instruction, research and public service programs be **adapted and modified as needs of society change**.

- a. "The university offers undergraduate and **graduate programs leading to professional careers in industry, commerce, education** and human services through the study of **technology**, applied mathematics and science, art, **and business**.

Diversity

Special efforts will be made to recruit women and minorities into the program. UW-Stout has a diversity plan in place entitled “UW-Stout’s Plan 2008: A Strategic Plan for Diversity.” The College of Technology, Engineering and Management also has several initiatives encouraging women and minorities to consider technology programs as a career. Program faculty will work with the initiatives implemented by the university and the college to identify women and minorities who are interested in the area of information and communication technologies.

Information concerning cultural sensitivity and working in a diverse workplace is infused into the curriculum and incorporated in several of the core courses. Special attention will be placed on these matters in ICT 740 *Foundations of Information and Communication Technologies*, which is the introductory course for the program. Students will discuss the role that diversity plays in the areas of information and communication technologies.

Need

The Chippewa Valley and the state of Wisconsin have experienced considerable growth in the number of high technology companies in the last decade. It is estimated that technology-related companies in the Chippewa Valley employ more than 9,000 people in professional, technical, and production jobs. The need for the MS-ICT degree includes professional updating, acquisition of new perspectives and skills, and maintenance of accreditation. This advanced degree is designed to prepare working adults for an increased leadership role within the information and communication technologies areas of study. This program will help students to perform at a higher level in the fields of media technology, instructional technology, information technology or visual communications technology.

The specific need for graduates in the three emphasis areas are as follows:

- 1 *Media Technologies*: According to the U.S. Department of Labor Standards, Wisconsin Detailed Industry Employment projections for 2002-2012, there will be a 27.3 percent increase in internet publishing and broadcasting.
- 2 *Information Technology*: According to the U.S. Department of Labor Standards, Wisconsin Detailed Industry Employment projections for 2002-2012, there will be a 34.9 percent increase in internet service providers, web search portals, and data processing services.
- 3 *Visual Communications*: According to the U.S. Department of Labor Standards, Wisconsin Detailed Industry Employment projections for 2002-2012, there will be a 20.9 percent increase in motion picture and sound recording industries.

Comparable Programs in Wisconsin

UW-Milwaukee offers a Master of Arts in Communication through the College of Letters and Science. UW-Milwaukee defines communication as the study of human symbolic behavior. UW-Madison offers a Master of Arts in Journalism and Mass Communication through the

College of Letters and Science. There is only one other Master of Science program in the UW System that is somewhat related to UW-Stout's proposed program in Information and Communication Technologies. UW-Madison's Department of Curriculum and Instruction has an Educational Communication and Technology area of study. The proposed program is different and unique because of its focus on the three emphasis areas of Media Technologies, Information Technology and Visual Communications.

Comparable Programs Outside Wisconsin

There are several comparable programs outside Wisconsin. The University of Michigan has an M.S. in Learning Technologies. The University of Colorado-Denver has an M.S. in Information and Learning Technologies, with emphases in Instructional Design, Adult Learning, and K-12. UC-Denver also has a dual master's degree in Information Learning Technologies and Technical Communications. American Intercontinental University has an online Master of Information Technology, and Ohio University has an M.A. in photography with a specialization in visual communication.

Collaboration

UW-Stout makes a concerted effort to facilitate transfer of comparable courses between institutions so that students who may not be able to take a course at one institution in any given term may take it at a sister institution. The program will use this collaborative spirit with other UW institutions to use distance delivery and have faculty travel to sites to provide some of the coursework.

Use of Technology/Distance Education

The targeted student population for this program is working professionals. This program will be offered only as a distance program, although there would be selected occasions for students to meet as a group, if possible. There may be special circumstances in which a student prefers to obtain selected courses on campus.

Academic and Career Advising

Career advising and job placement services are available to students through the University Office of Placement and Co-op Services, the Career Exploration Center, and the University Counseling Center. At UW-Stout, academic advisement of distance education students is carried out by the program directors associated with the major. The program director provides the attention and assistance required by distance education students in planning and fulfillment of the degree requirements.

Projected Enrollment

The projected enrollment assumes 100% retention. The numbers reflect the projected enrollment reached by the end of each academic year (i.e., 15 students admitted at the end of the first year).

| Year | Implementation year | 2 nd year | 3 rd year | 4 th year | 5 th year |
|-----------------------|---------------------|----------------------|----------------------|----------------------|----------------------|
| New students admitted | 15 | 15 | 20 | 20 | 20 |
| Continuing students | 0 | 15 | 30 | 40 | 45 |
| Total enrollment | 15 | 30 | 50 | 60 | 65 |
| Graduating students | | | 10 | 15 | 20 |

Assessment and Program Evaluation

All programs at UW-Stout undergo an annual assessment under the direction of the Provost's office, which includes a review of the correlation between student learning outcomes and program objectives, and may include standardized tests, portfolios, course-embedded assessments, and/or other direct measures of student learning and performance. The primary tool used to assess the MS-ICT program will be the comprehensive portfolio project that is the culmination of each student's program. The portfolio evaluation is part of the common core curriculum and required of all students in each of the three emphasis areas. Program objectives will be used as a guide to evaluate student achievement demonstrated in the portfolio.

The campus Planning and Review Committee (PRC) conducts formal reviews of all degree programs every seven years. As part of this review, present and past students, faculty, and program advisory committee members are surveyed. The program director develops a self-assessment report which is reviewed in a formal hearing conducted by the PRC with final results presented to the Faculty Senate and the Provost. Graduate and employer follow-up surveys are conducted regularly to track one- and three-year graduates, and assess employer satisfaction with graduates. Analysis of the results of the surveys provides an important basis for change by program directors and department chairs. Questions related to the program objectives will be included as a supplement to the standard survey.

Evaluation from External Reviewers

This program was reviewed by two individuals knowledgeable about this area of study. They are associated with programs at Arizona State University and at Western Wisconsin Technical College. Their evaluations were generally positive, but they noted some of the same concerns that were identified in the on-campus approval process, most notably that there were a large number of courses being offered in each emphasis area. The program has since focused and condensed the number of courses being offered. The other issue identified by the reviewers was a lack of connectivity between the Bachelor of Science in ICT and the Master of Science. The program has reexamined the naming of the emphasis areas and changed them to better reflect the relationship between the two programs.

Resource Needs

The MS-ICT will be self-funded through customized tuition, which was approved by the Board of Regents in December 2001 (Resolution 7841). Customized tuition will provide salaries for a .25 FTE program director and .75 FTE faculty member by year three. The graduate tuition

rate of \$424 per credit will be proposed for customized tuition for this program. This rate is consistent with other graduate distance education programs offered through the College of Technology, Engineering and Management. Programs supported by customized tuition are assessed 18 percent in indirect cost to support the administrative cost incurred by the institution in serving these students.

The College of Technology, Engineering and Management will provide start-up funds for the first two years of the program with revenue generated by customized tuition from other distance education programs. The MS-ICT and other graduate programs in the College share several of the same courses in their core curricula. Therefore students starting the MS-ICT can take courses currently being offered in a distance education format from other MS programs. The program director for the MS-ICT will be an additional cost for program start-up. As the enrollment increases, the additional cost for faculty will be incurred.

Budget projections are based on the average number of students enrolled in the program per year, the average number of credits taken per year and the cost per credit. Because this is a self-funded program, the cost of faculty benefits has also been included. The cost in the budget reflects a 2 percent increase in wages per year.

The Budget is based as follows:

First year: 10 FTE x 9 credits x \$424 per credit

Second year: 20 FTE x 9 credits x \$424 per credit

Third year: 35 FTE x 9 credits x \$424 per credit

| CURRENT COST | First Year | | Second Year | | Third Year | |
|--------------------------------------------------------|------------|---------|-------------|---------|------------|---------|
| | #FTE | Dollars | #FTE | Dollars | #FTE | Dollars |
| Faculty/Instructional Staff | 0.25 | 12,500 | 0.25 | 12,750 | 0.25 | 13,005 |
| Benefits (42.5%) | 0.25 | 5,313 | 0.25 | 5,419 | 0.25 | 5,527 |
| Non-instructional Staff | 0.25 | 6,240 | 0.25 | 6,365 | 0.25 | 6,493 |
| Benefits (42.5%) | 0.25 | 2,652 | 0.25 | 2,705 | 0.25 | 2,760 |
| Supplies & Equipment | | 1,500 | | | | |
| Marketing | | 2,500 | | | | |
| Subtotal | | 30,705 | | 27,239 | | 27,785 |
| ADDITIONAL COST | | | | | | |
| Faculty/Instructional Staff | 0.25 | 12,500 | 0.5 | 25,500 | 0.75 | 39,015 |
| Benefits (42.5%) | 0.25 | 5,313 | 0.5 | 10,838 | 0.75 | 16,581 |
| Supplies & Equipment | | 1,500 | | 3,000 | | 3,000 |
| Marketing | | 2,500 | | 5,000 | | 5,000 |
| Subtotal | | 21,813 | | 44,338 | | 63,596 |
| 18% Overhead | | 3,926 | | 7,981 | | 11,447 |
| Subtotal | | 25,739 | | 52,319 | | 75,043 |
| TOTAL COST | | 56,444 | | 79,558 | | 102,828 |
| RESOURCES | | | | | | |
| GPR Reallocation | | | | | | |
| Reallocation of College customized tuition revenues | | 30,705 | | 27,239 | | |
| Custom Tuition | | 38,160 | | 76,320 | | 133,560 |
| TOTAL RESOURCES | | 68,865 | | 103,559 | | 133,560 |

RECOMMENDATION

UW System recommends approval of Resolution I.1.b.(3), authorizing the implementation of the M.S. in Information and Communication Technologies, UW-Stout.

RELATED REGENT POLICIES

University of Wisconsin System Academic Planning and Program Review (November 10, 1995), Academic Informational Series #1 (ACIS-1.0, revised February 10, 2006)

The Wisconsin Partnership Fund for a Healthy Future
(Blue Cross & Blue Shield Program)
UW School of Medicine and Public Health
Oversight and Advisory Committee Appointment

EDUCATION COMMITTEE

Resolution I.1.c.:

That, upon recommendation of the President of the University of Wisconsin System and the Chancellor of the University of Wisconsin-Madison, the Board of Regents approves the appointment of June Martin Perry to the UW School of Medicine and Public Health Oversight and Advisory Committee to fill the unexpired term of a public member, which ends in October, 2008.

**APPOINTMENT TO THE
UW SCHOOL OF MEDICINE AND PUBLIC HEALTH
OVERSIGHT AND ADVISORY COMMITTEE
FOR
THE WISCONSIN PARTNERSHIP FUND FOR A HEALTHY FUTURE

EXECUTIVE SUMMARY**

BACKGROUND

The Wisconsin Insurance Commissioner's Order of March, 2000, approved the conversion of Blue Cross & Blue Shield United of Wisconsin to a for-profit stock corporation, and the distribution of the proceeds from the sale of stock to the UW Medical School, hereinafter referred to as the UW School of Medicine and Public Health, and the Medical College of Wisconsin. The Order required the respective governing body of each school to create an Oversight and Advisory Committee (OAC) consisting of nine members. The UW School of Medicine and Public Health OAC consists of four public members (health advocates), four School of Medicine and Public Health representatives appointed by the Board of Regents, and one member appointed by the Insurance Commissioner. In accordance with the Order, the OAC is responsible for planning for, and overseeing the use of funds allocated for public health. The committee also reviews, monitors, and reports to the Board of Regents on funds committed for medical education and research.

The Board of Regents appointed the OAC in August, 2002. The UW School of Medicine and Public Health, in collaboration with the OAC, wrote a Five-Year Plan entitled, *The Wisconsin Partnership Fund for a Healthy Future*, describing the uses of funds. Upon approval of the Five-Year Plan by the Board of Regents in April, 2003, it was reviewed and subsequently approved by the Wisconsin United for Health Foundation, Inc. (WUHF), in March, 2004. Immediately thereafter, WUHF transferred the funds to the UW Foundation for management and investment based on the Agreement between the UW Foundation, the Board of Regents, and WUHF (Agreement). Since March, 2004, the OAC has been actively engaged in seeking proposals and making awards in accordance with the Five-Year Plan and the Agreement.

REQUESTED ACTION

Approval of Resolution I.1.c., authorizing the appointment of June Martin Perry to fill the unexpired term of a public member on the UW School of Medicine and Public Health Oversight and Advisory Committee.

DISCUSSION

In accordance with the Insurance Commissioner's Order as well as the Bylaws of the OAC, approved by the Board of Regents in February, 2001, the Regents are being asked to appoint June Martin Perry to fill an unexpired term ending in October, 2008.

As specified in the Insurance Commissioner's Order, the OAC created a nominating subcommittee made up of the public members and the Insurance Commissioner's appointee. The Nominating Subcommittee solicited nominations for candidates experienced in urban and community health. Eleven nominations were received and after careful review of the resumes and letters of support, the Nominating Subcommittee decided to interview five candidates (see attached list) who fit the criteria established by OAC. Regent Emeritus Pat Boyle, the Board of Regents' liaison to the OAC, participated in the interviews. Based on the interviews as well as a review of the resumes, a decision was made to recommend June Martin Perry to the Board of Regents to fill the vacancy.

June Martin Perry has been the CEO and president of New Concept Self Development Center, Inc., a community agency in Milwaukee, since 1975. As co-founder of this non-profit youth-serving agency and mental health clinic, she developed the organization into a nationally recognized human service agency serving over 4,000 families and youth annually. The agency's mission is "to preserve families and protect children while promoting self-sufficiency." She is well-known and respected in the Milwaukee community as an experienced public and community health leader who collaborates with other organizations, advocates for youth and families, and organizes and mobilizes youth and adults to impact policy and community issues. Ms. Perry is a sophisticated, seasoned professional who understands the scope of the public health challenges in Milwaukee and is an expert on urban health issues as well. She has extensive experience in developing community-academic partnerships, a cornerstone of the OAC's program.

As a representative of urban and community health issues, Ms. Perry will bring an urban perspective and voice to the work of the OAC.

RECOMMENDED ACTION

UW System recommends approval of Resolution I.1.c., authorizing the appointment of June Martin Perry to the UW School of Medicine and Public Health Oversight and Advisory Committee.

RELATED POLICIES

None.

**UW School of Medicine and Public Health Oversight and Advisory Committee
Nominating Subcommittee
Interview Candidates**

Rafael Acevedo, Executive Director, Latino Health Organization

Ricardo Cisneros, Associate Executive Director, Human Services and Elderly Programs,
United Community Center/Centro de la Comunidad Unida

Sheri Johnson, State Health Officer and Administrator, Division of Public Health,
Department of Health and Family Services

Lorraine Lathen, Vice President for Community Education, Planned Parenthood of
Wisconsin

June Perry, Executive Director, New Concept Self Development Center

JUNE MARTIN PERRY

204 East Reservoir Avenue Milwaukee, Wisconsin 53212
p: 414.264.3444 f: 414.444.2863 c: 414.406.3285 e: junifer@aol.com

Education

| | | |
|--------------------|-------------------|----------------------------------------------------------------|
| 1999 to present | PhD Candidate | University of Wisconsin – Milwaukee Urban Studies |
| 1971 | MS in Social Work | University of Wisconsin- Milwaukee School of Social Welfare |
| 1969 | BS in Sociology | North Carolina Central University Durham, North Carolina |

License

State of Wisconsin Independent Social Worker License # 465

Fellowships

| | | |
|------|-------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|
| 2001 | EPNL Graduate Certificate | Exec. Program for Non Profit Leaders Stanford University Graduate School of Business, Palo Alto, California |
| 2000 | Certificate in Social Entrepreneurship | Denali Initiative Fellow Heinz School of Business Management Carnegie Mellon University, Pittsburgh, PA Pittsburgh, Pennsylvania |
| 2004 | Executive Transition Management | – Annie E Casey Foundation Baltimore, MD |

Certificate/Training Programs

| | |
|------|-------------------------------------------------------------------------------------------------------------------------------------------------|
| 2005 | CWLA Mentoring children of Prisoners Train the Trainer |
| 2004 | Training the Trainers Workshop – Univ Wisc. Extension |
| 2002 | Child Welfare League of America Supervisory Certification Training |
| 2001 | Carnegie Mellon Heinz School of Business Certificate in Social Entrepreneurship |
| 2000 | Grantsmanship Training Center Certificate |
| 2000 | Peter F. Drucker Foundation for Non Profit Management |
| 1999 | African American Fund Development Institute |
| 1997 | A Coordinated Approach to Family Law Cases -Improving the Ability of the Justice System to Deal with Family Problems – Wingspread Conference |
| 1998 | Effective Black Parenting Trainer Certification |
| 1994 | How to Deal with the Union with Confidence – UW Extension |
| 1990 | MELD (Minnesota Early Learning Design) Certified Trainer |
| 1990 | Leadership America Women's Fellowship |

Experience

| | |
|-------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1975 - present | New Concept Self Development Center, Inc – Milwaukee, WI Chief Executive Officer and President Co-founder of private non-profit youth serving agency and mental health clinic in 1975 with two staff and an annual operating budget of \$35,000. Developed organization into nationally recognized human service agency currently employing 50 FTE's with an annual operating budget of \$3.0 million, serving over 4000 families and youth annually. Agency mission is "to preserve families and protect children while promoting self sufficiency". |
|-------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

(CEO position description continued)

Creator of numerous innovative strength based program models that have been replicated both locally and nationally. Known for collaborating with other organizations, advocating for youth and families as well as organizing and mobilizing youth and adults to impact policy and community issues.

List of Accomplishments:

- | | |
|------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 2003 | Selected by SAMHSA to help facilitate the framework for "Removing Disparities in Substance Abuse and Mental Health for People of Color". |
| 2002 | Crafted a succession plan and affiliate agreement for New Concept to become a part of OIC-GM, the largest OIC in the world. |
| 2000 | Designed model, secured funding and convened the first "Families As Expert's Best Practices Conference" to allow 50 low income families to present "what works and why" to service providers and policy makers. |
| 2001 | Organized the city's first Abstinence Coalition to include five community based agencies (faith based, AODA and youth entrepreneurial program) |
| 1998 | Designed social entrepreneurship model – "The Enrichment Institute" - outsourcing social and support services to charter/choice schools. |
| 1997 | Convened community collaborative of nine organizations to form profit making corporation. Staffed collaborative for first year of operation. |
| 1994 | Designed model and secured funding to open Milwaukee's first Father's Family Resource Center in a public private partnership with Milwaukee County. |
| 1990 | Developed model to serve teen fathers that was adopted by national organization (MELD) for nationwide replication. |
| 1986 | Convened and staffed the 100 member Milwaukee Blue Ribbon Panel on Teen Age Pregnancy Prevention (TAPP). Wrote the TAPP report, which is still used a guide for funding and policy development related to prevention/intervention efforts to lower Milwaukee's teen pregnancy rate. |
| 1985 | Implemented entrepreneurship program for summer youth employees - YMOB – Youth Managed and Operated Businesses. |
| 1984 | Wrote human sexuality teaching guide for parents and children (PATHS – Parents As Teachers of Human Sexuality). |
| 1981 | Developed Milwaukee's first community based mentoring program for young girls in public housing project (Each One Reach One). |
| 1978 | Secured first agency long term contract with United Way of Greater Milwaukee to operate the social service department in a public community recreation center. |

1978 New Concept became United Way member agency after only three years existence.

Additional Employment Experience

1999 – **Medical College of Wisconsin – Milwaukee, WI**
2003 Adjunct Associate Professor, Department of Pediatrics

1971 – 1975 **Milwaukee County Department of Public Welfare, Milwaukee, WI**
Child protective service investigator (1971 - 1972)
Supervisor, Family Counseling Unit (1972 – 1973)
Supervisor, Adult Service Unit (1973 - 1974)
Quality Assurance Coordinator-Purchase of Services (1974 -1975)

Recent Awards

2005 US Postal Service “Women Putting a Stamp on Milwaukee” Award

2005 Black Women’s Network Woman of the Year – Social Service

2002 Local Fatherhood Award from Wisconsin Fatherhood Conference

2001 President’s Points of Light Award, Points Of Light
National Foundation, July 18, 2001

2000 Tempo Women’s Professional Organization
Mentor of the Year Award

2000 Milwaukee Business Journal’s Women of Influence Awards
Non Profit Leader

1999 Professional Dimensions Sacajawea Trailblazer Award

1998 Kraft Foods/Essence Award
Community Service

1999 Milwaukee Community Journal
Lifetime Achievement Award

Current Volunteer Efforts

Board of Directors – Aurora Health Care Metro Board
Chair, Community Partnerships Committee
Legacy Redevelopment Corporation Board of Directors - Legacy Bank
Human Subjects Review Board – Medical College of Wisconsin
School of Social Welfare – University of Wisconsin- Milwaukee
Dean’s Cabinet
Charitable Foundation Board – Professional Dimensions

Areas of Interest and Expertise:

Program management, impact analysis and program evaluation

- ▶ Aligning organizational operations with mission, core responsibilities and future planning
- ▶ Removing disparities for people of color in program design and policy development
- ▶ Creating public private partnerships that address emerging community needs
- ▶ Using outcome data to develop staff training and prevention/intervention programs

(continued)

Staff Development/Executive Coaching

- ▶ Training key executives to develop their internal and external capacity for growth through on-going professional development, mentoring and succession planning
- ▶ Strategic planning for key Executives and Boards that encompasses education, training and development
- ▶ Ethical behavior in criminal justice, child welfare and family serving programs

Social sector / non profit business development

- ▶ Succession planning and board development
- ▶ Social enterprises and social entrepreneurship
- ▶ Organizational analysis, capacity building and strategic planning
- ▶ Development of customer driven prevention and intervention program models
- ▶ Collaboratives, partnerships and strategic alliances
- ▶ Resource development and grant/proposal writing
- ▶ Community development corporations (partnerships and strategic planning)
- ▶ **Cultural diversity training**
- ▶ **Community partnerships**
- ▶ **Public speaking**

Publications

Seal, D.W., Rompa, D., Coley, B., Gray, M., **Perry, J.**, & Gamez, M. (1999, July). HIV prevention: What heterosexual couples say is needed. Poster presented at the AIDS Impact: Biopsychosocial Aspects of HIV Infection Conference, Ottawa, Canada.

Seal, D.W., Smith, M.D., Coley, B.A., Rompa, D., Gamez, M., & **Perry, J** (2000, June). Heterosexual scripts for courtship and sexual interactions among dating couples: A comparison across gender and ethnicity. Poster presented at the annual meeting of the International Academy of Sex Research, Paris, France.

Seal, D.W. (1999). HIV prevention needs of men of color who have sex with men. Needs assessment report prepared for the Men of Color Who Have Sex With Men Training Institute and Mini-Grant Program, **New Concept Self Development Center, Milwaukee, WI**; Wisconsin HIV Prevention Community Planning Council, Madison, WI; Wisconsin AIDS/HIV Program, Division of Health and Family Services, Division of Health, Madison, WI.

Weinhardt, L. S., Bickham, N. L., Kalichman, S. C., Crumble, D., McNeil, D., **Perry, J.**, & McFadden, G. (2001, February). Maternal prenatal smoking cessation and relapse prevention: Test of a household targeted intervention model. Presented at the Spring Symposium of the Wisconsin Tobacco Control Board, Elkhart Lake, WI.

Weinhardt, L. S. (2002)., Bickham, N. L., Kalichman, S. C., Crumble, D., McNeil, D., **Perry, J.**, & McFadden, G. (2001, February). Effects of a detailed sexual behavior interview on perceived risk for HIV infection: Experimental analysis in a high-risk sample. Journal of Behavioral Medicine, Volume 25, 195-203.

References furnished upon request

JUNE MARTIN PERRY

June Martin Perry is well known locally and nationally for her work in the non profit arena. She prefers to call herself a "social entrepreneur" rather than a non profit leader. She started her business in 1975 and thirty years later, the agency employs over 50 social workers and is often lauded as one of the most successful non profits in Milwaukee.

Her recent achievements are receiving the 2000 Woman of Influence Award for the Non Profit Sector in Milwaukee as selected by the Business Journal, Mentor of the Year for 2001 by TEMPO and Sacajawaea Trailblazer Award in 1999 from Professional Dimensions (two highly esteemed business women's organizations).

Her most recent national recognitions include the *Kraft Foods - Essence Award in 1998* and the *Daily Points of Light Foundation Award for July 18, 2001*. June has studied social entrepreneurship and received certificates of completion from the Executive Program for Non Profit Leaders at the Stanford University School of Business and the Denali Initiative, a Harvard, Carnegie Mellon and Babson College three year fellowship awarded in 1998 to only 16 non profit leaders nationwide.

She is a native of Columbia, S.C. and received her BA degree from North Carolina Central University and her MSSW from the University of Wisconsin-Milwaukee. She has completed her course work for a PH.D in Urban Studies from UW-M. June has daughter who graduated from Tennessee State University and who is a graduate of Florida A and M. University.

Her current interests are in sustaining community based non profits through succession planning and Executive Transitions Management (ETM), a curriculum she has been trained in through the Annie E. Casey Foundation.

Contact info: e. Junifer@aol.com p. 414 . 313 9762 f. 414 444 2863
Mailing address: 204 East Reservoir Avenue Milwaukee, WI 53212

(300 words)

Amendments to
Faculty Personnel Rules
University of Wisconsin-Green Bay

EDUCATION COMMITTEE

Resolution I.1.d.(1):

That, upon recommendation of the Chancellor of the University of Wisconsin-Green Bay and the President of the University of Wisconsin System, the Board of Regents approves the amendments to the UW-Green Bay Faculty Personnel Rules.

FACULTY PERSONNEL RULES UNIVERSITY OF WISCONSIN-GREEN BAY

EXECUTIVE SUMMARY

BACKGROUND

Section UWS 2.02, Wisconsin Administrative Code (“Faculty Rules: Coverage and Delegation”) requires that rules, policies, and procedures developed by each institution in the System pursuant to Chapters UWS 3, 4, 5, 6 and 8 must be approved by the Board of Regents before they take effect.

The proposed amendments to the UW-Green Bay Faculty Personnel Rules have been debated and approved by the appropriate faculty governance bodies, and are recommended by Chancellor Bruce Shepard. These revisions have also been reviewed by the UW System Office of the General Counsel and the Office of Academic Affairs.

The amendments clarify that: (a) any complaint against a faculty member which could result in a disciplinary action (including a letter of reprimand) will be considered by the Chancellor for possible referral to the Committee on Rights and Responsibilities before any disciplinary action is taken; and (b) any disciplinary action that is taken (including a letter of reprimand) will be taken by the Chancellor and not by the Dean. The Chancellor is sending this forward because it preserves, without modification, the Chancellor’s current option of either referring the complaint to committee or not. The intention of the amendments is to prevent a Dean from taking any disciplinary action whatsoever (“bring about action”), including a letter of reprimand, without first referring the matter through the Chancellor to the faculty’s Committee on Rights and Responsibilities.

REQUESTED ACTION

Approval of Resolution I.1.d.(1)., approving the amendments to the UW-Green Bay Faculty Personnel Rules.

DISCUSSION AND RECOMMENDATIONS

UW System Administration recommends approval of these revisions.

March 2, 2006

MEMORANDUM

TO: PRESIDENT KEVIN REILLY

FROM: _____, BRUCE SHEPARD, Chancellor

SUBJECT: CHANGES TO UW-GREEN BAY FACULTY CODIFICATION

It is my pleasure to submit, for your consideration, changes in the UW-Green Bay Faculty Codification Chapter 6 – Complaints and Grievances. These changes attempt to clarify matters that fall within the purview of §6.01, dealing with the handling of complaints. These changes were adopted by the Faculty Senate on November 16, 2005, and received in my office for approval on November 17, 2005. It has the support of the University as the change does not substantively affect our practices nor does it change the responsibility shared by the University and the faculty for the timely and effective response to complaints through means that maintain adherence to high standards for performance. This change is also consistent with UWS Chapter 6. I ask that the changes, noted in **bold** on the attached, be brought to the Board of Regents for review.

Enc.

c: Sally Dresdow, Chair 2005-2006 University Committee, w/enc.
Ken Fleurant, Secretary of the Faculty and Academic Staff, w/enc.
Sue Hammersmith, Provost and Vice Chancellor for Academic Affairs, w/enc.

Chapter 6.01 before changes

UWGB CHAPTER 6 COMPLAINTS AND GRIEVANCES

UWGB 6.01 Complaints. Complaints are allegations by the administration, students, faculty members, academic staff members, classified staff members, or members of the public concerning conduct by a faculty member which violates university rules or which adversely affects the faculty member's performance of his/her obligation to the university, but which are not serious enough to warrant dismissal under UWGB Chapter 4.

1. Complaints shall be in writing to the Chancellor or to his/her office, describing specifically the alleged misconduct. The misconduct must be clearly delineated in the complaint.
2. The Chancellor shall notify the faculty member who is the subject of the complaint in writing of the specific allegations, the identity of the person or party who made the complaint, and his/her disposition of the complaint.
3. The faculty member who is the subject of the complaint will have the opportunity to respond to the Chancellor about the complaint in writing.
4. The Chancellor may recommend an informal discussion and settlement of the complaint before reviewing and taking action. The informal discussion and settlement route shall follow the upward levels of supervision and employment: department or administrative unit, dean. If the complaint is not settled by this route, it shall be returned to the Chancellor.
5. If the Chancellor deems the complaint substantial, he/she may refer the complaint to the Committee on Rights and Responsibilities for a hearing.
6. The Committee on Rights and Responsibilities is authorized and shall hold a hearing on a complaint at the request of the Chancellor, or at the request of the faculty member concerned if the Chancellor invokes a disciplinary action without requesting a hearing. This request must be made in writing, addressed to the chair of the hearing body within 20 days after receipt of notice of the Chancellor's disciplinary action.
7. The hearing shall be conducted by the Committee on Rights and Responsibilities within 20 days following receipt of the request, except this time limit may be extended by mutual written consent of the parties, or by order of the hearing body. No member of the hearing body who participated in the allegations contained in the complaint or who is a potential witness for or against the faculty member who is the subject of the complaint shall serve on the Committee.

The hearing body may, on motion of either party, disqualify any one of its members for cause by a majority vote. If one or more members disqualify themselves or are disqualified, the University Committee will select a number of other faculty members equal to the number who have been disqualified to serve on the hearing body for the purpose of that case only.

- a. The Committee on Rights and Responsibilities shall receive a copy of the specific allegation, the identity of the person or party who made the complaint, and the Chancellor's disposition of the complaint, and any other documentation relevant to the complaint.
 - b. The faculty member will be given notice of the hearing at least 10 days prior to the hearing.
 - c. All faculty members have the right to due process and the rights and privileges of academic freedom. This policy shall be observed in determining if the complaint is substantial and provides sufficient grounds for disciplinary action.
 - d. The burden of proof of the existence of misconduct shall be on the person or party making the complaint.
 - e. The hearing body may call witnesses and shall have access to documentary evidence upon which the complaint is based.
 - f. The faculty member may be assisted or represented by a person of his/her choice, at his/her expense. The faculty member has the right to testify on his/her own behalf and may present witnesses but there shall be no direct or cross-examination of the witnesses. Members of the Committee on Rights and Responsibilities may question any witnesses concerning matters relevant to the inquiry.
8. After the hearing, the Committee on Rights and Responsibilities shall recommend to the Chancellor: dismissal of the complaint, or invocation of specific disciplinary actions, or modification of the disciplinary action imposed by the Chancellor.
 9. The decision of the Chancellor on the recommendation of the Committee on Rights and Responsibilities, or on the grievance in the absence of a recommendation from the Committee on Rights and Responsibilities, shall be final, except that upon appeal by the faculty member, the Board of Regents, at its option, may grant a review of the case.
 10. The faculty member shall not again be investigated or penalized for the same alleged misconduct after a final decision on a previous complaint.

Chapter 6.01 with added text in bold print

UWGB CHAPTER 6 COMPLAINTS AND GRIEVANCES

UWGB 6.01 Complaints. Complaints are allegations by the administration, students, faculty members, academic staff members, classified staff members, or members of the public concerning conduct by a faculty member which violates university rules or which adversely affects the faculty member's performance of his/her obligation to the university, but which are not serious enough to warrant dismissal under UWGB Chapter 4. **Any complaint against a faculty member substantial enough to bring about action under the provisions of UWS 6.01 or UWGB 6, including those for which the level of intended penalty is the placement of a letter of reprimand in the faculty member's personnel file, must be adjudicated according to the procedures outlined below.**

1. Complaints shall be in writing to the Chancellor or to his/her office, describing specifically the alleged misconduct. The misconduct must be clearly delineated in the complaint.
2. The Chancellor shall notify the faculty member who is the subject of the complaint in writing of the specific allegations, the identity of the person or party who made the complaint, and his/her disposition of the complaint.
3. The faculty member who is the subject of the complaint will have the opportunity to respond to the Chancellor about the complaint in writing.
4. The Chancellor may recommend an informal discussion and settlement of the complaint before reviewing and taking action. The informal discussion and settlement route shall follow the upward levels of supervision and employment: department or administrative unit, dean. If the complaint is not settled by this route, it shall be returned to the Chancellor.
5. If the Chancellor deems the complaint substantial, he/she may refer the complaint to the Committee on Rights and Responsibilities for a hearing.
6. The Committee on Rights and Responsibilities is authorized and shall hold a hearing on a complaint at the request of the Chancellor, or at the request of the faculty member concerned if the Chancellor invokes a disciplinary action without requesting a hearing. This request must be made in writing, addressed to the chair of the hearing body within 20 days after receipt of notice of the Chancellor's disciplinary action.
7. The hearing shall be conducted by the Committee on Rights and Responsibilities within 20 days following receipt of the request, except this time limit may be extended by mutual written consent of the parties, or by order of the hearing body. No member of the hearing body who participated in the allegations contained in the complaint or who is a potential witness for or against the faculty member who is the subject of the complaint shall serve on the Committee.

The hearing body may, on motion of either party, disqualify any one of its members for cause by a majority vote. If one or more members disqualify themselves or are disqualified, the University Committee will select a number of other faculty members equal to the number who have been disqualified to serve on the hearing body for the purpose of that case only.

- a. The Committee on Rights and Responsibilities shall receive a copy of the specific allegation, the identity of the person or party who made the complaint, and the Chancellor's disposition of the complaint, and any other documentation relevant to the complaint.
 - b. The faculty member will be given notice of the hearing at least 10 days prior to the hearing.
 - c. All faculty members have the right to due process and the rights and privileges of academic freedom. This policy shall be observed in determining if the complaint is substantial and provides sufficient grounds for disciplinary action.
 - d. The burden of proof of the existence of misconduct shall be on the person or party making the complaint.
 - e. The hearing body may call witnesses and shall have access to documentary evidence upon which the complaint is based.
 - f. The faculty member may be assisted or represented by a person of his/her choice, at his/her expense. The faculty member has the right to testify on his/her own behalf and may present witnesses but there shall be no direct or cross-examination of the witnesses. Members of the Committee on Rights and Responsibilities may question any witnesses concerning matters relevant to the inquiry.
8. After the hearing, the Committee on Rights and Responsibilities shall recommend to the Chancellor: dismissal of the complaint, or invocation of specific disciplinary actions, or modification of the disciplinary action imposed by the Chancellor.
 9. The decision of the Chancellor on the recommendation of the Committee on Rights and Responsibilities, or on the grievance in the absence of a recommendation from the Committee on Rights and Responsibilities, shall be final, except that upon appeal by the faculty member, the Board of Regents, at its option, may grant a review of the case.
 10. The faculty member shall not again be investigated or penalized for the same alleged misconduct after a final decision on a previous complaint.

Chapter 6.01 after changes approved by Faculty Senate on November 16, 2005

UWGB CHAPTER 6 COMPLAINTS AND GRIEVANCES

UWGB 6.01 Complaints. Complaints are allegations by the administration, students, faculty members, academic staff members, classified staff members, or members of the public concerning conduct by a faculty member which violates university rules or which adversely affects the faculty member's performance of his/her obligation to the university, but which are not serious enough to warrant dismissal under UWGB Chapter 4. Any complaint against a faculty member substantial enough to bring about action under the provisions of UWS 6.01 or UWGB 6, including those for which the level of intended penalty is the placement of a letter of reprimand in the faculty member's personnel file, must be adjudicated according to the procedures outlined below.

1. Complaints shall be in writing to the Chancellor or to his/her office, describing specifically the alleged misconduct. The misconduct must be clearly delineated in the complaint.
2. The Chancellor shall notify the faculty member who is the subject of the complaint in writing of the specific allegations, the identity of the person or party who made the complaint, and his/her disposition of the complaint.
3. The faculty member who is the subject of the complaint will have the opportunity to respond to the Chancellor about the complaint in writing.
4. The Chancellor may recommend an informal discussion and settlement of the complaint before reviewing and taking action. The informal discussion and settlement route shall follow the upward levels of supervision and employment: department or administrative unit, dean. If the complaint is not settled by this route, it shall be returned to the Chancellor.
5. If the Chancellor deems the complaint substantial, he/she may refer the complaint to the Committee on Rights and Responsibilities for a hearing.
6. The Committee on Rights and Responsibilities is authorized and shall hold a hearing on a complaint at the request of the Chancellor, or at the request of the faculty member concerned if the Chancellor invokes a disciplinary action without requesting a hearing. This request must be made in writing, addressed to the chair of the hearing body within 20 days after receipt of notice of the Chancellor's disciplinary action.
7. The hearing shall be conducted by the Committee on Rights and Responsibilities within 20 days following receipt of the request, except this time limit may be extended by mutual written consent of the parties, or by order of the hearing body. No member of the hearing body who participated in the allegations contained in the complaint or who is a potential witness for or against the faculty member who is the subject of the complaint shall serve on the Committee.

The hearing body may, on motion of either party, disqualify any one of its members for cause by a majority vote. If one or more members disqualify themselves or are disqualified, the University Committee will select a number of other faculty members equal to the number who have been disqualified to serve on the hearing body for the purpose of that case only.

- a. The Committee on Rights and Responsibilities shall receive a copy of the specific allegation, the identity of the person or party who made the complaint, and the Chancellor's disposition of the complaint, and any other documentation relevant to the complaint.
 - b. The faculty member will be given notice of the hearing at least 10 days prior to the hearing.
 - c. All faculty members have the right to due process and the rights and privileges of academic freedom. This policy shall be observed in determining if the complaint is substantial and provides sufficient grounds for disciplinary action.
 - d. The burden of proof of the existence of misconduct shall be on the person or party making the complaint.
 - e. The hearing body may call witnesses and shall have access to documentary evidence upon which the complaint is based.
 - f. The faculty member may be assisted or represented by a person of his/her choice, at his/her expense. The faculty member has the right to testify on his/her own behalf and may present witnesses but there shall be no direct or cross-examination of the witnesses. Members of the Committee on Rights and Responsibilities may question any witnesses concerning matters relevant to the inquiry.
8. After the hearing, the Committee on Rights and Responsibilities shall recommend to the Chancellor: dismissal of the complaint, or invocation of specific disciplinary actions, or modification of the disciplinary action imposed by the Chancellor.
 9. The decision of the Chancellor on the recommendation of the Committee on Rights and Responsibilities, or on the grievance in the absence of a recommendation from the Committee on Rights and Responsibilities, shall be final, except that upon appeal by the faculty member, the Board of Regents, at its option, may grant a review of the case.
 10. The faculty member shall not again be investigated or penalized for the same alleged misconduct after a final decision on a previous complaint.

Amendments to
Faculty Personnel Rules
University of Wisconsin-Oshkosh

EDUCATION COMMITTEE

Resolution I.1.d.(2):

That, upon recommendation of the Chancellor of the University of Wisconsin-Oshkosh and the President of the University of Wisconsin System, the Board of Regents approves the amendments to the UW-Oshkosh Faculty Personnel Rules.

FACULTY PERSONNEL RULES UNIVERSITY OF WISCONSIN-OSHKOSH

EXECUTIVE SUMMARY

BACKGROUND

Section UWS 2.02, Wisconsin Administrative Code ("Faculty Rules: Coverage and Delegation") requires that rules, policies, and procedures developed by each institution in the System pursuant to Chapters UWS 3, 4, 5, 6 and 8 must be approved by the Board of Regents before they take effect.

The proposed amendments to the UW-Oshkosh Faculty Personnel Rules have been debated and approved by the appropriate faculty governance bodies, and are recommended by Chancellor Richard Wells. These revisions have also been reviewed by the UW System Office of the General Counsel and the Office of Academic Affairs.

Until Fall 2005, UW-Oshkosh did not have a policy on emeritus faculty as part of its personnel rules. Regarding the absence of any policy as a lacuna in its set of faculty rules, the Faculty Senate developed one, which recognizes that UW-Oshkosh's emeritus faculty and academic staff represent a valuable resource for the University. The policy describes the types of privileges, support, and resources available to all emeritus faculty and academic staff.

REQUESTED ACTION

Approval of Resolution I.1.d.(2)., approving the amendments to the UW-Oshkosh Faculty Personnel Rules.

DISCUSSION AND RECOMMENDATIONS

UW System Administration recommends approval of these revisions.

January 10, 2006

TO: Kevin P. Reilly, President
UW System

FROM: Richard H. Wells
Chancellor

RE: Modifications to the Personnel Rules

The attached modifications to the UW Oshkosh Personnel Rules are enclosed for the review and approval of the Board of Regents at their February 2006 meeting.

Please contact me with any questions or concerns. I appreciate your consideration of this matter.

Enc. Emeritus Status Policy

Cc: Lane Earns, Provost and Vice Chancellor
Barbara Rau, Interim Associate Vice chancellor
James Simmons, President, Faculty Senate
Jane Wypiszynski, President, Senate of Academic Staff

Approved by UW Oshkosh Faculty Senate on October 11, 2005 (FS0506-09)
Approved by Senate of Academic Staff on November 3, 2005
Approved by Chancellor Richard Wells on December 22, 2005

GEN 3.B.18. Emeritus Status. (Approval pending from UW System Board of Regents.)

(1) Granting of Emeritus Status

(a) Faculty: Emeritus status may be granted to retired faculty upon the recommendation of the department, Dean, and Provost and approval of the Chancellor. Instructor, Assistant Professor, Associate Professor, or Professor Emeritus status will be granted corresponding to the faculty rank at retirement. Normal criteria for emeritus status will be:

1. Permanent employment with UW Oshkosh and eligibility to activate annuities for the Wisconsin Retirement System.
2. Tenured status at the UW Oshkosh and at least 10 years service within the UW System.
3. A record of distinguished service, and the expectation of continued contributions to the discipline and the University.

(b) Academic Staff: Emeritus status may be granted to retired academic staff upon the recommendation of the department and Dean, or the administrative unit administrator, Provost, and approval of the Chancellor. Normal criteria for emeritus status will be:

1. Permanent employment with UW Oshkosh and eligibility to activate annuities for the Wisconsin Retirement system.
2. Ten years of service in collegiate education. Emeritus status may be considered for others upon recommendation of the Dean or area administrator for outstanding service.
3. A record of distinguished service, and the expectation of continued contributions to the University.

(2) A list of the privileges associated with Emeritus status will be made available to employees through the provost's page on the university's web site (<http://www.uwosh.edu/provost/>) and other appropriate means.

(3) Responsibilities of Emeritus Status

- (a) Emeritus faculty and academic staff must comply with appropriate State, UW System, and UW Oshkosh rules, including those governing the use of University facilities and conduct on University property.
- (b) When emeritus faculty and academic staff identify themselves as members of the University community, they shall act within their area of professional competence and consider the potential impact of their actions on the reputation and welfare of the University.

Emeritus faculty and academic staff status may be revoked at the discretion of the Chancellor.

University of Wisconsin System Policy on
The Role of Resident Assistants in Residence Halls

EDUCATION COMMITTEE

Resolution I.1.e.:

That, upon recommendation of the President of the University of Wisconsin System, the Board of Regents approves the following, UW System Policy on the Role of Resident Assistants in Residence Halls:

Resident Assistants (RAs) are expected to work with student residents to create an open, inclusive, and supportive residential community. At the same time, because RAs are students themselves, they are encouraged to participate in campus activities and organizations. As such, RAs may participate in, organize, and lead any meetings or other activities, within their rooms, floors or residence halls, or anywhere else on campus, to the same extent as other students. However, they may not use their positions to inappropriately influence, pressure, or coerce student residents to attend or participate.

**RECOMMENDATION FOR A UNIVERSITY OF WISCONSIN SYSTEM
POLICY ON THE ROLE OF RESIDENT ASSISTANTS
IN RESIDENCE HALLS**

EXECUTIVE SUMMARY

BACKGROUND

In December 2005, University of Wisconsin President Kevin Reilly convened the Resident Assistant (RA) Working Group to recommend a set of principles that would guide the Board of Regents and the President in establishing a systemwide policy on the role of RAs in the residence halls in which they work, and in the campus community at large. President Reilly convened the Group to consider the role of RAs in residence halls where they live and work, the relationship of RA activities to the wider educational experiences we offer our students, and the expectations placed on RAs as university employees. The Working Group was composed of university housing experts, chief student affairs officers, and students from institutions throughout the System.

In early January 2006, the Working Group recommended a set of principles on RA activities that were used in developing the systemwide policy recommended by President Reilly below. In order to solicit public opinion on the proposed principles, a web-based forum was set up, on which hundreds of comments were posted reflecting a broad spectrum of views on the appropriate role of the RA.

REQUESTED ACTION

Approval of Resolution I.1.e., endorsing the UW System policy on the role of Resident Assistants in residence halls.

DISCUSSION

In developing the set of principles on RA activities, the Working Group examined existing rules and regulations to which RAs are subject in their dual capacities as employees and students of UW institutions. RAs, like other university employees, are subject to certain restrictions on their speech or activities to the extent that they are inconsistent with their assigned roles. At the same time, RAs are students who, in addition to being university employees, are entitled to receive a comprehensive education and take full advantage of all that their campuses have to offer. Many RAs are campus leaders whose energy and influence spreads well beyond the residence halls in which they live and work, enhancing the educational environment of the entire campus community. The Working Group concluded that, as long as RAs do not overstep legal and ethical bounds, they should remain free to pursue their own educational and social development through involvement in both on-campus and off-campus groups, to the same extent as every other student.

After thorough consideration and review of the RA Working Group's Final Report (attached), its recommended principles, and the public comments on them, President Reilly recommends the following policy for adoption by the Board of Regents:

Resident Assistants (RAs) are expected to work with student residents to create an open, inclusive, and supportive residential community. At the same time, because RAs are students themselves, they are encouraged to participate in campus activities and organizations. As such, RAs may participate in, organize, and lead any meetings or other activities, within their rooms, floors or residence halls, or anywhere else on campus, to the same extent as other students. However, they may not use their positions to inappropriately influence, pressure, or coerce student residents to attend or participate.

President Reilly further recommends that each institution provide appropriate information and training to all RAs. Each institution will also be asked to establish a complaint process, or adapt an existing one, by which any student can seek redress if he or she believes an RA acts in any manner contrary to the systemwide policy.

RECOMMENDATION

UW System Administration recommends approval of Resolution I.1.e., endorsing the UW System policy on the role of Resident Assistants in residence halls.

RELATED POLICIES

None.

Recommendation by UW System President Kevin Reilly for a UW System Policy on Resident Assistants

Last December, I convened a Resident Assistant (RA) Working Group of university housing experts, chief student affairs officers and students from around the System to recommend a set of principles to guide the Board of Regents and me in establishing a System-wide policy on the role of RAs in the residence halls in which they work, and in the campus community at large. The report of the group, including these principles, is attached. Through posting the principles on a website, we have received hundreds of comments reflecting a broad spectrum of views on the appropriate role of the RA.

I was not surprised at the range of responses given the complexity of the RA role, and the variety of environments in which our RAs work across the System. I am aware that simply issuing a System policy on the role of RAs will not bring a halt to all debate on the issue, or address every situation that arises between an RA and another student on every UW campus. Nevertheless, I believe it is in the interest of our students and the RAs themselves to issue a System-wide policy that provides direction for individual campuses in their employment relationship with RAs.

As I considered what the policy should be, I recognized, as the RA Working Group did, that we are not starting from scratch in creating a System policy. There are existing rules and regulations that affect RAs in their dual capacities of employees and students of our universities. RAs, like other university employees, are subject to certain restrictions on their speech or activities to the extent that they are inconsistent with their assigned roles. The following are examples of such restrictions:

- Civil rights laws and Board of Regents' policy preclude employees from engaging in speech that creates a discriminatory or hostile working environment for co-employees or for students (Sections 111.321 and 36.12, Wisconsin Statutes; Regent Policy Document 92-3).
- Ch. UWS 18 of the Wisconsin Administrative Code includes prohibitions on certain peddling, soliciting, picketing and other activities that may obstruct access to university lands or buildings, raise safety concerns, or otherwise interfere with instruction, research, or campus administration.
- Chapter 11 of the Wisconsin Statutes restricts of certain kinds of political speech by university employees.
- Although all employees are free to exercise their religious beliefs consistently with constitutional requirements, university employees may not proselytize or otherwise exercise their religious beliefs in the workplace in a way that would imply that the university, as their employer, endorses a particular religious viewpoint in violation of the Establishment Clause of the United States Constitution.

At the same time, we must not lose sight of the fact that RAs are students who, in addition to being university employees, are entitled to receive a comprehensive education and take full advantage of all our campuses have to offer. As long as RAs do not overstep legal and ethical bounds, they should remain free to pursue their own educational and social development through involvement in both on-campus and off-campus groups, to the same extent as every other student. Moreover, many RAs are campus leaders whose energy and influence spreads well beyond the residence halls in which they live and work, enhancing the educational environment of the entire campus community. Therefore, the leadership abilities of our RAs must be recognized and embraced, not inhibited, by System policy.

After thorough consideration and review of the RA Working Group's Final Report, its recommended principles, and the public comments on them, I am recommending that the Board adopt the following policy:

Resident Assistants are expected to work with student residents to create an open, inclusive, and supportive residential community. At the same time, because RAs are students themselves, they are encouraged to participate in campus activities and organizations. As such, RAs may participate in, organize, and lead any meetings or other activities, within their rooms, floors or residence halls or anywhere else on campus, to the same extent as other students. However, they may not use their position to inappropriately influence, pressure, or coerce student residents to attend or participate.

To effectuate the policy, I direct each institution to provide appropriate information and training to all RAs. Moreover, each institution shall establish a complaint process, or adapt an existing process, by which any student can seek redress if he or she believes an RA acts in any manner contrary to the above policy.

Resident Assistant Working Group Final Report January 11, 2006

Introduction and Background

The Resident Assistant (RA) Working Group was convened to consider the degree of influence RAs exercise within the residence halls, their responsibility for providing an open, inclusive, and supportive environment for all students who live in residence halls, and RAs' own personal interests in being engaged and active participants in campus life. This examination was to include consideration of the role of RAs in residence life programs, the relationship of RA activities to the wider educational experiences we offer our students, and the expectations placed on RAs as university employees. Specifically, the Working Group was asked to recommend principles about the RA role that could provide the basis for a systemwide policy on this issue.

Description and Background Information on the Resident Assistant Position

The Working Group believed that an understanding of the RA position was necessary to understanding the principles that should, in part, define the RA's role and relationship with student residents and the university. The RA occupies a unique position at a university, different from other university employees. For example, unlike other employees, RAs live with the residents with whom they work. As such, their room is both a personal space and a work space. Also, unlike other employees, RAs must be prepared to fulfill employment-related responsibilities any time they are in their room or residence hall. These responsibilities include being available to residents to provide advice and support, and to deal with resident problems and issues. In order to do this effectively, RAs must be available and approachable to student residents. Another unique feature of the RA position is the leadership and support relationship that they have with student residents. In this role, they may have a very influential impact on the development of student residents. At the same time, it is important to remember that RAs are students themselves, pursuing their own educational and social development.

Current Practices among UW System Institutions

The Working Group found that there is general agreement among UW System institutions in how they define the rights and responsibilities of RAs. In particular, there is agreement that RAs can participate in, organize, or lead meetings as long as they don't use their position to inappropriately influence, pressure, or coerce student residents to attend. The determination of where the meetings may be held has been left to the discretion of the individual institutions.

Principles of Resident Assistant Rights and Responsibilities

As a marketplace of ideas and free expression, the University supports the full expression of free speech and other rights of RAs as it does with all employees and students. The University also respects the rights of individuals, including employees, to have their own belief system and protects their rights for personal value exploration. In this context and in consideration of the unique position held by the RAs, the following principles should guide development of policies pertaining to the RA role.

Principles

- I. RAs are expected to work with student residents to create an open, inclusive, and supportive residential community.
- II. RAs are expected to encourage student engagement in campus activities and organizations, and to promote opportunities for student residents to explore their values and beliefs.
- III. Because the University encourages student engagement in campus activities and organizations as an essential component of students' educational experience, and because individuals holding RA positions are students, RAs are encouraged to participate in campus activities and organizations.
- IV. The University, as employer, has the right to establish reasonable restrictions on RA activities as a condition of employment, due to the unique requirements of the RA position.
- V. RAs should not use their position to inappropriately influence, pressure, or coerce student residents.

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UNIVERSITY OF WISCONSIN SYSTEM WAUKESHA STUDY INITIAL REPORT

EXECUTIVE SUMMARY

BACKGROUND

In Fall 2005, the University of Wisconsin System began a review of the growing higher education and research needs of Waukesha County. The review was designed to help the UW System determine how best to provide more courses, more baccalaureate programs, a research presence, and additional educational services in the Waukesha community. It sought the involvement of many partners from the region, and focused on adding value for the students and citizens of Waukesha County, the greater Milwaukee area, and the state of Wisconsin.

The review was precipitated by intense discussion that took place in the Waukesha community and the State Legislature regarding the needs and opportunities for developing the emerging knowledge economy in Waukesha County. One proposed means for promoting development, enacted by the Legislature, but vetoed by the Governor, was to merge UW-Waukesha, a campus of the UW Colleges, into UW-Milwaukee.

Responding to the Governor's charge that the University of Wisconsin System Board of Regents review the matter, UW System President Kevin Reilly requested that Regent Michael Spector, then-Interim Chancellor Cleek of the UW Colleges, Chancellor Carlos Santiago of UW-Milwaukee and UW System Executive Senior Vice President Donald Mash review the issues raised by the Governor in direct consultation with a broad range of leaders in the Milwaukee-Waukesha metropolitan community. President Reilly charged Dr. Mash with convening the Waukesha Study Steering Group and directing study activities. The charge included identifying ways to: (1) increase the number of baccalaureate degree holders in the Waukesha area, while maintaining access and affordability of undergraduate education; (2) increase graduate programs; and (3) create a research presence in Waukesha.

In September 2005 Dr. Mash convened the Waukesha Study Steering Group and initiated a process of inquiry and consultation with political, economic, and education leaders of Waukesha County regarding the higher education needs of the area and the role that the UW System would play. The results of that process are included in the UW System Waukesha Study Initial Report. At its March 2006 meeting, the Education Committee of the Board of Regents will be asked to undertake preliminary review and discussion of the Study's Initial Report. The Committee will also be briefed on follow-up discussion among Study Steering Group members and Waukesha leaders.

REQUESTED ACTION

For information purposes only; no action requested at this time.

DISCUSSION

The UW System Waukesha Study Initial Report presents a complex portrait of the challenges in meeting President Reilly's charge to the Waukesha Study Steering Group. The Report undertakes a review of the following factors: UW Colleges financing; existing Degree Programs and Collaborations at UW-Waukesha; preliminary exploration of expanded UW-Waukesha and UW-Milwaukee collaboration; initial findings regarding community values and vision for the growth of the Waukesha region; the identification of needs and expectations; the identification of needs and opportunities for degree program development; UW-Milwaukee's strategic vision; the development of high-tech research in the area; financial concerns of and contributions by both the City and the County of Waukesha; and the identification of next steps.

RELATED POLICIES

There are no related policies.

UNIVERSITY OF WISCONSIN SYSTEM

WAUKESHA STUDY STEERING GROUP

February 9, 2006 Meeting

Discussion Summary

Our challenges are not unique in the United States. We have an opportunity to develop a model that is a 21st century solution which can be a national center of excellence. In responding to the needs and opportunities of Waukesha, we should:

- Create a partnership model
- Merge higher education planning and development with economic development
- Fully exploit the possibilities of virtual learning
- Break down physical and institutional boundaries between educational institutions and the workplace
- Develop new curricular and pedagogical approaches to integrate problem-solving skills of the liberal arts and continuous learning in applied professions
- Build-in assessment of learning up-front
- Cultivate the university presence as a quality of life attraction to recruit and retain high-end workers

PLANNING ASSUMPTIONS FOR EXPANDING UW ACTIVITIES IN WAUKESHA:

- The gateway function that UW-Waukesha provides to UW baccalaureate education must be preserved, including low tuition and flexible admission policies.
- Waukesha County support of the campus in its current form is an essential base for bringing more advanced level higher education programming to the area.
- There must be a coordinating structure to plan and direct activities, in order to transition to a university center model.
- Planning the future of UW activities in the Waukesha area must take place within the context of the 7-county region of southeastern Wisconsin and involve all higher education institutions willing and able to contribute.
- Planning and support for a research presence in the Waukesha area are linked to the educational activities, but must be addressed separately and connected to business development.

Update for Discussion with Waukesha County Leaders on February 23:

- The UW System wants to move ahead in its efforts to add value to the educational programs currently available in Waukesha. Good stewardship requires that we proceed in a business-like way of developing product in a cost-effective manner that is tailored to demonstrated market needs.
- We are proceeding with a continuous “win-win-win” philosophy: taking action that is immediately possible and building capacity for the immediate & long-term, while addressing financial and governance issues with due diligence.
- The needs and expectations identified through initial study activities are linked, each has particular qualities of mission, market, targeted outcomes, professional personnel, and funding model. The five are:
 - (1) **Gateway programs to the baccalaureate degree**, currently offered by UW-Waukesha & WCTC.
 - (2) **Non-credit, continuing education training.** These services are currently available through diverse providers, such as those brokered through Waukesha County Workforce Development Center, delivered by WCTC, UWEX and for-profit companies.
 - (3) **Expanded bachelor degree offerings.** Labor market studies conducted by the Wisconsin Department of Workforce Development point to increased demand for bachelor degree-prepared employees, and UWEX is working to identify degree majors, preferred delivery, and alignment with employer business plans.
 - (4) **Expanded graduate study opportunities:**
 - a. **Masters degrees.** Study opportunities that are tailored to the employee development needs of area employers, through innovative delivery systems and/or new degree offerings were identified as a means of attracting new employers and serving existing technology leaders in the community. Additional study is needed to identify specific needs and opportunities.
 - b. **Provide greater access to doctoral programs.** Though doctoral coursework may be more difficult to offer using non-traditional settings or media, expanding the pool of Ph.D. holders was an expressed goal of economic development.
 - (5) **Create a “research center of excellence”** (as described by the Wisconsin Technology Council in its “Vision 2020” report) to bring higher education, research, and business sectors together for creative activity.

2006 Activities:

Existing Programs

The following degree programs are offered at UW-Waukesha in partnership with other UW institutions:

- B.A. in Organizational Administration (UW-Milwaukee)
- B.A. in Communications (UW-Milwaukee)
- B.S. in Information Resources (UW-Milwaukee)
- M.B.A. (UW-Milwaukee)
- M.B.A. (UW-Whitewater)

UW-Milwaukee has articulation agreements with the Waukesha County Technical College (WCTC) that lead to baccalaureate degrees in the following fields:

- Child Care & Development; Early Childhood Education
- Industrial Occupations Division; Computer Science Engineering
- Police Science; Criminal Justice
- Alcohol & Other Drug Abuse (AODA); Social Work
- Nursing
- Supervisory Management

New Developments

- UWC-UWEX Adult Student Initiative fast-tracked for Waukesha – fall 2006 implementation – market to individuals identified in UWC & UWEX surveys; expand community recruitment, advising services.
- UWEX study of business needs.
- Continue community dialogue and university center model planning.
- UWM \$300 million research funding initiative underway to build institutional infrastructure.



UNIVERSITY OF WISCONSIN SYSTEM
WAUKESHA STUDY

Initial Report

January 31, 2006

UNIVERSITY OF WISCONSIN SYSTEM

WAUKESHA STUDY

Initial Report

January 31, 2006

Introduction

In 2005 intense discussion took place in the Waukesha community and the State Legislature regarding the needs and opportunities for developing the emerging knowledge economy in the County. One proposed means for promoting development, enacted by the Legislature, but vetoed by the Governor, was to merge UW-Waukesha, a campus of the UW Colleges, into UW-Milwaukee. Responding to the Governor's charge that the University of Wisconsin System Board of Regents review the matter, UW System President Kevin Reilly requested that Regent Michael Spector, then-Interim Chancellor Cleek of the UW Colleges, Chancellor Carlos Santiago of UW-Milwaukee and UW System Executive Senior Vice President Donald Mash review the issues raised by the Governor in direct consultation with a broad range of leaders in the Milwaukee-Waukesha metropolitan community. President Reilly charged Dr. Mash with convening the Waukesha Study Steering Group and directing study activities.

In September 2005 Dr. Mash convened the Waukesha Study Steering Group in conjunction with the West Bend Regent meeting and initiated a process of inquiry and consultation with political, economic, and education leaders of Waukesha County regarding the higher education needs of the area and the role that the UW System would play. In requesting an opportunity to consult with local leaders, Dr. Mash affirmed the purpose of the Study:

We are attempting to look at this matter broadly in order to prepare information for the Board of Regents' review and discussion. The UW System wants to determine how best to provide more course offerings, degree programs, research capacity and service in Waukesha and to do so as effectively and efficiently as possible. Succeeding at this will add value to the County, the Milwaukee metropolitan area, and the state.

Study Approach

To ensure that the goals of the community would be clearly understood by University of Wisconsin System leaders and that due diligence would be exercised in making any changes to the UW institutional organization, Dr. Mash initiated a strategic planning approach which focused on identifying the needs and expectations of the Waukesha community. If the needs and expectations, and perhaps a vision for the future, could be articulated by community leaders with some level of consensus, it could guide the response of the UW System. Given the complexity of institutional change in public higher education and the challenge of delivering more services while state and local support for the University of Wisconsin System

is diminishing, the vision must of necessity be far-sighted, looking to both long-term change (2010 and beyond) and the steps required to achieve broad goals.

Study Organization and Consultations

Dr. Mash traveled to Waukesha on three occasions to meet with local leaders and UW-Waukesha campus representatives. He has consulted with members of the legislative delegation and Waukesha community members individually, in person and by telephone. Working on behalf of the Study Steering group and at Dr. Mash's direction, Jane Crisler, [then] Interim Dean and CEO of UW-Waukesha also consulted with a range of individuals and groups. (See Attachment 1 for listing of consultations.)

To support communication and information-sharing related to the Waukesha Study, the UW System Administration created a website, <http://www.wisconsin.edu/waukesha>. Reports and study materials have been and will continue to be posted on the site.

The Complexity of the Challenge

In pursuing President Reilly's charge to identify ways to (1) increase the number of baccalaureate degree holders in the Waukesha area, while maintaining access and affordability of undergraduate education; (2) increase graduate programs; and (3) create a research presence on the UW-Waukesha campus, the complexity of the matter became apparent. Achieving the stated goals requires the principals to understand and address: (1) the economic and cultural climate of Wisconsin, especially factors that affect retention and attraction of college graduates (commonly referred to as "the brain drain"); (2) economic development activities that are based upon the intellectual capital created by the UW System, but extend beyond the university's boundaries to include the business and non-profit sectors; and (3) public policy, especially fiscal policy affecting public support of the UW System through legislative appropriations, and county support of the UW Colleges. All of these issues, including the challenge of UW tuition increases that is currently being addressed by the Board of Regents, are state-wide and inextricably connected. In addition, there is a range of considerations that pertain to the UW System: state control of administrative, personnel and fiscal operations (including capital planning), and institutional mission and the accreditation, admission policies, personnel rules, and cost-structures associated with the current organization.

The challenge of offering expanded baccalaureate degree opportunities to fulfill the promise of the State's economic development potential is particularly difficult when State support for the University is declining and students must assume a higher percentage of the cost of their instruction. When the UW System was created, approximately half of its budget was funded through General Purpose Revenue (GPR), the combination of state tax and tuition revenues. The percentage has declined from 49.91% in 1973-74 to 25.16% in 2005-06. In the six-year period of 2001-02 to 2006-07, the University of Wisconsin System budget was reduced by approximately \$400 million. Though the state economy is growing, the University does not anticipate restoration of the budget reductions in the foreseeable future.

UW Colleges Financing

The public financing of the thirteen UW Colleges campuses differs from that of the eleven comprehensive and two doctoral campuses within the UW System. While public support of the doctoral and comprehensive campuses is provided by the State through legislative appropriation (tax and student tuition revenues) and the bonding authority of the State Building Commission, the UW Colleges campuses represent a partnership between the State of Wisconsin and cities and counties.

Section 66.51 Wisconsin Statutes permits cities and counties to construct higher education facilities, subject to the approval of the Board of Regents. Section 13.48(2)(f) also permits the State Building Commission to expend state building trust funds to equip such facilities. Since 1960, three cities and fourteen counties have acted under these statutory provisions in creating and maintaining the present thirteen UW Colleges.

Each municipality that participated in the creation of a UW Colleges campus entered into a long-term agreement (of at least 75 years) with the University. Although there are some variations in the terms of the agreements, they generally specify that the University is responsible for the academic program, movable equipment, physical plant services and utilities, while the municipality is responsible for repairs and improvements to the facilities. Collectively, they have constructed 75 buildings encompassing more than 2.1 million square feet of space with an insured value of over \$268 million, and have dedicated over 1,100 acres which comprise the current UW Colleges campuses. Local financial support of the thirteen campuses in 2004 totaled \$9.6 million: \$6.7 million in debt service, \$1.9 million in minor projects and \$1 million in operations. In the 2005-07 biennium, major projects underway on six UW College campuses total \$18 million in locally supported construction and \$2.95 million in movable equipment funded by the State Building Commission. These amounts include the renovation of UW-Waukesha's Northview Hall that began in January 2006 with \$3.3 million in construction costs, funded by Waukesha County and \$776,000 in movable equipment, funded by the State Building Commission. (See Attachment 2 "City and County Support for the UW Colleges 2005" and Attachment 3 "UW Colleges' Six-year Facilities Plan Overview," presentation to the State Building Commission, January 2005.)

All thirteen UW Colleges campuses are supported by their host county through the county and/or city property tax levy approved by the County Board and/or City Council (not through a separate levy, as is the case with the technical colleges). The 82-acre UW-Waukesha campus located within the City of Waukesha is supported by Waukesha County. (The County does not support the Field Station in Dousman, which is held in trust by the UW System Board of Regents, with administrative responsibility assigned to UW-Waukesha.) In 2005 the UW-Waukesha campus received an estimated \$688,390 in support from Waukesha County: \$485,783 for debt service, \$55,000 for Capital Outlay and Projects; and \$147,607 for Operations. This level of support amounts to \$1.83 per capita per year in a county with a 2004 population of 377,195. It is the lowest per capita rate of the 14 counties that support UW Colleges campuses. (The highest was Marinette County, which with a 2004 population of 43,364 contributed an average of \$15.58 per capita in support of UW-Marinette.) (See Attachment 4 "County and Municipal Support for the UW Colleges 2005.")

Degree Programs and Collaborations

UW-Waukesha is completing its 40th year as a “gateway” to baccalaureate education in the UW System. The campus is the largest of the thirteen UW Colleges campuses; the 2064 students who registered in fall 2005 represented 17% of the UW Colleges’ enrollment. The campus is located in the most populous of the counties with a UW Colleges campus: Waukesha County’s population was 377,193 residents in 2004 (2004 census data). Three-quarters of the students are residents of Waukesha County, many are employed in Waukesha County, and an estimated 60-70% are first-generation college students.

The Associates Degree of Arts and Science is the foundation of the academic program, as it fulfills the general education requirements for the baccalaureate degree within the UW System and is the foundation for students who continue their studies under the “Guaranteed Transfer Program” of the UW System. In addition, students begin the specialized curriculum of professional studies in education, business, health sciences, and engineering on the campus. Curriculum articulation agreements with UW transfer institutions insure the continuity of student program. In the decade of 1995-2005, of UW-Waukesha students who transferred to other UW campuses to continue their studies, 53% went to UW-Milwaukee, 18% to UW-Whitewater, 7% to UW-Madison, and the remaining 26% transferred to the other ten University of Wisconsin baccalaureate institutions. Students also transfer to Carroll College and other private colleges and universities within the region.

Because access to the University of Wisconsin is a primary mission of the UW Colleges, students are admitted to UW-Waukesha from all four quartiles of their graduating classes. Those who did not finish high school in the top half of their class (as is required by the UW doctoral and comprehensive universities) are often admitted with conditions for remedial education and/or special tutoring and advising through the Study Center. The campus also provides supplementary services through the federally financed TRIO program. Small class size (generally between 25 and 35 students) allows the faculty to give students individual attention.

As part of the administrative integration of the UW Colleges and UW-Extension that began in early 2005, the Increasing Bachelor’s Degree Work Group (IBWG) developed a report (completed in November 2005) that proposed six strategies and specific action plans for increasing the number of baccalaureate degrees in the state. The group examined ways in which UW-Extension can collaborate with colleagues on the thirteen UW Colleges campuses to make baccalaureate education available to nontraditional, place- or situation-bound, under prepared, and minority students who are unable to access traditional higher education programs. The recommendations are now being prioritized by the UWC/UWEX, with special attention devoted to the adult student advising initiative and Waukesha needs.

To meet its enrollment goals, especially in the context of limited dormitory space and capacity in first-year undergraduate courses, UW-Madison initiated its “Madison Connection” program in 2001, with UW-Waukesha as one of the four original campus participants. Under the program, students living in the Waukesha area who are admitted to UW-Madison begin their

studies at UW-Waukesha in a sort of dual enrollment status. However, they pay UW Colleges tuition, which is approximately \$1,000 less a semester than that of UW-Madison.

Beyond its mission of offering the UW Colleges Associates Degree curriculum on the UW-Waukesha campus, UW-Waukesha collaborates with UW-Milwaukee and UW-Whitewater to offer three bachelor-completion programs and two MBA programs in Waukesha. The undergraduate collaboration with UW-Milwaukee is administered through the Milwaukee Connections program that provides seamless service on the campus through a UWM advisor who works on site.

UW-Milwaukee has articulation agreements with the Waukesha County Technical College (WCTC) that lead to baccalaureate degrees in the following fields:

- Child Care & Development; Early Childhood Education
- Industrial Occupations Division; Computer Science Engineering
- Police Science; Criminal Justice
- Alcohol & Other Drug Abuse (AODA); Social Work
- Nursing
- Supervisory Management

Waukesha County Technical College also has articulation agreements with Milwaukee School of Engineering and Marquette University leading to the baccalaureate degree, primarily in the field of engineering. In addition, Carroll College awards a significant number of baccalaureate degrees to Waukesha-area residents, especially in nursing and education.

The many on-line degree programs developed by UW institutions are another collaboration that meets the needs of Wisconsin citizens, including those residing in Waukesha County. A list of these degrees, along with specific collaborations is included in the attachments. (See Attachment 5.)

Preliminary Exploration of Expanded UW-Waukesha and UW-Milwaukee Collaboration

In May 2005, President Reilly asked Chancellor Santiago and then-Interim Chancellor Cleek to each identify a representative to work with an individual from UW System Administration to develop potential business models for further collaboration in Waukesha. The following individuals were named:

- Andy Richards, UW-Milwaukee Director of Business Services
- Steve Wildeck, UW Colleges Vice Chancellor for Administrative Services
- Lynn Paulson, UW System Assistant Vice President for Budget and Planning

Between May 2005 and August 2005, the group met six times (in-person or by telephone) to discuss needs of each institution in serving their constituencies, and explore opportunities for further collaboration. Meetings included detailed discussions of each institution's mission and programs, resources, delivery mechanisms, space availability, and opportunities for further collaboration and programming to meet local needs.

The group identified the following specific areas for immediate consideration, with the expectation that it would continue to develop models (including funding possibilities) to further expand access to UW-Milwaukee programs in Waukesha:

- Expand UWM Continuing Education programs. The need for specific degree programs should be evaluated and offered via continuing education, either on the UW-Waukesha campus or other locations in the community.
- Expand opportunities for field study and research. Use of the 100-acre UW-Waukesha field station in the Town of Dousman should be discussed with UWM biological sciences departments for possible research and teaching collaboration. (Communication was initiated in summer 2005. UWM students conducted research in the fall semester and are completing internship and service learning activities on the facility, with assistance from the resident station director, a retired UW-Waukesha biology faculty member.)
- Development of a UWM outpost. UW-Waukesha should develop a UWM resource center which is easily accessible, visible, spacious, and adjacent to other UW-Waukesha student services. All UWM services and information should be available at this location, including advising and registration. (A UWM outpost was created at UW-Waukesha for the fall 2005 semester.)

In August, 2005 this group suspended its work and turned its findings over to the Study Steering group headed by Executive Senior Vice President Don Mash.

Initial Findings

In the initial conversations and listening sessions conducted in 2005, the Waukesha Study Steering group heard a wide variety of viewpoints from Waukesha community leaders. Though the conversations were anecdotal, common values emerged. First, leaders are committed to the long-term, strategic development of the entire community and are planning ahead at least five years, to 2010. Their vision for community development is based on economic development, but is comprehensive in scope, recognizing the need to bring all community members into a plan for prosperity. There was also an insistence that any change must add value and not be undertaken simply for the sake of effecting some change. This prudent approach to change was accompanied by a sense of urgency and creative energy. Leaders want immediate and continuous progress in expanding the educational talent bank in Waukesha County.

Waukesha leaders recognized the complex nature of the challenge to increase intellectual capital across the educational continuum – from the first year of college to the high-tech research domain, necessarily requires a commitment to collaborative leadership that crosses traditional sectors to include (1) public sector (UWS, WTCS, K-12, legislative delegation, Governor and executive branch, county and municipal elected officials); (2) private sector (business leaders); and (3) the non-profit sector (economic and community development organizations).

Identified Needs and Expectations

In discussions of program goals and needs, leaders expressed a common desire for seamless, integrated service portals that would serve both prospective students and employers. The desired development can be categorized into five areas:

- (1) **Gateway programs to the baccalaureate degree**, currently offered by UW-Waukesha & WCTC.
- (2) **Non-credit, continuing education training.** These services are currently available through diverse providers, such as those brokered through Waukesha County Workforce Development Center, delivered by WCTC, UWEX and for-profit companies.
- (3) **Expanded bachelor degree offerings.** While labor market studies conducted by the Wisconsin Department of Workforce Development point to increased demand for bachelor degree-prepared employees, additional study is needed to identify degree majors, preferred delivery, and alignment with employer business plans.
- (4) **Expanded graduate study opportunities:**
 - a. **Masters degrees.** Study opportunities that are tailored to the employee development needs of area employers, through innovative delivery systems and/or new degree offerings were identified as a means of attracting new employers and serving existing technology leaders in the community. Additional study is needed to identify specific needs and opportunities.
 - b. **Provide greater access to doctoral programs.** Though doctoral coursework may be more difficult to offer using non-traditional settings or media, expanding the pool of Ph.D. holders was an expressed goal of economic development.
- (5) **Create a “research center of excellence”** (as described by the Wisconsin Technology Council in its “Vision 2020” report) to bring researcher/high tech workers together for creative activity.

Degree Program Development Needs and Opportunities

The needs of the citizens of Waukesha County are the primary concern in developing and delivering new programs on the UW-Waukesha campus. When leaders of the Waukesha County Action Network (WCAN) Education Committee met with Dr. Mash in November 2005, they committed their organization’s resources to conducting a needs assessment, focusing on business development in the County. The result of their study and their recommendations appear in their communication of January 30, 2006 addressed to President Reilly. In her cover letter WCAN Board President Margaret Farrow recommended “the

University System implement the proposed merger of UW-Waukesha and UW-Milwaukee or expeditiously finds another model that satisfies the current and future needs of business and residents in Waukesha County.” (See Attachment 6.)

UW-Milwaukee Strategic Vision

The Waukesha area is key to UWM's strategic vision as a major research university for Southeast Wisconsin that also provides extensive access to students in the metropolitan Milwaukee and Waukesha areas. UWM sees these strategic planning pillars as essential for the economic development of Southeast Wisconsin, on which the entire state's economic future depends.

In the area of research, UWM's programmatic array and faculty scholarship align particularly well with Waukesha community interests in the areas of imaging, medical informatics, engineering and public health. Developing new research partnerships, such as those existing with GE Medical and Aurora Health Care as part of the Wisconsin Initiative for Health and Biomedical Technologies, have the potential to contribute to a growing research and development infrastructure in Waukesha. The potential for product development and business startups from collaborative research will contribute to economic and workforce development in the area. The presence of a research university focused on building research capacity in science and engineering will serve as a catalyst for economic development in Waukesha County—something that the entire Southeast Wisconsin region desperately needs in the era of the knowledge-based economy.

UWM academic program development, in addition to those programs already offered in concert between UWM and both UW-Waukesha and Waukesha County Technical College, would benefit Waukesha's needs especially in education, graduate and undergraduate degrees in science and engineering, health fields, and business.

UWM's ability to meet both its research goals and the demand for enrollment is greatly restricted by the limitations of its current physical plant. Substantial numbers of UWM students are Waukesha County residents (over 3400 in 2003-04). A closer alliance that enables students in the Waukesha area to attend UWM, with its breadth of programs and infusion of research faculty, would greatly benefit the Waukesha area while also enabling UWM to continue to expand its access.

High-Tech Research Development

The report published by the Wisconsin Technology Council in January 2006, “Vision 2020: A Model Wisconsin Economy” outlines an approach to development that can be applied in Waukesha County to utilize the potential of UW-Waukesha’s presence and location. UW-Waukesha is listed as an educational institution in the “Kettle County Region” and can participate in the workforce development and the research center of excellence development activities.

Waukesha County

In consultations with Waukesha County officials, concern was expressed for lowering the property tax levy. They stated that by making baccalaureate education available on its campus, UW-Waukesha has changed its original mission and, therefore, financial support should be assumed by the state. In this regard, County officials raised the question of “fairness” regarding local support of UW baccalaureate education, when it is not provided in 58 counties (i.e., those that do not host a UW Colleges campus). County Executive Dan Vrakas and his staff repeatedly stressed the need to increase service levels, especially the number of bachelor degree-holders, while limiting costs through greater collaboration among higher education institutions and operating efficiencies. In his letter of January 30, 2006, Mr. Vrakas endorsed the merger of UW-Waukesha and UW-Milwaukee, and requested that the County be included in development discussions. (See Attachment 7.)

Dr. Mash and Dr. Crisler addressed the Waukesha County Board of Supervisors at its meeting on December 13, 2005 to give a general campus update and to explain the purpose of the Waukesha Study. During the question and answer period that followed, they heard a wide range of opinion regarding the campus, its value to the County and future development. Supervisors expressed support for the present model, advocated divesting the campus from the County through merger with UW-Milwaukee, and expressed concern that public support for education be analyzed comprehensively to include all taxes (federal, state, and local). One supervisor suggested that the issues regarding higher education are statewide and should be addressed in that context, rather than just focusing on Waukesha County.

City of Waukesha

Though the City of Waukesha does not contribute direct budgetary support to the UW-Waukesha campus, it does provide substantial in-kind support through municipal services. The campus is located within the limits of the City and the City provides fire and police protection (the campus has no campus security force; only one part-time parking attendant), and the City’s mass transit system serves the UW-Waukesha and Waukesha County Technical College (WCTC) campuses.

Note: The City receives reimbursement from the State through the Payment for Municipal Services (PMS) program for fire and police protection, because the UW-Waukesha campus is tax-exempt property. The amount of the reimbursement is based upon the valuation of the property. The State reimbursement formula rarely covers actual costs (in 2005 payments covered 88% of actual costs) and, because the formula is based upon the valuation of the property, payment to the municipality would not increase if the campus were used more intensively; only if the value of capital facility were increased through new construction or substantial renovation.

The 82-acre UW-Waukesha campus is bounded on all four sides by residential neighborhoods. Both City officials (the Mayor and Council members) and neighborhood representatives have expressed concern about further development and/or more intensive use of the campus. While they are not categorically opposed to development and welcome the

opportunity for area residents to earn the baccalaureate and advanced degrees on the UW-Waukesha campus, they insist that the City be included in planning discussions so that development can occur within the City's planning process, which involves substantial citizen consultation. All parties are concerned about increased pressure on City services (e.g., fire and police protection, mass transit, transportation infrastructure, water and sewerage utilities), and the value of surrounding residential property. City officials anticipate that the most immediate impact would be that of increased traffic to the campus, because it would affect both the physical infrastructure of roads (and traffic lights) and the quality of life for residential neighbors.

The Mayor and City council members expressed more general concern about two issues: (1) the potential cost that the expansion of facilities and services offered on the campus might impose upon City residents through increased pressure on City and/or County property tax assessments, State income tax, or direct educational costs (tuition) for Waukesha residents who attend UW-Waukesha, and (2) quality and access to UW education. The campus is regarded as an asset to the community, especially its economic and community development. Both the Mayor and Council members repeatedly expressed support for the current model of education provided by UW-Waukesha and want to see it preserved as development plans evolve.

Next Steps

Through the needs assessment process undertaken by the Study Steering group, UW leaders can identify immediate, intermediate and longer-range actions that can be implemented within the existing organizations and bring direct service benefits to the community to support promising development opportunities.

Using feedback from new initiatives and continuing consultation with stakeholders in the public and private sectors, UW leaders can develop the institutional infrastructure in an evolutionary way that most effectively facilitates emerging service needs and opportunities. For example, an immediate step could be increased service to the Waukesha area by existing UW institutions, accomplished through reallocation or refocusing of resources and/or cost-recovery program financing. An intermediary step might be to create a "university center" as a collaborative organizational model. Based upon these experiences, institutional merger of UW-Waukesha into UW-Milwaukee could be evaluated according to its functional utility at a later point in time.

By adopting a comprehensive strategic approach to institutional development, the UW System can build models that are custom-tailored to meet public needs and are adaptive. The evolutionary approach also allows due diligence to be performed in considering changes to institutional structure, so that decision-making is both informed and the University System responsibly stewards the resources entrusted to it by the public. The approach also aligns university program development with the available resource base of public and private support.

Dr. Mash, members of the Study Steering group, and UW System staff are prepared to pursue additional information required by President Reilly and the Board of Regents. While the UW System Board of Regents evaluates the background material and findings included in this initial report, the Study Steering group will discuss the needs assessment conducted by the Waukesha County Action Network with that group and other community leaders, including the County Executive, as appropriate.

ATTACHMENTS

- 1 List of individuals and organizations consulted by Executive Senior Vice President Don Mash.
- 2 “City and County Financial Support for the UW Colleges 2005.”
- 3 “UW Colleges’ Six-year Facilities Plan Overview,” presentation to the State Building Commission (January 2005).
- 4 “County and Municipal Support for the UW Colleges 2005.”
- 5 UW Collaborative and On-line Degree programs.
- 6 Letter from Margaret Farrow, President, Waukesha County Action Network and accompanying “Waukesha County Higher Education Review,” January 30, 2006.
- 7 Letter from Waukesha County Executive Dan Vrakas, January 30, 2006.

Attachment 1

UW SYSTEM WAUKESHA STUDY

Consultations in Waukesha by Donald Mash, UWS Executive Senior Vice President

October 21, 2005

Waukesha Health Study Press Conference – Conversations with Senator Ted Kanavas, Waukesha Mayor Carol Lombardi, and Tom Hefty.

Waukesha County Action Network – Meeting with Chair Margaret Farrow, Education Committee Chair Bronson Haase, and Executive Director Carla Rutley.

Waukesha County Executive Awards Luncheon, joined by Regent Michael Spector for conversation with David Schmidt, Superintendent of School District of Waukesha, introduced to Carol Brown, President, Waukesha County Technical College.

UW-Waukesha Colloquium joined by Regent Michael Spector for general discussion about the purpose of the Waukesha Study.

November 29, 2005

Waukesha County Officials:

Waukesha County Executive Dan Vrakas
Waukesha County Executive Chief of Staff Jennifer Finley
Waukesha County Board Chair Jim Dwyer
Waukesha County Legislative Analyst David Krahn

Waukesha County Action Network (WCAN) leaders:

Margaret Farrow, Chair
Bronson Haase, Education Chair
Carla Rutley, Executive Director

Community Leaders:

Jayne Thoma, Executive Director, Waukesha United Way
William Baumgart, President, Waukesha School Board and workforce development professional
Waukesha Mayor Carol Lombardi

December 13, 2005

Waukesha County Board of Supervisors – addressed meeting and answered questions.

January 10, 2006

Waukesha County Technical College Board of Directors (Jane Crisler and Patrick Schmitt on behalf of Dr. Mash)

January 17, 2006

Waukesha City Common Council (Jane Crisler and Patrick Schmitt on behalf of Dr. Mash)

Other: Phone conversations with Senator Ted Kanavas, Representative Scott Jensen, and Tom Hefty.

City and County Financial Support

for the University of Wisconsin Colleges

2005



INTRODUCTION

Section 66.51 Wisconsin Statutes permits cities and counties to construct higher education facilities, subject to the approval of the Board of Regents. Section 13.48(2)(f) also permits the State Building Commission to expend state building trust funds to equip such facilities. Since 1960, three cities and fourteen counties have acted under these statutory provisions in creating and maintaining the present thirteen UW Colleges.

Each municipality that participated in the creation of a UW College entered into a long-term agreement (of at least 75 years) with the UW System. Although there are some variations in the terms of the agreements, they generally specify that the UW System is responsible for the academic program, movable equipment, physical plant services and utilities, while the municipality is responsible for repairs and improvements to the facilities.

The information contained in this report describes the financial commitment that municipalities across the state are making on behalf of the UW Colleges and the UW System in bringing higher education to the citizens of their area. Collectively, they have constructed more than 2.1 million square feet of space with an insured value of over \$268 million.

It is our hope that an annual report of this type will be helpful to Regents, University administrators, County Board Supervisors and City Council members who participate in the unique partnership called the UW Colleges.

September, 2005

**OWNERSHIP AND INSURED VALUE
OF FACILITIES
2005**

| <u>UW COLLEGE</u> | <u>OWNERSHIP</u> | <u>INSURED VALUE</u> | <u>OUTSTANDING DEBT</u> |
|------------------------|----------------------------------------|----------------------|-----------------------------|
| Baraboo/Sauk County | City of Baraboo Sauk County | \$16,272,662 | \$1,010,000 |
| Barron County | Barron County | \$12,578,944 | \$0 |
| Fond du Lac | Fond du Lac County | \$22,377,205 | \$10,362,681 |
| Fox Valley | Outagamie County Winnebago County | \$25,001,073 | \$12,729,080 |
| Manitowoc | Manitowoc County | \$10,121,691 | \$3,433,554 |
| Marathon County | Marathon County | \$42,063,429 | \$0 |
| Marinette | Marinette County | \$18,106,663 | \$2,250,000 |
| Marshfield/Wood County | City of Marshfield Wood County | \$16,128,900 | \$419,229 |
| Richland | Richland County | \$11,343,720 | \$1,255,000 |
| Rock County | Rock County | \$13,799,128 | \$1,317,620 |
| Sheboygan | Sheboygan County | \$26,604,630 | \$5,991,310 |
| Washington County | City of West Bend Washington County | \$22,356,991 | \$4,040,382 |
| Waukesha | Waukesha County | \$32,222,497 | *\$1,304,651 |
| TOTALS | | \$268,977,533 | \$44,113,507 |

**Waukesha County does not track bonding by project, so the outstanding debt figure is an estimate*

MAJOR 2005 CITY/COUNTY & STATE PARTNERSHIP PROJECTS

UW-Barron County is in final stages of design for an estimated \$6.5 million project to renovate and expand the science laboratory and administration buildings. Construction bids will be received by October 1, 2005, with construction beginning in January, 2006. The State will be providing support of \$850,000 in 2005-07 for moveable equipment and furniture.

UW-Marshfield/Wood County is in the final stages of a \$300,000 renovation of the campus library with completion expected later in fall semester, 2005. The State will be providing \$210,000 for moveable equipment and furnishings.

UW-Marathon County's Fieldhouse remodeling began in 2004 with replacement of the roof, and extensive internal renovation is being completed in 2005. The project budget was increased from about \$821,000 to \$1,259,000 to allow for a broader range of improvements, including the addition of a classroom. Occupancy is anticipated for fall, 2005. The State is providing \$142,000 for moveable equipment and furniture.

UW-Waukesha has resumed the design process of a \$3.3 million laboratory and classroom building renovation project. This project will refurbish three biology laboratories, numerous general purpose classrooms, specialized classrooms for anthropology, and several faculty offices. In addition, this project will also remodel the largest lecture hall on campus with new seating and video projection capabilities. Occupancy is scheduled for fall semester, 2006. The State is providing a total of \$776,000 for moveable equipment and furnishings during the 2003-05 and 2005-07 biennia.

BUDGETED CITY/COUNTY EXPENDITURES 2005

| UW COLLEGE | DEBT SERVICE | CAPITAL OUTLAY | OPERATIONS | TOTAL |
|----------------------------|--------------------|--------------------|--------------------|--------------------|
| Baraboo/Sauk County | \$154,363 | \$54,200 | \$95,800 | \$304,363 |
| Barron County | | \$142,000 | \$32,260 | \$174,260 |
| Fond du Lac | \$1,006,787 | \$102,227 | \$51,100 | \$1,160,114 |
| Fox Valley | \$702,482 | \$54,400 | \$224,084 | \$980,966 |
| Manitowoc | \$352,380 | \$41,398 | \$20,372 | \$414,150 |
| Marathon County | \$0 | \$1,070,368 | \$64,437 | \$1,134,805 |
| Marinette | \$614,700 | \$34,000 | \$26,881 | \$675,581 |
| Marshfield/ Wood County | \$675,000 | \$398,000 | \$69,355 | \$1,142,355 |
| Richland | \$53,712 | \$16,025 | \$29,575 | \$99,312 |
| Rock County | \$366,916 | \$66,100 | \$49,729 | \$482,745 |
| Sheboygan | \$689,548 | \$225,812 | \$106,981 | \$1,022,341 |
| Washington County | \$620,050 | \$13,100 | \$185,935 | \$819,085 |
| Waukesha | \$485,783 | \$55,000 | \$147,607 | *\$688,390 |
| Grand Total | \$5,721,721 | \$2,272,630 | \$1,104,116 | \$9,098,467 |

DEFINITIONS

Debt Service: The interest and principal paid in a given year on outstanding debt incurred by funding major construction and renovation projects.

Capital Outlay: Minor physical plant remodeling or improvement projects such as roof and floor replacements, sidewalk repairs and replacement of building systems such as water heaters.

Operations: Physical plant operating costs such as insurance of property, maintenance contracts, and boiler/chiller chemicals.

**Waukesha County does not track bonding by project, so the debt service figure is an estimate*

CITY/COUNTY BUDGET SUMMARY

2005
Estimated
Amount

| Debt Service | |
|---------------------------------------------------|---------------------------|
| Debt Service | \$5,721,721 |
| <i>Debt Service Total</i> | <i>\$5,721,721</i> |
| | |
| Capital Outlay & Projects | |
| Building Interior | \$88,498 |
| Exterior Buildings and Grounds | \$925,237 |
| Mechanicals/Infrastructure | \$164,555 |
| Remodeling | \$1,094,340 |
| <i>Capital Outlay & Projects Total</i> | <i>\$2,272,630</i> |
| | |
| Operations | |
| Building Interior | \$121,225 |
| Building Maintenance | \$174,100 |
| Equipment Repairs | \$20,400 |
| Exterior Buildings and Grounds | \$38,600 |
| Grounds Maintenance | \$20,000 |
| Health & Safety | \$3,900 |
| Insurance | \$216,110 |
| Maintenance Contracts | \$293,331 |
| Mechanicals/Infrastructure | \$192,155 |
| Other | \$24,295 |
| <i>Operations Total</i> | <i>\$1,104,116</i> |
| | |
| Grand Total | \$9,098,467 |

CITY/COUNTY BUDGET SUMMARY

By Campus

2005
Estimated
Amount

| Baraboo/Sauk County | |
|--------------------------------------------|------------------|
| Debt Service | |
| Debt Service | \$154,363 |
| <i>Debt Service Total</i> | \$154,363 |
| Capital Outlay & Projects | |
| Building Interior | \$14,000 |
| Exterior Buildings and Grounds | \$10,000 |
| Mechanicals/Infrastructure | \$30,200 |
| <i>Capital Outlay & Projects Total</i> | \$54,200 |
| Operations | |
| Building Maintenance | \$84,300 |
| Insurance | \$11,500 |
| <i>Operations Total</i> | \$95,800 |
| Baraboo/Sauk County Total | \$304,363 |

| Barron County | |
|--------------------------------------------|------------------|
| Capital Outlay & Projects | |
| Building Interior | \$5,000 |
| Exterior Buildings and Grounds | \$137,000 |
| <i>Capital Outlay & Projects Total</i> | \$142,000 |
| Operations | |
| Building Interior | \$10,360 |
| Grounds Maintenance | \$3,000 |
| Insurance | \$13,500 |
| Mechanicals/Infrastructure | \$5,400 |
| <i>Operations Total</i> | \$32,260 |
| Barron County Total | \$174,260 |

| Fond du Lac | |
|--------------------------------------------|--------------------|
| Debt Service | |
| Debt Service | \$1,006,787 |
| <i>Debt Service Total</i> | <i>\$1,006,787</i> |
| Capital Outlay & Projects | |
| Exterior Buildings and Grounds | \$5,000 |
| Mechanicals/Infrastructure | \$97,227 |
| <i>Capital Outlay & Projects Total</i> | <i>\$102,227</i> |
| Operations | |
| Equipment Repairs | \$6,300 |
| Exterior Buildings and Grounds | \$1,000 |
| Grounds Maintenance | \$13,000 |
| Insurance | \$30,800 |
| <i>Operations Total</i> | <i>\$51,100</i> |
| Fond du Lac Total | \$1,160,114 |

| Fox Valley | |
|--------------------------------------------|------------------|
| Debt Service | |
| Debt Service | \$702,482 |
| <i>Debt Service Total</i> | \$702,482 |
| Capital Outlay & Projects | |
| Exterior Buildings and Grounds | \$54,400 |
| <i>Capital Outlay & Projects Total</i> | \$54,400 |
| Operations | |
| Building Maintenance | \$88,600 |
| Grounds Maintenance | \$1,000 |
| Insurance | \$14,234 |
| Maintenance Contracts | \$118,500 |
| Other | \$1,750 |
| <i>Operations Total</i> | \$224,084 |
| Fox Valley Total | \$980,966 |

| Manitowoc | |
|--------------------------------------------|------------------|
| Debt Service | |
| Debt Service | \$352,380 |
| <i>Debt Service Total</i> | \$352,380 |
| Capital Outlay & Projects | |
| Building Interior | \$41,398 |
| <i>Capital Outlay & Projects Total</i> | \$41,398 |
| Operations | |
| Building Interior | \$2,000 |
| Insurance | \$7,372 |
| Mechanicals/Infrastructure | \$11,000 |
| <i>Operations Total</i> | \$20,372 |
| Manitowoc Total | \$414,150 |

| Marathon County | |
|--------------------------------------------|--------------------|
| Debt Service | |
| Debt Service | \$0 |
| <i>Debt Service Total</i> | \$0 |
| Capital Outlay & Projects | |
| Mechanicals/Infrastructure | \$10,728 |
| Remodeling | \$1,059,640 |
| <i>Capital Outlay & Projects Total</i> | \$1,070,368 |
| Operations | |
| Building Interior | \$16,000 |
| Equipment Repairs | \$8,500 |
| Insurance | \$30,637 |
| Maintenance Contracts | \$4,305 |
| Mechanicals/Infrastructure | \$4,995 |
| <i>Operations Total</i> | \$64,437 |
| Marathon County Total | \$1,134,805 |

| Marinette | |
|--------------------------------------------|------------------|
| Debt Service | |
| Debt Service | \$614,700 |
| <i>Debt Service Total</i> | \$614,700 |
| Capital Outlay & Projects | |
| Exterior Buildings and Grounds | \$7,000 |
| Mechanicals/Infrastructure | \$16,000 |
| Remodeling | \$11,000 |
| <i>Capital Outlay & Projects Total</i> | \$34,000 |
| Operations | |
| Building Interior | \$2,000 |
| Grounds Maintenance | \$3,000 |
| Insurance | \$6,881 |
| Mechanicals/Infrastructure | \$15,000 |
| <i>Operations Total</i> | \$26,881 |
| Marinette Total | \$675,581 |

| Marshfield/Wood County | |
|--------------------------------------------|--------------------|
| Debt Service | |
| Debt Service | \$675,000 |
| <i>Debt Service Total</i> | \$675,000 |
| Capital Outlay & Projects | |
| Exterior Buildings and Grounds | \$398,000 |
| <i>Capital Outlay & Projects Total</i> | \$398,000 |
| Operations | |
| Building Interior | \$3,760 |
| Equipment Repairs | \$3,000 |
| Exterior Buildings and Grounds | \$26,000 |
| Insurance | \$15,300 |
| Maintenance Contracts | \$8,350 |
| Mechanicals/Infrastructure | \$5,000 |
| Other | \$7,945 |
| <i>Operations Total</i> | \$69,355 |
| Marshfield/Wood County Total | \$1,142,355 |

| Richland | |
|--------------------------------------------|-----------------|
| Debt Service | |
| Debt Service | \$53,712 |
| <i>Debt Service Total</i> | \$53,712 |
| Capital Outlay & Projects | |
| Exterior Buildings and Grounds | \$16,025 |
| <i>Capital Outlay & Projects Total</i> | \$16,025 |
| Operations | |
| Building Interior | \$2,300 |
| Equipment Repairs | \$2,600 |
| Exterior Buildings and Grounds | \$3,500 |
| Insurance | \$11,500 |
| Mechanicals/Infrastructure | \$9,675 |
| <i>Operations Total</i> | \$29,575 |
| Richland Total | \$99,312 |

Rock County

Debt Service

| | |
|--------------|-----------|
| Debt Service | \$366,916 |
|--------------|-----------|

| | |
|---------------------------|------------------|
| <i>Debt Service Total</i> | \$366,916 |
|---------------------------|------------------|

Capital Outlay & Projects

| | |
|--------------------------------|----------|
| Exterior Buildings and Grounds | \$37,000 |
|--------------------------------|----------|

| | |
|----------------------------|---------|
| Mechanicals/Infrastructure | \$5,400 |
|----------------------------|---------|

| | |
|------------|----------|
| Remodeling | \$23,700 |
|------------|----------|

| | |
|--------------------------------------------|-----------------|
| <i>Capital Outlay & Projects Total</i> | \$66,100 |
|--------------------------------------------|-----------------|

Operations

| | |
|-------------------|---------|
| Building Interior | \$6,400 |
|-------------------|---------|

| | |
|--------------------------------|---------|
| Exterior Buildings and Grounds | \$4,000 |
|--------------------------------|---------|

| | |
|-----------|---------|
| Insurance | \$6,734 |
|-----------|---------|

| | |
|-----------------------|----------|
| Maintenance Contracts | \$32,595 |
|-----------------------|----------|

| | |
|-------------------------|-----------------|
| <i>Operations Total</i> | \$49,729 |
|-------------------------|-----------------|

Rock County Total

\$482,745

| Sheboygan | |
|--------------------------------------------|--------------------|
| Debt Service | |
| Debt Service | \$689,548 |
| <i>Debt Service Total</i> | \$689,548 |
| Capital Outlay & Projects | |
| Exterior Buildings and Grounds | \$225,812 |
| <i>Capital Outlay & Projects Total</i> | \$225,812 |
| Operations | |
| Insurance | \$9,484 |
| Mechanicals/Infrastructure | \$83,485 |
| Other | \$14,012 |
| <i>Operations Total</i> | \$106,981 |
| Sheboygan Total | \$1,022,341 |

| Washington County | |
|--------------------------------------------|------------------|
| Debt Service | |
| Debt Service | \$620,050 |
| <i>Debt Service Total</i> | \$620,050 |
| Capital Outlay & Projects | |
| Building Interior | \$13,100 |
| <i>Capital Outlay & Projects Total</i> | \$13,100 |
| Operations | |
| Building Interior | \$34,405 |
| Insurance | \$47,361 |
| Maintenance Contracts | \$103,581 |
| Other | \$588 |
| <i>Operations Total</i> | \$185,935 |
| Washington County Total | \$819,085 |

Waukesha

Debt Service

| | |
|--------------|-----------|
| Debt Service | \$485,783 |
|--------------|-----------|

| | |
|---------------------------|------------------|
| <i>Debt Service Total</i> | \$485,783 |
|---------------------------|------------------|

Capital Outlay & Projects

| | |
|-------------------|----------|
| Building Interior | \$15,000 |
|-------------------|----------|

| | |
|--------------------------------|----------|
| Exterior Buildings and Grounds | \$35,000 |
|--------------------------------|----------|

| | |
|----------------------------|---------|
| Mechanicals/Infrastructure | \$5,000 |
|----------------------------|---------|

| | |
|--------------------------------------------|-----------------|
| <i>Capital Outlay & Projects Total</i> | \$55,000 |
|--------------------------------------------|-----------------|

Operations

| | |
|-------------------|----------|
| Building Interior | \$44,000 |
|-------------------|----------|

| | |
|----------------------|---------|
| Building Maintenance | \$1,200 |
|----------------------|---------|

| | |
|--------------------------------|---------|
| Exterior Buildings and Grounds | \$4,100 |
|--------------------------------|---------|

| | |
|-----------------|---------|
| Health & Safety | \$3,900 |
|-----------------|---------|

| | |
|-----------|----------|
| Insurance | \$10,807 |
|-----------|----------|

| | |
|-----------------------|----------|
| Maintenance Contracts | \$26,000 |
|-----------------------|----------|

| | |
|----------------------------|----------|
| Mechanicals/Infrastructure | \$57,600 |
|----------------------------|----------|

| | |
|-------------------------|------------------|
| <i>Operations Total</i> | \$147,607 |
|-------------------------|------------------|

| | |
|-----------------------|------------------|
| Waukesha Total | \$688,390 |
|-----------------------|------------------|



Six-year Facilities Plan Overview

A Unique and Successful Partnership

UW Colleges Profiles

Ownership Profile

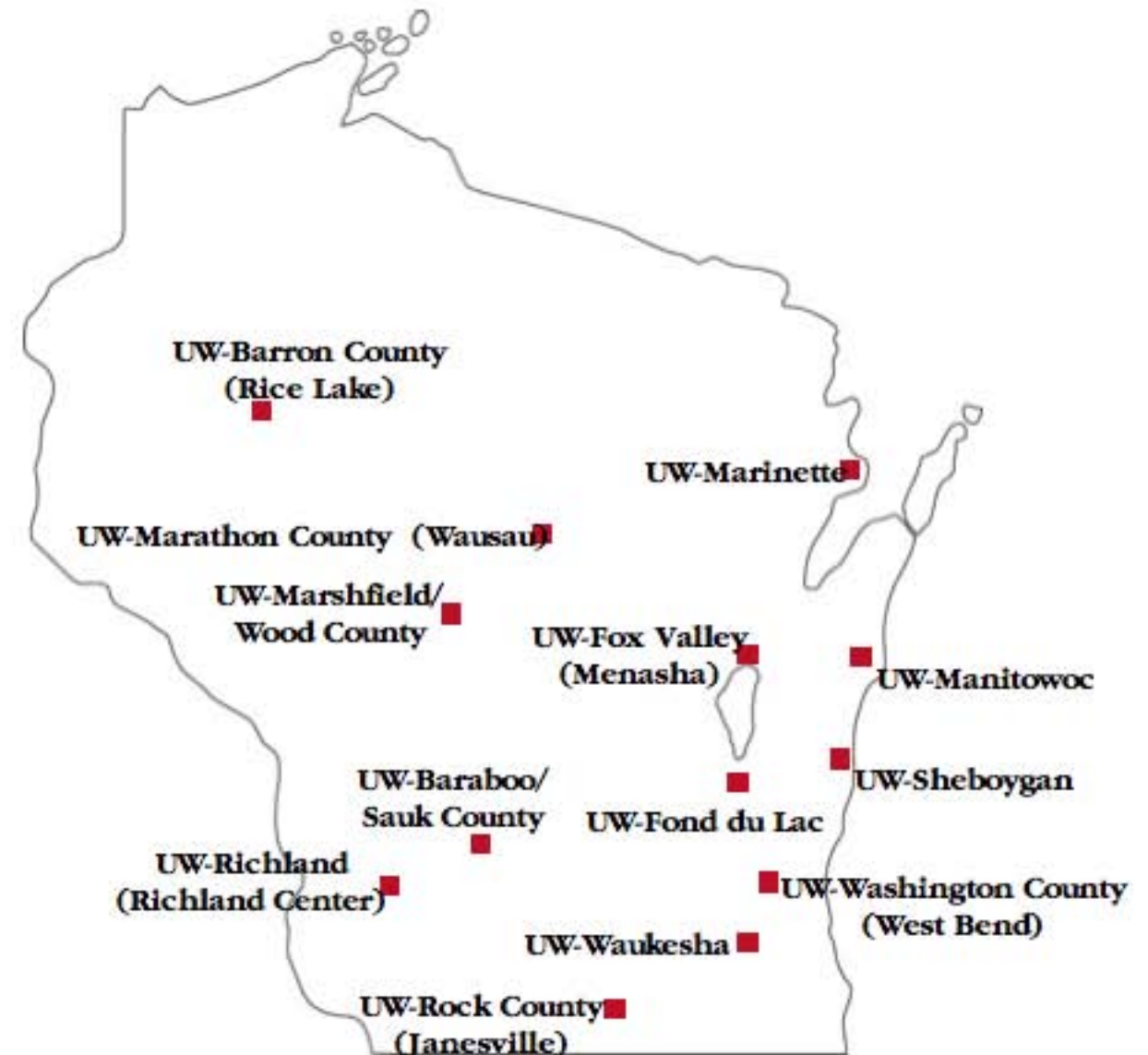
| | |
|-----------------|----|
| Campuses | 13 |
| County Partners | 14 |
| City Partners | 3 |

Academic Profile

| | |
|---------------------------------|----------------------------------|
| Students | 12,300 (3 rd largest) |
| New Freshmen | 4,400 (2 nd largest) |
| Courses in Catalog | 783 |
| Sections Offered (Fall 2004) | 2,639 |

Physical Profile

| | |
|----------------|------------------|
| Buildings | 75 |
| Building Space | 2.15 million GSF |
| Acres | 1,130 |



UW Colleges State/Local Partnership

Authorization

- County/City construction of UW facilities authorized by statute in 1957 & 1959
[Wisconsin Statutes s.59.56(4) & s.67.04(2)]
- Equipment funding by State Building Commission authorized in 1959
[Wisconsin Statutes s.13.48(2)(f)]

Responsibilities

- Construction/Renovation/Remodeling
 - Counties/Cities
- Repair and Maintenance
 - Major: Counties/Cities
 - Minor: UW
- Staff and Program
 - UW
- Equipment & Furnishings
 - State of Wisconsin



UW-Manitowoc

Local Investment in the Partnership



UW-Fond du Lac

UW Colleges Buildings

- Insured Value: \$242 million
- Lease Term: 75-100 Years

Local Investment Since 1995

- 22 projects on 12 campuses
- 390,000 added GSF
- \$86 million in local construction
- \$19 million in state supported movable/special equipment

Local Financial Support (2004)

| | |
|----------------|----------------------|
| Debt Service | \$6.7 million |
| Minor Projects | \$1.9 million |
| Operations | <u>\$1.0 million</u> |
| | \$9.6 million |

Major Planning Themes 2005-2011



UW-Baraboo/Sauk County

Services to Students

- Advising, student activities, learning support, libraries

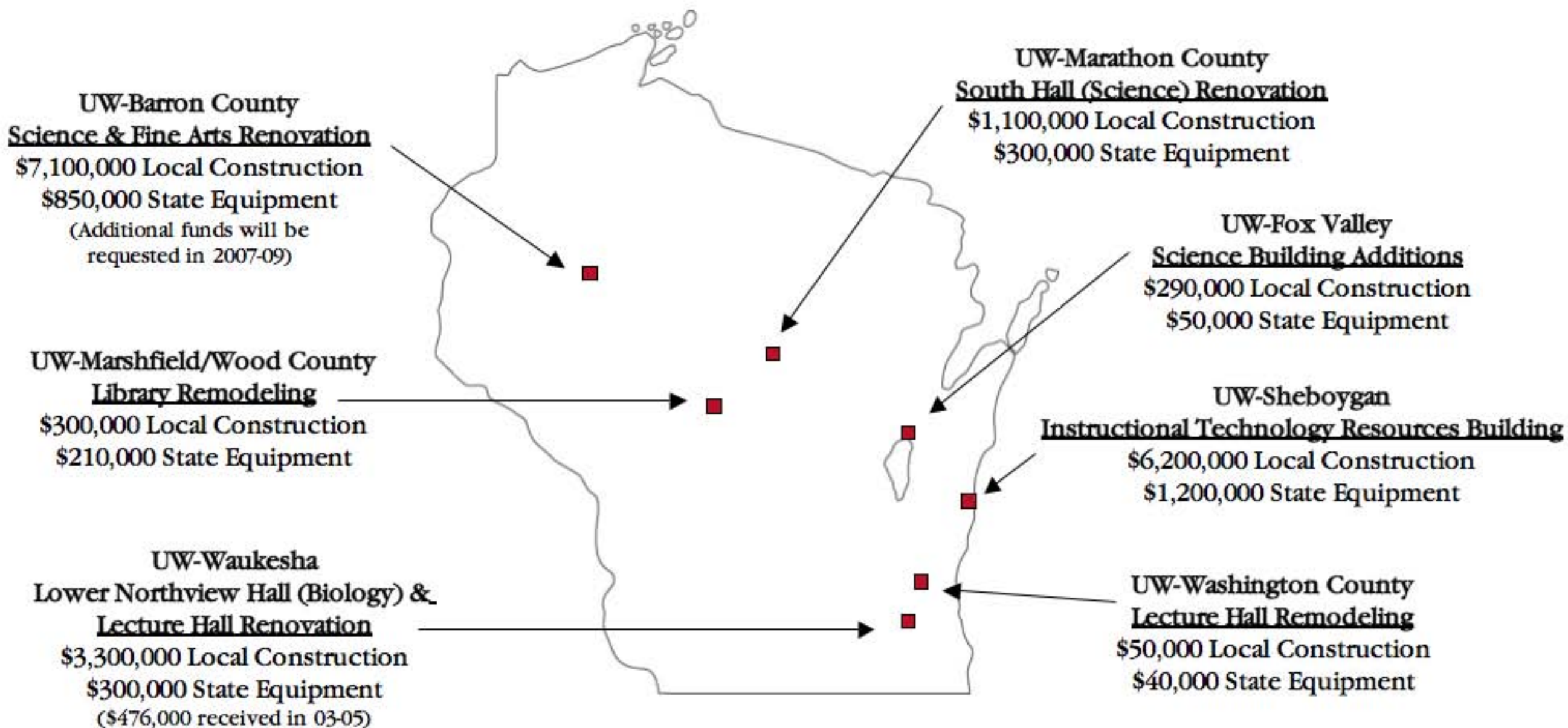
Infrastructure

- Laboratories, theaters, HVAC

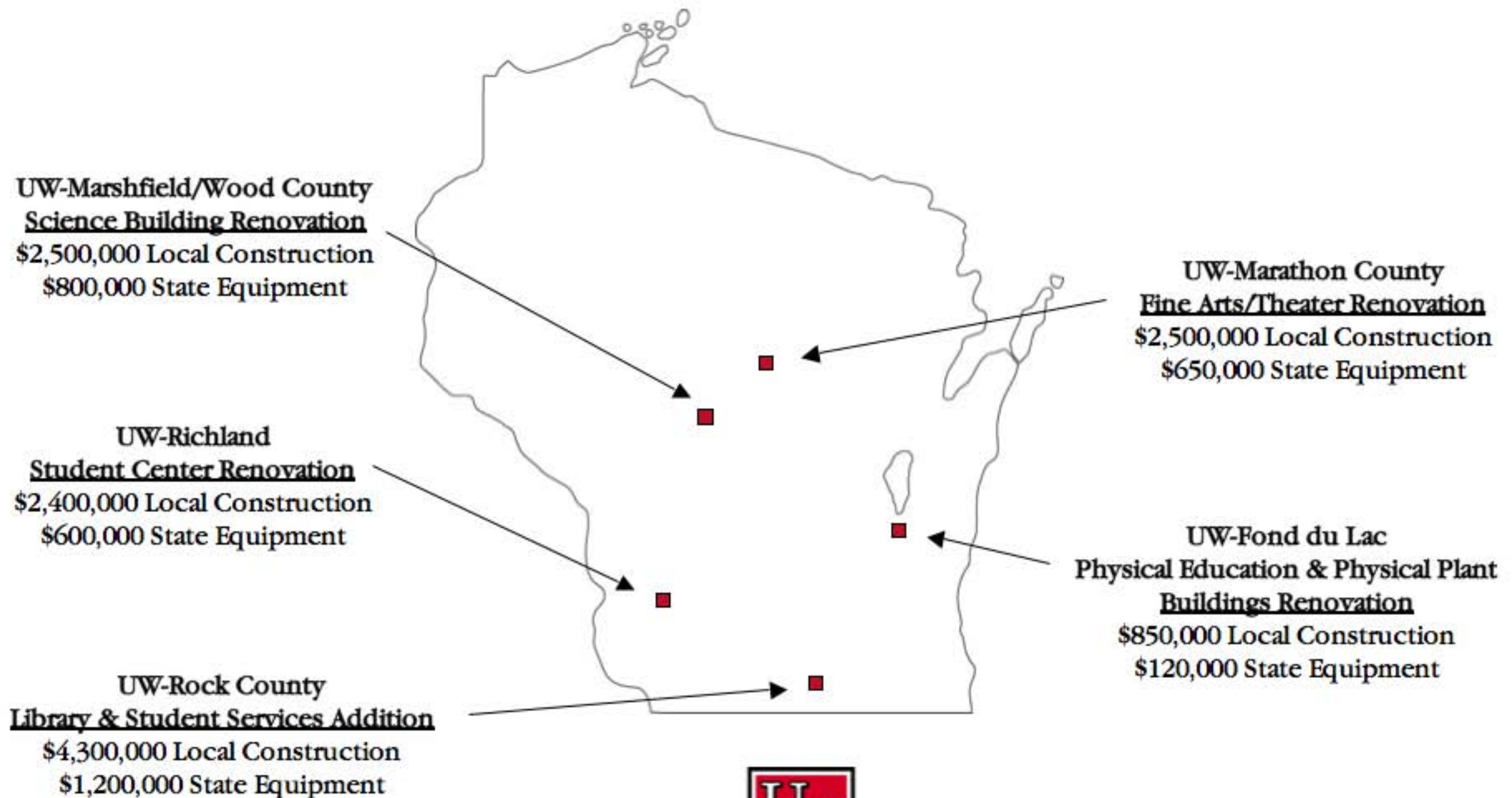
Technology

- Distance education
- Classroom technology

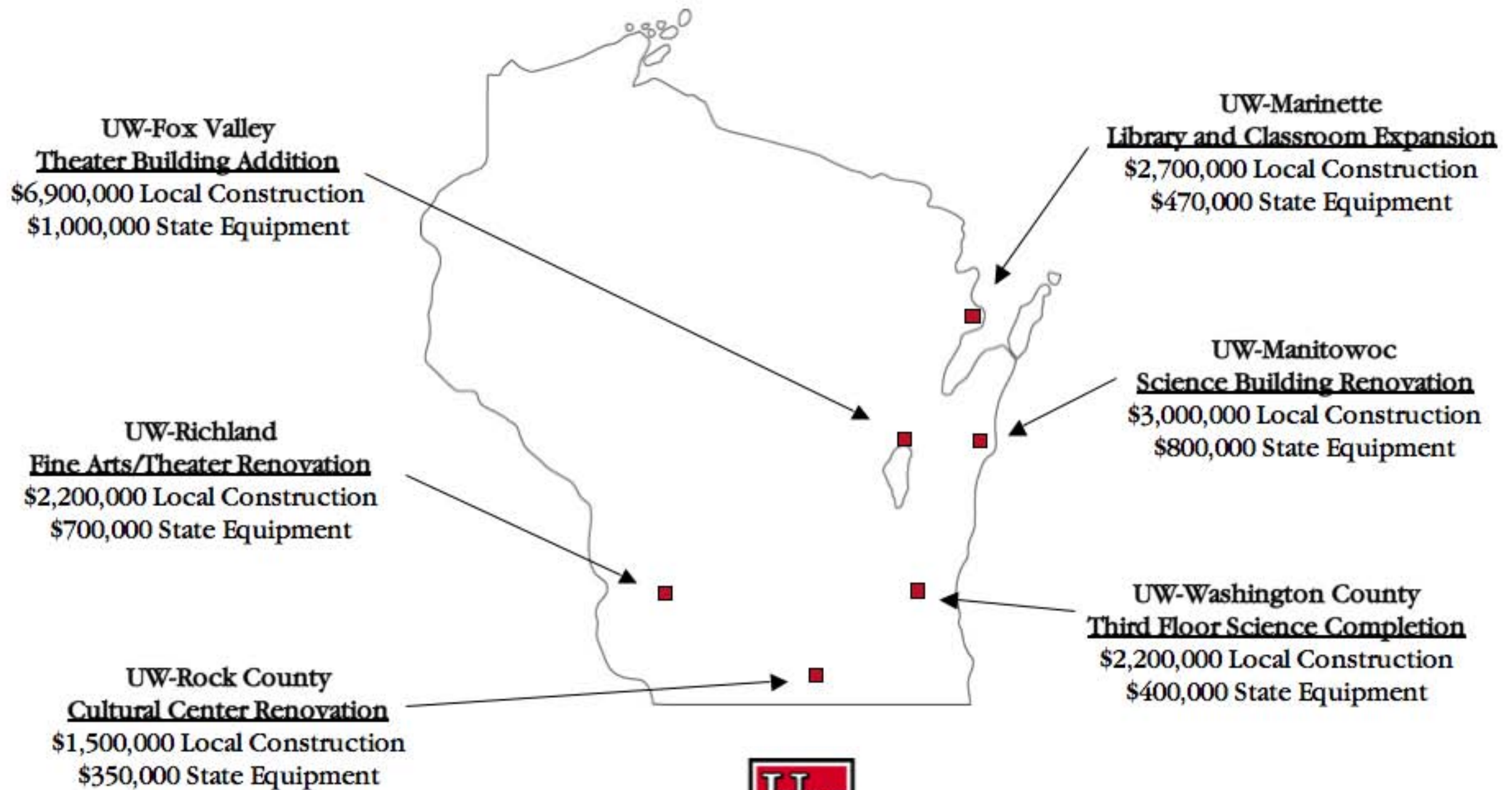
Major Projects 2005-07



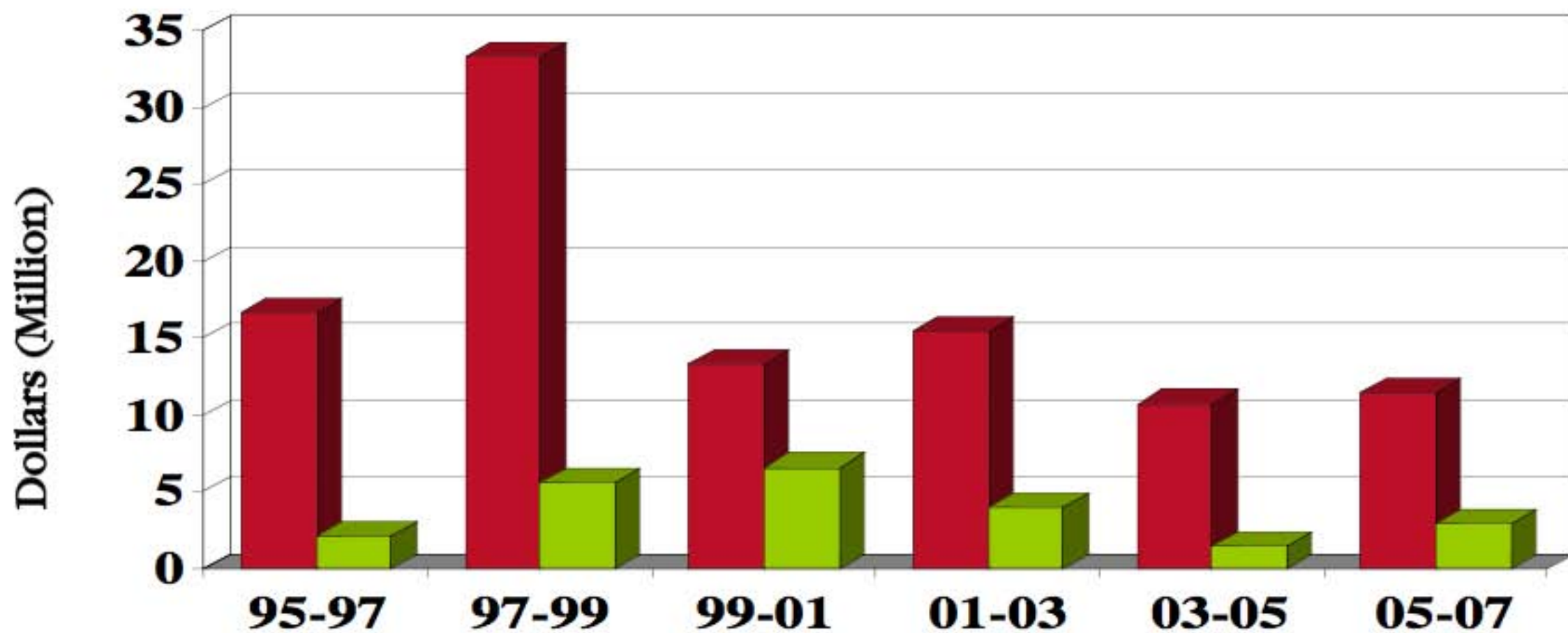
Potential Major Projects 2007-09



Potential Major Projects 2009-11



How the Dollars Work Together



■ Local-Construction ■ State-Equipment



COLLEGES

The freshman/sophomore UW campuses



COLLEGES

The freshman/sophomore UW campuses

Six-year Facilities Plan Overview

A Unique and Successful Partnership

County/Municipal Support of UW Colleges Campuses**2005**

| | 2005 Annual Expenditures | Fall FTE | Est. Spring FTE | Total Annual FTE | 2004 County Population | Expenditures per Capita |
|-----------------|-----------------------------|--------------|--------------------|---------------------|---------------------------|----------------------------|
| Baraboo** | \$ 304,363 | 449 | 426 | 875 | 57,119 | \$ 5.33 |
| Baron | \$ 174,260 | 396 | 376 | 771 | 45,595 | \$ 3.82 |
| Fond du Lac | \$ 1,160,114 | 601 | 571 | 1,171 | 98,663 | \$ 11.76 |
| Fox* | \$ 980,966 | 1,245 | 1,183 | 2,428 | 328,345 | \$ 2.99 |
| Manitowoc | \$ 414,150 | 530 | 504 | 1,034 | 81,864 | \$ 5.06 |
| Marathon | \$ 1,134,805 | 1,000 | 950 | 1,949 | 127,733 | \$ 8.88 |
| Marinette | \$ 675,581 | 370 | 351 | 721 | 43,364 | \$ 15.58 |
| Marshfield** | \$ 1,142,355 | 483 | 459 | 943 | 75,195 | \$ 15.19 |
| Richland Center | \$ 99,312 | 372 | 353 | 724 | 18,433 | \$ 5.39 |
| Rock | \$ 482,745 | 644 | 612 | 1,256 | 156,512 | \$ 3.08 |
| Sheboygan | \$ 1,022,341 | 513 | 487 | 1,000 | 113,958 | \$ 8.97 |
| Washington** | \$ 819,085 | 755 | 717 | 1,472 | 124,502 | \$ 6.58 |
| Waukesha | \$ 688,390 | 1,521 | 1,445 | 2,966 | 377,193 | \$ 1.83 |
| | \$ 9,098,467 | 8,877 | 8,433 | 17,311 | 1,648,476 | \$ 5.52 |

Notes: Annual expenditures are estimated.

* UW-Fox Valley is supported by two counties:

- 50% by Outagamie County
- 50% by Winnebago County

** Three UW Colleges campuses are supported by both a county and a city:

- UW Baraboo/Sauk County
 - 50% by Sauk County
 - 50% by City of Baraboo
- UW-Marshfield/Wood County
 - 50% by Wood County
 - 50% by City of Marshfield
- UW-Washington County
 - 77% by Washington County
 - 23% by City of West Bend

2005-06 Annual Tuition Rates (excluding segregated fees)

| | | |
|----|-------|-------------------|
| \$ | 3,977 | UW-Waukesha |
| \$ | 4,277 | UW Comprehensives |
| \$ | 5,494 | UW-Milwaukee |
| \$ | 5,618 | UW-Madison |

UWS Collaborative Degree and On-Line Degree Study Opportunities
Wisconsin and Waukesha County

Collaborative Bachelor Degree Programs

UW-Madison Connection Program. (See <http://www.provost.wisc.edu/connections>)

UW-Milwaukee Connection Program. (See http://www.uwm.edu/Dept/Acad_Aff/Coll_Conn) This on-line baccalaureate-completion program is available to UW College students on participating campuses (including UW-Waukesha). Currently students are able to complete bachelor degree majors in Organizational Administration, Communication, and Information Resources. Minors are also available in Business Administration, Communication, Economics, and Information Resources.

On-line Degree Programs

Associate of Arts and Science (UW Colleges)

Bachelor of Science in Business Administration (UW-Platteville)

B.S. in Nursing (CNP) for Wisconsin RNs (UW-Eau Claire, Green Bay, Madison, Oshkosh & Milwaukee)

B.S. in Nursing (BSN) for RNs. (UW-Green Bay)

MBA (UW-Eau Claire, La Crosse, Oshkosh & Parkside)

Master of Engineering (UW-Platteville)

M.S. in Project Management (UW-Platteville)

M.S. In Criminal Justice (UW-Platteville)

Collaborative Online Gerontology Certificate program (OGC) (Collaborative Resources, UW-Eau Claire, UW-Green Bay, UW-La Crosse, UW-Madison, UW-Milwaukee, UW-Parkside, UW-Stevens Point, UW-Stout, UW-Superior)

College of Nursing, Doctor of Philosophy: Online Option (UW-Milwaukee)

Online Graduate Certificate in State and Local Taxation (UW-Milwaukee)

Online Master's of Science in Administrative Leadership (concentration in Adult & Continuing Education, UW-Milwaukee)

Accelerated Online Bachelors Degree in Nursing (UW-Oshkosh)

Attachment 5

Master of Science in Hospitality and Tourism; Online Concentration in Administration
(UW-Stout)

UW-W Online MBA program (UW-Whitewater)

**Waukesha
County
Action
Network**

**BOARD OF
DIRECTORS**

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Attachment 6



P.O. Box 83 • Pewaukee, WI 53072 • Phone: 262-510-8112 • www.thewcan.com

January 30, 2006

President Kevin Reilly
University of Wisconsin System
1730 Van Hise Hall
1220 Linden Drive
Madison, WI 53706

Dear President Reilly:

On October 4, 2005, Executive Senior Vice President Donald Mash sent WCAN a letter requesting our input on "how best to provide" the needed University educational and research resources in Waukesha County. As business leaders and employers in Waukesha County, we have confirmed and strongly support the need for higher education through four year and graduate degrees which are readily accessible in Waukesha County in order to grow and sustain a qualified workforce.

Waukesha County is the third largest County in the state and is one of the fastest growing. The employer base and its educational needs have grown and changed. WCAN recommends the University System implement the proposed merger of UW-Waukesha and UW-Milwaukee or expeditiously finds another model that satisfies the current and future needs of business and residents in Waukesha County.

WCAN has evaluated multiple studies and has reviewed those studies findings with our board leadership and other key business leaders throughout the county. Our evaluation reconfirmed the need for advanced public university education resources in science and engineering, business and marketing in Waukesha County and Southeastern Wisconsin. Those findings also support the need for in-County 4-year undergraduate and graduate degree programs and research activity that meet the needs of 21st century employees and employers in this County. The proposed merger could answer these needs, and add significantly to the growth of Southeastern Wisconsin.

The University System already has sufficient data gathered and studies performed on these issues to act. We are concerned that the UW System will continue its "study" of the issues to delay taking action to provide the needed educational and research resource and campus needed. We urge the Board of Regents to take action at their June 2006 meeting and implement a plan that satisfies the educational needs of Waukesha County as described in our formal response attached.

Sincerely,

Margaret Farrow
Board President

Waukesha County Higher Education Review

Prepared by:
Waukesha County Action Network

Education Committee

Margaret Farrow
Bronson Haase
Tom Hefty
Carla Rutley
Saul Winsten

January 2006



Employer feedback

Situation

In July of 2005 Governor Doyle commissioned the University Of Wisconsin System to study the needs of higher education when he vetoed a proposal to merge the University of Wisconsin Waukesha campus with the University of Wisconsin Milwaukee campus. The University System contacted and met with WCAN. WCAN agreed to meet with employers and report back on their education needs for sustaining and growing their workforce. WCAN also agreed to either participate or lead a summit for review of the vision and need of higher education in Waukesha County. This review provides the feedback from employers and summarizes our research findings from the many studies already completed. With this submission WCAN has concluded a summit is not necessary at this time.

Workforce Development Unmet Needs

Employers seeking a workforce qualifying at an associate degree level can locally recruit from and/or send employees to Waukesha County Technical College or University of Wisconsin Waukesha Campus. Accommodations for a working adult are limited. Offering more evening, weekend and on-line courses would be a benefit.

Currently, five different UW organizations serve in a limited and uncoordinated fashion, some undergraduate or graduate needs in Waukesha County—UWM, UWW, UW Madison, UW Whitewater, and UW Stout. Employers located in Waukesha County contract with private universities outside of Waukesha County for local, in-house, outside and distance provision of employee needed (i.e. engineering, science and business) advanced educational offerings, as there are no appropriate educational institutions located in Waukesha County. Certainly Waukesha County presents the UW System with an opportunity to establish an educational resource close to employers whose employees need that resource, to streamline administrative costs, better serve the local industry clusters, and provide one-stop service for public higher education for county families and businesses.

Employers seeking higher education at an undergraduate and graduate level either recruit from and/or send employees to private schools in Waukesha County or employees attend University of Wisconsin schools or private schools outside of the county. Accommodations for a working adult are limited. Offering more evening, weekend and on-line courses would be a benefit.



Research Response

Fortunately, a considerable amount of the research on workforce issues supporting the need for change is already available on the website of the UW System study committee. In addition, there have been four economic summits sponsored by the University of Wisconsin; extensive research by both the UW Extension and the Department of Workforce Development; an extensive report on the health care workforce prepared by the Wisconsin Hospital Association in December 2004; and two Waukesha County specific studies in the Waukesha County 2020 report prepared by the Waukesha County Economic Development Corporation and the 2005-06 Business Resource Report by the Waukesha County Chamber of Commerce and a spring of 2005 study prepared by the National Association of Manufacturers Institute Center for Workforce Success. The education of the workforce is known; Waukesha industries and strategic industry clusters are well known; and the challenges of a changing workforce are clear. What is needed today is action-not more and extended internal studies.

Waukesha County is Wisconsin's third largest county in population; it is the second largest county in real estate valuation; and it is Wisconsin's top county in the important measure of research patents. There are no four-year and/or graduate UW programs or research facilities in the county. Carroll College, a small private liberal arts college, is the only four-year baccalaureate institution in the county. It plays an important role both in the Southeastern Wisconsin region's education and in the region's quality of life.

Waukesha's #1 ranking in patent activity is also important in the merger discussion because of its connection to the transformation of Wisconsin manufacturing in the global economy. Waukesha County's entire patent activity reflects investment by the private market. In the most recent Patent Office annual report, Waukesha County recorded 284 patents; Dane County had 261 patents (82 from WARF) and 152 patents were recorded from Milwaukee County. The model of the proposed merger of UWM, southeastern Wisconsin's only public research university, and UWW would provide a direct link to support not only the research, but the graduate level workforce needed to sustain and grow the high level of business innovation reflected by the patent ranking. These resources for new manufacturing technology are critical if Wisconsin is to retain good paying manufacturing jobs in the 21st century marketplace.

Although it is difficult to obtain data, there are no known independent WARF or WISYS licensees in Waukesha County, and there are no known businesses characterized as "university spinouts" in economic development rankings located in Waukesha County.

The importance of linkages between university science and technology and the private industry is neither new in economic development discussions, new to the university, nor new to the discussion of Waukesha County. In the formal report of the UW Economic Summit, there was a recommendation to create a business incubator/research park in Waukesha County linking Madison and Milwaukee. That recommendation came from a Greater Milwaukee Committee study of regional economic development needs, and that recommendation was adopted in the Summit Report. No action has been taken on that recommendation by the Board of Regents.



In terms of workforce, according to the 2000 U.S. Census, the percentage of Waukesha County residents with a college degree is 34.1%. That percentage is above the Wisconsin statewide average, but below the average for peer suburban counties in other Midwestern metropolitan areas. Beginning with the Waukesha 2020 report, Waukesha County has benchmarked itself against nine similar suburban counties surrounding Chicago, Detroit, Indianapolis, St. Louis, and the Twin Cities. In that benchmark comparison of counties, Waukesha ranks 8th in the percentage of college graduates in the workforce, beating only individual counties in suburban St. Louis and Detroit.

The Waukesha County workforce is older than the statewide average and its workforce participation rate is higher than the statewide average. The older workforce will require a higher percentage of educated replacement workers. The high workforce participation rate means more two-career families, and a growing need for accessible, convenient adult education. The geographic center of Waukesha County is 45 minutes or more commuting time from the existing UWM campus. After a full day of work and with family responsibilities, that 90 minute round trip driving time is a barrier for many individuals.

The need for economic development and increased job opportunities in Milwaukee and the region is also well documented. There have been excellent local studies by the UWM Center for Economic Development; the Public Policy Forum in Milwaukee; and the Wisconsin Policy Research Institute. And in December 2004, a paper for the National Bureau of Economic Research ranked commercialization of innovation in the nation's 50 largest metropolitan areas. The Milwaukee-Waukesha metropolitan area was included in that study and it ranked 47th out of the 50 largest metropolitan areas in commercialization of innovation, demonstrating a clear need for better linkages between the university and the private sector in commercialization of technology.

In the past twenty years, there have been four UW economic development summits and numerous economic development strategic plans. Each emphasizes the importance of an educated workforce; each emphasizes the importance of raising per capita income; each emphasizes the importance of technology transfer and collaboration between the university system and the private sector. Yet the 2003 Grow Wisconsin Plan introduced by Governor Doyle reflects the reality of disappointing economic performance in the past decade—Wisconsin ranks 30th in average pay; and has a continuing brain drain of educated workers.

The Grow Wisconsin research included the data from the Indiana Fiscal Policy Institute study which showed Wisconsin with the second lowest percentage of college graduates remaining in the state following their graduation among the eight peer states. A 2005 study by the Federal Reserve Bank of Cleveland entitled "Losing its Mind, Evaluating the 'Brain Drain' in Ohio" published in January, 2005 noted that only West Virginia, Indiana and Wisconsin reported net losses of college graduates greater than 20%.

Similarly in measures of technology transfer from the university to the private sector, Wisconsin continues to lag other states. And the 2004 Milken Institute State Science and Technology Index points out the weakness lies not in the level of investment in human capital—but in the connection of the human capital to the workplace. Wisconsin ranked 18th among the 50 states in Human Capital Investment Composite Index, but Wisconsin ranked 30th in the Science and Technology Workforce Index. Wisconsin's spending on higher education is above average. Its connection of higher education to the private sector is below average, and by some measures significantly below average.



The National Science Foundation Science and Technology Indicator Report comparing all fifty states contains one of the most disheartening comparisons. Wisconsin ranked 45th out of 50 states in the percentage of recent masters degrees in science and engineering in the workforce. For a manufacturing state in which future success depends on "smart manufacturing," that 45th ranking is a stark measure of the lack of connection between the university and the private sector. Improving that connection means matching campuses to private sector growth.

Similarly, reflecting the gap between campus and the private market, Wisconsin consistently ranks near the bottom in the measure of university "spin-out businesses." The 2004 Development Report Card for the States ranks Wisconsin 37th among 50 states in the rate of university spinout activity. Again, the geographical separation of the research universities from the centers of private sector growth contributes to that measure. A November 2005 article in the Chicago Fed Letter entitled "High-technology in the Midwest" compares technology indicators at eight Midwestern universities. Wisconsin performs well on patent activity; above average on license revenue, but tied Iowa for the bottom in "new start-ups licensed."

There is one additional trend indicator which requires attention. Wisconsin has one of the highest workforce participation rates in the country. That means dual career families balancing the pressures of jobs, home and family. Combined with Wisconsin's low percentage of the workforce with a college degree, that creates the need for easily accessible adult graduate and undergraduate education. This was recognized by the UW system in a report to the Committee on Baccalaureate Expansion (COBE). The report prepared by UW staff notes a declining trend in non-traditional enrollment in Wisconsin public higher education over the past ten years. In fact, there was a 27% decline in adult students over 10 years—from over 41,000 in 1993 to 30,000 in 2003. The UW internal report cites the State-by-State Report Card for Higher Education from the National Center for Public Policy and Higher Education in noting Wisconsin's grade on working-age adult participation in postsecondary education as a "D+."

In supporting Wisconsin's economic growth, we would urge the Regents to also consider and support the role of private higher education in metropolitan Milwaukee. Eighty percent of the students attending college in Wisconsin outside of the metropolitan area attend a UW campus. In Metro Milwaukee, 54% of the students in higher education attend a private college or university. Those private institutions, including Carroll College in Waukesha, play a critical role in regional higher education.

Today, the major state support for private higher education is in the form of state Pell grants, supplementing the federal grants for low and middle-income students. Wisconsin's state support for low-income students at both public and private higher education is among the lowest in the Midwest. As a result, Wisconsin ranks substantially below the national average in the proportion of low-income students attending college. This shortfall can be addressed by supporting higher state Pell grants for both public and private higher education.



These comments are submitted on behalf of the Waukesha County Action Network, a private sector leadership group formed in 2005 to address significant civic issues impacting Waukesha County and the Southeast region of Wisconsin.

WCAN strongly supports the establishment of an institution that can provide for public education of advance courses in business, marketing, sales, science and engineering, through 4-year and graduate degrees offered here in Waukesha County that accommodates employees and meets the employer's workforce needs and the needs of residents here in Waukesha County.

We urge the Board of Regents to act to establish such an institution and resources in Waukesha County by taking action at their June 2006 meeting. We have serious concern that continuing delay and protracted "study" of the proposed merger concept will serve only to protect the failing status quo, depriving the UW System, the State, Waukesha County and the region, of the opportunity for advancement presented in this County today. Unless another model is presented expeditiously, WCAN asks the Regents to consider the current proposal as endorsed by UWM's Chancellor Santiago and The Waukesha County Executive to be a strong and worthwhile one.

For this reason we urge the UW System to move forward to address the details of implementation of a plan while developing its 2007-2008 budget. We support action now for three reasons:

1. The continued growth of Waukesha County requires a better-educated workforce and a public institution of higher learning and research offering both four-year undergraduate and graduate education offerings for traditional and non-traditional students.
2. Creating a merged UWM-UWW as proposed recently by Chancellor Santiago of UWM could answer this need and serve as an important tangible step for the UW System support for the growing regional cooperation efforts underway in Southeast Wisconsin.
3. A stronger link between the UW System and business is needed. Governor Doyle's "Grow Wisconsin" economic development plan and the legislative leadership's "Invest Wisconsin" plan both require a stronger link between the University of Wisconsin and the business community. The presence of a four-year undergraduate and graduate research university at a campus in Waukesha County, a location with significant advanced technology in manufacturing and advanced technology services, will help establish that link.

WCAN challenges the UW System to address local market needs of local families and the private sector. The current UW structure was developed in the 1950's and 60's. In Southeastern Wisconsin and in Waukesha County, the old model, we submit, is not answering current needs and must change.

Daniel P. Vrakas
County Executive



January 30, 2006

Ms. Jane Crisler
University of Wisconsin – Waukesha
1500 University Drive
Waukesha, WI 53186-1628

Dear Jane:

Thank you for taking the time to update me on the progress that the University of Wisconsin System (UW) is making on studying the idea of offering more opportunities in the area of higher education in Waukesha County. I appreciate the fact that you solicited my input to include in your report to the Board of Regents. We need to be bold in our next step forward, and I believe that the status quo will not allow us to do so.

As the landlord and owner of the UW-Waukesha campus (UWW), it is imperative for Waukesha County to have a seat at the table during any future committee or group work discussions that involve making recommendations to the Board of Regents about the future of UWW. It is essential that the majority of this work group be comprised of individuals from our region so that local people have a voice in what our higher educational community will look like in the coming years.

The two-year campus system was established over 40 years ago through a financial partnership between the Board of Regents and the counties and/or cities where they reside because of their predominantly local mission. Unlike the four-year campuses, the land and buildings at each two-year institution are owned by the local government agencies that are responsible for their maintenance, and State GPR and tuition pay for all academic expenses incurred at the facilities. Waukesha County has always generously supported UWW because it serves as the gateway to higher education for area residents. We currently contribute about \$200,000 in annual maintenance needs and average over \$1 million each year on capital expenditures.

The two-year campuses were created for the purpose of access and were intended to serve only a limited population base in smaller cities and rural communities. Since the creation of UWW in 1965, Waukesha County has evolved to become a largely urbanized county with the second highest equalized value in the State of Wisconsin.

Jane Crisler
Page 2
January 30, 2006

As part of the Metro-Milwaukee area, the economies of Milwaukee and Waukesha are intertwined, and the business community in our regional area has openly stated its desire to create more four-year degrees holders to help fuel our vibrant economic engine. I believe that updating the mission and focus of UWW to incorporate four-year degree offerings is essential to future economic development efforts in Waukesha County. Merging the two educational institutions would blend well with the "Milwaukee 7" effort to cooperatively brand and market Southeastern Wisconsin as a region.

That being said, having two-year campuses offer four-year coursework and degrees results in County property taxpayers sharing in the costs of baccalaureate education for the first time in Wisconsin. By offering these degree programs, it makes the two-year campuses equivalent to the 13 four-year UW campuses. In looking out for the best interests of my constituency, I will not ask County property taxpayers to start subsidizing baccalaureate education due to the fact that it will result in a gross statewide funding inequity.

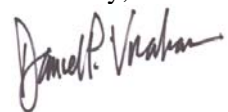
Since UWM's strongest enrollment growth derives from Milwaukee's western suburbs and more than 50% of UWW's graduates continue their education at UWM, I urge you to consider allowing a partnership and/or merger between UW-Milwaukee (UWM) and UWW in an effort to better serve the citizens in the Metro-Milwaukee area. This will accomplish our joint goal of gaining more baccalaureate degree opportunities and degree holders, and it will enable UWM to expand its mission as a public research university, which is vitally important to southeastern Wisconsin's economy and the State as a whole. It would also provide a more seamless link to UWM's undergraduate and graduate degree programs.

I believe that UWM and UWW can be merged in a fashion that would maintain two aspects of UWW's mission that are still very important, affordability and access. In a meeting with Waukesha County officials and UW System President Kevin Reilly, UWM Chancellor Carlos Santiago discussed a business plan that officials at his institution were creating to maintain the lower tuition and admission standards for students currently attending UWW for their first two years at UWM.

The merging of UWM's and UWW's resources is an exciting idea in which the UW, the business community, the metropolitan area, and the taxpayers all win. The concept is already receiving broad support amongst business and community leaders, and since UWM is completely landlocked with no space for new or expanded facilities, the opportunity to strengthen its capacity as a research institution by utilizing the UWW campus is formidable. It also presents an opportunity to achieve significant savings for our taxpayers through the sharing of resources like that of a consolidated public relations office and other departments and services.

I ask you to include Waukesha County government, your facility funding partner for more than 40 years, in your future discussions about UWW. Thank you for your consideration.

Sincerely,



Daniel P. Vrakas
County Executive

DPV:sh

Authorization to Recruit:
Chancellor
University of Wisconsin-La Crosse

EDUCATION COMMITTEE:

Resolution I.1.g.:

That, the President of the University of Wisconsin System be authorized to recruit for a Chancellor of the University of Wisconsin-La Crosse, at a salary within the Board of Regents salary range for university senior executive salary group three.

Request for Authorization to Recruit

Institution: University of Wisconsin-La Crosse

Type of Request: Chancellor Search

Official University Title: Chancellor

Description of Duties:

As Executive head of his/her respective faculty and institution, the Chancellor is vested with the responsibility of administering Board policies under the coordinating direction of the President and is accountable and reports to the President and the Board on the operation and administration of his/her institution. Subject to Board policy, the Chancellor of the institution in consultation with the faculty is responsible for: designing curricula and setting degree requirements; determining academic standards and establishing grading systems; defining and administering institutional standards for faculty peer evaluation and screening candidates for appointment, promotion and tenure; recommending individual merit increases; administering associated auxiliary services; and, administering all funds, from whatever source, allocated, generated or intended for use by the institution.

Recommended Salary Range: University Senior Executive Group 3
(Salary range for 2006-07 is \$176,113 to \$215,249)

Source of Funds: 102

Replacement Position for: Douglas Hastad

Salary of Previous Incumbent: \$168,622

Justification for the Salary Range:

Under Regent Policy 94-4 the Board adopted an executive salary range policy that the salary range midpoint be set at 95% of the peer median and the salary range calculated at 90% and 110% of the midpoint. Effective September 1, 2001, the statutes were amended by the 2001-03 biennial budget act (2001 Wisconsin Act 16) to give the Board of Regents authority to establish salary ranges for the chancellors. The salary range is the actual 2006-07 range last approved by the Board of Regents, February 10, 2006 effective July 1, 2006.

Approved by:

Kevin P. Reilly, President
March 10, 2006

Authorization to Recruit (Approved)(Denied)
By the Board of Regents on _____.

UW-La Crosse Chancellor Competitive Salary Information

2006-07 Senior Executive Salary Range Calculated in Accordance with Board of Regents' Policy:

| | |
|---------------------------------------------------|----------------|
| 2004-05 peer group median salary: | \$199,400 |
| CUPA-HR projects 3.3% increase in 2005-06 | <u>x 1.033</u> |
| 2006-07 projected peer group median: | \$205,980 |
| Executive salary policy cost-of living adjustment | <u>.95</u> |
| Regents Salary Range Midpoint: | \$195,681 |
| Regents Salary Range Minimum (90%): | \$176,113 |
| Regents Salary Range Maximum (110%): | \$215,249 |

2004-05 Peer Group Salaries:

| | |
|-------------------------------------------|-----------|
| Wright State University | \$296,792 |
| University of Akron | \$281,011 |
| Western Michigan University | \$260,000 |
| Central Michigan University | \$236,200 |
| University of Northern Iowa | \$231,050 |
| University of Illinois-Springfield | \$230,625 |
| Western Illinois University | \$225,000 |
| Northeastern Illinois University | \$225,000 |
| Eastern Michigan University | \$222,000 |
| University of Michigan-Flint | \$217,608 |
| Oakland University | \$216,106 |
| St. Cloud State University | \$207,700 |
| Southern Illinois University-Edwardsville | \$205,800 |
| Youngstown State University | \$203,520 |
| Minnesota State University-Mankato | \$202,734 |
| Chicago State University | \$200,448 |
| University of Minnesota-Duluth | \$199,400 |
| University of Michigan-Dearborn | \$197,828 |
| Michigan Technological University | \$195,000 |
| Minnesota State University-Moorhead | \$194,055 |
| Winona State University | \$194,055 |
| Purdue University-Calumet | \$188,100 |
| Grand Valley State University | \$187,932 |
| Indiana-Purdue University-Ft. Wayne | \$186,600 |
| University of Southern Indiana | \$184,600 |
| Saginaw Valley State University | \$181,300 |

| | |
|--------------------------|-----------|
| Bemidji State University | \$179,855 |
|--------------------------|-----------|

| | |
|------------------------------|-----------|
| Indiana University-Northwest | \$173,955 |
| Eastern Illinois University | \$173,004 |

| | |
|-------------------------|-----------|
| Ferris State University | \$170,000 |
|-------------------------|-----------|

| | |
|-------------------------------|-----------|
| Indiana University-Southbend | \$156,060 |
| Indiana University-South East | \$156,060 |
| Northern Michigan | \$150,000 |

| | |
|--------|-----------|
| Mean | \$198,041 |
| Median | \$199,400 |

UW System Non-Doctoral Institution Chancellor 2005-06 Salaries:

| | |
|---------------|-----------|
| UW Eau Claire | \$180,000 |
|---------------|-----------|

| | |
|-------------------------|-----------|
| UW Colleges & Extension | \$178,000 |
| UW River Falls | \$175,000 |
| UW-Whitewater | \$175,000 |

| | |
|----------|-----------|
| UW-Stout | \$174,434 |
|----------|-----------|

| | |
|------------------|-----------|
| UW-Stevens Point | \$172,500 |
|------------------|-----------|

| | |
|----------------|-----------|
| UW-Green Bay | \$168,622 |
| UW-Platteville | \$168,622 |
| UW-Superior | \$168,622 |
| UW-Parkside | \$168,622 |
| UW-Oshkosh | \$168,622 |

| | |
|--------|-----------|
| Mean | \$172,549 |
| Median | \$172,500 |

REVISED

I.2. Business, Finance, and Audit Committee

Thursday, March 9, 2006
1920 Van Hise Hall
1220 Linden Drive

Committee of the Whole

9:30 a.m. - Taxpayer Protection Amendment – Senator Grothman, Representative Wood and Professor Reschovsky invited *

10:30 a.m. - United Council 2007-09 Budget Priorities

11:00 a.m. - Achieving Excellence: UW System Accountability Report 2005-06

12:00 p.m. Lunch

12:30 p.m.

a. Approval of Minutes of the February 9, 2006 Meeting of the Business, Finance, and Audit Committee

b. Extramural Funding

(1) Washington Update and the Impact of the President Bush's Budget

(2) Trends in Gifts, Grants, and Contracts

(3) UW-Madison Research Update

c. University of Wisconsin-Milwaukee School of Architecture and Urban Planning
Differential Tuition

[Resolution I.2.c.]

d. University of Wisconsin-La Crosse: A Growth and Access Agenda

e. Audit Issues

(1) Quarterly Status Update: Operations Review and Audit

(2) UW Audit Projects and Plans

f. Trust Funds Issues

(1) Voting of 2006 Non-Routine Proxy Proposals

[Resolution I.2.f.(1)]

(2) Annual Endowment Peer Benchmarking Report

g. Follow-up Discussion of Taxpayer Protection Amendment

h. Report of the Vice President

i. Additional items, which may be presented to the Committee with its approval

* Senator Grothman and Representative Wood have been invited to join the Regents anytime during the two-day meeting to discuss the Taxpayer Protection Amendment. The Committee of the Whole may recess for lunch or individual committee meetings and may reconvene to accommodate the discussion with Senator Grothman and Representative Wood

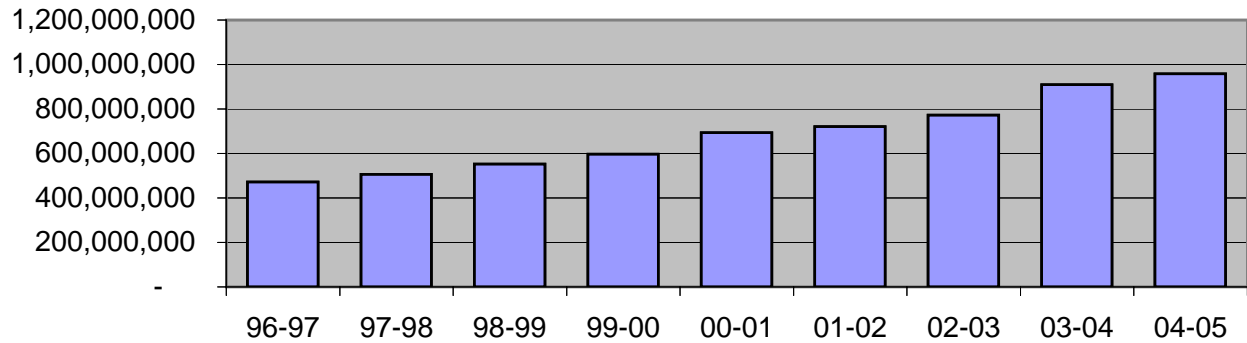
March 10, 2006

Agenda Item I.2.b.(2)

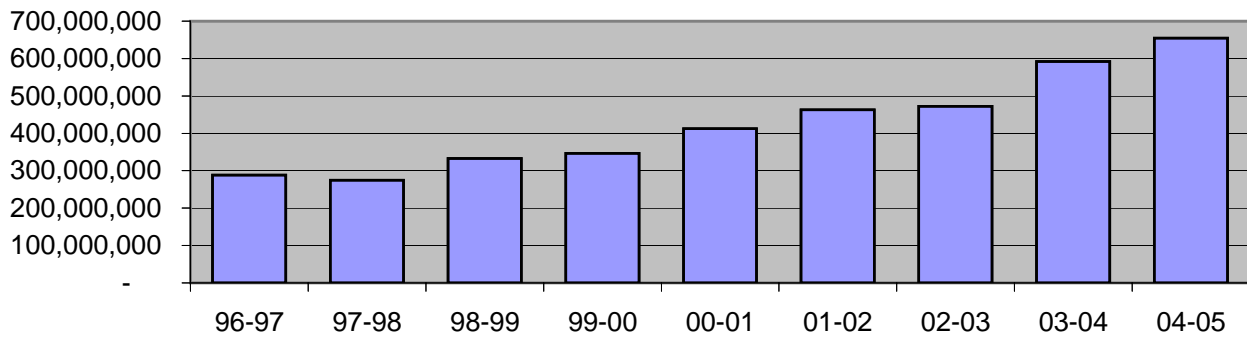
TRENDS IN GIFTS, GRANTS, AND CONTRACTS

University of Wisconsin - Madison
Gifts, Grants, and Contracts Awarded
FY 1996-1997 Through 2004-2005

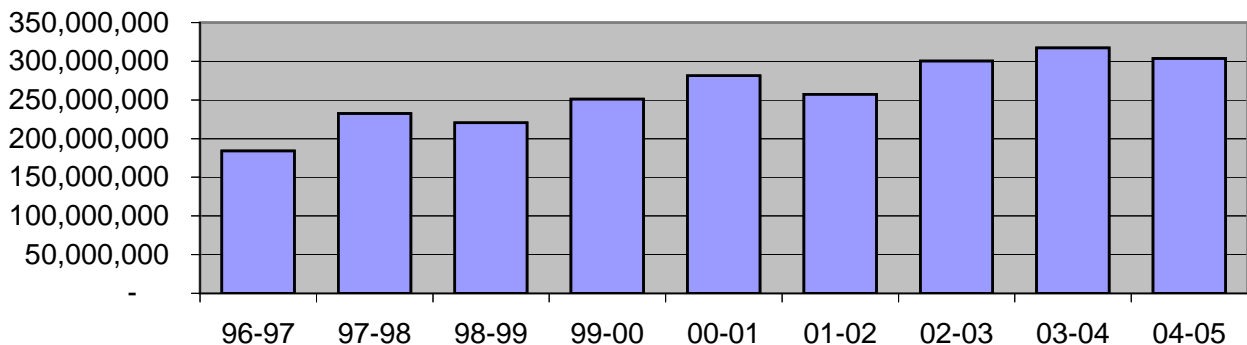
Total Awards



Federal Awards

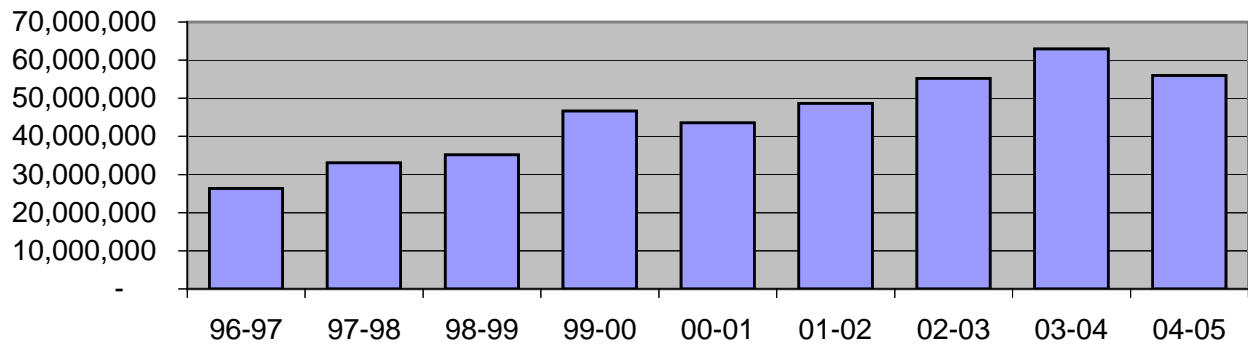


Non-Federal Awards

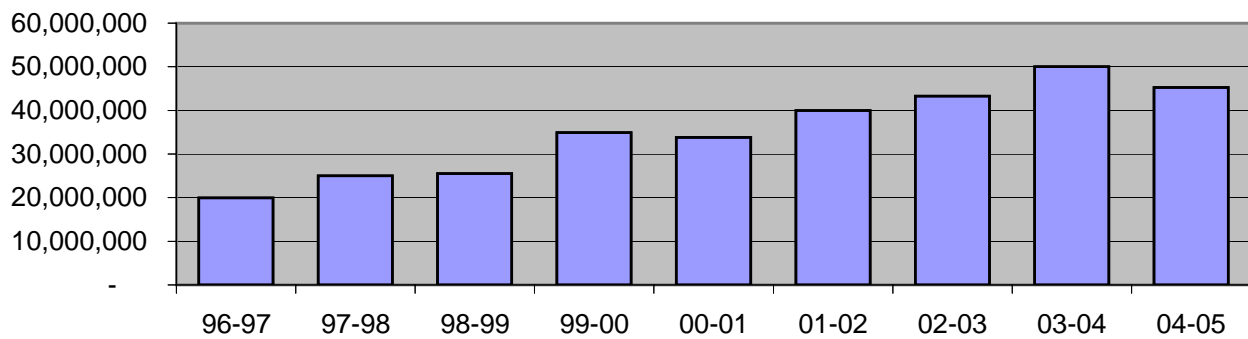


University of Wisconsin - Milwaukee
Gifts, Grants, and Contracts Awarded
FY 1996-1997 Through 2004-2005

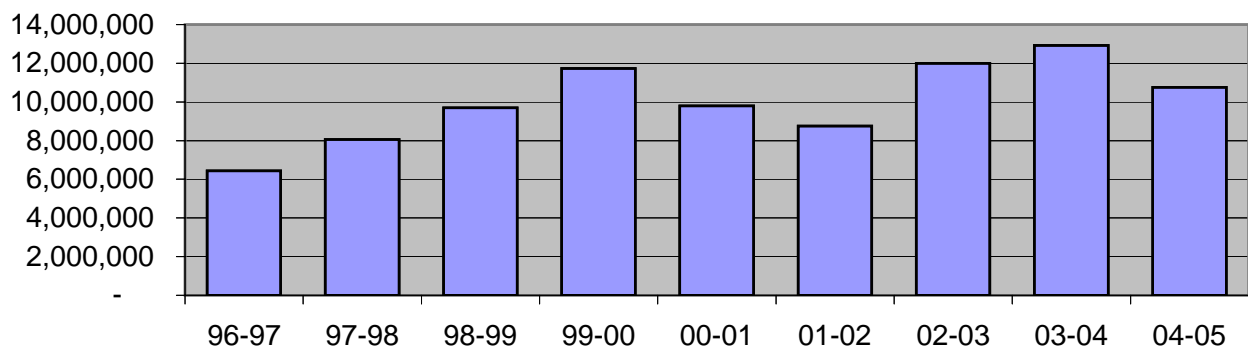
Total Awards



Federal Awards

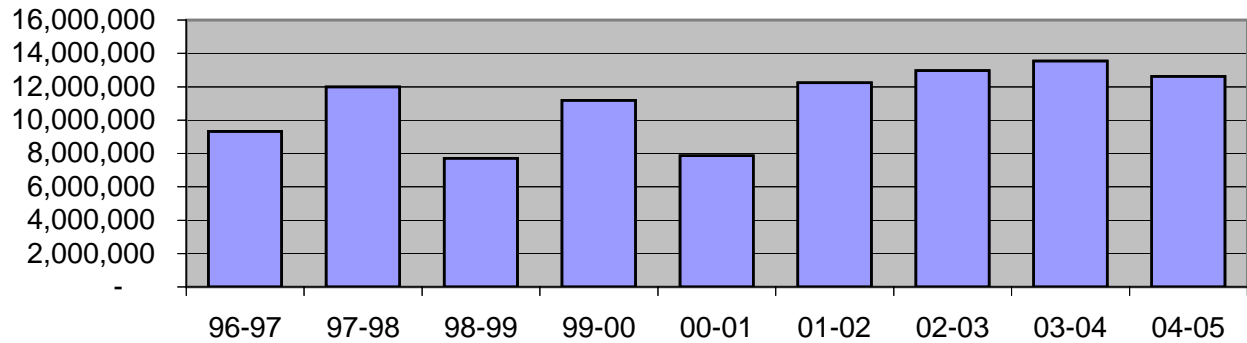


Non-Federal Awards

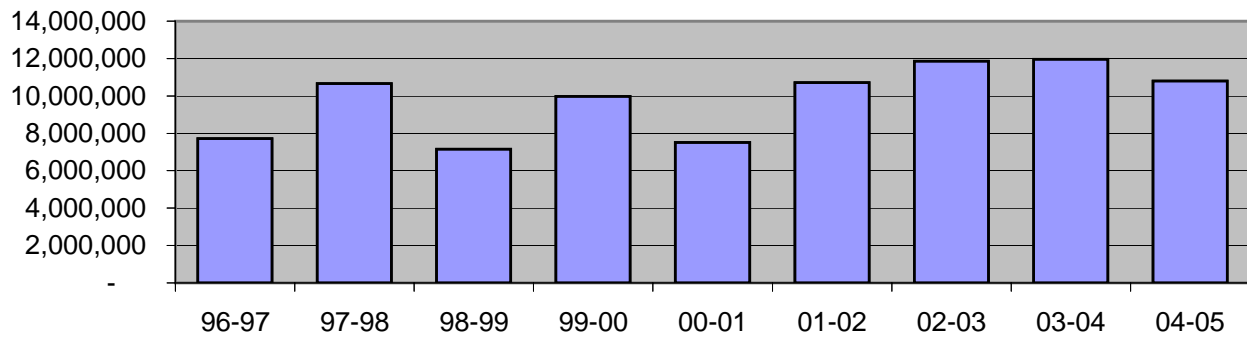


University of Wisconsin - Eau Claire
Gifts, Grants, and Contracts Awarded
FY 1996-1997 Through 2004-2005

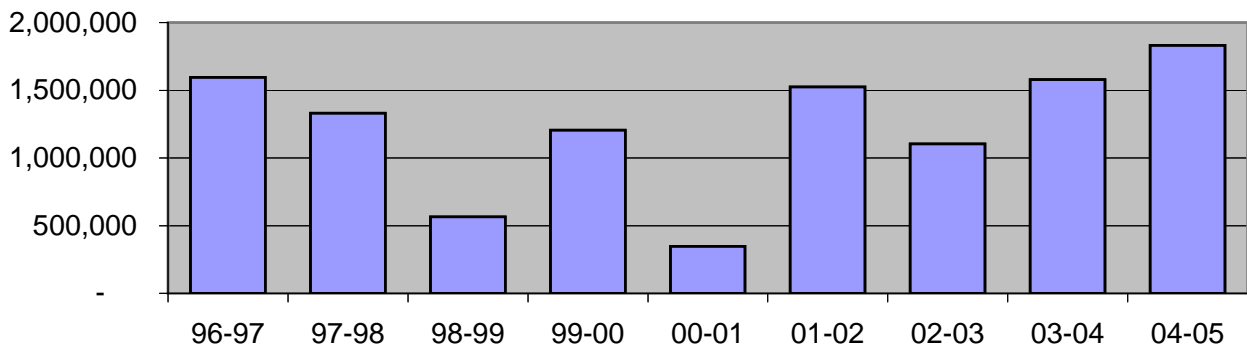
Total Awards



Federal Awards

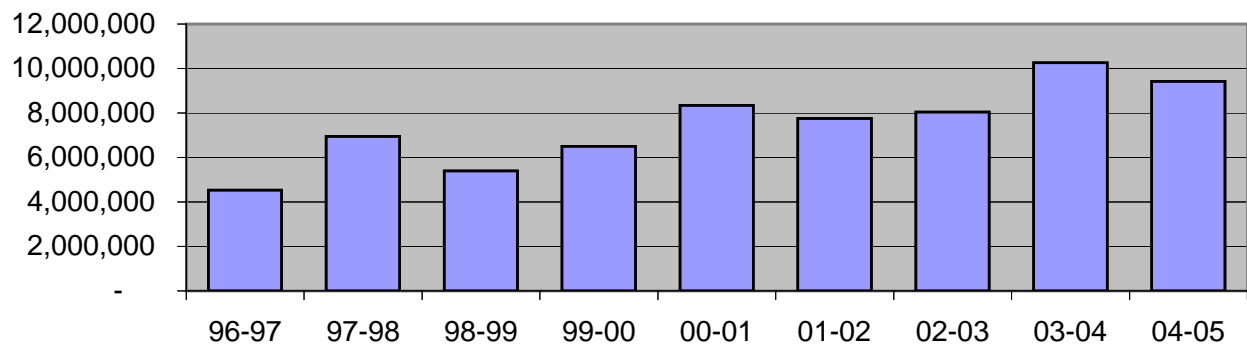


Non-Federal Awards

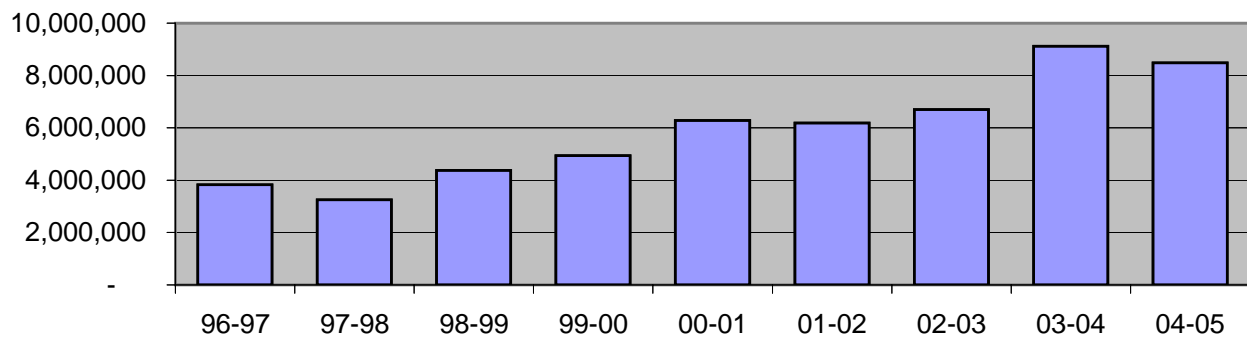


University of Wisconsin - Green Bay
Gifts, Grants, and Contracts Awarded
FY 1996-1997 Through 2004-2005

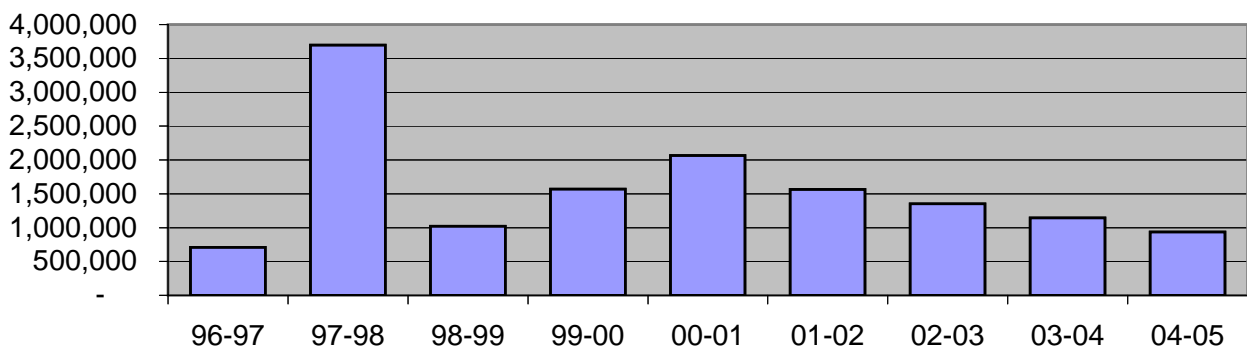
Total Awards



Federal Awards

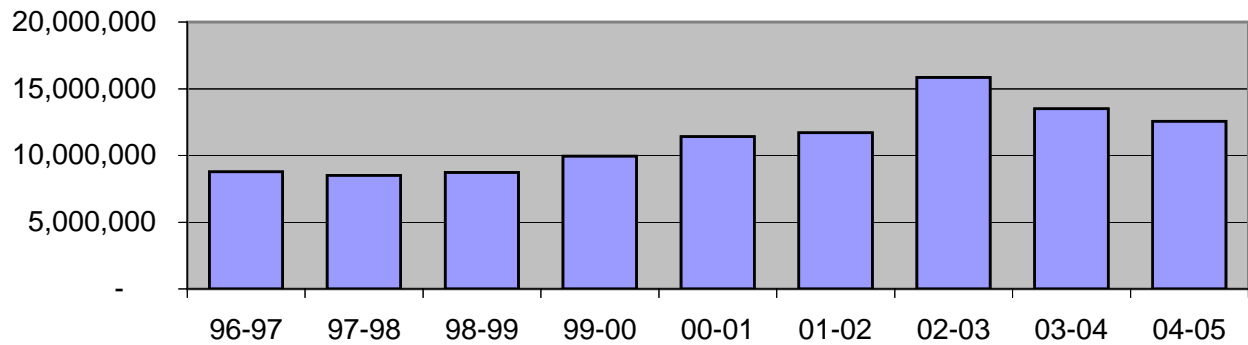


Non-Federal Awards

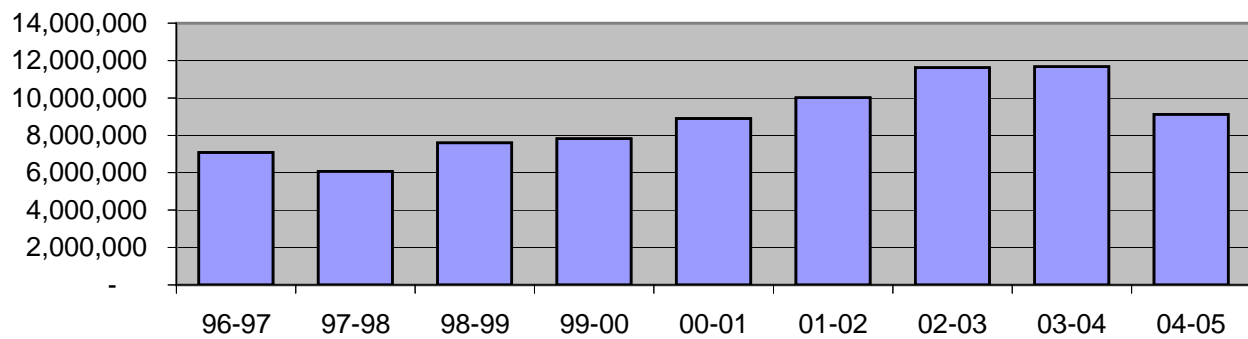


University of Wisconsin - La Crosse
Gifts, Grants, and Contracts Awarded
FY 1996-1997 Through 2004-2005

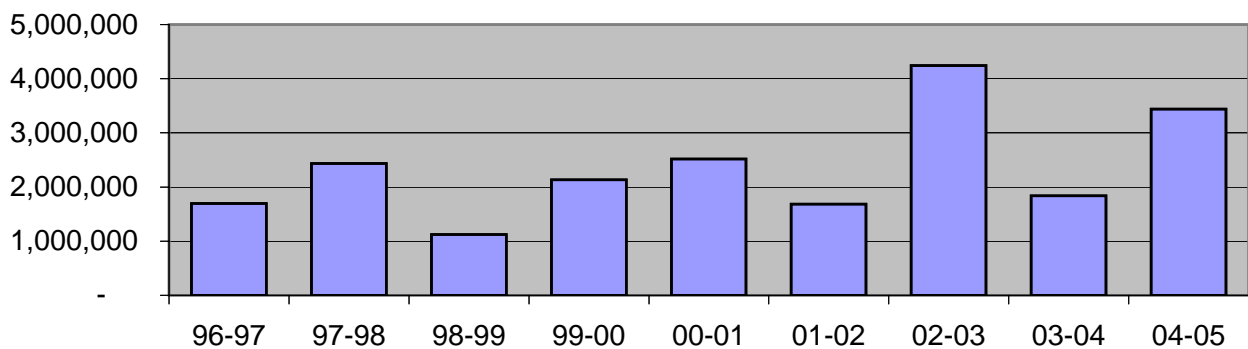
Total Awards



Federal Awards

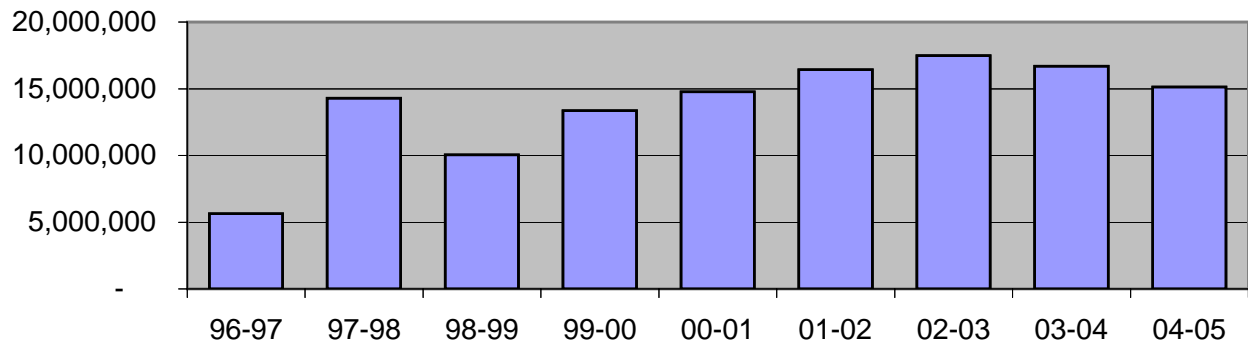


Non-Federal Awards

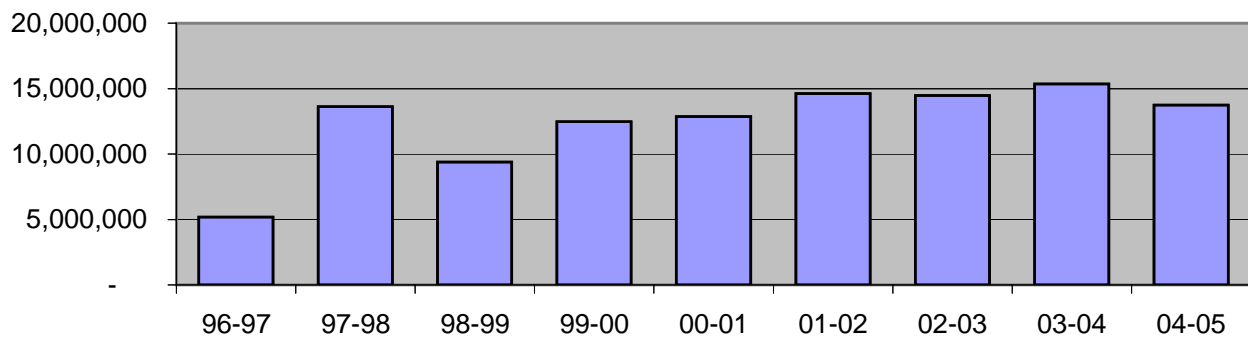


University of Wisconsin - Oshkosh
Gifts, Grants, and Contracts Awarded
FY 1996-1997 Through 2004-2005

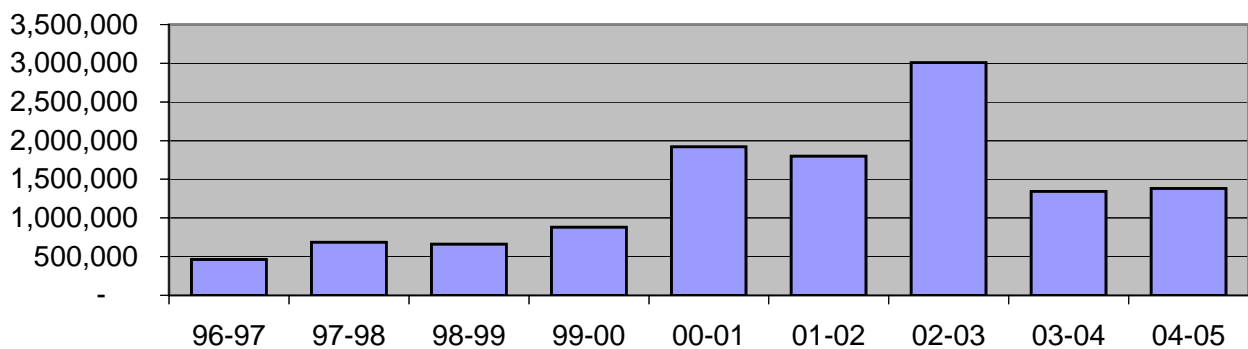
Total Awards



Federal Awards

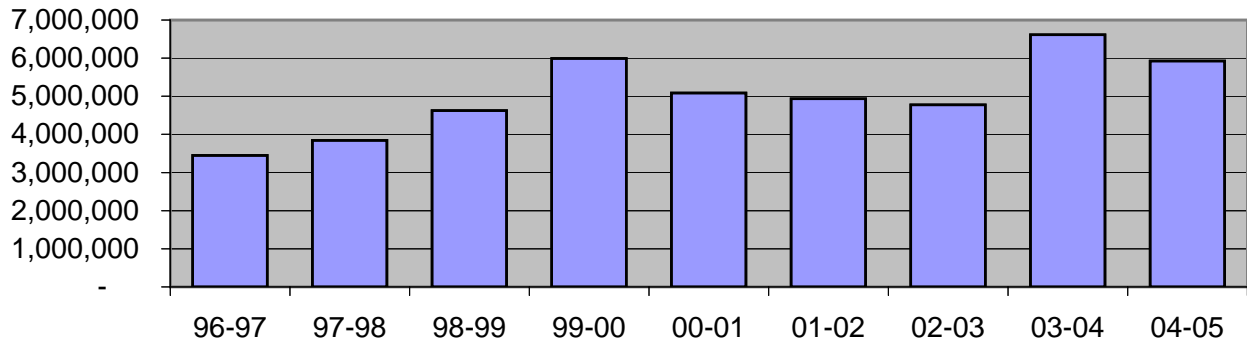


Non-Federal Awards

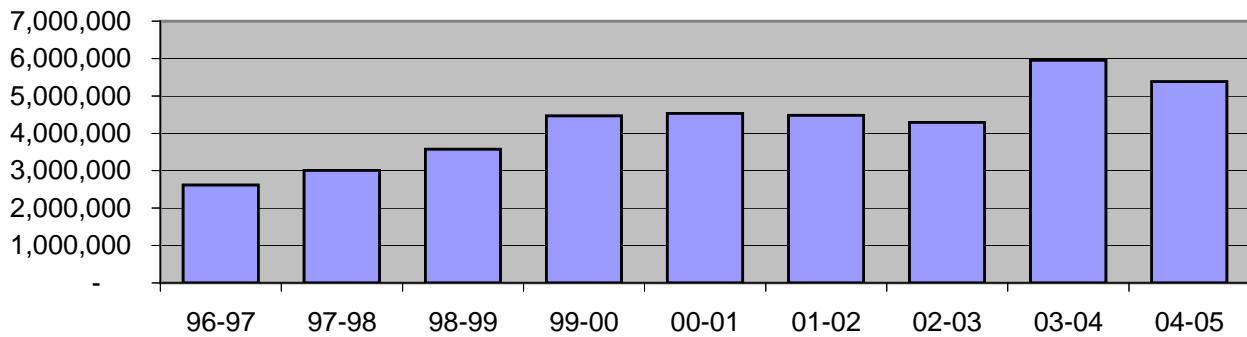


University of Wisconsin - Parkside
Gifts, Grants, and Contracts Awarded
FY 1996-1997 Through 2004-2005

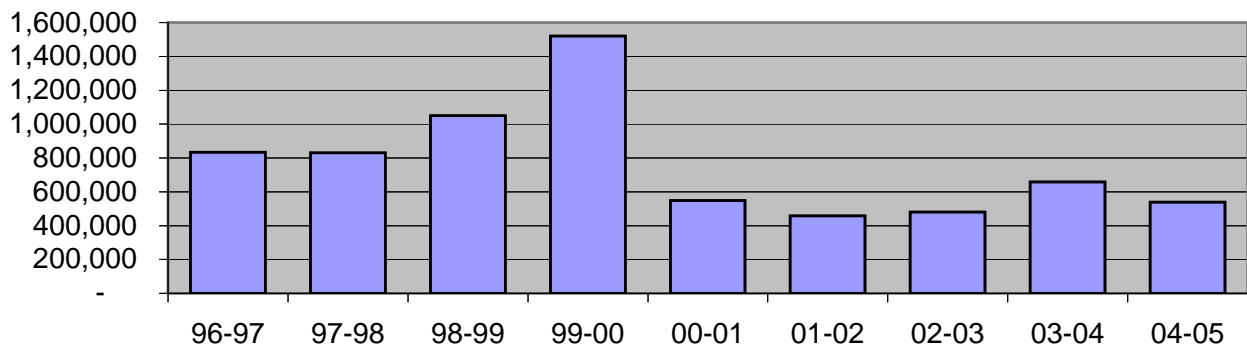
Total Awards



Federal Awards

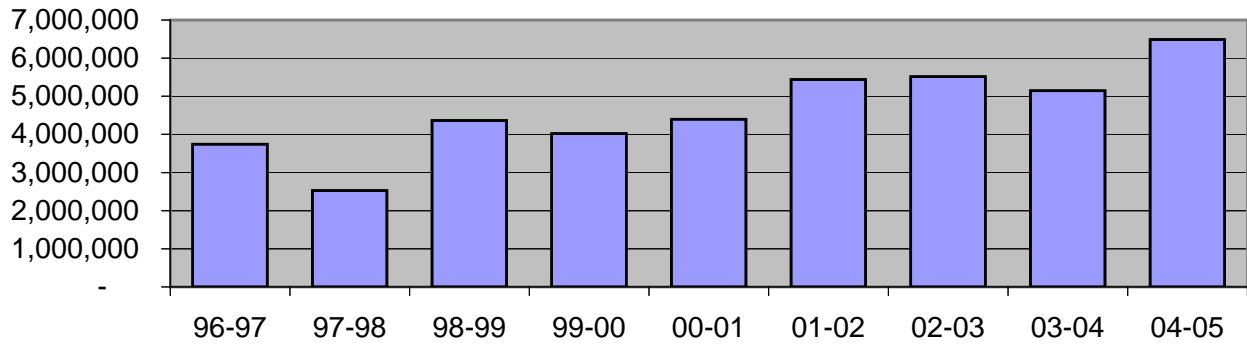


Non-Federal Awards

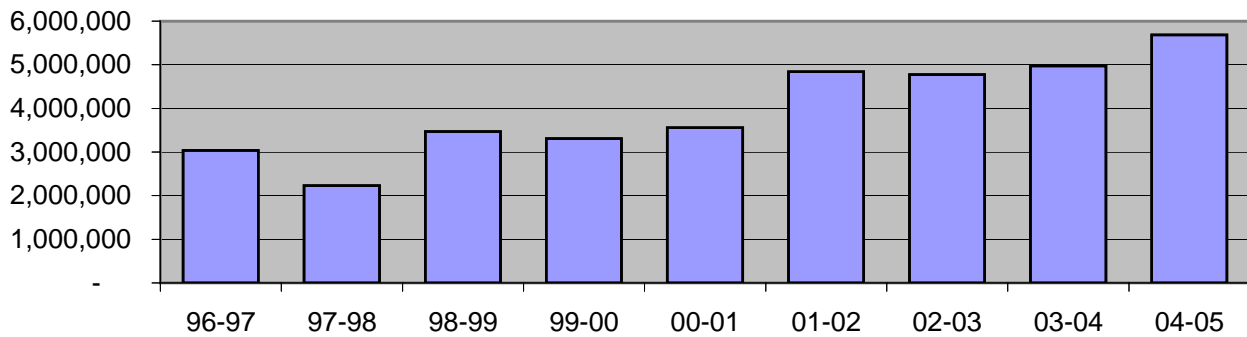


University of Wisconsin - Platteville
Gifts, Grants, and Contracts Awarded
FY 1996-1997 Through 2004-2005

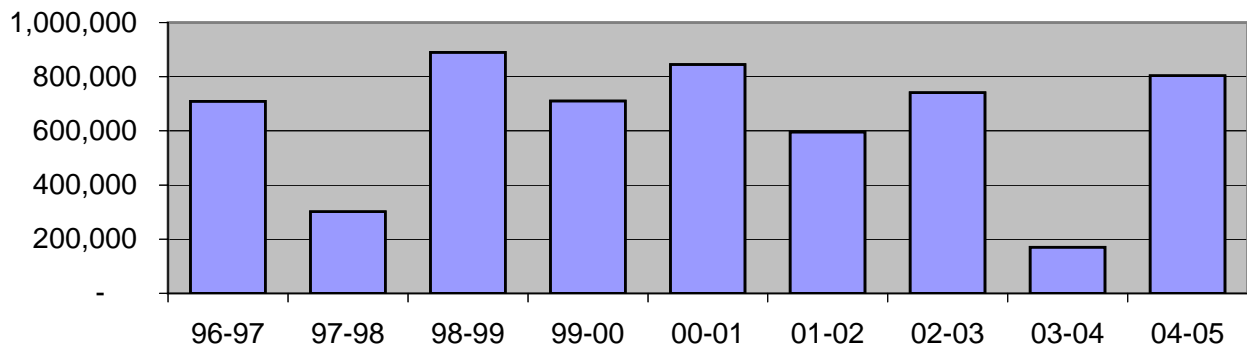
Total Awards



Federal Awards

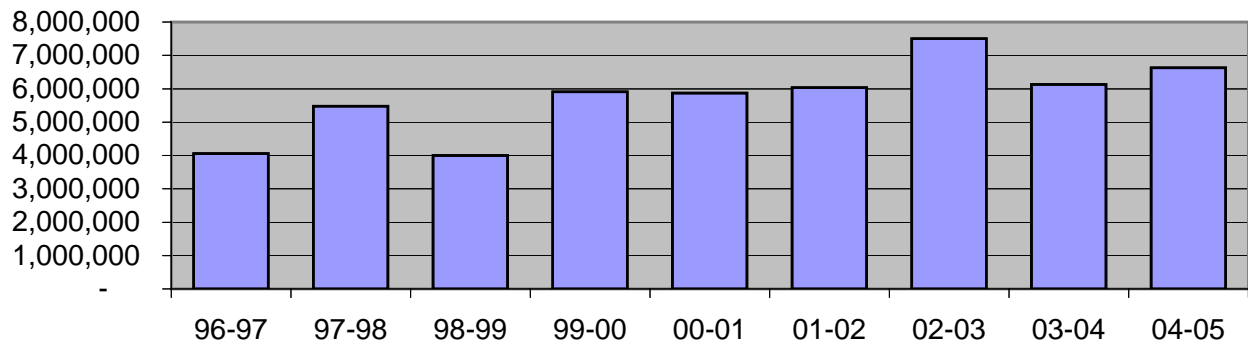


Non-Federal Awards

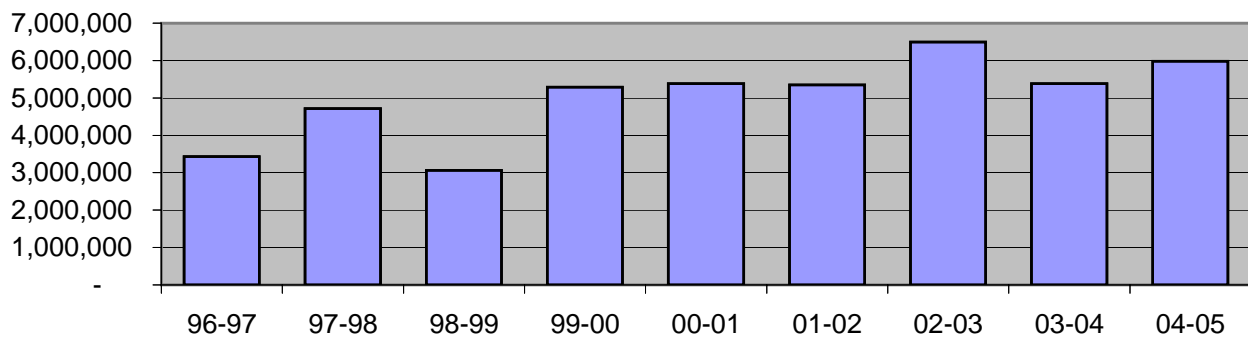


University of Wisconsin - River Falls
Gifts, Grants, and Contracts Awarded
FY 1996-1997 Through 2004-2005

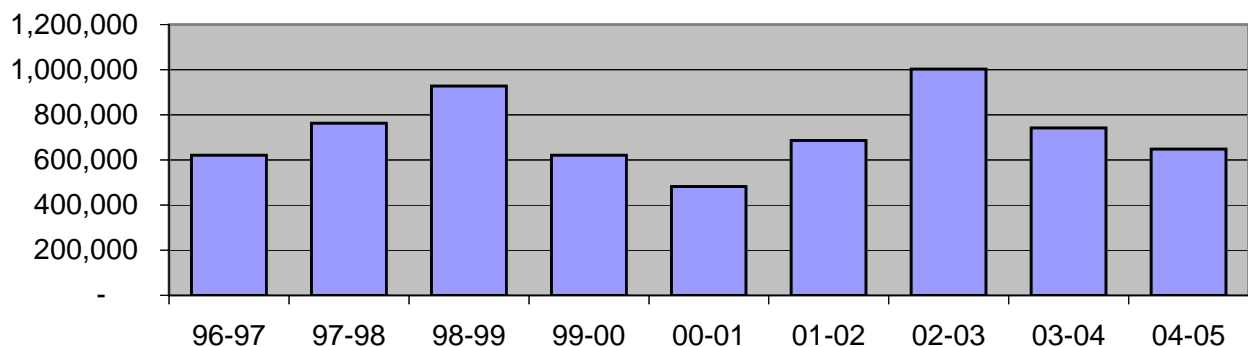
Total Awards



Federal Awards

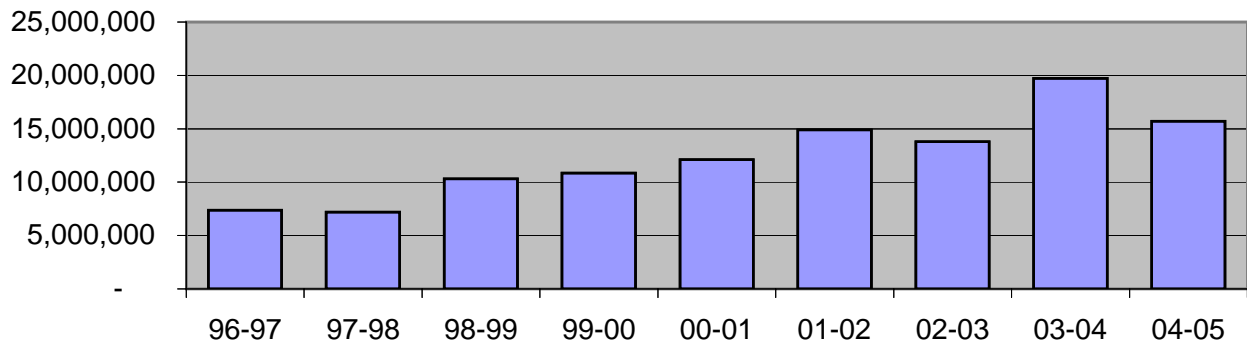


Non-Federal Awards

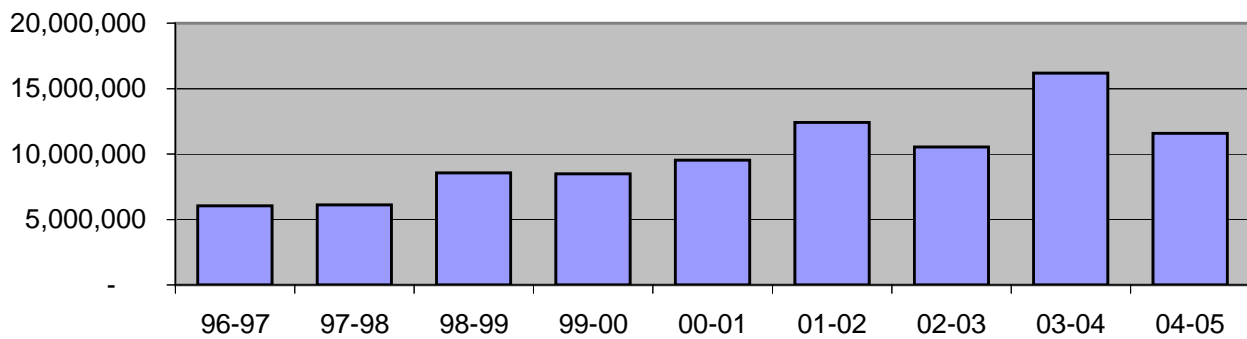


University of Wisconsin - Stevens Point
Gifts, Grants, and Contracts Awarded
FY 1996-1997 Through 2004-2005

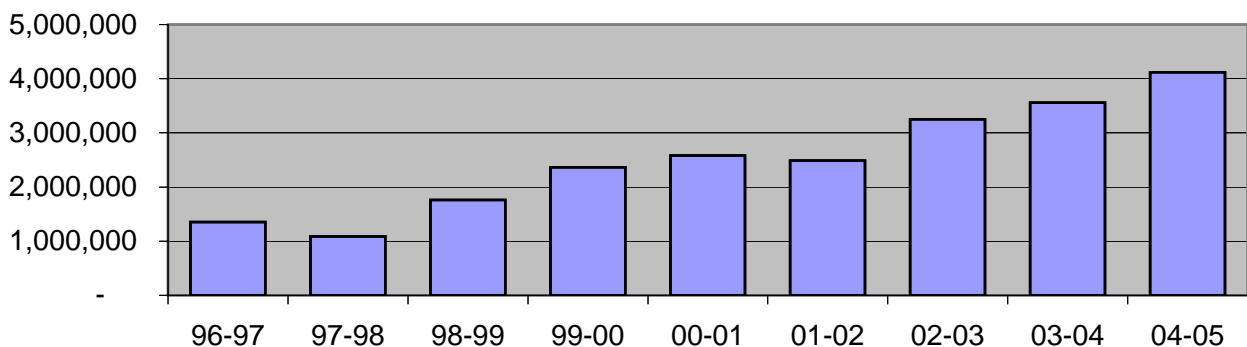
Total Awards



Federal Awards

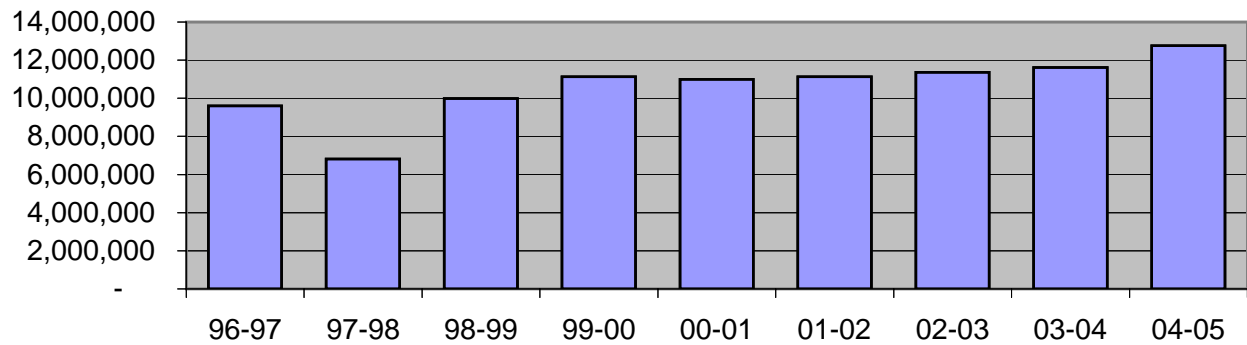


Non-Federal Awards

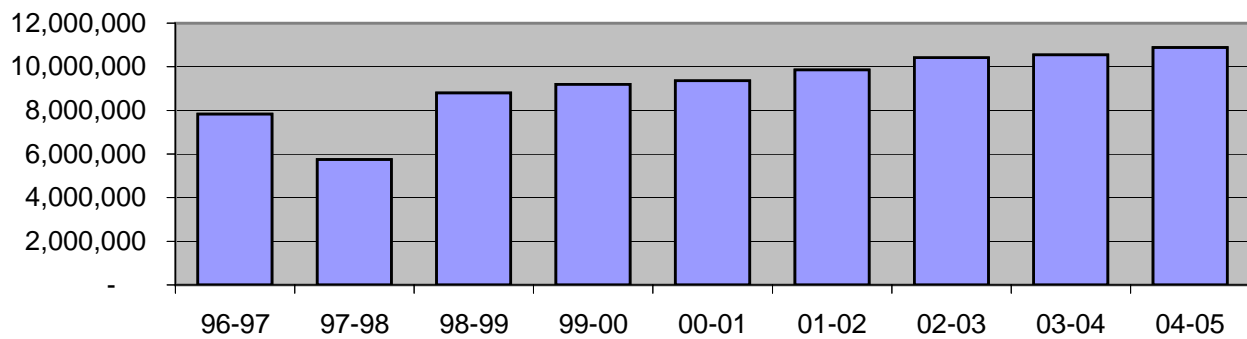


University of Wisconsin - Stout
Gifts, Grants, and Contracts Awarded
FY 1996-1997 Through 2004-2005

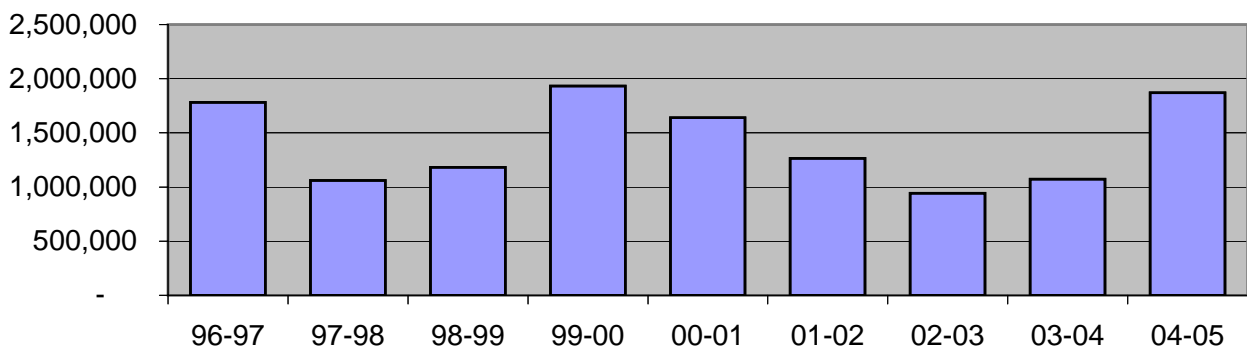
Total Awards



Federal Awards

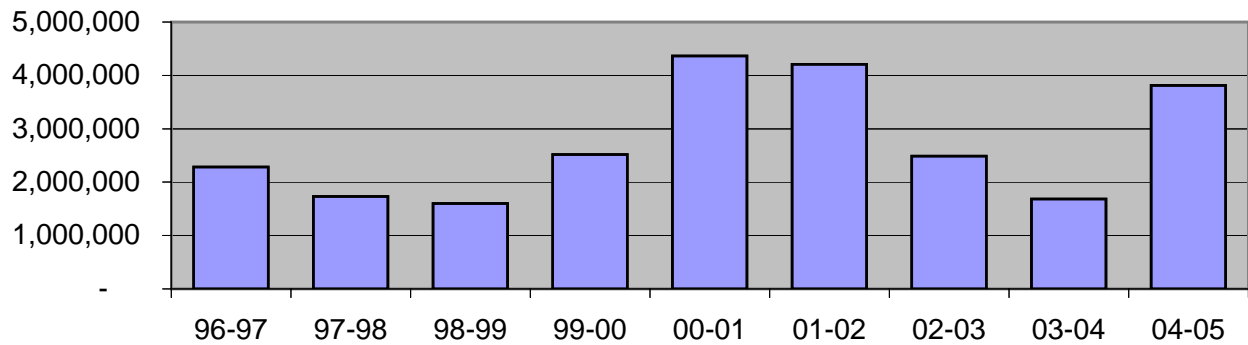


Non-Federal Awards

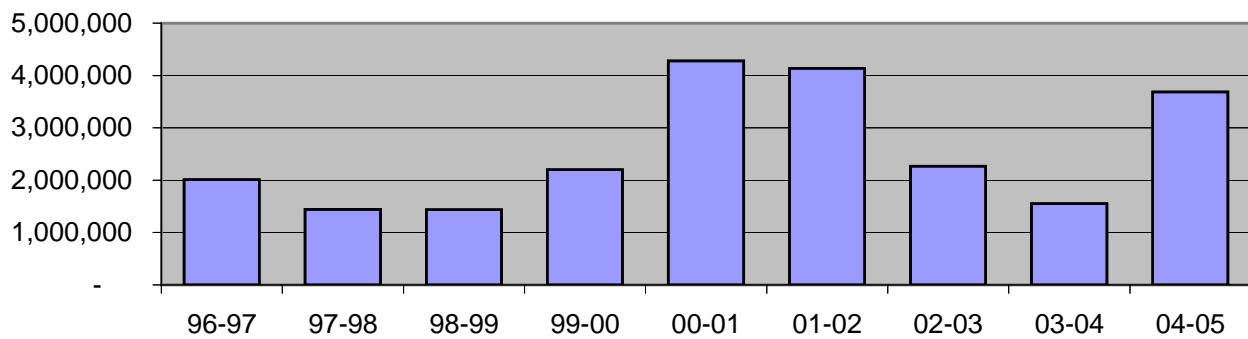


University of Wisconsin - Superior
Gifts, Grants, and Contracts Awarded
FY 1996-1997 Through 2004-2005

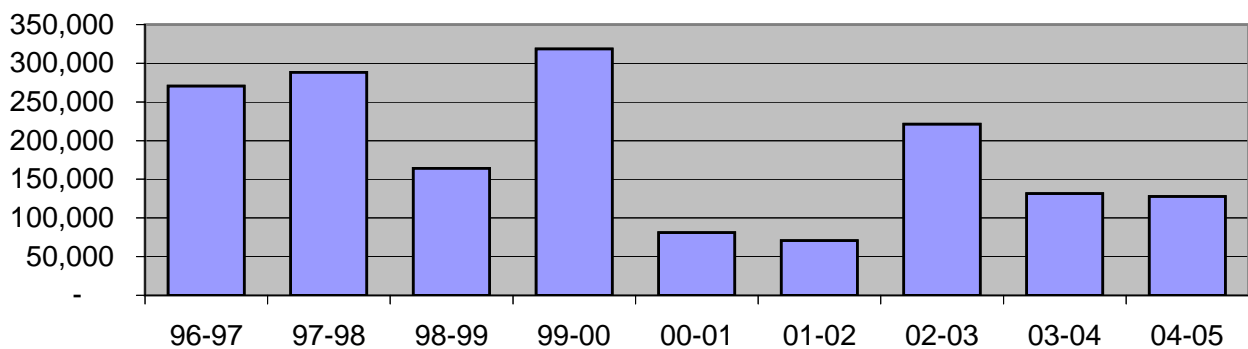
Total Awards



Federal Awards

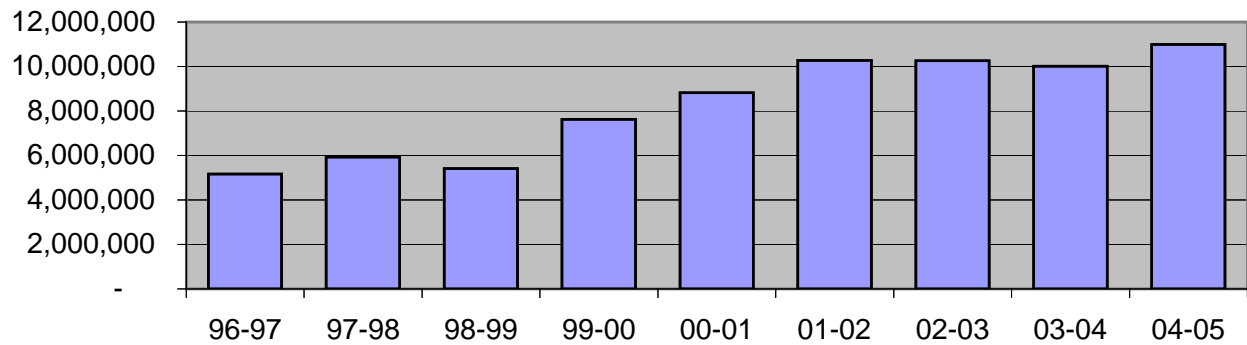


Non-Federal Awards

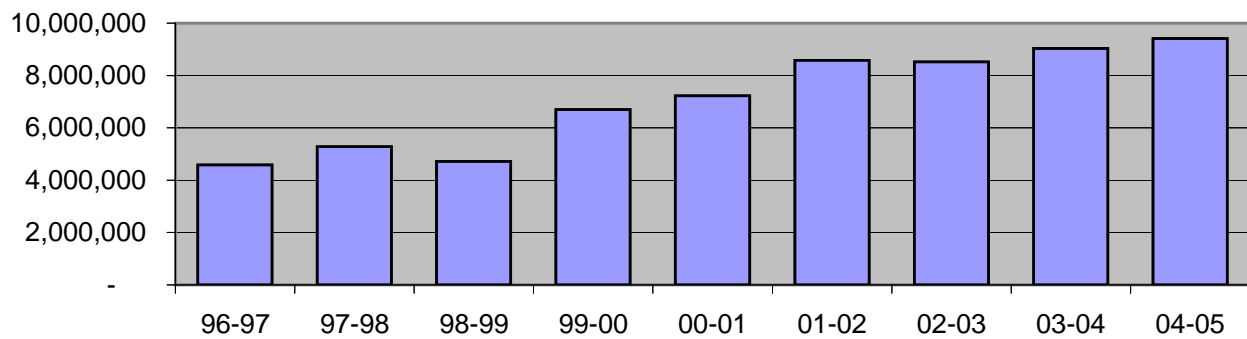


University of Wisconsin - Whitewater
Gifts, Grants, and Contracts Awarded
FY 1996-1997 Through 2004-2005

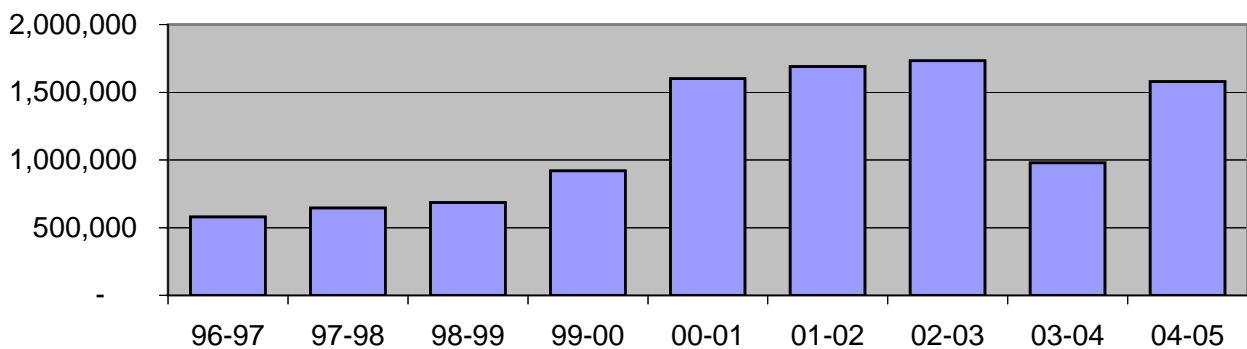
Total Awards



Federal Awards

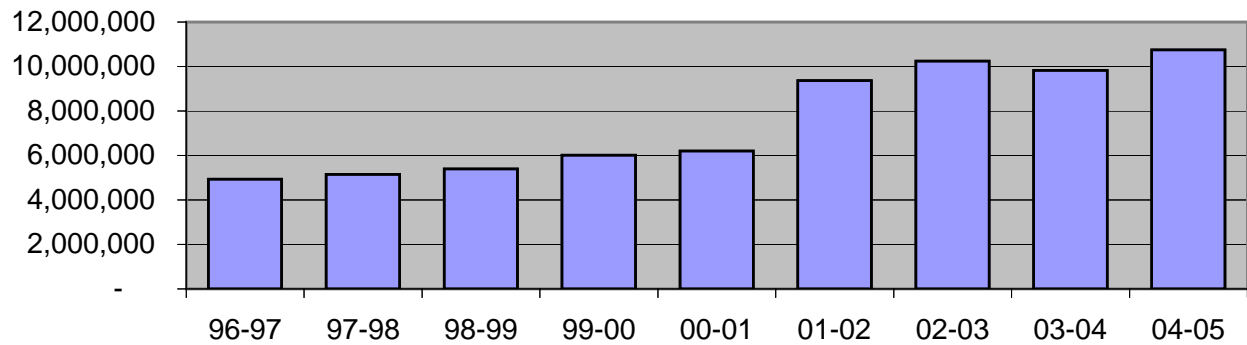


Non-Federal Awards

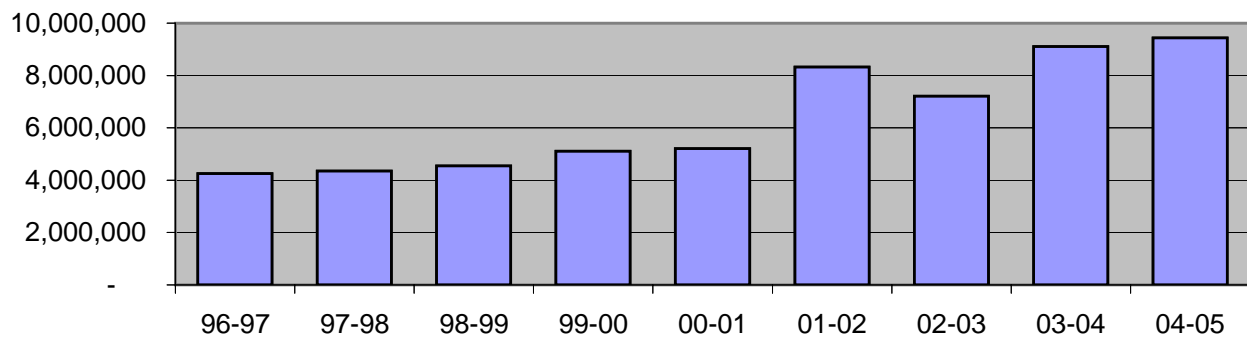


University of Wisconsin - Colleges
Gifts, Grants, and Contracts Awarded
FY 1996-1997 Through 2004-2005

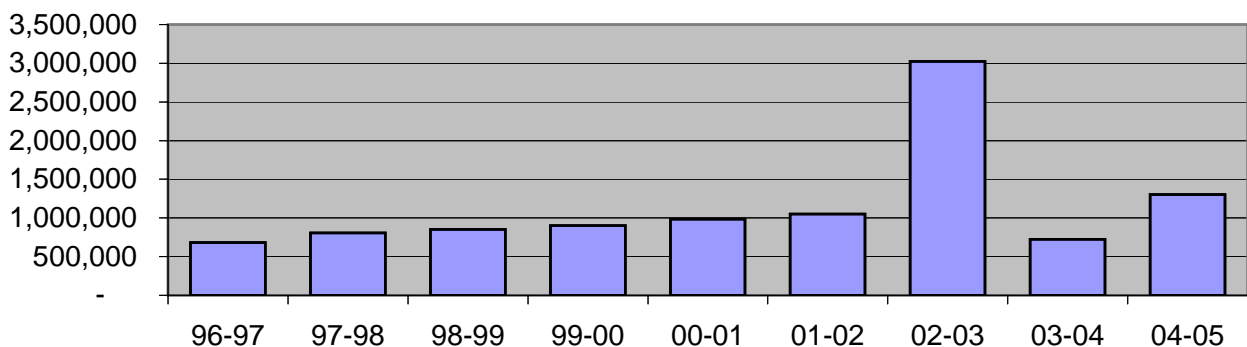
Total Awards



Federal Awards

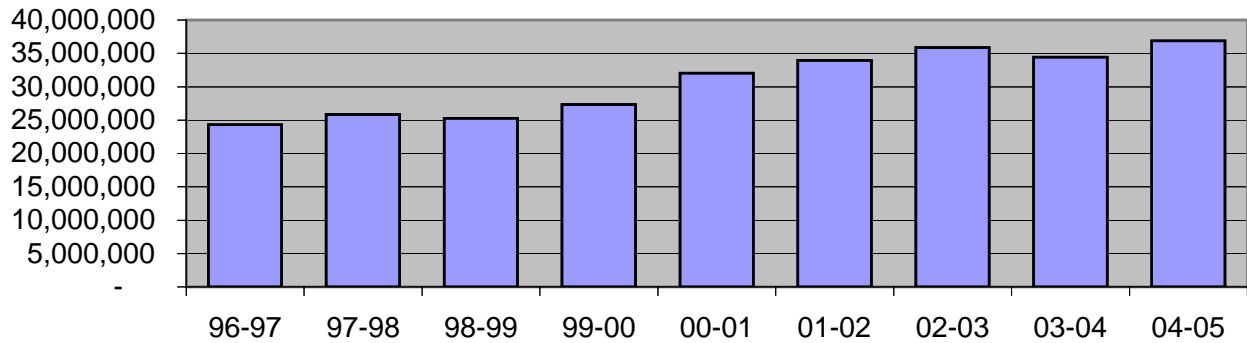


Non-Federal Awards

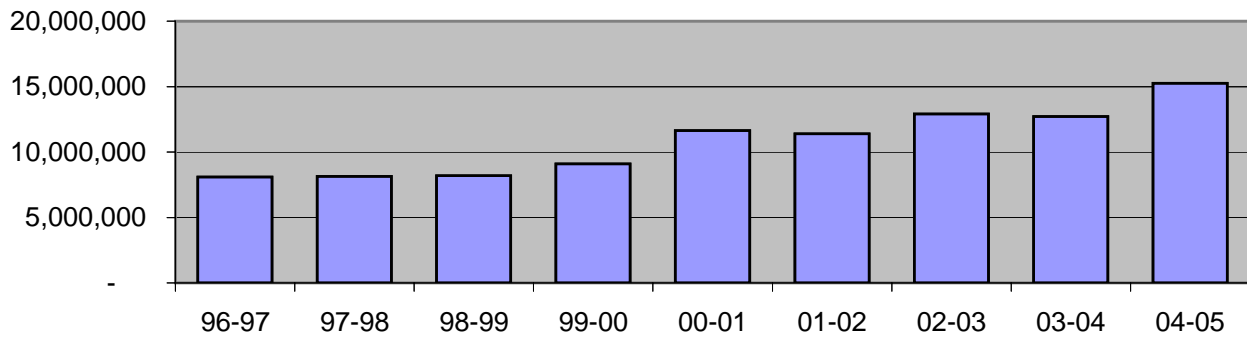


University of Wisconsin - Extension
Gifts, Grants, and Contracts Awarded
FY 1996-1997 Through 2004-2005

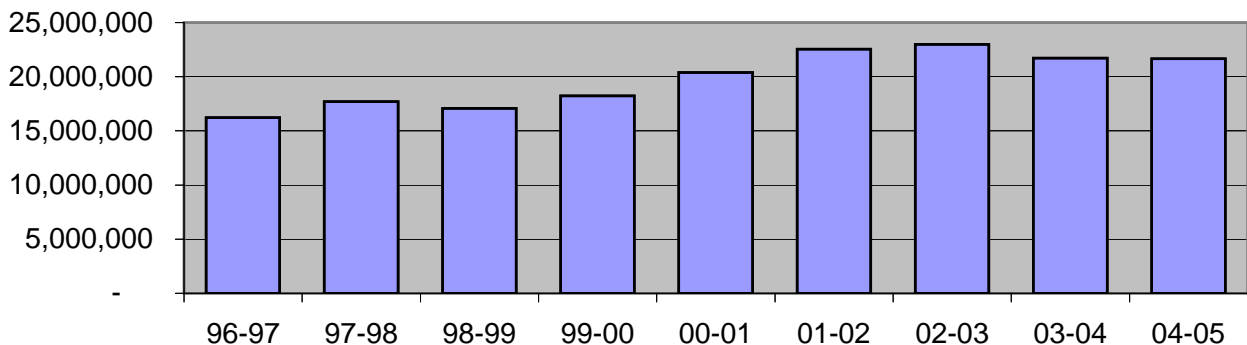
Total Awards



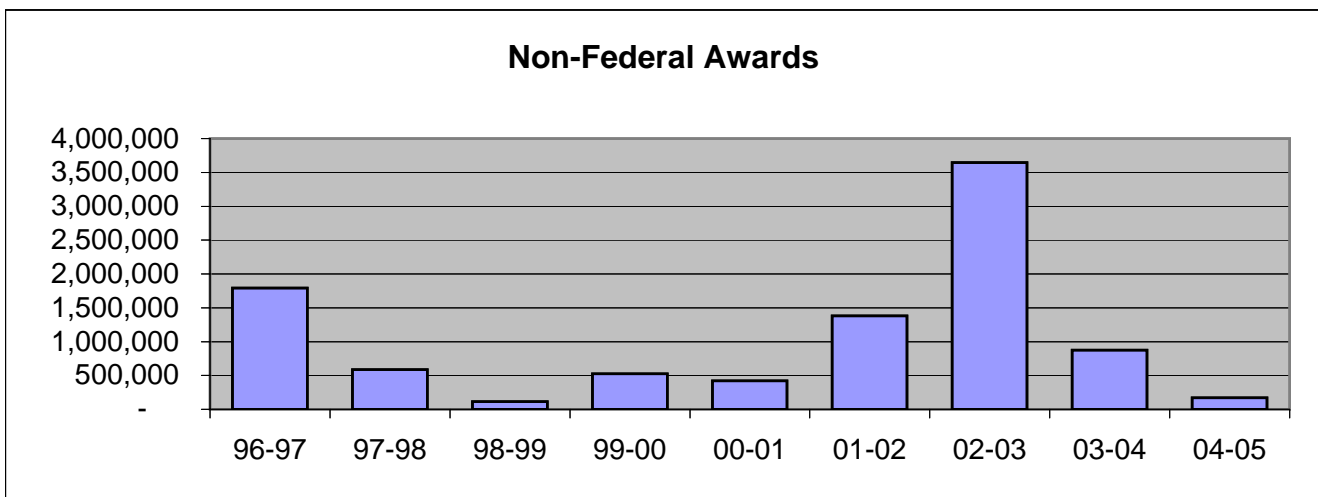
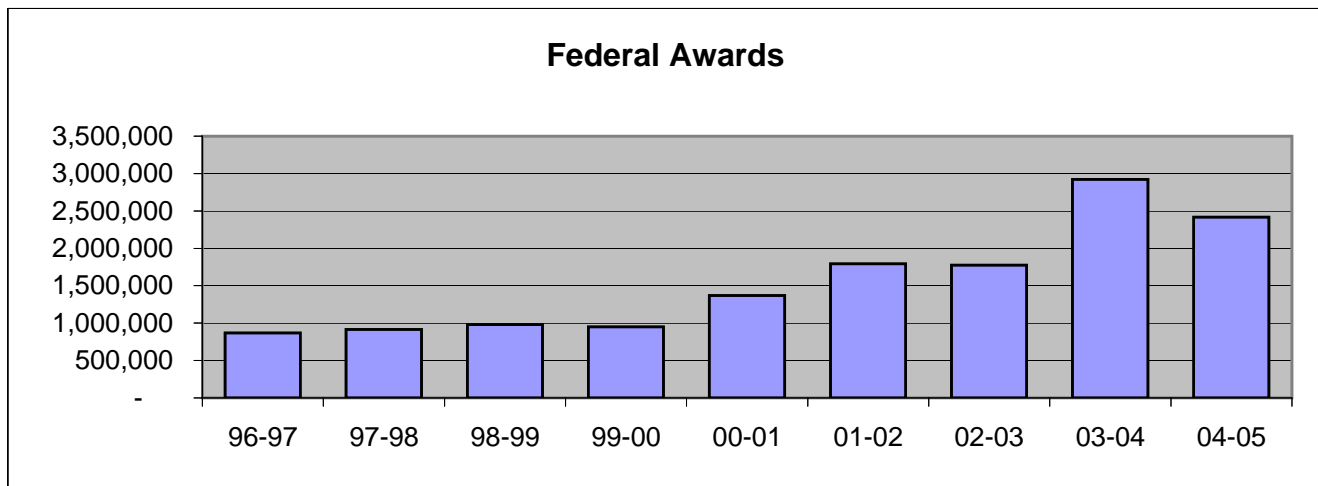
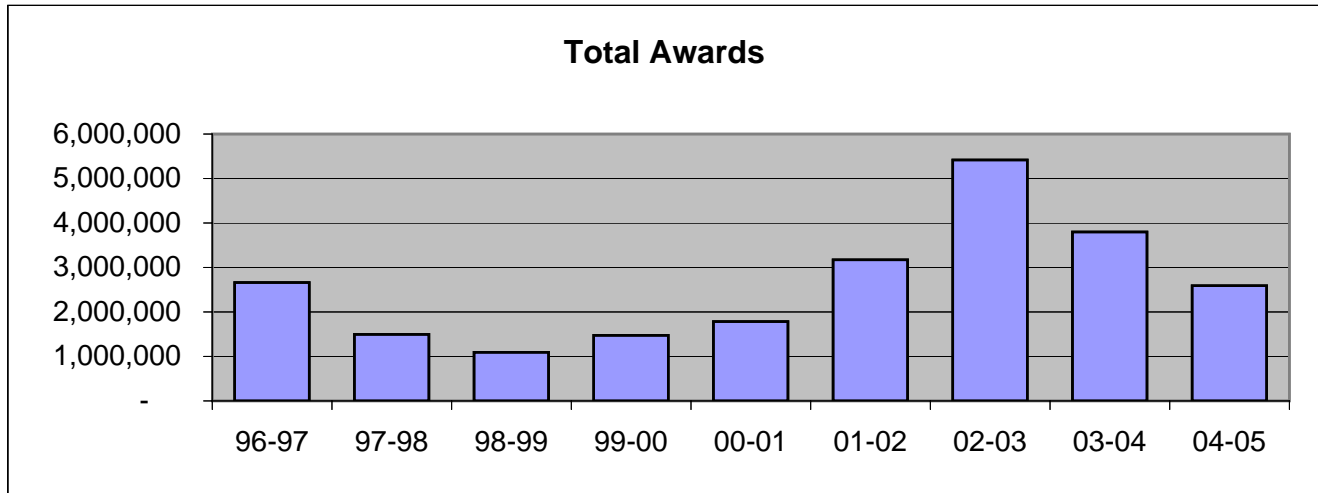
Federal Awards



Non-Federal Awards



**University of Wisconsin - System Administration / Systemwide
Gifts, Grants, and Contracts Awarded
FY 1996-1997 Through 2004-2005**



FEDERAL AWARDS

| Fiscal Year | UW System Total | Madison | Milwaukee | Extension | Eau Claire | Green Bay | La Crosse | Oshkosh | Parkside |
|-------------|-----------------|-------------|------------|------------|------------|-----------|------------|------------|-----------|
| 96-97 | 374,533,686 | 288,003,139 | 19,952,476 | 8,098,387 | 7,725,469 | 3,823,307 | 7,084,414 | 5,174,759 | 2,616,169 |
| 97-98 | 375,190,080 | 274,644,249 | 24,981,704 | 8,143,534 | 10,666,995 | 3,248,458 | 6,081,157 | 13,608,658 | 3,011,585 |
| 98-99 | 434,156,168 | 332,810,737 | 25,496,453 | 8,179,843 | 7,147,540 | 4,372,907 | 7,606,123 | 9,385,108 | 3,576,925 |
| 99-00 | 471,533,591 | 346,596,693 | 34,902,175 | 9,104,587 | 9,985,878 | 4,939,207 | 7,817,121 | 12,477,704 | 4,470,229 |
| 00-01 | 543,811,811 | 412,370,561 | 33,805,355 | 11,648,470 | 7,516,744 | 6,282,388 | 8,897,487 | 12,858,858 | 4,535,445 |
| 01-02 | 615,749,239 | 463,093,661 | 39,945,223 | 11,385,749 | 10,712,228 | 6,189,179 | 10,014,504 | 14,626,642 | 4,480,786 |
| 02-03 | 629,204,107 | 472,106,954 | 43,241,480 | 12,900,127 | 11,861,077 | 6,694,338 | 11,617,485 | 14,475,084 | 4,291,809 |
| 03-04 | 768,697,711 | 592,193,195 | 50,015,521 | 12,714,470 | 11,961,410 | 9,117,491 | 11,665,650 | 15,355,121 | 5,959,829 |
| 04-05 | 821,384,153 | 654,281,048 | 45,242,037 | 15,246,038 | 10,793,969 | 8,481,801 | 9,122,046 | 13,739,277 | 5,383,685 |
| Change | 446,850,467 | 366,277,909 | 25,289,561 | 7,147,651 | 3,068,500 | 4,658,494 | 2,037,632 | 8,564,518 | 2,767,516 |

NONFEDERAL AWARDS

| Fiscal Year | UW System Total | Madison | Milwaukee | Extension | Eau Claire | Green Bay | La Crosse | Oshkosh | Parkside |
|-------------|-----------------|-------------|------------|------------|------------|-----------|-----------|-----------|-----------|
| 96-97 | 219,893,328 | 184,135,237 | 6,443,564 | 16,231,493 | 1,595,725 | 707,482 | 1,697,293 | 461,797 | 834,346 |
| 97-98 | 272,714,398 | 232,431,243 | 8,066,323 | 17,703,497 | 1,332,063 | 3,696,818 | 2,437,244 | 685,415 | 830,060 |
| 98-99 | 258,105,904 | 220,321,984 | 9,696,138 | 17,091,634 | 564,912 | 1,021,191 | 1,123,646 | 659,921 | 1,049,362 |
| 99-00 | 296,526,310 | 250,976,418 | 11,725,753 | 18,222,765 | 1,204,687 | 1,571,495 | 2,132,744 | 879,360 | 1,521,307 |
| 00-01 | 327,382,268 | 281,174,592 | 9,791,780 | 20,376,362 | 347,539 | 2,067,688 | 2,518,373 | 1,920,972 | 549,227 |
| 01-02 | 304,750,802 | 257,182,367 | 8,761,489 | 22,552,756 | 1,525,584 | 1,563,118 | 1,683,213 | 1,798,544 | 456,784 |
| 02-03 | 360,133,242 | 300,401,439 | 12,003,900 | 22,978,316 | 1,103,779 | 1,351,009 | 4,245,209 | 3,008,459 | 481,296 |
| 03-04 | 366,895,878 | 317,442,881 | 12,931,264 | 21,709,878 | 1,578,703 | 1,143,690 | 1,836,602 | 1,345,962 | 657,280 |
| 04-05 | 354,624,617 | 303,460,926 | 10,756,650 | 21,649,186 | 1,832,198 | 938,281 | 3,439,710 | 1,381,505 | 539,002 |
| Change | 134,731,289 | 119,325,689 | 4,313,086 | 5,417,693 | 236,473 | 230,799 | 1,742,417 | 919,708 | (295,344) |

GRAND TOTALS

| Fiscal Year | UW System Total | Madison | Milwaukee | Extension | Eau Claire | Green Bay | La Crosse | Oshkosh | Parkside |
|-------------|-----------------|-------------|------------|------------|------------|------------|------------|------------|-----------|
| 96-97 | 594,427,014 | 472,138,376 | 26,396,040 | 24,329,880 | 9,321,194 | 4,530,789 | 8,781,707 | 5,636,556 | 3,450,515 |
| 97-98 | 647,904,478 | 507,075,492 | 33,048,027 | 25,847,031 | 11,999,058 | 6,945,276 | 8,518,401 | 14,294,073 | 3,841,645 |
| 98-99 | 692,262,073 | 553,132,721 | 35,192,591 | 25,271,477 | 7,712,452 | 5,394,098 | 8,729,769 | 10,045,029 | 4,626,287 |
| 99-00 | 768,059,900 | 597,573,111 | 46,627,928 | 27,327,352 | 11,190,565 | 6,510,702 | 9,949,865 | 13,357,064 | 5,991,536 |
| 00-01 | 871,194,079 | 693,545,153 | 43,597,135 | 32,024,832 | 7,864,283 | 8,350,076 | 11,415,860 | 14,779,830 | 5,084,672 |
| 01-02 | 920,500,041 | 720,276,028 | 48,706,712 | 33,938,505 | 12,237,812 | 7,752,297 | 11,697,717 | 16,425,186 | 4,937,570 |
| 02-03 | 989,337,348 | 772,508,393 | 55,245,380 | 35,878,443 | 12,964,856 | 8,045,346 | 15,862,694 | 17,483,543 | 4,773,105 |
| 03-04 | 1,135,593,592 | 909,636,076 | 62,946,785 | 34,424,348 | 13,540,113 | 10,261,182 | 13,502,252 | 16,701,083 | 6,617,109 |
| 04-05 | 1,176,008,770 | 957,741,974 | 55,998,687 | 36,895,224 | 12,626,167 | 9,420,082 | 12,561,756 | 15,120,782 | 5,922,687 |
| Change | 581,581,756 | 485,603,598 | 29,602,647 | 12,565,344 | 3,304,973 | 4,889,293 | 3,780,049 | 9,484,226 | 2,472,172 |

FEDERAL AWARDS

| Fiscal Year | Platteville | River Falls | Stevens Point | Stout | Superior | Whitewater | Colleges | SA / SW |
|--------------------|--------------------|--------------------|----------------------|------------------|------------------|-------------------|------------------|------------------|
| 96-97 | 3,033,243 | 3,431,838 | 6,035,557 | 7,828,510 | 2,011,677 | 4,587,464 | 4,257,741 | 869,536 |
| 97-98 | 2,227,819 | 4,717,822 | 6,116,084 | 5,750,823 | 1,442,433 | 5,283,017 | 4,351,542 | 914,200 |
| 98-99 | 3,471,249 | 3,067,755 | 8,551,208 | 8,803,102 | 1,438,954 | 4,724,315 | 4,545,815 | 978,135 |
| 99-00 | 3,309,227 | 5,291,639 | 8,486,061 | 9,199,246 | 2,202,541 | 6,698,537 | 5,104,127 | 948,618 |
| 00-01 | 3,554,629 | 5,384,235 | 9,523,338 | 9,355,771 | 4,280,937 | 7,220,765 | 5,208,804 | 1,368,025 |
| 01-02 | 4,842,886 | 5,345,917 | 12,417,170 | 9,862,796 | 4,135,936 | 8,578,846 | 8,322,983 | 1,794,733 |
| 02-03 | 4,774,318 | 6,496,606 | 10,539,206 | 10,422,432 | 2,268,194 | 8,524,589 | 7,215,964 | 1,774,444 |
| 03-04 | 4,976,595 | 5,386,894 | 16,175,833 | 10,551,941 | 1,553,900 | 9,039,899 | 9,107,456 | 2,922,506 |
| 04-05 | 5,684,309 | 5,983,666 | 11,591,041 | 10,879,007 | 3,687,120 | 9,412,527 | 9,439,388 | 2,417,194 |
| Change | 2,651,066 | 2,551,828 | 5,555,484 | 3,050,497 | 1,675,443 | 4,825,063 | 5,181,647 | 1,547,658 |

NONFEDERAL AWARDS

| Fiscal Year | Platteville | River Falls | Stevens Point | Stout | Superior | Whitewater | Colleges | SA / SW |
|--------------------|--------------------|--------------------|----------------------|---------------|------------------|-------------------|-----------------|--------------------|
| 96-97 | 709,034 | 621,547 | 1,352,285 | 1,780,903 | 270,453 | 579,436 | 681,877 | 1,790,856 |
| 97-98 | 301,230 | 762,528 | 1,084,572 | 1,060,396 | 288,440 | 645,571 | 804,682 | 584,317 |
| 98-99 | 889,762 | 928,304 | 1,760,416 | 1,182,962 | 164,248 | 686,437 | 851,873 | 113,114 |
| 99-00 | 710,091 | 620,617 | 2,362,670 | 1,932,005 | 318,417 | 920,381 | 903,821 | 523,779 |
| 00-01 | 844,594 | 482,267 | 2,580,034 | 1,640,987 | 81,200 | 1,600,570 | 986,655 | 419,428 |
| 01-02 | 595,339 | 686,793 | 2,490,983 | 1,264,124 | 70,769 | 1,689,842 | 1,050,130 | 1,378,967 |
| 02-03 | 740,596 | 1,002,910 | 3,248,293 | 943,635 | 221,509 | 1,732,017 | 3,026,209 | 3,644,666 |
| 03-04 | 170,119 | 742,511 | 3,558,430 | 1,072,671 | 131,550 | 979,602 | 722,092 | 872,643 |
| 04-05 | 803,836 | 648,190 | 4,121,986 | 1,872,010 | 127,990 | 1,579,686 | 1,302,662 | 170,799 |
| Change | 94,802 | 26,643 | 2,769,701 | 91,107 | (142,463) | 1,000,250 | 620,785 | (1,620,057) |

GRAND TOTALS

| Fiscal Year | Platteville | River Falls | Stevens Point | Stout | Superior | Whitewater | Colleges | SA / SW |
|--------------------|--------------------|--------------------|----------------------|------------------|------------------|-------------------|------------------|-----------------|
| 96-97 | 3,742,277 | 4,053,385 | 7,387,842 | 9,609,413 | 2,282,130 | 5,166,900 | 4,939,618 | 2,660,392 |
| 97-98 | 2,529,049 | 5,480,350 | 7,200,656 | 6,811,219 | 1,730,873 | 5,928,588 | 5,156,224 | 1,498,517 |
| 98-99 | 4,361,011 | 3,996,059 | 10,311,624 | 9,986,064 | 1,603,202 | 5,410,752 | 5,397,688 | 1,091,249 |
| 99-00 | 4,019,319 | 5,912,256 | 10,848,731 | 11,131,251 | 2,520,958 | 7,618,917 | 6,007,948 | 1,472,397 |
| 00-01 | 4,399,223 | 5,866,502 | 12,103,372 | 10,996,757 | 4,362,137 | 8,821,335 | 6,195,459 | 1,787,453 |
| 01-02 | 5,438,225 | 6,032,710 | 14,908,153 | 11,126,920 | 4,206,705 | 10,268,688 | 9,373,113 | 3,173,700 |
| 02-03 | 5,514,914 | 7,499,516 | 13,787,499 | 11,366,067 | 2,489,703 | 10,256,606 | 10,242,173 | 5,419,110 |
| 03-04 | 5,146,714 | 6,129,405 | 19,734,263 | 11,624,613 | 1,685,450 | 10,019,502 | 9,829,548 | 3,795,149 |
| 04-05 | 6,488,145 | 6,631,856 | 15,713,027 | 12,751,017 | 3,815,110 | 10,992,213 | 10,742,050 | 2,587,993 |
| Change | 2,745,868 | 2,578,471 | 8,325,185 | 3,141,604 | 1,532,980 | 5,825,313 | 5,802,432 | (72,399) |

UW-Milwaukee School of
Architecture and Urban Planning
Differential Tuition

BUSINESS AND FINANCE COMMITTEE

Resolution:

That, upon the recommendation of the President of the University of Wisconsin System and the Chancellor of the University of Wisconsin–Milwaukee, the Board of Regents approves the use of differential tuition for undergraduate and graduate students enrolled in the architecture program within UW-Milwaukee’s School of Architecture and Urban Planning. Differential tuition will be assessed at:

- \$11 per credit hour for all Department of Architecture courses, and
- An additional \$30 (\$41 total) per credit hour for all Department of Architecture courses at the 200-800 levels.

UW-MILWAUKEE SCHOOL OF ARCHITECTURE AND URBAN PLANNING DIFFERENTIAL TUITION

BACKGROUND

UW-Milwaukee proposes establishing a differential tuition to support a desktop computer workstation program with enhanced support services for architecture students enrolled in the School of Architecture and Urban Planning. This differential tuition will replace, at a lower cost to students, the existing service fee program for laptop computer-based instruction in the architecture program.

REQUESTED ACTION

The Board is asked to approve a differential tuition for undergraduate and graduate students enrolled in the architecture program within UW-Milwaukee's School of Architecture and Urban Planning (SARUP) to support a desktop computer workstation program with enhanced support services. Differential tuition will be assessed at:

- \$11 per credit hour for all Department of Architecture courses, and
- An additional \$30 (\$41 total) per credit hour for all courses at the 200-800 levels.

An advisory committee, appointed annually by the UW-Milwaukee Student Association, the SARUP American Institute of Architecture Students (AIAS,) and the Dean of the SARUP, will review and approve the annual computer replacement plan and support services staffing plan. After fall 2006, the differential tuition will increase by five percent annually, unless the advisory committee concludes that a smaller increase will be sufficient to meet current and projected costs. The proposed differential tuition will be reviewed by the UW-Milwaukee Student Senate after the third year of operation.

DISCUSSION AND RECOMMENDATIONS

Since fall 2002, the School of Architecture and Urban Planning (SARUP) has required architecture students to participate in the e3 program which provides each student with a laptop computer. Under this program, students pay a per-semester service fee of \$525. The fee covers lease of the laptop, software, security, insurance against theft and damage, and complete maintenance or replacement as needed.

Laptops, while popular with students because of their portability, lack the power to keep up with the increasing demands of software programs used in professional practice. While the use of laptops is consistent with the majority of peer architecture programs that require students to purchase a personal computer, SARUP's experience with laptops has convinced the faculty and students that laptops are an inferior platform for performing professional quality architecture work.

The implementation of differential tuition-funded desktop workstations, along with their associated software and support, is needed to maintain the quality of education that students and Wisconsin-based architecture firms expect SARUP to provide. The proposed differential tuition would replace the current laptop program and would:

- Allow architectural education to keep pace with technological changes and assure the highest quality of architectural education in Wisconsin through access to:
 - the same high-performance desktop environment that students will later use in practice;
 - the full range of the latest software tools for developing and communicating design ideas and specifications;
 - a wide range of digital output devices, including projectors, printers, and 3D rapid prototyping (i.e., 3D “printing”).
- Provide enhanced computer support and software-specific instruction.
- **Reduce costs to students** while providing better equipment, higher levels of support, and a better architecture education:
 - Revising the e3 program will **save students \$100 each semester** over the cost of continuing the current laptop program;
 - Purchasing computers through the university, rather than requiring students to purchase their own, will save students money on purchase costs and will relieve students of the burdens and frustrations of maintaining their own computers.

Differential tuition for SARUP would be assessed at \$11 per credit hour for all Department of Architecture courses, and an additional \$30 (\$41 total) per credit hour for all courses at the 200-800 levels. After Fall 2006, the differential tuition will increase by five percent annually, unless the advisory committee concludes that a smaller increase will be sufficient to meet current and projected costs.

The differential funds will be used to support:

- Implementation of a desktop computer program, including computer workstations, appropriate software, and access to a range of output devices appropriate for different applications;
- Additional support staff to provide enhanced hardware and software support.

The UW-Milwaukee Student Association voted twenty-five to five in support of the proposed differential tuition.

An annually appointed advisory committee will review and approve the annual computer replacement plan and support services staffing plan. Membership on the committee will include three architecture faculty members, three students appointed by the SARUP American Institute of Architecture Students (AIAS,) three architecture students appointed and confirmed by the UW-Milwaukee Student Association, and non-voting ex officio members, as appropriate.

The proposed differential tuition program will be reviewed by the UW-Milwaukee Student Senate after the third year of operation.

RELATED REGENT POLICIES

Study of the UW System in the 21st Century (June 1996)

Regent Policy #99-2: Student Involvement In Differential Tuition Initiatives (May 1999)

OFFICE OF OPERATIONS REVIEW AND AUDIT QUARTERLY STATUS UPDATE

BACKGROUND

This report is presented to the Board of Regents Business, Finance, and Audit Committee to provide: (1) a status report on the major projects the UW System Office of Operations Review and Audit is conducting; and (2) an update on Legislative Audit Bureau projects in the UW System.

REQUESTED ACTION

For information only.

MAJOR OFFICE OF OPERATIONS REVIEW AND AUDIT PROJECTS

- (1) Police and Security Operations has been completed, and an update on the implementation of the recommendations will be provided.
- (2) Early-Return-to-Work Efforts is focused on initiatives that seek to return ill or injured employees to work as soon as medically feasible. A report has been completed.
- (3) Oversight of Student Organizations identifies efforts to manage risk and reduce liability associated with student organization activities. A report is being drafted.
- (4) Segregated Fees reviews the process for determining segregated fees, trends in segregated-fee amounts, the purposes for which fees are used, and oversight of fee expenditures. Fieldwork is continuing.
- (5) Tuition Waivers will review policies and practices related to statutory and other tuition and fee remissions, waivers, and discounts. Fieldwork is continuing.
- (6) Academic Fees audits are being conducted at each UW institution to determine the adequacy of policies, procedures, and internal controls related to the assessment and collection of student fees.

LEGISLATIVE AUDIT BUREAU PROJECTS

The Legislative Audit Bureau (LAB) has been conducting several UW System-related projects: (1) an overall review of the UW System's personnel policies and practices has begun; (2) a review of the state's economic development programs, including programs in the UW System, is ongoing; and (3) the annual statewide single audit of major federal programs for FY 2004-05 is in progress and will be released in March.

UW AUDIT PROJECTS AND PLANS

BACKGROUND

This report provides the Business, Finance, and Audit Committee with information about audit activities, plans, and resources in the UW System. In the past, the Office of Operations Review and Audit has provided information about UW institutions' completed audit projects. This year's report also addresses audit planning.

REQUESTED ACTION

For information only.

DISCUSSION

Described below and in the accompanying tables are: UW institutions' audit projects, through the end of calendar year 2005; UW institutions' planned projects for the most recent planning period, fiscal year 2006; the UW System Office of Operations Review and Audit's tentative project list for calendar year 2006; and considerations in audit planning.

UW Institution Audit Projects

The UW System Office of Operations Review and Audit, as in past years, has collected and summarized information from the UW institutions about their audit projects. The purpose is twofold: to allow institution auditors to share information with each other, and to provide assurances to the Board of Regents that audits are being conducted in certain core areas.

Table 1 is an 18-month summary of UW institution audit projects, covering July 1, 2004 through December 31, 2005. Consistent with past reports, the majority of reported audit activity was in six core audit areas:

| Core Audit Area | Project Type | Code |
|-------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------|
| Cash Handling | Audit activities can cover functions that handle large sums of money, but also areas that produce revenue handled through internal transfers. | C |
| Payroll/Personnel | Audit activities can include ensuring proper handling of leave reporting and time sheets, removal of terminated employees from the payroll, etc. | P |
| Property Control | Federal regulations require annual inventory verification. | I |
| Auxiliary Operations | All institutions have several small auxiliary operations, and each institution must set its own materiality levels and scope of work. | A |
| Tuition and Segregated Fee Revenues | Thorough procedural documentation and an analytical review of revenue trends will limit the amount of testing needed to assure accountability. Compliance with Board and institution policy can also be reviewed. | T |
| Major Systems | Review of major processes or segments of major processes. | S |
| Other | Some projects cover functions or topics other than the six core areas. | O |

The six core areas are considered areas of high priority or risk. The frequency and scope of work performed in each area is based on the professional judgment of each UW institution auditor, who determines the risk and materiality of these areas in relation to the institution as a whole.

Specific projects completed during the 18-month period include: assessing the adequacy of internal controls over accounts payable, reviewing payroll policies, reviewing contracts, and numerous other types of review. UW auditors also sometimes served in a consulting role on committees charged with developing policies or implementing new systems.

UW Institution Project Planning

Audit plans can help ensure oversight of the adequacy of institutions' internal controls, progress toward operating and strategic goals, and compliance with external requirements. The plans need to be flexible, since periodic reviews of risk, requests from management, or changes in operations may result in adjustments to audit priorities.

Table 2 summarizes the more than 200 audits and reviews the UW institution auditors planned to conduct in fiscal year 2005-06. An institution's audit plan is typically a collaborative effort, with input from the Chief Business Officer, Provost, and Controller. A number of institutions use an audit committee to review proposed audits and provide feedback. An institution's audit committee, Chief Business Officer, or Chancellor generally approves the audit plan.

UW System Project Planning

The Office of Operations Review and Audit conducts research and analysis, often reviewing the implementation of Board of Regents policies, financial and administrative policies, or programs operated at the UW institutions. Also, individual UW institutions occasionally request assistance in reviewing sensitive issues.

Table 3 lists projects the Office of Operations Review and Audit tentatively plans to conduct during fiscal year 2006-07. The UW System's audit plan is reviewed by the President's Cabinet. The plan is subject to change because of the Office's need to be responsive to unanticipated, high-priority requests and special projects.

Future Planning Efforts

Audit-resource constraints suggest the need for more formal risk-based planning in the future. Current audit planning efforts typically involve a combination of precedent and auditors' and administrators' judgments about possible risk factors. More formal risk-based planning would involve managers from across each UW institution in a systematic analysis of potential risk factors, allowing institutions or UW System to allocate available resources by prioritizing higher-risk areas. The Office of Operations Review and Audit has begun discussing with institution auditors the goal of having each institution adopt a risk-based audit plan for fiscal year 2007-08. UW-Milwaukee and UW-Extension have already begun using risk-based audit planning.

Even with systematic risk-based planning, staffing limitations will affect planning efforts. Eight UW institutions have at least one full-time auditor, while four have a part-time auditor or audit function, and three have no auditor. The Office of Operations Review and Audit also lost one full-time-equivalent (FTE) position as part of the 2005-07 targeted budget reductions to UW System Administration. Last fall's CBO/Provost Efficiency Study was one effort to address audit resources. Based on that study, President Reilly recommended that each UW institution be given the option of maintaining or reinstating an auditor position; establishing regional collaboration of the audit function; or funding the UW System Office of Operations Review and Audit to perform the audit function, by returning the funding for one-half FTE position that was allocated to the institutions. The President concluded that to ensure effective stewardship of public resources, each UW institution must have an audit function in place.

Also affecting future planning efforts is the need for sufficient staff expertise to address audit needs involving information systems. While not mandatory for public higher education, the Sarbanes-Oxley Act of 2002 established an expectation that auditors understand systems-related risks to sound internal controls and financial reporting. Currently, only UW-Milwaukee has an information-services auditor.

RELATED REGENT POLICIES

Related Regent Policies include Regent Policy Documents 74-13, 78-9, 86-4, 88-6, and 99-2, as noted in Table 3.

Table 1
UW Institution Audit Offices' Projects
July 1, 2004 - December 31, 2005

| Title/Topic | Date Issued | UW Institutions' Projects through December 31, 2005 Brief Description of Project Scope | Core Audit Area |
|-------------------------------------------------------|---------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|
| UW-EAU CLAIRE | | | |
| Fleet, Central Stores & Mailroom | 12/04 | Reviewed internal controls and procedures for processing chargebacks for services/goods in each of these areas. Also reviewed inventory procedures for Stores and performed test checks to ensure accuracy. | C, I |
| Library Holdings | 2/05 | Reviewed inventory procedures and performed test checks to determine accuracy of inventory. | I |
| Vending Contracts | 3/05 | Reviewed campus administration of vending contracts. Ensured compliance with the terms of the contracts. | A |
| MBA Consortium Agreement | 3/05, 6/05, & 10/05 | Reviewed the final distribution calculations for the settlement of MBA Consortium activity for summer 2002 through summer 2005. | O |
| Dissolution of the College of Professional Studies | 8/05 | Reviewed the budget transfers to dissolve the College of Professional Studies into the College of Education and College of Nursing and move Athletics from Academic Affairs to Business & Student Services. | O |
| Credit Card Information Security | 9/05 | Reviewed areas on campus where credit cards are accepted to ensure compliance with industry security standards. | O |
| Service Center and University Centers Cash Management | 9/05 | Reviewed the Service Center and Cash Management operations in their new location. The review focused primarily on ease of access to the cash handling area and cash security within the area. | C |
| Computing and Networking Services | 12/05 | Reviewed internal controls over cash handling, inventory management, and safeguarding of university assets. | A, I |
| Housing and Residence Life | 1/06 | Reviewed cash handling. | C |
| Admissions | In Progress | Reviewing cash handling procedures and residency determinations. | C, T |
| Remedial Education | In Progress | Reviewing compliance with BOR policy 88-16 and related UW System guidelines. | T, O |
| Academic Fee Assessment & Collection | In Progress | Reviewing compliance with Board and UWEC's policies relating to the assessment and collection of tuition and fees. | T |

| Title/Topic | Date Issued | UW Institutions' Projects through December 31, 2005 Brief Description of Project Scope | Core Audit Area |
|-----------------------------------------------------------------------------------------|--------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|
| UW-GREEN BAY | | | |
| International Educators | 8/04 | Reviewed procedures and controls related to per diem and other expense reimbursements for a group of educators from Pakistan. | C |
| Capital Equipment Inventory | 9/04 | Completed audit of capital inventory in accordance with FAP (F33) – Physical Inventory. Assets listed as of 6/30/04. | I |
| Bayfest 2004 | 1/05 | Revenue and expenditure audit. Internal controls review. | S/O |
| Registrar's Office | 1/05 | Reviewed procedures and internal controls regarding cash handling. | C |
| Sales Tax | 6/05 | Participated in the audit of sales tax performed by the Wisconsin Department of Revenue. | C |
| NCAA | 6/05 | Performed field work for NCAA Division I agreed upon procedures for FY 2004. | S/O |
| Bayfest 2005 | 7/05 | Admissions revenue review. | S/O |
| NCAA | 1/06 | Performed field work for NCAA Division I agreed upon procedures for FY 2005. | S/O |
| P-Card | Bi-weekly | Selected transactions; review documentation, receipts, business purpose. | O |
| Accounts Payable | Monthly | Post-payment review of selected transactions where it is not practical to maintain adequate separation of duties between vouchering and writing a check. | O |
| Purchasing Card | | UWS audit issues follow-up work. | O |
| A-133 | | Information collection and follow-up for LAB auditors. | O |
| Academic Fees | | Assisted UW System auditor. Entrance conference, liaison, information gathering. | T |
| Caregiver Background Check* | | Information gathering process. | O |
| Segregated Fees* | | Information gathering process. | T |
| Lawson APBS | Ongoing | Identified information security concerns from the perspective of internal audit. | S |
| Cofrin Library | Ongoing | Fines and collections. | C |
| Banking | | Bank confirmations. | C |
| *Review not completed -- Internal Audit position eliminated 6/30/05 due to budget cuts. | | | |
| UW-LA CROSSE | | | |
| Athletics | 2/04 | Reviewed cash handling. | C |
| Athletics | 2/04 | Reviewed budget transfers to move Athletics from College of Education, Exercise Science, Health & Recreation into Dean of Students Div. | O |
| Sales Tax | Ongoing | Audit of sales tax reported daily & submitted to DOR for compliance with state law. | C |

| Title/Topic | Date Issued | UW Institutions' Projects through December 31, 2005 Brief Description of Project Scope | Core Audit Area |
|--------------------------------------------------------------------------------|---------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|
| UW-LA CROSSE (continued) | | | |
| Passenger Fleet | 8/04 | Review use of rental vehicle fleet to evaluate program performance. Resulted in the dissolution of the UWL Passenger Fleet. | S |
| Lawson APBS | 8/04 | Identified information security concerns from the perspective of internal audit. | S |
| Vending Contracts | 10/04 | Reviewed campus administration of vending contracts. Ensured compliance with the terms of the contracts. | O |
| Cell Phones | 6/05 | Followed up on LAB examination of cell phones. | O |
| Dissolution of the College of Education, Exercise Science, Health & Recreation | 8/05 | Reviewed the budget transfers to dissolve the College of Education, Exercise Science, Health & Recreation into the Colleges of Liberal Studies and College of Science & Allied Health. | O |
| Capital Equipment | 8/05 | Capital Equipment Physical Inventory | I |
| MBA Consortium Agreement | 3/05, 6/05, & 10/05 | Reviewed the final distribution calculations for the settlement of MBA Consortium activity for summer 2002 through summer 2005 with UWEC, UWO, & UWP. | O |
| Credit Card Information Security | 9/05 | Reviewed areas on campus where credit cards are accepted to ensure compliance with industry security standards. | O |
| Special Course Fees | 12/05 | Reviewed special course fees for compliance with UW System & Board of Regents policies. | T |
| Cashier | 1/06 | Audited security of office & cash assets. Resulted in using swipe cards to access office & vault monitored by campus police. | C |
| Banking | 2/06 | Confirmed that wire transfers, etc. can only be performed by authorized staff. Confirmed that monthly reconciliations for all bank accounts are performed. | C |
| Accounts Payable | Daily | Post-payment review of selected transactions where it is not practical to maintain adequate separation of duties between vouchering and writing a check. | O |
| Procurement Card – Non-Travel | Bi-weekly | Transactions-review audit for compliance with UW System & DOA procurement policies. | O |
| Procurement Card – Travel Related | Bi-weekly | Review of travel-related procurement card transactions to ensure compliance with UW System travel policies. | O |
| Caregiver Background Checks | Ongoing | Identified applicable populations and ensured compliance with federal & state regulations. | O |
| Cash Handling | Ongoing | Review of cash handling policies & practices for compliance with UWL procedures & state policies. | C |

| Title/Topic | Date Issued | UW Institutions' Projects through December 31, 2005 Brief Description of Project Scope | Core Audit Area |
|---------------------------------------|-------------|---------------------------------------------------------------------------------------------------------------------------------------|-----------------|
| UW-LA CROSSE (continued) | | | |
| Food Purchases | Ongoing | Review of food requests and payments for compliance with UW System policies. | O |
| A-133 | Ongoing | Information collection and follow-up for LAB auditors. | O |
| Hotline | Pilot | Began set-up of waste-, fraud-, & abuse-reporting hotline services via Internet. | S |
| Academic Fee Assessment & Collection | In Progress | Review of compliance with Board and UWL's policies relating to the assessment and collection of tuition and fees. | T, C, O |
| Student Faculty Organization Accounts | In Progress | Review of financial activities. | C |
| Segregated Fees | In Progress | Information gathering process for UW System audit. | T |
| Differential Tuition | In Progress | Review compliance with Board & UWL policies related to the assessment, collection, and expenditures of differential tuition programs. | T |
| UW-MADISON | | | |
| Dean of Students | 7/04 | Student payroll timekeeping. | P |
| Wisconsin Veterinary Diagnostic Lab | 7/04 | Operational review. | A |
| Dean of Students | 8/04 | Student grants documentation. | O |
| Education | 8/04 | Kronos timekeeping system for student employees. | P |
| Bursar | 8/04 | Henry Strong loan fund. | O |
| Library Science | 9/04 | Continuing Education program. | C |
| HE Physics | 9/04 | LTE Payroll processing. | P |
| Cash Management | 9/04 | Departmental imprest account controls/accounting. | C |
| Athletics | 9/04 | Coaching staff limits – NCAA required. | O |
| Athletics | 9/04 | Program certification – NCAA required. | O |
| Athletics | 10/04 | Practice and Play – NCAA required. | O |
| UWS - HRIS/Lawson | 10/04 | Team of four campus auditors/directors consulted on security and other issues related to project implementation. | S |
| Medical School | 10/04 | Gift/donation processing. | O |
| Accounting | 11/04 | Assess controls on accounting transactions. | C |
| Fleet | 11/04 | Charge processing. | C |
| Transp. Services | 11/04 | Permit sales. | C |
| Athletics | 11/04 | Student athlete employment – NCAA required. | O |
| Athletics | 12/04 | Athletics extra benefits monitoring – NCAA required. | O |
| Accounting | 1/05 | Purchase card central processing. | O |
| Graduate School | 1/05 | Research Assistant appointment letters. | P |

| Title/Topic | Date Issued | UW Institutions' Projects through December 31, 2005 Brief Description of Project Scope | Core Audit Area |
|---------------------------------------------|-------------|------------------------------------------------------------------------------------------------------------------|-----------------|
| UW-MADISON (continued) | | | |
| Business | 1/05 | Gift/donation processing. | O |
| Food Science | 1/05 | Cash handling. | C |
| Athletics | 1/05 | Football attendance certification – NCAA required (now discontinued). | O |
| General Services | 1/05 | Gift/donation processing. | O |
| DoIT | 1/05 | Gift/donation processing. | O |
| International Studies | 1/05 | Gift/donation processing. | O |
| Accounting | 2/05 | Credit card acceptance/merchant site security. | C |
| Veterinary Medicine | 2/05 | Gift/donation processing. | O |
| Environmental Studies | 3/05 | Gift/donation processing. | O |
| Accounting | 3/05 | Assess controls over check writing. | S |
| Materials Distribution | 3/05 | Review of contract pricing audit practices. | O |
| Financial Aids | 4/05 | Workstudy billing. | O |
| Education | 4/05 | Gift/donation processing. | |
| Memorial Library | 4/05 | Cash handling. | C |
| Arboretum | 4/05 | Gift/donation processing. | O |
| Human Ecology | 4/05 | Gift/donation processing. | O |
| General Library System | 5/05 | Gift/donation processing. | O |
| Nursing | 5/05 | Gift/donation processing. | O |
| Pharmacy | 5/05 | Gift/donation processing. | O |
| Letters & Science | 5/05 | Revenue-producing activities. | C |
| Graduate School | 6/05 | Gift/donation processing. | O |
| Athletics | 6/05 | Badger Fund accounting. | O |
| Law School | 7/05 | Gift/donation processing. | O |
| School of Music | 7/05 | Receipts handling. | C |
| Wisconsin Union | 9/05 | Gift/donation processing. | O |
| UW Communications | 9/05 | Gift/donation processing. | O |
| Food Science | 10/5 | Cashiering system review. | C |
| UW-Madison | 11/05 | Gift/donation processing – summary report. | O |
| Property Control | 11/05 | Equipment inventory audit follow-up. | I |
| Employee Compensation and Benefits Services | Ongoing | Kronos student payroll implementation planning/support. | S |
| Purchase card | Ongoing | Campuswide – processing/transaction testing. | O |
| Registrar | Ongoing | Student Information System consulting. | S |
| Registrar | Ongoing | Online transcript system – controls consulting. | S |
| UW-MILWAUKEE | | | |
| Procurement Cards | 8-9/04 | On-going review of departmental documentation to support credit card purchases (receipts, logs, invoices, etc.). | O |

| Title/Topic | Date Issued | UW Institutions' Projects through December 31, 2005 Brief Description of Project Scope | Core Audit Area |
|-----------------------------------------------|-------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|
| UW-MILWAUKEE (continued) | | | |
| UWM Foundation Account | 9/04 | Reported to a UWM Dean and the UWM Foundation Controller on benefits in an account. | C, O |
| Student Orgs. Advising and Resources | 9/04 | Review of financial activities. | C |
| Emergency Response | 10/04 | Participated on a divisional committee that drafted a new procedure to place maintenance mechanics on "standby" to ensure timely action in the event of an emergency. | O |
| Equity in Athletics | 10/04 | Reviewed Equity in Athletics Report, due to the Department of Education on 10-29-04. | O |
| Identification, Authentication, Authorization | 10/04 | Reviewed authentication controls for the UWS single sign-on utility (Identification, Authentication and Authorization). As part of the review compiled a report listing authentication controls at each UW campus. | S |
| WUWM | 11/04 | Performed fieldwork for annual audit of the campus radio station, WUWM. This financial audit is a condition of a grant that WUWM receives from the Corporation for Public Broadcasting. | O |
| Academic Fees | 2003-2004 | Continuous audit of academic fees each semester. | T/C |
| Athletics, NCAA | 1/05 | Performed fieldwork needed for NCAA Agreed Upon Procedures audit of Athletic Department. | O |
| Reporting Employee Tips | 1/05 | Provided Auxiliary Services with a report concerning tax accounting for tips received by students in a new coffee shop on campus. | A |
| Ticket Sales for Men's Basketball | 1/05 | Based on a review of Men's Basketball ticket sales, Internal Audit identified \$10k in sales taxes that were overpaid by the Athletic Department. Prepared a schedule that was used by the Wisconsin Department of Revenue in approving a refund. | C |
| Appointment, Payroll, and Benefits System | 2/05 | Assisted campus in parallel test for APBS by comparing results in Lawson to results in legacy system. | S, P |
| Union Policy | 4/05 | Reviewed appropriate accounting for costs during NCAA Men's Basketball Tournament. | A, O |
| Surprise Cash Count of Auxiliary Cashier | 5/05 | Surprise count of funds in auxiliary cashier. No exceptions noted. | A, C |
| Ethical Hacking | 5/05 | Provided Interim CIO with guidelines for engaging third party (ethical) hackers. | S |

| Title/Topic | Date Issued | UW Institutions' Projects through December 31, 2005 Brief Description of Project Scope | Core Audit Area |
|-------------------------------------------|-------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|
| UW-MILWAUKEE (continued) | | | |
| Sales Taxes | 6/05 | Internal Audit assisted in responding to and resolving an audit of sales tax by the Wisconsin Department of Revenue. Internal Audit identified certain errors in the analysis prepared by the Department of Revenue that resulted in lowering UWM's liability. | C |
| Surprise Cash Count of Bursar | 6/05 | Surprise count of bursar funds. No exceptions noted. | C |
| Procurement Card | 6/05 | Reviewed procurement card activity and made recommendations. | O |
| Cell Phones | 6/05 | Followed up on LAB examination of cell phones. | O |
| Gramm-Leach-Bliley Act | 6/05 | Participated on a campus-wide committee that drafted a comprehensive information security plan/program (6-9-05) for UWM, to serve as a framework for future policies on administrative, technical and physical controls. | C, P, S |
| UWM Foundation | 7/05 | Review of certain accounting procedures at the UWM Foundation. | O |
| Library Holdings | 8/05 | Physical observation of sample of holdings as required by UWS policy F47. | I |
| Academic Fees | 2004-2005 | Continuous audit of academic fees. | T |
| Outside Activity Reporting | 2004-2005 | Assisted with implementation of an automated system for collecting outside activity reports as required by UWS 8 and federal regulations. This system was "cloned" from UW-Madison. | P, S |
| IT Review Committee | 2004-2005 | Participated on a campuswide committee charged with evaluating the structure and operations of academic and administrative information technology at UWM. | S |
| Appointment, Payroll, and Benefits System | 2004-2005 | Participated on a system-wide group that included UW auditors from Madison, Stout and Oshkosh. Examined privacy and security issues associated with Lawson software. | S, P |
| Strategic Planning | 2004-2005 | Participated on a divisional committee that drafted Strategic Plan for the Division of Administrative Affairs. In addition, a strategic plan was drafted specifically for Internal Audit. | O |
| Capital Equipment Inventory | 2004-2005 | Each fiscal year, approximately 50% of the inventory is observed by an Internal Audit intern. | I |
| WUWM | 11/05 | Performed fieldwork for annual audit of campus radio station WUWM. | O |
| Chancellor's Grad. Scholarship Program | 12/05 | Review of expenditures. | P |

| Title/Topic | Date Issued | UW Institutions' Projects through December 31, 2005 Brief Description of Project Scope | Core Audit Area |
|---------------------------------------------------|-------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|
| UW-MILWAUKEE (continued) | | | |
| School of Architecture and Urban Planning | 12/05 | Outside-activities review. | P |
| Fraud Hotline | 7/05-11/05 | Developed prototype for fraud hotline for UWM that was ultimately not implemented. | O |
| Academic Fees | 7/05-12/05 | Continuous audit of academic fees for each semester, including summer and winter sessions. | T/C |
| Procurement Cards | 7/05-12/05 | On-going review by Internal Audit intern of departmental documentation (receipts, logs, invoices, etc.) to support credit card purchases. During this period, 108 out of a total of 597 campus procurement cards were reviewed. | O |
| Merchant Card Processing | 7/05-12/05 | Reviewed each area on campus where credit cards are accepted for payment to ensure compliance with card vendor agreements. | C |
| Capital Equipment Inventory | 7/05-12/05 | Physical observation of capital equipment. Each fiscal year, approximately 50% of the inventory is observed by an Internal Audit intern. During this period, approximately 1,200 out 3,800 capital items were examined. | I |
| Outside Activity Reporting | 7/05-12/05 | Assisted with implementation of an automated system for collecting outside activity reports as required by UWS 8 and certain federal regulations. | P, S |
| Auxiliary Cashier | 7/05-12/05 | Ongoing review of business processes used by this unit including use of cash vaults (currently used by UW-Madison). | A, C |
| Appointment, Payroll, and Benefits System | 7/05-12/05 | Participate on a campus-wide committee charged with facilitating implementation of a new UWS appointment, payroll and benefits system. | S, P |
| Records Retention | 7/05-12/05 | Internal Audit is responsible for review and approval of <i>Records Retention/Disposal Authorization</i> forms prepared by UWM archivist (official agency records officer). During this six-month time period, 12 forms were reviewed. | O |
| Business Resumption Planning | Ongoing | Devising a policy, scope, process framework for Information Systems business resumption in the case of disaster, service outage, or other events affecting IT. | S |
| Warehouse End-user Reporting Initiative | Ongoing | Data Warehouse Team. | S |
| Health Insurance Portability & Accountability Act | Ongoing | HIPAA security review for the College of Nursing's Community Nursing Centers. | S |

| Title/Topic | Date Issued | UW Institutions' Projects through December 31, 2005 Brief Description of Project Scope | Core Audit Area |
|---------------------------------------------------|-----------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|
| UW-MILWAUKEE (continued) | | | |
| Health Insurance Portability & Accountability Act | Ongoing | HIPAA security Review for Norris Health Center. | S |
| Business and Financial Services Data Center | Ongoing | Host review for critical campus systems, process review for data center and host processes; data center physical security. | S |
| UWM Data Center and Network | Ongoing | Host review for critical campus systems, process review for data center and host processes; data center physical security; network security and availability. | S |
| Library Security Review | Ongoing | Library staff asked for a system security review to assess risk. | S |
| Automated Workpapers | Ongoing | Investigating electronic workpaper solutions for risk assessment, risk-based planning, audit workpapers, and reporting. | O |
| Security Scanning | Ongoing | Investigating network scanning applications for sharing between security and audit staff to test security of existing and planned systems. | S |
| School of Continuing Education | Ongoing | Review of personnel practices within this unit. An interim report has been drafted. | P |
| UW System Reviews and Audits | Ongoing | Internal Audit is responsible for coordinating and obtaining responses for UW System program reviews and audits. | O |
| A-133 Single Audit, performed by LAB | Ongoing | Internal Audit is responsible for coordinating and obtaining responses for the State of Wisconsin's A-133 Single Audit as it pertains to UWM. | O |
| UW-OSHKOSH | | | |
| Ctr. for Career Dev. and Employability Training | 7/04 | Review of departmental cellular phone usage. | O |
| Financial Aid | 8/04 and 8/05 | Fiscal Operations Report and Application to Participate (FISAP) Part II data verification. | S |
| Academic Support and Outreach | 12/04 and 12/05 | Minority and Disadvantaged Annual Fiscal Report -- Preparation of fiscal documentation and coordination of written attachments. | S |
| Health Center | 2/05 | Review of financial policies and procedures. | O |
| Capital Inventory | 4/05 and 5/05 | Conducted bi-annual capital asset inventory as required under UW System policy F33, Accountability for Capital Equipment, and necessary for fiscal year end reporting. | I |
| Library Holdings | 4/05 and 5/05 | Review of library holdings as required under UW System policy F47 and necessary for fiscal year end reporting. | I |

| Title/Topic | Date Issued | UW Institutions' Projects through December 31, 2005 Brief Description of Project Scope | Core Audit Area |
|-------------------------------------------------|--------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|
| UW-OSHKOSH (continued) | | | |
| Ctr. for Career Dev. and Employability Training | 7/05 and Ongoing | Review travel reimbursement policies and processes. Ongoing periodic review of travel reimbursement reports. | O |
| Pay Cycle Separation of Duties | Ongoing | Post-payment review of payments made by individuals who vouchered the payment. | C |
| Cash Handling | Ongoing | Cash counts and review of cash handling procedures at campus cash centers. | C, A |
| Cash Handling | Ongoing | Review of credit card payment policies and procedures at campus cash centers. | C, A |
| A-133 Single Audit | Ongoing | Coordinate campus review and written responses and conduct follow-up to single audit findings and recommendations, as necessary. | O |
| UW-PARKSIDE | | | |
| Capital Inventory | 9/04 | Performed biennial audit of capital equipment as required by federal and state regulations. | I |
| Purchase Card | 10/04 | Audit of procurement cards to include system internal controls and cardholder documentation/reconciliation. | S |
| Mission Continuity | 10/04 | Development of a Mission Continuity team plan for use by individual departments. | O |
| External Inquiry | 12/04 | Responded to inquiry from US Dept of H&HS regarding resolution of A-133 audit findings. | O |
| Parking Fees | 3/05 | Analysis of outstanding parking tickets for campus police department. | C |
| Document Retention | 4/05 | Prepared and administered survey of administrative support staff to identify how confidential information is collected, stored and destroyed at the departmental level. Survey results sent to identity theft work group. | S |
| Form I-9 | 4/05 | Audit of Form I-9 for compliance with federal requirements. | P |
| I-9 Training | Spring 2005 | Prepared and presented I-9 training for departmental support staff. | O |
| Unclassified Leave | 6/05 | Audit of unclassified leave submitted for compliance with System UPG #10. | P |
| Identity Theft | 6/05 | Reviewed paper-based departmental forms that collect personal sensitive information as part of an identity theft work group. | S |
| External Audits | 6/05 | Coordinated and assisted as requested – Legislative Audit Bureau field-work site visit for FY 2005 Single Audit. | O |
| Credit Cards | 6/05 | Coordinated credit card security standards self-assessment questionnaire. | C/O |

| Title/Topic | Date Issued | UW Institutions' Projects through December 31, 2005 Brief Description of Project Scope | Core Audit Area |
|------------------------------------|--------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|
| UW-PARKSIDE (continued) | | | |
| Tuition | 6/05 | Assisted UW System auditor with tuition remission fieldwork audit. | T |
| Confidential Information | 08/05 | Forms – analysis of confidential information collection, use, storage and destruction in regards to regulations. | S |
| Payroll | 10/05 | Departmental review of human resource/payroll policies and practices. | P |
| Payroll | 11/05 | Review of student federal work study payroll for select department to ensure compliance with regulations. | P |
| Purchase Card Follow-up | 12/05 | Follow-up audit to FY 2005 Purchase Card Audit. | O |
| Concession Funds. | 12/05 | Cash handling assessment of current internal controls. | C |
| Surprise Petty Cash Counts | 12/05 | Surprise counts of all petty cash funds. No exceptions noted. | C |
| Purchase card activity | Monthly | Review of select purchase card transactions. | O |
| Procurement Cards | Monthly | Review of purchase card transactions based upon vendor report. | O |
| Accounts Payable | Monthly | A post-payment review of selected transactions where it is not practical to maintain adequate separation of duties between vouchering and check-writing. | C |
| Child Care | In progress | Financial audit of UW-Parkside's Child Care Center | A |
| Parking | In progress | Audit of parking permits. | A |
| Cash Controls | In progress | Audit of cashiering locations to assess internal controls. | C |
| Single Audit | Ongoing | Coordinate/obtain responses for the LAB A-133 Single Audit. | O |
| UW-PLATTEVILLE | | | |
| Catering Contracts | 7/04 | Reviewed transactions for compliance with policy. | O |
| Capital Inventory | 7/04 | Physical inventory of capital equipment. | I |
| Field Experience | 9/04 | Reviewed revenue and expenses transactions related to out-of-area student teacher supervision. | O |
| Grant Accounting | 9/04 | Reviewed revenue and expenses related to a grant account. | O |
| UBIT | 10/04 | Preparation of Unrelated Business Tax Return. | |
| Center for Arts (CFA) Facility Use | 11/04 | Reviewed use and policy compliance. | A |
| CFA Box Office | 12/04 | Reviewed cash handling. | C |

| Title/Topic | Date Issued | UW Institutions' Projects through December 31, 2005 Brief Description of Project Scope | Core Audit Area |
|-------------------------------------|---------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|
| UW-PLATTEVILLE (continued) | | | |
| Special Course Fee | 12/04 | Audited special course fee for fall of 2003. | O |
| Finance Charges | 1/05 | Reviewed the controls over assessing finance charges on student bills. Made recommendation to ensure finance charges are assessed in accordance to policy. | C |
| A-133 | 2/05 | LAB Audit follow-up. | C |
| Children's Center | 2/05 | Follow-up to previous UW System audit. | C |
| Prime Vendor Contract | 2/05 | Audit of contract compliance. | A |
| Fine Arts Grants | 3/05 | Reviewed procedures for grant accounting and reporting. | O |
| Access to Personal Information | 5/05 | Reviewed controls over access to personal information at the request of an employee. | S/P |
| Gifts, Grants & Contracts Reporting | 6/05 | Reviewed reporting process to ensure compliance with FAP G2. Reviewed reports for accuracy. | O |
| Learning Technology Center | 7/05 | Program review. | O |
| Continuing Education | 8/05 | Program review. | O |
| UBIT | 10/05 | Preparation of Unrelated Business Tax Return. | A |
| Capital Inventory | 11/05 | Physical inventory of one-half of university assets. | I |
| Agreement Review | 11/05 | Reviewed letter of agreement with Millennium Cinema. | O |
| Bank Accounts | 11/05 | Survey of local financial institutions for unauthorized accounts. | C |
| Distance Learning Center | 11/05 | Review of cash handling and internal controls of application fee processing. | C |
| CFA Box Office Follow-Up | 12/05 | Follow-up on previous year's audit. | A |
| Physical Plant | 12/05 | Reviewed physical plant chargeback rates for compliance with FAP 25. | A |
| Accounts Payable | Quarterly | Post-payment review of selected transactions to compensate for inadequate separation of duties. | C |
| Workstudy | Semi-annually | Reconciled off-campus workstudy accounts. Reviewed cash handling & billing procedures. | C |
| UW-RIVER FALLS | | | |
| | | | |
| | | | |
| UW-STEVENS POINT | | | |
| Special Course Fees | 9/04 | Reviewed the distribution of funds charged to students for course fees. | T |

| Title/Topic | Date Issued | UW Institutions' Projects through December 31, 2005 Brief Description of Project Scope | Core Audit Area |
|------------------------------------------------------------------------------------------------------------------------------------------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|
| UW-STEVENS POINT (continued) | | | |
| Foreign Students Admission Activities | 9/04 | Reviewed internal controls over cash handling, computer equipment, capital equipment, expense processing, contracts with outside vendors, inventory, and monitoring of foreign student admission activities to ensure that transactions were properly authorized and recorded and assets properly safeguarded. | C,I |
| Career Services | 3/05 | Reviewed deposits, student activity of assessments, expenses paid, and purchase card activity. | C |
| Health Services | 5/05 | Reviewed the internal controls over cash handling, computer equipment, capital equipment, expense processing. | C,I,S |
| Carlston Art Gallery | 5/05 | Reviewed controls over shipping and receiving of exhibited artwork. | I |
| Box Office Follow-up | 6/05 | Followed up on observations from May 2000 audit report. | O |
| Athletic Camps | 6/05 | Reviewed the internal controls over cash handling, computer equipment, capital equipment, expense processing, contracts with outside vendors, inventory and monitoring of athletic camps activities to ensure that transactions were properly approved. | C,I,A |
| | | | |
| UW-STOUT | | | |
| Memorial Student Center: Student Center Dining, Student Organ. Center, Univ. Recreation, Student Service Center, other small units | 9/04 | Cash handling audit. Documented and evaluated internal controls. Determined compliance with statutes and campus and UW System guidelines. Reviewed segregation of duties. Ensured monies were safeguarded and properly deposited, transactions were adequately documented, and sales-tax accounting and reconciliations were proper. | C |
| Contract Policy | 10/04 | Revised UW-Stout Contract Policy. | O |
| Accounts Payable | 12/04 | Full-scope audit. Determined compliance with UW System and UW-Stout policies and procedures. Evaluated accuracy and integrity of financial reporting. Assessed adequacy of internal controls over accounts payable, verified proper approvals and payments. | S |
| Dining Services: Commons, Tainter Dining, Carts, Concessions, Terrace Café, Heritage Café, The Pawn, Catering | 12/04 | Cash handling audit. Assessed adequacy of internal controls over receiving, controlling, recording, transmitting, and depositing Dining Service funds. Reviewed cash handling procedures. Evaluated accuracy & integrity of financial reporting for dining service revenues. | A,C |

| Title/Topic | Date Issued | UW Institutions' Projects through December 31, 2005 Brief Description of Project Scope | Core Audit Area |
|------------------------------------------------------------|--------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|
| UW-STOUT (continued) | | | |
| Information Security Program | 1/05 | Helped coordinate Information Security Program in response to Gramm Leach Bliley. | O |
| Follow-up audits: Library Holdings, Memorial Student Ctr. | 2/05 | Verified that adequate actions have been taken, or are in progress, in response to prior audit recommendations. | O |
| Blackboard and Stout OneCard | 3/05 | Full scope. Assessed adequacy of internal controls over Stout OneCard issuance, debit account use, building access, card and account closure, and cardholder privacy. Assessed internal controls over Blackboard security. Verified propriety of Stout OneCard charges. | S |
| Registration and Records Audit | 12/05 | Full-scope audit of Registration and Records, including audit testing of disbursements, cash handling, student records and transcripts, grade changes, and course add/drops. | S, C |
| The Niche | Ongoing | Cash handling and merchandise inventory of retail store operated by retail marketing students. | C |
| Stout Advance Management Solutions | Ongoing | Review of compliance with intellectual property, copyrights, and outside interest policies. | O |
| Delegation of Signature Authority | Continual | Review and manage delegations of authority for campus to ensure they are updated and appropriate. | S, O |
| UW-SUPERIOR | | | |
| Cash Handling | 6/05 | Audited accuracy and security of all department-held starting cash and petty cash funds issued from campus contingent fund. | C |
| Cash Handling | 3/05 | Health & Wellness Center cash handling compliance. | C |
| Procards | 6/05 | Audited procurement card usage. | O |
| Ticket Sales | 6/05 | Campus ticket sales. | O |
| Capital Inventory | 6/05 | Inventory verification. | I |
| Pro-Cards | 12/05 | Ongoing audits of procard usage for compliance with purchasing/accounts payable rules and regulations. | O |
| UW-WHITEWATER | | | |
| Graduate Studies, Continuing Education, and Summer Session | 2/05 | Full-scope audit of summer camps, payroll, summer session fees and expenditure transfers, distance education Fund 128 special course fee cash balance, and Research and Sponsored Programs extramural funding. | C,S,O |

| Title/Topic | Date Issued | UW Institutions' Projects through December 31, 2005 Brief Description of Project Scope | Core Audit Area |
|------------------------------------------------------------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|
| UW-WHITEWATER (continued) | | | |
| Bursar's Office | 6/05 | Reviewed adequacy of controls over cash handling, determined compliance with appropriate policy and procedures, and reviewed daily cash operations. Followed up to ensure implementation of recommendations. | C |
| Cellular Phone | 6/05 | Full-scope audit to ensure compliance with policies, procedures, laws, and contract; safeguarding of assets; and effective use of resources. | A |
| Selected Financial Activities | 11/05 | Review of selected financial activities in the Dean's Office, School of Graduate Studies and Continuing Education. Final report issued by UW System. | O |
| A-133 LAB | Ongoing | Negotiation and discussion with the federal Department of Education regarding grading scale policy. | O |
| Segregated University Fee Allocation Committee – 40 groups | Ongoing* | Variance analysis (actual expenditures and revenue to budgeted amounts), as well as policy adherence by SUFAC administration. | T |
| Pro-card Audit | Ongoing | Full-scope follow-up review of procurement card activities, Dean of Letters and Sciences. | O |
| Royal Purple | Ongoing* | Full-scope audit to ensure compliance with policies, procedures, laws, regulations, and contract; safeguarding of assets and the effective and efficient use of resources. | O |
| World Affairs Seminar | Ongoing* | Full-scope audit to ensure compliance with policies, procedures, laws, regulations, and contract, the safeguarding of assets and the effective and efficient use of resources. | O |
| Capital Assets | Ongoing* | Biennial audit of capital equipment as required by federal and state regulations. | I |
| Accounts Payable | Ongoing | Quarterly review to evaluate for transactions with same Voucher Updated by Operator and Paycycle Operator. | O |
| UW System Reviews and Audits | Ongoing | Coordinate and obtain responses for UW System program reviews and audits. | O |
| *Audit interrupted by investigative reviews. | | | |
| UW COLLEGES | | | |
| Payroll | 12/04 | Reviewed campus handling of payroll processes for select campuses. | P, S |
| Financial Aid File Review | 1/05 | Reviewed compliance with federal financial aid records requirements for select Financial Aids employees. | T |

| Title/Topic | Date Issued | UW Institutions' Projects through December 31, 2005 Brief Description of Project Scope | Core Audit Area |
|-----------------------------------------|-------------|----------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|
| UW COLLEGES (continued) | | | |
| Compassionate Refund Policy | 1/05 | Reviewed institutional policy on compassionate or hardship refunds. | T |
| Textbook Buyback | 4/05 | Examined end-of-semester textbook buyback procedures. Recommended guidelines for uniform procedures. | C |
| Cash Handling | 4/05 | Review of selected cash handling operations, including Theatre, Athletics, and Bookstores. | C, A |
| Purchasing Card | 5/05 | Reviewed and tested procedures and controls over the campus purchasing card activities. | S |
| Food Service Punch Money Program | 5/05 | Examined the pre-paid card system for manual food service. | C |
| University Development | 5/05 | Examined other institutions' Development Offices. Participated in rewriting of campus contracts with the campus Foundations. | O |
| Electronic Timesheets | 6/05 | Reviewed new electronic timesheets for student and classified employees. | P |
| | | | |
| UW-EXTENSION | | | |
| School for Workers | 7/04 | Examination of internal financial and administrative controls, policies, and procedures. | S |
| Donor Perfect Software | 7/04 | Reviewed policies and procedures. | S |
| Physical Inventory Policy | 8/04 | Compliance review and certification. | I |
| Taxi Voucher Program | 8/04 | Reviewed financial viability of a taxi voucher system vs. the use of UW-owned vans. | S |
| Cooperative Extension Publications Unit | 8/04 | Reviewed implementation of revised policies and procedures. | S, C |
| Travel Compliance Review | 12/04 | Reviewed travel policies to ensure consistency with UW System policies and state regulations. | S |
| Division of Outreach and E-Learning | 6/05 | Analyzed internal control structure, assessed propriety of receipts and disbursements, tested compliance with established policies and procedures. | S |
| Data Security Review | 8/05 | Reviewed data security controls and completed Payment Card Industry Self-Assessment questionnaire. | S |
| Extension Conference Centers | 12/05 | Reviewed operations, contracts, policies and procedures. | A, C |
| | | | |

Table 2
UW Institution Audit Offices'
Planned Audits and Reviews
Fiscal Year 2005-06

| Topic | UW Institutions' Planned Projects Brief Description of Project Scope |
|---------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| UW-EAU CLAIRE | |
| Housing | Cash handling. Review procedures for handling application deposits, dorm damage payments, front desk sales, summer rentals, and other miscellaneous funds received in the Housing Office. |
| Academic Fees | Review tuition charges to ensure fee assessments are accurate for all categories of students. Includes a review of special course fees. |
| Capital Equipment | Inventory verification required by FAP 33. |
| Bursar/Cashier's Office | Cash handling. Review issuance of refund checks, financial aid repayment procedures, collection of past due accounts, and NSF check procedures. |
| Registration and Records | Review of MN reciprocity procedures, residency appeals, grade/transcript security, and CDR data accuracy. |
| Admissions | Cash handling and residency determinations. |
| Remedial Education | Review compliance with BOR policy 88-16. Review procedures to ensure students required to take remedial courses are taking them. Review rates and expenditures for appropriateness. |
| Telephone Services | Review of chargeback process and cell phone usage. |
| UW-GREEN BAY | |
| | |
| | |
| UW-LA CROSSE | |
| Student Faculty Organization Accounts | Review of financial activities. |
| Differential Tuition | Review compliance with Board of Regents & UWL policies related to the assessment, collection, and expenditure of differential tuition programs. |
| Segregated Fees | Review compliance with Board of Regents & UWL policies related to the assessment, collection, and expenditure of segregated fee programs. |
| Academic Fees | Review tuition charges to ensure fee assessments are accurate for all categories of students. Assess special course fees, fees paid by grants, general differential tuition, and program differential tuition. Review compliance with Board and UWL policies for the assessment and collection of tuition and fees. |
| Capital Equipment | Inventory verification required by FAP 33. |
| Athletics | Cash handling. |

| Topic | UW Institutions' Planned Projects Brief Description of Project Scope |
|-----------------------------------------------|--------------------------------------------------------------------------------------------------------------|
| UW-LA CROSSE (continued) | |
| Sales Tax | Audit of sales tax reporting in daily deposits for accuracy and compliance with state sales tax regulations. |
| A-133 | Information collection and follow-up with LAB on Student Financial Aid and Federal Grant programs. |
| Laundry Operations | Review assessment, collection, & expenditures. |
| | |
| UW-MADISON | |
| Accounting Services | Purchase Card holders – volume users and targeted merchant codes. |
| Accounting | Credit card Information Security Policy compliance/policy development. |
| Accounting | Revenue producing activity approval & monitoring. |
| Accounting | VISA merchant site security. |
| Accounting | Legacy accounting system access controls review. |
| Accounting | Accuracy of accounts payable payments and postings. |
| Accounting | Accuracy of receipts posting. |
| Employee Compensation & Benefit System (ECBS) | Imprest checking account internal controls/reconciliation process. |
| ECBS | Kronos implementation subcommittee. |
| ECBS | Kronos security. |
| ECBS | Student payroll eligibility. |
| Research & Sponsored Projects | Foundation receipts handling – gifts sent directly to departments – compliance with campus policy. |
| Research & Sponsored Programs | Cash count and reconciliation of UW Foundation imprest accounts handled by departments. (Foundation request) |
| Research & Sponsored Programs | Export controls assessment. |
| Research & Sponsored Programs | Review of facilities and administrative costs charged on federal projects. |
| Research & Sponsored Programs | Late-cost transfers. |
| Registrar | Online transcript system. |
| Registrar | Integrated Student Info. System (ISIS) security committee. |
| Registrar | Records hold testing with PeopleSoft. |
| Registrar | Tuition and fee account receivables, waivers. |
| Antarctic Research | Ice Cube project accounting system review. |
| Outreach | Consult on development of improved accounting guidelines for programs handled by departments. |
| Music | Receipts handling. |
| Law School | Foundation receipts – gifts sent directly to departments – compliance with campus policy. |
| Wisconsin Union | Foundation receipts – gifts sent directly to departments – compliance with campus policy. |
| Purchasing Cards | Selected cards, based on volume and serial purchases. |
| Human Resources | Employment background checks committee. |

| Topic | UW Institutions' Planned Projects Brief Description of Project Scope |
|---------------------------------------------|---------------------------------------------------------------------------------------------------------------------|
| UW-MADISON (continued) | |
| UW Communications | Foundation receipts – gifts sent directly to departments – compliance with campus policy. |
| Animal Care | Audit concerning purchase card. |
| Food Science | System review/cash shortages. |
| Medical School Revenue Producing Activities | Revenue producing activity division audit – follow-up on findings in targeted units. |
| Family Practice – Medical School | Full scope audit. |
| Associated Students of Madison | Funded program reporting. |
| Student Health Services | Operational review and revenue producing activities. |
| Student Health Services | Network security/HIPAA. |
| Wisconsin Veterinary Diagnostic Lab | Audit follow-up – internal controls and accounting. |
| HIPAA Compliance Office | HCC reporting and certification processes. |
| HIPAA | Security rule compliance. |
| International Faculty & Students | Accuracy and timeliness of the federal SEVIS system. |
| Wisconsin Union | Foundation receipts – gifts sent directly to departments – compliance with campus policy. |
| Athletics – Kohl Center | Special events revenue and expense accounting. |
| Athletics | Review of Badger Fund donations – reconciliation to seating assignments. |
| Athletics Ticket Office | Ticket sales, internal controls, and seating assignments based on Badger Fund contributions. |
| Athletics | NCAA compliance – booster accounting. |
| Athletics | NCAA compliance – equipment and apparel. |
| Athletics | NCAA compliance – rules education. |
| UW Press & Journals | Operational/contract, to 2006. |
| Biological Safety | Compliance system assessment. |
| Financial Aids | Review of controls/procedures for handling dropouts and related financial aid refunds. |
| Graduate School | Audit of the institutional review board (IRB) process for research involving human subjects and federal compliance. |
| Graduate School | Lab animal care compliance. |
| Business Services Administration | Review of applications security for the purchasing & cash management electronic payments system. |
| Major Revenue Producing Activities | Routine audit of high-risk departments. |
| Business Services | Business continuation plans. |
| Research Compliance | Select agent systems review. |
| Property Control | Follow-up audit of the Capital Equipment Inventory Management System (CEIMS). |
| Property Control | Federally mandated bi-annual CEIMS inventory testing. |
| Physical Plant | Energy conservation management. |

| Topic | UW Institutions' Planned Projects Brief Description of Project Scope |
|-------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| UW-MADISON (continued) | |
| Cash Management | Advance authority process. |
| DoIT | Business continuation/recovery plan/testing. |
| Library Sciences | Audit of Continuing Education program accounting. |
| Student Payroll | Kronos Department implementation testing. |
| Accounts Payable | Non-salary cash transfers – documentation and funds. |
| | |
| UW-MILWAUKEE | |
| Capital Equipment | Physical observation of approximately 50% of the capital inventory (F33). |
| Procurement Card | On-site reviews of documentation for a sample of card-holders. |
| Merchant Card Processing | Review of controls over credit card processing. |
| Library Holdings | Physical observation of a statistically significant sample of holdings (F47). |
| Cooperative Agreement on Accounting, Auditing and Internal Control Activities | Monitor compliance with delegation agreement. |
| Fraud Hotline | Research fraud hotline and use of third party to consolidate campus complaint handling. |
| School of Continuing Education | Review of personnel practices. |
| Outside Activity Reporting | Manage system needed to ensure compliance with UWS 8. |
| Academic Fees | Ongoing review of academic fees assessed students. |
| Student Radio Station WUWM | Opinion audit required by the Corporation of Public Broadcasting. |
| Athletic Department | Agreed-upon-procedures audit. |
| Gramm-Leach-Bliley Act | Continued review of GLBA to ensure compliance with this federal law. |
| Auxiliary Cashier | Review of business processes. |
| Cell Phones | Follow-up on LAB audit. |
| APBS | As-needed review regarding audit and security needs. |
| Campus Data Center and Network | General controls review of data center and network core, host reviews of critical systems, and policy review of major IT areas. |
| Business and Financial Services Data Center | General control review of BFS data center; policy review. |
| Norris Health Center | HIPAA security review. |
| Community Nursing Centers | HIPAA security review. |
| Wireless Networking | Review of policy, security, and detection of rogue WLANs. |
| Security Cameras | Policy review, administration, and security of the developing network-based security camera system. |
| | |
| UW-OSHKOSH | |
| Tetzlaff Loan Program | Program review of financial aid loan program. |
| Laboratory and Classroom Modernization Programs | Verification of program compliance with UW System Financial and Administrative Policy 48: Laboratory and Classroom Modernization and General Computer/ Network Access. |

| Topic | UW Institutions' Planned Projects Brief Description of Project Scope |
|-------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| UW-OSHKOSH (continued) | |
| Financial Aid | Verification of data reported on the Fiscal Operations Report and Application to Participate (FISAP). |
| Ctr. for Career Dev. And Employability Training | Operations review of best practices and system policies. |
| Cash Receipts/Handling | Continuing Education, Surplus Property Sales, and Alumni Office. |
| Library Holdings | Verification of holdings, valuation determination. |
| Asset Management | Review and develop process for delivery confirmation and recording of assets. |
| Family Educational Rights & Privacy Act | Compliance – student accounts, financial aid, admissions, academic advising. |
| Student Accounts | Review past due accounts tracking and notification processes. |
| | |
| UW-PARKSIDE | |
| Child Care Center | Review of financial operations. |
| Parking Operations | Review of financial operations (permits). |
| Pro-Card | Follow-up audit. |
| Cashier's Office | Cash Collections review. |
| Payroll Overload (ACPS-4) | Follow-up audit. |
| Student Newspaper | Review of financial operations. |
| I-9's - New Hire Federal Regulations | Follow-up audit. |
| Bookstore Contract Review | Review of contract for compliance. |
| Student Housing | Financial operations, including contract review. |
| Unclassified Leave Accounting (UPG10) | Follow-up audit. |
| Capital Equipment | Physical inventory of capital equipment. |
| | |
| UW-PLATTEVILLE | |
| Accounts Payable | Multiple post-payment reviews of selected transactions to compensate for inadequate separation of duties. |
| A-133 | Follow-up audit. |
| Athletics | Full scope audit to include cash handling, expense processing, and compliance with UW-Platteville and UW System policies and NCAA regulations. |
| Auxiliary Accounting | Cash handling. |
| Food Service | Review of meal contracts. |
| Housing | Advance deposits. |
| Capital Inventory | Physical inventory of capital equipment in non-academic departments. Each year approximately 50 percent of university assets are observed. |
| Credit Card Number Security | Review areas on campus where credit cards are processed for compliance with credit card industry standards. |
| Prime Vendor Contract | Review of transactions for compliance with contract. |
| Prior-year Audits | Follow-up audits. |

| Topic | UW Institutions' Planned Projects Brief Description of Project Scope |
|------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | |
| UW-RIVER FALLS | |
| | |
| | |
| | |
| UW-STEVENS POINT | |
| Conference and Reservations | Revenue, expenses, inventory, policy and procedures, and cash handling. |
| Gramm-Leach Bliley Act | Review UW-SP compliance with the Act. |
| International Programs | Revenue, expenses, inventory, policy and procedures, and cash handling. |
| Residential Living | Review policy for collecting and monitoring student fines. |
| Printing and Design | Revenue, expenses, inventory, policy and procedures, and cash handling. |
| Capital Equipment | Compliance with Financial and Administrative Policy 33. |
| Library Holdings | Compliance with Financial and Administrative Policy 47. |
| Upward Bound | Cash count and control review. |
| Central Wisconsin Environmental Station | Follow-up to FY 2003 audit. |
| | |
| UW-STOUT | |
| Library Holdings | Library acquisitions and inventory control transactions. |
| Building Maintenance | Review internal controls, compliance with policies and procedures, efficiency and effectiveness of operations and risk management, and assessment of program quality. |
| Tickets | Cash handling, internal control, policy compliance. |
| Scoreboard Contracts | Policies and procedures, compliance, efficiencies. |
| Admissions | Review internal controls, compliance with policies and procedures, efficiency/effectiveness of operations and risk management, and assessment of program quality. |
| Information Security | Inquiry into potential audit plans. |
| Conflict of Interest/Outside Activities | Compliance with System and university policies. |
| Technical Assistance Project Agreements | Examine procedures for the delegation of authority to sign Technical Assistance Project contracts; determine compliance with System policies; and review reporting procedures of subsequent contracts. |
| Registrar & Records | Cash disbursements/receipts; evaluate internal controls for the confidentiality of student records and transcripts; determine reliability and integrity of grades and grade change data; determine compliance of course adds and drops; and identify procedural improvements. |
| College of Technology, Engineering, and Management – Niche | Cash handling, inventory control. |
| Delegation of Authority | Maintain a list of current delegations of signature authority posted on university's website. |

| Topic | UW Institutions' Planned Projects Brief Description of Project Scope |
|-----------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| UW-SUPERIOR | |
| | |
| | |
| UW-WHITEWATER | |
| Record Retention Audit | Full scope. |
| Bookstore | Operational. |
| Student Billings | Full-scope (billings such as dorm room, bookstore, dining, tuition, and pro-rated charges). |
| University Center | Cash management, advance of cash, recreation centers. |
| Distance Education | Full-scope, including MBA and Undergrad online, internal controls, and revenue. |
| Bursar' Office | Operational – sales-tax reporting and check handling inclusive. |
| Health Center | Including HIPPA. |
| Photo-Graphics | News and publications. |
| Delegation of Authority | Accounts payable to departments. |
| Surplus Sales | Full-scope, including computers and internal controls. |
| SUFAC | Administration and policy compliance. |
| Special Course Fees | UW System report follow-up. |
| Student Advantage | Commission statements. |
| Facility Rental | Full scope. |
| Leadership Center | Full scope. |
| Printing Services | Full scope. |
| Postal Services | Facilities planning & management. |
| Campus Safety Training | Safety regulations. |
| Procurement Cards | Follow-up audits, based on procurement card manager's reviews. |
| UW COLLEGES* | |
| Theatre Program | Review external-party contract, budget planning and compliance; assess systems for monitoring financial activity; review revenue sources, funding efforts & authorizations; and verify propriety of expenditures. |
| Contract Administration | Review campus administration and monitoring of contracted auxiliary services. Concentration on vendor cash handling, problem resolution, and monitoring of vendor compliance with contract provisions. |
| Cellular Telephone Procedures | Determine compliance with DOA & UW Colleges policies and guidelines. |
| Travel Audit | Review compliance with University policies. Verify authorizations; effective preaudit of transactions, including cross-references to other payment methods; verify prescribed payment methods are used by traveler. |
| *Plan is for the 2005-06 academic year. | |

| Topic | UW Institutions' Planned Projects Brief Description of Project Scope |
|-----------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|
| UW-EXTENSION* | |
| Capital Inventory | Follow-up of 2003 audit. |
| Cooperative Extension Publishing Unit | Departmental audit. |
| Conference Centers Server & Network Controls | Follow-up audit. |
| Contract Development & Award | Functional audit. |
| Wisconsin Geological & History Survey | |
| Hiring Guidelines | Compliance and follow-up review of 2003 audit. |
| Wisconsin Humanities Council | |
| Management Reviews | Open, as requested by management. |
| *Plan is for the 2006 calendar year. The UW-Extension auditor position became vacant as of 1/06. | |

Table 3
UW System Office of Operations Review and Audit
Tentative Project Plan
Fiscal Year 2006-07*

| Topic | Operations Review and Audit Planned Projects Brief Description of Project Scope |
|-------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Oversight of Student Organizations** | Review of the UW's efforts to manage risk and reduce liability associated with student organization activities. |
| Tuition Waivers** | Review of policies and practices related to statutory and other tuition and fee remissions, waivers, and discounts. |
| Segregated Fees** | Review of the process for determining segregated fees; trends in segregated-fee amounts; the purposes of the fees; oversight of fee expenditures; and fee policies, including Regent Policy Documents 86-4 and 88-6. |
| Distinguished Professorship Programs | Review of the extent to which programs are in effect at UW institutions, available funding, and compliance with statutory provisions. (Follows up on a previous review.) |
| Funding for Minority and Disadvantaged Programs | Review of the expenditure of funds for programs for recruiting minority and disadvantaged students (Financial and Administrative Policy F26). (Follows up on a previous review.) |
| Textbook Rental | Review of the current status of textbook rental operations at UW institutions and whether they continue to lead to cost savings for students. (Follows up on a previous review.) |
| Differential Tuition | Review of the implementation of Regent Policy Document 99-2 and how UW campuses initiate and implement differential tuition, the purposes of differential tuition initiatives, and the extent to which the initiatives have achieved their purposes. |
| Student Evaluation of Instruction | Review of the implementation of Regent Policy Document 74-13, to include identifying UW institution student-evaluation policies, methods and good practices. |
| Service Learning | Review of programs that integrate community service with academic study, including the extent to which such programs are available and risk management aspects. |
| Expenditure of Student Technology Fee | Review the allocation of the Student Technology Fee (Financial and Administrative Policy F49), which was intended to improve students' access to computer technology. (Follows up on a previous review.) |
| Student Mental Health Services | Review of UW institutions' provision of mental health services, including resources available to students; policies, such as Regent Policy Document 78-9; and national trends. |
| Network Security | Review of computer network security policies and their implementation. |

| Topic | Operations Review and Audit Planned Projects Brief Description of Project Scope |
|----------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Special Course Fees Policy** | Facilitation of a workgroup with systemwide representation, formed to revise the Special Course Fees policy (Financial and Administrative Policy G29), based on the findings of a previous Operations Review and Audit report. |
| Procurement Cards** | Continuation of efforts to strengthen procedures for oversight of procurement-card use. |
| National Collegiate Athletic Association Independent Accountant's Report, UW-Milwaukee | Annual required report on the application of minimum agreed-upon procedures for revenues and expenses associated with the athletics department. |
| National Collegiate Athletic Association Independent Accountant's Report, UW-Green Bay | Annual required report on the application of minimum agreed-upon procedures for revenues and expenses associated with the athletics department. |
| Academic Fees Audit, UW-Parkside** | Audit of the assessment and collection of academic tuition and segregated fees. |
| Academic Fees Audit, UW-Superior | Audit of the assessment and collection of academic tuition and segregated fees. |
| Academic Fees Audit, UW-River Falls | Audit of the assessment and collection of academic tuition and segregated fees. |
| WUWM FM 89.7 Financial Statements | Annual audit of balance sheets of UW-Milwaukee WUWM, conducted to meet Corporation for Public Broadcasting requirements. |

*Plan is tentative; new requests for reviews or investigations may receive higher priority.

**Projects underway as of February 2006.

Voting of 2006 Non-Routine
Proxy Proposals

BUSINESS, FINANCE, AND AUDIT COMMITTEE

Resolution:

That, upon recommendation of the President of the University of Wisconsin System, the non-routine shareholder proxy proposals for UW System Trust Funds, as presented in the attachment, be voted in the affirmative.

UNIVERSITY OF WISCONSIN SYSTEM TRUST FUNDS VOTING OF 2006 NON-ROUTINE PROXY PROPOSALS

EXECUTIVE SUMMARY

BACKGROUND

Regent Policy 92-4 contains the proxy voting policy for UW System Trust Funds. Non-routine shareholder proposals, particularly those dealing with discrimination, the environment, or substantial social injury (issues addressed under Regent Policies 78-1 and 78-2, 74-3(a), and 97-1), are to be reviewed with the Committee so as to develop a voting position.

REQUESTED ACTION

Approval of recommended voting positions.

DISCUSSION

The dominant social issues for the 2006 season are the following: the environment and “sustainability,” corporate political contributions, equal employment opportunity, global labor practices, and animal welfare. For most of the proxies related to these dominant issues (with the exception of corporate political contributions) the Trust Funds’ investment managers will be directed to vote in the affirmative, as they fall under the 21 social issues or themes that the Committee has already approved for active voting. There were no new social issues or themes identified for the 2006 season.

The full report on shareholder proposals for the 2006 proxy season, including summaries of pre-approved issues, is attached.

RELATED REGENT POLICIES

Regent Policy 74-3(a): Investments and the Environment
Regent Policy 78-1: Investment of Trust Funds
Regent Policy 78-2: Interpretation of Policy 78-1 Relating to Divestiture
Regent Policy 92-4: Procedures and Guidelines for Voting Proxies
Regent Policy 97-1: Investment and Social Responsibility

UNIVERSITY OF WISCONSIN SYSTEM TRUST FUNDS

Shareholder Proposals and Recommended Votes for 2006 Proxy Season

Background

This annually-provided report is intended to highlight significant "non-routine" proposals, from shareholders or management, which will be voted on by shareholders during the 2006 proxy season. Regent Policy 92-4, "Procedures and Guidelines for Voting Proxies," stipulates that significant non-routine issues are to be reviewed by the Business, Finance, and Audit Committee so as to develop a voting position on them. Non-routine issues are defined as the following: acquisitions and mergers; amendments to corporate charter or by-laws which might affect shareholder rights; shareholder proposals opposed by management; and "social responsibility" issues dealing with discrimination, the environment, or substantial social injury (issues addressed under Regent Policies 78-2, 74-3(a), and 97-1, respectively).

The majority of significant non-routine proposals are those dealing with social responsibility issues and corporate governance-related proposals which are often opposed by management. To the extent possible, similar shareholder proposals are grouped into identifiable "issues." Generally, it will be these issues (covering similar or identical proposals at various companies) that are reviewed and potentially approved for support by the Committee. On occasion, individual, company-specific proposals not falling under a broad "issue" will also be presented.

UW Trust Funds subscribes to the Investor Responsibility Research Center (IRRC) for proxy research and voting data. All of the data and statistics included in this report have been provided by the IRRC.

The 2006 Proxy Environment

Shareholders concerned with companies' management of social and environmental issues have filed more than 300 proposals so far for U.S. firms' annual meetings in 2006, down slightly from the 330 at this point last year. The dominant social issues for the 2006 season are the following: the environment and "sustainability," corporate political contributions, equal employment opportunity, global labor practices, and animal welfare.

Concerns about the environment have again generated the largest single category of social issue proposals, with 69 filed so far. Nearly half of these proposals question companies about whether they have undertaken sufficient strategic planning and action to reduce their greenhouse gas emissions, to increase their energy efficiency or to otherwise prepare for global climate change. In addition, approximately 20 companies are being

asked to review or reduce the toxicity of their products or the toxins they release to the environment.

For corporate governance issues, executive compensation will feature prominently during the 2006 proxy season. This year, investors are submitting fewer, yet more targeted and novel proposals to address concerns over excessive pay and poor compensation disclosure. Board election reform will also be an important governance issue this proxy season, much as it was in 2005. Roughly 140 resolutions have been filed calling for board elections by majority vote.

Overall, the number of proposal filings of all types of governance issues has dropped by roughly 9 percent from last year, with 548 shareholder resolution filings, compared with 603 through the same time last year. Still, individual shareholder activists are stepping up efforts to achieve governance reforms, such as the elimination of classified boards (a board of directors where the board seats are divided into two or more separate classes).

The Trust Funds proxy voting list may change as more resolutions are filed or come to light. Moreover, some proponents are likely to withdraw their resolutions if the companies agree to some or all of their requests, and other resolutions will be omitted if the Securities and Exchange Commission finds them to be in violation of its shareholder proposal rules.

Specific New Issues for 2006

For 2006, no new shareholder proposal “issues” are being recommended for affirmative voting.

Issues Previously Approved

Given below is a list of those issues that the Committee has previously approved for support (i.e., voting in the affirmative). A brief re-cap of each of these issues then follows. Any company-specific proposals not falling under a pre-approved issue are given in the voting detail attachment.

PREVIOUSLY APPROVED ISSUES

| Issue | Issue | Recommended Vote | Related Regent Policy |
|-------|-------------------------------------------------------|------------------|------------------------------|
| 1 | Report on/implement pharmaceutical policy/pricing | FOR | 97-1 |
| 2 | Report on/label genetically modified organisms (GMOs) | FOR | 97-1 |
| 3 | Shareholder approval for future golden parachutes | FOR | Non-routine corp. governance |
| 4 | Redeem or vote on poison pill | FOR | Non-routine corp. governance |

| | | | |
|----|------------------------------------------------------------------------------|-----|------------------------------|
| 5 | Report on/implement recycling development programs | FOR | 74-3(a) |
| 6 | No consulting by auditors | FOR | Non-routine corp. governance |
| 7 | Endorse core ILO principles | FOR | 97-1 |
| 8 | Predatory lending prevention | FOR | 78-1 and 97-1 |
| 9 | Report on executive compensation as related to performance and social issues | FOR | 97-1 and corp. governance |
| 10 | Report on global warming | FOR | 74-3(a) |
| 11 | Report on glass ceiling | FOR | 78-1 |
| 12 | Report on international lending policies | FOR | 97-1 |
| 13 | Global labor standards | FOR | 97-1 |
| 14 | Endorse CERES principles | FOR | 74-3(a) |
| 15 | Report on EEO | FOR | 78-1 |
| 16 | Increase and report on board diversity | FOR | 78-1 |
| 17 | Implement MacBride principles | FOR | 78-1 |
| 18 | Adopt sexual orientation non-discrimination policy | FOR | 78-1 |
| 19 | Report on health pandemic in Africa | FOR | 97-1 |
| 20 | Sustainability reporting | FOR | 97-1 |
| 21 | Review animal welfare methods | FOR | 97-1 |

| |
|--------------------------------------------------------------|
| Issue #1 – Report on/implement pharmaceutical policy/pricing |
|--------------------------------------------------------------|

A major new initiative for the 2002 proxy season were proposals to drug companies on the affordability of AIDS, tuberculosis, and malaria drugs in poor countries. The resolutions ask the companies to "develop and implement a policy to provide pharmaceuticals for the prevention and treatment" of the three diseases "in ways that the majority of infected persons in poor nations can afford." As discussed under the new issue of reporting on the health pandemic in Africa, individual shareholder proposals should be reviewed here to determine what exactly will be expected of the company. Although proposals asking for reporting on the investigation, analysis and development of policies or programs to provide "affordable" drugs in Africa and other underdeveloped, pandemic-stricken areas should likely be universally supported, proposals requiring implementation of such policies or programs should be individually reviewed.

Issue #2 – Report on/label genetically modified organisms (GMOs)

Food manufacturers are not required to label products made with bioengineered ingredients, and as a result many U.S. consumers may not be aware that they are eating foods made from GMOs. GMO developers, many farmers and the U.S. government all say that bioengineered plants are safe, but critics worry that the plants may threaten the environment, harm humans, and perhaps lead to the extinction of crops' wild cousins, an important repository of plant genetics. The majority of related resolutions ask companies to label their foods made from bioengineered ingredients or to report to shareholders on their use of bioengineered plants and food ingredients made from these plants, as well as the company's position regarding the risks to which these uses may expose it.

Issue #3 - Approval for future golden parachutes

Large severance compensation agreements for executives, contingent on a change in corporate control have been the subject of shareholder and management interest for many years. Particularly during the 1980s, when hostile takeovers were commonplace, both shareholders and managers came to realize the costs and potential uses of these safety nets. Shareholder proposals typically ask for shareholder approval of future golden parachutes.

Issue #4 - Redeem or vote on poison pill

Under a typical plan, shareholders are issued rights to buy stock at a significant discount from the market price. The rights are exercisable under certain circumstances, such as when a hostile third party buys a certain percentage of the company's stock. If triggered, the pill would dilute the value and voting power of the hostile party's holdings to such an extent that the takeover attempt presumably would never be made. Pills are not intended to be triggered, but rather serve as a tool to deter any hostile takeover and force would-be acquirers to deal with the board of directors and potentially increase their purchase bid. Boards are not required to get shareholder approval to adopt poison pills, and they rarely do so. Various academic and institutional studies have not convincingly shown that poison pills generally work to the benefit of or detriment of existing shareholders from a purely economic standpoint. The adoption of poison pills can more unambiguously serve to entrench existing boards and management. Convincingly, critics say the overriding issue is the right of shareholder/owners to decide for themselves what protections they want.

Issue #5 – Report on/implement recycling development programs

Social investment firms are continuing to press for more recycling. Most proposals ask companies to research how they could make substantive progress in the use of recycled content for their products. Other resolutions ask for a report on the means for achieving a specified percent recovery rate within a reasonable time period. The reports should provide a cost-benefit analysis of options and an explanation of the company's position

on recycling policies. In addition, reports should list all steps the company took in investigating options for the cost-effective use of recycled materials.

Issue #6 - No consulting by auditors

There has been a growing concern by both investors and regulators about the provision by auditors of both audit and non-audit services to their audit clients, and the effects of these services on the independence of the audit process. The provision of certain non-audit services by a company's auditor may impair the auditor's independence and impartiality.

Issue #7 – Endorse core ILO principles

The proposals ask companies to endorse core standards promoted by the International Labor Organization (ILO), a multilateral agency affiliated with the United Nations that represents national employer, labor and government bodies of 174 member states.

Issue #8 - Predatory lending prevention

Predatory lending, most often associated with the sub prime sector, is a loosely defined term that encompasses any number of unethical and illegal practices inflicted upon unsuspecting borrowers, often causing them financial distress or ruin. Activist shareholders have intensified a campaign for financial corporations to take steps which address predatory lending. The proposals primarily ask that the companies develop a policy to ensure against predatory lending practices and to report to shareholders on the enforcement of such policies.

Issue #9 - Report on executive compensation

Institutional investors have expressed interest in ensuring that executive pay levels are linked to corporate performance. In fact, increasing pressure since the late 1980s to tie executive compensation more directly to a company's success is contributing to the surge in executive pay. CEO compensation is now steeped with stocks and options, which have become popular vehicles to more closely align management's interests with shareholders' interests. Shareholder groups are asking boards of directors to study and report on executive compensation, and to consider ways to link compensation to corporate financial, environmental and social performance.

Issue #10 - Report on global warming

Activist shareholders have intensified a campaign for corporations to take steps which address global warming. The typical resolution on global warming asks for a report on (i) what the company is doing in research and/or in action to reduce greenhouse gas emissions, (ii) the financial exposure due to the likely costs of reducing those emissions,

and (iii) actions which promote the view that climate change is exaggerated, not real, or that global warming may be beneficial.

Issue #11 - Report on Equal Employment Opportunity: glass ceiling

The "glass ceiling" is a metaphorical term referring to the various barriers that may exist in companies to bar women's advancement to senior management positions. The typical resolution asks for a report on policies that address the issue of the glass ceiling.

Issue #12 - Report on international lending policies

The effect of international bank lending in developing nations has become an increasing concern for shareholders. Proponents concerned about poverty and debt in developing countries are submitting resolutions relating to commercial bank operations and services. The concern is that people in developing countries have not benefited from the recent increased capital flows to emerging markets. Proposals often ask for the development of a policy toward debt cancellation and provisions for new lending to heavily indebted poor countries or ask companies to develop policies which promote financial stabilization in emerging market economies.

Issue #13 - Global labor standards

Concern about conditions in third world factories that supply U.S. corporations has led to a proliferation of shareholder resolutions from a variety of proponents throughout the 1990s. Proxy proposals will ask companies to take measures to ensure their global operations, or those of their suppliers, meet minimum labor and environmental standards. Companies that adopt favorable global labor policies will be less susceptible to negative impacts.

Issue #14 - Endorse Coalition for Environmentally Responsible Economies (CERES) principles

The principles affirm that corporations have a "responsibility to the environment" and that they "must conduct all aspects of their business as responsible stewards of the environment." There are ten principle statements that address environmental protection and management commitment to the environment. A typical resolution on the environment and CERES principles asks that the company endorse the CERES principles.

Issue #15 - Report on Equal Employment Opportunity

The shareholder resolutions generally ask companies to make available information that is gathered for and reported to the Equal Employment Opportunity Commission. The information required includes statistical information in defined job categories, summary information of affirmative action policies, and reports on any material litigation involving race, gender or the physically challenged.

Issue #16 - Increase and report on board diversity

The shareholder resolutions relating to Board diversity ask companies to report on the following issues: a) efforts to encourage diversified representation on the board; b) criteria for board qualification; c) process of selecting board nominees; and d) commitment to a policy of board inclusiveness.

Issue #17 - Implement MacBride principles

The MacBride Principles offer a statement of equal opportunity/affirmative action principles for operations in Northern Ireland. These principle statements offer a code of conduct to combat religious discrimination in the Northern Irish workplace.

Issue #18 - Adopt sexual orientation non-discrimination policy

The shareholder resolutions ask companies to implement a policy that prohibits discrimination against employees on the basis of sexual orientation. A typical resolution would ask a company to adopt and implement a written equal opportunity policy barring discrimination on the basis of sexual orientation.

Issue #19 - Report on health pandemic in Africa

The shareholder resolutions ask companies with substantial leverage in the labor markets of sub-Saharan Africa to report on the effect of deadly diseases on the company's operations as well as on any measures taken in response. In addition, resolutions ask pharmaceutical companies to "establish and implement standards of response to the health pandemic of HIV/AIDS, tuberculosis, and malaria in developing countries, particularly Africa."

Issue #20 - Sustainability reporting

A typical resolution asks firms to prepare a sustainability report at a reasonable cost. The most widely used definition of sustainability is "development that meets the needs of the present without compromising the ability of future generations to meet their own needs."

Issue #21 - Review animal welfare methods

A typical resolution asks firms to review or report on animal treatment or welfare practices, including slaughter methods, with the ultimate objective being to ensure more humane treatment of animals.

UW TRUST FUNDS
2006 Proxy Season Voting List: Proposals Under Preapproved Issues

| Security Description | Mtg Date | Proposal | Policy | Vote |
|----------------------|----------|--------------------------------------------------------|-----------|-------------|
| AMERICAN INTL GROUP | 8/1 | Issue sustainability report | 74-3/97-1 | Affirmative |
| AT & T | 4/1 | Vote on future golden parachutes | CG | Affirmative |
| AVON PRODUCTS INC | 5/1 | Report on toxicity of product formulation | 74-3 | Affirmative |
| BARD INC | 4/1 | Implement ILO Global Labor Standards | 97-1 | Affirmative |
| BRISTOL MYERS SQUIBB | 5/1 | Review animal welfare standards | 97-1 | Affirmative |
| BRISTOL MYERS SQUIBB | 5/1 | Redeem or vote on poison pill | CG | Affirmative |
| CHEVRON | 4/1 | Review animal welfare standards | 97-1 | Affirmative |
| CHEVRON | 4/1 | Report on protecting key natural and cultural sites | 74-3 | Affirmative |
| CHEVRON | 4/1 | Adopt comprehensive human rights policy | 97-1 | Affirmative |
| CHEVRON | 4/1 | Report pandemics' impact on business strategy | 97-1 | Affirmative |
| CHEVRON | 4/1 | Vote on future golden parachutes | CG | Affirmative |
| DEVON ENERGY CORP | 6/1 | Report on greenhouse gas emissions | 74-3 | Affirmative |
| EXELON CORP COM | 7/1 | Vote on future golden parachutes | CG | Affirmative |
| EXXON MOBIL CORP | 5/1 | Adopt sexual orientation non-discrimination policy | 78-1 | Affirmative |
| EXXON MOBIL CORP | 5/1 | Report on greenhouse gas emissions | 74-3 | Affirmative |
| EXXON MOBIL CORP | 5/1 | Review security arrangements in Indonesia | 97-1 | Affirmative |
| EXXON MOBIL CORP | 5/1 | Report on global climate change | 74-3 | Affirmative |
| EXXON MOBIL CORP | 5/1 | Report on protecting key natural and cultural sites | 74-3 | Affirmative |
| JOHNSON & JOHNSON | 4/1 | Report on toxicity of product formulation | 74-3 | Affirmative |
| JPMORGAN CHASE & CO | 4/1 | Redeem or vote on poison pill | CG | Affirmative |
| LOCKHEED MARTIN CORP | 4/1 | Report on equal employment opportunity | 78-1 | Affirmative |
| MORGAN STANLEY | 3/1 | Vote on future golden parachutes | CG | Affirmative |
| R H DONNELLEY CORP | 4/1 | Redeem or vote on poison pill | CG | Affirmative |
| SEMPRA ENERGY | 4/1 | Report on greenhouse gas emissions | 74-3 | Affirmative |
| SEMPRA ENERGY | 4/2 | Redeem or vote on poison pill | CG | Affirmative |
| SPRINT NEXTEL | 4/1 | Vote on future golden parachutes | CG | Affirmative |
| STRAYER ED INC | 5/1 | Adopt sexual orientation non-discrimination policy | 78-1 | Affirmative |
| WELLS FARGO | 4/1 | Report on global climate change challenges to business | 74-3 | Affirmative |
| WELLS FARGO | 4/1 | Report on fair housing lending policy | 78-1 | Affirmative |
| WELLS FARGO | 4/1 | Take steps to prevent predatory lending | 78-1 | Affirmative |
| WYETH | 4/1 | Review animal welfare standards | 97-1 | Affirmative |

Note: A "CG" designation represents a non-routine Corporate Governance proposal.

**UW SYSTEM TRUST FUNDS
ANNUAL ENDOWMENT PEER BENCHMARKING REPORT
EXECUTIVE SUMMARY**

BACKGROUND

Each year, the National Association of College and University Business Officers (NACUBO) conducts a detailed survey of college and university endowments including data on investment and spending policies and practices, investment performance and fees, staffing, and other measures. The survey provides overall averages, as well as statistics for endowments by different size categories. This data is supplemented by results from a limited Big Ten survey and other peer information, where available.

REQUESTED ACTION

This item is informational only.

DISCUSSION

While some university systems combine their foundation and university-controlled endowments for reporting purposes (where there are both), others report them separately. UW System Trust Funds are reported to NACUBO on a stand-alone basis. Other UW entities that reported in the 2005 NACUBO survey included the foundations at UW-Madison and UW-Eau Claire.

UW System Trust Funds' true endowment (Long Term Fund only) was valued at \$277.6 million as of June 30, 2005. Although these funds represent only a portion of UW institutions' endowment assets, Trust Funds alone still ranked 171st in size among the 746 reporting institutions.

The Trust Funds' investment return has exceeded the average peer performance for the one, three, and five-year periods ending June 30, 2005. Regarding investment-related fees and staffing, UW Trust Funds is in line with peer averages. Finally, while UW Trust Funds' spending rate policy is typical, the percentage spending rate (4.0%) remains below the peer average (4.7%).

The attached report provides more details on key data from the fiscal year 2005 surveys.

RELATED REGENT POLICIES

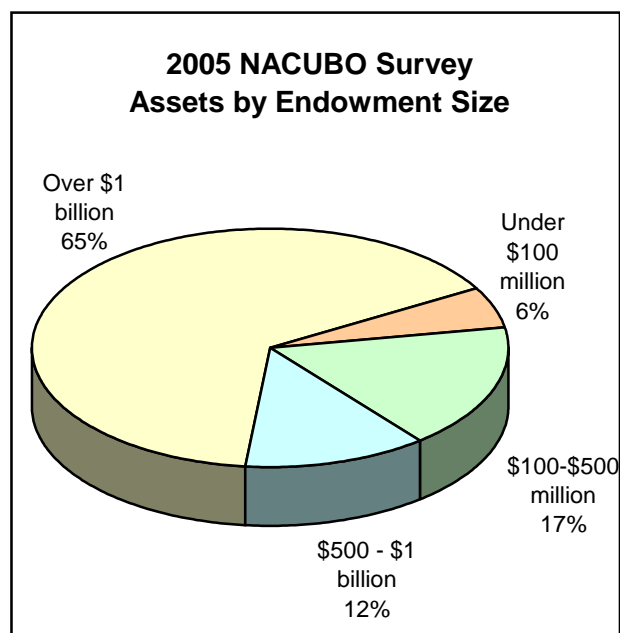
None.

UW SYSTEM TRUST FUNDS

Annual Endowment Peer Benchmarking Report

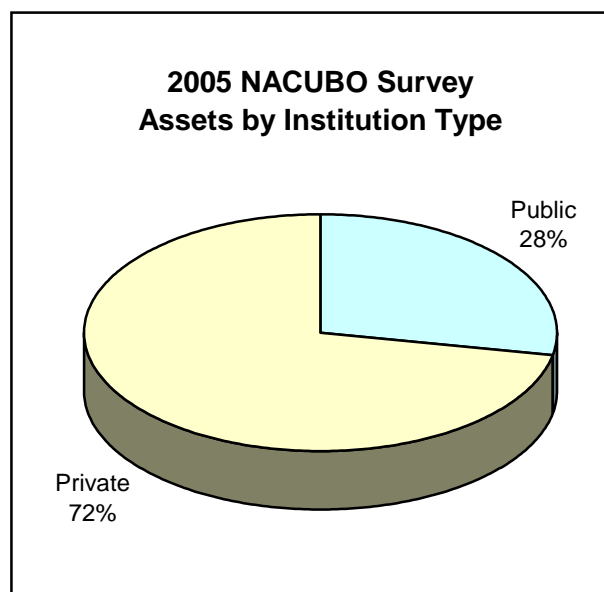
Fiscal Year Ended June 30, 2005

SURVEY SUMMARY STATISTICS



- 746 institutions participated in the 2005 NACUBO survey. Master's colleges made up the largest category of responding institutions with 239 reporting, followed by baccalaureate colleges (231 reporting), doctoral/research institutions (226 reporting), specialized institutions (31 reporting), and community colleges (19 reporting).
- Doctoral/research institutions control 79% of aggregate endowment assets, with baccalaureate institutions a distant second at 13%.
- Endowment assets remain highly concentrated. Only 56 schools (8% by number), each with assets in excess of \$1 billion, represent 65% of the total assets. Only 110 schools (15% by number), each with assets in excess of \$500 million, represent 78% of the aggregate assets.

- The NACUBO study included 515 private and 231 public institutions.
- The largest public institution endowment is the University of Texas System's (\$12.2 billion) and the largest private institution endowment is Harvard University's (\$25.5 billion).
- UW System Trust Funds' true endowment (Long Term Fund only) was valued at \$278 million as of June 30, 2005. Although these funds represent only a portion of UW institutions' endowment assets, Trust Funds alone ranked 171st in size among the 746 reporting institutions. UW-Madison Foundation's true endowment assets were valued at \$1.1 billion, placing it 49th in size on a stand-alone basis.
- The peer data for the Big Ten in this report includes all eleven institutions with an average endowment pool of \$1.2 billion.
- The largest endowment in the Big Ten is the University of Michigan's with \$4.9 billion, 10th in size among the 746 reporting institutions. UW Trust Funds' and UW-Madison Foundation's assets taken together, total \$1.4 billion, which would place UW 5th in the Big 10 and 35th in the NACUBO study.

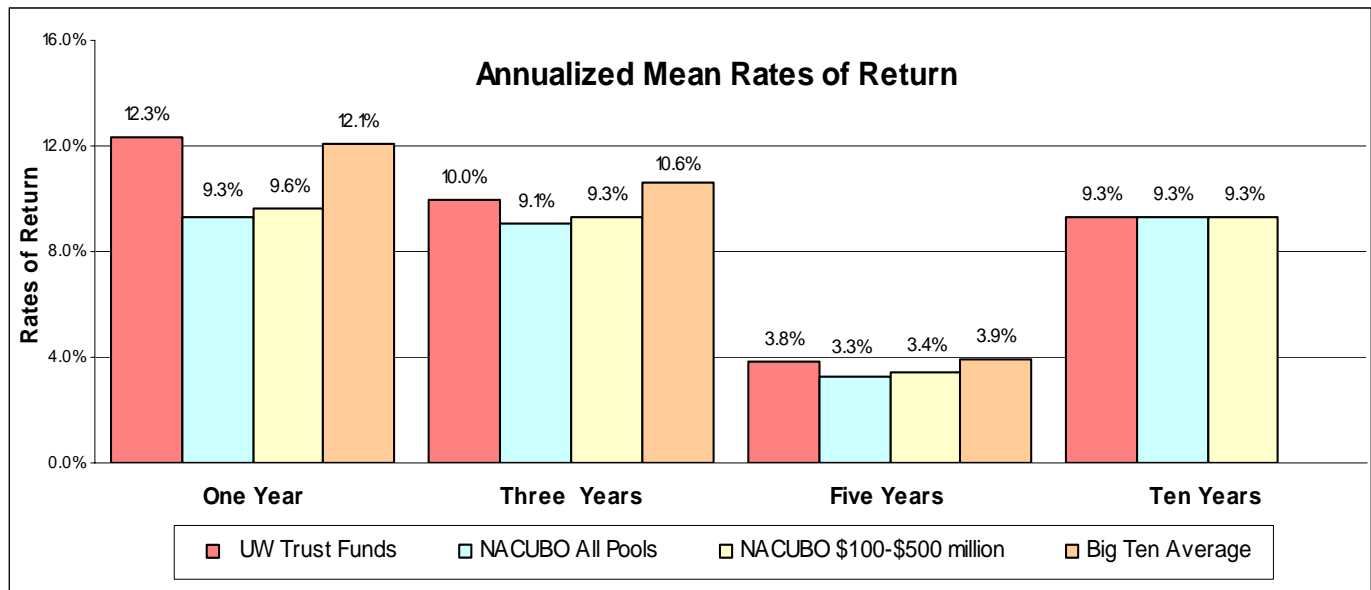


BROAD ASSET ALLOCATION (As of June 30, 2005)

| <u>Asset Class</u> | UW Current | UW Target | NACUBO All Pools | NACUBO \$100-\$500M | NACUBO > \$1 billion | Big Ten Average |
|--------------------|---------------|--------------|---------------------|------------------------|-------------------------|--------------------|
| Equities | 78.9% | 45.0% | 58.5% | 57.8% | 44.9% | 55.4% |
| Fixed Income | 18.6% | 22.5% | 21.5% | 18.9% | 14.2% | 16.7% |
| Alternatives | 2.5% | 32.5% | 15.1% | 19.0% | 38.4% | 25.0% |
| Private Capital | 2.5% | 10.0% | 2.4% | 3.3% | 9.3% | 6.1% |
| Hedge Funds | 0.0% | 0.0% | 8.7% | 11.4% | 21.7% | 13.2% |
| Real Estate | 0.0% | 7.5% | 3.1% | 3.0% | 4.0% | 4.3% |
| Natural Resources | 0.0% | 15.0% | 0.9% | 1.3% | 3.4% | 1.4% |
| Cash | 0.0% | 0.0% | 3.5% | 2.5% | 2.0% | 1.0% |
| Other | 0.0% | 0.0% | 1.4% | 1.8% | 0.5% | 1.9% |
| TOTAL | 100.0% | 100.0% | 100.0% | 100.0% | 100.0% | 100.0% |

- The primary difference between the UW System Trust Funds' current allocation and that of various peer groups is the underweighting to Alternatives. However, allocations to Real Estate, Timber and Commodities (futures index) were approved in June 2005 and implementation of these investments has begun. Also approved was an allocation of 25% of the endowment to a Global Tactical Asset Allocation strategy, which will engage in active tactical shifts among major asset classes and will include an allocation of up to 35% in an absolute-return hedge fund-of-funds (equating to up to 8.75% of the entire endowment). The "UW Target" strategic allocation shown above represents the expected normal asset class allocations over time.
- The major asset allocation changes versus last year's averages include the following: increase in Alternatives in NACUBO averages (+2.3% for all pools), while Alternatives for the Big Ten average decreased (-3.6%); and slight decrease in Equities in NACUBO averages (-1.4% for all pools), while Equities for the Big Ten average increased (+2.5%);
- The Alternatives-Private Capital category consists primarily of venture capital and other private equity.
- The Alternatives-Hedge Fund category consists primarily of unregulated private investment partnerships investing in mostly marketable securities, but employing strategies (long/short, convertible arbitrage, leverage, etc.) designed to provide for more absolute returns with low correlation to the markets.
- The Alternatives-Natural Resources category includes timber, oil and gas partnerships, and commodities.

INVESTMENT PERFORMANCE (Periods Ended June 30, 2005)



- For Fiscal Year 2005, the UW System Trust Funds outperformed the NACUBO averages as well as the Big Ten average. Over the most recent three and five year periods, Trust Funds' performance finished ahead of the NACUBO averages while just shy of the Big 10 average.
- Most investment performance differences are likely due primarily to asset allocation differences, particularly over the shorter timeframes shown. It should also be noted that the average Big 10 endowment has \$1.2 billion in assets; their investment performance and asset allocations, therefore, are more akin to those of the largest endowment pools.

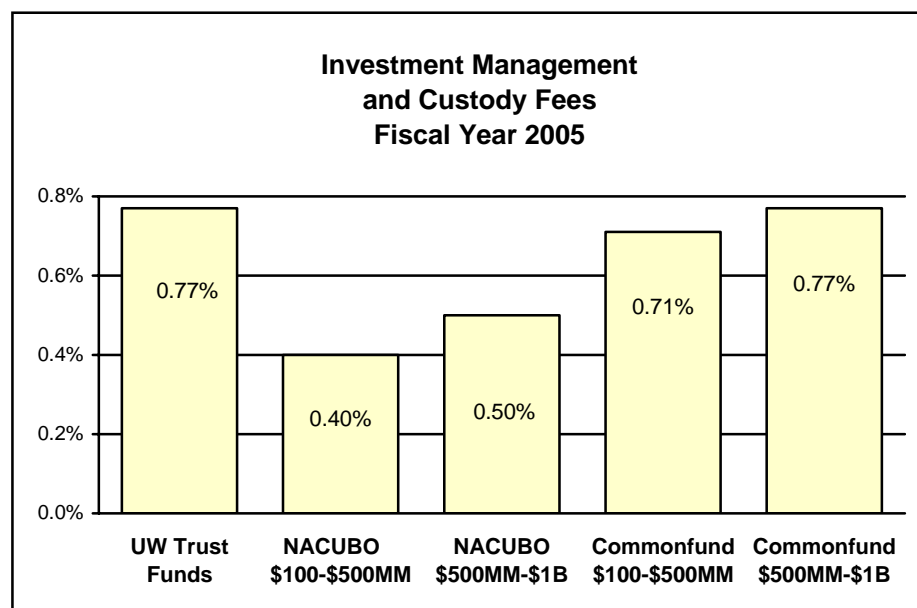
RANGE OF INVESTMENT RETURNS

- The UW System Trust Funds' nominal rate of return in Fiscal 2005 was 12.3%, placing it well above the 75th percentile for all NACUBO endowments.
- For the three-year and five-year timeframes, UW Trust Funds' endowment return placed above the median return for all NACUBO endowments.
- For the ten-year timeframe, UW Trust Funds' endowment return was essentially equal to the median return for all NACUBO endowments.

Range of Returns: NACUBO All Pools

| | One Year | Three Year | Five Year | Ten Year |
|-----------------------------------|--------------|--------------|-------------|-------------|
| High | 22.3% | 17.5% | 14.5% | 17.4% |
| 75th Percentile | 10.9% | 10.5% | 4.6% | 10.3% |
| Median | 9.1% | 9.4% | 3.3% | 9.4% |
| 25th Percentile | 7.5% | 7.9% | 1.8% | 8.2% |
| Low | -11.4% | -6.8% | -5.7% | 3.3% |
| UW Trust Funds | 12.3% | 10.0% | 3.8% | 9.3% |

ENDOWMENT INVESTMENT-RELATED FEES



- Trust Funds' investment management and custody fees for Fiscal Year 2005 totaled \$1,974,511 (including internal fees on commingled funds) on an average portfolio value of \$273,896,269.
- Trust Funds' investment management and custody fees for Fiscal Year 2005 were 0.77% of total assets.
- The differences seen between the NACUBO and Commonfund figures probably indicates reporting inconsistencies. One major source of potential inconsistency could be whether commingled fund fees are included or excluded.

ENDOWMENT GIFTS & BEQUESTS

- Although unsolicited, endowed gifts to the UW Trust Funds as a percent of average assets were comparable to or higher than peer group averages for Fiscal Year 2005.

| Total Assets | Average Gifts & Bequests* |
|----------------------------|---------------------------|
| NACUBO > \$1 billion | 2.9% |
| NACUBO \$100-\$500 million | 3.1% |
| NACUBO All Pools | 3.2% |
| UW Trust Funds | 3.3% |

* Rates are based on a percentage of the average of the fiscal year beginning and ending market values

INVESTMENT MANAGEMENT PRACTICES

- Use of Internal Management

| Total Assets | Average % Internally Managed |
|----------------------------|------------------------------|
| NACUBO > \$1 billion | 13.7% |
| NACUBO \$100-\$500 million | 4.6% |
| NACUBO All Pools | 9.6% |
| UW Trust Funds * | 0% |

* This pertains only to the Long Term Fund (true endowment assets). Roughly 15% of the Intermediate Term Fund is managed "internally" by graduate students through the Applied Securities Analysis Program.

- Use of Passive Management

- Nearly half of the reporting institutions report no use of passive management.
- Approximately 80% of the institutions reporting some use of passive management had less than 25% of their assets passively managed.
- The UW Trust Funds does not currently use any passive management.

SPENDING RATE POLICIES

- Spending Rates

| | |
|-------------------------------|------|
| NACUBO All Pools' Average | 4.7% |
| Commonfund All Pools' Average | 4.6% |
| Big Ten Average | 4.9% |
| UW Trust Funds | 4.0% |

- As in previous years, there is very little variability in spending rates by endowment-size pool, and spending rates were uncorrelated with the size of the institution's endowment. The highest average annual spending rate was 4.9% and the lowest average spending rate 4.4%.
- Spending rates declined in 2004 and 2005 after generally increasing from 1996 to 2003.
- It should be noted that foundations represent many institutions' reported endowment assets, and foundations must pay out a minimum of 5% of assets annually to avoid tax sanctions; university endowments are not subject to this regulation.
- How the Spending Rate is Applied
 - Most of the reporting endowments (82%) use an annual spending rate that represents a pre-specified percentage of the moving average of their endowment market values. Institutions in the largest and smallest endowment asset size categories were the least likely to follow this spending rule.
 - Trust Funds applies the annual spending rate to a three-year moving average of market value.

INVESTMENT MANAGEMENT COMMITTEE AND STAFFING

- Committee & Staffing Levels

| Total Assets | Average Number of Committee Members | Average Investment Staffing | Investment Staff Range |
|-----------------------|----------------------------------------|--------------------------------|---------------------------|
| NACUBO \$100-\$500 mm | 10 | 1 | 0-28 |
| NACUBO > \$1 billion | 10 | 5 | 0-35 |
| NACUBO All Pools | 10 | 1 | 0-50 |
| UW Trust Funds | 6 | 2 | N/A |

- Use of External Consultants

| Total Assets | Percent Using Consultants |
|----------------------------|---------------------------|
| NACUBO > \$1 billion | 54% |
| NACUBO \$100-\$500 million | 86% |
| NACUBO All Pools | 74% |

- UW Trust Funds does not currently use external consultants.

CONSIDERATION OF SOCIAL RESPONSIBILITY

- One investment management feature that has remained relatively stable over the past three years is the lack of consideration of social responsibility as part of an institution's investment policy. The 2005 NACUBO Endowment Study shows that only 19% of reporting institutions consider social responsibility criteria as part of their investment management policies when not required by donors.
- Only 12% of public institutions consider social responsibility criteria, while 21% of private institutions do.
- UW System Trust Funds considers social responsibility criteria in voting shareholder proxy proposals and seeks student and public comment on issues of significant social concern.

REVISED

I.3. Physical Planning and Funding Committee

Thursday, March 9, 2006
Van Hise Hall
1220 Linden Drive

Committee of the Whole

9:30 a.m. - Taxpayer Protection Amendment – Senator Grothman, Representative Wood and Professor Reschovsky invited *

10:30 a.m. - United Council 2007-09 Budget priorities

11:00 a.m. - Achieving Excellence: UW System Accountability Report 2005-06

12:00 p.m. Lunch

12:30 p.m. Physical Planning and Funding Committee – Room 1511

- a. Approval of the Minutes of the February 9, 2005 Meeting of the Physical Planning and Funding Committee
- b. UW-Madison: Camp Randall Stadium Expansion/Renovation Budget Adjustment [Resolution I.3.b.]
- c. UW-Madison: Authority to Transfer the Fred A. Ogg Hall Name to the New Residence Hall at 835 West Dayton Street [Resolution I.3.c.]
- d. UW-Madison: Authority to Plan the Warehouse Remodeling Arts Relocation Project [Resolution I.3.d.]
- e. UW-Platteville: Authority to Purchase the Newly Constructed Southwest Hall [Resolution I.3.e.]
- f. UW-Stevens Point: Authority to Convey Property located at 2101 Main Street, Stevens Point, Wisconsin [Resolution I.3.f.]
- g. UW-Stout: Authority to Acquire Property at 221 Third Street West, Menomonie, Wisconsin [Resolution I.3.g.]
- h. UW System: Authority to Construct Facility Maintenance and Repair Projects [Resolution I.3.h.]

- i. Adoption of Revised Policy Related to Naming or Dedicating University Facilities
[Resolution I.3.i.]
- j. Report of the Assistant Vice President
 - Building Commission Actions
 - Building Commission Awards of Excellence
 - Capital Budget Discussion
 - 2005-07 Classroom Renovation/Instructional Technology Improvements
- x. Additional items which may be presented to the Committee with its approval

* Senator Grothman and Representative Wood have been invited to join the Regents anytime during the two-day meeting to discuss the Taxpayer Protection Amendment. The Committee of the Whole may recess for lunch or individual committee meetings and may reconvene to accommodate the discussion with Senator Grothman and Representative Wood.

Authority to Adjust the Budget of the Camp
Randall Stadium Project, UW-Madison

PHYSICAL PLANNING AND FUNDING COMMITTEE

Resolution:

That, upon the recommendation of the UW-Madison Chancellor and the President of the University of Wisconsin System, authority be granted to increase the budget of the Camp Randall Stadium Expansion/Renovation project by \$350,000 Gift Funds, for a revised total project cost of \$109,792,900 (\$85,104,900 Program Revenue Supported Borrowing, \$11,888,000 Gift/Grant Funds, and \$12,800,000 General Fund Supported Borrowing).

THE UNIVERSITY OF WISCONSIN SYSTEM

Request for State Building Commission Action March 2006

1. Institution: The University of Wisconsin–Madison.
2. Request: Requests authority to increase the budget of the Camp Randall Stadium Expansion/Renovation project by \$350,000 Gift Funds, for a revised total project cost of \$109,792,900 (\$85,104,900 Program Revenue Supported Borrowing, \$11,888,000 Gift/Grant Funds, and \$12,800,000 General Fund Supported Borrowing).
3. Description and Scope of Project: This work will address safety recommendations by the UW Police which resulted from an extensive amount of concourse overcrowding that became evident during the 2005 football season. The requested work will correct crowding and circulation issues on the west concourse of Camp Randall Stadium:
 - Sections A/I add cross aisles - will allow fans to use restrooms and concessions on the north and south end and relieve overcrowding on the single west concourse.
 - Section A/B concourse expansion – additional expansion on the overcrowded west concourse.
 - Section J restroom entrance - new restroom entrance to relieve pressure on the west concourse circulation.
 - Section T, Level 3 restroom addition – adds capacity in this critical area.
 - Air conditioning the Stadium Operations Center.
4. Justification of the Request: During the fall 2005 football season, fan traffic through the west concourse at the newly renovated Camp Randall Stadium resulted in gridlock especially during the pre-game and at halftime periods.

Division of Intercollegiate Athletic staff members consulted with UW-Madison Police, the UW Safety Department, and project design and construction personnel to review the traffic flow congestion issues and develop comprehensive short and long-range solutions. Short term solutions were made, i.e., the removal of impediments such as ice machines and railings, which added space to corridors to provide more room for patrons.

Because of the heat generated by the vast amount of audiovisual equipment in the Stadium Operations Center, it is necessary to add air conditioning to this room.

Approval of this request will allow the Division of Intercollegiate Athletics to implement a permanent solution to the congestion problems in a timely and cost effective manner. The original project is not yet closed and will utilize the current project architect and contractor to design and construct the improvements before the fall 2006 football season. The Division of Intercollegiate Athletics has gift funds available to cover the cost of the work.

5. Budget and Schedule:

| | Cost |
|--------------------|-----------|
| Construction | \$305,000 |
| Design | 45,000 |
| Total Project Cost | \$350,000 |

| Schedule | Date |
|------------------------|-------------|
| Start of Construction | March 2006 |
| Substantial Completion | August 2006 |

6. Previous Action:

November 10, 2000
Resolution 8241

Granted authority to seek enumeration for construction of a Camp Randall Stadium Renovation and Expansion Project, as part of the 2001-03 Capital Budget, at an estimated project cost of \$99,700,000 (\$72,700,000 Program Revenue Supported Borrowing, \$17,000,000 Gift Funds and \$10,000,000 General Fund Supported Borrowing – All Agency appropriations for Repair and Renovation). The project was subsequently enumerated at \$99,800,000 (\$72,800,000 Program Revenue Supported Borrowing, \$17,000,000 Gift Funds, and \$10,000,000 General Fund Supported Borrowing).

November 10, 2000
Resolution 8242

Clarification of the expectations of the Board of Regents regarding implementation of a major renovation project at UW-Madison Camp Randall Stadium proposed for enumeration as part of the 2001-03 Capital Budget, with additional approval from the Board of Regents, prior to construction, as follows:

Summer/Fall 2001: Following legislative approval of the 2001-03 Capital Budget, Regent approval will be requested for construction of the first stage of work, primarily focused on infrastructure and utility work estimated at approximately \$14.6 million.

Winter/Spring 2002: Regent approval will be requested for the balance of work, to include a financing plan with, at a minimum: a commitment of at least \$17 million in gift funding; and assurances that sufficient revenues are available from multi-year leases of suites and club seats, from the Badger Fund, and from other receipts to

amortize the program revenue bonding and pay ongoing operating costs.

- | | |
|--------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| September 7, 2001 Resolution 8426 | Approved the Design Report and authorized construction of the Utility Upgrade phase of the Camp Randall Stadium Expansion and Renovation project at an estimated total project cost of \$11,200,000 (\$7,275,000 General Fund Supported Borrowing, \$3,625,000 Program Revenue Supported Borrowing from the 2001-03 Major Project, and \$300,000 General Fund Supported Borrowing from the Randall Street Substation Upgrade project). |
| December 6, 2002 Resolution 8626 | Approved the Design Report and authority to construct the Camp Randall Stadium Renovation and Expansion project at an estimated cost of \$72,500,000 (\$63,775,000 Program Revenue Supported Borrowing, \$6,000,000 Gift Funds, and \$2,725,000 General Fund Supported Borrowing for a total project cost of \$83,700,000 (\$67,400,000 Program Revenue Supported Borrowing, \$6,000,000 Gift Funds, and \$10,300,000 General Fund Supported Borrowing). |
| May 7, 2004 Resolution 8832 | Approved authority to grant an increase to the budget of the Camp Randall Stadium Renovation and Expansion project by \$7,804,500, Program Revenue Supported Borrowing, for a revised total project cost of \$106,904,900 (\$85,104,900 Program Revenue Supported Borrowing, \$6,000,000 Gift Funds, and \$15,800,000 General Fund Supported Borrowing). Fundraising specifically for this project prior to bond funding for this project shall be used to offset at least 50% of the additional amount of Program Revenue Supported Borrowing requested. |

Authority to Transfer the Name
Frederick A. Ogg Hall to the New
Residence Hall at 835 West Dayton
Street, UW-Madison

PHYSICAL PLANNING AND FUNDING COMMITTEE

Resolution:

That, upon the recommendation of the UW-Madison Chancellor and the President of the University of Wisconsin System, authority be granted to transfer of the name Frederic A. Ogg Hall from the current Ogg Hall located at 716 West Dayton Street to the new residence hall located at 835 West Dayton Street.

THE UNIVERSITY OF WISCONSIN SYSTEM

Request for Board of Regents Action

March 2006

1. Institution: The University of Wisconsin-Madison
2. Request: Requests the transfer of the name Frederic A. Ogg Hall from the current Ogg Hall located at 716 West Dayton Street to the new residence hall located at 835 West Dayton Street.
3. Summary and Background: This request is in accordance with the University of Wisconsin Board of Regents policy 96-1 which requires that every request to name a facility after a person be brought to the Physical Planning and Funding Committee for discussion, and with policy 86-2 which states that no facility or portion thereof which has been named after a person shall be removed/razed or have the name removed unless the Board has approved a plan to continue recognition of the person after whom the facility was named.

The existing Ogg Hall was named in the early 1960's for prominent UW-Madison scholar and teacher, Frederic Austin Ogg. It is scheduled for removal in late 2007 after the completion of two new residence halls currently under construction – Newel J. Smith Hall on Park Street and a second new residence hall at 835 West Dayton Street.

In accordance with Regent policy, the Division of University Housing wishes to continue recognition of Frederic Ogg by transferring the name to the new residence hall. Approval of this request will allow Housing to print materials to be sent to incoming first year students as part of the residence hall selection process for the 2007-08 school year.

0306Ogg Hall NameTransfer.doc

Authority to Plan the Warehouse Remodeling
Arts Relocation Project, UW-Madison

PHYSICAL PLANNING AND FUNDING COMMITTEE

Resolution:

That, upon the recommendation of the UW-Madison Chancellor and the President of the University of Wisconsin System, authority be granted to plan the Warehouse Remodeling Arts Relocation Project by requesting the release of \$114,200 Building Trust Funds–Planning and the use of \$138,200 Gift Funds to prepare preliminary plans and a design report at an estimated project cost of \$8,815,000 (\$4,375,000 General Fund Supported Borrowing and \$4,440,000 Gift Funds).

THE UNIVERSITY OF WISCONSIN SYSTEM

Request for Board of Regents Action March 2006

Project 06B1R

1. Institution: The University of Wisconsin–Madison
2. Request: Requests authority to plan the Warehouse Remodeling Arts Relocation Project by requesting the release of \$114,200 Building Trust Funds–Planning and the use of \$138,200 Gift Funds to prepare preliminary plans and a design report at an estimated project cost of \$8,815,000 (\$4,375,000 General Fund Supported Borrowing and \$4,440,000 Gift Funds).
3. Description and Scope of Project: This project will renovate approximately 31,000 ASF/47,700 GSF of warehouse space, located at 630 West Mifflin Street, Madison, Wisconsin, for the Department of Art's ceramics, art metal, and papermaking programs, and will examine options for renovating an additional 9,000 ASF/13,800 GSF for the Tandem Press.

Renovated space will include a classroom and exhibition space to showcase works of art, instructional lab spaces for the ceramics and papermaking programs, and faculty, graduate student, and teaching studios. New plumbing, ventilation, and electrical infrastructure will be added as necessary to support the intended functions. The new space will connect to the glass lab and sculpture/foundry space currently in the building. Accessibility improvements for those with disabilities will be added.

The design consultant will also look at options and develop a ten percent design for remodeling warehouse space currently occupied by the Survey Center into a permanent space for Tandem Press. This may include a 4,700 GSF addition to the warehouse. The Tandem Press space will include printmaking and etching operations; and a gallery with a distinctive main entrance, and lighting suitable for receptions, public viewings, and the sale and storage of collection art pieces.

The proposed warehouse space will be vacant at the time of construction. Current storage activities in that space are being relocated to another site off campus. However, construction sequencing must be sensitive to existing building tenants with minimal disruption and advance notice of construction activities.

4. Justification of the Request: The project will move art programs currently located in substandard facilities in the 700 and 1300 blocks of University Avenue as well as programs currently located in the Education Building into renovated space at a single consolidated

site at the university warehouse. It will provide the Art Department with an improved educational environment for faculty, staff, and students and it will, in turn, allow the vacation and removal of these spaces for high priority gift funded university building projects.

The Art Department's paper-making lab and faculty, graduate student, and teaching studios currently occupy dilapidated and inefficiently configured storefront buildings located at 730-736 University Avenue (3,648 ASF), 1313-1315 University Avenue (4,115 ASF), 1319 University Avenue (3,687 ASF), 1323-1325 University Avenue (2,674 ASF) and 317 Randall Avenue (6,793 ASF). Facilities in the 700 block of University Avenue must be relocated to make way for the proposed gift funded Music Performance Facility slated for enumeration in 2007-09. The studios in the 1300 block must be vacated for construction of the Wisconsin Institute for Discovery which was enumerated in 2005-2007.

The graduate ceramics and art metal programs currently occupy 9,543 ASF in the Education Building. A gift funded restoration, renewal and addition project for this building was enumerated in 2005-2007 and planning and design work is scheduled to begin later this year.

These programs will join the glass and sculpture/foundry programs which moved to the warehouse in 2004. Consolidating all of these programs into the renovated warehouse space creates new opportunities for educational and artistic collaboration and cross-fertilization, while also allowing for increased sharing of equipment and resources. This will strengthen the academic and artistic mission of the Art Department by creating an environment where artists can share ideas and create hybrid forms in mixed media.

Tandem Press is a self-supporting printmaking studio affiliated with the Art Department, which has a rich tradition in printmaking. Tandem Press was created to foster research, collaboration, experimentation, and innovation in printmaking and to ensure that the university continues to play a leading role in this area. Since 1987, more than 200 graduate students have apprenticed at Tandem Press. At Tandem, they have the opportunity to work with nationally recognized artists who come to produce top-quality prints. Tandem Press currently operates in 7,453 ASF of leased space at 201 South Dickinson Street, a state-owned property that has recently been listed for sale as a state property liquidation initiative. The long-term goal for Tandem Press is to be located on campus, close to users. Exploring options as part of this project will begin the process of achieving that goal, and will also allow Tandem Press to determine a fundraising strategy.

The building trust funds are requested for planning the relocation of the units from the 1300 block of University Avenue for the Wisconsin Institute of Discovery. The gift funds will cover the planning for the other units which are being relocated in preparation for the School of Education Addition/Renovation and the Music Performance Facility.

5. Budget and Schedule:

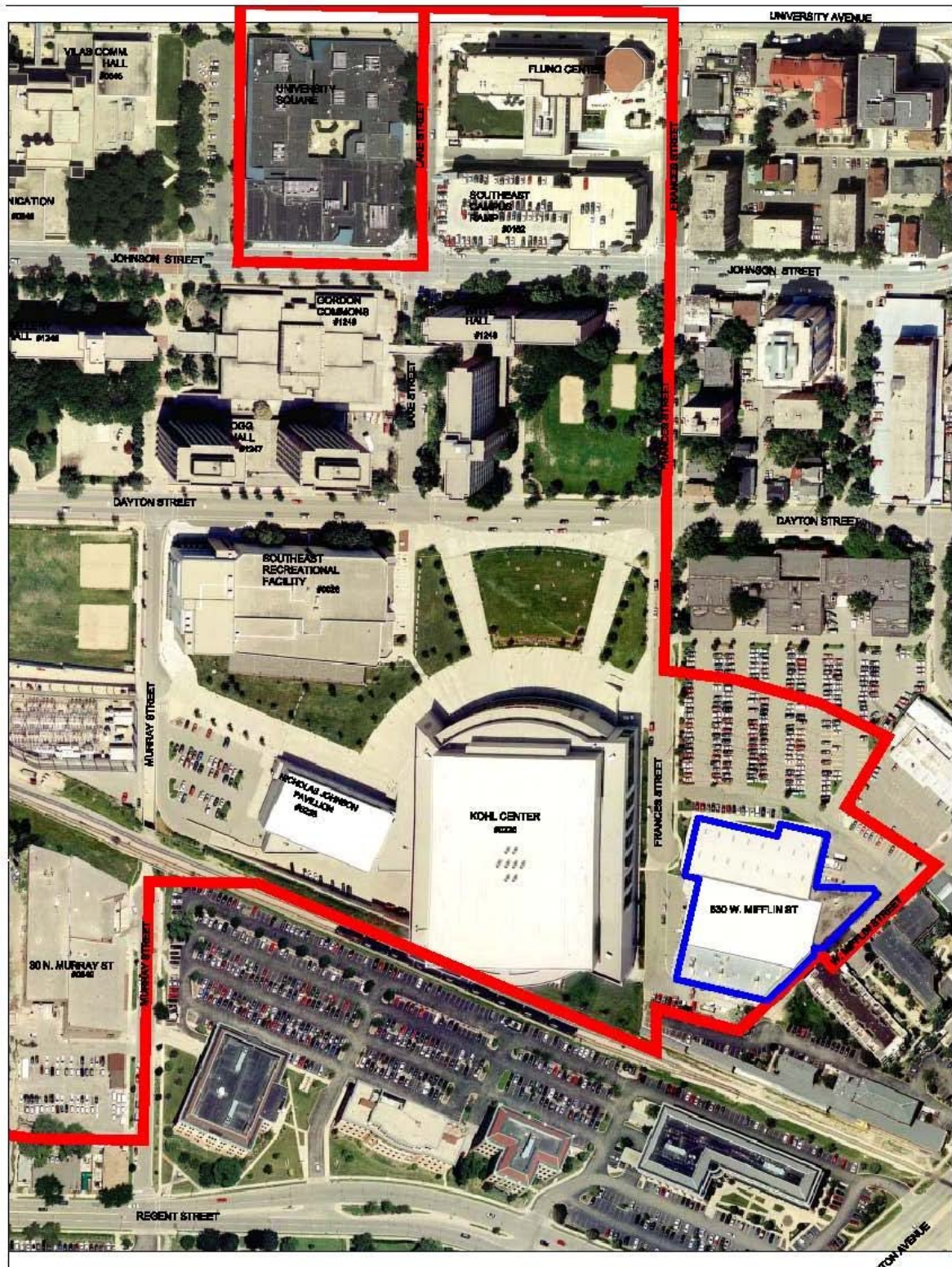
| Budget | % | Cost |
|--------------------------------------------------------------------|------|-------------|
| Construction (incl. demolition & abatement of hazardous materials) | | \$7,142,000 |
| Contingency | 7.0 | 500,000 |
| A/E Design & other fees * | 8.8 | 631,000 |
| DSF Management | 4.0 | 306,000 |
| Moveable Equipment | | 214,000 |
| Percent for Art | 0.25 | 22,000 |
| Total Estimated Project Cost | | \$8,815,000 |

* includes \$60,000 for 10% design of Tandem Press space

6. Previous Action:

March 18, 2005 Recommended enumeration of an Education Building Restoration, Renewal, and Addition project as part of the 2005-2007 Capital Budget at an estimated total budget of \$31,000,000 Gifts/Grants. The project was subsequently enumerated at that amount and funding source.

March 18, 2005 Recommended enumeration of the Wisconsin Institute for Discovery project as part of the 2005-2007 Capital Budget at an estimated total project cost of \$380,700,000 (\$19,000,000 existing GFSB-BioStar 2005-2007; \$31,000,000 existing GFSB-BioStar 2007-2009; \$137,500,000 future GFSB; and \$193,200,000 Gifts/Grants). The project was subsequently enumerated at \$150,000,000 (\$19,000,000 existing GFSB-BioStar 2005-2007, \$31,000,000 existing GFSB-BioStar 2007-2009, and \$100,000,000 Gifts/Grants).



Authority to Purchase the Newly Constructed
Southwest Hall, UW-Platteville

PHYSICAL PLANNING AND FUNDING COMMITTEE

Resolution:

That, upon the recommendation of the UW-Platteville Chancellor and the President of the University of Wisconsin System, authority be granted to request authorization of \$18,700,000 Program Revenue Supported Borrowing to purchase Southwest Hall upon completion as stipulated in the purchase option of the lease agreement; and requests \$1,300,000 in Program Revenue Supported Borrowing to purchase the movable equipment to furnish the building. The project was enumerated in the 2005-07 Capital Budget at \$20,000,000 Program Revenue Supported Borrowing.

THE UNIVERSITY OF WISCONSIN SYSTEM

Request for Board of Regents Action March 2006

1. Institution: The University of Wisconsin-Platteville
2. Request: Requests authorization of \$18,700,000 Program Revenue Supported Borrowing to purchase Southwest Hall upon completion as stipulated in the purchase option of the lease agreement; and requests \$1,300,000 in Program Revenue Supported Borrowing to purchase the movable equipment to furnish the building. The project was enumerated in the 2005-07 Capital Budget at \$20,000,000 Program Revenue Supported Borrowing.
3. Description and Scope of the Project: Approval of this request will permit acquisition of the newly constructed 151,500 GSF, 380-bed, Southwest Hall building. Included in the building purchase is a 12-stall surface parking lot, sedimentation basin, and paved fire lanes around the residence hall, all of which are located on Board of Regents owned property.

The six-story residence hall will house 380 students in 95 four-person suites. Each suite has four single bedrooms, a living room, a dining area/kitchenette, and two bathrooms. Each of the six floors has a study area. Public areas in the building include a main desk, lobby, multipurpose room, laundry room, and community room with vending area. A resident director apartment and other management/operational support space is included in the building. Residents of the new hall will receive their main food service at Glenview Commons, located on the UW-Platteville campus.

4. Justification for the Request: This project is required to support growth in the student population from 5,800 to 7,800 students starting in 2005 and reaching 7,800 students in 2011 through the Regent approved Tri-State Initiative. The nine existing residence halls (constructed from 1961 to 1969) are inadequate to meet current and future needs and are currently operating at overflow capacity, housing 2,400 students in spring of 2004. The campus currently has a 320-bed deficit in supplying housing to freshmen and sophomores. No existing residence hall will be replaced by this project. Southwest Hall will be ready for occupancy in August 2006.

Through a State of Wisconsin Request for Proposals (RFP) process, Platteville Partners LLC was selected to design and build a new 380-bed residence hall on university-owned property at the UW-Platteville campus. It was anticipated in the RFP that UW-Platteville would seek to purchase the facility upon completion. The rental cost would be \$1.694 million the first year for a total annual rental and operating cost of 2.042 million. The lease cost would be increased by 2-1/2 percent annually. It is anticipated that annual debt service will be less than lease costs.

The purchase option price in the lease was \$17,650,000. In November 2005, additional sitework and change order expenses totaling \$561,858 were added to the purchase price of the

project. These were approved by the campus and the DSF project manager. DSF project management expense is \$353,000. The adjusted project cost is \$18,564,858. Sitework and change order expenses are as follows:

| | |
|-------------------------------|---------------|
| sitework | \$225,325 |
| windows solar ban upgrade | 12,482 |
| ceramic tile | 65,000 |
| door security-card readers | 92,480 |
| roof structural steel | 17,020 |
| additional electrical outlets | 524 |
| shower lights | 35,097 |
| occupancy sensors | 20,000 |
| insulation-mineral wool | 3,779 |
| waterproofing steam tunnel | 7,449 |
| colored mortar | 5,040 |
| electric water heater | 21,131 |
| steam tunnel extension | 20,785 |
| medium voltage cable | <u>35,745</u> |
| Total Sitework/Scope Changes | \$561,858 |

UW-Platteville received bonding authority in the 2005-07 Capital Budget to purchase the building. UW-Platteville student housing revenues will pay the net lease rent plus operating and utility costs. The building will be connected to campus heating and utility systems by a separate project.

5. Budget: Facility purchase not to exceed \$18,700,000 and other fees and equipment of approximately \$1,300,000. The debt service will be financed with housing revenues.

6. Previous Action:

| | |
|--------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------|
| May 7, 2004 Resolution 8837 | Granted authority to issue a Request for Proposals (RFP) for the private development of a new suite-style residence hall on the UW-Platteville campus. |
|--------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------|

| | |
|--------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| February 11, 2005 Resolution 8974 | Granted authority for the officers of the Board of Regents: (1) to enter into a land use agreement to permit Platteville Partners, LLC to construct additional student housing on land owned by the Board of Regents, and (2) to lease the 141,600 leaseable square feet from Platteville Partners, LLC. |
|--------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

Authority to Convey Property,
UW-Stevens Point

PHYSICAL PLANNING AND FUNDING COMMITTEE

Resolution:

That, upon the recommendation of the UW-Stevens Point Chancellor and President of the University of Wisconsin System, authority be granted to allow UW-Stevens Point to sell the former American Suzuki Center house and land located at 2101 Main Street, Stevens Point, at a price not less than the average of two appraisals.

THE UNIVERSITY OF WISCONSIN SYSTEM

Request for Board of Regents Action

March 2006

1. Institution: The University of Wisconsin–Stevens Point
2. Request: Requests authority to sell the former American Suzuki Center house and land (approximately 0.276 acre) located at 2101 Main Street, Stevens Point, at a price not less than the average of two appraisals.
3. Description: The campus will sell the vacant house, garage, and land located at 2101 Main Street, Stevens Point, at a price not less than the average of two appraisals. The sale will be conducted by the campus to interested parties and if not successful, then by listing the property with a local real estate agent. Two independent property appraisals have been completed to establish an estimated fair market value.
4. Justification: The 4,654 GSF two story house and land at 2101 Main Street was purchased by Central State College in 1952 for \$20,000 GFSB. The house was approximately 82 years old at the time. It was used many years as a home economics lab. In 1979 the building was occupied by the American Suzuki Institute continuously for 26 years until it was vacated upon completion of the Noel Fine Arts Center remodeling and addition in 2005. The 136-year-old house contains all the limitations expected when using an aging former residence for an academic program or office. Limitations include inappropriate floor plans, no handicapped accessibility, and poor lighting, restroom layouts, acoustics, electrical, plumbing, heating, venting, and air-conditioning. Continued use would involve significant capital investment. The 1999-91 Biennial Budget Request for the Fine Arts Center remodeling and addition stated that the American Suzuki house would be sold following the move of the American Suzuki Institute to the Fine Arts Center. The campus Space Use Plan did not support another programmatic use for the building. The property is outside the current Campus Boundary. The parcel continues to be zoned R-3 Single and Two Family Residential by the city of Stevens Point unlike most other university owned parcels which are zoned U-1, University Facilities District. The sale will modestly affect the campus grounds department which stores graduation staging in the 361 GSF garage.
5. Budget: Sale proceeds will be applied to partially meet campus budget reductions. Costs for property appraisals, inspection services, title insurance, closing costs, sale preparations, and real estate commissions, if applicable, will be deducted from the sale price.
6. Previous Action: None.

PHYSICAL PLANNING AND FUNDING COMMITTEE

Resolution:

That, upon the recommendation of the UW-Stout Chancellor and the President of the University of Wisconsin System, authority be granted to acquire the property at 221 Third Street West, Menomonie, Wisconsin, at a cost of \$167,500 (\$157,000 Program Revenue Supported Borrowing and \$10,500 Program Revenue–Cash).

THE UNIVERSITY OF WISCONSIN SYSTEM

Request for Board of Regents Action March 2006

1. Institution: The University of Wisconsin-Stout
2. Request: Requests authority to acquire the property at 221 Third Street West, Menomonie, Wisconsin, at a cost of \$167,500 (\$157,000 Program Revenue Supported Borrowing and \$10,500 Program Revenue–Cash).

This amount includes \$157,000 for the land purchase, plus an estimated \$10,500 of associated appraisal, closing, asbestos abatement, and demolition costs in accordance with the provision of Section 20.914(1) of Wisconsin Statutes. The purchase price is the average of two market appraisals, both at \$157,000. There is no relocation cost associated with this acquisition.

3. Description and Scope of Project: This is a 0.4 acre parcel of land consisting of two lots with two structures: a house with an attached garage, and a free-standing garage. The parcel is within the campus boundary near the northwest corner of campus and is one of eight parcels on the west side of Third Street West (from First Avenue to Third Avenue), across the street from the newly constructed Red Cedar Residence Hall. This will be the fifth acquisition of this group. An environmental audit indicates that the property has asbestos containing material (ACM) in floor covering. UW-Stout will have the ACM removed before demolition begins. Since this acquisition is for the purpose of parking development, immediate action will be taken to demolish the structure and develop the parcel as a temporary gravel lot of approximately forty parking spaces. A fully developed, permanent parking lot will be constructed after acquisition of the remaining two properties on the 200 block of Third Street West.
4. Justification of the Request: Approval of this request facilitates the development of adequate parking to meet the north campus residence hall demand. For more than a decade, the UW-Stout campus development plans have documented a shortage of adequate parking. Currently there is a 550 stall deficit based on 2,710 stalls available and a planning target of 3,260 stalls. This deficit is especially acute near the north campus residence halls. The construction of the new Red Cedar Residence Hall impacted parking availability due to the hall being constructed on the site of a previous parking lot, the increase in the number of hall residents on the North Campus, and the demographic change to upperclass students of North Campus residents which has resulted in more demand on parking availability. Adequate residence hall parking is essential to the effective marketing of on-campus housing. Sufficient on-campus parking limits student parking on city streets and the campus relationship with the immediate neighborhood and the community.

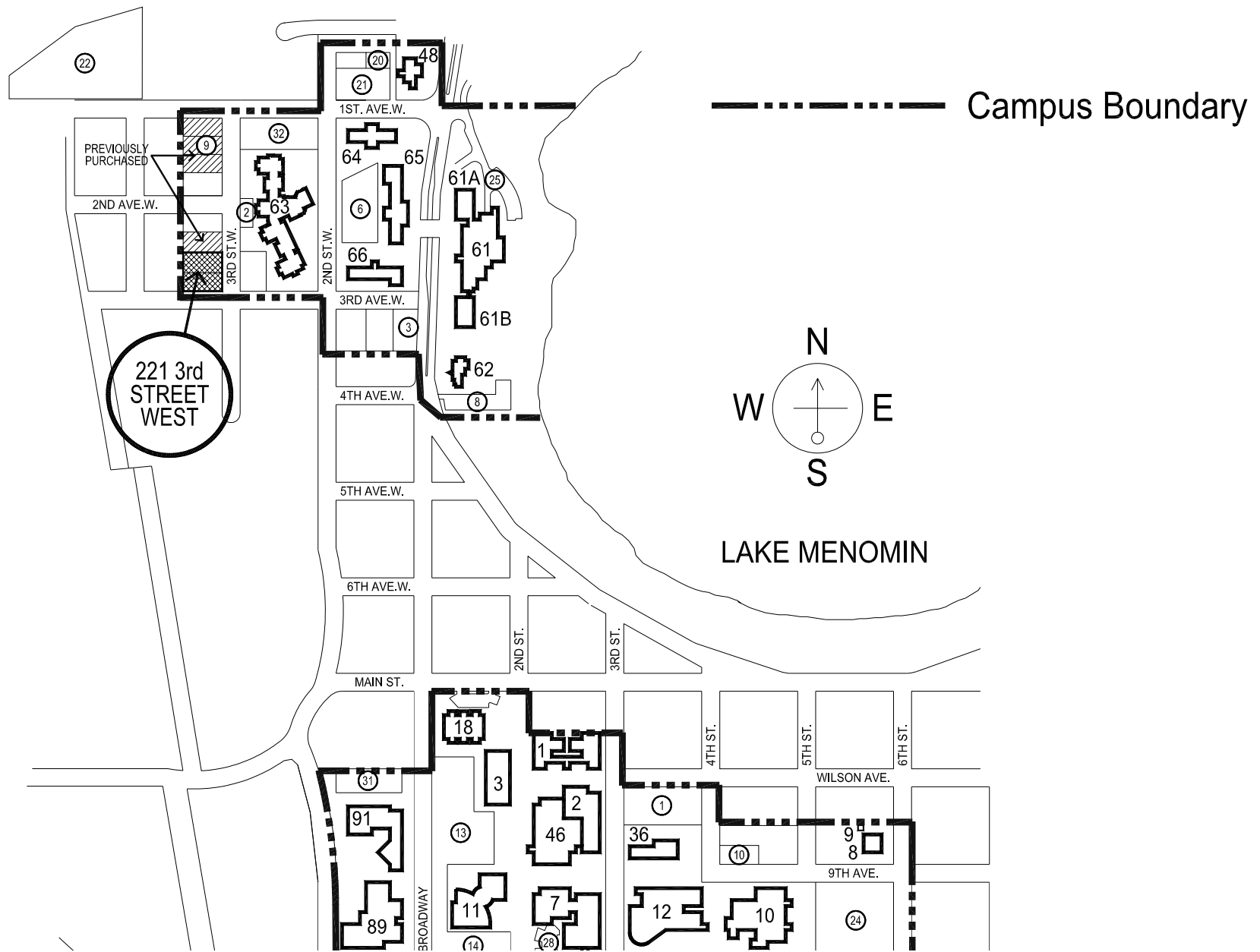
Acquisition of this property will require a modest increase (two to three percent) in student parking fees.

5. Budget and Schedule:

Budget: \$167,500

Schedule: n/a

6. Previous Action: None.



Authority to Construct Facility
Maintenance and Repair Projects,
UW System

PHYSICAL PLANNING AND FUNDING COMMITTEE

Resolution:

That, upon the recommendation of the President of the University of Wisconsin System, authority be granted to construct various maintenance and repair projects at an estimated total cost of \$7,477,000 (\$4,107,590 General Fund Supported Borrowing, \$150,000 Program Revenue Supported Borrowing, and \$3,219,410 Program Revenue-Cash).

THE UNIVERSITY OF WISCONSIN SYSTEM

Request for Board of Regents Action March 2006

1. Institution: The University of Wisconsin System
2. Request: Requests authority to construct various maintenance and repair projects at an estimated total cost of \$7,477,000 (\$4,107,590 General Fund Supported Borrowing, \$150,000 Program Revenue Supported Borrowing, and \$3,219,410 Program Revenue-Cash).

FACILITIES MAINTENANCE & REPAIR

| INST | PROJ. NO. | PROJECT TITLE | GFSB | PRSB | PR CASH | GIFT/GRANT | BTF | TOTAL |
|----------------|-----------|-----------------------------------------|-----------|-----------|-----------|------------|-----|-------------|
| LAX | 05H1L.1 | Whitney Roof Repl (Increase) | \$0 | \$0 | \$239,000 | \$0 | \$0 | \$239,000 |
| OSH | 06B2O | Dempsey/Harrington Elec Upgr | \$515,500 | \$0 | \$80,500 | \$0 | \$0 | \$596,000 |
| RVF | 04K1N.1 | Multi-Res Hall Restroom Renv (Increase) | \$0 | \$150,000 | \$150,000 | \$0 | \$0 | \$300,000 |
| FM&R SUBTOTALS | | | \$515,500 | \$150,000 | \$469,500 | \$0 | \$0 | \$1,135,000 |

UTILITIES REPAIR & RENOVATION

| INST | PROJ. NO. | PROJECT TITLE | GFSB | PRSB | PR CASH | GIFT/GRANT | BTF | TOTAL |
|----------------|-----------|--------------------------------|-------------|------|-------------|------------|-----|-------------|
| MSN | 06B2I | 21st Century Telcomm Ext Ph. 2 | \$350,800 | \$0 | \$93,200 | \$0 | \$0 | \$444,000 |
| OSH | 06B2H | Steam Dist Tunnel Repr | \$241,400 | \$0 | \$205,600 | \$0 | \$0 | \$447,000 |
| OSH | 06B2G | Substain Switchgear Repl | \$1,081,600 | \$0 | \$921,400 | \$0 | \$0 | \$2,003,000 |
| PKS | 06B2F | Inner Loop Road Renconst | \$399,690 | \$0 | \$577,310 | \$0 | \$0 | \$977,000 |
| STP | 06B2E | Htg Plnt Boiler/Deaerator Repl | \$1,324,300 | \$0 | \$811,700 | \$0 | \$0 | \$2,136,000 |
| WTW | 06A3E | Multi-ResHall Emer Gen Inst | \$194,300 | \$0 | \$140,700 | \$0 | \$0 | \$335,000 |
| UR&R SUBTOTALS | | | \$3,592,090 | \$0 | \$2,749,910 | \$0 | \$0 | \$6,342,000 |

| | GFSB | PRSB | PR CASH | GIFT/GRANT | BTF | TOTAL |
|-------------------|-------------|-----------|-------------|------------|-----|-------------|
| MARCH 2006 TOTALS | \$4,107,590 | \$150,000 | \$3,219,410 | \$0 | \$0 | \$7,477,000 |

3. Description and Scope of Project: This request constructs maintenance, repair, renovation, and upgrades through the All Agency Projects Program.

Facilities Maintenance and Repair Requests

LAX – Whitney Center Roof Replacement (Increase) (\$239,000): This project replaces the Insulated Roof Membrane Assembly (IRMA) system consisting of a membrane placed directly on the roof deck structure and covered with rigid insulation, weed barrier, and rock ballast. This project installs a replacement roofing system consisting of rigid insulation directly on the roof deck structure, covered by the roof membrane and rock ballast covering the roofing membrane.

This project was previously approved at the September 2005 Board of Regents meeting. Recent roofing inspections and estimates have determined the previously approved funding is insufficient to adequately repair the roof.

OSH – Dempsey Hall and Harrington Hall Electrical System Upgrade (\$596,000): This

project upgrades the electrical distribution system in Dempsey Hall. Project work includes installation of cooling fans in the transformer substation enclosure to increase the transformer capacity. The transformer room ventilation system will be upgraded to remove heat generated through transformer losses. An additional switchboard section will be installed adjacent to the existing switchboard for termination of additional panel feeders. The project also replaces the 35-year-old diesel engine driven generator with a new larger generator and removes the diesel fuel oil tank. The new generator will be sized to provide emergency power for the campus computer network hub equipment, State BadgerNet hub equipment, supporting HVAC systems, life-safety systems, and critical building equipment. A new uninterruptible power supply will be installed to serve equipment loads in the computer room. This project also replaces the main distribution panel in Harrington Hall. Two additional power panels will be installed on each of three floors. Conduit will be stubbed out of the panels to the ceiling space above for installation of future branch circuits on an as needed basis.

Dempsey Hall was constructed in 1918, with an addition in 1968, and houses campus administration offices, the computer support department, general classroom space, the campus computer network hub, and the state BadgerNet hub. Electrical capacity is inadequate to serve loads in the original facility and additional power distribution is needed to support classroom instructional technology equipment, personal computers, and window air conditioning units. The electrical demand should decrease when the 1968 building addition chiller is removed and the addition is connected to the central campus chilled water system under State Project 04H1S which is currently in design. The emergency generator lacks adequate capacity to serve the campus computer network hub, state BadgerNet hub, and critical building equipment. The campus has a commitment to provide BadgerNet telecommunications service to state and local units of government, school districts, and other facilities throughout the central Wisconsin region. When utility power is interrupted, the existing uninterruptible power supply units can only support the equipment for a short time period to allow non-destructive shutdown of server equipment. If power cannot be restored in a timely manner, a portable generator must provide power. This project ensures that the campus meets its commitment to provide telecommunications service during long-term power outages.

Harrington Hall was constructed in 1913, and houses the Geology department. Geology has requested air conditioning for classrooms and laboratories and power for instructional and research equipment. These requests have been denied due to the limited capacity of the power distribution system. Air conditioning is needed to provide lab environments that can support instruction and research throughout the year. Classroom instructional technology equipment, personal computers, and instructional and research equipment are needed to support modern methods of teaching. The power distribution system has been renovated and upgraded twice since original construction, and currently there is no capacity to install additional circuit breakers in most panels. Emergency power is needed to support critical building support equipment so the facility does not freeze or flood. The building steam condensate pumps, sump pump, and temperature control air compressor should be connected to emergency power, as well as critical equipment needed to maintain instructional and research materials.

RVF – Multi-Residence Hall Restroom Renovation (Increase) (\$300,000): This project completely renovates approximately 5,000 SF of restrooms and shower rooms and 1,150 SF of residence rooms in May and Prucha residence halls to create new restroom and shower room pairs on each floor. This project renews infrastructure and finishes, upgrades ADA accessibility, and facilitates assigning both genders to rooms on each floor.

This project was previously approved at the February 2005 Board of Regents meeting. Recent bids exceeded the approved funding. In addition, a recent plan review by the Department of Commerce resulted in the need to upgrade the ventilation system to meet current code requirements.

Utilities Repair and Renovation Requests

MSN – 21st Century Telecommunications Exterior Wiring Phase 2 (\$444,000): This project installs single mode fiber optic cable in campus underground signal conduits between nine supernode/nodal buildings and 29 radial buildings across campus. Fiber optic cable termination panels will be provided in all buildings, and 12 to 18 single mode fiber strands will be installed between nodal and radial buildings as outlined below:

This is the second phase of a two phase project. The first phase was approved by the State Building Commission in April of 2004. The 21st Century Network Program increases data transfer rates between campus buildings and within buildings by upgrading the cabling infrastructure and the network equipment. Additional single mode fiber optic cables are needed to complete the backbone installations from supernode/nodal buildings to each radial building on campus and to provide additional capacity where needed. Inside the buildings, new copper wiring and additional fiber optic cables are needed to support the upgrade. Additionally, DoIT is remodeling existing telecommunication rooms to protect the network investment. When this program is complete, the campus will have a data network that provides outstanding and consistent network capabilities to all areas.

OSH – Electrical Substation Switchgear Replacement (\$2,003,000): This project removes the campus primary electrical switchgear located just west of the Facilities Management Center and develops a new switchgear yard approximately 300 LF west of the present location. The new switchgear yard is located just north of the Wisconsin Public Service (WPS) substation. The 1966 vintage exposed metal clad switchgear lineup will be replaced with a sheltered aisle metal clad switchgear assembly. The new assembly will include compartments for one main and eight feeder draw-out air magnetic or vacuum circuit breakers along with a transformer/panel, power supply and metering. The capacitor bank transclosure will also be replaced. Approximately 400 LF of ductbank containing 10 power conduits and two signal conduits routing east from the existing switchgear location to a campus ductbank along High Avenue will be removed. Approximately 800 LF of ductbank containing 10 power and six signal conduits will be constructed west along Rockwell Avenue from the ductbank along High Avenue to the WPS substation access drive and then south to the new switchgear location. Six campus feeders will be extended to the new switchgear location. Two 4-inch signal conduits will be routed in a lateral fashion from the

ductbank along the access drive to the Ceramics Lab and to the Hazardous Waste Building. Approximately 800 LF of ductback containing four 4-inch signal conduits will be extended south along Pearl Avenue from the ductbank along the access drive to the Aquatics Lab. Depending on the final academic building footprint, the ductbank from High Avenue to Pearl Street may be shifted to the south in a new utility corridor between the new academic building and the Kolf Physical Education Center.

The existing GE outdoor switchgear lineup is over 35 years old. The potheads leak oil into the vertical breaker mechanisms and arc chutes, creating a condition that requires an annual shutdown to clean the equipment. Many repair measures have been taken to correct the problem but it still persists. While the outdoor gear was painted in 1986 when the feeder cables were replaced, the exterior panels are showing signs of age. The configuration of the outdoor gear requires operation and maintenance activities to occur in all weather conditions. This is a safety concern. With construction of the central chilled water plant, the last spare feeder breaker was put into service. All six feeder breakers are currently utilized with the capacitor bank fed from a switch/fuse buss tap. No spare breakers or breaker compartments are available.

The campus physical development plan includes demolition of the Campus Security building and the Facilities Management Center, allowing a relocation/upgrade of Pearl Avenue and construction of a new academic building. The building commission has authorized planning of a 210,000 GSF academic building under State Project 05I3N. Construction funding will be available in September of 2007. Relocation of the campus switchgear will remove this facility from the new roadway and building site. The proposed switchgear site between the WPS substation and the Ceramics Lab will not be impacted by these projects.

OSH – Steam Distribution Tunnel Repairs (\$447,000): This project repairs the campus steam distribution tunnel system. Project work includes asbestos abatement and re-insulation in sixteen steam pits. Steam distribution tunnel system repairs include replacing forty-eight steam pipe stanchion supports, forty 5-inch C-channels, and four 10-inch I-beams. All remaining pipe supports should be cleaned and repainted to prevent further rusting. At four separate locations, approximately 1,320 square feet of concrete tunnel ceiling are deteriorated (spalling concrete, leaking, cracks, sagging, exposed and rusted rebar) and must be repaired or replaced. Leaky ceilings caused approximately 220 LF of electrical conduit and three junction boxes to rust and corrode.

Steam pit repairs include asbestos abatement of piping and fitting insulation and reinsulating these components with non asbestos containing materials in thirteen steam pits, and reinsulating components in three additional steam pits.

The steam distribution piping located in the steam tunnels is supported by steel stanchions at 10 LF intervals. These stanchions have been exposed to condensate, steam, and ground water, causing their deterioration and requiring repairs or replacement. The damaged sections of the steam tunnel ceilings will continue to deteriorate if they are not repaired, potentially collapsing and causing a major steam distribution system failure. The asbestos

covered fittings in the steam pits are in various states of disrepair and must be replaced.

PKS – Inner Loop Road Reconstruction (\$977,000): This project reconstructs and widens 4,200 LF of Inner Loop Road from 20 feet to 32 feet across to accommodate two-way traffic and bike lanes. The surface of the road will be pulverized or removed. A drainage system will be installed in areas that have repeatedly developed water problems (primarily southwest corner) which have led to base material loss and deterioration. Appropriate granular fill will be placed in the expanded width and in existing areas where bad base materials must be removed. Surface alignment will be adjusted and the road repaved. Light standards will be relocated to accommodate the widened roadway.

The project also constructs a 920 LF service road connecting Outer Loop Road to Inner Loop Road with associated base, paving, curb and gutter, and lighting. A new 8-foot wide, 1,550 LF pedestrian walkway will be provided along the western road segment. The Inner Loop Road and Wood Road intersection will be realigned and made perpendicular to improve sightlines and safety. Partial improvements to the east half of the north segment are required to maintain circulation pending permanent improvements to be constructed as part of the Union Parking Lot, planned for construction in 2009. This project is required to maintain campus circulation and parking throughout a series of phased improvements outlined in the recently completed master plan.

Inner Loop Road was constructed in 1969. In the late 1970's and 1980's the surface had an overlay installed. Annual maintenance including crack filling, asphalt infrared heat repair, concrete curb, catch basin and road shoulder grading were completed by facilities staff or by contract. Approximately half of the curb along the road is broken, settled, or completely gone which allows water to readily penetrate the road base. Reconstruction and widening portions of the road is the first step of a multi-phase circulation plan developed as part of the master plan. The master plan proposes a carefully sequenced series of roadway and parking lot improvements to be implemented over the next six years, to coordinate with the planned 2009 opening of the Student Union and the opening of the Communication Arts addition.

The master plan recommends closure of the west half of the northern segment of the Inner Loop Road to all but emergency traffic. Consequently, this project scope does not propose any work in that area. The east half of the northern segment of the road will become a cul de sac drop-off at the proposed new entrance to the expanded Student Union. Since this work will be constructed with the reconfiguration/expansion of the Union Parking Lot (currently in design), any work in that area that is not consistent with the long term plan is also excluded from this project scope.

STP – Heating Plant Summer Boiler and Deaerator Replacement (\$2,136,000): This project replaces the summer boiler with a new higher efficiency and larger capacity boiler, and replace the deaerator with a new unit of the same capacity. The project includes an economizer for the new summer boiler; and new controls, valves, and trim for both the new summer boiler and deaerator. Project work also includes replacing the exterior casings, insulation, and refractory on the two coal fired boilers.

The summer boiler, originally installed at another location in 1963, was relocated to the heating plant a year later. In 1964, the heating plant served a total of 811,000 GSF, and presently serves more than 2.4 million GSF with an additional 120,000 GSF scheduled in the next three years. The peak summer loads exceed the existing boiler capacity. The deaerator was also installed in 1964. In 1990, three circumferential welds, two longitudinal welds, and two nozzle welds were performed to repair cracks. An inspection in May 2002 revealed pitting below the water line throughout the tank. The deaerator is ten years beyond its predicted lifespan. The deaerator is critical to the function of the heating plant. It is a large piece of equipment with asbestos containing exterior insulation. It would be very difficult and time consuming to replace on an emergency basis. The coal fired boilers are also 40 years old and are the primary boilers. The boiler casing metal panels have corroded and are distorted from hot spots caused by insulation and refractory failure. The casings have been patched over the years to prevent combustion gases from escaping into the plant. The casing repairs are necessary to assure that the boilers are able to operate safely and efficiently for another 20 years.

WTW – Multi-Residence Hall Emergency Generator Installation (\$335,000): This project will install five new emergency generators to serve ten residence halls - Arey Hall, Benson Hall, Bigelow Hall, Clem Hall, Fischer Hall, Fricker Hall, Knilans Hall, Lee Hall, Tutt Hall, and Wellers Hall. The generators and their associated emergency distribution systems will be configured in the same fashion as the normal power distribution systems serving each building. A pad mounted diesel engine driven generator will be installed on a concrete pad within a chain link fence enclosure at each building served by the campus primary distribution system. Emergency feeders will be routed from each generator to the building with primary service and the building(s) with secondary service from the building with primary service. This project will also install multiple transfer switches to serve an exiting life-safety panel and a new critical equipment panel in each building. The life-safety panel will serve the fire alarm system, and egress / exit lighting. Critical equipment panels will serve exiting or future elevators in Arey Hall, Benson Hall, Lee Hall, Fricker Hall, Knilans Hall, Tutt Hall, and Wellers Hall. Existing critical equipment loads will be moved from existing life-safety panels to new critical equipment panels as needed.

Campus low-rise student residences are not currently served by emergency generators. These buildings were originally constructed with designated emergency electrical panels and emergency power circuitry serving emergency lighting and fire monitoring and alarm systems within each of these buildings. However, power to the emergency electrical distribution system is provided from the main campus electrical power distribution system. There are no sources of generated or backup power independent of the campus power distribution system. When campus electrical power is interrupted, power to the designated emergency electrical systems in these buildings is interrupted as well. Emergency egress lighting fails and exit lighting operates until the integral battery back-up systems are exhausted. Power to the fire monitoring and alarm system is lost as well. These systems are compliant with NFPA 72 which requires battery backup for one and one half hours, but recent power outages have exceeded this time period.

4. Justification of the Request: UW System Administration and the Division of State Facilities continue to work with each institution to develop a comprehensive campus physical development plan, including infrastructure maintenance planning. After a thorough review of approximately 250 All Agency Project proposals and 520 infrastructure planning issues submitted, and the UW All Agency Projects Program funding targets set by the Division of State Facilities (DSF), this request represents high priority University of Wisconsin System infrastructure maintenance, repair, renovation, and upgrade needs. This request focuses on existing facilities and utilities, targets the known maintenance needs, and addresses outstanding health and safety issues. Where possible, similar work throughout a single facility or across multiple facilities has been combined into a single request to provide more efficient project management and project execution.

5. Budget:

| | |
|-------------------------------------|---------------------|
| General Fund Supported Borrowing | \$ 4,107,590 |
| Program Revenue Supported Borrowing | 150,000 |
| Program Revenue-Cash | <u>3,219,410</u> |
| Total Requested Budget | \$ 7,477,000 |

6. Previous Action: None.

Adoption of Revised Policy Related to
Naming or Dedicating University
Facilities, UW System

PHYSICAL PLANNING AND FUNDING COMMITTEE

Resolution:

That, upon the recommendation of the President of the University of Wisconsin System, Resolution 7166 (March 8, 1996), be rescinded and the following amended policy be adopted:

It is the preference of the Board to commemorate the contributions of individuals to academic excellence through the naming or dedication of scholarships, programs, professorships, and other similar actions. However, the Board recognizes that from time to time there may be a desire to name or dedicate some facilities or portions of the university's buildings or grounds after a person.

The Board must approve each request to name an entire building after an individual or individuals. Such requests are to be presented to the Physical Planning and Funding Committee and the full Board of Regents for discussion in closed session prior to approval. Public announcement of the request by the university shall not be made until the request has been approved by the board.

If the request involves a living individual who has been formally associated with the University of Wisconsin System, or has held a paid public office, a waiting period is required unless a situation is presented where a gift stipulates the naming. Normally, at least five years must have elapsed from the time a person who has been formally associated with the University of Wisconsin System, or has held a paid public office, has terminated that association, or left the paid public office.

The Chancellor of each institution is authorized to name facilities when the naming involves less than an entire building, such as rooms, wings, or exterior amenities. This authorization is subject to the same limitations regarding waiting periods as those pertaining to entire buildings.

Adoption of Revised Policy Related to Naming or Dedicating University Facilities

BACKGROUND

The current policy of the Board of Regents relating to the naming or dedicating of university facilities requires a two-step process, wherein the Board considers a proposed naming in closed session at least one month prior to the month of formal approval of the naming request. During the last year five campuses requested and received exceptions to this two month requirement. The campuses were granted these exceptions to expedite the process to allow for public announcements of gifts for facility projects and to work with the schedule and wishes of donors. The existing two month process complicates the coordination of Board of Regent approval and the timing the campuses need to better serve their university press relations.

REQUESTED ACTION

Accordingly, to better serve the campuses, it is recommended that the Board of Regents naming policy be amended to eliminate the two month approval process now required for the naming of facilities. The Board would, instead, consider the proposed naming in closed session in a single month for formal approval.

In addition, language is added to the policy to ensure that an institution has gained Regent approval prior to publicly announcing the naming of a building.

Recommend rescission of Resolution 7166 (March 8, 1996) [Regent Policy 96-1] creating UW System policy for naming or dedicating university facilities and adoption of the following new policy, with the strikethrough and underlined portion reflecting proposed revisions:

AMENDED POLICY

96-1 NAMING OR DEDICATING OF UNIVERSITY FACILITIES

History: Res. 7166 adopted 3/8/96, rescinds 90-1, Res. 5415 adopted 2/90 which rescinded 74-1, 80-1 and Res. 5205, 4/7/89.

That, upon the recommendation of the President of the University of Wisconsin System, Resolution ~~5415 (February 2, 1990)~~ 7166 (March 8, 1996) creating UW System policy for naming or dedicating university facilities, be rescinded and the following new policy be adopted:

It is the preference of the Board to commemorate the contributions of individuals to academic excellence through the naming or dedication of scholarships, programs, professorships, and other similar actions. However, the Board recognizes that from time to time there may be a desire to name or dedicate some facilities or portions of the university's buildings or grounds after a person. ~~On those occasions, every such request that involves an entire building must be presented to the Board for formal approval. These requests are to be brought to the Physical Planning and Development Committee and the full Board of Regents for discussion in closed session at least one month prior to requesting formal Regent action.~~

The Board must approve each request to name an entire building after an individual or individuals. Such requests are to be presented to the Physical Planning and Funding Committee and the full Board of Regents for discussion in closed session prior to approval. Public announcement of the request by the university shall not be made until the request has been approved by the board.

If the request involves a living individual who has been formally associated with the University of Wisconsin System, or has held a paid public office, a waiting period is required unless a situation is presented where a gift stipulates the naming. Normally, at least five years must have elapsed from the time a person who has been formally associated with the University of Wisconsin System, or has held a paid public office, has terminated that association, or left the paid public office.

The Chancellor of each institution is authorized to name facilities when the naming involves less than an entire building, such as rooms, wings, or exterior amenities. This authorization is subject to the same limitations regarding waiting periods as those pertaining to entire buildings.

REVISED

BOARD OF REGENTS OF THE UNIVERSITY OF WISCONSIN SYSTEM

March 10, 2006
9:00 a.m.
1820 Van Hise Hall
1220 Linden Drive
Madison, Wisconsin

II. Calling of the Roll

1. Approval of the Minutes of the February 9 and 10, 2006 Meetings
2. Taxpayer Protection Amendment – Senator Grothman, Representative Wood invited *
3. Report of the President of the Board
 - a. Report on the February 24, 2006 Meeting of the Higher Educational Aids Board
 - b. Report on the February 28, 2006 Meeting of the Wisconsin Technical College System Board
 - c. Report on the March 8, 2006 Meeting of the Hospital Authority Board
 - d. Additional Items that the President of the Board May Report or Present to the Board
4. Report of the President of the System
5. Report of the Business, Finance, and Audit Committee
6. Report of the Education Committee
7. Report of the Physical Planning and Funding Committee
8. Additional Resolutions
9. Communications, Petitions, Memorials
10. Additional or Unfinished Business
 - a. Request for Reconsideration of UW-Whitewater Running Track Replacement Project
11. Recess into closed session to confer with legal counsel regarding pending or potential litigation, as permitted by s.19.85(1)(g), *Wis. Stats.*, to consider UW-Oshkosh honorary degree nominations, as permitted by s.19.85(1)(f), *Wis. Stats.*, and to consider appointment of an interim chancellor for UW-La Crosse, as permitted by s.19.85(1)(c), *Wis. Stats.*

- * Senator Grothman and Representative Wood have been invited to join the Regents anytime during the two-day meeting to discuss the Taxpayer Protection Amendment.

The closed session may be moved up for consideration during any recess called during the regular meeting agenda. The regular meeting will be reconvened in open session following completion of the closed session.

BOR agenda March 10, 2006

BOARD OF REGENTS MEETING SCHEDULE

2006

January 5 and 6 (cancelled, circumstances permitting)

February 9 and 10

March 9 and 10

April 6 and 7 (UW-Green Bay)

May 4 and 5

June 8 and 9 (UW-Milwaukee)

July 6 and 7 (cancelled, circumstances permitting)

August 17 and 18

September 7 and 8

October 5 and 6 (UW-Platteville)

November 9 and 10

December 7 and 8

Meeting schedule 2006

BOARD OF REGENTS OF THE UNIVERSITY OF WISCONSIN SYSTEM

President - David G. Walsh
Vice President - Mark J. Bradley

STANDING COMMITTEES

Executive Committee

David G. Walsh (Chair)
Mark J. Bradley (Vice Chair)
Roger E. Axtell
Elizabeth Burmaster
Milton McPike
Charles Pruitt
Jesus Salas
Christopher M. Semenas
Michael J. Spector

Business, Finance, and Audit Committee

Charles Pruitt (Chair)
Eileen Connolly-Keesler (Vice Chair) (Audit Liaison)
Thomas A. Loftus
Gerard A. Randall
Peggy Rosenzweig
Brent Smith

Education Committee

Elizabeth Burmaster (Chair)
Danae D. Davis (Vice Chair)
Roger E. Axtell
Milton McPike
Christopher M. Semenas
Michael J. Spector

Physical Planning and Funding Committee

Jesus Salas (Chair)
Gregory L. Gracz (Vice Chair)
Judith V. Crain

Personnel Matters Review Committee

Danae D. Davis (Chair)
Roger E. Axtell
Judith V. Crain
Gerard A. Randall
Michael J. Spector

Committee on Student Discipline and

Other Student Appeals

Brent Smith (Chair)
Milton McPike
Charles Pruitt
Christopher M. Semenas

OTHER COMMITTEES

Liaison to Association of Governing Boards

Eileen Connolly-Keesler

Hospital Authority Board - Regent Members

Roger E. Axtell (Vice Chair)
Peggy Rosenzweig

Wisconsin Technical College System Board

Peggy Rosenzweig, Regent Member

Wisconsin Educational Communications Board

Eileen Connolly-Keesler, Regent Member

Higher Educational Aids Board

Milton McPike, Regent Member

Research Park Board

Mark J. Bradley, Regent Member

Teaching Excellence Awards

Danae D. Davis (Chair)
Charles Pruitt
Jesus Salas
Christopher M. Semenas

Academic Staff Excellence Awards Committee

Eileen Connolly-Keesler (Chair)
Judith V. Crain
Danae D. Davis
Milton McPike
Gerard A. Randall
Jesus Salas
Brent Smith

Public and Community Health Oversight and Advisory Committee

Patrick Boyle, Regent Liaison

Regent Meeting Improvement Committee

Eileen Connolly-Keesler (Chair)
Roger E. Axtell
Michael Falbo
Charles Pruitt

Committee Regarding Faculty/Academic Staff Disciplinary Process

Michael J. Spector (Chair)
Peggy Rosenzweig
Brent Smith
Pat Brady
Walter Dickey
Chancellor Markee

Special Regent Committee for UW-Eau Claire Chancellor Search

Peggy Rosenzweig (Chair)
Eileen Connolly-Keesler
Charles Pruitt
Jesus Salas

The Regents President and Vice President serve as ex-officio voting members of all Committees.