2023 UW System Compliance Conference
Virtual and In-Person
Pyle Center - Madison, WI
702 Langdon Street Madison, WI 53706.
July 27-28, 2023

General Information:

The Office of Compliance and Risk Management is excited to announce the 2023 UW System Compliance Conference to be held on Thursday, July 27 (open to any UW employee) and Friday, July 28 (limited to compliance and ethics officers only). Sessions will be recorded and posted on our internal OCI webpage after the conference. The conference is planned and hosted by the Office of Compliance and Integrity.

Who May Benefit from the Conference Sessions?

UW employees who are responsible for these areas or other compliance-related functions should attend, such as Compliance Officers, Ethics Officers, Chief Business Officers, Risk Managers, Chief Human Resource Officers, Title IX Coordinators, Student Conduct Officers, Senior Diversity Officers, Clery Coordinators, Police Chiefs, Public Records Officers and Records Management Officers.

Registration fee is $50 per in-person attendee. Virtual attendance is free. Coffee, snacks and lunch will be provided to in-person attendees on July 27.

How do I register?

A link is provided in the email sent with this document.

When is the deadline to register?

Deadline to register is Friday, July 14.

Where should I stay overnight and park?

We have provided a list of state rate hotels and parking options below. There are offered only for convenience and should not be considered the only options for lodging or parking.

If I have other questions, who should I contact?

If you have any questions, please contact Paige Smith at psmith@uwsa.edu or Amy Missinne at amissinne@uwsa.edu.
SCHEDULE AT GLANCE

THURSDAY, JULY 27 (OPEN TO ALL ATTENDEES)
8:30 – 9:00  Check in and Coffee
9:00 – 9:15  Welcome and Introductions
9:15 – 10:15 Session A. Records Management and Best Practices
10:15 – 10:30  Break
10:30 – 11:30 Session B. Artificial Intelligence and What you need to Know
11:30 – 12:15 Lunch
12:15 – 1:15 Session C. Managing Threats to Campus Safety
1:15 – 2:15 Session D. Day in the life of a System Auditor
2:15 – 2:30  Break
2:30 – 3:30 Session E. OCI Investigation Process
3:30 – 4:30 Session F. Legal Updates from OGC
4:30 – 5:30 Networking (TBD)

FRIDAY, JULY 28 (LIMITED TO COMPLIANCE AND ETHICS OFFICERS)
8:30 – 8:45  Check in and Coffee
9:00 – 10:00 Session G. Creating a Strong Compliance Structure or Program
10:00 – 10:15  Break
10:15 – 11:15 Session H. Enhancing Ethics Culture through Core Values
11:15 – 12:15 Session I. Open Discussion/Q & A
SESSION DETAILS:

THURSDAY, JULY 27: (OPEN TO ALL ATTENDEES)

8:30 – 8:45 Check in and Coffee
9:00 – 9:15 Welcome and Introductions (15 min)
9:15 – 10:15 **SESSION A. Records Management and Best Practices** (60 min)
   Presenter: Katie Patten
   a. Overview of records management requirements
   b. Discussion of record retention schedule, destruction schedule, and other initiatives in near future
   c. Best practices to ensure records are being organized, stored and maintained per state law requirements.
10:15 – 10:30 **Break**
10:30 – 11:30 **SESSION B. Artificial Intelligence and What you need to Know** (60 min)
   Presenter: David Bruce (Gartner Consulting Firm)
11:30 – 12:15 **Lunch**
12:15 – 1:15 **SESSION C. Managing Threats to Campus Safety** (60 min)
   Presenters: Suzanne Brandt, Chris Tarmann
   a. Imminent/likely safety threats to campus communities
   b. Lessons Learned from MSU incident
   c. OTHER??
1:15 – 2:15 **SESSION D. Day in the life of a System Auditor** (60 min)
   Presenter: Amanda Nehmer, Angela Ryan
   a. Overview of the audit process from start to finish
   b. Advise on how institutions should prepare for an audit
   c. What happens after the audit is complete?
   d. A system perspective on planning to be audited
      i) How to prepare for an audit
      ii) During the audit (assessing controls, behaviors and practices)
      iii) Management Responses and Action Items
2:15 – 2:30  Break
2:30 – 3:30  SESSION E. OCI Investigation Process
  Presenters: Claire Edwards, Haley Hintz, Dany Thompson
  a. The role of an investigator and importance of investigations
  b. OCI’s process for conducting an investigation

3:30 – 4:30  Session F. Legal Updates from OGC
4:30 – 5:30  Networking (TBD)

FRIDAY, JULY 28:  (LIMITED TO COMPLIANCE AND ETHICS OFFICERS ONLY)
8:30 – 8:45  Check in and Coffee
9:00 – 10:00  SESSION G. Creating a Strong Compliance Structure or Program (60 min)
  Presenters: Christopher Paquet, Grace Crickette, Prenicia Clifton, Paige Smith
  a. Developing and managing a compliance program or structure
  b. Evaluating effectiveness
  c. Your role in monitoring mitigation plans
  d. What should priorities be going forward?
10:00 – 10:15  Break
10:15 – 11:15  SESSION H. Enhancing Ethics Culture Through Core Values (60 min)
  Presenters: Amy Missinne, Paige Smith
  a. What is culture and how does it impact your work?
  b. Using Core Values to Enhance Ethical Conduct
  c. Role of Institutional Ethics Committee
11:30 – 12:15  SESSION I. Open Discussion/Q & A
  a. What are the top risks that threaten higher education?
  b. Other issues or questions?

12:15  Adjourn
LIST OF HOTELS IN MADISON WITH STATE RATES:

Below is a list of hotels in the vicinity of the conference location that offer state rates (at least at the time we checked in mid-June). These are not in any particular order and are offered for your general information. There are no blocked rooms held for the event.

**Conference Location: Pyle Center 702 Langdon Street, Madison, Wisconsin**

Graduate Madison  
601 Langdon Street (distance from hotel - 0.12 miles)  
Rate $131  
Valet Parking $32 per night

Fluno Center  
601 University Ave (0.22 miles)  
Rate $131  
Self-Parking $15 per day

Hampton Inn & Suites Madison/Downtown  
440 W. Johnson Street (0.32 miles)  
Rate $131 Self-Parking $20 per day only available Thursday night (sold out Wednesday)

Doubletree by Hilton Madison Downtown  
525 W. Johnson Street (0.37 miles)  
Rate $131  
Self-Parking $15 per day only available Thursday night (no state rate)

The Madison Concourse Hotel  
1 W. Dayton Street (0.57 miles)  
Rate $131  
Self-Parking $15 per day only available Thursday night (no state rate Wednesday)

Hyatt Place Madison/Downtown  
333 W. Washington Ave (0.59 miles)  
Rate $131  
Self-Parking $20 per day only available Thursday night (no state rate Wednesday)
PUBLIC PARKING LOTS NEARBY:
(2 blocks from conference)

State Street Parking Garage (public parking)
415 N. Lake
Madison, WI 53715
https://goo.gl/maps/LCASWLz6y7WBSxkb9

Helen C. White Parking Garage (campus permit and limited public parking)
600 N. Park
Madison, WI 53715
https://goo.gl/maps/FquQj19hMCgz2StR7