## **Student Records General Records Schedule Reference Chart**

for complete entry, see official records schedule, February 2012

#### **Admission Records**

Number	Series Title	Description	Retention of original	Contains PII?	Confidential?
UWSTU001	Acceptance Letters, (enrolled	Notification letters sent to admitted freshmen	EVT + 5 years then destroy	Yes	Yes
	students)	or transfer students as a response to	confidentially (EVT = date of		
		application to the university.	Graduation or last attendance)		
UWSTU002	Acceptance Letters, (not	Notification letters sent to admitted freshmen	EVT + 1 year then destroy	Yes	Yes
	enrolled)	or transfer students as a response to	confidentially (EVT = date of		
		application to the university.	application)		
JWSTU003	Applications for Admission,	Official institutional application forms	EVT + 5 years then destroy	Yes	Yes
	(enrolled students)	completed by prospective students for	confidentially (EVT = date of		
		admission or readmission to the institution.	Graduation or last attendance)		
JWSTU004	Applications for Admission,	Official institutional application forms	EVT + 1 year then destroy	Yes	Yes
	(not enrolled)	completed by prospective students for	confidentially (EVT = date of		
		admission or readmission to the institution.	application)		
UWSTU005	Applications for Admission,	Admissions application and supporting	Retain for three (3) semesters	Yes	Yes
	falsified	documentation received from individuals	following the term for which		
		misrepresenting themselves.	the subject applied plus an		
			additional 7 years.		
UWSTU006	Applications for Admission,	This series contains letters of	Retain for three (3) semesters	Yes	Yes
	unsolicited	recommendation, test scores, portfolios, CDs,	following the term for which		
		examples of work prepared by the potential	they applied plus an additional		
		applicants where no formal application was	2 years.		
		ever submitted. The materials may be received			
		in any format.			
UWSTU007	Correspondence, staff, with	Correspondence between Enrollment Services	EVT+5 years then destroy	Yes	Yes
	or concerning enrolled	staff within units or with students, relevant to	confidentially. (EVT = date of		
	students	the admission and/or academic progress of	Graduation or last attendance)		
		individual student.			
UWSTU008	Correspondence, staff, with	Correspondence between Enrollment Services	EVT+1 year then destroy	Yes	Yes
	or concerning students who	staff within units or with students, relevant to	confidentially. (EVT = date of		
	did not enroll	the admission and/or academic progress of	application)		
		individual student.			
UWSTU009	Credit by Examination or	Examinations include but are not limited to	EVT+5 (for enrolled and non-	Yes	Yes
	experiential learning credit	Advanced Placement results, CLEP, PEP, other	enrolled students) years then		
	records	test score results, prior learning	destroy confidentially. (EVT =		
	]	documentation, etc. used for advanced	Graduation date or date last		
		placement within degree program	attended.)		

UWSTU010	Entrance Examination Results and other placement test records, (enrolled students)	Student scores from standardized tests, include, but are not limited to: ACT, SAT, GRE or university or department-specific tests or auditions for enrolled students.	EVT + 5 years then destroy confidentially (EVT = date of Graduation or last attendance)	Yes	Yes
UWSTU011	Entrance Examination Results and other placement test records, (not enrolled)	Student scores from standardized tests, including but not limited to: ACT, SAT, GRE or university or department-specific tests or audition for students who did not enroll in the institution.	EVT + 1 year then destroy confidentially (EVT = date of application)	Yes	Yes
UWSTU012	Graduate School degree applications—received	This series is comprised of graduation applications received by either the Registrar's Office (for the Bachelor's and Professional degrees) or the Graduate School (for Master's and Doctor's degrees), may include compiled lists of students eligible to graduate.	EVT +1 year then destroy confidentially (EVT = date of Graduation or last attendance)	Yes	Yes
UWSTU013	Graduate School Admission Applications (not accepted, not enrolled, or incomplete	A record of applicants for admission into a graduate program who were not accepted; who were accepted, but who did not enroll at the University; or who never completed the application process, maintained at the school and/or department levels.	EVT + 5 years then destroy confidentially (EVT = date of application)	Yes	Yes
UWSTU014	Graduate School Admission Applications— Residency/Fellowship applicants	Admission applications to a Residency or Fellowship Program who did not match the program requirements, were not accepted, withdrew from consideration, or were not interviewed. Also includes: Not Matched, Not Accepted, Withdrawn, or Not Interviewed	EVT + 5 years then destroy confidentially (EVT = date of application)	Yes	Yes
UWSTU015	Graduate School Qualifying Exams	Examinations or qualifying exams taken by Ph. D. candidates whereby they have to pass a certain number of them by a certain deadline to qualify for admission to graduate school. There are also Grading Charts that complement these exams which indicate who the graders are.	EVT + 2 years then destroy confidentially (EVT = date of last attendance)	Yes	Yes
UWSTU016	Letters of Recommendation, (enrolled students)	Letters of recommendation required by the school or provided by the applicant as additional information to admission officers.	EVT + 5 years then destroy confidentially. (EVT = date of application)	Yes	Yes
UWSTU017	Letters of Recommendation, (not enrolled)	Letters of recommendation required by the school or provided by the applicant as additional information to admission officers.	EVT + 1 year then destroy confidentially. (EVT = date of application)	Yes	Yes

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UWSTU018	Program Admissions Records,	Materials submitted in the application process	EVT + 5 Years (EVT= Date of	Yes	Yes
	(admitted students)	for some majors, which have limited space and	graduation from the program)		
		require application. Eligibility requirements			
		vary. Evidence of a minimum number of total			
		credits, of a minimum grade-point average,			
		scores for the Praxis I/Pre-Professional Skills			
		Test (PPST), a minimum number of credits in			
		the major, essays or statements, letters of			
		recommendation, extended study abroad, or			
		other documents, test scores or experiences			
		submitted by successful applicants comprise			
		this series.			
UWSTU019	Program Admissions Records,	Materials submitted in the application process	EVT + 2 Years (EVT= Date of	Yes	Yes
	(not admitted)	for some majors, which have limited space and	application)		
		require application. Eligibility requirements			
		vary. Evidence of a minimum number of total			
		credits, of a minimum grade-point average,			
		scores for the Praxis I/Pre-Professional Skills			
		Test (PPST), a minimum number of credits in			
		the major, essays or statements, letters of			
		recommendation, extended study abroad, or			
		other documents, test scores or experiences			
		submitted by applicants who fail to gain			
		admission comprise this series.			
UWSTU020	Residency Records, (enrolled	Records used to determine student residency	EVT + 5 years then destroy	Yes	Yes
	students)	classification for tuition purposes. These	confidentially. (EVT = date of		100
	,	records also include forms processed to	graduation or last attendance)		
		appeal for in-state resident tuition. Records	8,		
		may include a variety of state and federal			
		income tax information, high school records,			
		employment records , etc.			
UWSTU021	Residency Records,	Records used to determine student residency	EVT + 1 year then destroy	Yes	Yes
000310021	(admitted, not enrolled)	classification for tuition purposes. These	confidentially. (EVT = date of	105	165
	(admitted, not emoled)	records also include forms processed to	application)		
		appeal for in-state resident tuition. Records	application		
		may include a variety of state and federal			
		income tax information, high school records,		1	
101/07/1000	The second state from the second state of	employment records etc.			
UWSTU022	Transcripts from other	Transcripts from other institutions such as	EVT + 5 years then destroy	Yes	Yes
	institutions, (enrolled	preparatory schools, high schools, other	confidentially. (EVT = date of		
	students)	universities, colleges and technical schools	application)		
		used to support the admissions process			

UWSTU023	Transcripts from other institutions, (not enrolled)	Transcripts from other institutions such as preparatory schools, high schools, other universities, colleges and technical schools	EVT + 1 year then destroy confidentially. (EVT = date of application)	Yes	Yes
UWSTU024	Veterans Records , (enrolled students)	used to support the admissions process Records related to student enrollment for military benefits such as application, copies of discharge form DD214, VA eligibility certificates, study grants, VA vocational rehabilitation agreements, VA fee vouchers, and correspondence, in as far as these records support the admissions process.	EVT + 5 years then destroy confidentially. (EVT= End of benefit award year)	Yes	Yes
UWSTU025	Veterans Records, (not enrolled)	Records related to student enrollment for military benefits such as application, copies of discharge form DD214, VA eligibility certificates, study grants, VA vocational rehabilitation agreements, VA fee vouchers, and correspondence, in as far as these records support the admissions process.	EVT + 2 years then destroy confidentially. (EVT = date of application)	Yes	Yes

#### Student Academic Records

UWSTU026	Academic dismissal	Letter from the Dean of Students or equivalent	CR + 6 years, then transfer to	Yes	Yes
	/suspension /expulsion	office explaining to the student the dismissal and	University Archives		
	records	reasons for the action.			
UWSTU027	Academic misconduct	Notification of academic misconduct and	CR + 6 years, then transfer to	Yes	Yes
	records	documentation of sanctions or disciplinary action	University Archives		
		including correspondence, reports, requests for			
		hearings, appeals and petitions.			
ÚWSTU028	Academic Warnings	Academic warning correspondence informing the	EVT + 5 years. (EVT= date of	Yes	Yes
		student of poor academic performance that	graduation or last		
		threatens continued attendance of the	attendance)		
		institution.			
UWSTU029	Add/Drop Records	Completed course add or drop forms and related	EVT + 1 year. (EVT =date	Yes	Yes
		records required to add or drop courses after the	submitted)		
		registration deadline.			
UWSTU030	Applications for Graduation,	Applications completed by students prior to	EVT + 1 year. (EVT = date of	Yes	Yes
	(graduated students)	graduation, which trigger degree audits.	application)		
UWSTU031	Applications for Graduation,	Applications completed by students prior to	EVT + 5 years. (EVT	Yes	Yes
	(non-graduated students)	graduation, which trigger degree audits.	=application date)		

UWSTU032	Athlete Academic Records	Records including dossiers created by the Athletic Director, the Associate Athletic Director	EVT + 10 years then destroy confidentially (EVT = date of	Yes	Yes
		or the Compliance Officer for individual students,	graduation or last		
		compiling academic and enrollment	attendance)		
		records, credit and major requirements, amateur			
		athletic status certification, semesters of			
		eligibility used and remaining, Tutor evaluation			
		and assessment records or mandatory study			
1 11 10 20		time records may be included.			
UWSTU033	Athletic Eligibility Reports	Reports created by the Athletic Director, the	EVT + 2 years then destroy	Yes	Yes
		Associate Athletic Director or the Compliance	confidentially (EVT = date of		
		Officer to demonstrate athletic eligibility of teams.	submission of official report)		
UWSTU034	Audit Authorizations	Forms completed by students and signed by the	EVT + 1 year then destroy	Yes	Yes
		course's instructor, giving the student permission	confidentially (EVT = date of		
		to audit a course.	submission)		
UWSTU035	Change of grade	Data entry form completed by the instructor of	EVT + 5 years then destroy	Yes	Yes
	documentation	the course and signed by the appropriate dean	confidentially (EVT = date of		
		supporting an approved change of a previously	submission)		
		assigned course grade.			
UWSTU036	Changed Grade Record	Paper, student information system (SIS)	CR + 6 years, then transfer to	Yes	Yes
		electronic, or other evidence of a legitimately	University Archives		
		changed final course grade.			
UWSTU037	Correspondence between	Correspondence between faculty and students	6 months after close of	Yes	Yes
	students and faculty	relating to coursework, as retained by academic	semester in which grades are		
	regarding course content or	departments to serve as source documents for	submitted. Destroy		
	progress	submitted official grades.	confidentially		
UWSTU038	Correspondence related to	Student correspondence related to academics	EVT+1 year then destroy	Yes	Yes
	academic inquiry	other than with current instructors (See	confidentially (EVT = date of		
		UWSTU037) or with Enrollment Services	correspondence)		
		regarding Admission (See UWSTU007;			
		UWSTU008)			
UWSTU039	Course Repeat Approval	Form requesting and/or granting approval to	EVT+1 year then destroy	Yes	Yes
_	Form	repeat a course for credit. Document contains	confidentially. (EVT = date of		
		student name, ID, course number and signature	submission)		
		of advisor and may record academic reasons for			
		repeating the class.			

UWSTU040	Course Wait List	Lists of students waiting for an enrollment	EVT+ one semester or when	Yes	Yes
		opening in an individual course because the	no longer needed for		
		course has reached its maximum class size. Lists	administrative purposes.		
		may be generated by academic departments or	(EVT=end of semester in		
		individual instructors. They may be used to give	which list was created.)		
		preference to waiting students in the following	- · · · · · · · ·		
		semester or determine the need for more			
		sections of the class.			
UWSTU041	Credit/no credit Approval	Request forms completed by the student and	EVT+1 year then destroy	Yes	Yes
	Forms	signed by the instructor and related records to	confidentially. (EVT= date of		
		change the individuals grading option of a course	submission)		
		from the letter grade to pass/fail or vice versa.			
UWSTU042	Criminal Background Check	Records include, but are not limited to: (1)	EVT+7 years then destroy	Yes	Yes
	Records, Students	confidential self-disclosure application	confidentially. (EVT = date of		
		supplements; (2) criminal background check	completed check)		
		reports, which are generated by private vendors	,		
		on a contract basis, University employees, or			
		employees of the Wisconsin Department of			
		Justice; (3) records created and received by the			
		University employees who are responsible for			
		decisions related to the applicants continued			
		progress.			
UWSTU043	Curriculum change	Forms and related documentation authorized by	EVT+5 years then destroy	Yes	Yes
	authorizations	the department chair and/or the college dean	confidentially. (EVT = date of		
		approving changes with authorizations to	submission)		
		individual student degree program			
		requirements.			
UWSTU044	Degree Audits	Records created through comparison of	EVT+5 years then destroy	Yes	Yes
		transcript and other student records with	confidentially. (EVT = date of		
		requirements for graduation to verify progress	submission)		
		made in degree program requirements.			
UWSTU045	Diplomas, (returned because	Paper diplomas returned to the university,	EVT+1 year then destroy		
	undeliverable	undeliverable due to incorrect address or other	confidentially. (EVT= date of		
		reasons.	graduation )		
UWSTU046	Fellowships and Scholarships	Notices of fellowship and scholarship	EVT + 3 years (EVT = date of	Yes	Yes
	(graduate and	opportunities, nominations of candidates and	last attendance)		
	undergraduate)	results for both graduate and undergraduate			
		students.			
UWSTU047	Grade Books, original	Student grades recorded by instructors to	EVT+2 years then destroy	Yes	Yes
	(academic departments)	support official grades submitted to the Registrar	confidentially. (EVT = end of		
			semester)		

UWSTU048	Grades, Official	Official student grades, recorded by the Registrar	Permanent.	Yes	Yes
UWSTU048	Grades, Official Graduate School Records for accepted students	Registrar. Official student record contained in an integrated student information system (ISIS): Admission Applications and Proficiency Testing, Letter of Recommendations, Directed Study and Thesis Pre-authorization Forms, Credit Evaluations and Re-evaluations, Wisconsin Residency determination and supporting documentation, Dean's Academic and transfer forms, Foreign Language Exam forms, Grade Change forms and Grade Problem Cards, Pass/Fail Option forms, Major Declaration forms, Disciplinary or conduct actions forms, Withhold of public information form(FERPA), Student Authorization for Disclosure in Education Records, Study abroad course and grade evaluations, Degree summary with attached correspondence and related forms, Degree Audits , Copy of the Transcript, Copy of the permanent card, Identity change, legal summons, subpoenas and related	Permanent. 10 years from date of receipt of degree or date of last attendance and destroy confidentially	Yes	Yes
UWSTU050	Graduate School: Thesis/Dissertations/ Discorted Study	correspondence, ROTC forms, Course change request forms, Enrollment letters and other supporting information from Registrars office, and Transcripts from other colleges or universities. Theses and Dissertations written as part of the requirement for a conferred degree. Some	CR + 6 years, then transfer to University Archives	No	No
UWSTU051	Directed Study Grievances, exam/course related (not grade disputes)	programs allow for Directed Study or a Thesis. Forms recording student grievances about courses, coursework, exams, or instructor and include student name and number, term/semester, course number name of instructor and signatures by student, faculty or staff.	EVT+3 years then destroy confidentially (EVT = date of submission)	Yes	Yes
UWSTU052	Hold or Encumbrance Authorizations	Limitations placed on a student's account due to late payment, late registration, or other reasons stated by the institution due to nonpayment of fees, fines, citations, etc.	EVT + 6 years (EVT = fiscal year of hold creation)	Yes	Yes

UWSTU053	Honors Program Applicant files , undergraduate	Honors Program applicant files and applications, grade point averages, scholarship and award materials, and statistics.	EVT + 5 years then destroy confidentially (EVT = date of application)	Yes	Yes
UWSTU054	Instructional Materials including materials in course management systems	Examinations, coursework, assignments, etc. retained by academic departments to serve as source documents for submitted official grades, including those materials found in course management systems, such as Desire2Learn (D2L).	1 year after end of semester in which grades are submitted years then destroy confidentially	Yes	Yes
UWSTU055	Internship Agreements	Agreements, also known as memorandums, between the University, individual departments or colleges or the UW Board of Regents and external businesses or agencies governing supervised practical training or service learning experiences. Internship agreements DO NOT concern individual students but rather acknowledge the business or agency's willingness to accept interns in the future.	EVT + 1 year then destroy (EVT= Expiration of agreement)	No	No
UWSTU056	Internship Contracts	Signed agreements governing supervised practical training or service learning experiences of university students. Often internship contracts are made between academic departments/colleges and external agencies but may involve another university department. Contracts stipulate expectations of a student's responsibilities, the learning outcomes to be covered as well as the logistical aspects of the program.	Original: EVT + 1 year then destroy confidentially. (EVT= date of end of internship as determined by the University)	Yes	Yes
UWSTU057	Major Declarations, Changes, Certification of second Major, Minors	Documents detailing the student's declaration or change of majors or the addition of a second major or minor. Records contain the date of the change, required authorizations, the parameters of the addition or change including the new requirements for completion.	EVT+5 years then destroy confidentially. (EVT = graduation or last attendance)	Yes	Yes
UWSTU058	Name Change Authorizations	Forms and subsequent records documenting a name change request by a student.	EVT+5 years then destroy confidentially (EVT = date of submission)	Yes	Yes
UWSTU059	Petitions, Exceptions to academic rules	Paper forms submitted by the student requesting an exception be made to academic rules regarding required classes, etc.	EVT+5 years then destroy confidentially (EVT = date of submission)	Yes	Yes

UWSTU060	Registration Forms	Forms completed by students at the time of registration. These forms contain student name and number, term or semester, class number and title and number of credits.	EVT+1 year then destroy confidentially (EVT = date of submission)	Yes	Yes
UWSTU061	Schedules, Individual student schedule of classes	Schedule issued to students recording the classes in which they are enrolled, credits, grading option, location and meeting times of classes, and including student name, class, major and identification number.	EVT+1 year then destroy confidentially (EVT = date of schedule creation)	Yes	Yes
UWSTU062	Student Teacher Field Experience File	Records assembled through the evaluation of student teachers conducting their field work. Records may contain but are not limited to: written evaluations of field supervisors and cooperating teachers; remediation plans; recommendation letters; signed agreements with districts; correspondence; copies of transcripts and other student records.	EVT + 7 year then destroy (EVT= Graduation or semester of last attendance)	Yes	Yes
UWSTU063	Study Abroad Program Files	Databases or paper files which are maintained for multiple years. The data provides a continuous list of study abroad program names, student names, number participating, dates of the program, course registration, medical information, names of roommates, biographical information and other information.	EVT+1 year then destroy confidentially (EVT= end of program)	Yes	Yes
UWSTU064	Syllabi and/or individual course calendars	Record series includes the written summary of individual class objectives, topics covered, requirements, necessary texts and equipment, grading and evaluation procedures and other class-specific policies created by an instructor for students.	Official Department Copy: 10 years after last day of course then transfer to archives * *The determination of archival value of syllabi will be made by each institution's archives	No	No
UWSTU065	Transcripts, official	Official student academic transcripts showing name, years of attendance, courses attended, grades, major and further academic achievements.	Permanent	Yes	Yes
UWSTU066	Transcripts, student requests	Documentation recording student requests for official copies of their university transcripts	EVT+1 year then destroy confidentially (EVT = date of request)	Yes	Yes

UWSTU067	Transfer Credit Evaluations	Forms that evaluate the credits an individual student previously earned at another institution based on transfer equivalencies (UWSTU091) and used to determine actual student credit transfers	EVT+5 years then destroy confidentially (EVT = date of evaluation)	Yes	Yes
UWSTU068	Withdrawal Authorizations	Forms completed by students in order to officially withdraw from all classes after the last day to withdraw from individual classes. Includes identification information, the agreement to pay the withdrawal fee, and an explanation of the extraordinary non-academic reasons for the step. Documentation also includes the records of the decision of the granting authority.	EVT + 5 years then destroy confidentially (EVT = date of submission)	Yes	Yes

### **International Student Records**

UWSTU069	Arrival-Departure Records,	A copy or image of the I-94. Form I-94 must be	EVT + 5 years then destroy	Yes	Yes
	(enrolled students) (I-94)	completed at the time of entry to the United	confidentially (EVT = date of		
	(copy)	States by foreign citizens that are being admitted	graduation or last		
		into the United States with nonimmigrant visa	attendance)		
		status. Form I-94 documents a lawful admission			
		to the U.S. in a specific status and any defined			
		expiration of that status in the United States.			
UWSTU070	Arrival-Departure Records,	A copy or image of the I-94. Form I-94 must be	EVT + 1 year then destroy	Yes	Yes
	(not enrolled) (I-94) (copy)	completed at the time of entry to the United	confidentially (EVT = date of		
		States by foreign citizens that are being admitted	submission)		
		into the United States in a nonimmigrant visa			
		status. Form I-94 documents a lawful admission			
		to the U.S. in a specific status and any defined			
		expiration of that status in the United States.			
UWSTU071	Certificate of Eligibility for F-	A copy or image of the I-20. The I-20 is a form	EVT + 5 years then destroy	Yes	Yes
	1 Visa Status, (enrolled	used to apply for a non-immigrant student visa	confidentially (EVT = date of		
	students) (I-20)(copy)	(F-1 visa). The I-20 form is a certificate of	graduation or last		
		eligibility for nonimmigrant (F-1) student status	attendance)		
		issued by the school that has admitted to			
		student. It is a requirement for the F-1 Visa. The			
		UW agency provides the I-20 to students who			
		have been accepted to attend class. The student			
		is required to keep the original.			

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UWSTU072	Certificate of Eligibility for F-	A copy or image of the I-20. The I-20 is a form	EVT + 1 year then destroy	Yes	Yes
	1 Visa Status, (not enrolled)	used to apply for a non-immigrant student visa	confidentially (EVT = date of		
	(I-20)(copy)	(F-1 visa). The I-20 form is a certificate of	submission)		
		eligibility for nonimmigrant (F-1) student status			
		issued by the school that has admitted to			
		student. It is a requirement for the F-1 Visa. The			
		UW agency provides the I-20 to students who			
		have been accepted to attend class. The student			
		is required to keep the original.			
UWSTU073	Certificate of Eligibility for	A copy or image of the DS-2019. The DS-2019 is a	EVT + 5 years then destroy	Yes	Yes
011310073	Exchange Visitor J-1 Status	multi-purpose document issued by a U.S.	confidentially (EVT = date of	103	165
	Form DS-2019, (enrolled	government-approved institution (or	graduation or last		
	students) (copy)	organization) certifying that admission into a	attendance)		
		program has been accepted. Program			
		participants use the DS-2019 to apply for an			
		exchange visitor (J-1) non-immigrant visa. The			
		Student and Exchange Visitor Information			
		-			
		System (SEVIS) database, processes the			
		information and produces a copy of the DS-2019			
		that is sent back to the school. The student is			
		required to keep the original.			
UWSTU074	Certificate of Eligibility for	A copy or image of the DS-2019. The DS-2019 is a	EVT + 1 year then destroy	Yes	Yes
	Exchange Visitor J-1 Status	multi-purpose document issued by a U.S.	confidentially (EVT = date of		
	Form DS-2019, (not enrolled)	government-approved institution (or	submission)		
	(сору)	organization) certifying that admission into a			
		program has been accepted. Program			
		participants use the DS-2019 to apply for an			
		exchange visitor (J-1) non-immigrant visa. The			
		Student and Exchange Visitor Information			
		System (SEVIS) database, processes the			
		information and produces a copy of the DS-2019			
		that is sent back to the school. The student is			
		required to keep the original.			
UWSTU075	Passport Page Showing	A copy or image of the passport photo page to	EVT + 5 years then destroy	Yes	Yes
	Passport Number, (enrolled	verify the passport number, country of	confidentially (EVT = date of		
	students) (copy)	citizenship, birthplace, birth date, and accurate	graduation or last		
		spelling of the name of the student.	attendance)		
UWSTU076	Passport Page Showing	A copy or image of the passport photo page to	EVT + 1 year then destroy	Yes	Yes
	Passport Number, (not	verify the passport number, country of	confidentially (EVT = date of		
	enrolled) (copy)	citizenship, birthplace, birth date, and accurate	submission)		
		spelling of the name of the student.			

UWSTU077	Statement of educational costs, (enrolled students)	The UW agency provides a copy of the statement of educational cost to the students. The statement indicates university contact information, estimated expenses for tuition, fees, living expenses, health insurance and instructions for submitting financial verification form.	EVT + 5 years then destroy confidentially (EVT = date of graduation or last attendance)	Yes	Yes
UWSTU078	Statement of educational costs, (not enrolled)	The UW agency provides a copy of the statement of educational cost to the students. The statement indicates university contact information, estimated expenses for tuition, fees, living expenses, health insurance and instructions for submitting financial verification form.	EVT + 1 year then destroy confidentially(EVT = date of submission)	Yes	Yes
UWSTU079	Statement of financial responsibility and supporting documents, (enrolled students)	Copies of financial documents include bank letters showing sufficient funds on deposit for at least the first year's expenses and legal names of sponsors with appropriate signatures and dates. The UW agency may keep the original documents or copies or images of them.	EVT + 5 years then destroy confidentially (EVT = date of graduation or last attendance)	Yes	Yes
UWSTU080	Statement of financial responsibility and supporting documents, (not enrolled)	Copies of financial documents include bank letters showing sufficient funds on deposit for at least the first year's expenses and legal names of sponsors with appropriate signatures and dates. The UW agency may keep the original documents or copies or images of them.	EVT + 1 year then destroy confidentially (EVT = date of submission)	Yes	Yes
UWSTU081	United States Permanent Resident Card (Green Card) copy , (enrolled students)	A copy or image of the Permanent Resident Card. The card has previously been called the Alien Registration Receipt Card and is has not always been green in color. The card provides evidence of admissibility to the United States as a lawful permanent resident.	EVT + 5 years then destroy confidentially (EVT = date of graduation or last attendance)	Yes	Yes

UWSTU082	United States Permanent Resident Card (Green Card) copy, (not enrolled)	A copy or image of the Permanent Resident Card. The card has previously been called the Alien Registration Receipt Card and is has not always been green in color. The card provides evidence of admissibility to the United States as a lawful permanent resident.	EVT + 1 year then destroy confidentially (EVT = date of submission)	Yes	Yes
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# **Publications, Statistics and Policy**

UWSTU083	Catalog, graduate and	Official institutional course catalog in paper or	CR + 6 years, then transfer to	No	No
	undergraduate	electronic format providing overview of	University Archives		
		admissions policies, course offerings and degree	r i		
		requirements as well as minor and certificate			
		requirements.			
UWSTU084	Class Schedules/timetables	Official list of courses offered each semester,	CR + 6 years, then transfer to	No	No
	(institutional)	with information regarding course numbers,	University Archives		
		names and credits; instructors; times; and course			
		location in any format. This may differ			
		significantly from the publication available to			
		students at the time of registration.			
UWSTU085	Correspondence,	Policy-setting correspondence within Enrollment	CR + 6 years, then transfer to	No	No
	policy-setting	Services units or between them and university	University Archives		
		administration.			
UWSTU086	Course File, master	Official courses offered throughout the history of	CR + 6 years, then transfer to	No	No
		the institution	University Archives		
UWSTU087	Enrollment and Class Lists	Reports generated by Enrollment Services during	CR + 6 years, then transfer to	Yes	Yes
		any given semester reflecting student enrollment	University Archives.		
		rosters, class size, graduation lists			
UWSTU088	Enrollment Verifications and	Records used to verify student enrollment for	EVT +1. EVT is completion of	Yes	Yes
	Certifications	purposes such as loan applications, insurance	verification or certification.		
		discounts, and Social Security benefits.	Destroy confidentially		

UWSTU089	Grade Reports/statistics	Reports of official grades awarded, degrees conferred, grade lists, academic standing, grade point averages, degree statistics	CR + 6 years, then transfer to University Archives	Yes	Yes
UWSTU090	Honors Lists	Lists of students who have achieved academic distinction, including but not limited to the Dean's List	CR + 6 years, then transfer to University Archives		
UWSTU091	Transfer Equivalencies	Aggregate transfer credit equivalency information, comparing course subject area, content, difficulty, level, and credit hours collected to evaluate and determine transferrable course credits from other institutions.	Until superseded. Keep until superseded and destroy	No	No

## **FERPA-Related Records**

UWSTU092	Requests for and Disclosure of Personally identifiable Information	Third-party requests for information, which require a student's signed and dated written consent for disclosure of information (see UWSTU097), and documentation of the subsequent disclosure of the information, if	Permanent.	Yes	Yes
UWSTU093	Requests for Corrections to records and Formal hearings	granted. Student-initiated requests for corrections to records and for formal hearings regarding the amendment of the academic record, based on the student's right to request to have records corrected that he or she believes to be inaccurate, misleading or in violation of their privacy rights.	Permanent.	Yes	Yes
UWSTU094	Requests for Nondisclosure of Directory Information	Documentation supporting a request to withhold items from public records, including the "Request to Withhold Student Information" form, where applicable.	Permanent.	Yes	Yes
UWSTU095	Statement on contents of Records regarding hearing panel decisions	Statement written by a student who finds the decision of the formal hearing regarding the academic record unsatisfactory. The statement setting forth any reasons for disagreeing with the decision is placed in his or her file.	Permanent.	Yes	Yes

UWSTU096	Waiver of Right of Access to Confidential Recommendations	The waiver to right of access for a letter of recommendation is allowed for three purposes: (1) admission to another educational institution; (2) employment; (3) receipt of an award or honor. These are the only areas in which waivers are allowed.	Permanent or until terminated by the student	Yes	Yes
UWSTU097	Written Consent for Records Disclosure	The student's written, signed, and dated consent to the disclosure of personally identifiable information about a student or the inspection of the student's records.	Permanent.	Yes	Yes
UWSTU098	Written Decisions of Hearing Panels	Decisions resulting from a formal hearing regarding a student-initiated request for amendment of the student's educational records. Related to series UWSTU093 and UWSTU095	Permanent.	Yes	Yes

#### Federal Disclosure Records

UWSTU099	Athletic Participation/EADA	Records to disclose data about Equity in	3 years after required	No	No
	Document	Athletics and to the Office of Postsecondary	disclosure. Destroy or		
		Education (OPE), Federal Department of	transfer to Archives		
		Education. The source and background for these			
		reports are data retained in worksheets,			
		spreadsheets, charts, surveys, email, web-linked			
		data and narrative reports, all of which are to be			
		considered records belonging to this series.			
UWSTU100	College costs, Accreditation,	Records are provided both to students and the	3 years after required	No	No
	Textbook information,	Department of Education. The source and	disclosure. Destroy or		
	Transfer Credit Policy	background for these reports are data retained	transfer to Archives		
		in worksheets, spreadsheets, charts, surveys,			
		email, web-linked data and narrative reports, all			
		of which are to be considered records belonging			
		to this series. These disclosure records are			
		organized in two broad categories: I. Non-Loan-			
		Related Disclosure Requirements: Availability of			
		Institutional and Financial Aid Information,			
		Student Financial Assistance, General			
		Institutional Information, Teacher Preparation			
		Program Report, Health and Safety, Student			
		Outcomes, Intercollegiate Athletic Program, and			
		Voter Registration II. Disclosure Requirements			

		Relating to Education Loans: HEA disclosure			
		requirements that are related to Title IV, HEA			
		program loans, and private education loans.			
UWSTU101	Graduation/Completion	The records include data and reports supplied to	3 years after required	No	No
	Transfer Out data	the Department of Education (ED) and to	disclosure. Destroy or		
		students fulfilling Student Right-to-Know	transfer to Archives		
		legislative requirements. The source and			
		background for these reports are data retained			
		in worksheets, spreadsheets, charts, surveys,			
		email, web-linked data and narrative reports, all			
		of which are to be considered records belonging			
		to this series. Reporting to ED is accomplished			
		via systems such as the Integrated			
		Postsecondary Education Data System (IPEDS).			
		IPEDS collects data on postsecondary education			
		in the United States in seven areas: institutional			
		characteristics, institutional prices, enrollment,			
		student financial aid, degrees and certificates			
		conferred, student persistence and success, and			
		institutional human and fiscal resources.			
UWSTU102	Institutional Information	Records and information are disclosed to	3 years after required	No	No
		students and reported to the Federal	disclosure. Destroy or		
		Department of Education. The source and	transfer to Archives		
		background data for these reports are to be			
		considered records belonging to this series. The			
		information collected includes, but is not limited			
		to: cost of attendance including fees and books,	[		
		refund policy, withdrawal procedures, study			
		abroad programs, and name of accrediting			
		agencies (See 34 CFR 668.43)			

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