

# **Enhancing Opportunity through**Research

#### **Outline**



- UWM DIN to invest in research
- Why research?
  - Economic development
  - Student opportunity
  - Return on investment
- Why UWM?
  - Outstanding research outcomes and potential
  - Regional development impact has limited radius of influence
- Why more money?
  - Re-allocated 5% of GPR to seed investment in research
  - Internal possibilities exhausted

### **Background**



- Academia
  - Oxford, Göttingen, Brandenburg, UWM
- Corporate R&D, industry
  - Bell Labs, AT&T, Agere Microelectronics
- National labs, government
  - LBL, NREL, IHP (Germany), DoE, DoD
- Startups
  - Two from "soup to nuts", six as sponsor

#### DIN



- Enhance regional economic development & opportunity
  - Strengthen existing regional companies
  - Turn research outcomes into economic competitiveness
  - Provide quality workforce with research training
- Biennial total request of \$12.6M
  - Assuming ½ of non-faculty FTE in 07/08
- 50 faculty FTE
  - 20 for cluster hires
  - 15 for undergraduate research initiative
  - 15 for research growth initiative
- Academic staff, graduate students, S&E



### Why Research?

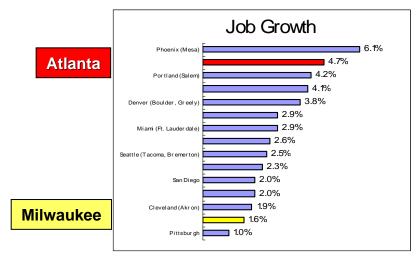
### Why Research?

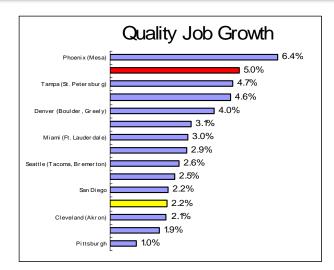


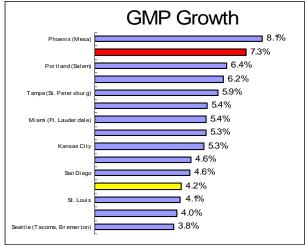
- Research drives economic development & opportunity
  - In the US, in Europe, in Asia
- Example: Atlanta and Georgia Tech
  - Impressive economic performance
- Milwaukee and Atlanta in same benchmarking pool
  - Periodic study of comparable metropolitan areas

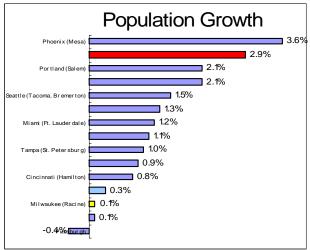
### Atlanta a Tiger?





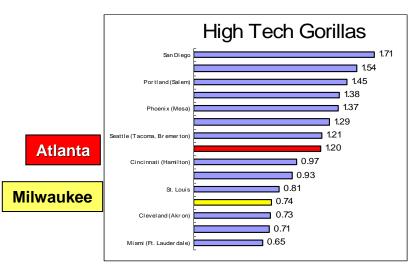


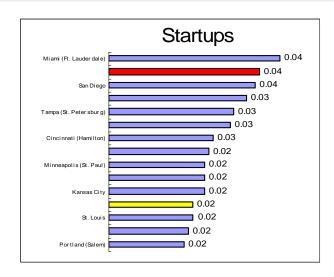


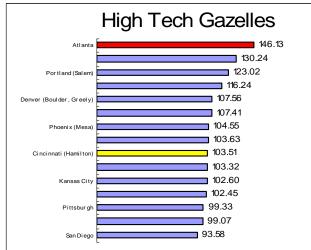


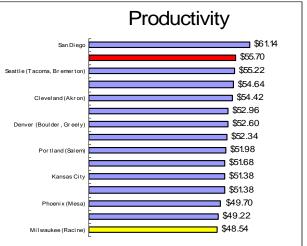
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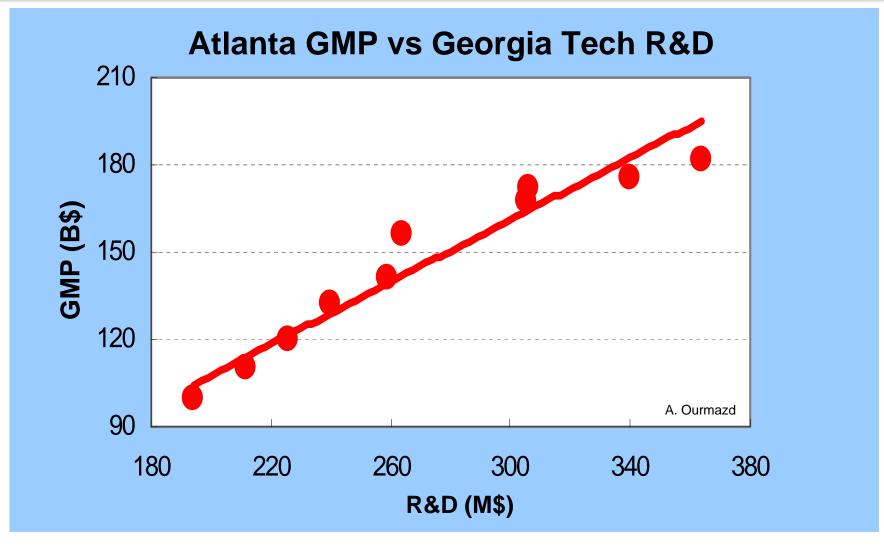






### **Atlanta & Georgia Tech**





### From Research to Enterprise



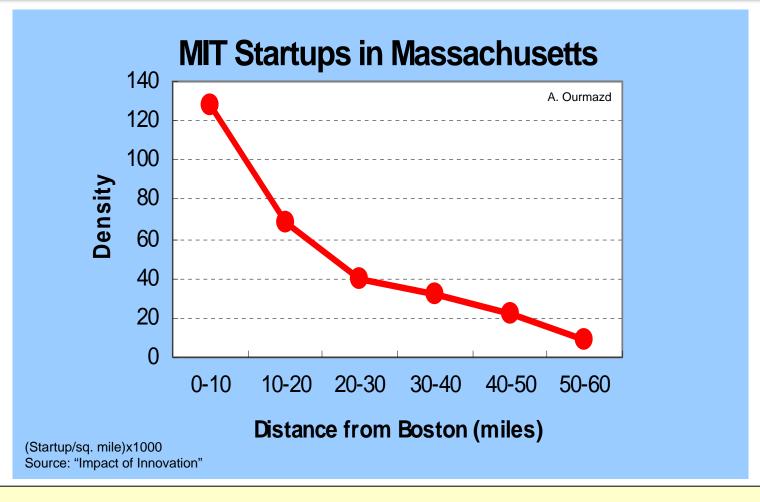
- Georgia Tech Research Institute
  - Nonprofit applied research arm serving 200 companies
- Advanced Technology Development Center
  - Business incubator
  - Over 100 enterprises, incl. publicly traded companies
- Technology Development & Technology Ventures
  - Serves business, industry, economic development
- Universities a key to regional transformation
  - Know of no metropolitan area succeeding without it



### Why UWM?

#### "Radius of Influence"





Universities have limited radius of entrepreneurial influence

### Why UWM?



- Universities have limited radius of influence
  - See, e.g., MIT
- The region in urgent need of transformation

The need for the Milwaukee region to compete [in the knowledge-based economy] is unprecedented. It is imperative that we capitalize on region's assets.

"Milwaukee 7"

- But why UWM?
  - Is UWM "research capable?"

#### The Research Growth Initiative



- Re-allocated \$14M to seed-funding research
  - Project-based "investments" as opposed to "subsidies"
  - Unanimously supported by faculty senate
- Received 285 proposals from over 500 faculty in 7 weeks
  - Participation from over half faculty
  - Crossed all disciplinary boundaries
- Proposals reviewed by independent external experts
  - 66 reviewers, 22 panelists
  - Many served on funding agency review boards

#### **Reviewer Institutions**



#### **Arts & Humanities**

- Princeton
- Univ Kansas
- Univ Missouri

#### **Life & Health Sciences**

- Albert Einstein College
- Cornell
- Iowa State
- MCW
- PharMac LLC
- Univ Michigan
- Univ Pittsburg
- Univ Texas
- Univ Washington
- Yale

#### Natural, Mathematical, Engineering, & Physical Sciences

- · Arizona State
- Duke
- MIT
- NREL
- Ohio State
- Rutgers
- Univ Chicago
- Univ Minnesota
- UC Berkeley
- UW Madison
- Vanderbilt

### Social & Behavioral Sciences

- · Case Western Reserve
- Cleveland State
- Indiana University
- Stanford
- UC Berkeley
- UC Riverside
- Univ North Carolina
- Univ Pittsburg
- Vanderbilt
- Yale

#### The Research Growth Initiative



- Proposals ranked according to Quality, Risk, Reward
  - Each defined different dimension
- Top quality: "Alpha" in top 10% of its field nationally
  - Based on typical proposals to national funding agencies
- ¼ of proposals ranked "alpha", 14% on "Must Fund List"
  - "alpha" = top 10% of their field nationally
  - "Must Fund: If you don't, then we will"

### Why UWM?



"My impression before I did the review was that they were a 2<sup>nd</sup> rate School, not really doing research.

I was totally amazed at the quality of the work I was asked to review."

Max Lagally
Professor of Nanotechnology, UW-Madison
Milwaukee Journal Sentinel, May 7, 2006

## Research Excellence: Recent Examples



- Drug licensed to BMS; Market potential \$1B-\$3B
- Drug discovered for chronic Chlamydia; No previous cure
- Mechanisms of memory loss; Alzheimer's
- Aquaculture technologies; 24% of US protein supply
- Heterogeneous catalysis; Fuel refinement efficiency
- Probabilistic weather models; Weather derivatives



### Why More Money?

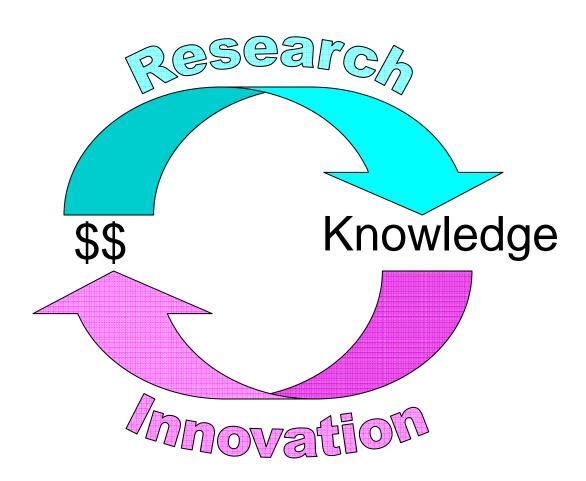
### Why More Money?



- Substantial upside potential in extramural funding
  - Internal assessment, external review, benchmarks
- Cannot seed-fund all the RGI alpha projects
  - Excellent proposals could not be supported
- Every dollar of research investment brings back three
  - US benchmark
- Exhausted internal means
  - Meager internal resources already re-allocated
- DIN investments would bring substantial returns

### **The Innovation Loop**





### **Driving Innovation**



- Leverage RGI to enhance research excellence
  - Open competitive process for best use of limited resources
  - Seed-funding investments rather than permanent subsidies
  - DIN request
- Cluster hires to enhance regional strengths
  - Work closely with regional partners
  - Example: WIBHT (GE, Aurora, MCW, BTA)
  - DIN request
- Provide quality workforce with research training
  - Companies hire those that "can find out"
  - DIN request
- Establish mechanisms for entrepreneurial innovation

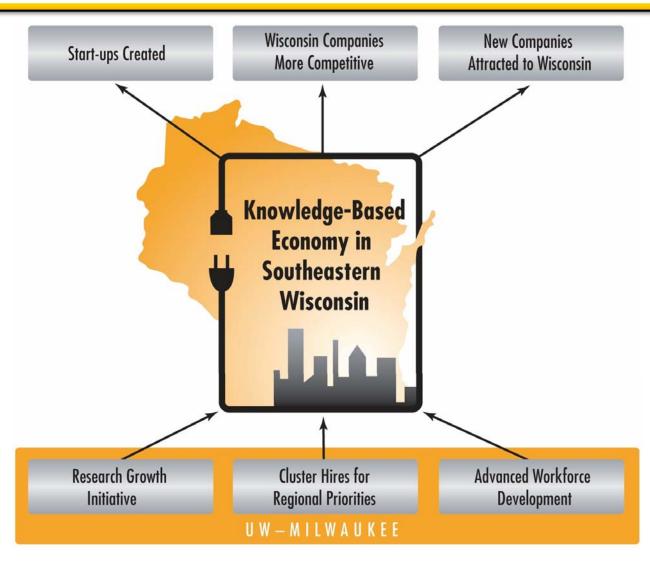
#### **Conclusions**



- Research is root of economic competitiveness
  - 462 new companies in FY04 based on academic discoveries
- Enhanced research excellence enhances student access
  - "Access" means access to quality education, quality jobs
- UWM has essential role to play in region
  - Research universities have limited reach
  - 75% of companies in state/province of academic institution
- Excellent research capability, untapped potential
  - Starved of resources
- DIN impact substantially exceeds requested investment
  - On UWM and region

### **We Need Your Support**





#### **UWM DIN**



ETE

2000 00

UW - MILWAUKEE 2007-09 BIENNIAL BUDGET PROPOSAL FISCAL SUMMARY (TOTAL REQUEST)

NO FTE IN 2007-08

EXPENDITUR	RE ITE	EMS
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	2007-08	FIE	2008-09	FIE
Unclassified salaries				
Faculty - Cluster Hires			2,000,000	20.00
Faculty - UG Research Growth			1,200,000	15.00
Faculty - Research Growth Initiative			1,200,000	15.00
Academic Staff			500,000	10.00
Graduate Students			1,250,000	50.00
Student Help			300,000	
Fringe Benefits			2,254,000	
Supplies & Services	1,296,000		1,296,000	
ANNUAL TOTALS	1,296,000		10,000,000	110.00
BIENNIAL TOTAL	11,296,000			

2007 00