



Board of Regents of the University of Wisconsin System
Office of the Secretary
1860 Van Hise Hall
Madison, Wisconsin 53706
(608)262-2324

April 28, 2004

TO: Each Regent

FROM: Judith A. Temby

A handwritten signature in black ink, appearing to read "J. A. Temby".

PUBLIC MEETING NOTICE

RE: Agendas and supporting documents for meetings of the Board and Committees to be held at Van Hise Hall, 1220 Linden Dr., Madison, on May 6 and 7, 2004.

Thursday, May 6, 2004

11:30 a.m. - All Regents

- Revisions to UW System Undergraduate Transfer Policy and UWS - WTCS Joint Initiatives Update
- Youri Filippov, Professor, Kuban State University, Russia: Presentation of a letter of appreciation from the Rector of Kuban State University to the Board of Regents for partnership with UW-Extension
1820 Van Hise Hall

12:30 p.m. Box Lunch - 1820 Van Hise Hall

1:00 p.m. Education Committee meeting
1920 Van Hise Hall

Joint Committee meeting:
Business and Finance Committee
Physical Planning and Funding Committee
1820 Van Hise Hall

1:30 p.m. Business and Finance Committee reconvene
1511 Van Hise Hall

Physical Planning and Funding Committee reconvene
1820 Van Hise Hall

Friday, May 7, 2004

9:00 a.m. – Board of Regents
1820 Van Hise Hall

Persons wishing to comment on specific agenda items may request permission to speak at Regent Committee meetings. Requests to speak at the full Board meeting are granted only on a selective

basis. Requests to speak should be made in advance of the meeting and should be communicated to the Secretary of the Board at the above address.

Persons with disabilities requesting an accommodation to attend are asked to contact Judith Temby in advance of the meeting at (608) 262-2324.

Information regarding agenda items can be found on the web at <http://www.uwsa.edu/bor/meetings.htm> or may be obtained from the Office of the Secretary, 1860 Van Hise Hall, Madison, Wisconsin 53706 (608)262-2324.

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Revisions to Undergraduate Transfer Policy
University of Wisconsin System

EDUCATION COMMITTEE

Resolution A:

That, upon recommendation of the President of the University of Wisconsin System, the revisions to the UW System Undergraduate Transfer Policy be approved.

REVISIONS TO UW SYSTEM UNDERGRADUATE TRANSFER POLICY (ACIS 6.0)

EXECUTIVE SUMMARY

BACKGROUND

Over the past year, the University of Wisconsin System and the Wisconsin Technical College System have had ongoing discussions regarding strategies for facilitating student transfer between the two systems. At its November and December 2003 meetings, the Board of Regents endorsed a set of proposed transfer initiatives resulting from those discussions. Parts I, II, and V of the proposed initiatives require revisions to the UW System Undergraduate Transfer Policy (ACIS 6.0). Part I would: enable UW institutions to transfer WTCS occupational/technical courses on a course-by-course basis. Part II would increase from 15 to 30 the number of general education credits allowed to transfer from applied associate degree programs. Part V would provide that students transferring an associate of arts or science degree from WTCS liberal arts collegiate transfer programs at MATC-Madison, MATC- Milwaukee, and Nicolet Area Technical College that is specifically aligned with the UW associate degree, would have their university-wide general education requirements satisfied at any UW institution, subject to review and approval by the faculties at each UW System institution.

DISCUSSION

The proposed changes to Section V of the UW System Undergraduate Transfer Policy (ACIS 6.0) brings the policy into line with the earlier actions of the Board. The substantive changes are listed below. The attached copy of ACIS 6.0 shows all revisions with deletions lined out and additions in bold and underlined. The language in section V.C.2, regarding the acceptance of the WTCS liberal arts collegiate transfer associate degree, parallels the language in Section III, ACIS 6.0, which details the acceptance of a UW Institution Associate Degree.

Part I: Transfer of Occupational/Technical Courses
 (Section V.C.4)

UWS institutions may accept in transfer and apply on a course-by-course basis, occupational and technical coursework from WTCS programs leading to an applied associate degree.

Part II: Transfer of WTCS General Education Core Courses
 (Section V.C.3)

UWS institutions may accept in transfer and apply up to 30 WTCS credits of general education coursework within the areas of communications, behavioral sciences, social sciences, mathematics, and natural science from WTCS programs leading to an associate degree of applied sciences. In cases where UWS institutions find such coursework not acceptable for transfer, WTCS students should have the same opportunities as continuing students to earn credit by examination as per ACIS 6.0 IV.E.

Part V: Transfer of WTCS Liberal Arts Degree Graduates
(Section V.C.2)

UWS baccalaureate institutions shall consider students who have completed an Associate of Arts or Associate of Science degree from any of the three liberal arts collegiate transfer programs, and that is specifically aligned with the University of Wisconsin System Associate Degree, to have satisfied the university-wide general education breadth requirements at the receiving institution. The following may not necessarily be satisfied by the WTCS Associate Degree:

- a. Competency requirements or levels of proficiency in English composition, speech, foreign language, and mathematics established by the receiving institution for continuing students;
- b. The college or school general education breadth requirements of the receiving institution;
- c. Upper division general education courses normally required of continuing junior and senior students;
- d. General education courses that are prerequisites integral to a particular program or major and are required of continuing students (e.g., micro and macro economics for business majors);
- e. Requirements mandated by external professional accrediting associations or program approving agencies (e.g., Department of Public Instruction, American Assembly of Collegiate Schools of Business, National League for Nursing);
- f. Ethnic studies/cultural diversity requirement.

Transfer credits will be evaluated by the receiving institution on a course-by-course basis for purposes other than determining satisfaction of general education breadth requirements.

RECOMMENDATION

The University of Wisconsin System recommends approval of Resolution A revising the UW System Undergraduate Transfer Policy (ACIS 6.0).

RELATED REGENT POLICIES

Planning the Future (12-86)

Undergraduate Transfer Policy Memorandum (12-82)

ACIS 6.1 and 6.2 (4-2001)

UWS Transfer Working Group Final Report (6-95)

UWS General Education Transfer Working Group Final Report (9-97)

Statement of Principles on Student Transfer from WTCS to UWS (4-7-2000)

Board of Regents Resolution # 8107 (4-7-2000)

Board of Regents Resolution # 8759 (11-7-2003)

Board of Regents Resolution # 8775 (12-5-2003)

UNIVERSITY OF WISCONSIN SYSTEM
UNDERGRADUATE TRANSFER POLICY

~~12-8-2000~~
5-7-2004

This document is a revision of the UW System Undergraduate Transfer Policy replacing the document last revised on ~~June 5, 1998~~ **December 8, 2000** and all other prior versions. It incorporates numerous provisions called for by the Board of Regents in "Planning the Future" and the "Statement of Guiding Principles on Student Transfer from the Wisconsin Technical College System to the University of Wisconsin System" of April, 2000. It further incorporates the recommendations of the 1995 UW System Transfer Working Group and the 1997 UW System General Education Transfer Working Group. These provisions establish procedures and guidelines for UW institutions to facilitate the overall transfer process for all students.

Other Relevant Documents:

Planning the Future (12-86)

Undergraduate Transfer Policy Memorandum (12-82)

ACIS 6.1 (6-98)

ACIS 6.2 (6-98)

UWS Transfer Working Group Final Report (6-95)

UWS General Education Transfer Working Group Final Report (9-97)

Statement of Principles on Student Transfer from WTCS to UWS (4-7-2000)

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Board of Regents Resolution # 8759 (11-7-2003)

Board of Regents Resolution # 8775 (12-5-2003)

UW SYSTEM UNDERGRADUATE TRANSFER POLICY

~~December 2000~~ May 2004

INTRODUCTION

Mobility is a common human phenomenon. This is particularly true for students in higher education. For several reasons -- a change in major, a family move, the economic or familial necessity of attending college close to home -- students are frequently faced with the need to obtain their collegiate education from two or more institutions.

In response to such needs, the University of Wisconsin System (UW System) welcomes transfer students from other accredited colleges and universities and from other UW institutions. A conscientious effort has been made to create a student-oriented transfer process. The foremost goal is a policy that provides a strong focus toward serving students and strives to treat transfer and continuing students in the same way on program issues (e.g., degree requirements, program changes and registration).

The challenge in this UW System Undergraduate Transfer Policy is to reach an appropriate balance among varied and sometimes competing goals. These goals include: (a) facilitating student mobility; (b) recognizing the distinct mission of each UW institution and the faculty role in the development of the missions; (c) providing information to students on course equivalencies and program requirements throughout the System; and (d) balancing the System concerns with institutional autonomy and program integrity.

I. PRINCIPLES OF ACCOMMODATION FOR TRANSFER STUDENTS

The UW System endorses the "Joint Statement on Transfer and Award of Academic Credit" developed in 1978 by the American Association of Collegiate Registrars and Admissions Officers, the American Council on Education/Commission on Educational Credit, and the Council on Postsecondary Accreditation which states in part that "transfer of credit is a concept that now involves transfer between dissimilar institutions and curricula and recognition of extra-institutional learning, as well as transfer between institutions and curricula of similar characteristics."

Further, the "Joint Statement" addresses distinctions in the purpose of credit acceptance as follows: "At some institutions there may be differences between the acceptance of credit for admission purposes and the applicability of credit for degree purposes. A receiving institution may accept previous work, place a credit value on it, and enter it on the transcript. However, that previous work, because of its nature and not its inherent quality, may be determined to have no applicability to a specific degree to be pursued by the student. Institutions have a responsibility to make this distinction and its implications clear to students before they decide to enroll. This should be a matter of full disclosure, with the best interests of the student in mind. Institutions also should make every reasonable effort to reduce the gap between credits accepted and credits applied toward an educational credential."

- A. UW institutions should accept in transfer as much credit as is pertinent to the student's new curriculum and the institution's graduation requirements. In accepting credits from accredited colleges and universities, maximum recognition of courses satisfactorily completed shall be given to transfer students in satisfying requirements at the receiving institution. The "Principles of Accommodation" shall be implemented by recognizing general education/liberal arts requirements

in terms of broad academic areas (social sciences, humanities, natural sciences, etc.) as well as specific courses.

UW institutions may award transfer credit for courses for which they do not have a comparable department or curricular area or for which they may not have a direct course equivalent. Where appropriate, these credits should apply toward satisfying general education and other degree requirements.

When applying a course toward general education breadth requirements, the receiving institution would generally apply it in the same category as similar courses at that institution. However, if the course fulfills a different category at the sending institution and the student requests that the original designation be applied, the request should be approved where appropriate under these principles of accommodation.

- B. The following additional principles of accommodation apply to students transferring within the UW System:
1. A course designated as fulfilling a general education breadth requirement at one UW institution should transfer as general education at the receiving UW institution. This principle should apply whether or not the receiving institution has a direct course equivalent that satisfies general education.
 2. A course designated ethnic studies at one UW institution should be applied toward the ethnic studies requirement at the receiving UW institution.
- C. UW institutions should apply academic policies and procedures to continuing and UW System transfer students in a similar manner. In applying this principle, the following examples may be helpful:
1. UW institutions should permit courses completed by UW System transfer students to transfer in accordance with the course equivalency in effect when the courses were taken and when doing so is beneficial to the students.
 2. In determining whether to award upper level credit for courses completed by UW System transfer students, institutions should apply the same practice used for their own freshmen and sophomores.
 3. UW institutions that permit continuing students to graduate using the catalog requirements in effect at the time of their matriculation, should employ the same policy for UW System transfers using their date of matriculation at a UW institution.
 4. UW institutions should apply to both UW System transfer students admitted to the institution (see Section II.E.) and continuing students the same criteria for admission to a major or program or for applying a course toward a degree.
 5. UW institutions should permit UW System transfer students admitted to the institution to register with similar priority as continuing students.

- D. If all other conditions for admission are met, credits shall be transferred to the new institution subject to the guidelines elsewhere in this policy and provided that the grades earned in courses at the previous institution are recognized as passing grades at the new institution. However, each institution will determine if, and how, credits will be applied toward a degree.

If a student should subsequently transfer to another academic program or another UW institution, all credits will again be evaluated to determine if, and how, they will be applied toward a degree. Student course grades may be used as a factor to determine if, and how, transfer credit is applied, but the same principles should be applied to both transfer and continuing students.

- E. When a student transfers within the UW System, the record of all successfully completed undergraduate credit courses taken by the student at UW institutions previously attended should be placed on the transcript.

The credits should be evaluated to determine which will apply to major requirements, general education breadth requirements, competency requirements, or the graduation requirements of the specific program in which the student is enrolled. Credits which are not applicable to the requirements of the specific program should be noted on an official institution document.

- F. Students seeking transfer of credit after attending one or more accredited institutions of higher education, who are found to be admissible, and who completed the admission process, shall be given an evaluation of credits prior to enrollment. Degree requirements for full-time and part-time transfer students from UW institutions shall be determined by the same institutional policy used to determine degree requirements for continuing students. Students should be informed in writing of the opportunity and procedures for appealing any course transfer determination.
- G. The Transfer Information System (TIS) is a UW System source for official institutional undergraduate course and program information. Institutions will provide information and data necessary to establish TIS and keep it current and accurate.
- H. Schools, colleges, and departments should provide timely information to other UW institutions about all new programs and curricular changes. The institution initiating curricular action should consider the effects of program development or modification on potential transfer students.
- I. Transfer policies of specific colleges or schools shall be explicitly stated in catalogs and bulletins. Students applying for transfer should be made aware of the UW System transfer policy at the outset through appropriate brochures, pamphlets or bulletins. Changes in admission and/or program requirements should be announced and well publicized prior to implementation via brochures, pamphlets, bulletins, catalogs and TIS.
- J. The UW System Office of Academic Affairs will coordinate transfer policy and procedures within the UW System. Questions about interpretation of transfer policy and procedures should be referred to that office.

II. MINIMUM GENERAL ADMISSION REQUIREMENTS FOR TRANSFER STUDENTS

- A. Normally students with an overall 'C' (2.00 grade point average on a 4.00 scale) average at their previous institution(s) shall be admissible. In fulfilling the institutional mission, it may be

necessary for a school, college or department to set standards for entry to their programs which exceed the institution's minimum transfer GPA requirements. Such standards for entry shall apply equally to transfer and continuing students.

- B. Institutions may admit students with less than an overall 'C' average at their previous institution(s) if they would originally have been admissible as a freshman and/or if they would be eligible to continue had they achieved their existing academic record at the new institution.
- C. Admission requirements for non-resident transfer students will be the same as those for other transfer students except that an institution may adopt special requirements for international student applicants. If the number of qualified non-resident transfer students exceeds the ability of the institution to accommodate them, or if the institution needs to ensure that priority is granted to students transferring from UW institutions as specified in II. E. below, the institution shall determine the criteria for selection from among qualified applicants. (Note: For purposes of this policy, Minnesota students eligible for reciprocity are considered as residents.)
- D. Transfer students who do not qualify under the provisions above may appeal through the appropriate institutional appeal procedures. Institutions should publish appeal procedures in the catalog or another official institution publication.
- E. During periods of enrollment management, the number of transfer students admitted may be controlled. In such cases, institutional policy will determine the criteria by which limitations of access shall be applied, but preference for admission of transfer students shall be given to students transferring from the UW Colleges and other UW institutions where the student's desired major or program is not offered, subject to the limits of an institution's enrollment target.

III. TRANSFER OF A UW INSTITUTION ASSOCIATE DEGREE

For purposes of facilitating transfer between UW institutions, Associate Degrees awarded by UW institutions should include the minimum general education breadth requirements defined by the UW System Board of Regents.

The UW baccalaureate-granting institutions shall consider transfer students holding such an Associate Degree to have satisfied the university-wide general education breadth requirements of the receiving institution.

The UW baccalaureate-granting institutions also shall consider transfer students holding such an Associate Degree to have satisfied the college or school general education breadth requirements of the receiving institution. Colleges and schools may require transfer students to complete additional general education credits beyond the university-wide total if required of continuing students.

The following may not necessarily be satisfied by the Associate Degree:

- A. competency requirements or levels of proficiency in English composition, speech, foreign language and math established by the receiving institution for continuing students
- B. upper division general education courses normally required of continuing junior and senior students

- C. general education courses that are prerequisites integral to a particular program or major and are required of continuing students (e.g., micro and macro economics for business majors)
- D. requirements mandated by external professional accrediting associations or program approving agencies (e.g., Department of Public Instruction, American Assembly of Collegiate Schools of Business, National League for Nursing)

Students who transfer with an Associate Degree awarded by a UW institution which includes an ethnic studies (cultural diversity) component will be considered to have satisfied the ethnic studies requirement at any other UW institution.

Transfer credits will be evaluated by the receiving institution on a course-by-course basis for purposes other than determining satisfaction of general education breadth requirements.

IV. RECOGNITION OF INSTITUTIONS AND PROGRAMS

The UW System bases its general policy on the acceptance of credit from another institution on that institution's mission, quality of programs, its accreditation status and its recognition by the American Council on Education (ACE).

A. Institutional Accreditation

Credit earned in institutions of higher education that are accredited is transferable if applicable to the student's degree program at the new institution. If the institution offers both liberal arts collegiate transfer and occupational courses, the full transfer applies only to the liberal arts collegiate transfer courses.

B. Programmatic Accreditation

For schools accredited by a programmatic accrediting association, credit may be granted in the specialty of accreditation (art, music, etc.) if recommended by the appropriate academic department or equivalent.

C. Not Accredited

Credit earned in institutions of higher education that are not accredited is not acceptable for transfer except as outlined below. Students who have taken courses at non-accredited schools may seek credit by examination in courses where continuing students have the right to earn credit by examination. Credit earned in an institution which is a "Recognized Candidate" for accreditation is transferable if applicable to the student's degree program at the new institution.

D. International Students

Credit may be granted for postsecondary work if the institution is listed in the "International Handbook of Universities" or in the "Commonwealth Universities Yearbook". Credit may be granted for postsecondary work from institutions not listed in these publications upon departmental evaluation of program syllabi.

E. Credit by Examination

Each UW institution should provide transfer students the same opportunities as continuing students to demonstrate their competence through the use of internally and/or externally developed tests, portfolio assessment procedures and/or other competency based alternatives. These options will allow any student the opportunity to gain credit by demonstrating competency.

Each institution will retain the prerogative to establish proficiency score levels, courses for which credit by examination is deemed appropriate, ~~except as noted in V.C.2.~~, limitations of credit allowed, policies regarding testing fees, and other related policies that are consistent with the characteristics, ability and achievement of the particular student body at the institution.

Credit earned by examination should be clearly indicated on the student transcript. Students who are given credit on the basis of institutional examinations or assessments should be informed that in the event of transfer, they can expect the receiving institution to reevaluate if, and how, such credit will be applied to the degree.

F. Non-collegiate Educational Experiences/Credit for Prior Learning

UW institutions may grant credit on the basis of recommendations made by the guides to non-collegiate educational experiences published by the American Council on Education's (ACE) Office on Educational Credit and Credentials, (e.g., the "Guide to the Evaluation of Educational Experiences in the Armed Services" and "A Guide to Educational Credits for Training Programs").

All non-collegiate education courses/credits accepted by the institution must be so designated on the student's transcript. Upon transfer, credits granted for non-collegiate educational experiences at one institution should be evaluated for possible transfer at the receiving UW institution.

V. TRANSFER OF CREDIT FROM NON-BACCALAUREATE INSTITUTIONS

A. Freshman/Sophomore Colleges and Universities

Students transferring from the UW Colleges and other similar freshman/sophomore institutions to UW baccalaureate granting institutions may generally transfer up to 72 semester credits earned at non-baccalaureate institutions. UW institutions may accept additional credits toward the degree where appropriate. This does not alter the regulations concerning credits to be earned in residence at an institution.

B. UW-Extension

University level courses completed through the Independent Study program of UW-Extension will transfer on the same basis as equivalent courses taken at UW institutions.

C. Wisconsin Technical College System

Credit transfer between the Wisconsin Technical College System (WTCS) and the UW System is based upon the following principles:

1. Students transferring from the three WTCS accredited liberal arts collegiate transfer programs at Madison, Milwaukee and Nicolet Area Technical Colleges to UW baccalaureate granting institutions may generally transfer up to 72 semester credits earned at non-baccalaureate institutions. UWS institutions may accept additional credits toward the degree where appropriate. This does not alter the regulations concerning credits to be earned in residence at an institution.
2. **UWS baccalaureate institutions shall consider students who have completed an Associate of Arts or Associate of Science degree from any of the three liberal arts collegiate transfer programs and that is specifically aligned with the University of Wisconsin System Associate Degree to have satisfied the university-wide general education breadth requirements at the receiving institution. The following may not necessarily be satisfied by the WTCS Associate Degree:**
 - a. **Competency requirements or levels of proficiency in English composition, speech, foreign language and mathematics established by the receiving institution for continuing students**
 - b. **The college or school general education breadth requirements of the receiving institution**
 - c. **Upper division general education courses normally required of continuing junior and senior students**
 - d. **General education courses that are prerequisites integral to a particular program or major and are required of continuing students (e.g., micro and macro economics for business majors)**
 - e. **Requirements mandated by external professional accrediting associations or program approving agencies (e.g., Department of Public Instruction, American Assembly of Collegiate Schools of Business, National League for Nursing)**
 - f. **Ethnic studies/cultural diversity requirement**

Transfer credits will be evaluated by the receiving institution on a course-by-course basis for purposes other than determining satisfaction of general education breadth requirements.

3. UWS institutions may accept in transfer up to fifteen (15) credits **and apply up to 30 credits** of general education coursework within the areas of communications, behavioral sciences, and social sciences, **mathematics, and natural science** from WTCS programs leading to an associate degree of applied sciences; and, in addition, two courses in mathematics and/or natural sciences **applied associate degree**. In cases where UWS institutions find such coursework not acceptable for transfer, WTCS students should have **the same opportunities as continuing students to earn credit by examination as per ACIS 6.0 IV.E.** ~~an opportunity to earn credit by examination if the UW institution offers a course that is generally comparable in content and/or title.~~
4. **UWS institutions may accept in transfer and apply on a course-by-course basis, occupational and technical coursework from WTCS programs leading to an applied associate degree.**

5. UWS institutions should work with WTCS districts to identify areas where ~~Associate Degree~~ programs **leading to an applied associate degree** have direct relationships with Baccalaureate Degree programs. Where program relationships are found to exist, transfer articulation agreements are encouraged. All such credit transfer and articulation agreements should conform to the "Guidelines for Developing Program-to-Program Articulation Agreements" (ACIS 6.2). Copies of original and updated agreements should be sent to the UW System Office of Academic Affairs.

Under these articulations, students in certain WTCS **Applied** Associate Degree programs who subsequently enroll in a related UWS Baccalaureate Degree program may be able to transfer related occupational and technical credits **that may not transfer on a course-by-course basis**. These credits may transfer **to other programs at that institution or** to other UW institutions only if a similar credit transfer or articulation agreement exists.

GLOSSARY OF TERMS*

Applied Associate Degree

A degree awarded by WTCS institutions upon completion of a two year program that combines general education with occupational/technical courses designed to lead directly to employment in a specific field.

Breadth Requirements

A category of general education requirements within a degree program that seeks to instill in students a "breadth" or range of knowledge in several disciplines (e.g. humanities, natural sciences, social sciences).

College/School Requirements

A set of requirements that is determined by a college/school for completion of a degree by students enrolled in that college/school.

Competency Requirements

A category of requirements within a degree program that seeks to establish a minimum level of student proficiency in certain disciplines (e.g., English, math).

Continuing Student

A student who enrolls as a new freshman and continues to attend the same institution (i.e., a student who does not transfer).

General Education Requirements

A category of requirements within a degree program that normally consists of basic competencies and breadth of knowledge.

Liberal Arts Collegiate Transfer

A term defining the WTCS program offered specifically for transfer at MATC-Madison, MATC-Milwaukee, and Nicolet Area Technical College. (Previously referred to as "College Parallel").

Nonresident Student

A student who does not meet the requirements for paying in-state tuition rates as defined by state statute.

Resident Student

A student who meets the requirements for paying in-state tuition rates as defined by state statute.

Transfer Credit

Credit earned at one institution accepted in transfer at another institution.

Transfer Student

A designation given to students who transfer credit from another institution of higher education, other than credits earned during Summer Session or while enrolled in high school, and have not previously enrolled at the receiving institution.

University-wide Requirements

A set of requirements that must be completed by all undergraduate degree students enrolled at the institution.

UW Institution Associate Degree

A degree awarded by UW institutions which meets the minimum requirements approved by the UW Board of Regents.

* = Terms included in this glossary are defined for purposes of this policy and may not be consistent with definitions used in other settings.

UW/WTCS Transfer Plan December 1, 2003

The University of Wisconsin System (UWS) and the Wisconsin Technical College System (WTCS) have proposed a set of new transfer initiatives that will improve opportunities for WTCS students transferring into UW institutions. This plan will provide students with opportunities to transfer more of their credits and have them apply towards their baccalaureate degree objectives.

Part I: Transfer of Occupational/Technical Courses

A new policy will be established that will enable UW institutions to transfer WTCS occupational/technical courses on a course-by-course basis. These courses will be reviewed by UW faculty at each UW System institution, and will transfer if they are found to be comparable or equivalent to UW courses at each institution. These courses will be officially posted on the Transfer Information System (TIS).

Part II: Transfer of WTCS General Education Core Courses

Subject to review and approval by the faculties at each UW System institution, a list of WTCS general education core courses will be established that will transfer and apply to UW institutions. WTCS students in applied associate degree programs will be able to transfer up to 30 credits from these courses and apply them toward general education and/or other degree requirements at UW institutions. The manner in which they apply will depend upon the student's major and the general education and program requirements of the particular UW institution.

Part III: Degree Completion Program Agreements

The two Systems will continue to develop "2+2" degree completion programs where WTCS students will be able to transfer additional courses toward a UW four-year degree. WTCS students selecting these programs will receive a written program agreement that will specify the courses that will transfer, how they will apply, and what additional requirements will be needed for graduation.

Part IV: Credit Transfer Contract

WTCS students will be able to check and confirm how their courses will transfer towards a UW degree by referencing the Transfer Information System (TIS). The TIS printed report will serve as a written credit transfer contract for students who successfully complete the courses.

Part V: Transfer of WTCS Liberal Arts Degree Graduates

Students who graduate from WTCS liberal arts (college parallel) programs at MATC-Madison, MATC-Milwaukee and Nicolet with an associate of arts or sciences degree specifically aligned with the UW associate degree will be able to transfer up to 72 credits and have their university-wide general education requirements satisfied at any UW institution, subject to review and approval by the faculties at each UW System institution.

Part VI: Expansion of Baccalaureate Degree Holders in Wisconsin

A broad-based committee will be created to explore additional options for expanding the number of baccalaureate degree holders in Wisconsin in collaborative and cost-effective ways.

Dec 2003

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I. Items for consideration in Regent Committees

1. Education Committee - Thursday, May 6, 2004
1920 Van Hise Hall
1220 Linden Drive, Madison, WI
1:00 p.m.

11:30 a.m. All Regents (1820 Van Hise Hall)

- Revisions to UW System Undergraduate Transfer Policy and UWS – WTCS Joint Initiatives Update [Resolution A]
- Youri Filippov, Professor, Kuban State University, Russia: Presentation of a letter of appreciation from the Rector of Kuban State University to the Board of Regents for partnership with UW-Extension

12:30 p.m. Box Lunch1:00 p.m. Education Committee (1920 Van Hise Hall)

- a. Approval of the minutes of the April 1, 2004 meeting of the Education Committee.
- b. Discussion: All-Regent Session.
- c. Report of the Senior Vice President for Academic Affairs:
 - (1) Education Committee Planning for 2004-05.
- d. Program Authorizations – First Reading:
 - (1) M.S. in Occupational Therapy, UW-Madison;
 - (2) B.A. in Women’s Studies, UW-Milwaukee;
 - (3) B.S. in Management Information Systems, UW-Parkside;
 - (4) B.A. in Digital Arts, UW-Parkside;
 - (5) M.S. in Manufacturing Engineering, UW-Stout.
- e. UW-Milwaukee Charter School Contract Extension.
[Resolution I.1.e.]
- f. Revised Mission, UW Colleges – Second Reading.
[Resolution I.1.f.]
- g. Authorizations to Recruit:
 - (1) Chancellor, UW-River Falls;
[Resolution I.1.g.(1)]
 - (2) Provost, UW-Oshkosh.
[Resolution I.1.g.(2)]

Additional items:

- h. Additional items that may be presented to the Education Committee with its approval.

**NEW PROGRAM AUTHORIZATION
Master of Science in Occupational Therapy
University of Wisconsin - Madison
(INITIAL REVIEW)**

EXECUTIVE SUMMARY

BACKGROUND

In accordance with the procedures outlined in Academic Planning and Program Review (ACIS-1.0 revised), the new program proposal for a Master of Science in Occupational Therapy (M.S.-O.T.) is presented to the Board of Regents for initial review. As stipulated by ACIS-1.0 revised, this program proposal will be on the agenda for the June meeting for a second review, at which time the Board of Regents will take final action on this request. If approved, the program will be subject to a regent-mandated review to begin five years after its implementation. The institution and System Administration will conduct that review jointly, and the results will be reported to the Board.

Occupational therapists work with people of all ages who are challenged physically (from illness, accidents, or aging) or psychologically to help support meaningful participation in life's occupations, which include school, work, leisure activities, and self-care. The occupational therapists provide prevention, assessment and intervention services. The increasing complexity of the profession of occupational therapy necessitates a higher level of professional preparation than is provided by the current bachelor's level program. Consequently, the O.T. program faculty have devised a new master's level curriculum that, if approved, will replace the current bachelor's level program.

In addition, all O.T. programs are required to prepare occupational therapists at the post-baccalaureate level as of 2007 to meet a mandate from the American Occupational Therapy Association.

REQUESTED ACTION

No action requested at this time.

DISCUSSION

Program Description

The M.S.-O.T. is a 61-credit, two-and-a-half-year program housed in the Department of Kinesiology, which is designed to prepare individuals with bachelor's degrees from a range of fields to be certified and licensed as occupational therapists. The M.S.-O.T. curriculum is sequenced to cover four strands of study: occupational behavior across the life span, therapeutic interventions to support occupational participation, professional skills and behaviors, and models of research and evidence-based practice. As the final component of this program, students will complete a six-month fieldwork experience in two different clinical settings under the supervision of clinical educators. This comprehensive curriculum will educate students to be

skilled practitioners who will apply theory, core concepts, and ethics to professional practice. Sixteen new graduate level courses, which were developed as a comprehensive package for this program, make up the course sequence.

The M.S.-O.T. program will be housed in a research-intensive environment and will have a strong research orientation. O.T. students will do research projects or papers with the Kinesiology Department faculty. In addition, M.S.-O.T. graduates will be prepared to transition into advanced O.T.-oriented research within the Ph.D program in Kinesiology without having to move to another institution. Preparation of M.S.-O.T./Ph.D graduates is an important contribution to the profession for two reasons. First, these scholars will be prepared to do research that will advance treatment options and success for those needing occupational therapy. Secondly, the preparation of M.S.-O.T./Ph.D graduates will address a long-standing shortage of appropriately qualified candidates to fill state and national faculty vacancies in occupational therapy programs.

Program Goals and Objectives

Upon completion of the M.S.-O.T. the graduate will be able to:

1. Demonstrate knowledge of the science of occupational therapy, including being able to: understand the history and philosophical basis of the profession; understand the motor, sensory, social, cognitive, and psychological underpinnings of human occupation; understand the effects of health status, disability, disease processes, and traumatic injury on occupational participation; understand the role of occupational participation on health promotion and well-being, and the prevention of disease and occupational dysfunction.
2. Provide services to support and enhance participation in daily-life tasks and occupations, including being able to: assess clients' participation in occupations (self-care, work, and leisure), and determine the clients' needs; assess factors within the environment that influence participation in the activities clients need to do; develop an occupation-based plan that is sensitive to the clients' skills and interests; demonstrate the ability to analyze, grade, and adapt tasks to create the "just right" challenge for the client; employ culturally relevant activities and occupations to support the intervention goals; refer to specialists for consultation and interventions when appropriate; terminate occupational therapy services when appropriate.
3. Demonstrate an understanding and appreciation of professional values and behaviors; understand the varied roles of the occupational therapist as practitioner, educator, researcher, and entrepreneur; demonstrate use of safety precautions with the client during the process of practice; demonstrate sensitivity to cultural diversity of potential clients and colleagues; demonstrate knowledge of legal and ethical issues relevant to O.T. practice; demonstrate fundamental skills of organization management, advocacy, and promotion of the profession.
4. Understand the principles and importance of research, scientific inquiry, and theory development for the advancement of the profession; articulate the importance of research for best practice and continued development of the profession; use professional literature to make informed practice decisions; understand and interpret basic descriptive, correlation, and inferential statistics; design, implement, and disseminate findings of beginning-level research studies.

Relation to Institutional Mission

The mission of the O.T. Program at the University of Wisconsin-Madison is to provide a learning environment in which students and faculty can discover, examine critically, preserve, and transmit the knowledge and values of occupational therapy. This is consistent with the mission of the UW-Madison to discover, examine critically, preserve, and transmit knowledge, wisdom, and values to improve the quality of life for all. The O.T. program is aligned with the institutional strategic priorities. For example, the program promotes research by emphasizing evidence-based approaches to practice and by developing the scholarship and research skills of the students. In addition, UW-Madison students can follow an M.S.-O.T. with a Ph.D and advance the scholarly foundations of the profession.

Diversity

The O.T. Program will recruit faculty and students from a broad range of cultural, ethnic, gender, and socioeconomic backgrounds, and will promote a multicultural approach to O.T. Given that the fastest growing segment of the population are persons from ethnic and racial minority groups, it is important that occupational therapists be prepared to practice with persons from all ethnic and racial backgrounds in schools, hospitals, and community-based settings. Recently, the O.T. program secured a UW System Institute on Race and Ethnicity grant for a faculty/graduate student reading seminar to explore cultural and racial issues in health care. Half of our current graduate students in the Therapeutic Science program are from ethnically diverse populations. Accommodations are made for students with specific learning disabilities and physical impairments. Every search for new staff and faculty is conducted in a manner designed to obtain a diverse pool of candidates. To prepare graduates with the commitment and competencies to serve a diverse population, the M.S.-O.T. program will: 1) embed multicultural material in the curriculum; 2) have an annual retreat to examine progress in multicultural teaching practices and to consider climate issues; 3) ask prospective students, faculty and staff to describe their interest, skill, and experience in working with diverse populations; 4) ask students to evaluate the extent to which multiculturalism has been addressed in their coursework.

Need

State of Wisconsin Department of Workforce Development projections indicate that, in Wisconsin, the number of jobs for occupational therapists will increase by at least 30 percent or 610 jobs between 1998 and 2008. These projections are likely to be exceeded given recent changes in health care, such as increases in Medicare spending for services to people with Alzheimer's disease, greater attention to safety issues related to older drivers, community-based service initiatives, and services to people with low vision. The aging of Wisconsin's population, which has the twin impacts of reducing the pool of young workers and increasing the pool of the aging who need O.T. services, is another contributing factor. According to the U.S. Bureau of Labor Statistics, employment of occupational therapists is expected to increase faster than the average (21-35 percent) for all occupations through 2010.

Comparable Programs

UW-La Crosse and UW-Milwaukee also have O.T. programs. These B.S. programs will convert to graduate programs to meet accreditation requirements to provide post-baccalaureate preparation for occupational therapists by 2007. Three out of four of the neighboring peer institutions (University of Minnesota, University of Illinois, and Ohio State University) already

provide post-baccalaureate preparation of occupational therapists. The M.S.-O.T. at UW-Madison is distinctive from most other O.T. programs in that these institutions offer the masters degree as their terminal degree, whereas UW-Madison students also have the option to transition into the companion Ph.D. program and advance the discipline, and be prepared to teach in O.T. programs.

Collaboration

The M.S.-O.T. program collaborates with other UW-Madison departments. For example, the Anatomy department will provide courses in Anatomy, Neuroanatomy, and Orthopedics. Elective courses are provided by Rehabilitation Psychology, Counseling Psychology, Special Education, Nursing, Human Development and Family Studies, Social Work, Communication Disorders, Sociology, Psychology, Population Health Sciences, or Biomedical Engineering. Similarly, the O.T. graduate program provides elective courses for students from other disciplines. Faculty members from related departments participate in the guidance of O.T. student research, and O.T. faculty have adjunct or joint positions in other departments.

The UW-Madison O.T. program also collaborates with other O.T. programs in Wisconsin. For example, in 2000 a grant from the Maternal and Child Health Bureau provided the resources for UW-Madison occupational therapy faculty to share a graduate course with UW-La Crosse through videoconferencing technology. The faculty and students at both institutions were able to participate together in real-time seminar discussions.

Use of Technology/Distance Education

An O.T. program classroom recently was upgraded to be a state-of-the-art distance education environment. This will enhance our ability to develop and offer distance education courses to both professional and student audiences. In addition, this will enhance future opportunities to collaborate on course-delivery with O.T. colleagues at UW-La Crosse and UW-Milwaukee.

Academic and Career Advising

The O.T. program faculty and staff will provide student academic and career advising. Students are required to complete 40 hours of volunteer work in an occupational therapy setting prior to admission to ensure that the profession is the right match for their values and aspirations. O.T. students will meet with faculty advisors early in their first semester, and throughout the program duration as necessary. Student progress will be closely monitored in bi-weekly faculty/staff meetings, and students who are having academic difficulty will be contacted. Advisors will work in collaboration with those students to offer support and guidance, and to devise strategies for improving their chances for success. Professional practice issues are embedded in the curriculum so students are acculturated into the values and ethics of O.T. Faculty and staff will learn about job vacancies, make that information available to students, and assist with career advising.

Assessment and Program Evaluation

The proposed M.S.-O.T. will employ a three-pronged strategy to assess how effectively the program meets the stated goals outlined above: an assessment of the needs for O.T. in Wisconsin and beyond; a process-oriented evaluation focused on in-course students; and a

summative evaluation of program effectiveness directed at graduates and those they encounter in their practice. Strategies for needs assessment, designed to ensure that the program is responsive to the documented needs of persons with disabilities and their families, will include evaluation by an advisory board, monitoring of employment trends, and results of an alumni survey. The strategy for evaluating program effectiveness for in-course students will include course evaluations, student performance ratings in field work, alumni survey results, and input from the advisory committee. Information sources for the summative evaluation of how well the program goals are achieved for graduates include student enrollment records, national certification examination scores, and rates of licensure. Analysis of outcome-oriented performance measures will enable the faculty and staff to evaluate if the goals and objectives of the program are being met. A key contributor to the assessment strategy is the advisory committee, a group of occupational therapy clinicians, O.T. employers, consumers of occupational therapy services, faculty, staff, former students, and current students.

Projected Enrollment (5 years)

Year	Implementation year (2005-06)	2nd year 2006-07	3rd year 2007-08	4th year 2008-09	5th year 2009-10
New students admitted	25	25	25	25	25
2 nd year students	--	23	23	23	23
3 rd year (field work)	--	--	22	22	22
Total enrollment	25	48	70	70	70
Graduating students	0	0	22	22	22

Evaluation from External Reviewers

The three external reviewers cited as strengths of the proposed program the quality of the faculty, who have strong research programs and records of success in obtaining extramural funding, an exemplary and innovative curriculum, and alignment with the mission and strategic plan of the University of Wisconsin-Madison. The reviewers noted that graduates of the program will help meet the needs of the Wisconsin health care system. In addition, graduates are positioned to complete the Ph.D. training at the UW-Madison and relieve the shortage of Ph.D.-prepared O.T.'s who are qualified to be faculty in O.T. programs.

Resource Needs

The resource needs of the program will be met by the reallocation of the resources associated with the B.S.-Occupational Therapy program, which will be discontinued, to the M.S.-O.T. program.

RECOMMENDATION

No action requested at this time.

RELATED REGENT POLICIES

University of Wisconsin System Academic Planning and Program Review (November 10, 1995), Academic Informational Series #1 (ACIS-1.0 revised).

UW-Madison
Master of Science - Occupational Therapy Program Budget

	2003-04		2004-05		First Year 2005-06		Second Year 2006-07		Third Year 2007-08	
	FTE	\$	FTE	\$	FTE	\$	FTE	\$	FTE	\$
CURRENT COSTS										
Personnel										
Faculty (1)	5.0	339390	5.0	342784	5.0	353067	5.0	363659	5.0	374569
Academic Staff (2)	1.6	70683	1.6	71390	1.6	73532	1.6	75738	1.6	78010
Graduate Assistants (3)	1.0	22541	1.0	22766	1.0	23449	1.0	24153	1.0	24877
Classified Staff (4)	2.3	66336.6	2.3	67000	2.3	69010	2.3	71080	2.3	73213
ALL PERSONNEL		498951		503940		519059		534630		550669
Non-Personnel										
S&E (5)		29064		29936		30834		31759		32712
Capital Equipment		0		0		0		0		0
Library		0		0		0		0		0
Computing		0		0		0		0		0
CURRENT SUBTOTAL		528015		533876		549893		566389		583381
ADDITIONAL COSTS										
Personnel		0		0		0		0		0
Non-Personnel		0		0		0		0		0
S&E		0		0		0		0		0
Other		0		0		0		0		0
SUBTOTAL		0		0		0		0		0
TOTAL COSTS		528,015		533,876		549,893		566,389		583,381
CURRENT RESOURCES										
GPR Reallocation (6)		528,015		533,876		549,893		566,389		583,381
Gifts and Grants										
Fees										
Other										
SUBTOTAL										
ADDITIONAL RESOURCES										
GPR Reallocation										
Gifts and Grants										
Fees										
Other										
SUBTOTAL										
TOTAL RESOURCES		528,015		533,876		549,893		566,389		583,381

Notes: Faculty and academic staff salaries are adjusted 1% for 2004-05, and 3% annually thereafter to reflect possible increases. The base year for salary calculations is 2003-04. **Numbers have been rounded up.**

1. Faculty. The 2003-04 budget report for Kinesiology (A17600) shows an average salary of \$67,878. There are five OT faculty associated with the BS-OT program who will shift to the MS program.
2. Academic Staff. The effort of 4 academic staff members (1.4 FTE total) will shift from the BS-OT to the MS-OT program. The MS-OT program will also benefit from the work of Dept. of Kinesiology staff, and we assign 0.2 FTE to this effort. The average salary of academic staff Kinesiology is \$44,177 and the academic staff total is 1.6 FTE.
3. Graduate Assistants. The MS-OT program will have 1.0 FTE Teaching Assistantship, paid at an annual rate of \$22,541.
4. Classified Staff. Three classified staff members (2.0FTE) will shift their effort from the BS-OT to the MS-OT program. The MS-OT program will also benefit from the work of Dept. of Kinesiology staff, and we assign 0.3 FTE to this effort. The average salary of classified staffin Kinesiology is estimated at \$28,842.
5. S&E. The BS-OT S&E of \$29,064 will be reassigned to the MS-OT program.
6. The funds that currently support the BS-OT program will be reallocated to the MS-OT program.

NEW PROGRAM AUTHORIZATION
Bachelor of Arts in Women's Studies
University of Wisconsin-Milwaukee
(INITIAL REVIEW)

EXECUTIVE SUMMARY

BACKGROUND

In accordance with the procedures outlined in Academic Planning and Program Review (ACIS-1.0 revised), the new program proposal for a Bachelor of Arts in Women's Studies is presented to the Board of Regents for initial review. As stipulated by ACIS-1.0 revised, this program proposal will be on the agenda for the June, 2004 meeting for a second review, at which time the Board of Regents will take final action on this request. If approved, the program will be subject to a regent-mandated review to begin five years after its implementation. The institution and System Administration will conduct that review jointly, and the results will be reported to the Board.

The UW-Milwaukee Center for Women's Studies, housed in the College of Letters and Science, has offered a major through the College's Committee Interdisciplinary Major (CIM) for more than 25 years. Currently, eighteen students are enrolled in the individualized Women's Studies undergraduate major. Because these students earn a degree through the CIM, there is no indication on their transcript that they are majoring in Women's Studies, which makes it difficult for students to present their background easily to employers or graduate schools. In addition, there is no way for the Center for Women's Studies to develop set requirements or a more structured curriculum for students choosing to major in Women's Studies. Therefore, the Center for Women's Studies is requesting an independent major, modeled on the Comparative Study of Religion major, which is a non-departmental, interdisciplinary major that has been offered in the College of Letters and Science at UW-Milwaukee for nearly thirty years.

REQUESTED ACTION

No action requested at this time.

DISCUSSION

Program Description

The Women's Studies curriculum will draw from current course offerings in the Center for Women's Studies and selected cross-listed courses in other departments. The major will be interdisciplinary and consist of 30 credits, fifteen drawn from Women's Studies core courses, and fifteen from classes offered in different departments. Students will be required to complete six credits in Humanities and six credits in Social Science. It will be recommended, but not required,

that students complete three credits in the Natural Sciences and three credits in the Fine Arts. Students must complete at least fifteen of their credits in upper-division courses in residence at UW-Milwaukee.

Students in the Women's Studies program will begin with the Introduction to Women's Studies course in the freshman or sophomore year. In their sophomore year, they will choose from among the cross-listed courses. In the junior year, students will continue taking cross-listed courses and they will also take advanced courses offered through Women's Studies. These include required courses in Feminist Methods and Feminist Theory, as well as such elective courses as Global Feminism. In the senior year, students will take additional advanced courses and complete either an Internship in Women's Studies or the Advanced Seminar in Women's Studies, both of which are approved as meeting the UW-Milwaukee "capstone" requirement.

Program Goals and Objectives:

The B.A. in Women's Studies is an outgrowth of the university mission to provide innovative and comprehensive undergraduate education. This program integrates theoretical understanding and practical experience in an interdisciplinary manner, in the context of the opportunities offered by UW-Milwaukee's metropolitan setting. The goals for the Women's Studies programs are that students will be able to analyze competing perspectives and integrate various bodies of knowledge across traditional academic boundaries, paying particular attention to gender-based assumptions and their consequences on an individual, social, and cultural level. Additional goals are that students will develop critical thinking skills, becoming active learners and social change agents through discussion, written work, collaborative projects, and real world involvement. They will be prepared for graduate study in the humanities, social sciences, or professions, or for a variety of careers.

The age of information technology and international communication necessitates that urban citizens become global citizens. Community-specific and cross-cultural knowledge is no longer the province of one or several well-bounded disciplines. Programs such as Women's Studies, which both cross disciplinary boundaries and draw on the traditional disciplines, allow students to explore the global context. Finally, in the twenty-first century, educational and research programs must be tailored to explore and impart interdisciplinary knowledge in a manner that emphasizes the relationship between theoretical and practical learning. This is a clear goal of the Women's Studies Major.

Relation to Institutional Mission:

The initiatives and future plans of the Women's Studies program at UW-Milwaukee contribute to the goals of the College of Letters and Science and the University, particularly in the commitment to metropolitan diversity and the emphasis on internationalization.

According to UW-Milwaukee's Strategic Plan, in order to expand UW-Milwaukee's urban mission, the University should "intensify efforts to use the university's metropolitan location to expand the student educational experience by providing more internships, fieldwork, co-op programs, and other learning opportunities in the community." In spring 1999, Women's Studies

began offering a seminar-grounded internship course entitled “Feminism in the City: Internship in Women’s Studies.” This course has enabled Women’s Studies students to apply their knowledge of Women’s Studies scholarship to the practical experience of working in a local women’s organization in the metropolitan area, such as the Milwaukee Women’s Center, the YWCA, and the Women’s Fund, among others. In spring 2002, the Center for Women's Studies began a collaboration with Women's Studies Programs throughout the UW System that permits undergraduates to participate in an Urban Semester at UW-Milwaukee. During the semester, they take courses at UW-Milwaukee, including the Internship in Women's Studies. Students from UW-Stevens Point enrolled in spring 2003, and students from UW-River Falls enrolled in spring 2004.

The Women’s Studies program at UW-Milwaukee offers courses that address women, gender, and feminism in an international perspective. The new major in Women’s Studies will have an area of emphasis entitled “The City and the World,” which focuses on women and gender in a global perspective. Women’s Studies scholars have been exploring new questions about the roles and experiences of women in a variety of cultural, political, and social settings across the globe. This research has translated into more courses specifically focused on women and/or feminism in an international perspective, women in world history, women’s global political and social movements worldwide, and a variety of other courses with an international focus.

Diversity

UW-Milwaukee is the largest urban campus in the UW System and, as the Strategic Plan states, it has a “special opportunity and responsibility to provide postsecondary education to a diverse population.” A recent Report of the Academic Planning Committee recommends that the University “increase the representation of diverse populations in its student body, faculty and staff.” Women currently constitute more than half of the UW-Milwaukee and UW System student population. Women’s Studies is built on a wide range of scholarship and instruction that addresses women in all their diversity, including race, class, sexuality, age, and ability. Women’s Studies courses offer all students, particularly returning students, alternative curricular options that allow them to understand their diverse cultural experiences within an intellectual setting that supports their educational success and encourages them to complete their degrees. One section of the Introduction to Women’s Studies and several upper-level and cross-listed courses are always offered in the evenings, making the program more accessible to working adults.

Need

An interdisciplinary program such as Women’s Studies prepares students for a broad range of careers. It does not, therefore, lend itself to the same kind of market/need analysis as more discipline-specific programs of study. UW-Milwaukee has offered a B.A. in Women’s Studies through the existing Committee Interdisciplinary Major (CIM) for some time. The post-baccalaureate experience of the graduates of this program provides some indication of marketability. Since December 1999, nineteen students have graduated with a CIM in Women’s Studies, and twenty-two with an undergraduate certificate. In the summer of 2003, sixteen graduates of the program in the Milwaukee area were contacted. Of these, three are in graduate school, there are owners of small businesses, three work for non-profit groups, two work in

government, three are teachers, and two are self-employed. In a few cases, students found their jobs directly through their participation in the Internship in Women's Studies, which has been offered every spring semester since 1999.

Comparable Programs

In Wisconsin: Women's Studies has long been supported at the System level. In accordance with a Regents-level mandate, Women's Studies programs exist throughout the University of Wisconsin System. Each of the thirteen four-year schools in the UW System offers a minor or certificate in Women's Studies and two programs, UW-Madison and UW-Whitewater, offer a major in Women's Studies. In addition, UW-Milwaukee and UW-Platteville offer an individual, student-designed undergraduate major in Women's Studies. Many private colleges and universities in Wisconsin also have Women's Studies programs. Beloit College, Lawrence University, and Marquette University offer undergraduate majors in Women's/Gender Studies. Cardinal Stritch University, Carroll College, Viterbo College, Marian College of Fond du Lac, and Edgewood College offer undergraduate minors or certificates in Women's Studies.

Outside Wisconsin: The first Women's Studies program in the U.S. opened at San Diego State University in 1970. Since that time, the number of Women's Studies programs has grown to 611 nationwide. Of this number, 79 percent offer undergraduate minors, and 40 percent offer majors in Women's Studies. There are several Women's Studies programs in the neighboring state of Minnesota. The University of Minnesota has a major and minor in Women's Studies, as does Minnesota State University, Mankato. In addition, both Moorhead State University and St. Cloud University have minors in Women's Studies. Furthermore, the number of graduate programs in the U.S., as well as the number of Women's Studies programs internationally, has grown considerably over the last decade. Among UW-Milwaukee's 30 peer institutions, eighteen offer undergraduate majors in Women's Studies, and 27 offer the undergraduate minor.

Collaboration

As noted above, in Spring 2002, the Center for Women's Studies implemented a program in collaboration with Women's Studies Programs throughout the UW System that permits undergraduates to participate in an Urban Semester at UW-Milwaukee during which they take courses at UW-Milwaukee, including the Internship in Women's Studies. It is anticipated that this program will grow in the future.

Use of Technology/Distance Education

Many undergraduate and graduate courses in Women's Studies use technology in a variety of ways, ranging from course assignments on the Web to PowerPoint presentations in the classroom. One section of the Introduction to Women's Studies will be taught online beginning in the fall of 2004. Currently several cross-listed courses, including Gender and the Media and Sociology of the Family, are taught online.

Academic and Career Advising

The Director and Assistant Director of the Center for Women's Studies advise undergraduate students enrolled in the Committee Interdisciplinary major and certificate program about employment opportunities and graduate school. They also provide individualized advice about their programs of study. It is anticipated that the amount of time taken by the latter type of advising will be reduced when the program requirements are spelled out explicitly.

Projected Enrollment (5 years)

Year	1 st year	2 nd year	3 rd year	4 th year	5 th year
New students admitted	10	12	12	14	16
Continuing students	18	18	20	20	22
Total enrollment	28	30	32	34	38
Graduating students	8	8	10	10	12

Assessment and Program Evaluation:

Ongoing assessment of the Women's Studies Committee Individualized major and certificate program is done by an advisory committee that includes the Director, Assistant Director, Women's Studies faculty, and representative faculty from other departments who regularly teach cross-listed courses. This committee reports annually and makes recommendations to the Women's Studies program. They use the following assessment and programs evaluation methods.

1. Each year all students in the Advanced Seminar and Internship course are surveyed about their experiences in the program. Based on the results of this survey, the advisory committee makes recommendations to the Women's Studies programs about modifications in the curriculum or the process that appear necessary.
2. A portfolio of sample syllabi, with examples of written and creative work from courses representing a range of disciplinary focus, is gathered and reviewed annually for consistency with stated program standards and guidelines.
3. The advisory committee considers each year's graduates in an overall evaluation of the quality and breadth of their course work. They determine if the majority of the graduates are proficient in critical thinking, analysis, and understanding of the field. This information will also allow the faculty to make adjustments to the program array to ensure continued quality and sufficient interdisciplinary breadth.
4. The committee will survey its graduates two to four years after graduation, to follow their careers and to obtain their reflections on the effectiveness of their Women's Studies B.A. program. The Center will maintain a database of graduates to keep track of their post-graduate activities.

Evaluation from External Reviewers

The program proposal was sent to two external reviewers. Both commented on the appropriateness of moving to a named major. They also commented on the flexibility available to students through the large number of cross-listed courses. Based on their assessment of the curriculum, additional courses were added to the core requirements.

Resource Needs

As this program is primarily a retitling of an existing B.A., all resource needs will be met by reallocation of existing funds.

RECOMMENDATION

No action requested at this time.

RELATED REGENT POLICIES

University of Wisconsin System Academic Planning and Program Review (November 10, 1995), Academic Informational Series #1 (ACIS-1.0 revised)

BUDGET

Estimated Total Costs and Income

	FIRST YEAR		SECOND YEAR		THIRD YEAR	
	#FTE	Dollars	#FTE	Dollars	#FTE	Dollars
CURRENT COSTS						
Personnel	3.5	214,278	3.5	184,367	3.5	184,367
Fac/Acad Staff	2.5	187,550	2.5	157,639	2.5	157,639
Student Help		2,000		2,000		2,000
Classified Staff	1	24,728	1	24,728	1	24,728
Non-personnel						
S&E	8,000		8,000		8,000	
Capital Equip.						
Library						
Computing						
Other (Define)						
Subtotal	222,278		192,367		192,367	
ADDITIONAL COSTS (Specify)	#FTE	Dollars	#FTE	Dollars	#FTE	Dollars
Personnel						
Non-personnel						
Other						
Subtotal						
TOTAL COSTS	222,278		192,367		192,367	
CURRENT RESOURCES						
GPR	222,278		192,367		192,367	
Gifts and Grants						
Fees						
Other (Define)						
Subtotal						
ADDITIONAL RESOURCES						
GPR Reallocation (specify from where)						
Gifts and Grants						
Fees						
Other (Define)						
Subtotal						
TOTAL RESOURCES	222,278		192,367		192,367	

NEW PROGRAM AUTHORIZATION
Bachelor of Science in Management Information Systems (BS MIS)
University of Wisconsin-Parkside
(INITIAL REVIEW)

EXECUTIVE SUMMARY

BACKGROUND

In accordance with the procedures outlined in Academic Planning and Program Review (ACIS-1.0 revised), the new program proposal for a Bachelor of Science degree in Management Information Systems (MIS) is presented to the Board of Regents for initial review. As stipulated by ACIS-1.0 revised, this program proposal will be on the agenda for the June 2004 meeting for a second review, at which time the Board of Regents will take final action on this request. If approved, the program will be subject to a regent-mandated review to begin five years after its implementation. The institution and System Administration will conduct that review jointly, and the results will be reported to the Board.

The Management Information Systems program builds upon an existing concentration in MIS in the School of Business and Technology. The MIS concentration has been in place since 1978 and requires only minor revision to become major. The proposed program of study will prepare students to apply information technology to a particular business problem. Students will take courses in marketing, finance, accounting, management, and production as well as courses related to information technology, such as database management, programming, and networking.

REQUESTED ACTION

No action requested at this time.

DISCUSSION

Program Description

The proposed B.S. in MIS will be offered as a major within the undergraduate Business program, in the School of Business and Technology. The current concentration of 21 credits will be expanded to 27 credits so as to provide additional preparation in MIS. MIS students will take a common set of 49 credits in pre-business and business foundation courses that are required of all business students.

The proposed MIS degree builds on this common business core to provide a specialized sequence of courses in MIS, including a required MIS Foundations sequence designed to provide the core knowledge and skills needed by all MIS graduates. Upon completion of the core

courses, students pursue a specialized area of study within MIS by choosing among tracks in web design, graphic design, software design, network and infrastructure, project management, or e-business. The curriculum of the proposed B.S. MIS major was developed by first reviewing the curricula of regional and national MIS programs and the 2002 model MIS curriculum. The MIS learning outcomes and curriculum were then developed in consultation with four regional employers.

The program revisions and increased institutional visibility from offering a major in MIS will better serve students seeking high-quality education in this field and will enhance our ability to meet the needs of employers within our region. MIS graduates will be qualified for careers in areas such as: systems analysis, systems design, programming, web development, database administration, network administration, and information technology project management.

Program Goals and Objectives

The primary goal of the program is to prepare students to become information technology professionals who can work with organizations to specify, design, develop, implement, and administer information technology solutions that address an organization's needs. The MIS major designed an assessment plan based upon the following learning outcomes. All students will:

1. Create programs using common programming structures such as conditions, loops, sub-procedures, and functions.
2. Develop programs using an event-based, object-oriented programming language.
3. Retrieve and update data from a database.
4. Develop well-designed logical database schemas (through 3NF).
5. Write single and multi-user database applications.
6. Develop a network solution for a business situation.
7. Develop and follow an information systems (IS) project plan.
8. Specify IT requirements and propose an IT solution that meets the needs of an organization.
9. Learn new MIS methods, languages, and technology throughout their career.

Relation to Institutional Mission

The mission of UW-Parkside is to offer high-quality educational programs, creative and scholarly activities, and services that are responsive to a diverse student population, and to local, national, and global communities. The proposed MIS major directly addresses the needs of the regional business community and the unique needs of UW-Parkside students. Over the past few years, the university has become increasingly involved in economic development efforts, both as a convener of regional groups and as a source of intellectual capital for area businesses.

As an engaged university, UW-Parkside strives to meet the needs of its diverse student population and the region it serves. The MIS major is aligned with the UW-Parkside mission and will provide the education necessary to allow our diverse, place-bound students to move into information technology positions at regional companies. UW-Parkside has one of the most regionally based and place-bound student bodies in the UW System. As indicated by the

National Survey of Student Engagement study, UW-Parkside students have significantly greater work and family responsibilities than those at other universities, both nationally and within the UW System. Some 53 percent of our freshmen are employed off campus, compared to 19.1 percent nationally, and 15.2 percent on all other UW campuses. The proportion of adult students at UW-Parkside is about twice that of the other comprehensives. Although some students from the region are able to relocate or commute long distance to attend other MIS programs, this is not a viable option for most students who attend UW-Parkside. Many UW-Parkside students are full-time employees of local companies and have significant interaction with local companies as part of the project work that they accomplish in various courses

Diversity

Within the MIS curriculum, an understanding of stakeholder diversity is one of the learning outcomes for the business program, and all students must fulfill a three-credit International/Intercultural requirement. Diversity is an important human resources issue in MIS, and will be addressed in the proposed new course, Strategy and Project Management. The School of Business also sponsors on-campus programs that address contemporary business issues, including globalization and diversity. The business program as a whole and the proposed MIS major has been successful in attracting diverse faculty and students. Three of the outside reviewers for the program lauded the notable success of the MIS concentration in attracting a student body that is 30 percent women and 40 percent students of color.

Need

Although the state currently has a number of other MIS undergraduate programs, the market research indicates that the need for students trained in these fields will continue. At UW-Parkside, even with the economic downturn and the use of offshore outsourcing, MIS students continue to be in demand. Graduates of the MIS concentration have been successful in obtaining positions. From 1997 to 2002, 112 of 126 MIS graduates reported finding employment upon graduation with most being placed in MIS positions.

A ten-year outlook (2000-2010) for MIS-related positions in Wisconsin and Lake County, Illinois estimates 800 openings in Kenosha, Walworth, and Racine counties; 21,730 openings overall in Wisconsin; and 6,940 openings in Lake County, Illinois. This latter figure is relevant since many people in Southeast Wisconsin work in Lake County. The average annual number of MIS-related undergraduate degrees awarded by all business programs in Wisconsin from 1998 to 2002 was 500. An average of 526 computer science degrees were awarded annually from universities and colleges located in Wisconsin. A comparison of demand and supply forecasts indicates that during the ten-year period 2000-2010, the number of graduates produced in the state will meet only 50 percent of the predicted job need in Wisconsin.

Comparable Programs

Each of the comprehensive campuses in the UW System offers one or more business degrees. Of these, seven provide at least one MIS or closely related major within the business program: UW-Eau Claire, UW-La Crosse, UW-Madison, UW-Milwaukee, UW-Oshkosh,

UW-Superior, and UW-Whitewater. Students at UW-River Falls and UW-Platteville may specialize in MIS within the business program.

In Minnesota, the University of Minnesota-Twin Cities and Saint Cloud State University offer MIS programs. Several MIS programs are available in Illinois, at Northern Illinois University, University of Illinois-Chicago, Loyola University, and DePaul University. No MIS program is offered in the Illinois counties that border Kenosha and Walworth counties.

Collaboration

UW-Parkside faculty offering the proposed MIS major will collaborate with UW-Whitewater faculty to provide MIS courses to students at both campuses via distance learning. This collaboration will create efficiencies and provides a means for sharing specialized faculty expertise to allow greater diversity in course offerings at both campuses. A telecommunications course is offered this spring at UW-Parkside to UW-Whitewater students via compressed video. This collaboration is expected to continue with both UW-Whitewater and UW-Parkside offering courses via distance education to students at the other campus.

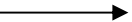
Use of Technology/Distance Education

Since the program involves learning information technology theory and practice, the use of technology is an integral part of the overall learning experience. Existing computer labs and computer-equipped classrooms will be used in supporting learning. Distance education will be used in courses offered jointly with UW-Whitewater.

Academic and Career Advising

MIS professors will serve as academic advisors to MIS students. Students meet with their academic advisors each semester prior to registration to get one-on-one advising regarding career options, coursework, and internships that would be best suited to a student's academic goals. Additionally, UW-Parkside's Career Center provides advice and information about careers, internships, and employers. Several other sources for career information are also provided, including an annual MIS career day, the School of Business and Technology's Executive-in-Residence program, and career-related content in MIS courses.

Projected Enrollment (5 years)

Year 	Implementation Fall 2004	2 nd year	3 rd year	4 th year	5 th year
New students admitted	35	39	42	46	46
Continuing students	48	51	56	61	66
Total enrollment	83	90	98	107	112
Graduating students	22	24	26	29	30

Assessment and Program Evaluation

The assessment of learning outcomes is part of the assessment plan for the business department as a whole. Learning outcomes have been identified for all undergraduate business students in terms of general knowledge and skills, and also in terms of management-specific goals. The MIS major also has its own assessment based upon the specific learning outcomes associated with the major. The assessment plan will consider graded assignments, exams, questions, and projects. Records of these learning outcomes will be maintained separately from the final grades received in courses. In addition, there will be assessment of external sources of information including alumni surveys, annual surveys to internship site supervisors, and feedback from an MIS advisory board that is composed of faculty and regional business leaders. Faculty in the MIS program will review the internal and external assessment to identify strengths and weaknesses in the major, and to adjust learning outcomes, target measures, and the curriculum as appropriate for continuous improvement.

Evaluation from External Reviewers

The four external reviewers provided positive feedback on the need for the MIS major, the curriculum, the diversity of students and faculty, and the value of providing flexibility to students via the several tracks. In one reviewer's words, the "program builds on a solid foundation and requires minimal new courses." Comments and specific suggestions from two of the reviewers regarding the structure of the specialized tracks were taken into account in developing the final curriculum. All reviewers commented positively on the integrated assessment plan for the business program in general and the MIS major specifically.

Resource Needs

Since the proposed major builds upon an established concentration, current capital resources utilized by the current MIS concentration will be used to offer the MIS major. Most business department classrooms are already equipped with a networked computer and ceiling-mounted LCD projector. All MIS courses will be assigned to these classrooms. The MIS program will continue to use a dedicated lab and the Instructional Technology Practice Center. The ongoing need for hardware and software upgrades will be met by funds from the Dean's office, as well as departmental funds. These are estimated at \$10,000 for fiscal year 2004-05, with slight inflationary adjustments for ensuing years. Area businesses provide approximately \$17,000 annually for The IT Practice Center.

In order to expand the MIS concentration to a major, it was necessary to hire one additional faculty member. This hire has been made for fall 2004. With the new faculty member, there will be five faculty and one staff member whose expertise is directly within MIS. Including the contribution to the program from general business faculty, 6.21 FTE will be devoted to MIS.

RECOMMENDATION

No action requested at this time.

RELATED REGENT POLICIES

University of Wisconsin System Academic Planning and Program Review (November 10, 1995), Academic Informational Series #1 (ACIS-1.0 revised)

BUDGET FORMAT
Major in Management Information Systems
Estimated Total Costs and Income

	2004-05		2005-06		2006-07	
CURRENT COSTS	#FTE	Dollars	#FTE	Dollars	#FTE	Dollars
Personnel						
Fac/Acad Staff	6.21	477,178	6.21	496,265	6.21	511,153
Grad Assistants						
Classified Staff	.70	19,600	.70	20,384	.70	20,996
Non-personnel						
S&E		30,000		30,450		31,364
Capital Equip.		10,000		10,150		10,455
Library						
Computing – Lab		24,383		24,749		25,491
Other (ITP Center*)		17,000		17,255		17,773
Subtotal		578,161		599,253		617,232
ADDITIONAL COSTS	#FTE	Dollars	#FTE	Dollars	#FTE	Dollars
Personnel	1.00	79,000	1.00	82,160	1.00	84,625
Non-personnel		4,800		4,872		5,018
Subtotal		83,800		87,032		89,643
TOTAL COSTS		661,961		686,285		706,875
CURRENT RESOURCES						
GPR		561,161		581,998		599,459
Gifts and Grants		17,000		17,255		17,773
Subtotal		578,161		599,253		617,232
ADDITIONAL RESOURCES						
GPR Reallocation		83,800 **		87,032		89,643
Subtotal		83,800		87,032		89,643
TOTAL RESOURCES		661,961		686,285		706,875

* Information Technology Practice Center

** One additional faculty member hired in 2004-05 funded from reallocation of GPR academic area resources due to retirements and other salary savings

NEW PROGRAM AUTHORIZATION
Bachelor of Arts in Digital Arts
University of Wisconsin-Parkside
(INITIAL REVIEW)

EXECUTIVE SUMMARY

BACKGROUND

In accordance with the procedures outlined in Academic Planning and Program Review (ACIS-1.0 revised), the new program proposal for a Bachelor of Arts in Digital Arts is presented to the Board of Regents for initial review. As stipulated by ACIS-1.0 revised, this program proposal will be on the agenda for the June 2004 meeting for a second review, at which time the Board of Regents will take final action on this request. If approved, the program will be subject to a regent-mandated review to begin five years after its implementation. The institution and System Administration will conduct that review jointly, and the results will be reported to the Board.

The proposed program builds upon an existing Art Department Graphic Design Concentration. The program will provide two concentrations: graphic design and animation.

REQUESTED ACTION

No action requested at this time.

DISCUSSION

Program Description

The Bachelor of Arts in Digital Arts builds on an established concentration in graphic design and recent initiatives in animation. Students in this program will complete 59 credits in courses that provide general foundations, an introduction to traditional or fine arts, and art history, and an eighteen-credit concentration in either graphic design or animation, with the latter including an emphasis on either two- or three-dimensional animation. The program is designed to prepare graduates who combine technical and technological mastery with a strong creative, aesthetic sense, and who are able to assume a variety of positions in the regional economy.

Program Goals and Objectives

This program is designed to provide students with the education and training needed to:

- master the techniques and theories needed to create interactive digital media products;
- demonstrate an ability to integrate two- and three-dimensional arts;
- master advanced technology and use it to create a work that reflects a unique artistic vision;
- recognize the diversity of cultural forms, attitudes, and contexts in works of art;
- successfully apply compositional and color theory; and
- become skilled in drawing, design and illustration.

Relation to Institutional Mission

The University of Wisconsin-Parkside's mission articulates a commitment "to high-quality educational programs, creative and scholarly activities, and services responsive to its diverse student population, and its local, national and global communities." As an engaged university that stresses access and diversity, UW-Parkside strives to "attract and retain diverse and multicultural populations of students, faculty and staff," and to meet the needs of its diverse student population and the region it serves. As a result of its active involvement in regional economic development efforts, the university understands the importance of producing graduates who can serve as leaders and workers in the knowledge economy. Digital technology has become the industrial norm for creating visual forms in the commercial realm; animation has become a leading-edge tool with applications to business, education, and entertainment.

The faculty and staff in all UW-Parkside academic departments are encouraged to review and revise their programs so that they remain abreast of new intellectual currents, new technology, and new societal needs. The proposed Digital Arts program represents the logical next step in what has been an ongoing process of first establishing, and then strengthening the digital emphasis of the department's program.

Diversity

As a universal medium of expression and communication, art inherently provides a platform for diversity. It accommodates different intelligences and learning styles. Its active, hands-on approach provides an accessible and democratic learning environment. The department attracts a diverse student body. Approximately 19 percent of the current majors are students of color. The Art Department has made strides in diversifying its faculty and staff. Of the department's three recent tenure-track hires, two are women, one of them Latina, and one is an African American male. Artists create diversity through their personal visions that emerge from their own cultures and backgrounds. The diversity among faculty, staff, and students ensures the presence of diverse perspectives.

In addition, one of the strategic goals of the art department is to provide programs that meet the cultural needs of, and provide a resource for the regional community. Educational and cultural outreach to the community is provided through studio course work, exhibitions, guest lectures, and art field trips locally, nationally, and internationally. An ongoing series of visits to museums and shows exposes students to the diverse, international world of art, as does the required sequence in art history.

Need

Faculty and administrators at UW-Parkside are keenly aware of the challenges faced by southeastern Wisconsin as major changes in manufacturing and business enterprise necessitate a differently skilled work force. There is a need for college graduates who can function as leaders and workers in the emerging knowledge economy. The proposed Digital Arts program directly addresses this concern. Graphic Design and Animation are growth areas at present. Digital technology is the industrial norm for creating visual form in the commercial realm. Animation has become a leading-edge tool, with a variety of business as well as educational and entertainment-based applications.

The UW System Market Research office has developed an *Outlook for Jobs for Digital Art Related Fields* for UW-Parkside. The data provided suggests that the job market is generally strong in Wisconsin, but especially so in Southeastern Wisconsin and Northern Illinois. The ten-year forecast (2000–2010) suggests growth rates in occupations related to graphic design/animation of approximately 33 percent in Lake County, Illinois, an area in which UW-Parkside has an established presence through its ties to Abbott Labs and its articulation agreement with the College of Lake County.

In fall 2003, the Art Department sent a survey to area high schools with art programs that assessed interest in possible changes in the program. The results indicated that offering a major in digital arts would lead an additional 8.6 percent of those surveyed to consider attending UW-Parkside. The faculty anticipates that enrollments in the Digital Arts will grow significantly over the next five years.

Comparable Programs

Though Graphic Design programs exist on almost every campus in the UW-System, there are no programs directly comparable to the proposed major in Digital Arts. UW-Stout, UW-Whitewater, UW-Madison, and UW-Milwaukee offer some instruction in animation. At UW-Stout the focus is directed towards the video gaming market. UW-Milwaukee offers animation courses in their film department.

Madison Area Technical Colleges offers animation specialization and Milwaukee Area Technical College offers a two-year associate degree in animation. Both programs are technical and lack grounding in the fine arts.

Special schools have recently appeared around the country to satisfy the number of students who see animation and/or game design as a viable option for an occupation. Columbia College in Chicago offers an animation and film degree. The California School of the Arts and Sheridan College in Oakville, Ontario, each offer well-established animation degrees.

Collaboration

In December 2002, UW-Parkside implemented an articulation agreement with Gateway Technical College that allows students with 2-year degrees in graphic arts to transfer into the art department to complete a 4-year degree. It is anticipated that this new major will accelerate that flow. An articulation with Milwaukee Area Technical College is being explored.

Faculty from UW-Parkside have been in contact with colleagues at UW-Whitewater to investigate the possibility of sharing resources between the proposed program and a multimedia program which is being planned. Potential areas of collaboration include reciprocal summer use of labs, shared internships, and some sharing of multi-media courses over the internet. There have also been preliminary conversations with faculty from UW-Stout concerning the possibility of sharing some courses.

Use of Technology/Distance Education

Industry standard software is used in courses offered in the current UW-Parkside graphic and web design program. Ongoing professional development, through workshops, industry contacts, and professional organizations, ensures that department members remain abreast of the ever-changing needs of this expanding field. Both administration and faculty are committed to providing state-of-the-art hardware and up-to-date software so students are learning on the equipment and software they will find in the field. As noted above, UW-Parkside is exploring some collaboration via distance education. However, the intensely lab-based nature of Digital Arts instruction limits the courses that could be exchanged.

Academic and Career Advising

All faculty members of the Art Department act as advisors for declared majors. They also hold sessions for entering students who have identified art as an area of interest. The department's record in outreach to area schools, and its annual high school art show also provide early opportunities for academic and career advising. The Art Internship, jointly supervised by faculty members and organization representatives, provides practical experience in studio and curatorial situations in business, industry, and museums. Approximately 35 percent of all graduates have participated in an internship. The growing demand for graphic designers and animators suggests that an even greater percentage of students majoring in Digital Arts will complete an internship prior to graduation.

Projected Enrollment (5 years)

Year	Implementation year	2 nd year	3 rd year	4 th year	5 th year
New students admitted	15	15	20	20	25
Continuing students	50	55	55	60	60
Total enrollment	65	70	75	80	85
Graduating students	10-15	15-20	15-20	20-25	20-25

Assessment and Program Evaluation

Assessment of the Digital Arts major will focus on student learning outcomes and will be based on the processes developed in the March 2004 Art Department's Strategic Planning Update. Responsibility for assessment is assigned to the Assessment Officer and the department chair. Technical and creative skills, including creation of interactive digital media products, integration of two- and three-dimension arts, reflection of a unique artistic vision, application of composition and color theory, and skill in drawing, design and illustration, will be assessed through portfolio reviews that occur at several key stages in the program, including a final senior assessment review. The portfolio will include assignments in which students transform a work of art into the aesthetic tradition of other cultures. These will be used to assess the diversity objective. Portfolio reviews provide the basis for assessing individual students, and, through a collective review by all department faculty, for assessing the program as a whole. The department also makes use of periodic employer and alumni surveys to ensure that its programs are meeting regional needs. The results of these assessments will be reviewed by the department faculty and will provide the basis for curricular revision.

Evaluation from External Reviewers

The curriculum for the proposed program was reviewed by three external reviewers. In response to concerns raised about providing sufficient access to work stations, we will monitor the need for offering additional sections of courses. We will also monitor the need for additional work-stations as the program grows. Another external reviewer offered important insight into how we might structure the animation portion of the curriculum. In response, we are examining ways in which students might opt for training in both 2-D and 3-D animation.

Resource Needs

Since graphic design and animation have been taught for several years, the resource needs for the Digital Arts major can be managed within the current fiscal environment. The University maintains two Macintosh computer labs that are used by the existing graphics program. Each lab is equipped with 25 networked Macintosh computers, one or two flatbed scanners, a digital projector and whiteboard for classroom use. A recent upgrade of the labs has resulted in all major software programs running current versions. No program is more than one version behind the current release. In spring 2004, the lab has been upgraded to new UNIX-based operating system, OS X. Also added, in fall 2003, is a small video editing lab consisting of two G5 Macs running OS X, external DVD burners, and other equipment.

Meeting the new animation needs requires upgrading fifteen stations and creating an adjacent Drawing/Design Studio. Hardware needs for the lab would include fifteen additional 17" monitors, graphic tablets, an additional 2 GB of RAM for each computer, and one functioning whiteboard set-up. Enhancing the RAM capacity of an additional ten stations in the lab will provide the needed upgrade for graphic design use. The Drawing/Design Studio would require fifteen Animation Drawing tables, three TV/DVD players, and one whiteboard set up.

Additional capital costs for both the Mac Lab and Drawing/Design Studio will be needed for an adequate audio playback system. Ceiling speakers capable of stereo playback are required.

The estimated cost for the Drawing/Design Studio set-up and the Mac Lab upgrades is \$108,000. Approximately \$90,000 from FY 2003-04 funds in the Provost's Office have been earmarked for equipment costs. This money includes salary savings, laboratory modification, and other internal reallocations of funds in Instructional Technology Services.

The other major resource need is an additional faculty member. The department's request for a tenure-track faculty assistant professor, with a start date of fall 2005, and strength in the area of web design and three-dimensional modeling has received preliminary approval from the Committee on Academic Planning and the Dean of College of Arts and Sciences. Assuming the anticipated rise in enrollments, we would expect to add a 3-D animator by either fall 2006 or 2007. Given changing enrollment patterns in other disciplines and the expected number of retirements, reallocation of resources would be available for new faculty lines. The Provost's Office supports this program and is committed to providing the required resources. The attached budget envisions an additional faculty line in 2006-07.

RECOMMENDATION

No action requested at this time.

RELATED REGENT POLICIES

University of Wisconsin System Academic Planning and Program Review (November 10, 1995), Academic Informational Series #1 (ACIS-1.0 revised)

BUDGET
B.A./ Digital Arts
Estimated Total Costs and Income

	2004-05**		2005-06		2006-07	
CURRENT COSTS	#FTE	Dollars	#FTE	Dollars	#FTE	Dollars
Personnel						
Fac/Acad Staff	5.38	267,246	5.50	284,542	5.74	306,689
Grad Assistants						
Classified Staff						
Non-personnel						
S&E		22,575		22,914		23,601
Capital Equip.						
Library						
Computing						
Other (Define)						
Subtotal		289,821		307,456		330,290
ADDITIONAL COSTS (Specify)	#FTE	Dollars	#FTE	Dollars	#FTE	Dollars
Personnel		15,000	1.00	45,400	2.00	93,610
Non-personnel		4,080		22,144		22,808
Other – construction		14,300				
Subtotal		33,380		67,544		116,418
TOTAL COSTS		323,201		375,000		446,708
CURRENT RESOURCES						
GPR		289,821		307,456		330,290
Gifts and Grants						
Fees						
Other (Define)						
Subtotal		289,821		307,456		330,290
ADDITIONAL RESOURCES						
GPR Reallocation (specify from where)		33,380*		67,544*		116,418*
Gifts and Grants						
Fees						
Other (Define)						
Subtotal		33,380		67,544		116,418
TOTAL RESOURCES		323,201		375,000		446,708

*Reallocation of regular tuition revenue and academic area resources due to retirements and other salary savings.

**Funds from fiscal year 2003-04, totaling \$90,000, have been earmarked for equipment cost for the Digital Arts Lab, hence they are not reflected in figures for 2004-05.

NEW PROGRAM AUTHORIZATION
Master of Science in Manufacturing Engineering
University of Wisconsin-Stout
(INITIAL REVIEW)

EXECUTIVE SUMMARY

BACKGROUND

In accordance with the procedures outlined in Academic Planning and Program Review (ACIS-1.0 revised), the new program proposal for a Master of Science in Manufacturing Engineering is presented to the Board of Regents for initial review. As stipulated by ACIS-1.0 revised, this program proposal will be on the agenda for the June, 2004, meeting for a second review, at which time the Board of Regents will take final action on this request. If approved, the program will be subject to a regent-mandated review to begin five years after its implementation. The institution and System Administration will conduct that review jointly, and the results will be reported to the Board.

This Masters of Science in Manufacturing Engineering major has been planned and developed in response to the identification of the need for advanced training in manufacturing engineering. One of the recommendations offered by evaluators during the 1998 Accreditation Board for Engineering Technology (ABET) visit to UW-Stout's B.S. in Manufacturing Engineering program, was to develop an M.S. program in Manufacturing Engineering. This recommendation echoed similar requests the department had received from Wisconsin manufacturers.

REQUESTED ACTION

No action requested at this time.

DISCUSSION

Program Description

The results of surveys of regional manufacturers and feedback collected from industry focus groups constitute the basis for the curriculum, which emphasizes the following areas: project management; system design and integration; and automation and control. Within the 32 credit hours required by the curriculum, all three areas are addressed. Workshops presented by the Northwest Wisconsin Manufacturing Outreach Center (NWMOC) will be presented for graduate credit and integrated into the structure of the program. The Technology Department and the Industrial Management Department in the College of Technology, Engineering and Management will provide the majority of the courses in the program. Selected additional courses may be provided by other departments in the College of Technology, Engineering and Management, as well as departments in the College of Arts and Science.

The students who have identified the need for this program are working professionals. Courses will be scheduled evenings and weekends, and will be offered on-site at UW-Stout, Eau Claire and Oshkosh, using both live instruction and distance delivery technologies. All students in the program will enroll in on-campus courses as well as those taught by distance delivery. The program will use a differential tuition fee structure.

Program Goals and Objectives

The program's goal is to offer opportunities for further education in manufacturing engineering to engineers or those serving in engineering capacities in Chippewa and Fox Valley companies. Individuals who complete the program will be able to:

1. Apply mathematical models to the analysis of practical engineering problems.
2. Apply appropriate production operations management principles to the design and operation of manufacturing processes and systems.
3. Develop expertise in the areas of project management, automation and control, system design and integration, or other advanced manufacturing engineering topics.
4. Synthesize the knowledge gained in the first three objectives in solution of practical engineering projects.

Relation to Institutional Mission

The program is precisely aligned with UW-Stout's mission to offer undergraduate and graduate programs leading to professional careers in industry, commerce, education, and human services. The program is also aligned to UW-Stout's strategic plan, which focuses on promoting and maintaining active involvement with business, industry, education, community, and government.

Diversity

In recognition that women are underrepresented in engineering fields, UW-Stout has several initiatives encouraging women to consider engineering as a career. Program faculty will work with these initiatives to encourage and identify undergraduate women who may have an interest in this program for graduate training. Required coursework for the major includes courses that integrate appreciation and understanding of American cultural groups into the curriculum. UW-Stout partners with companies that are interested in developing a diverse workforce.

Need

The Chippewa Valley and the local region in Northwestern Wisconsin have experienced considerable growth in the number of high technology companies in the last decade. It is estimated that technology-related companies in Northwestern Wisconsin employ more than 9,000 people in professional, technical, and production jobs. Manufacturers in both the Chippewa Valley and the Fox Valley are very concerned about their ability to attract and retain engineers because of the lack of graduate engineering opportunities in the immediate area. The proposed M.S. in Manufacturing Engineering will meet these regional needs for a graduate program.

UW-Stout conducted a needs survey regarding graduate level manufacturing engineering education of 450 manufacturing firms of all sizes in northwest and central Wisconsin and east central Minnesota during the summer of 1998. Based on a return rate of 38.4 percent (173 companies), the responses demonstrated a significant interest in and need for a Master of Science Program in Manufacturing Engineering. Thirty-eight companies expressed an immediate interest in enrolling as many as 68 employees in the program. Companies were also asked to rank their need in various topics of manufacturing education. These needs are well aligned with the staff expertise at UW-Stout and its technology transfer program.

In 2001, UW System Market Research completed a report of the need for engineers in the Chippewa Valley at the request of local educational institutions and economic development organizations. The report demonstrated a strong need for manufacturing engineers in the region, particularly at the Master's degree level. Of the 48 companies responding, 32 reported that manufacturing is their primary activity. If opportunities for local engineering education were available, the respondents predicted that 157 employees would enroll in a Master's program. Twenty-five companies ranked the need to hire manufacturing engineers as important and manufacturing was the most important hiring need of all the engineering disciplines surveyed. Sixteen of the companies indicated a willingness to make their industrial laboratories available for use as classrooms. Of the 987 engineers projected by the respondents to be hired in the next five years, 199 (20 percent) would be manufacturing engineers and 193 would have a Master's degree in engineering. Focus group meetings conducted in 2003 with manufacturers in the Fox Valley confirmed a similar need for access to a regional graduate program in Manufacturing Engineering.

Comparable Programs in Wisconsin

There are two other programs at the Master of Science level related to manufacturing engineering in Wisconsin, both at UW-System institutions. UW-Madison and UW-Milwaukee offer a M.S. in Manufacturing Systems Engineering. Since most of the target population for this proposed program is working professionals in northwestern and central Wisconsin, traveling to Madison or Milwaukee to attend school is not an option for them.

Comparable Programs outside Wisconsin

There are masters-level manufacturing engineering programs in every state in the nation. Because the target population for the UW-Stout program is place-bound, full-time professionals, programs beyond Wisconsin or eastern Minnesota are not a viable alternative to the proposed program. The University of St. Thomas in St. Paul, Minnesota offers a master's degree program in Manufacturing Systems. This program is small and costly for enrollees and the location does not address the needs of full-time industrial employees working in the Chippewa Valley and the local region.

Collaboration

The major will collaborate with other institutions using distance delivery and faculty traveling to sites to provide some of the coursework. Coursework will be offered in collaboration with host sites, including UW-Eau Claire, UW-Oshkosh, Chippewa Valley Technical College, Fox Valley Technical College, and local industries. Coursework may also be completed via the National Technological University (NTU) at host sites that include the University of Wisconsin-Eau Claire. In addition, collaboration with the Dean of the College of Business Administration at UW-Oshkosh has resulted in the identification of business and management courses presently offered on that campus that will satisfy program requirements. Transfer of these credits will be negotiated on a case-by-case basis.

Use of Technology/Distance Education

All of the existing core courses are already in a format for distance delivery. Those that remain to be developed will be written for that format. Courses will be delivered in ways that best support the subject matter of the individual courses, while accommodating the needs of the students. The program will collaborate with UW-Madison and UW-Milwaukee to determine which of the courses supporting their manufacturing-related graduate programs are available by distance delivery and could be used in the proposed program

Academic and Career Advising

Career advising and job placement services are available to students through the University Office of Placement and Co-op Services, the Career Exploration Center, and the University Counseling Center. At UW-Stout, academic advisement of distance education students is carried out by the program directors associated with the major. Program directors are integral to student success in distance education programs. Distance education students and adult learners need a lot of attention and assistance in the planning and fulfillment of the degree requirements. That attention and assistance will be provided by the program director for the major.

Projected Enrollment

Year	Implementation year	2 nd year	3 rd year	4 th year	5 th year
New students admitted	12	13	14	15	14
Continuing students	0	11	22	21	22
Total enrollment	12	24	36	36	36
Graduating students			12	12	12

Assessment and Program Evaluation

A capstone comprehensive industrial project will be the culmination of each student's program of study. This will provide individual program faculty the opportunity to assess each student's learning. In addition, a faculty committee will review the capstone projects as a whole to determine whether students in the program are learning what is intended and meeting program objectives. In particular, the program advisory committee will evaluate whether students are

demonstrating the ability to use appropriate mathematical tools and to apply principles of the student's selected area of concentration. The committee will write a report with recommendations for the continuous improvement of the major. These reviews will occur annually.

One year after graduation, and three years after graduation, the program will survey graduates to gather data to determine where they are employed and their salaries, in order to assess if the major is meeting the goal of retaining and offering advanced training to engineers employed in the Chippewa Valley and the Fox Valley. Employer follow-up surveys will be conducted every two years to assess employer satisfaction with graduates. Analysis of the results of the surveys will provide another basis for curriculum change by program directors and department chairs. Questions related to how well the program has met the identified learning outcomes will be included as a supplement to the standard survey.

Every degree program on the UW-Stout campus undergoes a program review by the campus Planning and Review Committee (PRC) every seven years. As part of this review, present and past students, faculty, and program advisory committee members are surveyed. The program director develops a self-assessment report which is reviewed in a formal hearing conducted by the PRC with final results presented to the Faculty Senate and the Provost.

Evaluation from External Reviewers

The reviewers agreed that there is need from both students and employers for a master's degree program in manufacturing engineering, especially in view of the well-respected undergraduate program that already exists at UW-Stout. Both reviewers commended the close association with the industrial constituency of the region. Based upon comments from the reviewers, the program clarified the learning outcomes for students and identified a process for the continuous improvement of the program through the evaluation of capstone projects, and periodic surveys to graduates and employers in the region.

Resource Needs

The proposed program will be offered using existing facilities and resources. Over the first three years of the program, faculty and employees will gradually shift their responsibilities to this program until there is an ongoing FTE commitment of a .25 FTE program director, 1.0 FTE faculty members, 1.0 FTE graduate assistants, and .25 FTE classified staff.

The proposed budget anticipates supplies and expense needs associated with distance education delivery such as line charges, site coordination, travel, and postage. These needs will increase during the first three years, until the program enrollment stabilizes at approximately thirty-six students. Anticipated laboratory supplies/expenses will be absorbed within the budget currently allocated to lab support.

Resource requirements will be satisfied by charging differential tuition at a rate adequate to sustain the program. UW-Stout currently offers a number of programs funded by means of differential tuition through customized instruction rates. The experience UW-Stout faculty

gained in developing these programs has been used in costing this program. Fringe benefit costs and a projected annual raise in salaries of 5.2 percent have been used in accordance with university policies.

Budget Format
Estimated Total Costs and Income

	FIRST YEAR		SECOND YEAR		THIRD YEAR	
CURRENT COSTS	#FTE	Dollars	#FTE	Dollars	#FTE	Dollars
Personnel (w/fringe)						
Fac/Acad Staff	0.583	47,250	0.917	78,111	1.25	112,053
Grad Assistants	0.333	7,338	0.667	15,439	1.0	24,363
Classified Staff	0	0	0.25	11,138	0.25	11,717
Non-Personnel						
S&E		3,500		7,000		12,529
Capital Equipment		0		5,000		10,000
Computing		2,133		3,754		10,000
Other		0		0		0
Subtotal	0.916	60,221	1.834	120,442	2.5	180,662
ADDITIONAL COSTS	#FTE	Dollars	#FTE	Dollars	#FTE	Dollars
Other: 18% overhead		13,219		26,438		39,658
Subtotal		13,219		26,438		39,658
TOTAL COSTS		73,440		146,880		220,320
ADDITIONAL RESOURCES	Dollars		Dollars		Dollars	
Customized Tuition	12 students x 12 cr/yr x \$510/cr =	73,440	24 students X 12 cr/yr X \$510/cr =	146,880	36 students X 12 cr/yr X \$510/cr =	220,320
TOTAL RESOURCES		73,440		146,880		220,320

RECOMMENDATION

No action requested at this time.

RELATED REGENT POLICIES

University of Wisconsin System Academic Planning and Program Review (November 10, 1995), Academic Informational Series #1 (ACIS-1.0 revised)

Revised

The University of Wisconsin-Milwaukee
Charter School Contract Extension

EDUCATION COMMITTEE

Resolution I.1.e. Revised:

That, upon recommendation of the Chancellor of the University of Wisconsin-Milwaukee and the President of the University of Wisconsin System, the Board of Regents approves the extension of the charter school contract with the Milwaukee Science Consortium, Inc., together with amendments to the contract, establishing a charter school known as the Milwaukee Academy of Science.

May 7, 2004

Agenda Item I.1.e.

**UNIVERSITY OF WISCONSIN-MILWAUKEE
OFFICE OF CHARTER SCHOOLS CONTRACT AMENDMENT
WITH MILWAUKEE SCIENCE CONSORTIUM, INC.**

EXECUTIVE SUMMARY

INTRODUCTION

Charter schools are intended to offer quality education services to children through the creation of alternative public schools that are not subject to as many of the rules and regulations imposed on school districts. The charter school movement is one of the strategies used to expand the idea of public school choice in Wisconsin and the rest of the nation.

In 1997, Wisconsin law was modified to allow the University of Wisconsin-Milwaukee to charter public schools in the city of Milwaukee. Since then, the Board of Regents and the Chancellor of UW-Milwaukee have approved several charter schools, involving a variety of public and private partnerships working to improve educational opportunity and achievement for Milwaukee school children.

The Office of Charter Schools at UW-Milwaukee and Interim Chancellor Greenstreet recommend that the Milwaukee Science Education Consortium, Inc. be granted a four year extension to its charter to operate a public school known as the Milwaukee Academy of Science. The UW System Office of the General Counsel has reviewed and approved the contract amendment and the extension of the charter.

REQUESTED ACTION

Approval of Resolution I.1.e., approving the Charter School contract amendment with the Milwaukee Science Consortium, Inc., to operate a public school known as Milwaukee Academy of Science.

BACKGROUND

The University of Wisconsin-Milwaukee is authorized by Wis. Stat. 118.40 to charter K-12 schools within the city of Milwaukee. The University is committed to chartering only those schools that have the potential to make a significant difference in the educational lives of urban students. To this end, the Office of Charter Schools has developed rigorous requirements that schools must meet in order to obtain and maintain a charter. An initial charter is granted for a five-year period during which the school must demonstrate progress toward stated goals. The decision to renew or non-renew a charter occurs at the end of the third year of operation (first semester of the fourth year) and is based on cumulative results. Renewal of a charter is based on evidence of meaningful progress on key measures of performance.

The evaluation (accountability) process is based on continuous school improvement efforts. The focus is on results, not on procedures or organizational structure. The Criteria for Educational Excellence of the Baldrige National Quality Program provides the framework used by the UW-Milwaukee Office of Charter Schools to measure school improvement efforts and performance evaluation. The Educational Criteria are non-prescriptive and are organized around seven areas as follows: (1) leadership; (2) strategic planning; (3) student, stakeholder, and market focus; (4) information and analysis; (5) faculty and staff focus; (6) process management; and (7) organizational performance results. Performance results include: academic achievement; faithfulness to the charter; the focus on the mission and vision; student, parent, and employee satisfaction; fiscal stability; legal compliance; and organizational viability. Improvement actions are communicated through an Annual School Accountability Plan that sets forth improvement goals, key measures of success, approach (methodology), deployment (activities), and data collection requirements. The results of improvement efforts are communicated through an Annual School Accountability Progress Report.

Evaluation of charter schools occurs through monthly reviews, annual measurements, and summative evaluations. Monthly reviews focus on the general school climate, the leader's focus on improvement, progress on improvement goals, a review of key processes, data collection, and contract requirements. Annual evaluation measures include the school's accountability plan and report, the contract compliance record, ESEA Title I (No Child Left Behind) results, student test results, and satisfaction surveys. The summative evaluation is conducted at the end of the third year of operation and evaluates organizational result trends from all three years of operation. The monthly and annual evaluation efforts are conducted by the staff of the Office of Charter Schools. The summative evaluation is conducted by an Evaluation Committee composed of six members, appointed by the Charter School Advisory Committee.

The decision to renew or not to renew a charter at the end of the third year is made at that time to allow for the possibility of school closure and the requisite parental notice accompanying such action. Charters may be renewed for up to five years. A school may also be placed on probation and have the charter extended on a year-to-year basis. A charter may be allowed to lapse at the end of the approved period or in rare cases, where safety or critical educational concerns exist, terminated.

The Milwaukee Academy of Science (MAS) was created to provide urban children with the skills and knowledge needed to pursue careers in the health sciences. Representatives from the community's institutions of higher learning, including Alverno College, Cardinal Stritch University, Marquette University, Medical College of Wisconsin, and Mount Mary College, as well as community leaders, formed the Milwaukee Science Education Consortium (Consortium) for the purpose of developing this charter school. The Consortium created a School Board to oversee the school, contracted with Edison Schools, Inc., to manage the school, and applied to the University of Wisconsin-Milwaukee for charter authorization. The charter was approved by the Board of Regents on October 8, 1999, and MAS began operating on August 28, 2000.

On the basis of the evaluation, the Charter School Evaluation Committee (the Committee) recommended that the charter be extended for four additional years. (Five years is the maximum extension.) The recommendation of the Committee was approved by the Charter

School Advisory Committee in October, 2003. Upon approval, UW-Milwaukee Legal Affairs began negotiating a contract amendment with the Consortium. Negotiations took some time in that this was the first charter extension to be processed by the Office and several new issues (including the University's response to the Federal reauthorization of Title I - Elementary and Secondary Education Act known as No Child Left Behind) needed to be agreed upon. The amendment to the initial charter contract between the Consortium and UWM had been completed and approved by UW-Milwaukee's Legal Affairs. The attached contract amendment meets all requirements of the UW-Milwaukee model charter school agreement. The MAS is prepared to operate in accordance with all applicable state and federal requirements for charter schools.

PROGRAM EVALUATION SUMMARY

The Milwaukee Academy of Science serves 880 students in kindergarten through eighth grade who attend 200 school days per year at 2000 W. Kilbourn Avenue, Milwaukee, Wisconsin. The school curriculum and policies generally conform to Edison School guidelines, with an enhanced curriculum to emphasize science education. The Academy has developed partnerships with the Center for Science Education at the Medical College of Wisconsin, and the Health Careers Opportunity Program and Student Nursing Association at Marquette University.

The Academy uses the "Family and Student Support Team" process to ensure that no child fails. The process involves teachers, social workers, administrators, and parents in determining why a student is unsuccessful in school, and then develops strategies to address the student's learning difficulties.

The Milwaukee Academy of Science is led by a strong school board that has met all contractual and legal requirements in regard to its charter with the University of Wisconsin-Milwaukee. The Board has proactively managed the contract with Edison Schools, Inc., and has taken increasing control in response to difficulties experienced during the first two years.

The first two years of the Academy's operation were problematic. Lack of appropriate professional leadership and frequent changes in leadership created often difficult conditions. The leadership problem was exacerbated by the number of students initially accepted by the Academy and the high level of needs of these students.

At the end of the 2001-02 school year, the Academy Board took control of the hiring process for a new executive director. The Board also required that the executive director report directly to the Board. A strong executive director was appointed at the beginning of the 2002-03 school year. She has brought together a responsive leadership team and begun to address the needs of the school in a competent manner. The Executive Director communicates high expectations and is helping staff members to align beliefs and actions with the school's mission and vision. This effort has been aided by the development of a strategic plan which identifies strategic objectives and key measures of success and is organized around the Criteria for Educational Excellence of the National Baldrige Quality Program.

The Executive Director and new leadership team have taken action to remediate many of the problems facing the school. Class size was reduced and the total student population reduced by about 100 students. Additional staff members and paraprofessionals were hired. Surveys of staff and parents show marked improvement in the satisfaction with the leadership team and with the school in general. New discipline procedures made a dramatic difference in the number of student infractions and the number of students suspended. The turnover of faculty and students has been mitigated during the 2002-03 school year.

Academy leaders are developing a comprehensive process for selecting, gathering, analyzing, and using data to support daily operation, decision-making, and improvement efforts. The amount and type of data collected has increased in each year of operation. Student progress is monitored through both formative and summative measures, including the Edison Benchmark System, a very capable methodology for determining the progress of individual students and groups of students. Surveys are used to measure student, parent, and staff satisfaction.

The Academy has a comprehensive process for hiring staff members. Time is provided for teachers to meet daily to discuss student achievement, receive training, and to solve student problems. Teachers and paraprofessionals meet Department of Public Instruction and charter contract requirements for certification. Annually, each teacher develops a professional growth plan. Goals are established in the areas of student achievement, learning environment, technology, and family and community partnerships.

The Milwaukee Academy of Science utilizes curricula designed by Edison Schools, Inc. The educational design is research-based and standards-focused. The program of study was selected by Edison Schools after careful study to determine which educational practices and curricula have proven to be most effective with students, particularly in urban environments. The Edison Benchmark System is well documented and has the capabilities of providing excellent criterion analysis data.

The greatest challenge facing the Academy is student academic success. Students who have chosen to attend the Academy exhibit, on the average, a large achievement gap. Test results show that student achievement has improved by more than ten percent in reading and mathematics. An achievement gap between Academy performance and average state achievement still exists. Closing the achievement gap will require major effort, creativity, and most of all attention to detail. Major emphasis must be given to reading and language. These subjects form the core of learning and, especially in the case of language, show major deficits. Work must also continue to imbue the entire curriculum with a science emphasis and to achieve an appropriate level of results in the science area.

The 2002-03 school year enrollment was more stable than enrollment for the previous two years. Student mobility is high but also appears to be gaining some stability. The percentage of students meeting state performance standards has increased in most areas. Likewise, student performance when measured against normative sample averages show that student performance is slowly improving.

The initial three years of the Academy's existence have been learning years devoted to working out the needs of the system. As a school, the Academy has many positive features including a strong board, a competent leadership team, an experienced staff, a well-defined curriculum, a criterion reference testing program with high potential, and an exceptionally strong partnership program. Building on these strengths, the Academy is ready to address student achievement needs.

ELEMENTS OF THE CONTRACT

The contract amendment negotiated with Milwaukee Science Consortium, Inc., meets all requirements of the UW-Milwaukee model charter school contract. The Milwaukee Academy of Science is prepared to operate in accordance with all applicable state and federal requirements for charter schools. The framework of the contract and substantive modifications made by the amendment to the contract are as follows:

1. Article One – Definitions - Key terms of the contract.
(No substantive changes.)
2. Article Two - Parties, Authority and Responsibilities.
(No substantive changes.)
3. Article Three – Obligations of the Grantee. This section is important in that it recites the requirements of the law and how the grantee will meet those requirements. This includes such topics as: a) school governance; b) measuring student progress; c) methods to attain educational goals; d) licensure of professional personnel; e) health and safety; f) admissions; g) discipline; h) insurance standards and other topics.
(Section 3.1 (11) establishes specific requirements for financial reporting to the Office. Section 3.1(14) sets new requirements for insurance coverage and provides for the grantee to apply for coverage waivers for certain small business contractors.)
4. Article Four – Additional Obligations. This section adds additional considerations that help define the school, its practices, UW-Milwaukee administrative fees, and financial reporting.
(No substantive changes.)
5. Article Five – Joint Responsibilities. This section details the review of the management contracts and methods of financial payments.
(Section 5.3 modifies and clarifies performance evaluation criteria and establishes requirements for accountability reporting.)
6. Article Six – Notices, Reports and Inspections. This section facilitates certain aspects of UW-Milwaukee's oversight responsibilities.
(No substantive changes.)
7. Article Seven – Miscellaneous Provisions. Significant in this section are the Code of Ethics provisions (7.2).

(Section 7.6 clarifies requirements for open meetings.)

8. Article Eight – Provision Facilitating UW-Milwaukee Research. This section sets forth the guidelines that UW-Milwaukee will use to conduct research into the concept of charter schools and their impact upon educational practice.
(No substantive changes.)

9. Article Nine – Revocation of Agreement by UW-Milwaukee. This section establishes how the contract might be defaulted by the grantee and reasons for revocation by UW-Milwaukee. This section is critical to the idea that a charter school can be closed for not complying with the law, contract conditions, or failure to meet its educational purpose(s).
(Section 9.1(1) grants the University the right to terminate the charter contract if the school fails to make adequate yearly progress for three consecutive years under the federal No Child Left Behind requirements of ESEA Title I.)

10. Article Ten – Termination by the Grantee. This is the reverse of Article 9 describing how the grantee may, under specified circumstances, terminate the contract.
(No substantive changes.)

11. Article Eleven – Technical Provisions. This section details standard contract language for mutual protection of the parties.
(No Substantive changes.)

The attached contract represents the final phase of the chartering process for the Milwaukee Academy of Science to be chartered under Wisconsin law.

RELATED REGENT POLICIES

Regent Resolution 7905 (May 7, 1999).

FIRST AMENDMENT TO THE CHARTER SCHOOL CONTRACT

between

**The Board of Regents of the University of Wisconsin System
on behalf of the University of Wisconsin—Milwaukee**

and

The Milwaukee Science Education Consortium, Inc.

6. After the “:”, the remainder of Section 3.1(11) of the Original Contract is hereby deleted and the following is inserted in that place:

The Grantee shall submit audited financial statements of the Charter School’s operation, including auditor’s management letters and any exceptions noted by the auditors, to the Office annually. The audit reports shall be prepared by a certified public accountant and submitted to the Office within 120 days after the end of the Grantee’s fiscal year on June 30. In addition, the Grantee shall submit to the Office, with the audited financial statements, a list of expenditures in each of the following categories and subcategories:

(a) Total Revenue

- (1) State aid
- (2) Federal aid
- (3) Other

(b) Total Expenditures

- (1) Instruction
- (2) Pupil services including special education
- (3) Instructional support including curriculum development, library/media and faculty/staff development
- (4) School board
- (5) Administration
- (6) Facilities
- (7) Transportation
- (8) Food service
- (9) Debt service
- (10) Uncategorized

7. After the first “:”, the remainder of Section 3.1(14) of the Original Contract is hereby deleted and the following is inserted in that place:

Grantee shall provide the Office with evidence of a lease or ownership of the School premises in accordance with the provisions of Section 7.4 of this Contract.

The Grantee shall provide the following minimum liability insurance coverages with limits in respect to the Charter School as set forth below:

Coverage Type

Minimum Limit

A. Fidelity Bond Coverage (for the employees and Board Members of the Charter School and its sponsoring organizations and management companies who are responsible for the financial decisions of the Charter

School, including the CEO, CFO and Board Members of the Charter School and its sponsoring organizations and/or management companies)

	Limit per Loss	\$500,000
B.	Worker's Compensation	
	Worker's Compensation	Statutory Coverage
	Employer's Liability Limits:	
	Bodily Injury by Accident	\$100,000 each accident
	Bodily Injury by Disease	\$500,000 policy limit
	Bodily Injury by Disease	\$100,000 each employee
C.	Commercial General Liability (deleting any X, C, and U exclusions, as well as corporal punishment, athletic events, and use of gymnasium equipment)	
	Each Occurrence Limit	\$1,000,000
	Personal & Advertising	\$1,000,000
	General Aggregate	\$2,000,000
	Products-Completed	
	Operations Aggregate	\$3,000,000
	Medical Expense	\$5,000
D.	Auto Liability	
	Combined Single Limit	\$1,000,000 each accident
E.	Umbrella (providing excess employer's liability, general liability and auto liability coverage)	
	Each Occurrence Limit	\$5,000,000
	General Aggregate Limit	\$5,000,000
F.	School Leader's Errors & Omissions	
	Aggregate Limit	\$1,000,000
G.	Sexual Abuse and Molestation	
	Each Occurrence Limit	\$1,000,000
	General Aggregate Limit	\$1,000,000

The Board shall be named as an additional insured under relevant insurance policies, as its interest may appear. A certificate of insurance evidencing the aforementioned insurance requirements is to be provided to the Office annually, prior to the start of each academic year. Under no circumstances is the Board's right to recovery of damages limited to the fact that it is named as an additional insured under the insurance policies noted above.

The Grantee shall require the subcontractors of the Charter School to be properly insured and provide a certificate of coverage providing for the following:

A.	Workers Compensation	Statutory Coverage
B.	Commercial General Liability	
	Each Occurrence Limit	\$1,000,000
	General Aggregate	\$1,000,000
	Products-Completed	
	Operations Aggregate	\$1,000,000
C.	Automobile Liability	
	Combined Single Limit	\$1,000,000

In addition, for high risk subcontractors providing the following services: air charter, asbestos abatement, building construction and remodeling, custodial, daycare, elevator maintenance, manual food service, medical services, recreational services/high risk entertainment, refuse transportation and disposal, security, and transportation of people, the Grantee shall require subcontractors to provide a certificate of additional coverage for the coverage and in the amounts described in the UW-System Risk Management Manual, the relevant portion of which is attached hereto at Appendix A. Should Grantee be unable to obtain proof of insurance as required in this subsection from a particular subcontractor, Grantee may seek a written waiver of the above provisions from the University's Risk Manager by directing such a request to the Office.

8. Section 3.3 of the Original Contract is hereby deleted and the following is inserted in that place: "Tuition. To the extent that doing so may be prohibited by Applicable Law, the Charter School shall not charge tuition."

9. Section 4.1 of the Original Contract is hereby deleted and the following is inserted in that place:

The Charter School shall comply with all Applicable Law, which may change from time to time and which may include, but is not limited to:

- (1) Wisconsin Statute section 118.40;
- (2) Title VI of the Civil Rights Act of 1964, 42 U.S.C. 2000d-2000d-7;
- (3) Title IX of the Education Amendments of 1972, 20 U.S.C. ss. 1681 et seq.;
- (4) Age Discrimination Act of 1985, 42 U.S.C. ss. 6101 et seq.;
- (5) Sec. 504 of the Rehabilitation Act of 1974, 29 U.S.C. s. 794 and the Americans with Disabilities Act, 42 U.S.C. ss. 12101-12213;
- (6) Individuals with Disabilities Education Act, 20 U.S.C. ss. 1400-1485 et seq.;
- (7) 20 U.S.C. s. 1232(g) of the General Education Provisions Act, 20 U.S.C. ss. 1221-1234i;
- (8) Drug-Free Workplace Act, 41 U.S.C. 701 et seq.;
- (9) Asbestos Hazard Emergency Response Act, 15 U.S.C. ss. 2641-2655;
- (10) The Elementary and Secondary Education Act of 1965, as amended by the No Child Left Behind Act of 2001 and its implementing regulations, 20 U.S.C. 6301 to 6578, 34 C.F.R. s 200; and
- (11) Family Educational Rights & Privacy Act, 10 U.S.C. s. 1232g, 34 C.F.R. pt. 99.

In addition, the Charter School will comply with any requirements established by the Office or the State of Wisconsin in conformance with any of the Applicable Law.

To the extent that the No Child Left Behind Act of 2001 (the "NCLB") is applicable to the Charter School, the Grantee agrees that the Grantee will comply with the responsibilities and obligations of the Title I, Part A accountability provisions as specified under the NCLB or its implementing regulations established by the U.S. Department of Education, which currently include participating in statewide assessments, meeting the state adequate yearly progress definition, meeting public and parent reporting requirements, implementing school sanctions if Grantee is identified for school improvement, and meeting the highly qualified teachers and paraprofessional requirements.

10. In Section 4.2 of the Original Contract, in the second sentence, "University" shall be changed to "Office," and in the third sentence, the first instance of "University" shall be changed to "Chancellor," and the second instance of "University" shall be changed to "Office."

11. In Section 4.4 of the Original Contract, "121.01" shall be changed to "121.02" and all instances of "University" shall be changed to "Chancellor." In addition, in the third sentence of Section 4.4, "upon its request" shall be changed to "upon his or her request".

12. In Section 4.6 of the Original Contract, each instance of “Agreement” shall be changed to “Contract.”

13. In Section 4.6(3) of the Original Contract, each instance of “audited statement” shall be changed to “end of year financial statement.”

14. In Section 4.11 of the Original Contract, all instances of “Chancellor” shall be changed to “Office.” In addition, at the end of Section 4.11, the following paragraph shall be added:

In addition, at the same time the audit report is submitted to the Office, the Grantee shall provide to the Office a report of the Charter School’s expenditures in each of the categories and subcategories listed in Section 3.1(11). In the case that the Grantee contracts with one or more management companies for the operation or administration of the Charter School, the report shall include the management companies’ expenditures on behalf of the Charter School.

15. Section 4.12 of the Original Contract is hereby deleted and the following is inserted in that place:

School Year Calendar. The calendar for each school year shall be submitted to the Office no later than the prior June 1 and shall be subject to the approval of the Chancellor or Chancellor’s designee. If the Chancellor or Chancellor’s designee does not notify the Grantee otherwise, the calendar shall be deemed approved 30 days after submission to the Office.

16. Section 4.13 of the Original Contract shall be added as follows:

Grant Applications. Grantee shall submit to the Office copies of any applications for grants made on behalf of the Charter School at the time the application is submitted to the funding authority.

17. Section 5.1(2) of the Original Contract is hereby deleted and the following is inserted in that place:

The Grantee shall submit to the Office a copy of any proposed Operation or Management Contract and shall not enter into any such contract until the Chancellor or the Chancellor’s designee shall have approved (or be deemed to have approved) the same. The Chancellor or the Chancellor’s designee shall have 30 Days after receiving the proposed completed Operation or Management Contract to review the document and to deliver to the Grantee a written statement approving or rejecting such contract. If the Chancellor or the Chancellor’s designee does not within such 30 Days object in writing to the proposed completed contract, the contract shall be deemed approved. If the Chancellor or the Chancellor’s designee rejects

the proposed contract, however, the Chancellor or the Chancellor's designee shall also within the 30 Day review period hereunder advise the Grantee in writing of its specific objections to the proposed contract. The Grantee may thereafter modify (and remodel) the proposed contract and continue submitting the modified contract for the approval of the Chancellor or the Chancellor's designee, which approval shall not be unreasonably withheld, conditioned, or delayed.

18. In Section 5.1(3) of the Original Contract, "University" shall be changed to "Chancellor."
19. Section 5.3 of the Original Contract is hereby deleted and the following is inserted in that place:

Performance Evaluation and Required Reports.

- (1) The University shall evaluate the performance of the Charter School in the areas of leadership, strategic planning, student, stakeholder, and market focus, information and analysis, process management, and organizational performance results as set forth in the Educational Criteria for Performance Excellence of the Baldrige National Quality Program. Specifically, the University will review whether the School has demonstrated continual improvement in the level of systematization of the approach (methods used) and the deployment (extent to which the approach is applied) in each of these areas. Also, the University will review the School's demonstrated improvement of results in each of these areas. A description and explanation of the specific measures that shall be used to evaluate such areas shall be provided to the Grantee annually, no later than 60 days prior to the start of each academic year. The description and explanation will not vary materially from that of the previous year.
- (2) The Grantee shall provide to the University the following required reports as described below:
 - (a) Strategic Plan. By August 1, 2004, the Grantee shall provide a Strategic Plan to the University. The Strategic Plan shall specify the mission and vision of the school, identify the target population of students, and establish strategic goals for the development of the school. The Grantee shall resubmit the Strategic Plan to the Office if and when it is revised.
 - (b) School and Organization Profile. By October 1 of each year during the term of this Contract, the Grantee shall

provide to the Office a School and Organizational Profile which provides general information about the school and its operations.

(c) School Accountability Plan. By July 1 of each year during the term of this Contract, the Grantee shall provide to the Office a School Accountability Plan which sets forth, in measurable terms, goals for school improvement in the then-forthcoming school year. If the Charter School has not made Adequate Yearly Progress (“AYP”) under the NCLB, this plan shall include a detailed description of the Grantee’s plans to implement any of the responsive and/or corrective requirements of the NCLB in the following school year. The School Accountability Plan shall be subject to approval by the Office.

(d) School Accountability Progress Report. By July 1 of each year during the term of this Contract, the Grantee shall provide to the Office for approval a school performance report which states how the school has made progress on the goals identified in the school accountability plan established the prior year. This report shall include a description of how the Charter School is or is not meeting the State of Wisconsin’s definition of AYP under the NCLB and, if the Charter School has not made AYP in the past, a detailed description of the Charter School’s compliance with the responsive and/or corrective requirements of the NCLB in the prior year. The School Accountability Progress Report shall be subject to approval by the Office.

20. In Sections 6.1, 6.2, 6.3, 7.4, and 8.1(3) of the Original Contract, all instances of “Chancellor” or “University” shall be changed to “Office.”

21. Section 6.4 is hereby deleted.

22. Section 7.3 of the Original Contract is hereby deleted and the following is inserted in that place:

Use of University Marks. Neither Grantee nor the Charter School nor any of their sub-contractors may use the name, logo, or other mark designating the University without the expressed prior written consent of the Chancellor, nor may they use the name, logo, or other mark designating the Board of Regents of the University of Wisconsin System without the expressed prior written consent of the Board of Regents.

23. Section 7.5 of the Original Contract shall be added as follows:

Public Records. The Grantee agrees that any contract with another entity for management of the Charter School, such as that presently existing with Edison Schools, Inc., will contain a provision stating that the management entity “shall be deemed an ‘authority’ as defined in Wis. Stats. 19.32(1) and shall be subject to the public records law provisions of Wis. Stat. Chapter 19, subchapter II.”

24. Section 7.6 shall be added as follows:

Open Meetings. The Grantee specifically agrees that the following meetings shall be open to the general public:

- (1) Submission of annual report to the Grantee’s board;
- (2) Approval of the annual budget by the Grantee’s board;
- (3) All school admission lotteries;
- (4) Approval of the annual audit by the Grantee’s board; and
- (5) One (1) annual open house of the Charter School.

The Grantee shall use its good faith efforts to provide reasonable notice of the above listed meetings to the parent/guardian of each student attending the Charter School and shall notify the public of such meetings according to Wisconsin Statute section 120.08(2)(b).

25. Section 9.1(1) of the Original Contract is hereby deleted and the following is inserted in that place:

The pupils enrolled in the Charter School have failed to make sufficient progress toward attaining the educational goals under s. 118.01 or have failed to achieve Adequate Yearly Progress under the NCLB or state implementation of that law for 3 consecutive years;

26. In Section 11.1 of the Original Contract, “Agreement” shall be deleted and replaced with “Contract.” In addition, in Section 11.1 of the Original Contract, “June 30, 2005,” shall be deleted and replaced with “June 30, 2009.”

27. In Section 11.3 of the Original Contract, “Appendix B, Evaluation of Charter Schools—Essential Components,” “Appendix C: Calendar for 2000-2001 School Year,” “Appendix D: Statement of Performance Measures and Required Reports,” and “Appendix E: Other Provisions Incorporated From Grantee Application,” shall be deleted.

28. In Section 11.15 of the Original Contract, “give notice of provide information” shall be changed to “give notice or provide information.”

29. Appendices B, C, D, and E of the Original Contract shall be deleted.

The undersigned have read, understand, and agree to comply with and be bound by the terms and conditions as set forth in this First Amendment. Except as specifically modified by this First Amendment, the Original Contract shall continue in full force and effect between the University and Grantee, and shall be binding upon and inure to the benefit of the University and the Grantee and hence is hereby ratified and confirmed.

FOR GRANTEE:

FOR THE UNIVERSITY:

Name

Name

President

Title

Chancellor

Title

Date

Date

EDUCATION COMMITTEE

Resolution I.1.f.:

That, upon recommendation of the President of the University of Wisconsin System, the Board of Regents approves the University of Wisconsin Colleges' revised mission statement.

**REVISED MISSION STATEMENT
UNIVERSITY OF WISCONSIN COLLEGES
(APPROVAL)**

EXECUTIVE SUMMARY

BACKGROUND

Chapter 36.09(b), Wis. Stats., requires that "the Board, after public hearing at each institution, shall establish for each institution a mission statement delineating specific program responsibilities and types of degrees to be granted."

The University of Wisconsin Colleges requests approval for its revised Mission Statement. These changes have been reviewed by the campus and endorsed by the appropriate governance groups. A copy of the UW Colleges' proposed revised mission is attached.

UW Colleges' revised mission statement underwent initial review at the April 10, 2003, meeting of the Education Committee. On April 14, 2004, a public hearing was held at the Pyle Center in Madison attended by central administrators from the Colleges and Regent Fred Mohs, who presided. All of the Colleges' 13 campuses were connected to the public hearing via compressed video. Speakers included UW Colleges administrators, faculty, staff and students, as well as community members and government officials.

REQUESTED ACTION

Approval of Resolution I.1.f., approving UW Colleges' revised mission statement.

CURRENT MISSION STATEMENT

Select Mission of the University of Wisconsin Colleges

The University of Wisconsin Colleges, as part of the University of Wisconsin System, shares the university's overall responsibility to disseminate knowledge, expand information, enrich our culture, and to provide outreach services. These activities are carried out at the 13 locally-owned campuses which, collectively, are the freshman-sophomore, liberal arts transfer institution of the University of Wisconsin System, entitled to offer a general education associate degree.

Its programs aim to provide qualified students of all ages and backgrounds with the proficiencies and breadth of knowledge that prepare them for baccalaureate and professional programs, for lifelong learning, and for leadership, service, and responsible citizenship.

To carry out its select mission, the University of Wisconsin Colleges commits itself to the following goals:

- To plan and to deliver the freshman-sophomore years of baccalaureate programs and professional studies.
- To place major emphasis on teaching excellence.
- To support the development, testing and use of effective teaching methods.
- To expect scholarly activity, including research, scholarship and creative endeavor, that supports its programs at the associate degree level.
- To serve the citizens in the University of Wisconsin Colleges service areas by (a) promoting the integration of the extension function and encouraging faculty and staff to participate in outreach activity through, for example, providing continuing education programs, (b) facilitating the delivery of programs offered by other University of Wisconsin System institutions.
- To participate in inter-institutional relationships including but not limited to private colleges, public schools, other University of Wisconsin institutions, and the Wisconsin Technical College System in order to maximize educational opportunity and resources for the citizens of the University of Wisconsin Colleges' service areas.
- To serve the special needs of minority, disadvantaged, disabled and returning adult students, especially those in immediate service areas.
- To provide opportunities for cultural enrichment in the service area of each University of Wisconsin Colleges campus.
- To make available, as a service to business, industry, and the general public, the unique professional expertise of the faculty and staff.

REVISED MISSION STATEMENT

Select Mission of the University of Wisconsin Colleges

The University of Wisconsin Colleges is a **multi-campus institution that prepares students for success at the baccalaureate level of education, provides the first two years of a liberal arts general education that is accessible and affordable, and advances the Wisconsin Idea by bringing the resources of the University to the people of the state and the communities that provide and support its campuses**, as part of the University of Wisconsin System, shares the university's overall responsibility to disseminate knowledge, expand information, enrich our culture, and to provide outreach services. These activities are carried out at the 13 locally owned campuses which, collectively, are the freshman-sophomore, liberal arts transfer institution of the University of Wisconsin System, entitled to offer a general education associate degree.

Its programs aim to provide qualified students of all ages and backgrounds with the proficiencies and breadth of knowledge that prepare them for baccalaureate and professional programs, for lifelong learning, and for leadership, service, and responsible citizenship.

To carry out its select mission, the University of Wisconsin Colleges commits itself to the following goals:

GOALS

- To excel at **delivering a liberal arts general education associate degree that prepares students for transfer to plan and to deliver the freshman-sophomore years of baccalaureate-granting institutions programs and professional programs. studies.**
- To place major emphasis **emphasize** on teaching excellence, **including the development, use and assessment of effective teaching methods.**
- To support the development, testing and use of effective teaching methods.
- **To prepare students for lifelong learning, leadership, service and responsible citizenship.**
- To ~~expect~~ **foster** scholarly activity, including research, scholarship and creative endeavor, that supports **the mission of the University of Wisconsin Colleges. its programs at the associate degree level.**
- To serve the citizens in the University **people** of Wisconsin Colleges service areas by (a) promoting the integration of the extension function and encouraging faculty and staff to participate in outreach activity through, for example, providing continuing education **and outreach in Wisconsin communities. programs,** (b) facilitating the delivery of programs offered by other University of Wisconsin System institutions.
- To participate in inter-institutional **collaborative** relationships including but not limited to private colleges, public schools, **with** other University of Wisconsin System institutions, and the Wisconsin Technical College System, **private colleges, and K-12 public schools** in order to maximize educational opportunities and resources for the **people** citizens of the University of Wisconsin. Colleges' service areas.

- To serve the ~~special~~ needs of **ethnically diverse students, students with disabilities and nontraditional students.** ~~minority, disadvantaged, disabled and returning adult students, especially those in immediate service areas.~~
- To make available, as a service to business, industry, and the general public, the unique professional expertise of the faculty and staff.
- To provide opportunities for **civic and** cultural enrichment in the ~~service area of each University of Wisconsin~~ **communities that support its campuses** ~~Colleges campus.~~

REVISED MISSION STATEMENT

Select Mission and Goals of the University of Wisconsin Colleges

The University of Wisconsin Colleges is a multi-campus institution that prepares students for success at the baccalaureate level of education, provides the first two years of a liberal arts general education that is accessible and affordable, and advances the Wisconsin Idea by bringing the resources of the University to the people of the state and the communities that provide and support its campuses.

GOALS

1. To excel at delivering a liberal arts general education associate degree that prepares students for transfer to baccalaureate-granting institutions and professional programs.
2. To emphasize teaching excellence, including the development, use, and assessment of effective teaching methods.
3. To prepare students for lifelong learning, leadership, service and responsible citizenship.
4. To foster scholarly activity that supports the mission of the University of Wisconsin Colleges.
5. To serve the people of Wisconsin by promoting continuing education and outreach in Wisconsin communities.
6. To participate in collaborative relationships with other University of Wisconsin System institutions, the Wisconsin Technical College System, private colleges, and K-12 public schools in order to maximize educational opportunities and resources for the people of Wisconsin.
7. To serve the needs of ethnically diverse students, students with disabilities, and nontraditional students.
8. To make available as a service to business, industry, and the general public, the unique professional expertise of the faculty and staff.
9. To provide opportunities for civic and cultural enrichment in the communities that support its campuses.

Approved by the UW Colleges Senate
January 15, 2003

Authorization to Recruit:
Chancellor
University of Wisconsin-River Falls

EDUCATION COMMITTEE:

Resolution I.1.g.(1):

That, the President of the University of Wisconsin System be authorized to recruit for a Chancellor of the University of Wisconsin-River Falls, at a salary within the Board of Regents salary range for university senior executive salary group three.

Request for Authorization to Recruit

Institution: University of Wisconsin-River Falls

Type of Request: Chancellor Search

Official University Title: Chancellor

Description of Duties:

As Executive head of his/her respective faculty and institution, the Chancellor is vested with the responsibility of administering Board policies under the coordinating direction of the President and is accountable and reports to the President and the Board on the operation and administration of his/her institution. Subject to Board policy, the Chancellor of the institution in consultation with the faculty is responsible for: designing curricula and setting degree requirements; determining academic standards and establishing grading systems; defining and administering institutional standards for faculty peer evaluation and screening candidates for appointment, promotion and tenure; recommending individual merit increases; administering associated auxiliary services; and, administering all funds, from whatever source, allocated, generated or intended for use by the institution.

Recommended Salary Range: University Senior Executive Group 3
(Predicted salary range for 2004-05 is \$168,621 to \$206,093)

Source of Funds: 102

Replacement Position for: Ann M. Lydecker

Salary of Previous Incumbent: \$156,600

Justification for the Salary Range:

Under Regent Policy 94-4 the Board adopted an executive salary range policy that the salary range midpoint be set at 95% of the peer median and the salary range calculated at 90% and 110% of the midpoint. Effective September 1, 2001, the statutes were amended by the 2001-03 biennial budget act (2001 Wisconsin Act 16) to give the Board of Regents authority to establish salary ranges for the chancellors. The recommended salary range is calculated in accordance with the Board's executive salary range policy, with the actual 2003-04 peer median (\$192,408) adjusted by 2.5% to project a 2004-05 peer median. See attached market information and colleague salaries.

Approved by:

Katharine C. Lyall, President
April 12, 2004

Authorization to Recruit (Approved)(Denied)
By the Board of Regents Executive Committee on _____.

UW-River Falls Chancellor Competitive Salary Information

2003-04 Board of Regents Senior Executive Salary Range:

2003-04 peer group median salary:	\$192,408
CUPA-HR projects 2.5% increase in 2004-05	<u>x 1.025</u>
2004-05 projected peer group median:	\$197,218
Executive salary policy cost-of living adjustment	<u>.95</u>
Regents Salary Range Midpoint:	\$187,357
Regents Salary Range Minimum (90%):	\$168,621
Regents Salary Range Maximum (110%):	\$206,093

2003-04 Peer Group Salaries:

Wright State University	\$282,658
University of Akron	\$281,011
Western Michigan University	\$250,000
University of Illinois-Springfield	\$230,625
Central Michigan University	\$229,230
University of Northern Iowa	\$226,519
Eastern Michigan University	\$222,000
Northern Michigan (was \$213,210)	vacant
Michigan Technological University	\$210,940
Western Illinois University	\$210,000
Northeastern Illinois University	\$210,000
Southern Illinois University-Edwardsville	\$209,454
Oakland University	\$204,495
Youngstown State University	\$203,520
Chicago State University	\$200,448
University of Michigan-Dearborn	\$193,003
University of Michigan-Flint	\$193,000
St. Cloud State University	\$191,816
University of Minnesota-Duluth	\$190,000
Grand Valley State University	\$184,700
Winona State University	\$182,894
Purdue University-Calumet	\$182,100
Minnesota State University-Moorhead	\$181,756
Minnesota State University-Mankato	\$181,116
University of Southern Indiana	\$179,200
Indiana-Purdue University-Ft. Wayne	\$179,100
Eastern Illinois University	\$173,004
Saginaw Valley State University	\$172,700

Bemidji State University	\$171,437
Ferris State University	\$165,000

Indiana University-Northwest	\$155,040
Indiana University-Southbend	\$153,000
Indiana University-South East	\$153,000

Mean	\$198,524
Median	\$192,408

UW System Non-Doctoral Institution Chancellor Salaries:

UW-Stevens Point	\$172,500
UW-Stout	\$172,500
UW-Eau Claire	\$171,600

UW-Green Bay	\$164,686
UW-Platteville	\$160,000
UW Colleges	\$159,500
UW-Extension	\$159,500
UW-Superior	\$158,500
UW-Parkside	\$157,500
UW-La Crosse	\$156,600
UW-Oshkosh	\$156,600
UW-Whitewater	\$156,600

Mean	\$162,174
Median	\$159,500

Authorization to Recruit:
Provost and Vice Chancellor
University of Wisconsin-Oshkosh

EDUCATION COMMITTEE:

Resolution I.1.g.(2):

That, the President of the University of Wisconsin System be authorized to recruit for a Provost and Vice Chancellor of the University of Wisconsin-Oshkosh, at a salary within the Board of Regents salary range for university senior executive salary group one.

Request for Authorization to Recruit

Institution: University of Wisconsin-Oshkosh

Type of Request: Provost and Vice Chancellor Search

Official University Title: Vice Chancellor

Description of Duties:

The Provost and Vice Chancellor serves as the University's chief academic officer, reports to the Chancellor and serves as the Chancellor's deputy. The Provost and Vice Chancellor provides leadership for all aspects of the University's educational vision, values, mission and goals. Primary responsibilities include: (1) overseeing all academic programs and curricular issues; (2) recommending appointment, reappointment, tenure, promotion, and salary; (3) recommending allocation of personnel, funds, and other resources of programs and instructional support units; (4) providing direction for budget development; (5) developing and coordinating programs and services involving all University divisions – academic affairs, student affairs, administrative services, and development; (6) providing direction and overseeing the implementation of the University's diversity plan; and (7) representing and advancing the University's interests to the University of Wisconsin System.

Recommended Salary Range: University Senior Executive Group 1

Source of Funds: 102

Replacement Position for: Keith Miller

Salary of Previous Incumbent: \$132,730

Justification for the Salary Range:

The 2004-05 proposed Regent executive salary range 1 noted below is built on the 2003-04 actual peer median salary of \$143,679 for non-doctoral institution Vice Chancellors and Provosts, factored by 2.5% for 2004-05. The midpoint of the range is 95% of the 2004-05 predicted peer median of \$147,271, with the minimum 90% and the maximum 110% of those midpoints. The official salary range is determined by the OSER Director with JCOER approval, last obtained on April 5, 2001, for 2001-02. Further, on April 2, 2004 the Regents approved a parity pay plan that recommended an increase in university executive salary group 1 range in 2004-05 using the Regents Executive Salary Policy. As of this date no action as been taken on this Regent approved plan by JCOER. If the OSER Director does not recommend, or JCOER does not adopt the salary range constructed according to Regent Policy, the Vice Chancellors and Provosts will be subject to two salary ranges for 2004-05. For administrative purposes, the "effective salary range" is the highest Minimum and lowest Maximum to ensure that a salary is within the parameters of either salary range

Vice Chancellors and Provosts Senior Executive Group 1

	<u>Minimum</u>	<u>Midpoint</u>	<u>Maximum</u>
JCOER Approved Range	\$113,384	\$125,982	\$138,580 (2001-02)
Last Regents Range Approved	\$117,978	\$131,087	\$144,196 (2002-03)
Board of Regents Executive Salary Policy Range (not yet officially adopted)	\$125,917	\$139,907	\$153,898 (2004-05)

Approved by:

Katharine C. Lyall, President
April 26, 2004

**Authorization to Recruit (Approved)(Denied)
By the Board of Regents Executive Committee on _____.**

UW-Oshkosh Vice Chancellor Competitive Salary Information

2003-04 Board of Regents Senior Executive Salary Range:

2003-04 peer group median salary:	\$143,679
CUPA-HR projects 2.5% increase in 2004-05	<u>x 1.025</u>
2004-05 projected peer group median:	\$147,271
Executive salary policy cost-of living adjustment	<u>.95</u>
Regents Salary Range Midpoint:	\$139,907
Regents Salary Range Minimum (90%):	\$125,917
Regents Salary Range Maximum (110%):	\$153,898

2003-04 Peer Group Salaries:

Western Michigan University	\$195,000
University of Akron	\$190,000
University of Northern Iowa	\$180,197
University of Michigan-Dearborn	\$172,880
Michigan Technological University	\$172,550
Oakland University	\$171,600
Purdue University-Calumet	\$165,000
Central Michigan University	\$162,000
Grand Valley State University	\$161,200
University of Illinois-Springfield	\$160,000
Eastern Michigan University	\$157,570
Wright State University	\$156,000
Western Illinois University	\$150,000
Saginaw Valley State University	\$148,950
Minnesota State University-Mankato	\$145,000
Youngstown State University	\$144,200
Northern Michigan	\$143,679
Southern Illinois University-Edwardsville	\$141,084
Indiana-Purdue University-Ft. Wayne	\$140,000
Indiana University-Northwest	\$137,800
St. Cloud State University	\$137,460
Minnesota State University-Moorhead	\$137,021
Eastern Illinois University	\$136,640
Northeastern Illinois University	\$136,200
Indiana University-Southbend	\$135,686
University of Minnesota-Duluth	\$134,705
Bemidji State University	\$133,204
University of Michigan-Flint	\$132,392
Ferris State University	\$130,456
Winona State University	\$126,764
Chicago State University	\$123,936
University of Southern Indiana	\$123,000
Indiana University-South East	\$112,662

UW System Non-Doctoral Institution Vice Chancellor Salaries:

UW-Green Bay	\$134,000
UW-Superior	\$131,500
UW-Stevens Point	\$131,500
UW-Parkside	\$130,000
UW-La Crosse	\$130,000
UW-Extension	\$129,800
UW-Stout	\$129,000
UW-Eau Claire	\$129,000
UW-Whitewater	\$128,000
UW-Platteville	\$127,600
UW Colleges	\$126,600
UW-River Falls	\$124,600

Mean	\$148,328
Median	\$143,679

Mean	\$129,300
Median	\$129,400

11:30 a.m. All Regents

- Revisions to UW System Undergraduate Transfer Policy and UWS – WTCS Joint Initiatives Update
[Resolution A]
- Yuri Filippov, Professor, Kuban State University, Russia: Presentation of a letter of appreciation from the Rector of Kuban State University to the Board of Regents for partnership with UW-Extension

12:30 p.m. Box Lunch

1:00 p.m. Joint with Physical Planning and Funding Committee

- UW-Madison: Camp Randall Stadium Expansion/Renovation project
Budget increase (\$7,804,500)
[Resolution I.3.a.]

a. Alternatives for Addressing the Utility Budget Shortfall

1:30 p.m. Business and Finance Committee – Room 1511 Van Hise

b. Approval of Minutes of April 1, 2004 meeting of the Business and Finance Committee

c. Tuition Policy Revision
[Resolution I.2.c.]

d. Operations Review and Audit Update
(1) Children's Centers
(2) Federal Student Right to Know

e. Committee Business
(1) Quarterly Gifts, Grants, and Contracts
(2) Contract for Exclusive Merchandising Rights and Facilities Rental for
UW-Madison Division of Intercollegiate Athletics
[Resolution I.e.(2)]

f. Report of the Vice President

g. Additional Items which may be presented to the Committee with its approval

h. Closed session to consider trust fund investments as permitted by
s.19.85(1)(e) *Wis. Stats.*

ALTERNATIVES FOR ADDRESSING THE UTILITY BUDGET SHORTFALL

EXECUTIVE SUMMARY

BACKGROUND

The University of Wisconsin System is appropriated an annual amount of general purpose revenue (GPR) funding for utilities. While the university provides advisory information on the amount of new space each biennium, the utility need is determined by the Department of Administration. The UW System has experienced a shortfall in its utility appropriation for the past four consecutive years. As of the end of March, it is anticipated that the appropriated amount will be insufficient to cover expenditures by \$11 to \$12 million in 2003-04. Statutes require that a request for an increase to the appropriation be submitted to the Joint Committee on Finance if funds are expected to be insufficient.

REQUESTED ACTION

For discussion purposes only.

DISCUSSION

As of March, 2004 a shortfall in the utility appropriation in the range of \$10.8 - \$12.9 million is projected for the current fiscal year. Due to the carryover of GPR expenditures from last fiscal year, the GPR share of this projected shortfall is at least \$9.8 million. Because of the finding of the Legislative Audit Bureau that the previous rollovers were a violation of the statutes and the constitution, the Joint Finance Committee is not expected to approve rolling the shortfall to the next fiscal year. There are several options for the Board to consider as outlined in the attachment. Both an immediate solution as well as a long term solution is needed to address the structural deficit for the past five years.

Institutions have been participating in the State's Wisconsin Energy Initiative 3 (WEI-3) program with Johnson Controls and Honeywell, Inc. According to a DOA Status Report, annual lease payments to Honeywell, Inc. by UW institutions total \$1.2 million while estimated annual savings are \$1.1 million. Payments to Johnson Controls are \$4.7 million each year with expected annual savings of \$4.9 million. UW-Oshkosh is one of few institutions where the annual savings exceed the annual lease payment. The true "savings" will not occur until the leases are repaid.

Institutions have initiated many programs to improve energy efficiency. For example, UW-Parkside maintains a very tight control over HVAC operations in the classroom. UW-Stout entered into an energy saving program with Xcel Energy whereby the amount of air conditioning produced with large chillers can be remotely cut back if they experience an overload situation. This program has saved over \$36,000 per year. The new science building includes individual air conditioning to allow the large air conditioning system to be shut off when the building is unoccupied. The UW System is committed to continuing many energy saving initiatives. Several institutions have volunteered to participate in a new pilot program with the Department of Administration, Division of Facilities Management.

Over the past five years, the UW System has added considerable space which has increased the demand for utilities. In addition, the price of utilities has increased substantially at the same time our budget was held flat and the state began experiencing fiscal problems. Coal has increased about 15 percent over the past five years while natural gas has increased about 40-50 percent. Electricity increases have ranged from 10-20 percent each year. If the utility appropriation had increased by about 8 percent per year along with the anticipated increases in new space, the appropriation would be around \$68.7 million versus the \$58.2 million, or \$10.5 million higher than it is.

Energy conservation will continue to be a high priority. However, the state needs to recommit to its share of utility funding in each biennium so that the structural deficit is permanently fixed.

RELATED REGENT POLICIES

None

Utility Shortfall Options

Option 1: Request funding from Joint Finance through a 13.10 request

Pros:

- GPR share is fully funded in 2003-04
- Eliminates the need for an emergency surcharge to students
- Acknowledges the State's commitment to fund the GPR share of UW utilities

Cons:

- If funds are unavailable, the legislature could direct us as to where to fund the shortfall

Option 2: Request Joint Finance transfer funding from 2004-05 to 2003-04 to cover the GPR share of the shortfall

Pros:

- GPR share is fully funded in 2003-04
- Eliminates the need for an emergency surcharge to students
- Acknowledges the State's commitment to fund the GPR share of UW utilities

Cons:

- 2004-05 funding for utilities is already \$2.6M less than 2003-04 funding
- Delays a permanent solution to the problem
- If denied, the legislature could direct us as to where to fund the shortfall

Option 3: Institute an emergency utility fee surcharge to students

Pros:

- Identifies a funding source for the shortfall
- Spreads the surcharge across all students

Cons:

- Puts a large financial burden on students very late in the fiscal year (approximately \$80-\$90/FTE)
- Would be difficult to collect from students this late in the year
- State abandons its commitment to funding the GPR share of utilities

Option 4: Institute an emergency utility fee surcharge to students and other university customers (athletic tickets, noncredit education, etc.)

Pros:

- Identifies a funding source for the shortfall
- Spreads the surcharge across a larger base thereby reducing the charge to students

Cons:

- Puts a large financial burden on students very late in the fiscal year
- Changes athletic ticket and noncredit outreach pricing late in the year
- Would be difficult to collect from students and others late in the year
- State abandons its commitment to funding the GPR share of utilities

Option 5: Base reallocate to cover both the GPR share and the fee share of the shortfall

Pros:

- Eliminates the need for an emergency surcharge to students

Cons:

- Adds another \$10 million to a \$250 million base budget reduction
- Reduces funds available to serve students
- Delays a permanent solution to the problem
- State abandons its commitment to funding the GPR share of utilities

Option 6: Use one-time auxiliary reserves

Pros:

- Identifies a funding source for the shortfall
- Eliminates the need for an emergency surcharge

Cons:

- Disproportionately hits a segment of the student population when reserves are restored
- Reserves have already been depleted to fund financial aid
- Creates the incorrect impression that reserve balances are an appropriate source for solving any GPR budget shortfall
- One time solution for ongoing base problem
- Inconsistent with the documented need for accumulated reserve balances
- State abandons its commitment to funding the GPR share of utilities

BUSINESS AND FINANCE COMMITTEE

Resolution:

That, upon the recommendation of the President of the University of Wisconsin System, the Board of Regents approves a change to the current Board of Regents Tuition Policy Principles to specifically state that “nonresident tuition rates should be competitive with those charged at peer institutions and sensitive to institutional nonresident enrollment changes and objectives.”

TUITION POLICY REVISION

BACKGROUND

The Board of Regents has established tuition policy principles to guide the annual process of setting tuition for the UW System. The Board of Regents' Revenue and Other Opportunities Study Group has recommended, as part of the *Charting the Future* study, adding a principle to guide the setting of nonresident tuition. The proposed tuition policy change is now being forwarded to the Business and Finance committee for action.

REQUESTED ACTION

The Board is asked to approve a change to the current Board of Regents Tuition Policy Principles to specifically state that "nonresident tuition rates should be competitive with those charged at peer institutions and sensitive to institutional nonresident enrollment changes and objectives."

DISCUSSION AND RECOMMENDATIONS

Attracting nonresident students to UW institutions provides a multitude of benefits to both the UW System and the State of Wisconsin. Nonresident students benefit Wisconsin resident students educationally and socially by helping to build a geographically heterogeneous campus. They are important to local economies as well as the overall state economy and are vital in supporting Wisconsin's "brain gain" strategy. In addition, nonresident students pay tuition that far exceeds the cost of their education, allowing the UW System to use the "excess" resources to increase access for Wisconsin residents. Currently a nonresident undergraduate pays between 174 percent (UW Comprehensives) and 194 percent (UW-Madison) of the cost of his or her education.

Nonresident tuition has become an obstacle to attracting nonresident students to the UW System. Nonresident undergraduate tuition rates have increased dramatically in the past five years, with the largest increases occurring in 2001-02 and 2002-03 due to the mandatory tuition surcharges of five percent per year that were included in the Governor's 2001-03 biennial budget. Nonresident undergraduate students at UW-Madison have seen their tuition rates climb \$7,372 since 1998-99 with \$4,866 of that increase occurring over the last three years. When compared with their peer groups, both UW-Madison and UW-Milwaukee rank second in regard to nonresident tuition and the UW Comprehensives rank fourth. These large nonresident tuition increases have had a negative effect on nonresident enrollments, with a decrease of 360 nonresident students systemwide between 2001-02 and 2002-03. The revenue impact of this nonresident enrollment drop was between \$4 and \$5 million, at a time when campus budgets were already being cut.

The Board of Regents' Revenue and Other Opportunities Study Group has explored the issues surrounding nonresident tuition and recommends that the UW System Tuition Policy Principles be amended to recognize that nonresident tuition rates should be competitive with those charged at peer institutions and sensitive to institutional and nonresident enrollment changes and objectives. The committee's recommendation is attached.

RELATED REGENT POLICIES

Regent Policy #92-8: Tuition Policy Principles (October 1992; revised May 1996)

UNIVERSITY OF WISCONSIN SYSTEM

TUITION POLICY PRINCIPLES

Board of Regents GUIDING PRINCIPLES

1. Tuition and financial aid in the UW System should balance educational quality, access, and ability to pay.
2. As a matter of fiscal and educational policy, the state should, at a minimum, strive to maintain its current GPR funding share (65%) of regular budget requests for cost-to-continue, compensation and new initiatives, and fully fund tuition increases in state financial aid programs.
3. Nonresident students should pay a larger share of instructional costs than resident students, and at least the full cost of instruction when the market allows. ***Nonresident rates should be competitive with those charged at peer institutions and sensitive to institutional nonresident enrollment changes and objectives.***
4. Where general budget increases are not sufficient to maintain educational quality, supplemental tuition increases should assist in redressing the imbalance between needs and resources.
5. Tuition increases should be moderate and predictable, subject to the need to maintain quality.
6. GPR financial aid and graduate assistant support should “increase at a rate no less than that of tuition” while staying “commensurate with the increased student budget needs of students attending the UW System.” In addition, support should also reflect “increases in the number of aid eligible students.”
7. General tuition revenue (to cover regular budget increases under the standard 65% GPR and 35% Fees split) should continue to be pooled systemwide. Special fees may be earmarked for particular institutions and/or programs increasing those fees.
8. When considering tuition increases beyond the regular budget, evaluation of doctoral graduate tuition should consider impacts on multi-year grants and the need to self-fund waivers or remissions from base reallocation within departmental budgets.

OFFICE OF OPERATIONS REVIEW AND AUDIT Children's Centers at University of Wisconsin Institutions

BACKGROUND

The Office of Operations Review and Audit reviewed the implementation of Regent Policy Document 83-5, which specifies that each UW institution "should set a goal of seeing that top quality, low cost child care and extended child care services, preferably campus based, are available to the children of students, faculty, and staff." Center operations were reviewed to assess how UW children's centers are meeting the challenge of providing necessary programs of high quality while keeping costs low.

REQUESTED ACTION

For discussion purposes.

DISCUSSION

Twenty-two children's centers and preschool laboratories serve the child care needs of the university community. In addition to providing child care, the centers provide instruction and academic support, research, public service, and outreach. Academic departments increasingly use children's centers for a wide range of degree programs.

Child Care Services and Availability

Capacity at UW children's centers has increased by approximately 18 percent over the past ten years, ranging from eight children at one of UW-Madison's centers to 285 children at UW-Milwaukee's center. Some centers do not provide summer or interim care because of reduced demand, but most operate year-round, using various strategies to offset periods of reduced enrollment, such as school-age programs, opening the center to the community, or using a combination of academic and annual appointments for their teaching staff.

Decisions about ages of children to serve and types of services to offer are based on a combination of need, space, and cost effectiveness. The centers provide care to children from infant to school age. The number of centers providing infant/toddler care continues to increase, as directors report this to be the area of greatest unmet need. Several centers offer care for school-aged children, and all centers periodically serve children with special needs. Nontraditional services, such as part-time, drop-in, evening, weekend, or sick-child care, may be areas of special need for university parents, but these services are provided on a limited basis.

Child Care Needs and Enrollment Management

Changing demographics of the university population, as well as university initiatives, are among the factors affecting the demand for child care. Only a limited number of institutions have conducted recent needs assessments. To enhance planning efforts, the report recommends UW institutions assess child care needs on a regular basis.

The UW children's centers served approximately 1,655 children during the fall of 2002, serving students, faculty, staff, and sometimes community and alumni parents. Although most centers give some priority to student parents, the proportion of children from the community ranged from none to 71 percent in fall 2002. UW children's centers have adopted various strategies for managing the enrollment process.

Program Administration

In administering UW children's centers, directors balance quality-of-care issues with concerns about resources. Providing an educated, experienced staff or reducing child-to-staff ratios can result in higher costs to parents, reductions in services, or both. Minimizing staff turnover is important for ensuring quality care. The report identifies strategies that centers have adopted to minimize turnover. Also, Board of Regents policy permits state funds to be used to fund facilities, but in practice UW institutions must find alternative funding sources to meet facility needs, such as segregated fees, grants, or donations.

UW System policy requires that each children's center maintain accreditation by the National Academy of Early Childhood Programs; at the time of the review, several programs were not accredited, as required. The report also recommends that children's center directors use additional evaluation tools, such as National Health and Safety Performance Standards, to assess and improve program quality.

Financial Operations

Setting rates and identifying revenue sources are among the UW children's centers' financial responsibilities. While most centers offer student parents a discounted rate, there is often no assurance that segregated fees are fully used to subsidize student parent fees. Also, the level of General Purpose Revenue (GPR) support for the children's centers varies widely; most centers perform instructional and research functions, but UW institutions do not consistently provide GPR support for salaries or other expenses. The report recommends assigning costs according to the mix of program activities. In addition, the report describes fundraising efforts and offers recommendations for centers to take greater advantage of specific federal funding sources and to improve other financial operations.

RELATED BOARD OF REGENTS POLICIES

Regent Policy Document 83-5, Equal Opportunities in Education: Elimination of Discrimination Based on Gender

**OFFICE OF OPERATIONS REVIEW AND AUDIT
Implementation of Federal Student Right-to-Know and
Campus Security and Crime Information Requirements**

BACKGROUND

The Office of Operations Review and Audit reviewed compliance with the federal Student Right-to-Know and Campus Security Act, which requires higher education institutions to provide students, and in some cases, university employees with financial, institutional, and security information. The intent of the Act was to provide students, their families, and employees with information to assess the benefits, obligations, and risks associated with attending an institution of higher education.

REQUESTED ACTION

For informational purposes only.

DISCUSSION

Financial Assistance, Graduation Rates, and Other Institutional Information

Title I of the Act, called the Student Right-to-Know Act, requires higher education institutions to disclose financial assistance information, institutional information, information about completion and graduation rates, athletic program participation rates, and financial support data for athletics. UW institutions appear to routinely publish and provide most of the required information, but the report identifies some instances in which UW institutions should verify that they have compiled the required information.

Campus Security and Crime Information

Title II of the Student Right-to-Know and Campus Security Act, the Crime Awareness and Campus Security Act (“Clery Act”), requires institutions to prepare, publish, and distribute an annual report with information about campus security policies and campus crime statistics. Institutions that fail to comply with the Clery Act may face fines of up to \$27,500 and possible suspension, termination or limitation of participation in federal financial aid programs.

Interviews with staff at six UW institutions indicated that these institutions: (1) routinely kept required daily crime logs; (2) appeared to have established reasonable geographic boundaries for collecting and recording data; (3) collected information from crimes reported to a variety of campus officials, as required; and (4) had processes in place for determining and reporting hate crimes and for notifying students and employees about an on-going security threat. While the review did not assess the accuracy of crime data, UW institution staff noted some difficulty with collecting and reporting that data. For example, methods for reporting sexual assaults under the

Clery Act differ from methods for reporting sexual assaults under Wisconsin law, possibly causing some institutions to over-report the number of sexual assaults under the Clery Act.

A review of UW institutions' annual security reports found that some of the reports excluded required policy statements, such as a description of how the institution planned to issue timely warnings to students and employees of an ongoing threat or detailed descriptions of crime prevention programs. The report recommends that UW institutions assure that reports include all required policies.

Staff throughout the UW System noted that the costs associated with meeting Clery Act requirements frequently are not included in institutional budgets and that these costs can be difficult to cover. For example, one institution noted that it costs \$1,000 each time it sends an e-mail to all students to distribute the annual security report or to issue a warning. The report includes a recommendation that institutions review their budgets to assure that they consistently provide adequate resources to meet Clery Act requirements.

Institutions are required to distribute the annual security report to enrolled students and current employees by October 1 of each year and to notify prospective employees and students about the availability of the report. Some institutions may need to improve efforts for providing the report. The report recommends that institutions assure that they have adequate procedures in place to publish and distribute the report as required. The UW System Office of Academic and Student Services plans to assist institutions with Clery Act compliance.

Information Accessibility

The report recommends that institutions implement approaches to make their Student Right-to-Know and Campus Security Act information more accessible and easier for students, families, and employees to use. Consolidating Student Right-to-Know and Campus Security information could make the information more accessible to users so that they may assess the benefits, obligations, and risks of attending each UW institution.

RELATED BOARD OF REGENTS POLICIES

None.

May 7, 2004

Agenda Item I.2.c.

Audit Reports were included in April 2, 2004
Meeting Materials.

To access please go to the link below:

<http://www.uwsa.edu/bor/agenda/2004/april.pdf>

**UNIVERSITY OF WISCONSIN SYSTEM
GIFTS, GRANTS AND CONTRACTS AWARDED
QUARTERLY REPORT & PRIOR-YEAR COMPARISON
FISCAL YEAR 2003-2004 - Third Quarter**

FISCAL YEAR 2003-2004	Public Service	Instruction	Libraries	Misc	Phy Plt	Research	Student Aid	Total
Total	53,168,851	58,114,719	2,063,347	77,965,246	20,670,665	578,323,912	95,766,558	886,073,299
Federal	25,581,280	41,386,285	315,271	11,724,919	7,032,400	426,069,259	79,181,510	591,290,924
Nonfederal	27,587,571	16,728,434	1,748,076	66,240,327	13,638,265	152,254,653	16,585,048	294,782,375
FISCAL YEAR 2002-2003								
Total	53,551,812	62,774,615	3,403,308	65,309,573	20,214,647	454,352,537	104,296,763	763,903,256
Federal	26,532,119	31,542,838	195,000	9,498,427	0	319,703,445	92,338,347	479,810,176
Nonfederal	27,019,693	31,231,777	3,208,308	55,811,146	20,214,647	134,649,092	11,958,416	284,093,080
INCREASE(DECREASE)								
Total	(382,961)	(4,659,896)	(1,339,961)	12,655,673	456,018	123,971,375	(8,530,205)	122,170,043
Federal	(950,839)	9,843,447	120,271	2,226,492	7,032,400	106,365,814	(13,156,837)	111,480,749
Nonfederal	567,878	(14,503,343)	(1,460,232)	10,429,181	(6,576,382)	17,605,561	4,626,632	10,689,294

UNIVERSITY OF WISCONSIN SYSTEM
 GIFTS, GRANTS AND CONTRACTS AWARDED - BY INSTITUTION
 QUARTERLY REPORT & PRIOR-YEAR COMPARISON
 FISCAL YEAR 2003-2004 - Third Quarter

	Public Service	Instruction	Libraries	Misc	Phy Plt	Research	Student Aid	Total
FISCAL YEAR 2003-2004								
Madison	12,711,461	31,409,977	1,907,863	64,605,212	20,582,860	550,158,951	23,367,899	704,744,223
Milwaukee	2,263,274	10,354,576	140,927	2,396,563	0	17,159,239	14,015,018	46,329,597
Eau Claire	471,487	1,574,051	0	0	0	1,187,004	7,049,915	10,282,457
Green Bay	0	2,680,208	4,200	340,355	55,000	3,215,658	1,647,487	7,942,908
La Crosse	1,273,691	559,174	5,357	1,140,001	0	2,588,180	4,915,931	10,482,334
Oshkosh	1,761,070	6,470,421	5,000	0	0	1,557,240	3,863,497	13,657,228
Parkside	691,716	1,415,376	0	104,545	0	241,044	3,924,689	6,377,370
Platteville	83,123	121,875	0	217,472	0	12,138	4,563,924	4,998,532
River Falls	350,063	153,387	0	1,110,715	0	181,132	2,567,297	4,362,594
Stevens Point	5,351,583	582,674	0	860,065	0	1,033,306	7,494,864	15,322,492
Stout	3,086,398	213,514	0	1,074,071	32,400	93,015	5,816,738	10,316,136
Superior	62,286	0	0	725,241	0	433,173	361,000	1,581,700
Whitewater	347,863	91,870	0	3,049,818	405	302,459	6,285,176	10,077,591
Colleges	9,942	626,135	0	191,885	0	111,373	9,893,124	10,832,459
Extension	24,704,894	0	0	1,189,417	0	0	0	25,894,311
System-Wide	0	1,861,481	0	959,886	0	50,000	0	2,871,367
Totals	53,168,851	58,114,719	2,063,347	77,965,246	20,670,665	578,323,912	95,766,558	886,073,299
Madison	8,255,188	16,622,415	200,000	4,824,650	7,000,000	403,379,564	12,447,925	452,729,742
Milwaukee	1,263,539	9,884,121	4,927	328,089	0	14,090,610	13,818,170	39,389,456
Eau Claire	461,804	1,533,487	0	0	0	985,953	7,049,915	10,031,159
Green Bay	0	2,592,793	0	1,000	0	2,812,657	1,524,428	6,930,878
La Crosse	993,188	557,034	5,357	823,619	0	2,098,724	4,914,531	9,392,453
Oshkosh	1,526,235	6,038,196	5,000	0	0	949,740	3,863,497	12,382,668
Parkside	594,340	1,298,452	0	0	0	236,379	3,763,725	5,892,896
Platteville	296,706	0	99,987	0	0	0	2,637,725	3,034,418
River Falls	305,369	99,117	0	673,821	0	138,560	2,496,720	3,713,587
Stevens Point	3,570,204	260,027	0	760,618	0	509,756	7,494,864	12,595,469
Stout	2,584,646	28,031	0	884,845	32,400	63,627	5,816,738	9,410,287
Superior	35,056	0	0	725,241	0	387,603	361,000	1,508,900
Whitewater	192,011	0	0	2,493,914	0	266,713	5,746,194	8,698,832
Colleges	4,874	611,131	0	10,329	0	99,373	7,246,078	7,971,785
Extension	5,498,121	0	0	0	0	0	0	5,498,121
System-Wide	0	1,861,481	0	198,793	0	50,000	0	2,110,274
Federal Totals	25,581,280	41,386,285	315,271	11,724,919	7,032,400	426,069,259	79,181,510	591,290,924
Madison	4,456,273	14,787,562	1,707,863	59,780,562	13,582,860	146,779,387	10,919,974	252,014,481
Milwaukee	999,736	470,455	136,000	2,068,474	0	3,068,629	196,848	6,940,142
Eau Claire	9,683	40,564	0	0	0	201,051	0	251,298
Green Bay	0	87,415	4,200	339,355	55,000	403,001	123,059	1,012,031
La Crosse	280,503	2,140	0	316,382	0	489,456	1,400	1,089,881
Oshkosh	234,835	432,225	0	0	0	607,500	0	1,274,560
Parkside	97,376	116,924	0	104,545	0	4,665	160,964	484,474
Platteville	(213,583)	121,875	(99,987)	217,472	0	12,138	1,926,199	1,964,114
River Falls	44,694	54,270	0	436,894	0	42,572	70,577	649,007
Stevens Point	1,781,379	322,647	0	99,447	0	523,550	0	2,727,023
Stout	501,753	185,483	0	189,226	0	29,388	0	905,849
Superior	27,230	0	0	0	0	45,570	0	72,800
Whitewater	155,852	91,870	0	555,904	405	35,746	538,982	1,378,758
Colleges	5,068	15,004	0	181,556	0	12,000	2,647,046	2,860,674
Extension	19,206,773	0	0	1,189,417	0	0	0	20,396,190
System-Wide	0	0	0	761,093	0	0	0	761,093
Nonfederal Totals	27,587,571	16,728,434	1,748,076	66,240,327	13,638,265	152,254,653	16,585,049	294,782,375

UNIVERSITY OF WISCONSIN SYSTEM
 GIFTS, GRANTS AND CONTRACTS AWARDED - BY INSTITUTION
 QUARTERLY REPORT & PRIOR-YEAR COMPARISON
 FISCAL YEAR 2003-2004 - Third Quarter

	Public Service	Instruction	Libraries	Misc	Phy Plt	Research	Student Aid	Total
FISCAL YEAR 2002-2003								
Madison	14,918,095	40,220,763	3,280,101	48,782,130	20,136,072	429,432,047	25,272,818	582,042,026
Milwaukee	1,166,030	11,429,085	20,000	2,999,549	0	16,124,956	13,278,256	45,017,876
Eau Claire	608,756	2,356,573	0	0	0	1,021,202	6,825,660	10,812,191
Green Bay	6,000	1,478,591	98,500	168,433	30,775	1,059,951	2,276,998	5,119,248
La Crosse	2,678,507	314,055	0	1,852,001	4,000	3,293,725	4,916,965	13,059,253
Oshkosh	4,578,410	5,029,447	0	0	0	1,254,205	6,926,903	17,788,965
Parkside	3,525	115,169	1,307	43,549	0	16,907	3,624,680	3,805,137
Platteville	550,120	12,972	0	161,949	0	0	4,214,023	4,939,064
River Falls	537,356	166,266	0	1,437,161	0	60,361	4,060,590	6,261,734
Stevens Point	3,481,137	605,795	650	385,726	5,000	804,094	6,824,288	12,106,690
Stout	2,279,650	182,268	0	1,032,977	0	931,500	9,378,282	13,804,676
Superior	52,683	0	0	751,707	0	258,866	1,395,536	2,458,792
Whitewater	0	91,493	0	2,952,141	38,800	9,435	5,890,301	8,982,170
Colleges	10,469	26,694	2,750	169,490	0	12,000	9,336,463	9,557,866
Extension	22,681,074	0	0	1,184,083	0	0	0	23,865,157
System-Wide	0	745,444	0	3,388,678	0	73,288	75,000	4,282,410
Totals	53,551,812	62,774,615	3,403,308	65,309,573	20,214,647	454,352,537	104,296,763	763,903,256

Madison	10,534,397	11,473,112	195,000	2,668,457	0	301,328,198	17,178,594	343,377,758
Milwaukee	165,591	10,453,954	0	676,951	0	12,575,259	13,003,842	36,875,597
Eau Claire	552,651	2,356,573	0	0	0	960,415	6,825,660	10,695,299
Green Bay	0	1,400,225	0	0	0	792,464	2,077,869	4,270,558
La Crosse	2,257,981	314,055	0	1,192,493	0	2,570,905	4,916,965	11,252,399
Oshkosh	3,306,562	4,353,107	0	0	0	353,316	6,926,903	14,939,888
Parkside	0	0	0	0	0	0	3,545,104	3,545,104
Platteville	304,489	0	0	0	0	0	4,214,023	4,518,512
River Falls	476,496	99,973	0	814,930	0	0	3,954,562	5,345,961
Stevens Point	1,881,492	275,805	0	263,695	0	154,645	6,824,288	9,399,925
Stout	1,727,210	70,590	0	840,041	0	830,000	9,378,282	12,846,123
Superior	29,308	0	0	751,707	0	86,643	1,395,536	2,263,194
Whitewater	0	0	0	2,286,403	0	1,600	5,387,821	7,675,824
Colleges	0	0	0	3,750	0	0	6,708,898	6,712,648
Extension	5,295,942	0	0	0	0	0	0	5,295,942
System-Wide	0	745,444	0	0	0	50,000	0	795,444
Federal Totals	26,532,119	31,542,838	195,000	9,498,427	0	319,703,445	92,338,347	479,810,176

Madison	4,383,698	28,747,651	3,085,101	46,113,673	20,136,072	128,103,849	8,094,224	238,664,268
Milwaukee	1,000,439	975,131	20,000	2,322,598	0	3,549,697	274,414	8,142,279
Eau Claire	56,105	0	0	0	0	60,787	0	116,892
Green Bay	6,000	78,366	98,500	168,433	30,775	267,487	199,129	848,690
La Crosse	420,526	0	0	659,508	4,000	722,820	0	1,806,854
Oshkosh	1,271,848	676,340	0	0	0	900,889	0	2,849,077
Parkside	3,525	115,169	1,307	43,549	0	16,907	79,576	260,033
Platteville	245,631	12,972	0	161,949	0	0	0	420,552
River Falls	60,860	66,293	0	622,231	0	60,361	106,028	915,773
Stevens Point	1,599,645	329,990	650	122,031	5,000	649,449	0	2,706,765
Stout	552,440	111,678	0	192,936	0	101,500	0	958,554
Superior	23,375	0	0	0	0	172,223	0	195,598
Whitewater	0	91,493	0	665,738	38,800	7,835	502,480	1,306,346
Colleges	10,469	26,694	2,750	165,740	0	12,000	2,627,565	2,845,218
Extension	17,385,132	0	0	1,184,083	0	0	0	18,569,215
System-Wide	0	0	0	3,388,678	0	23,288	75,000	3,486,966
Nonfederal Totals	27,019,693	31,231,777	3,208,308	55,811,146	20,214,647	134,649,092	11,958,416	284,093,080

UNIVERSITY OF WISCONSIN SYSTEM
GIFTS, GRANTS AND CONTRACTS AWARDED - BY INSTITUTION
QUARTERLY REPORT & PRIOR-YEAR COMPARISON
FISCAL YEAR 2003-2004 - Third Quarter

	Public Service	Instruction	Libraries	Misc	Phy Plt	Research	Student Aid	Total
INCREASE (DECREASE)								
Madison	(2,206,634)	(8,810,786)	(1,372,238)	15,823,082	446,788	120,726,904	(1,904,919)	122,702,197
Milwaukee	1,097,244	(1,074,509)	120,927	(602,986)	0	1,034,282	736,762	1,311,721
Eau Claire	(137,269)	(782,522)	0	0	0	165,802	224,255	(529,734)
Green Bay	(6,000)	1,201,617	(94,300)	171,922	24,225	2,155,707	(629,512)	2,823,660
La Crosse	(1,404,816)	245,119	5,357	(712,000)	(4,000)	(705,545)	(1,034)	(2,576,919)
Oshkosh	(2,817,340)	1,440,974	5,000	0	0	303,035	(3,063,406)	(4,131,737)
Parkside	688,191	1,300,207	(1,307)	60,996	0	224,137	300,009	2,572,233
Platteville	(466,997)	108,903	0	55,523	0	12,138	349,901	59,468
River Falls	(187,293)	(12,879)	0	(326,446)	0	120,771	(1,493,293)	(1,899,140)
Stevens Point	1,870,446	(23,121)	(650)	474,339	(5,000)	229,212	670,576	3,215,802
Stout	806,748	31,246	0	41,094	32,400	(838,485)	(3,561,544)	(3,488,541)
Superior	9,603	0	0	(26,466)	0	174,307	(1,034,536)	(877,092)
Whitewater	347,863	377	0	97,677	(38,395)	293,024	394,875	1,095,420
Colleges	(527)	599,441	(2,750)	22,395	0	99,373	556,661	1,274,593
Extension	2,023,820	0	0	5,334	0	0	0	2,029,154
System-Wide	0	1,116,037	0	(2,428,792)	0	(23,288)	(75,000)	(1,411,043)
Totals	(382,961)	(4,659,896)	(1,339,961)	12,655,673	456,018	123,971,375	(8,530,205)	122,170,043
Madison	(2,279,209)	5,149,303	5,000	2,156,193	7,000,000	102,051,366	(4,730,669)	109,351,984
Milwaukee	1,097,948	(569,833)	4,927	(348,862)	0	1,515,351	814,328	2,513,859
Eau Claire	(90,847)	(823,086)	0	0	0	25,538	224,255	(664,140)
Green Bay	0	1,192,568	0	1,000	0	2,020,193	(553,441)	2,660,320
La Crosse	(1,264,793)	242,979	5,357	(368,874)	0	(472,181)	(2,434)	(1,859,946)
Oshkosh	(1,780,327)	1,685,089	5,000	0	0	596,424	(3,063,406)	(2,557,220)
Parkside	594,340	1,298,452	0	0	0	236,379	218,621	2,347,792
Platteville	(7,783)	0	99,987	0	0	0	(1,576,298)	(1,484,094)
River Falls	(171,127)	(856)	0	(141,109)	0	138,560	(1,457,842)	(1,632,374)
Stevens Point	1,688,712	(15,778)	0	496,923	0	355,111	670,576	3,195,544
Stout	857,436	(42,559)	0	44,804	32,400	(766,373)	(3,561,544)	(3,435,836)
Superior	5,748	0	0	(26,466)	0	300,960	(1,034,536)	(754,294)
Whitewater	192,011	0	0	207,511	0	265,113	358,373	1,023,008
Colleges	4,874	611,131	0	6,579	0	99,373	537,180	1,259,137
Extension	202,179	0	0	0	0	0	0	202,179
System-Wide	0	1,116,037	0	198,793	0	0	0	1,314,830
Federal Totals	(950,839)	9,843,447	120,271	2,226,492	7,032,400	106,365,814	(13,156,837)	111,480,749
Madison	72,575	(13,960,089)	(1,377,238)	13,666,889	(6,553,212)	18,675,538	2,825,750	13,350,213
Milwaukee	(704)	(504,676)	116,000	(254,124)	0	(481,068)	(77,566)	(1,202,137)
Eau Claire	(46,422)	40,564	0	0	0	140,264	0	134,406
Green Bay	(6,000)	9,049	(94,300)	170,922	24,225	135,514	(76,070)	163,341
La Crosse	(140,023)	2,140	0	(343,126)	(4,000)	(233,364)	1,400	(716,973)
Oshkosh	(1,037,013)	(244,115)	0	0	0	(293,389)	0	(1,574,517)
Parkside	93,851	1,755	(1,307)	60,996	0	(12,242)	81,388	224,441
Platteville	(459,214)	108,903	(99,987)	55,523	0	12,138	1,926,199	1,543,562
River Falls	(16,166)	(12,023)	0	(185,337)	0	(17,789)	(35,451)	(266,766)
Stevens Point	181,734	(7,343)	(650)	(22,584)	(5,000)	(125,899)	0	20,258
Stout	(50,687)	73,805	0	(3,710)	0	(72,112)	0	(52,704)
Superior	3,855	0	0	0	0	(126,653)	0	(122,798)
Whitewater	155,852	377	0	(109,834)	(38,395)	27,911	36,502	72,412
Colleges	(5,401)	(11,690)	(2,750)	15,816	0	0	19,481	15,456
Extension	1,821,641	0	0	5,334	0	0	0	1,826,975
System-Wide	0	0	0	(2,627,585)	0	(23,288)	(75,000)	(2,725,873)
Nonfederal Totals	567,878	(14,503,343)	(1,460,232)	10,429,181	(6,576,382)	17,605,561	4,626,633	10,689,294

Contract for Exclusive Merchandising Rights
And Facilities Rental Agreement for
UW-Madison Division of Intercollegiate Athletics

BUSINESS AND FINANCE COMMITTEE

Resolution:

That, upon recommendation of the President of the University of Wisconsin System and the Chancellor of the University of Wisconsin-Madison, the Board of Regents accepts the agreement with Gold Country, Inc.

May 7, 2004

Agenda Item I.2.e.(2)

CONTRACT FOR EXCLUSIVE MERCHANDISING RIGHTS AND FACILITIES RENTAL FOR UW-MADISON ATHLETICS

EXECUTIVE SUMMARY

BACKGROUND

UW-Madison is prepared to enter into a contractual agreement with Gold Country, Inc., for the purpose of awarding Gold Country, Inc., the exclusive rights to rent space at UW-Madison Athletic facilities to sell merchandise at retail stores at the Kohl Center and Camp Randall Stadium, and to sell merchandise from the UW-Madison Athletic Department website. The details of this arrangement are contained in a Merchandising Rights and Facilities Rental Agreement by and between Gold Country, Inc., and the Board of Regents of the University of Wisconsin System, on behalf of UW-Madison and its Division of Intercollegiate Athletics, for review and acceptance by the Board of Regents.

Since January, 1998, UW-Madison's Division of Intercollegiate Athletics has had a similar agreement with University Book Store. The initial contract with University Book Store was through June 30, 2001. That contract was extended first for an additional two years through June 30, 2003, and then for one additional year, through June 30, 2004.

The scope of the current agreement with University Book Store is comparable to the proposed Agreement with Gold Country, Inc., except that the proposed Agreement with Gold Country, Inc. includes additional rights to sell merchandise at a new retail store at Camp Randall Stadium and on the Division of Intercollegiate Athletics website.

This proposed Agreement is a result of a process that has taken place over the past several months and included representatives from UW-Madison's Division of Intercollegiate Athletics, Purchasing Services, Administrative Legal Services, and Trademark Licensing. An Invitation to Submit Plan (ISP) was issued in November, 2003, with a due date of January 29, 2004. Following a review of the proposals submitted, the decision was made to pursue contract negotiation with Gold Country, Inc. The proposed Agreement is a result of those negotiations.

REQUESTED ACTION

That, upon recommendation of the President of the University of Wisconsin System and the Chancellor of the University of Wisconsin-Madison, the Board of Regents accept the agreement with Gold Country, Inc.

DISCUSSION

Seven proposals were received and after initial evaluations, three firms were invited to participate in formal presentations. Following an evaluation of the initial proposals and the ensuing three presentations, it was determined that Gold Country Inc., presented the proposal that best met the interests of the Division, and as such, an award was made to Gold Country, Inc., pending successful negotiation of a final contract.

The terms and provisions of the negotiated final contract with Gold Country provide significant economic and marketing benefits to UW-Madison and its Division of Intercollegiate Athletics by increasing the amount of revenue generated annually from the Division's exclusive Merchandising Rights and Facilities Rental.

The amount of annual payments made under the existing contract with University Bookstore was based on a \$100,000 guarantee plus a percentage of net sales over \$250,000. Over the first six years of the agreement, payments to the Division of Intercollegiate Athletics averaged approximately \$225,000 per year.

The amount of annual payments to be made under the proposed Agreement with Gold Country, Inc., will be based on a \$300,000 annual guarantee plus a percentage of net sales over \$1.5 million (excluding website sales) and an additional percentage of all sales made on the Division of Intercollegiate Athletics website. It is difficult to anticipate the amount of sales that will be generated on the website and at the new retail store in Camp Randall Stadium. However, the Division of Intercollegiate Athletics projects its annual revenue to be approximately \$400,000 in each of the first two years of the contract and to grow significantly in subsequent years.

The principal provisions of the contract can be summarized as follows:

- The agreement is for seven years and is effective on July 1, 2004. The contract will expire June 30, 2011. Upon mutual agreement by both parties, the contract may be extended for an additional three years. (Paragraph 1)
- Each year of the Agreement, Gold Country, Inc. agrees to pay to the Division a Merchandising Rights Royalties and Facilities Rental Fee of \$300,000. (Paragraph 5.1)
- Each year of the Agreement, Gold Country, Inc. agrees to pay the Division an additional 30 percent of annual merchandising sales (excluding website sales) between \$1,500,000 and \$1,999,999. (Paragraph 5.3.2.a)
- Each year of the Agreement, Gold Country, Inc. agrees to pay the Division an additional 35 percent of annual merchandising sales (excluding website sales) above \$2,000,000. (Paragraph 5.3.2.b)

- Each year of the Agreement, Gold Country, Inc. agrees to pay the Division an additional 35 percent of annual merchandising sales generated from the Division's website. (Paragraph 5.3.1)
- University agrees to support the Agreement with a variety of in-house sponsorship inventory, including but not limited to game program advertisements, radio advertisements, and scoreboard messages. (Paragraph 5.2)

RELATED REGENT POLICY

Regent Resolution 8074, dated February 10, 2000, Authorization to Sign Documents.

AGREEMENT

Merchandising Rights and Facilities Rental for UW-Madison Athletics Agreement

This agreement (the "**Agreement**") is made by Gold Country Inc. ("**Plan Submitter**") and the Board of Regents of the University of Wisconsin System on behalf of the Division of Intercollegiate Athletics of the University of Wisconsin-Madison, a not-for-profit corporation created under the laws of Wisconsin and located in Madison, Wisconsin ("**University**"). The parties are entering into this agreement because the University wishes to grant to Plan Submitter, and Plan Submitter wishes to obtain, the rights to: (i) Facility Rental, (ii) sell merchandise at Athletic Facilities and (iii) to sell merchandise via a link from the Athletic Department website. In consideration of the mutual promises contained herein, the parties agree to the following terms regarding their Merchandising Rights and Facilities Rental for UW-Madison Athletics.

1. Term.

This Agreement takes effect as of July 1, 2004 (the "**Effective Date**") and expires on June 30, 2011 unless sooner terminated as provided herein (the "Term"). The Term may be extended for an additional three-year period by mutual written agreement of the parties.

2. Definitions.

All capitalized terms used in this Agreement and not otherwise defined will have the meanings set forth in Section 3.3 of the ISP.

3. Merchandising Rights.

3.1 University agrees that Plan Submitter shall be the only entity awarded the right to sell merchandise at any Athletic Facility or any event for which the Athletic Department holds merchandising rights (Contract Sales). This section 3.1 does not apply to events in Athletic Facilities that are other than University Events.

3.2 Except as otherwise specifically provided in this Agreement, University agrees that Plan Submitter will be the only entity awarded the right to sell merchandise via a link from the UW Athletic Department website.

4. Exercise of Rights.

4.1 Plan Submitter shall exercise the rights granted herein as described in the ISP and the Plan Submitter's response to the ISP.

4.2 Plan Submitter shall keep the Athletic Department apprised of operating policies, prices, activities, incidents and all other information pertinent to an understanding of the activities of the agency as they relate to the administration of this Plan.

4.3 Efficient operation. Plan Submitter shall furnish all labor, management, supplies and equipment necessary to fulfill its obligations herein.

4.4 Permits. Plan Submitter shall be financially responsible for obtaining all required permits (including parking), licenses, and bonds to comply with pertinent Board of Regents, University of Wisconsin System regulations, and municipal, county, state and federal laws, and shall assume liability for all applicable taxes including but not restricted to sales and property.

- 4.5 Successful Performance. Recognizing that successful performance of this Agreement is dependent on mutual cooperation between Plan Submitter and University, Plan Submitter shall meet periodically with University to review operations and make necessary adjustments.
- 4.6 The University shall rent to the Plan Submitter such retail space and storage space in Athletic Facilities as necessary for the Plan Submitter to exercise its Merchandising Rights ("Space"). Plan Submitter shall occupy and use said space only for exercise of the merchandising rights provided under this Agreement. The specific space is shown in detail in Attachment B "Kohl Center Retail Space", Attachment C "Camp Randall Retail Space" and Attachment D "UW Field House Retail Space" drawings in the ISP. The rental fee for the Space is included in the Merchandising Rights and Facilities Rental Fee described in Section 5.1 herein.
- 4.7 University shall provide heat, cooling, plumbing, electricity, phone and computer lines within any Space if permanent services are available at the site. The University shall not guarantee an uninterrupted supply of electricity or heat except that it shall be diligent in restoring service following an interruption. The University shall not be liable for any loss which may result from the interruption or failure of any utility service.
- 4.8 The retail spaces at the Kohl Center, the UW Field House and Camp Randall Stadium include floor finishes, dry walled walls and perimeter lighting. All other fixtures, additions or other modifications must be approved in writing by the University and will be the financial responsibility of the Plan Submitter. Any such fixtures, additions or other modifications will become the property of the University. Mobile fixtures, cash wrap and display cases supplied by Plan Submitter shall remain property of Plan Submitter.
- 4.9 Decorating. Plan Submitter shall assume the costs of decorating the area including, but not limited to painting, carpet and wall covering. The Plans for such improvement must be approved by the University prior to the commencement of work. The cost for these improvements will be paid by the Plan Submitter.
- 4.10 Connection costs. Where necessary, utilities shall be brought to the Space by the University. Plan Submitter shall be responsible for paying connection costs for all phone installations and service, and shall pay for all local and long distance charges, including computer and facsimile connection circuits.
- 4.11 Energy use. Plan Submitter agrees to exercise care to keep energy use to a minimum and comply with established energy conservation practices, regulations and policies and endeavor to conserve the use of energies.
- 4.12 Custodial service. Plan Submitter shall provide custodial service for the space on a schedule mutually agreed to by the parties.
- 4.13 Security. University shall provide Plan Submitter with routine campus protection currently available in travel service areas, such as night patrol, door checks, security consulting, call response, etc.
- 4.14 Waste cans and recycling. The University shall provide and maintain all waste cans and recycling containers in the travel service area.

- 4.15 **Furnishings and Equipment.** Plan Submitter shall furnish and install at its expense all equipment and furnishings required to perform under this Contract whether such equipment and furnishings is permanently affixed or moveable. Plan Submitter shall supply such tools of the trade as are necessary for office operations. All repairs to such equipment and furnishings shall be the responsibility of Plan Submitter.
- 4.16 **Access and Control of Keys.** The University will provide Plan Submitter with keys required for access to service areas. Plan Submitter is responsible for control of keys obtained from the University and for maintaining the security of locked areas. Plan Submitter's employees shall not admit anyone to areas controlled by a key in their possession. Plan Submitter shall be responsible for immediate reporting to Facilities Manager all the facts relating to losses incurred, equipment damage or break-ins to their equipment and areas of the University.
- 4.17 **Copies of keys.** No building keys may be copied. If keys are lost, Plan Submitter shall be responsible for the total cost of rekeying and replacement of all affected University locks and keys.
- 4.18 **Additional security items.** Plan Submitter is responsible for the purchase of padlocks and other security devices, which may be required by the Plan Submitter to further ensure revenue, product or property.
- 4.19 **Parking.** The Plan Submitter will have an opportunity to purchase a vendor parking pass from the University for its use for staff parking. Unauthorized vehicles parking in University lots or loading docks without permits shall be ticketed and/or towed.

5. Considerations

- 5.1 In exchange for the Merchandising Rights and Facilities Rental for UW-Madison Athletics described herein, Plan Submitter agrees to pay University an aggregate of \$300,000 (the "Merchandising Rights Royalties and Facilities Rental Fee") during each year of the Term (seven years) and any extension thereof. The annual Merchandising Right Royalties and Facilities Rental Fee will be paid in equal installments of seventy five thousand Dollars (\$75,000). The first installment of seventy five thousand Dollars (\$75,000) will be paid within 30 business days of the completion of this signed Agreement. Subsequent installments will be paid quarterly (i.e., each September 30, December 31, March 31 and June 30 during the Term) and are due at the end of the following month i.e.: third quarter payment paid upon execution of contract, fourth quarter payment for the quarter ending December 31, 2004 will be paid by January 31, 2005, etc. Interest may be charged on late payments at the rate charged by the State of Wisconsin on delinquent tax obligations.
- 5.2 University agrees to support the Agreement with the Plan Submitter with a variety of in-house sponsorship inventory, including but not limited to game program advertisements, radio advertisements and scoreboard messages. The University's program of support will be reviewed on an annual basis with Plan Submitter. University encourages Plan Submitter to consider purchasing additional sponsorship opportunities with University's multi-media rights holder.

5.3 In addition to the consideration contained in Section 5.1 herein, Plan Submitter agrees to provide the University with the following additional considerations:

1. An additional 35% royalty will be paid on all annual merchandise sales from the University's Web Site.
2. Additional considerations for annual Contract Sales exceeding \$1,500,000 (excluding sales from the website), will be as follows:
 - a. A 30% royalty will be paid on annual Contract Sales between \$1,500,000 and \$1,999,999 (excluding website sales)
 - b. A 35% royalty will be paid on annual Contract Sales of \$2,000,000 and above (excluding website sales)
3. The sales described in Section 5.3.1 and 5.3.2 shall be net of freight charges, sales tax and returns.

6. *Fiscal Requirements.*

6.1 Plan Submitter shall maintain complete and accurate records in accordance with accepted industry accounting practices and keep in a safe place all such financial records and statements pertaining to the operations under this Agreement for a period of five years from the close of each calendar year's operation. All records maintained by the Plan Submitter pertaining to this Agreement shall be open to inspection and/or audit by the State of Wisconsin and/or the University at any reasonable time. The Plan Submitter shall advise the Contract Administrator and University's Internal Auditor of the Plan Submitter's schedule of records and operations at the University. The University may request a full report of Plan Submitter's audits of its operations at the University.

7. *Equipment, Operations, Personnel and Staffing, Removal and Loss*

7.1 Equipment.

- A. Unless specifically provided elsewhere in this Plan, Plan Submitter shall furnish and install at its expense all equipment and furnishings required to perform the Merchandising Rights and Facilities Rental for UW-Madison Athletics whether such equipment and furnishing is permanently affixed or moveable. The Plan Submitter shall supply such tools of the trade as are necessary for retail operations. All repairs to such equipment and furnishings shall be the responsibility of the Plan Submitter.
- B. Plan Submitter is responsible for the purchase of cabinets, lockers, portable stands, padlocks and other security devices which may be required by the Plan Submitter to display, sell and secure its products and property.

7.2 Operations.

- A. Plan Submitter will operate a retail store at Camp Randall Stadium that will be open, at a minimum, during normal business hours. In addition, Plan Submitter will operate portable retail sales locations throughout Camp Randall Stadium on Event Days, which will be open at least one hour before and one-half hour after events. The location of such portable stands shall be determined by mutual agreement of the parties. Plan Submitter also will operate a retail store and portable sales locations at the Kohl Center during all University Events at the Kohl Center. Plan Submitter will operate such portable sales locations as are determined by mutual agreement of the parties at softball, soccer and volleyball events and at such other locations as needs arise.

- B. Plan Submitter shall develop by the end of May of each year of the Contract an annual business plan covering its merchandising activities anticipated by this Agreement for the upcoming July 1 to June 30 fiscal year. The business plan shall address such items as the proposed marketing plan including promotions and advertising, and any proposed changes to the retail locations which impact on Plan Submitter's obligations under this Agreement. The Plan Submitter shall meet with the University prior to the development of the business plan in order to discuss such items as the schedule of events for the upcoming year.
- C. Plan Submitter will develop and operate an easily navigable on-line store offering a wide assortment of University products and provide fulfillment on all orders in a timely fashion. Plan Submitter may not subcontract operation of the on-line store without the approval of the University.

7.3 Personnel and Staffing.

- A. Plan Submitter shall have in its employ such sufficiently qualified and competent personnel as are necessary to perform its obligations under this Agreement promptly and in accordance with the terms and conditions specified herein.
- B. Plan Submitter shall be responsible for supervision and control of its employees and agents while on University property. Plan Submitter's personnel shall observe all University rules, regulations and policies either currently in force or as adopted. University reserves the right to require Plan Submitter remove any employee or agent of Plan Submitter at any time, whether temporarily or permanently, from University property. Plan Submitter shall comply with all applicable government regulations related to employment, compensation and payment of its employees or agents and shall be responsible for personnel relations of payrolled employees.
- C. Employees of the Plan Submitter shall not be deemed for any purposes to be employees or agents of, or acting for or on behalf of, the University.

7.4 Removal and Loss.

Upon termination or expiration of this Agreement and unless otherwise agreed to between University and Plan Submitter, Plan Submitter shall remove all Equipment, vacate and return the premises to the University in the same condition as at the time the Plan Submitter entered the premises, reasonable use and wear excepted. Plan Submitter shall assume all costs of removal and disposal, unless the Agreement is terminated by Plan Submitter pursuant to Section 8.2, in which case such removal and disposal will be at the University's expense, or unless the Agreement is terminated by Plan Submitter pursuant to Section 8.4, in which case the University and Plan Submitter will equally share the cost of removal and disposal. Equipment not removed from the University locations on termination of this Agreement after 15 days written notice to the Plan Submitter may be removed by University and treated as abandoned property.

8. **Remedies for Loss of Rights.**

- 8.1 In addition to any other legal or equitable remedy, University will have the right to terminate this Agreement upon forty-five (45) days' written notice to Plan Submitter at any time if:
 - 8.1.1 Plan Submitter fails to make any payment due under this Agreement, and if such default continues uncured for forty-five (45) days after notice thereof, or
 - 8.1.2 Plan Submitter breaches any material term or condition of this Agreement, and if such breach continues uncured for forty-five (45) days after notice thereof.

- 8.2 In addition to any other legal or equitable remedy, Plan Submitter will have the right to terminate this Agreement upon forty-five (45) days written notice to University at any time if:
- 8.2.1 University breaches any material term or condition of this Agreement, and if such breach continues uncured for forty-five (45) days after notice thereof, or
 - 8.2.2 University's right to convey any of the Merchandising Rights and Facilities Rental for UW-Madison Athletics contained in this Agreement expires or is revoked; or
 - 8.2.3 Any material component of the Athletic Facilities is closed for a period of more than one hundred twenty (120) days, whether or not such closure is due to a cause beyond the reasonable control of University.
- 8.3 Upon termination of this Agreement by Plan Submitter under Section 8.2 or by University under Section 8.1, University will refund the unearned portion of the annual installments of Merchandising Rights Royalties paid under Section 5.1 for the Merchandising Rights and Facilities Rental for UW-Madison Athletics.

9. Representations, Warranties and Covenants.

- 9.1 University represents, warrants and covenants to Plan Submitter as follows:
- 9.1.1 University has full power and authority to enter into this Agreement and to grant and convey to Plan Submitter the rights set forth herein.
 - 9.1.2 All necessary approvals for the execution, delivery and performance of this Agreement by University have been obtained, and this Agreement has been duly executed and delivered by University and constitutes the legal and binding obligation of University enforceable in accordance with its terms.
 - 9.1.3 University has the merchandising rights to license the University Marks as required by this Agreement.
 - 9.1.4 University has not entered into, and during the Term of this Agreement, will not enter into (a) any other agreements which would prevent it from fully complying with the provisions of this Agreement or (b) any agreement granting merchandising or promotional or advertising rights that are inconsistent with the rights granted to Plan Submitter pursuant to this Agreement.
- 9.2 Plan Submitter hereby represents, warrants and covenants, solely as to itself, as follows:
- 9.2.1 Such party has full power and authority to enter into and perform this Agreement.
 - 9.2.2 All necessary approvals for the execution, delivery and performance of this Agreement by such party have been obtained, and this Agreement has been duly executed and delivered by such party and constitutes the legal and binding obligation of such party enforceable in accordance with its terms.
 - 9.2.3 Such party has not entered into, and during the Term of this Agreement will not enter into, any other agreements which would prevent it from fully complying with the provisions of this Agreement.

10. Construction of this Agreement.

- 10.1 This Agreement will be governed by and construed in accordance with the laws of the State of Wisconsin without regard to principles of conflicts of law.
- 10.2 The Plan Submitter shall at all times comply with and observe all federal and local laws, ordinances and regulations in effect during the period of this Agreement which affect the work or its conduct, including all applicable safety regulations.

- 10.3 The captions used in this Agreement are for convenience only and will not affect in any way the meaning or interpretation of the provisions set forth herein.
- 10.4 This Agreement, including the Attachments, which are an integral part of this Agreement and are expressly incorporated herein by this reference, will constitute the final, complete and written expression of the intentions of the parties hereto with respect to the subject matter hereof and will supersede all previous communications, representations, agreements, promises or statements, either oral or written, by or between any party with respect thereto. This Agreement, and each of its terms and conditions, may be amended, modified, or waived only in writing signed by each of the parties hereto. Any such modifications, waivers, or amendments will not require additional consideration to be effective.

11. *Licensing and Use of University Marks.*

- 11.1 University grants Plan Submitter a royalty-free license to use UW trademarks for the purpose of promoting the services provided under this Agreement or with respect to its relationship with UW Athletics. Plan Submitter agrees to obtain advance written permission from the University's Office of Trademark Licensing with respect to all uses of UW trademarks.
- 11.2 Plan Submitter agrees that any merchandise for sale that bears University marks will be purchased from officially licensed vendors in good standing with the University and its designated licensing agent.
- 11.3 Plan Submitter agrees to prominently display and feature merchandise produced by the University's exclusive supplier of sideline apparel.
- 11.4 University grants Plan Submitter a royalty-free license to produce merchandise bearing UW trademarks for internal use so long as the items are not for resale and for the purpose of promoting the services provided under this Agreement. However, products produced to be used as premium items may be subject to a royalty. All items must be approved by the Office of Trademark Licensing.

12. *Miscellaneous.*

- 12.1 Indemnification Rights.
- 12.1.1 University Indemnification Obligations. The University agrees to hold harmless Plan Submitter, its officers, agents and employees from any and all liability, including claims, demands, losses, costs, damages, and expenses of every kind and description (including death), or damages to persons or property arising out of or in connection with or occurring during the course of this Agreement where such liability is founded upon or grows out of the acts or omissions of any of the officers, employees or agents of the University of Wisconsin System while acting within the scope of their employment where protection is afforded by ss. 893.82 and 895.46(1), Wis. Stats.
- 12.1.2 Plan Submitter Indemnification Obligations. Plan Submitter shall defend, indemnify, and hold University harmless from and against all claims, suits, liabilities, costs, and expenses incurred by either of them, including reasonable attorney's costs and fees related to (i) Plan Submitter's material breach of this Agreement, and (ii) for injury to, including death of, persons (whether they be third persons or employees of any of the parties hereto) or any loss of or damage to property in any manner arising from the negligence of Plan Submitter, its

employees, and agents in the course of their duties to Plan Submitter, and (iii) for claims arising from Products purchased from Plan Submitter.

- 12.1.3 Exception. No party's obligations under this Section 11.1 shall apply to any loss or damage to the extent caused by the acts, omissions or negligence of the party seeking to be indemnified.

UNIVERSITY OF WISCONSIN
MADISON, WISCONSIN 53706-1490

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- 12.1.4 Indemnification Procedures. Whenever any party (the "Indemnified Party") receives notice of any potential claim which may be subject to this section, such party shall promptly notify the other party obligated to indemnify or hold harmless (the "Indemnifying Party"). The Indemnifying Party shall have the obligation to assume the defense of such claim by counsel designated by it and the Indemnified Party, provided that the Indemnifying Party shall not settle or compromise any such claim, or consent to the entry of any judgment, without the written consent of the Indemnified Party, which consent shall not be unreasonably withheld. The Indemnified Party, its affiliates, employees and representatives, shall fully cooperate with and timely assist the Indemnifying Party with the defense of such claim. If the Indemnifying Party fails to assume the defense of such claim as soon as reasonably possible, in any event prior to the earlier of twenty (20) days after receipt of notice of the claim or five (5) days before the date an answer to a complaint or similar initiation of legal proceeding shall be due, the Indemnified Party shall have the right to undertake, at the Indemnifying Party's expense, the compromise or settlement of any such claim on behalf of and at the risk and expense of the Indemnifying Party.

12.2 Insurance.

- 12.2.1 Plan Submitter is required to maintain Worker's Compensation insurance as required by Wisconsin Statute for all employees engaged in the service, Commercial General Liability (CGL), and Automobile Liability when applicable. Minimum coverages for CGL are: Each Occurrence/General Aggregate - \$1,000,000. Automobile Liability minimum is: Combined Single Limit - \$1,000,000. Liquor Liability (when applicable) is: \$1,000,000. Higher limits may be requested under special conditions. Upon request by the University, Plan Submitter is required to provide a Certificate of Insurance with a minimum sixty (60) day cancellation notice, from an insurance company licensed to do business in the State of Wisconsin, with a minimum AM Best rating of B+, and signed by an authorized agent.
- 12.2.2 Plan Submitter shall add the Board of Regents of the University of Wisconsin System, its officers, employees and agents as an additional insured under the commercial general and automobile liability policies.
- 12.2.3 Certificates of Insurance shall be signed by an authorized agent and sent to Risk Management, 720 University Avenue, Madison, WI 53706, prior to Contract award. If applicable, the Plan Submitter shall also provide certificates of liability insurance covering related subcontracts with the University. Insurance requirements shall apply to all subcontractors.
- 12.2.4 The University may require that the Commercial General Liability insurance certificate contain a statement from the Insurer, that for this Contract it waives any care, custody or control exclusion in its policy.
- 12.2.5 Policies shall contain a covenant requiring thirty (30) days written notice to Risk Management, 720 University Avenue, Madison, WI 53706, before cancellation, reduction or other material modifications of coverage.

12.3 Contract Administration.

12.3.1 The Contract Administrator for the University is:

Beth Luchsinger
Purchasing Services
750 University Avenue
Madison, WI 53706-1490
PHONE: (608) 262-6557 FAX: (608) 262-4467
EMAIL: bluchsinger@bussvc.wisc.edu

12.3.2 The Contract Administrator for the University is authorized to give the approvals required under this Agreement on behalf of the University.

12.3.3 Day-to-day operations will be handled by the Athletic Department Administrator. The Athletic Department Administrator is:

Vince Sweeney
Senior Associate Athletic Director
1440 Monroe Street
Madison, WI 53711
PHONE: (608) 265-2822 FAX: (608) 265-2881
EMAIL: vjs@athletics.wisc.edu

12.3.4 The Athletic Department Administrator is authorized to call for equipment repairs.

12.3.5 Contract Administrator for Plan Submitter is:

Troy Amundson
Gold Country Inc.
4777 Shady Oak Road
Minnetonka MN 55343
PHONE: (952-979-1308 / FAX: 952-935-9515
EMAIL: teamundson@signatureconcepts.com

12.3.6 The Contract Administrator for the Plan Submitter is authorized to give the approvals and negotiate changes required under this Agreement on behalf of the Plan Submitter.

12.3.7 Any party may change Administrator by written notice to the other party.

12.4 Nondiscrimination and affirmative action. Failure to comply with the conditions of this clause may result in the Plan Submitter's becoming declared an "ineligible" Plan Submitter, termination of the Agreement or withholding of payment.

- 12.4.1 In connection with the performance of work under this Agreement, the Plan Submitter agrees not to discriminate against any employee or applicant for employment because of age, race, religion, color, handicap, sex, physical condition, developmental disability as defined in s.51.01(5), Wis. Stats., sexual orientation or national origin. This provision shall include, but not be limited to, the following: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination, rates of pay or other forms of compensation; and selection of training, including apprenticeship. Except with respect to sexual orientation, the Plan Submitter further agrees to take affirmative action to ensure equal employment opportunities.
- 12.4.2 The Plan Submitter agrees to post in conspicuous places, available for employees and applicants for employment, a notice to be provided by the contracting state agency that sets forth the provisions of the State of Wisconsin nondiscrimination clause.
- 12.5 If a public official as defined in Section 19.42 Wisconsin Statutes, or an organization in which a State public official holds at least 10% interest, is a party to this Agreement, this Agreement is voidable by the University unless appropriate written disclosure is made to the State of Wisconsin Ethics Board, 125 South Webster Street, Madison, WI 53703.
- 12.6 Any notice or other communication hereunder will be in writing, will be sent via registered or certified mail, overnight courier, or confirmed facsimile transmission and will be deemed given (i) if mailed, when deposited, postage prepaid, in the United States mail, (ii) if sent by overnight courier, one business day after delivery to such courier, and (iii) if sent by facsimile, when transmitted. Any notice or other communication will be addressed as set forth below, or to such other address as any party will advise the others in writing:

If to Plan Submitter:
Gold Country Inc.
4777 Shady Oak Road
Minnetonka MN 55343
Attn. Troy Amundson
PHONE: (952-979-1308 / FAX: 952-935-9515
EMAIL: teamundson@signatureconcepts.com

If to University:
Purchasing Services
750 University Avenue
Madison, WI 53706-1490
Attention: Beth Luchsinger
PHONE: (608) 262-6557 FAX: (608) 262-4467
bluchsinger@bussvc.wisc.edu

with a copy to:

Vince Sweeney
Senior Associate Athletic Director
1440 Monroe Street
Madison, WI 53711
PHONE: (608) 265-2822 FAX: (608) 265-2881
EMAIL: ajs@athletics.wisc.edu

- 12.7 If any provision of this Agreement shall be, or shall be adjudged to be, unlawful or contrary to public policy, then that provision shall be deemed to be null and separable from the remaining provisions, and shall in no way affect the validity of this Agreement, except that each party shall be entitled to its remedies, including termination.
- 12.8 A waiver by either party of any terms or conditions, provisions, or covenants of this Agreement in any instance shall not be deemed or construed to be a waiver of any such term, condition, provision, or covenant for the future, or of any subsequent breach of same. All remedies, rights, undertakings, obligations, and agreements contained in this Agreement shall be cumulative and shall not be in limitation of any other right, remedy, undertaking, obligation, or agreement of either party.
- 12.9 This Agreement may be modified or amended in writing signed by authorized signatories of the Plan Submitter and University.
- 12.10 This Agreement or any part hereof will not be assigned or otherwise transferred by any party without the prior written consent of the other parties.
- 12.11 The parties are acting herein as independent Plan Submitters and independent employers. Nothing herein contained will create or be construed as creating a partnership, joint venture or agency relationship between the parties and no party will have the authority to bind the other in any respect.
- 12.12 Subject to the provisions of Section 11 herein, no party will obtain, by this Agreement, any right, title, or interest in the trademarks of the other parties, nor, except as provided herein, will this Agreement give any party the right to use, refer to, or incorporate in marketing or other materials the name, logos, trademarks or copyrights of the other parties.
- 12.13 This Agreement may be executed in two or more counterparts, each of which shall be deemed an original.

I.3. Physical Planning and Funding Committee

Thursday, May 6, 2004
Room 1820 Van Hise Hall
1220 Linden Drive, Madison, WI
1:00 p.m.

11:30 a.m. All Regents

- Revisions to UW System Undergraduate Transfer Policy and UWS-WTCS Joint Initiatives Update [Resolution A]
- Yuri Filippov, Professor, Kuban State University, Russia: Presentation of a letter of appreciation from the Rector of Kuban State University to the Board of Regents for partnership with UW-Extension

12:30 p.m. Box Lunch

1:00 p.m. Joint Meeting with the Business and Finance Committee

- a. UW-Madison: Camp Randall Stadium Expansion/Renovation Budget Increase (\$7,804,500) [Resolution I.3.a.]
- Alternatives for Addressing the Utility Budget Shortfall

1:30 p.m. Physical Planning and Funding Committee - Room 1820

- b. Approval of Minutes of April 1, 2004 Meeting
- c. Report of the Assistant Vice President
 - Building Commission Actions
 - Other
- d. Discussion of UW System Six-Year Development Plans
- e. UW-La Crosse: Residence Hall Construction and (Design Report) (\$22,224,000) [Resolution I.3.e.]
- f. UW-Madison: Park Street Lease of Facilities [Resolution I.3.f.]
- g. UW-Madison: Engineering Centers Building Scope/Budget Increase (\$140,000) [Resolution I.3.g.]
- h. UW Madison: Kohl Center Dining Space (\$350,000) [Resolution I.3.h.]

- i. UW-Platteville: Authority to Issue an RFP for Student Housing
[Resolution I.3.i.]
- j. UW-River Falls: Hunt/Knowles Locker Room Addition (Design Report) (\$2,492,000)
[Resolution I.3.j.]
- k. UW-Stevens Point: Lease and Renovation/Addition at Central Wisconsin
Environmental Station (\$500,000)
[Resolution I.3.k.]
- x. Additional items which may be presented to the Committee with its approval

Authority to Increase the Budget of the Camp
Randall Stadium Expansion/Renovation
Project, UW-Madison

PHYSICAL PLANNING AND FUNDING COMMITTEE

Resolution:

That, upon the recommendation of the UW-Madison Chancellor and the President of the University of Wisconsin System, authority be granted to increase the budget of the Camp Randall Stadium Expansion/Renovation project by \$7,804,500, Program Revenue Supported Borrowing, for a revised total project cost of \$106,904,900 (\$85,104,900 Program Revenue Supported Borrowing, \$6,000,000 Gift Funds, and \$15,800,000 General Fund Supported Borrowing).

THE UNIVERSITY OF WISCONSIN SYSTEM

Request for Board of Regents Action May 2004

1. Institution: The University of Wisconsin–Madison
2. Request: Requests authority to increase the budget of the Camp Randall Stadium Expansion/Renovation project by \$7,804,500, Program Revenue Supported Borrowing, for a revised total project cost of \$106,904,900 (\$85,104,900 Program Revenue Supported Borrowing, \$6,000,000 Gift Funds, and \$15,800,000 General Fund Supported Borrowing).
3. Description and Scope of Project: The Camp Randall Renovation project is providing a comprehensive renovation to the nearly 85-year-old Camp Randall Stadium in order to improve and expand restroom capacity; upgrade basic infrastructure such as electrical, HVAC, and plumbing; increase accessibility with elevators and seating for disabled customers; expand suites and club seating; consolidate offices for coaches and staff; expand concession services; expand concourses and entry ways; rebuild the south endzone seats; improve landscaping, fencing and lighting; remodel the press box; and, provide a new video replay scoreboard, sound system, and field lighting. The work was divided into two phases.

Phase I is scheduled to be complete by August of this year. Phase II work is scheduled to begin in September 2004, with completion in August 2005. Phase II work includes expansion and renovation of the lower west concourse, including entry gates, rest rooms and concessions; stadium seating bowl changes with cross aisles, four new access portals, and lower north end zone seating; renovation of the lower level east side tunnel restrooms, concessions and installation of new meeting space; renovation of the press box, including a new elevator; and new west side field lighting.

This request to increase the budget is to fund the higher than estimated costs of items in the Phase II portion of the stadium renovation, plus the cost of construction of the east side concourse, which was originally part of Phase I, but was deferred due to budget constraints.

4. Justification of the Request: Estimates for Phase II were developed over two years ago and actual bids were opened in January of 2004 with a \$4.5M overage. Reasons for this overage include the time lapse between the estimates and actual bid openings as well as the variety of unknowns in the renovation aspects of this phase. Value Engineering was undertaken which provided some beneficial cost savings. This request will provide funding for the remaining items in the Phase II work so it can be constructed as designed.

The second component to this request covers the demolition and remodeling of the third level east concourse. This part of the project was originally included in Phase I but was deferred postponed due to funding concerns at that time. The Athletic Department feels

that it is critical to complete this part of the project because of the additional restrooms that it will provide as well as much needed space on that side of the stadium from a safety and security standpoint.

The increase in annual debt service resulting from the additional costs to complete the project will be 100 percent funded from revenues generated from the operation of the renovated stadium. The Athletic Department recently announced that it has sold 100 percent of all of the new suites, varsity club seats and additional seats in the bowl of the stadium. In addition, the department has sold over 90 percent of the Buckingham Club seats and has raised almost 95 percent of the gift component of the project. These figures are significantly higher than the department had originally estimated it would have sold for the project. As a result, the department feels confident that the additional debt service on the increased project costs can be absorbed in the department's business plan.

5. Budget:

	%	Cost
Construction		\$6,728,000
Design Fees	8%	538,200
DSF Fees		
CM Fee	2%	134,600
Contingency	6%	403,700
Total Estimated Project Cost		\$7,804,500

6. Previous Action:

November 10, 2000
Resolution 8241

Granted authority to seek enumeration for construction of a Camp Randall Stadium Renovation and Expansion Project, as part of the 2001-03 Capital Budget, at an estimated project cost of \$99,700,000 (\$72,700,000 Program Revenue Supported Borrowing, \$17,000,000 Gift Funds and \$10,000,000 General Fund Supported Borrowing – All Agency appropriations for Repair and Renovation). The project was subsequently enumerated at \$99,800,000 (\$72,800,000 Program Revenue Supported Borrowing, \$17,000,000 Gift Funds, and \$10,000,000 General Fund Supported Borrowing).

November 10, 2000
Resolution 8242

Clarification of the expectations of the Board of Regents regarding implementation of a major renovation project at UW-Madison Camp Randall Stadium proposed for enumeration as part of the 2001-03 Capital Budget, with additional approval from the Board of Regents, prior to construction, as follows:

Summer/Fall 2001: Following legislative approval of the 2001-03 Capital Budget, Regent approval will be requested for construction of the first stage of work, primarily focused on infrastructure and utility work estimated at approximately \$14.6 million.

Winter/Spring 2002: Regent approval will be requested for the balance of work, to include a financing plan with, at a minimum: a commitment of at least \$17 million in gift funding; and assurances that sufficient revenues are available from multi-year leases of suites and club seats, from the Badger Fund, and from other receipts to amortize the program revenue bonding and pay ongoing operating costs.

- | | |
|--------------------------------------|--|
| September 7, 2001
Resolution 8426 | Approved the Design Report and authorized construction of the Utility Upgrade phase of the Camp Randall Stadium Expansion and Renovation project at an estimated total project cost of \$11,200,000 (\$7,275,000 General Fund Supported Borrowing, \$3,625,000 Program Revenue Supported Borrowing from the 2001-03 Major Project, and \$300,000 General Fund Supported Borrowing from the Randall Street Substation Upgrade project). |
| December 6, 2002
Resolution 8626 | Approved the Design Report and authority to construct the Camp Randall Stadium Renovation and Expansion project at an estimated cost of \$72,500,000 (\$63,775,000 Program Revenue Supported Borrowing, \$6,000,000 Gift Funds, and \$2,725,000 General Fund Supported Borrowing for a total project cost of \$83,700,000 (\$67,400,000 Program Revenue Supported Borrowing, \$6,000,000 Gift Funds, and \$10,300,000 General Fund Supported Borrowing). |

Approval of the Design Report and Authority to
Construct a Residence Hall Project,
UW-La Crosse

PHYSICAL PLANNING AND FUNDING COMMITTEE

Resolution:

That, upon the recommendation of the UW-Madison Chancellor and the President of the University of Wisconsin System, the Design Report be approved and authority be granted to construct a Residence Hall project at an estimated total cost of \$22,244,000 of Program Revenue Supported Borrowing.

THE UNIVERSITY OF WISCONSIN SYSTEM
Request for
Board of Regents Action
May 2004

1. Institution: The University of Wisconsin-La Crosse
2. Request: Requests approval of the Design Report and authority to construct a Residence Hall project at an estimated total cost of \$22,244,000 of Program Revenue Supported Borrowing.
3. Description and Scope of Project: This project will demolish Reuter Hall (an existing 47-year old, 200-bed, 38,000 GSF residence hall) and construct a 141,182 GSF replacement residence hall to house approximately 356 students in new suite-style units. The typical living unit will provide a private bedroom for four occupants and shared kitchen and bath facilities as well as a common living area. The building will include an apartment and an office for a Hall Director, offices for two Residence Life staff, and other management and operational support spaces. Additional space provided in the new building will also include a seminar room equipped with instructional technology, a game room, a group study/lounge room, a computer lab, a laundry room, a front desk/security check-in area and main lobby, and a large shared kitchen for use during hall community gatherings. Telecommunications/IT systems will be installed to accommodate current as well as anticipated future needs.

The new Residence Hall will be constructed on the northeast corner of the campus, on approximately the same site as the existing building which will be demolished. The five-story building will be clad with brick masonry and precast concrete panels to respect the appearance of several of the older, statelier buildings on campus such as Graff Main Hall, Morris Hall, and Wittich Hall. These buildings were identified by staff, students, and the public community during recent campus master planning sessions as being the buildings that have an appearance that most constituents would like to see emulated on campus.

Site work will include grade development, relocation of an existing 68-stall parking lot and vehicular traffic pathway, relocation of existing site lighting fixtures as well as installation of new ones, landscaping, sidewalks, connection to existing utility systems and city of La Crosse-owned water supply, storm sewer, and sanitary sewer systems. The project will also include the construction of a building service/materials delivery and handling area in the new Residence Hall.

The start of construction is currently scheduled for early spring of 2005 with completion in July 2006 to accommodate occupancy in August 2006.

4. Justification of the Request: This project is enumerated in the University System's 2003-05 Capital Budget approved by the Board of Regents, Building Commission, and Legislature. A detailed justification was presented at that time. In summary, the two most critical space issues at the UW-La Crosse are a lack of contemporary residence hall facilities and a shortage of adequate general assignment classrooms. The construction of a new residence hall will address the need to upgrade the residence facilities and it will also complete the first step in accommodating the plan to construct a new general assignment classroom building on the UW-La Crosse Campus.

The existing residence hall facilities range in age from 37 to 47 years old, and all of the residence rooms are double and triple occupancy bedrooms with gang toilet and shower facilities located on the floors. There are no mechanical ventilation systems to serve any of the residence rooms. In addition, only one of the residence halls has any ADA compliant accommodations, and that's only in four rooms.

The students have expressed a strong preference for suite style living accommodations with private bedrooms, semi-private bathrooms, and a kitchen and living area. They have also indicated that it's important to them to be able to accommodate disabled friends and family members as visitors.

Construction of the new residence hall will provide the type of living accommodations that the students are requesting. Reuter Hall (47 yrs old), the oldest and least functional residence hall, will be demolished without causing a net loss of residence beds on campus. Replacement of the 200-bed Reuter Hall with a larger, 356-bed facility will provide approximately 156 beds of surge space to set the stage for demolition of Baird Hall, a 41-year-old residence hall, and Trowbridge Hall, a 44-year-old residence hall. There is no intent to replace those facilities. However, enrollments at that time, as well as projected future enrollments, will determine the need for additional housing.

Although this new building will be larger than Reuter Hall, operating expenses for it are expected to remain approximately the same because the number of custodians assigned to the building will not change as the new building will not have large group toilet and shower facilities that require frequent cleaning by the custodial staff. The utility expenses for the new building are expected to be higher than the existing building since the new residence hall will be larger than the one being removed. However, this will be offset significantly by the fact that the new building will be much more energy efficient than the existing facility.

The cost of this project is being funded by increased rates for the existing residence rooms on campus as well as a premium rate that will be charged for the rooms in the new building. It is anticipated that the room rate for the new residence hall will be approximately \$3,900 per year when it opens in 2006. This is consistent with the expected average rates for suite/apartment style housing at other UW Institutions that offer these types of living accommodations. The rate for the existing residence rooms on campus will be approximately \$2,900, which is also consistent with rates charged at other UW Institutions for traditional residence rooms.

5. Budget:

Construction:	17,723,000
Architect/Engineering Fees:	1,502,000
DSF Management Fee:	759,000
Contingency:	1,241,000
Percent For The Arts:	1,063,000
Movable Equipment:	<u>56,000</u>
Estimated Total Project Cost:	\$22,344,000

6. Previous Action:

August 22, 2002
Resolution 8582

Recommended that the Residence Hall Project, estimated at \$22,340,000 Program Revenue Supported Borrowing, be submitted to the Department of Administration and the State Building Commission as part of the University's 2003-05 Capital Budget Request.

The project was subsequently recommended by the State Building Commission for enumeration at \$22,340,000 Program Revenue Supported Borrowing.

Authority to Enter Into Lease Agreements and to
Grant Easements, UW-Madison

PHYSICAL PLANNING AND FUNDING COMMITTEE

Resolution:

That, upon the recommendation of the UW-Madison Chancellor and the President of the University of Wisconsin System, authority be granted:

- (a) to enter into a ground lease with University Research Park (URP) Development, LLC to allow construction of:
 - a 139,000 GSF office building and 330 stall parking ramp on UW owned properties at 13-21 North Park Street and 8 North Murray Street (garage/fleet site) and
 - a 15,000 GSF garage/fleet facility on a portion of current parking lot 51, located at 27 North Charter Street.

- (b) to enter into a lease agreement with URP Development, LLC to fully amortize the financing over a period of thirty-two years for:
 - the newly constructed office building and parking ramp at 13-21 North Park Street and 8 North Murray Street,
 - the newly constructed garage/fleet facility at 27 North Charter Street, and
 - a newly constructed 425 bed residence hall located on properties at 29-41 North Park Street and 101-103 North Park Street.

- (c) to grant easements as necessary for URP, Inc. to [1] connect these facilities to the central campus utilities and [2] undertake landscaping and sitework on adjacent University property in Murray Street and Murray Mall.

THE UNIVERSITY OF WISCONSIN SYSTEM

Request for Board of Regents Action May 2004

1. Institution: The University of Wisconsin-Madison
2. Request: Requests authority:
 - (a) to enter into a ground lease with University Research Park (URP) Development, LLC to allow construction of:
 - a 139,000 GSF office building and 330 stall parking ramp on UW owned properties at 13-21 North Park Street and 8 North Murray Street (garage/fleet site) and
 - a 15,000 GSF garage/fleet facility on a portion of current parking lot 51, located at 27 North Charter Street.
 - (b) to enter into a lease agreement with URP Development, LLC to fully amortize the financing over a period of thirty-two years for:
 - the newly constructed office building and parking ramp at 13-21 North Park Street and 8 North Murray Street,
 - the newly constructed garage/fleet facility at 27 North Charter Street, and
 - a newly constructed 425 bed residence hall located on properties at 29-41 North Park Street and 101-103 North Park Street.
 - (c) to grant easements as necessary for URP, Inc. to [1] connect these facilities to the central campus utilities, and [2] undertake landscaping and sitework on adjacent University property in Murray Street and Murray Mall.
3. Description and Scope of Project: This is the next step in the East Campus Development Plan. This particular project will involve private construction and a lease to the university of a 330 stall parking ramp, 139,000 GSF office building and 162,000 GSF residence hall southeast of the Park Street overpass and north of Regent Street. In addition, this project will relocate the current garage/fleet facilities on that block to a site on North Charter Street.

The parking ramp will accommodate visitor and short term parking on the first level and include space at street level for a campus visitor and information center, and Transportation customer services. Permit and special event parking will be located on levels two, three and four. Access and egress will be provided from both North Park and Murray Streets with an enclosed loading dock and building services located on Murray Street.

A three story office building consisting of approximately 139,000 GSF will be constructed on top of the parking ramp. Administrative offices and support space for UW Business Services and Facilities Planning and Management will mainly be located on floors five, six, and seven of

the structure. The office space will also contain space for shared conference, meeting room, computer lab and training space, and common break areas.

The 162,000 GSF residence hall will consist of six stories plus a partial basement. It will house approximately 425 residents. The standard living unit will provide private bedrooms, and common bathrooms shared by two to three rooms (four to five residents). The building will include a residence life apartment, staff offices and other management and operational support spaces. Other features include common areas on each floor (study room, social program space for floor residents and a small kitchen). The first floor will include academic and other residential learning community support spaces including a technology center, classroom, tutoring, advising and faculty offices; general program space for out of classroom learning activities and other student initiated programs; laundry, a study space, hall offices and a small food service market express and coffee house.

The project landscaping design will incorporate the adjacent East Campus Pedestrian Mall and city bike path; plans for drop-off/pick-up traffic and recreation and open space needs.

The 15,000 GSF garage/fleet facility on Charter Street will consist of 12 standard size vehicle bays, two oversized bays, and one oversized wash bay. There will be three enclosed offices, two open work areas, a customer waiting area, and a staff break room. There will be separate toilet facilities for customers and staff all of which will be ADA accessible.

The terms of the proposed lease are currently being negotiated with URP Development, LLC. It is anticipated that the base rental rate will fully amortize the long-term fixed rate double tax-exempt financing of the cost of construction of the office, parking, student residence hall, fleet buildings, utilities connections, pedestrian mall improvements, and the acquisition of the property related to the student housing. Discussions with potential bond underwriters have indicated that the interest rate on this project will be close to typical state rates and lower than conventional developer financing. The UW-Madison will be responsible for all building operation and maintenance costs. The rental rates will cover all initial and subsequent annual costs incurred by them in owning these buildings for the benefit of UW-Madison and originating and managing the financing for this project over the term of the tax-exempt bonds.

The base rental rate will be fixed for the entire project and will not exceed \$5,704,000 annually. The lease will be for a thirty-two year period and will be paid on a schedule over thirty years commencing with occupancy of the facilities. The exact amounts for each component of the project will be finalized when construction design and financing are complete. The proposed occupancy date for the garage is September 2004 and will cover 15,000 GSF with an estimated maximum annual rate of \$89,000; the occupancy date for the residence hall is anticipated to be in July 2006 and will cover 162,000 GSF with an estimated maximum annual rate of \$2,584,000; and, the occupancy date for the office/ramp building is anticipated to begin in September 2006 and will cover 139,000 GSF plus 330 parking stalls with an estimated maximum annual rate of \$3,031,000 (including \$775,000 Parking). The actual allocation of costs to each of the components may vary but the \$5,704,000 total for all components will not be exceeded. Rental payments will be provided from a combination of program revenue and institutional funds available to UW-Madison.

The project will contract for completion of an Environmental Assessment of the site and the facilities to be demolished, including a plan for the remediation of any hazardous materials. Also, a complete Environmental Impact Statement has been contracted and will be completed by fall 2004.

4. Justification: The North Park Street site was identified in the 1996 Campus Master Plan as an area for possible joint public/private development, and as an area for creation of a visitor information gateway. Part of the site is vacant property, and part houses the University's fleet and garage operation. The University's East Campus Development Plan calls for the creation of a contemporary and technologically advanced arts and humanities district, consolidation of campus student services along a new pedestrian corridor and the construction of contemporary university residence halls to improve the undergraduate student living experience. This North Park Street Development project allows the campus to take the first step in achieving its vision for the redevelopment of the east campus area. Given this opportunity to creatively address strategic facilities issues, University Research Park Development, LLC has agreed to participate in the project with a private developer. Construction of the office building allows the vacation of the A.W. Peterson Building for the construction of the Elvehjem Museum Addition – a gift funded project slated to be enumerated in 2005-07, and a gift-funded Music Performance Building in a later biennium. It also provides the first of two new residence halls so that Ogg Hall can be demolished. Working through the URP Development, LLC allows the University to partner with a private developer to design and complete this project in the manner that provides the best price and timely completion.

The project will also address the need for a visitor information presence at a major gateway to the University and provide replacement parking for spaces lost in other campus developments. The office building component is the result of a planning process begun in 1999 to address the consolidated space needs of the University's business services and administrative units. In addition, Facilities Planning and Management will relocate their offices from the WARF building to the new office facility on Park Street, and release the existing WARF space for the Health Sciences' move to the west campus.

The University looked at alternate, privately owned, locations for relocating the garage/fleet facility. It was ultimately determined that the best solution would be to locate it on UW property, contiguous to a site already designated as a future physical plant service facility.

5. Budget: In negotiation.
6. Previous Action: None.

Authority to Increase the Scope & Budget of the
Engineering Centers Building Project,
UW-Madison

PHYSICAL PLANNING AND FUNDING COMMITTEE

Resolution:

That, upon the recommendation of the UW-Madison Chancellor and the President of the University of Wisconsin System, authority be granted to increase the budget of the Engineering Centers Building project by \$140,000, General Fund Supported Borrowing – WISTAR, for a revised total cost of \$53,540,000 (\$23,090,000 GFSB-WISTAR and \$30,450,000 Gifts and Grants).

THE UNIVERSITY OF WISCONSIN SYSTEM

Request for
Board of Regents Action
May 2004

1. Institution: The University of Wisconsin-Madison
2. Request: Requests authority to increase the budget of the Engineering Centers Building project by \$140,000, General Fund Supported Borrowing – WISTAR, for a revised total cost of \$53,540,000 (\$23,090,000 GFSB-WISTAR and \$30,450,000 Gifts and Grants).
3. Description and Scope of the Project: Engineering Centers is a multidisciplinary facility comprised of 135,854 ASF/204,000 GSF for engineering education and research activities. The building consists of a three story structure with a mechanical penthouse above and a below grade level for mechanical equipment and student project activities. The work is essentially complete, and the funding adjustment is needed to enable closing out the project.
4. Justification of the Request: This request is for funding to cover a slight project cost overrun. The costs can be attributed to many miscellaneous items that were necessary to finish the work. The most significant single item occurred when the Madison Fire Department completed their inspection and required additional fire alarm and gas detection alarm components and controls in the Clean Room zone of the building. The cost for these items, totaling over \$70,000, was unanticipated and came at a time when the project contingency was essentially depleted.

Finally, in order to cover any other small items that may come up in the next month or so as the project is closed out, a small amount is being set aside for final contingency. Unused funds will be reverted to the funding source.

5. Budget:

	%	Cost
Construction		\$43,669,900
A/E Fees	10.0%	4,500,000
Other Fees		368,000
DSF Mgmt. Fee	4.0%	1,835,900
Contingency	%	2,259,200
Percent for Art	0.25%	107,000
Movable Equipment		<u>800,000</u>
Total Project Cost		\$53,540,000

6. Previous Action:

- May 4, 2000 The Assistant Vice President reported to Physical Planning and Funding Committee that the Engineering Centers bid came in on budget and that the Building Commission authorized a \$1,400,000 increase to the project using WISTAR and gift funds.
- December 11, 1998 Approved the Design Report and granted authority to construct the
Resolution 7834 Engineering Centers project at an estimated total project cost of \$52,000,000 (\$22,000,000 General Fund Supported Borrowing – WISTAR and \$8,000,000 Future Gifts and Grants).
- October 9, 1998 Granted authority to proceed with design and return to the Board of
Resolution 7790 Regents for approval of the Design Report and authority to construct.
- This project was enumerated as part of the original WISTAR Program in the 1991-93 Capital Budget at \$48,600,000. That amount was subsequently reduced to \$44,000,000.

Authority to Construct a Kohl Center Dining
Space Project, UW-Madison

PHYSICAL PLANNING AND FUNDING COMMITTEE

Resolution:

That, upon the recommendation of the UW-Madison Chancellor and the President of the University of Wisconsin System, authority be granted to construct a Kohl Center Dining Space project, at a total project cost of \$350,000 Gift Funds-Athletics.

THE UNIVERSITY OF WISCONSIN SYSTEM

Request for Board of Regents Action May 2004

1. Institution: The University of Wisconsin-Madison
2. Requests: Requests authority to construct a Kohl Center Dining Space project, at a total project cost of \$350,000 Gift Funds-Athletics.
3. Description and Project Scope: This project will create a 3200 ASF enclosed lounge within the second floor Kellner Concourse at the Kohl Center, 601 Dayton Street. In the new lounge, catered dining from food service carts/equipment and bar services will be available to patrons. The space will accommodate seating for 150 with an additional space for 80 persons to mingle. A secured entrance will be created for those who enter the lounge. In addition, some storage and a "back bar" area will be provided.

The proposed space will be divided from the existing main concourse area using an obscure glass panel system. Plumbing and electrical services will be extended as necessary to support the food service and beverage operations. An air handler will be added to ventilate the new space. Minor lighting modifications will be made. Data, voice, fire alarm, security, cable TV, and audio systems will be extended or added to this space as necessary to support the functions.

4. Project Justification: The Kohl Center currently does not have an upscale location to host donors and significant patrons in an "Ambassador Club" type setting. Such a facility is an essential component for donor attraction, development and retention. Sufficient space exists in a convenient location to create this type of facility, which will allow the Athletic Department to host and entertain special guests in a designated area without losing the close connection to the events being enjoyed by all patrons at the Kohl Center.

The project presents a new and untapped revenue-generating source for the department. The Athletic Department's goal is to have the space constructed and substantially complete by the 2005-2006 basketball/hockey seasons.

5. Budget:

	%	Cost
Construction		\$285,000
A/E Fees	10.0%	30,500
DSF Mgmt. Fee	4.0%	12,200
Contingency	8 %	23,600
Percent for Art	0.25%	900
Movable Equipment		
Total Project Cost		\$350,000

6. Previous Action: None.

Authority to Issue a Request For Proposals (RFP)
for Residence Hall Development, UW-Platteville

PHYSICAL PLANNING AND FUNDING COMMITTEE

Resolution:

That, upon the recommendation of the UW-Platteville Chancellor and the President of the University of Wisconsin System, authority be granted to issue a Request For Proposals (RFP) for the private development of a new suite style residence hall on the UW-Platteville campus.

THE UNIVERSITY OF WISCONSIN SYSTEM

Request for Board of Regents Action May 2004

1. Institution: The University of Wisconsin–Platteville
2. Request: Requests authority to issue a Request For Proposals (RFP) for the private development of a new suite style residence hall on the UW-Platteville campus.
3. Description and Scope of Project: This approval will enable the University, through the Department of Administration, to issue an RFP for private development of a suite-style residence hall building of approximately 120,000 GSF to accommodate 320 freshman and sophomore students. Each furnished suite will be designed for occupancy by four to five students. The proposed construction site is an undeveloped area immediately west of the existing outdoor track. This project will include site development and connection to utilities. An 8” city water main and 18” sanitary sewer are located 500’ west of the proposed site. Electrical capacity is available for distribution at the Central Heating Plant, located 1,400’ to the northeast of the proposed construction site. Signal/data capacity exists 1,200’ to the northeast of the proposed building site. The building will have its own gas-fired boiler system and circulated cold water system system. It is anticipated that this building will be connected to the Central Heating Plant in the future. The campus does not have a centralized chilled water plant. It is anticipated that a new academic building will be constructed immediately east of this site in the near future.

The RFP will provide options for private versus university operation and long-term ownership. The responses will be reviewed for such features as quality of facilities and cost to students. The results will be presented to the Board of Regents for approval.

4. Justification of the Request: This project is required to support growth in student population from 5,800 to 7,800 students starting in 2005 and reaching 7,800 students in 2011 through the Regent approved Tri-State Initiative. The nine existing residence halls (constructed from 1961 to 1969) are currently operating at overflow capacity, housing 2,400 students in spring 2004. No existing residence hall will be replaced by this project. The campus currently has housing demand to fill a 320-bed residence hall, even without consideration of the enrollment increases that will occur through the Tri-State Initiative. The residence hall must be ready for occupancy in August 2006.
5. Budget: No up-front cost to the University for private development.
6. Previous Action:
February 6, 2004 Approval of the Tri-State Initiative.

Approval of the Design Report and Authority to
Construct a Hunt/Knowles Locker Room
Addition, UW-Madison

PHYSICAL PLANNING AND FUNDING COMMITTEE

Resolution:

That, upon the recommendation of the UW-River Falls Interim Chancellor and the President of the University of Wisconsin System, the Design Report be approved and authority be granted to construct a Hunt/Knowles Athletic Facilities project at a total estimated cost of \$2,492,000 (\$1,876,000 Existing General Fund Supported Borrowing and \$616,000 Program Revenue - Cash).

THE UNIVERSITY OF WISCONSIN SYSTEM
Request for
Board of Regents Action
May 2004

1. Institution: The University of Wisconsin-River Falls
2. Request: Requests approval of the design report and authority to construct a Hunt/Knowles Athletic Facilities project at a total estimated cost of \$2,492,000 (\$1,876,000 Existing General Fund Supported Borrowing and \$616,000 Program Revenue - Cash).
3. Description and Scope of Project: This project will construct a 14,365 GSF addition to the Hunt Arena/Knowles Physical Education and Recreation Center. The addition includes:
 - * two 3,300 square foot locker rooms/shower/restroom areas;
 - * one 2,880 square foot training room, office, exam room ,and workroom;
 - * one 127 square foot skate sharpening room;
 - * remodeling the existing training room for improved laundry facilities;
 - * remodeling the existing laundry for two office/conference rooms;
 - * adding air conditioning to the Knowles Center;
 - * site excavation, relocation of water, sanitary sewer and storm sewer utilities, and landscaping;
 - * non-assignable space for circulation, mechanical equipment, and custodial.
4. Justification of the Request: Enumeration of this project was included in SB 474, signed into law in early April 2004. In summary, the Kansas City Chiefs Football Club has used the UW-River Falls campus for 13 years as their summer training camp location. During the 4 weeks the Kansas City Chiefs are in River Falls, the surrounding community benefits from a \$2 million positive economic impact. Tourism is an important growing industry in the rapidly growing Pierce/St. Croix County region. Losing the KC Chiefs would be a blow to the local economy.

The Kansas City Chiefs indicate they would like better support facilities for their summer training camp. Their greatest need is for larger, air conditioned locker rooms equipped with three foot wide pro-style lockers; better training room facilities, and more washers and dryers to maintain uniforms and equipment. A consultant was hired shortly after the project was approved for planning in January, 2004, and preliminary plans and a design report have been completed. After several iterations, reductions in the amount of non-assignable square footage have been made, material types have been simplified, and construction types have been analyzed all with the goal of reducing the project cost. Despite these efforts, the current estimated cost to complete the project as programmed exceeds the authorized budget by \$286,000. Unacceptable alternates have been defined that would eliminate remodeling of existing space for critically needed additional laundry space, and eliminate a canopy over the east building entrance. Furthermore, the

contingency line item would have to be eliminated which is unrealistic at this stage of the project. Maintaining a pre-bid contingency is important since design of mechanical, electrical, and plumbing systems has just begun. Fuel and structural steel prices are volatile at this time as well. The University has identified additional program revenue cash to fund the budget increase, if it becomes needed as a result of bidding. Increasing the project budget now will avoid additional approvals later which could affect the overall project timetable. Time is of the essence on this project. Approval of this request will signal to the Kansas City Chiefs that the State is serious about keeping them in Wisconsin. The schedule calls for completion in time for the 2005 summer training camp.

It is important to note that this development will principally serve University needs. A critical review of UW-River Falls space needs in 1999 revealed a space deficit of 16,000 assignable square feet of space for the Hunt/Knowles complex even for University purposes. The complex lacks enough locker space, training space, laundry facilities, storage space, office space, a classroom, and spectator seating. Constructing this project now not only will address needs for the Kansas City Chiefs during their annual visits; the improved facilities will primarily be used by the UW-River Falls athletic programs including football, hockey, and other sports. Constructing this small addition only partially reduces the overall space deficit for the complex. Therefore, the project has been designed so as to not preclude future additions to make up this deficit.

5. Budget:

	%	Cost
Construction		\$1,986,000
A/E and other Fees	10%	205,000
DSF Mgmt. Fee	4%	85,000
Contingency	7%	139,000
Percent for Art	0.25%	6,000
Movable Equipment		71,000
Total Project Cost		\$2,492,000

6. Previous Action:

December 4, 2003
Resolution 8783

Granted authority to seek enumeration of an Athletic Facilities Development project at an estimated total cost of \$2,206,000 (\$1,876,000 General Fund Supported Borrowing and \$330,000 Program Revenue Supported Borrowing).

August 22, 2002
Resolution 8582

The Board of Regents recommended a Health & Human Performance/Recreation Building project at \$34,500,000 as one of eight projects for planning as part of the 2003-05 Capital Budget. However, planning requests were not advanced by the Building Commission. The University had intended to again submit the entire request for consideration during 2005-07.

Authority to Transfer a Lease and to Construct a
Sunset Lodge Renovation and Addition Project at
Central Wisconsin Environmental Station,
UW-Stevens Point

PHYSICAL PLANNING AND FUNDING COMMITTEE

Resolution:

That, upon the recommendation of the UW-Stevens Point Interim Chancellor and the President of the University of Wisconsin System, authority be granted to transfer the existing lease to the Department of Administration, and authority to construct a renovation and addition of Sunset Lodge at the Central Wisconsin Environmental Station (CWES) at a total estimated cost of \$500,000, (\$200,000 of existing Gift and Grants, \$200,000 of Agency funds, and \$100,000 Program Revenue Cash).

THE UNIVERSITY OF WISCONSIN SYSTEM
Request for
State Building Commission Action
May 2004

1. Institution: The University of Wisconsin-Stevens Point
2. Request: Requests authority to transfer the existing lease to the Department of Administration, and authority to construct a renovation and addition of Sunset Lodge at the Central Wisconsin Environmental Station (CWES) at a total estimated cost of \$500,000, (\$200,000 of existing Gift and Grants, \$200,000 of Agency funds, and \$100,000 Program Revenue Cash).
3. Description of the Project: This project will expand and renovate Sunset Lodge at the UWSP College of Natural Resources Field Station, Central Wisconsin Environmental Station (CWES) eighteen miles east of Stevens Point. The renovation will include removing the current wood frame, slab-on-grade, dining-assembly hall, porch, and restrooms (2071 GSF) and replace with 3300 GSF of assembly space, offices, and restrooms. A reception area and three offices will be provided. The restroom fixture count will be increased and ADA access met. The existing septic system will be replaced and entire building re-roofed. An unfinished basement with east side, at-grade entrance/exit will offer additional tornado shelter space and some storage under the new construction. The basement will be constructed so that as funds become available portions could be upgraded to provide additional meeting space. The construction will demonstrate practical examples of sustainable design where possible within the available budget.

The existing lease between the UW Stevens Point Foundation and the owner will be changed to be a lease between DOA and the owner to enable conducting a state project for the above purposes. .

4. Justification for the Project: The Central Wisconsin Environmental Station is the outdoor Environmental Education (EE) teaching laboratory and training site for the College of Natural Resources at UWSP. On an annual basis approximately 60 different K-12 schools from districts throughout the state bring their students to CWES for Environmental Education activities. As a “residential program” typically most school children spend an “overnight” as part of their CWES field station experience. This occurs on a 12-month, year-round basis. UWSP students majoring in EE gain significant student teaching and practicum experience by serving as instructors for the K-12 students. University students also gain experience in developing EE curriculum and summer retreat programs for youth and K-12 teachers. The Central Wisconsin Environmental Station serves approximately 13,000 visitors a year.

The hub and center of activity at CWES is Sunset Lodge. It houses the business offices, kitchen, dining-assembly, and two limited access restrooms. All visitors at some part of their daily activity cycle through Sunset and this results in significant physical pressure on

the building. The wood-frame Lodge was built in 1947 to accommodate three-season dining and assembly for scout groups. At some point, an attached 1475 GSF open-air pavilion extending from the kitchen was enclosed to provide more year-round use and brought the building. Two restrooms were added later to bring the building to its current approximate 4,700 GSF. The University began using the facility in 1975 under a lease between the owners and the UW-Stevens Point Foundation. Since then a small food storage addition, repairs, and kitchen renovation have taken place, but the building's basic form, function, and features have changed little.

At this time, due to use and aging, Sunset Lodge is in need of major repair including: a new roof (presently leaking and past replacement age); a new septic system (according to an inspection report the current tank is in danger of collapse); lack of adequate and accessible restrooms; lack of tornado shelter, lack of sufficient water pressure during high demand, and lack of adequate storage.

Expansion of the dining space is needed because many of the larger school groups have to eat in two shifts. This results in loss of valuable program time. The dining area ceiling, walls, wood sash windows, and floors have deteriorated due to high use and/or age and need replacement. The present two-fixture-each restrooms clearly do not have enough capacity to handle visitor traffic at breaks and when bus-loads of children arrive after a couple hour ride. These restrooms need to be upgraded to provide a minimum of four fixtures per gender plus ADA accessibility.

The present floor plan has separate entrances for the camp offices and assembly hall. Campers and staff must either walk through the kitchen to reach the office (and, vice-versa to reach the assembly room) or, walk around outside. This is not appropriate for maintaining a safe food prep environment. A joint main entrance will allow staff to better control camper access to the dining-assembly hall and provide a reception area that presently does not exist in the office. Constructing three offices along a corridor would link the main office directly with the assembly entrance and relieve existing crowded office workspaces.

The Division of State Facilities has recommended that, in order to undertake a state project in a leased facility, that the lease become a typical state lease, rather than the current arrangement between the UWSP Foundation and the owners. This is agreeable to all parties. A proposed timeline includes plan and design during 2004 with construction in spring 2005. The grant dollars available for the project will lapse in fall 2004 if not encumbered.

Proposed Schedule:

May 04	Building Commission Approval
June 04- Feb 05	Design and Bid Process
May 05-August 05	Construction

5. Budget:

Construction	\$408,000
Contingency	\$29,000
Architect/Engineer	\$45,000
DSF (4%)	\$17,000
% for Art (.025%)	\$1,000
<u>Total Cost</u>	<u>\$500,000</u>

6. Previous Action: None.

0504SunsetLodgev2-1.doc

Program plan approved by UWSP administration and facilities department. Rough design, without basement, and estimate provided by Howard Design, Inc., Madison, WI (copy attached to this document but not meant to be a part of the Agency Request.).

DSF Worksheet Excel completed by UWSP Facilities Planner, Carl Rasmussen, 3/5/04 to account for changes in program from the earlier Howard Design estimate is provided in a separate electronic attachment.

BOARD OF REGENTS OF THE UNIVERSITY OF WISCONSIN SYSTEM

May 7, 2004
9:00 a.m.
1820 Van Hise Hall
1220 Linden Drive
Madison, Wisconsin

AGENDA

II.

1. Calling of the roll
2. Approval of the minutes of the April 1st and 2nd meetings
3. Report of the President of the Board
 - a. Report on the April 23rd meeting of the Educational Communications Board
 - b. Report on the April 30th meeting of the Higher Educational Aids Board
 - c. Wisconsin Technical College System update
 - d. Report on the May 5th meeting of the Hospital Authority Board
 - e. Additional items that the President of the Board may report or present to the Board
4. Report of the President of the System
5. Report of the Physical Planning and Funding Committee
6. Report of the Business and Finance Committee
7. Report of the Education Committee
8. Additional resolutions
9. Communications, petitions, memorials
10. Unfinished or additional business
11. Recess into closed session to consider appointment of a UW-River Falls Interim Chancellor and appointment of a Dean of the UW-Washington County, as permitted by 19.85[1][c], *Wis. Stats.*, and to confer with legal counsel concerning pending and potential litigation, as permitted by s.19.85[1][g], *Wis. Stats.* The closed session may be moved up for consideration during any recess called during the regular

meeting agenda. The regular meeting will reconvene in open session following completion of the closed session.

Agenda050704

**Board of Regents of
The University of Wisconsin System**

Meeting Schedule 2004-05

2004

January 8 and 9
(Cancelled, circumstances permitting)

February 5 and 6

March 4 and 5

April 1 and 2

May 6 and 7

June 10 and 11 (UW-Milwaukee)
(Annual meeting)

July 8 and 9 (cancelled, circumstances
permitting)

August 19 and 20

September 9 and 10

October 7 and 8 (UW-Superior)

November 4 and 5

December 9 and 10

2005

January 6 and 7 (cancelled, circumstances
permitting)

February 10 and 11

March 10 and 11

April 7 and 8

May 5 and 6

June 9 and 10 (UW-Milwaukee)
(Annual meeting)

July 7 and 8

August 18 and 19
(Cancelled, circumstances permitting)

September 8 and 9

October 6 and 7

November 10 and 11

December 8 and 9

BOARD OF REGENTS OF THE UNIVERSITY OF WISCONSIN SYSTEM

President - Toby E. Marcovich
Vice President - David G. Walsh

STANDING COMMITTEES

Executive Committee

Toby E. Marcovich (Chair)
David G. Walsh (Vice Chair)
Mark J. Bradley
Elizabeth Burmaster
Guy A. Gottschalk
Gregory L. Gracz
Jose A. Olivieri

Business and Finance Committee

Mark J. Bradley (Chair)
Eileen Connolly-Keesler (Vice Chair)
Guy A. Gottschalk
Peggy Rosenzweig

Education Committee

Jose A. Olivieri (Chair)
Elizabeth Burmaster (Vice Chair)
Roger E. Axtell
Danae D. Davis
Frederic E. Mohs
Charles Pruitt
Beth Richlen

Physical Planning and Funding Committee

Gregory L. Gracz (Chair)
Jesus Salas (Vice Chair)
Nino Amato
Gerard A. Randall, Jr

Personnel Matters Review Committee

Gerard A. Randall, Jr. (Chair)
Roger E. Axtell
Mark J. Bradley
Jose A. Olivieri

Committee on Student Discipline and Other Student Appeals

Charles Pruitt (Chair)
Frederic E. Mohs
Nino Amato
Beth Richlen

OTHER COMMITTEES

Liaison to Association of Governing Boards

Guy A. Gottschalk

Hospital Authority Board - Regent Members

Nino Amato
Roger E. Axtell (ex officio)
Frederic E. Mohs
Peggy Rosenzweig

Wisconsin Technical College System Board

Peggy Rosenzweig, Regent Member

Wisconsin Educational Communications Board

Eileen Connolly-Keesler, Regent Member

Higher Educational Aids Board

Gregory L. Gracz, Regent Member

Research Park Board

Frederic E. Mohs, Regent Member

Teaching Excellence Awards

Danae D. Davis (Chair)
Charles Pruitt
Beth Richlen
Jesus Salas

Public and Community Health Oversight and Advisory Committee

Patrick Boyle, Regent Liaison

Special Regent Committee for UW System President Search

David G. Walsh (Chair)
Elizabeth Burmaster
Charles Pruitt
Beth Richlen
Peggy Rosenzweig
Jesus Salas

Special Regent Committee for UW System President Selection

Guy A. Gottschalk (Co-chair)
Toby E. Marcovich (Co-chair)
Roger E. Axtell
Mark J. Bradley
Danae D. Davis
David G. Walsh

Special Regent Committee for UW-Stevens Point

Chancellor Search

Roger E. Axtell (Chair)
Mark J. Bradley
Gregory L. Gracz
Peggy Rosenzweig
Jesus Salas

Committee for Academic Staff Excellence Awards

Eileen Connolly-Keesler (Chair)
Danae D. Davis
Gerard A. Randall, Jr.
Jesus Salas

The Regents President and Vice President serve as ex-officio voting members of all Committees.